# Dynamics of Eastern equine encephalitis virus during the 2019 outbreak in the Northeast United States

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# 33 Abstract

Eastern equine encephalitis virus (EEEV) causes a rare but severe disease in horses and humans, and is maintained in an enzootic transmission cycle between songbirds and *Culiseta melanura* mosquitoes. In 2019, the largest EEEV outbreak in the United States for more than 50 years occurred, centered in the Northeast. To explore the dynamics of the outbreak, we sequenced 80 isolates of EEEV and combined them with existing genomic data. We found that, like previous years, cases were driven by frequent short-lived virus introductions into the Northeast from

- 40 Florida. Once in the Northeast, we found that Massachusetts was important for regional spread.
- 41 We found no evidence of any changes in viral, human, or bird factors which would explain the
- 42 increase in cases in 2019. By using detailed mosquito surveillance data collected by
- 43 **NOTE:** This preprint reports new research that has not been certified by peer review and should not be used to guide clinical practice. Massachusetts and Connecticut, however, we found that the abundance of CS. *melanura* was

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exceptionally high in 2019, as was the EEEV infection rate. We employed these mosquito data to build a negative binomial regression model and applied it to estimate early season risks of human or horse cases. We found that the month of first detection of EEEV in mosquito surveillance data and vector index (abundance multiplied by infection rate) were predictive of cases later in the season. We therefore highlight the importance of mosquito surveillance programs as an integral part of public health and disease control.

50

# 51 Introduction

52 Eastern equine encephalitis virus (EEEV) is a mosquito-borne virus that causes periodic outbreaks 53 in humans and horses in the United States (US) since its discovery in 1933 (Giltner & Shahan, 54 1933; TenBroeck & Merrill, 1933). The virus circulates in a bird-mosquito transmission cycle while 55 infections of most mammals are considered "dead end hosts". In humans, EEEV can cause 56 severe disease, with an apparent case fatality rate of 30% and long-term neurological sequelae 57 in more than half of those who survive (Lindsey et al., 2018). Still, diagnosed human cases are rare, with an average of 7 per year in the US. The largest human outbreak in more than 50 years 58 59 was the 38 cases reported in 2019, including 12 deaths (Lindsey et al., 2020). The outbreak was 60 not limited to the East Coast where cases are typically detected, as 10 of the human cases that year were reported from Michigan. The widespread EEEV cases in 2019 had significant impacts 61 62 on the communities: many evening outdoor events were canceled to avoid mosquito exposure 63 and aerial insecticide applications were the subject of public controversy (Shamus, 2019; Tunison, 2019). Thus, understanding the drivers of EEEV outbreaks and how to accurately 64 communicate risk to the public is of high importance (Howard, 2019). 65

The key unanswered questions are (1) what factors facilitated the unprecedented EEEV activity 66 67 in 2019, and (2) whether we can accurately estimate risk of human and horse infections? These 68 are challenging questions to answer because the ecology of EEEV is complex, involving multiple 69 species of bird and mosquito. Culiseta melanura serves as the main mosquito vector of EEEV in 70 North America, lives in freshwater swamp habitats, and feeds primarily on passerine birds (Morris, 71 1988). Historically, Coquillettidia perturbans was implicated in the spillover process to humans 72 as bridge vectors (i.e., vectors which feed on both birds and mammals) (Armstrong & Andreadis, 73 2022). This strict delineation between obligate avian and permissive feeders, however, is not so 74 absolute, and Cs. melanura has also been found to occasionally feed on mammals in the 75 Northeast (Molaei et al., 2006). In terms of viral dynamics, previous work demonstrated that EEEV 76 circulates year round in Florida and is introduced into the Northeast through seasonal bird migration (Mundis et al., 2022; Tan et al., 2018), although this process does not happen 77 78 predictably every year. Predicting the annual case dynamics is therefore difficult, having to take 79 into account viral dynamics across multiple species and geographic scales.

80 In this study, we used a combination of phylodynamics, mosquito surveillance, and mathematical 81 and statistical modeling to explore the dynamics of EEEV in the Northeast US, and specifically 82 address factors behind the 2019 outbreak. We sequenced 80 EEEV isolates to add to the 83 currently available genomic data, including 48 from 2019, and combined them with historical data to identify patterns influencing national and regional spread. We then explored which human, 84 viral, mosquito, and ecological factors may contribute to years with many cases in humans and 85 horses, with the aim of understanding if years like 2019 are predictable, or likely to be repeated. 86 87 We confirmed that the 2019 outbreak was primarily driven by EEEV introductions from Florida 88 rather than extended spread in the region. We also found that when there is regional spread in

the Northeast it mostly originates from Massachusetts. We found no viral, human, or avian factors which contributed to the 2019 outbreak, but found that mosquito surveillance data was able to explain much of the variation in human and horse cases, highlighting the importance of highguality and routinely collected mosquito data.

93

# 94 Results

95 High EEEV mosquito infections rates within known transmission foci

96 The outbreak of EEEV in humans and horses in 2019 was primarily focused in the Northeast US, 97 defined as New York, Connecticut, Massachusetts, New Hampshire, Vermont, Rhode Island, 98 and Maine. Using routine surveillance data from Massachusetts, Connecticut, and New York, we 99 found that (1) cases occurred within previously known EEEV transmission foci and (2) the high 100 number of human and horse cases in 2019 corresponded with a high number of trapped *Cs.* 101 *melanura* and a high EEEV mosquito infection rate.

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During 2019 there were 19 human and 26 horse cases reported in the Northeast US, compared to 11 human and 20 horse cases in 2005, the second largest outbreak since surveillance began in 2003 (Fig. 1A). The earliest human cases in the region were reported in July in Massachusetts.
This is slightly earlier than the earliest Northeastern horse cases, which were reported in August across all Northeastern states other than Vermont (Fig. 1B). The last cases were in September in Connecticut and Massachusetts (human) and October in New York (horse).

109

110 Historically, there have been two foci of transmission in the Northeast: an eastern, coastal focus 111 which encompasses most of Massachusetts and Connecticut, and a western focus in central 112 New York towards Lake Ontario. While cases were recorded in both foci in 2019, the vast majority 113 were in the eastern focus in Massachusetts and Connecticut, with no human cases and only 8 114 horse cases reported in upstate New York (Fig. 1C). Cases primarily occurred in counties which 115 had reported EEEV-positive human, horses, or mosquitoes before 2019. Further, the two 116 counties which had not previously detected EEEV (Cattaraugus county in the southwest and 117 Ontario county further north, both in central New York) are adjacent to counties which have (Fig. 118 **1C**). Therefore, there was not much geographical expansion in 2019, and cases fit into previously 119 established transmission foci (Fig. 1C).

120

121 Using data from routine mosquito surveillance, we calculated the maximum-likelihood estimates 122 (MLEs) of mosquito infection rates (see Methods). We found the number of tested Cs. melanura 123 mosquitoes and EEEV infection rates in Massachusetts (MLE infection rate 2019 = 3.62/1000; 124 2003-2018 average = 1.21/1000, 95% CI: 0.67-1.75/1000) and Connecticut (MLE infection rate 125 2019 = 3.67/1000; 2003-2018 average = 0.94/1000, 95% CI: 0.36-1.52/1000), two states that 126 had a high increase in cases compared to recent years (Fig. 1A), were high in 2019 (Fig. 1D). 127 New York, which reported human and/or horse cases in 2014 (12 horse and 2 human cases), 128 2015 (3 human cases), and 2018 (3 horse cases), had more of a normal EEEV year in 2019 (8 129 horse cases). Subsequently, we did not find a high number of tested Cs. melanura mosquitoes 130 and EEEV infection rates in New York in 2019 (MLE infection rate 2019 = 3.30/1000; 2003-2018

- average = 2.49/1000, 95% CI: 1.53-3.45/1000). In general, the mosquito infection rate patterns
- 132 in Massachusetts and Connecticut are more similar to each other compared to New York, which
- 133 is likely indicative of the two distinct geographical foci of transmission described above.



# Figure 1 | Temporal and geographical characteristics of previous EEEV outbreaks after 2000 and context of the 2019 outbreak in Massachusetts, Connecticut, and New York.

148 A) Human and horse cases per year since 2000 in Massachusetts, Connecticut, and New York, 149 colored by state. B) Human and veterinary cases in 2019 in these three states by sample date. 150 Note that human cases are only available to the nearest month whereas veterinary cases have 151 specific dates. C) Map showing geographical distribution of human and horse cases in 2019, 152 shown as stars, relative to EEEV detections after 2000 in Massachusetts, Connecticut, and New 153 York. Brown denotes counties where mosquito-positive pools have been sampled, hatched are 154 non-human cases, and circles are human cases. D) EEEV infection rate of Culiseta melanura 155 mosquitoes and the number tested in Massachusetts, Connecticut, and New York by year from 156 2000.

# 157 The 2019 outbreak in the Northeast was caused by several recent virus 158 introductions from Florida

To explore the underlying spatial dynamics of EEEV in the US, in particular to determine the timing and the source of viruses causing the 2019 outbreak, we sequenced 80 isolates of EEEV from Massachusetts, Connecticut, and New York. Adding our newly sequenced EEEV genomes to the existing publicly available whole genome sequences provided a sufficiently detailed dataset to perform a phylogeographic reconstruction on a state level. We found that EEEV transmission in Florida routinely seeds other locations across the eastern seaboard and was the source of multiple lineages causing the 2019 outbreak.

166

167 Phylogeographic analysis to reconstruct virus spread requires sequence data from across spatial 168 and temporal scales. Prior to the expansion of the nationwide arbovirus reporting system in 2003 (Lindsey et al., 2012), genomic sequence data for EEEV were relatively sparse. There are, 169 170 however, some sequences from across the full range of years, with the earliest sequence from 171 the first recorded outbreak in 1933 (Fig. 2A). Within the Northeast, EEEV sequencing was most 172 concentrated on samples from southeastern Massachusetts and upstate New York (Fig. 2B). 173 Nationally, most sequences were from the Northeast and Florida, although only until 2014 for the 174 latter. There was sporadic sequencing as far west as Texas, although there are no sequences 175 from many of the intermediate states along the east coast (Fig. 2C).

176

177 To provide additional geographical resolution within the Northeast and update the dataset to 178 incorporate the 2019 outbreak, we sequenced an additional 80 isolates from across 179 Massachusetts (n=17), Connecticut (n=38), and New York (n=25) (Table S1). They were 180 primarily from Cs. melanura (n=63), although there were also isolates from other mosquito 181 species: Coquillettidia perturbans (n=5), Aedes vexans (n=1), Culex salinarius (n=1), Aedes 182 canadensis (n=1); as well as 9 sequences from horses and 1 from a turkey. These isolates were 183 sampled from 2015-2019, with 48 from 2019, and were mostly from counties from which there 184 were previously few or no sequences, particularly in Connecticut (Fig. 2B).

185

186 Combining our new EEEV sequences with publicly available data (531 total sequences), we 187 performed a joint phylogeographic and phylogenetic reconstruction (**Fig. 2D**). We estimate the 188 time of origin of the US phylogeny to be 1923.2 (95% Highest Posterior Density (HPD): 1920.5-

189 1925.9), ten years before EEEV was first detected when it caused a large outbreak in horses in
190 Virginia in 1933 (Giltner & Shahan, 1933). Further, we found a strong temporal signal for this
191 dataset (Fig. S1) with an estimated evolutionary rate of 1.86x10<sup>-4</sup> (95% HPD: 1.77x10<sup>-4</sup>-1.95x10<sup>-4</sup>
192 <sup>4</sup>) substitutions per site per year, in line with previous estimates (Tan et al., 2018).

193

194 Supporting previous results of phylogeographic analysis (Tan et al., 2018), we found that Florida 195 forms the backbone of the phylogeny. In other words, EEEV transmission in Florida acts as a 196 source of virus introductions into other states (Fig. 2D). When investigating the movement 197 between states across the whole posterior distribution of the phylogeographic reconstruction, 198 we found that 86.7% of EEEV movements start in Florida and end in every other state in the 199 dataset (Fig. 2E). It is worth noting that there are not many sequences from states outside of 200 Florida and the Northeast, and so movements involving states such as Texas, Georgia, and 201 Virginia should be interpreted with caution, and there are likely more regional dynamics which we 202 could not uncover with this dataset.

203

Using our new EEEV sequences, we found that the 2019 outbreak in the Northeast involved several independent virus introductions (**Fig. 2D**). Following the national trends, we infer that each of these introductions originated in Florida and were not related to other previous EEEV clusters sequenced from the Northeast. Therefore, the 2019 outbreak in the Northeast consisted of multiple EEEV lineages most likely introduced from the reservoir population in Florida, as opposed to long-term regional persistence or a single introduction with explosive growth.

210

![](_page_7_Figure_1.jpeg)

211

212 Figure 2 | Phylogeographic reconstruction of EEEV from 2019 and prior outbreaks

A) Number of EEEV sequences in the dataset over time by year of sampling. Note that the 213 214 nationwide reporting of surveillance data began in 2003. B) Location of EEEV sequences in the 215 dataset from Massachusetts, New York, and Connecticut to the county level. Stars indicate the 216 location of EEEV samples which were newly sequenced for this study. C) Location of all EEEV 217 sequences in the study to state level. D) Time-resolved phylogeny colored by location of nodes 218 from the discrete phylogeographic analysis. States in the Northeast are colored separately, but 219 non-Northeast and non-Florida states are grouped together. Larger tips represent EEEV 220 sequences from 2019. E) Movement of virus from the full posterior of the discrete 221 phylogeographic analysis. Direction is anti-clockwise, and width of lines corresponds to 222 frequency of movement across the posterior. Movements that make up fewer than 1% of the 223 total posterior have been filtered out.

224

EEEV lineages do not typically persist longer than a few years in the Northeast

Having found that EEEV circulation in the Northeast, including the 2019 outbreak, is mostly driven by repeated introductions from Florida, we explored the maintenance of these lineages once they become established in the region. We found that viral lineages on average only persist for less than three years and are generally detected a year after introduction.

231

232 Due to the lack of sequences from Florida in general, but especially after 2014, the clades 233 estimated by our discrete phylogeographic analysis are likely not precise estimates of the timings 234 of EEEV introductions into the Northeast (Fig. 2D). In particular, we expect that we have 235 estimated larger and longer-lived clusters after 2014 than there are in reality, as they have not 236 been broken up by EEEV sequences from Florida. To account for this, we calculated the average 237 branch length in the maximum clade credibility (MCC) tree in Northeastern clusters of more than 238 three sequences with more than half of their sequences from 2014 or earlier, which was 1.28 239 years (standard deviation = 1.5 years). We then subdivided any clades that contained branches 240 longer than the mean plus twice the standard deviation (4.3 years). This also included clusters from before 2014, indicating that sampling bias specifically involving Florida is an issue 241 242 throughout the dataset. This procedure resulted in splitting the 61 EEEV introductions inferred 243 from our discrete trait analysis into 75 separate introductions into the Northeast (Fig. 3A).

244

245 Of these 75 introductions, we found 26 consisting of three or more sequences. On average, these took 1.17 (95% HPD: 0.46-3.27) years to be first detected in the genomic dataset, and 246 247 circulated in the Northeast for an average of 2.8 (95% HPD: 0.65-7.5) years after being 248 introduced (Fig. 3B). This supports previous analysis of the region showing limited multi-year 249 maintenance in the region (Oliver et al., 2020; Young et al., 2008). The groups of lineages can be 250 seen to roughly follow three wave patterns in the 2000s, between 2010 and 2014, and then 2014 251 to 2019 (Fig. 3C), possibly corresponding to infection and immunity patterns within the bird 252 population in the region.

253

Of the 26 larger Northeastern introductions, 8 included samples from the 2019 outbreak, and half of these were solely composed of 2019 samples (**Fig. 3C**). We estimate that the average introduction time of these 2019 clusters was in mid 2017 (95% HPD: 2015-11-14 to 2019-01-15), and the earliest introduction was late 2015. Therefore, the EEEV lineages sequenced from 2019 were introduced no more than 4 years before the outbreak and after the most recent Northeast EEEV outbreak in 2014.

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![](_page_9_Figure_1.jpeg)

263 264

#### 265 Figure 3 | Detection and persistence of EEEV lineages in the Northeast

A) Time-scaled phylogeny estimating EEEV introductions into the Northeast, taking sporadic
sampling into account by splitting up clusters with very long internal branches (see Methods). B)
Distributions of time from introduction to first (top) and last sample (bottom) for Northeastern
clusters of more than three EEEV sequences. C) Time of first node in the Northeast, first sample,
and last sample for each cluster with more than three EEEV sequences, colored by state

#### 272 Multi-state spread of EEEV originating in Massachusetts

273 Considering that EEEV lineages have a short lifespan in the Northeast (**Fig. 3**), we subsequently 274 investigated the extent to which they could spread within the region during that time. We found 275 that most of the Northeast EEEV clusters were detected within a single state, suggesting that 276 inter-state regional spread is rare. Where we did detect between-state spread, however, 277 Massachusetts appeared to be an important regional source.

278

279 Of the 26 Northeast clusters with three or more EEEV sequences (Fig. 3C), most (n=19) were 280 from a single state, and only a single cluster was found in three states despite the density of 281 sequencing in the region. Of the 7 clusters with sequences from multiple states, we found that 282 most viral movements were inferred to be from Massachusetts to other states (7 out of 8 283 movements in the MCC tree, Fig. 4A) and the origin of 5 out of 6 subtrees was in Massachusetts 284 (Fig. 4B). The subtree that does not have an origin in Massachusetts circulated mostly in 285 Connecticut but does contain a sequence from Massachusetts. Importantly, multi-state clusters 286 were not necessarily sampled in neighboring counties (Fig. 4B), indicating true spread within the region, possibly by infected birds. 287

288

289 It is worth noting that only two of these multi-state clusters contain sequences from New York,

- despite the majority of EEEV sequences being from that state, and its size and central location in
- the region. These New York sequences were from the southeast part of the state (**Fig. 4B**), closer to the prominent Massachusetts-Connecticut focus rather than the transmission clusters in

upstate New York. Therefore, while limited spread is happening in the region, it primarily onlyinvolves the eastern part of the region where smaller states are close together.

296 While none of the multi-state clusters were solely sampled in 2019, we found that half of them 297 contain EEEV sequences sampled in 2019 (Fig. 4B). Therefore, the 2019 outbreak appears to 298 have a wider within-cluster geographical spread than previous ones (e.g., 2012-2014 sequences 299 are only found in 2 subtrees; Fig. 4B). The spread in 2019 was possibly driven by the high 300 numbers of infected mosquitoes (Fig. 1D) leading to more infected birds and more opportunities 301 for cross-state movements. We emphasize, however, that cases were mostly reported in 302 counties that had previous EEEV cases (Fig. 1C), and so this was strictly a within-cluster effect 303 and the outbreak as a whole does not show geographical expansion.

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![](_page_10_Figure_5.jpeg)

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#### 308 Figure 4 | Phylogeographic spread of EEEV within the Northeast

A) Between-state movements from the maximum clade credibility (MCC) tree of the
phylogeographic analysis within the six Northeastern EEEV clusters which have between-state
movement. Width of lines relates to the number of movements, and direction is anti-clockwise.
B) Maps showing sampling location to the county level for each EEEV cluster with multiple states
where available. Stars indicate where there are sequences with information only at the state level
(n=2 in New Hampshire, n=1 in Massachusetts and n=3 in Vermont). Corresponding subtrees
are shown to the right of each map, colored by inferred location to the state level.

# Associations of virus, human, and bird factors with EEEV outbreaks were not found

The general epidemiology underlying the 2019 EEEV outbreak appears to be similar to previous outbreaks, with short-lived introductions from Florida driving transmission in the Northeast (**Fig. 2-3**), and infections occurring in similar locations (**Fig. 1**). Therefore the change in the lead-up to the 2019 outbreak, which underlies the increase in cases, may be due to mosquito population, virus evolution, human behavior, or bird populations, and there are multiple possible factors that

323 are not mutually exclusive. Determining which factors were important for the 2019 outbreak may help us to understand what drives EEEV outbreaks in general. First, we ruled out that the 2019 324 325 outbreak was driven by virus evolution. Then, we examined case demographics and aspects of 326 human behavior, and we did not find any associations between the age, sex, or timing of human 327 cases to outbreak years, nor were humans spending more time outside in 2019 compared to 328 2018. Finally, we did not find strong evidence for the population sizes of key bird species as a 329 correlate of cases or mosquito infection rates, though we are lacking important data on bird 330 immunity to EEEV. Together, with the data available to us, virus genomics, case demographics, 331 and bird population sizes fail to explain or predict EEEV outbreaks. 332

#### 333 Intrinsic viral factors and effective population size

A major concern when any pathogen leads to a large increase in case counts is that it has evolved to have a higher virulence or transmissibility. In the case of EEEV, increased transmissibility would refer to a higher infection success in either mosquitoes or birds. Increased virulence would lead to increased case ascertainment, as diagnosis occurs on hospitalized individuals and most human infections are probably asymptomatic (Morens et al., 2019). We would expect to see a phylogenetic signal for any intrinsic variability in transmissibility or virulence.

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341 We searched the alignment for any nucleotide substitutions, relative to a reference sequence 342 from 2005, which are common to some or all of the 2019 EEEV sequences. There were 17 343 substitutions across the genome shared by all of the 2019 sequences, a further two that more 344 than 90% of them shared, and an additional two that 75% of them shared. These 21 345 substitutions, however, were found across the whole phylogeny, in at least 93% of non-2019 346 EEEV sequences. We found no shared substitutions unique to more than 75% the sequences 347 sampled in 2019. This was expected given that these sequences are spread across the phylogeny (Fig. 2D), as there would have to be extremely strong positive selection leading to 348 349 multiple instances of convergent evolution for unique shared substitutions to be possible.

350

Past population size of viruses can be useful in inferring underlying transmission dynamics. In the case of EEEV, this can be done using a skygrid model (Gill et al., 2012), which we used to estimate changes in virus effective population size (in birds and mosquitoes) over time across the whole of the US. We found that there was a steady increase in effective population size in the 1990s, which then plateaued and decreased more recently in the late 2010s (**Fig. S2A**).

357 To explore if this could be formally connected to EEEV infections in humans and horses, we used 358 an extension of the skygrid model to incorporate case data as covariates (Gill et al., 2016). We 359 hypothesized that increased transmission in the mosquito-bird cycle could lead to more 360 infections and reported cases in humans and horses. We tested 9 different covariates 361 independently using data from 2003 onwards: all human and horse cases, only horse cases and 362 only human cases each with no lag, a 1 year lag or a 2 year lag (Fig. S2B). We did not find any 363 significant associations between the viral population size and any of these covariates. There is, 364 therefore, no simple association between national circulation and reported cases, meaning that 365 regional dynamics are likely more important for regional cases. More recent sequencing data in 366 general, particularly from the Northeast to allow the inference of population dynamics around the

367	outbreak	in	2019,	could	confirm	this.
368	68					

#### 369 Case demographics and human behavior

370 Human behavior could be one explanation for the increase in human EEEV cases in 2019, which 371 could be revealed by analyzing the case demographics. Since 2003, we found that the cases 372 have been predominantly male (including in 2019, where 62% of the cases were male), and this 373 proportion was not found to vary across years (Fisher's exact test, p=0.838, Fig. S3A). In 374 comparison, the proportion of cases in different age categories were found to vary across years 375 (p=0.047, Fig. S3B). To determine if this variation was meaningful, we divided years with cases 376 since 2003 into large outbreak years (5 or more human cases) or small outbreak years. When we 377 compared these two groups, we did not find any evidence of a difference in age distribution 378 (Fisher's exact test, p=0.322). Finally, we compared the timing of human and horse cases in the 379 Northeast. The median month of symptom onset in all years was either August or September. 380 with earliest cases in July and latest in October. We tested whether the median month of infection 381 correlates with the number of cases and found that it does not (Pearson's correlation, p=0.777, 382 Fig. S3C-D).

383

384 To further explore whether human behavior may have changed in 2019, leading to a higher EEEV 385 exposure rate, we compared the length of time individuals spent outside in relevant counties in 386 Massachusetts, Connecticut, and New York (Fig S3E). We performed a paired t-test on the 387 indoor activity seasonality metric for each of these counties in June, July, August, and September 388 between 2018 and 2019 (data only available for these years) using data from (Susswein et al., 389 2022). We found no evidence of a difference in indoor-ness, and by extension the reciprocal 390 outdoor-ness, between 2018 and 2019 in counties that had ever had a human case of EEEV 391 (p=0.56) or only those which had a case in 2019 (p=0.72). Therefore, human behavior, the timing 392 of cases, and case demographics do not appear to be the primary drivers of EEEV outbreaks 393 based on our analysis of the available data. Although, understanding the behaviors and activities 394 linked to human exposure is still an important area of research. 395

396 Bird populations

Bird populations likely have a complicated role in EEEV outbreaks. While the presence of competent bird species is a necessity for EEEV transmission, abnormally large bird populations may dilute the virus, decreasing the likelihood of mosquito infection during blood feeding (Kramer & Ciota, 2015). Moreover, when sufficient opportunities exist for mosquitoes to feed on birds, it may limit the pursuit of mosquitoes to feed on alternate sources, such as humans and horses. Finally, bird population immunity is likely a key component of the potential for EEEV perpetuation, but studies that routinely collect bird serology data are difficult and not often conducted.

404

While data on birds are limited, we examined the effect of the (1) absolute abundance and (2) proportion relative to all species of 8 bird species for which *Cs. melanura* has a high blood feeding preference (Armstrong & Andreadis, 2022; Molaei et al., 2016) on (A) human and horse cases and (B) mosquito EEEV infection rate using a Poisson regression. We also compared these metrics for 8 bird species for which a low feeding preference was estimated (Molaei et al., 2016)

410 as a control (Fig. S4). In New York, we found very few significant relationships between the 411 abundance or proportion of any of the high-preference bird species to case counts or infection 412 rates. There are, however, several significant relationships in the low-preference bird species, suggesting that this comparison is not valid for New York. Further, the comparisons to mosquito 413 414 infection rate almost all have high p-values regardless of state, bird species, or metric. In 415 Massachusetts and Connecticut, we found several positive relationships between high-416 preference bird species and case counts, notably the common grackle (abundance only; 417 p<0.001 for both states), chipping sparrow (proportion only; p<0.01 for both states), tufted 418 titmouse (proportion only; p<0.01 for both states), and warbling vireo (abundance and proportion; 419 p<0.01 for both states). There are, however, some low-preference birds with significant 420 relationships (although fewer), meaning that the relationship between specific bird presence and 421 EEEV transmission/cases is complicated and not easily solved here.

422

# 423 EEEV outbreaks are primarily driven by the infection dynamics within 424 mosquito populations

425 After ruling out or not finding associations with other factors, we subsequently focused on 426 mosquitoes where we have detailed temporal data. After the CDC expanded the arboviral 427 surveillance reporting system (ArboNET) and federal funding to the states in the early 2000's 428 (Hadler et al., 2015), many states began to routinely trap and test mosquitoes for multiple viruses, 429 including EEEV and West Nile virus. This provides a glimpse into the transmission cycle of the 430 virus, and allows us to explore if mosquito populations or infection rates are associated with 431 outbreaks. We used mosquito surveillance data from all three states, and detailed data from 432 Connecticut and Massachusetts, to develop a transmission model. Overall, we found that a high 433 abundance of Cs. melanura, connected to environmental factors, is necessary, but not sufficient, 434 for an outbreak of EEEV in humans and horses. When infection rates are included, however, 435 these two metrics together are predictive of overall human and horse cases. We then applied this 436 model to obtain predictions of total human and horse cases based on early season 437 measurements.

438

439 To begin with, we found when there were more EEEV-positive Cs. melanura pools (i.e. 440 mosquitoes were tested in groups of up to 60 from the same trap and date), there were more 441 human and horse cases in Massachusetts, Connecticut, and New York. (Fig. 5A). Further, in 442 2019, there were more positive mosquito pools detected in Massachusetts and Connecticut than 443 previously recorded. In comparison, the number of EEEV-positive mosquito pools detected in 444 New York was more within the expected range of historic values, which aligns with the normal 445 number of case counts in 2019 for that state. It must be noted that Massachusetts and 446 Connecticut practice reactive sampling, and so more mosquito traps are set when EEEV-positive 447 mosquitoes are found. To account for this, we also found the same strong correlation between 448 the Cs. melanura EEEV infection rates (MLE per 1000 mosquitoes, see methods) and case 449 counts (Fig. S5A-B). Therefore, our data suggest that human and horse cases are primarily 450 driven by the abundance of infected mosquitoes more so than behaviors that increase exposure 451 to mosquitoes.

452

To further explore the within-season EEEV dynamics, we focused on Connecticut and 453 Massachusetts, for which we have more detailed mosquito data. We plotted the monthly Cs. 454 melanura abundance (mosquitoes/trap/day), EEEV infection rate, and vector index (abundance 455 456 multiplied by the infection rate to estimate the relative number of infected mosquitoes (Fauver et 457 al., 2016; Nasci et al., n.d.) for each state, highlighting 2019 (Fig. 5B). While there were considerable yearly variations in both states, in general Cs. melanura abundance rapidly 458 459 increased in early summer and tended to peak by June to July. The EEEV infection rates lagged 460 behind, peaking in August or September, with infected mosquitoes often being detected in 461 Massachusetts earlier than Connecticut. Vector index, therefore, tended to rise earlier (July) and 462 persist longer in Massachusetts than Connecticut, though it often peaks in August in both states. 463 In 2019, we found that both the Cs. melanura abundance and EEEV infection rates were high in 464 both states, leading to the highest vector index values in the 17-year dataset (Fig. 5B). Thus the 465 2019 EEEV outbreak was driven by environmental conditions that supported larger Cs. melanura 466 populations and transmission dynamics that led to high infection rates.

467

468 We next investigated whether environmental data could help explain the high abundances or 469 EEEV infection rates. To explore this, we used index P (Obolski et al., 2019), a modeled estimate 470 of reproduction number of mosquito-borne viruses based on climate factors, including 471 temperature and humidity, by including prior estimates for Cs. melanura-borne transmission of 472 EEEV (Table S2). Our assumption was that index P would best model the vector index. While 473 our index P estimates usually peaked in July to August, similar to the vector index, we found that 474 it actually correlated most closely with the Cs. melanura abundance dynamics (Fig. S5C: 475 correlation coefficient of 0.57, p-value < 0.0001 for Connecticut, 0.24 and p<0.05 for 476 Massachusetts). Furthermore, our modeled index P in 2019 was relatively average across the 17 477 years in both states (rank 9/17 for Connecticut, 7/17 for Massachusetts). Thus, for EEEV in the 478 Northeast, index P is useful for describing the seasonal dynamics of transmission, but the 479 temperature and humidity variables alone are not capable of describing which years will have a 480 high number of EEEV-infected mosquitoes.

481

482 To interrogate further how different factors combine to explain cases, we built a negative binomial 483 regression model that uses index P, vector index, state, month of first EEEV detection, the year, 484 and the month to explore counts of total human and horse cases (Fig. 5C-D, Table S3; results 485 from different variations of the model are shown in Fig. S6). Because of the yearly variation in 486 EEEV cases (Fig. 5D, see "Actual" cases), we found that year and being in the month of August 487 or September lead to no significant changes in risk of cases (Fig 5C). Vector index (2.07, 95% CI: 1.5-3.0), the month of first detection compared to June (3.17, 95% CI: 1.34-7.92), index P 488 with a 1 month lag (2.31, 95% CI: 1.19-4.65), and being in the month of October (4.18, 95% CI: 489 490 1.39-13.55), however, all lead to a significant increase in the risk of human and horse cases (Fig. 491 5C). Further, being in Massachusetts as compared to Connecticut had the largest increase in risk (11.95, 95% CI: 3.88-43.70). When used to fit cases each year, our modeled estimates 492 493 tracked closely with observed cases, where cases with the model being off on average by 0.47 494 cases per observation (Mean Absolute Error (MAE) = 0.47) and had strong explanatory power (Nagelkerke  $R^2 = 0.80$ ; Fig. 5D). Importantly, vector index was an important driver of the outbreak 495 496 in 2019, where elevated levels correspond well with high case counts. 497

498 Next, we applied this model to examine if there were early season predictors which might indicate 499 an increased risk of horse and human cases later in the season (Fig. 5E). While index P varies 500 from year to year and is connected to mosquito abundance, it does not correlate well with vector 501 index as it cannot predict when EEEV is present in the Northeast (Fig. S5C). We therefore held 502 index P constant and simulated vector index across a range of values to predict the total human 503 and horse cases for the year, and to explore its relationship with the month of first detection. We 504 found that our model underpredicts cases when the vector index is low, as the real data points 505 fall outside of the prediction intervals (Fig. 5E), indicating a potential lag in identification of EEEV 506 cases. This could be due in part to stochastic chance in surveillance programs missing EEEV 507 with low levels of virus circulation. As the vector index increases, however, our predicted values 508 become closer to the observed data points.

509

519

510 The timing of the first detection of EEEV in mosquitoes is also important, and of particular note is 511 that years with high case counts always first detected EEEV in July (Fig. 5E). This makes intuitive 512 sense as the virus has more time to circulate to high levels in birds while there are sufficient 513 mosquitoes present for it to transmit to humans. While the interaction between first EEEV 514 detection in July and the vector index is not significant, this could be in part due to no cases 515 being detected in years when the vector index was high but EEEV was first detected after July. 516 The impact of the timing of the vector index is clear however, with a 220% increase in risk of 517 cases when EEEV is detected in July (Fig. 5E). This is reflected in the empirical data, as the 518 maximum number of cases in years when EEEV is detected after July is only 5.

- 520 Our negative-binomial regression model could be used as a tool for mosquito surveillance 521 programs to estimate the risk of cases, which typically are reported in August-October, based 522 on the timing of first detection and the vector index. For example, if EEEV is detected in 523 mosquitoes in July in Massachusetts, and the vector index in August is 0.15 (similar to the value 524 measured in 2019), we predict 7.0 human and horse cases in total that year (95% CI: 2.9-16.6), 525 compared to 2.2 cases (95% CI: 0.6-7.9) if EEEV is detected after July during a typical summer season. In Connecticut, the same situation would correspond to an expected 0.6 cases (95% 526 527 CI: 0.2-1.8) for July detection and 0.2 cases (95% CI: 0.1 to 0.6) for a later detection. With a 528 more extreme August vector index of 0.30 in Massachusetts (higher than has ever been 529 measured), our model predicts 89.2 cases (95% CI: 17.0-468.5) if EEEV is detected in July versus 530 28.2 cases (95% CI: 3.4-231.3) cases being detected later. While these predictions have wide 531 confidence intervals, they provide a relative estimate of the possible extent of the cases in a given 532 year, and therefore an indication of how much effort should be put into various mosquito control 533 measures.
- 534

535 Finally, while all of our previous results focused on Cs. melanura, Coquillettidia perturbans is also 536 thought to be an important bridge vector for infections in horses and humans (Armstrong & 537 Andreadis, 2022; Sherwood et al., 2020). This species is more abundant on average in 538 Connecticut than Cs. melanura (Fig. S5D), with populations peaking in late June. However, only 539 9 Cq. perturbans pools were positive for EEEV from 2003-2019 in the state, or 1.9% of all positive 540 pools; and it was only the 8th most commonly positive mosquito species for EEEV. In 2019, there 541 were six EEEV positive pools of Cq. perturbans sampled in Connecticut, making it the third most 542 commonly sampled positive mosquito species for the year, although it still only made up 5% of the positive mosquito pools. This does vary between states, however, as *Cq. perturbans* made up a third of positive pools in Massachusetts in 2019 (Armstrong & Andreadis, 2022). Therefore, *Cq. perturbans* may still have a role as a bridge vector, but the transmission dynamics of EEEV in these states is primarily driven by *Cs. melanura*.

- 547
- 548

![](_page_16_Figure_4.jpeg)

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550

#### 551 Figure 5 | Model predictions of EEEV cases using mosquito infection estimates

A) Poisson regression by state of the number of EEEV positive Cs. melanura pools sampled and human and horse cases. B) Monthly trends across the year of mosquito abundance (Cs. melanura collected per trap per day), infection rate (MLE per 1000 Cs. melanura), vector index (abundance multiplied by the infection rate) and index P (modeled estimate of reproduction number), for Connecticut and Massachusetts. 2019 values are highlighted in red. C) Results of a negative binomial regression model for both Connecticut and Massachusetts combined of case

risk predicted by vector index, first month of EEEV detection, index P with a 1 month lag, year, 558 559 and risk compared to the month of May-July of cases in August, September, and October. The covariate for state was removed for scale, as its estimate is 11.95 (95% CI: 3.88 to 43.70) 560 with Connecticut being the reference group. D) Actual and predicted case counts for each year 561 based on the modeled results presented in C. Shaded areas denote error in the predicted values. 562 563 E) Simulations using the previously described model. Predicted cases and intervals utilized a 564 range of plausible vector index values and whether EEEV was detected in July or not, with index 565 P held constant using the average value derived from the years studied for each month; and split 566 by state. Shaded areas represent 95% confidence intervals and points are real data points from 567 the EEEV mosquito surveillance program discussed elsewhere. Stars indicate values from 2019 568 and darker points indicate the actual modeled value of Index P. 569

## 570 Discussion

589

The 2019 EEEV outbreak in the Northeast US was exceptional in that it had the highest number 571 572 of human cases recorded in more than 50 years, along with dense Cs. melanura vector 573 populations with high virus infection rates (Fig. 1 & 5). We found that other components of 2019 574 were similar to outbreaks of years past. We showed that EEEV is not persistently maintained in 575 the Northeast, with virus lineages typically going extinct within the region within a few years (Fig. 576 3). Instead, the virus is frequently reintroduced into the region from Florida where EEEV is 577 endemic (Fig. 2). Thus, EEEV outbreaks in the Northeast are limited by stochastic and 578 deterministic factors that support new virus introductions, and to habitats that are suitable for the 579 mosquito vectors. We identified two primary transmission foci in the Northeast: (1) an eastern, 580 coastal focus which encompasses most of Massachusetts and Connecticut, and (2) a western 581 focus in central New York towards Lake Ontario (Fig. 1). The 2019 outbreak primarily occurred 582 in the former, especially in regards to human cases. We found that human and horse cases are 583 associated with a high vector index (large number of EEEV infected Cs. melanura mosquitoes), 584 and we constructed a model using environmental and mosquito surveillance data that could estimate cases (Fig. 5). Finally, we found that a high early season vector index can be used by 585 586 surveillance systems to predict human EEEV risk and direct control efforts (Fig. 5E). It remains 587 unclear as to what causes high EEEV infection rates in mosquitoes, and therefore what 588 contributed to the exceptionally high rate in 2019.

590 We conducted a phylodynamic analysis of our 80 newly-generated EEEV genome sequences 591 combined with historical samples to explore the dynamics of EEEV in the Northeast of the US, 592 with a focus on 2019 (Fig. 2). As both EEEV isolates and extracted RNA are designated as Select 593 Agents in the US, it is extremely difficult to obtain clearance to store, transport, and in this case, 594 sequence them. Thus our genomic dataset is critical to support future EEEV research. Using 595 these additional sequences, we confirmed earlier studies which identified Florida as the source 596 of EEEV introductions into the Northeast and other parts of the US (Tan et al., 2018) (Fig. 2). The 597 documented endemic transmission in Florida and the limited number of EEEV cases reported 598 outside of the US add further support to the hypothesis of Florida as a source for the Northeast. 599 EEEV sequences from outside of the US, however, are limited in number (n=3) and we did not 600 include these data in our analysis. Therefore, we cannot rule out a non-US external source of

introductions into the Northeast. Further sequencing from other countries, enabling a broader,
 regional analysis of EEEV would allow the investigation of the international dynamics of EEEV and
 the identification of at-risk regions.

604 605

We found that while interstate spread of EEEV within the Northeast is not common, Massachusetts appears to be an important focus for when it does happen (**Fig. 4**). This may be in part due to bird migration routes, more consistent EEEV activity in Massachusetts compared to the surrounding states (**Fig. 1 & 5**), and/or that EEEV infection in mosquitoes in Massachusetts increases earlier in the year than it does in Connecticut (**Fig. 5**). Thus, controlling EEEV transmission in Massachusetts may help to alleviate some EEEV transmission in other states.

612

613 In discussing the movement of EEEV across the US, it is important to note that there is significant 614 sampling bias in our dataset, in terms of both time and location of the sequences. Most of the 615 sequences are from the Northeast US, and there are no sequences from Florida after 2014. While 616 we have attempted to mitigate the latter point by splitting Northeastern clusters with long 617 branches (see Methods), geographical heterogeneity can lead to overconfidence in the transition 618 times (Layan et al., 2023). Further, the lack of EEEV sequences from other East Coast states may 619 lead to an underestimate of the importance of those states in the spread of EEEV from Florida, 620 and we are unable to examine the introduction or transmission dynamics in any other region of 621 the country. After Florida, the Northeast has the most reported human and horse cases in the 622 US. It therefore has an outsized importance for understanding the dynamics of EEEV. Obtaining 623 data from other EEEV outbreaks (e.g. Michigan) would provide another opportunity to examine 624 the outbreak dynamics. The sequencing of EEEV samples prior to 2003, a wider geographical 625 range of samples in all time periods, and from Florida after 2014 would greatly add to the reliability 626 and resolution of any phylodynamic study of EEEV.

627

628 While some characteristics of the EEEV outbreak in 2019, such as human case traits and climate 629 factors, were within expected ranges, it is clear that many mosquito factors were unusually high 630 (Fig. 5). For example, both Massachusetts and Connecticut had a very high abundance of Cs. 631 melanura and the vector index for the latter state was the highest ever recorded. While EEEV has 632 a complex ecology, by using data from detailed mosquito surveillance programs, we were able 633 to find strong connections between mosquito infection rate, abundance (connected to climate 634 factors), and human and horse cases. We then developed and applied a negative binomial 635 regression model to utilize early season values of mosquito-specific predictors (most notably the 636 month of first detection and vector index) to provide early estimates of overall case counts for 637 that year. This important development will provide departments of health with estimates to help 638 direct control strategies, and enable more effective communication of risk to the public.

639

640 Our models and estimates have several limitations. First, our negative binomial regression model 641 to predict cases is likely more informative for Massachusetts than Connecticut, as there are more 642 cases in Massachusetts to inform it. As such, the Connecticut predictions are heavily influenced 643 by Massachusetts case counts, and given the highly localized nature of EEEV to forested wetland 644 habitats, the model may not accurately represent conditions in Connecticut. In addition, our 645 model was restricted to a monthly time scale to match monthly case data. Given the short

duration of the EEEV transmission season, certain dynamics may be missed on the weekly or 646 647 even daily timescale. There was not enough case data within each month to explore impacts of detection on a month by month basis, making the month detection piece of the analysis less 648 649 specific. While vector index is a direct risk estimate of EEEV cases, index P had a greater effect 650 estimate in our model. This could be due to our model not fully accounting for the inherent 651 seasonality of EEEV transmission that overlaps with high values of index P or that the true 652 value of index P, which is modeled with a certain degree of uncertainty, lies a significant 653 distance outside the determined estimate. Due to lack of information on EEEV in Cs. melanura, index P is estimated using the transmission probability, bird incubation period, bird 654 655 infectious period and extrinsic incubation period derived from previous West Nile virus research 656 of Culex pipiens species (Lourenco et al., 2020). This lack of data for EEEV priors may be 657 confounding the predictive power of this parameter. Finally, veterinary cases are reported 658 voluntarily and the true case counts include interventions undertaken in those years. These 659 interventions include a highly recommended horse vaccination and mosquito spraying: the former 660 will cloud the association between mosquito factors and case counts as exposures may not 661 convert to cases. Both lead to an underestimate of true, unaltered case counts as the model is 662 fitted to partially controlled epidemics.

663

Despite these limitations, on a short timescale, we have some predictive ability of human and horse cases of EEEV (**Fig. 5**). When considering longer timescales, we still cannot use climateinformed models, like index P, for annual predictions of outbreaks. This is because, while climatic factors are vital for the high abundance of *Cs. melanura* required for an intense EEEV year, they cannot predict when the virus will be present. Index P therefore may be a more effective way to predict EEEV case counts in Florida where the virus is continuously maintained (Bigler et al., 1976), but should be used with caution in scenarios where the virus must be introduced.

671

672 To provide longer-term predictions (i.e. on a time scale of years), we must therefore understand 673 what drives introductions of EEEV from Florida into the Northeast. The missing piece here is 674 large-scale studies on bird immunity, as waves of infection in the Northeastern bird population 675 are likely driven by the renewal of the susceptible population, potentially through birth (Armstrong 676 & Andreadis, 2022; Elias et al., 2017). Theoretically, the dynamics could be similar to those of 677 Middle Eastern Respiratory Syndrome (MERS) (Dudas et al., 2018), wherein cycles of infection 678 due to buildup of susceptibles in the reservoir population leads to spillover events into other 679 species. Certainly, we found that EEEV appears to have waves of introductions into the Northeast 680 which co-circulate before going extinct shortly afterwards, which would be expected from local 681 depletion of susceptible birds.

682

683 In understanding EEEV outbreaks in humans and horses, we must look to a combination of 684 dynamics of other arboviruses like West Nile and dengue in terms of the mosquito populations, 685 as well as viruses that mostly exist in reservoir populations and spillover into humans, such as 686 MERS. The complex interplay of these factors make long-term prediction with our current data 687 sources difficult, as we do not have enough information on bird immunity and its interaction with 688 EEEV transmission and spillover. We do know, however, that a large mosquito population, 689 enabled by warm and wet conditions, is necessary, and an increase in years with warm and wet 690 summers and mild winters may increase the frequency of outbreaks. Therefore, while the 691 interactions in EEEV with climatic factors are more complex than with some other arboviruses, 692 climate change may still represent an increase in risk as more years will be permissive for outbreaks in mammals. In any case, all of these results rest on a timely and robust mosquito 693 694 surveillance program, as currently exists in New York, Massachusetts, and Connecticut. 695 Widespread and consistent trapping and rapid analysis provides the data required to calculate 696 vector index, which is the strongest correlate of human and horse cases later in the season. It is 697 imperative that programs like this, also of use for other mosquito-borne viruses such as West Nile virus, continue to be funded and expanded, even as competition for public health funding 698 699 increases.

- 700 701
- 702 Materials and Methods
- 703 Ethics

No human samples or direct clinical data were used in this study. This study was determined to
be Not Human Research by the Yale University Human Research Protection Program Institutional
Review Board. All EEEV case data were aggregated and available from public surveillance
databases as described below. Sequencing of remnant veterinarian samples by the Wadsworth
Center were done following institutional protocols.

709 Case data and availability

EEE, caused by EEEV, is a notifiable human disease, therefore human case data is routinely 710 711 reported to the federal government. Horse data reporting is voluntary and so is likely an 712 underestimate. Data can be accessed on request from ArboNET 713 (https://www.cdc.gov/mosquitoes/mosquito-control/professionals/ArboNET.html).

- 714
- 715 Base layers for all map figures were taken from the Global Administrative Database (gadm.org).
- 716 Mosquito surveillance

717 In New York State (NYS), mosquito surveillance was carried out in 13-43 counties throughout 718 the state including an EEEV endemic area of Central NYS (Onondaga, Oswego, Oneida 719 Counties), annually from May-October, as previously described (Oliver et al., 2018). Trapping was 720 completed using a combination of Centers for Disease Control and Prevention (CDC) light traps, 721 gravid traps and diurnal resting boxes. Resting boxes were primarily used in EEEV endemic areas 722 to collect Cs. melanura. Mosquito specimens were sorted by species and pooled for testing. 723 Pools of 10-60 mosquitoes were shipped on dry ice to the NYS Arbovirus Laboratory for EEEV 724 testing by molecular and cellular methods. Specifically, pools containing a zinc-plated steel bead 725 and 1ml mosquito diluent (20% heat-inactivated fetal bovine serum (FBS) in Dulbecco's 726 phosphate-buffered saline plus 50 µg/mL penicillin/streptomycin, 50 µg/mL gentamicin, and 2.5 727 µg/mL Fungizone) were homogenized using a mixer mill for 30 sec at 24Hz and centrifuged for 728 5 min at 6000 rcf. Quantitative reverse transcriptase polymerase chain reactions (gRT-PCR) were 729 performed using two distinct primer and probe sets, following RNA extraction and purification,

as previously described (Zink et al., 2013). In addition, 100 µL of supernatant from *Cs. melanura*pools were inoculated on Vero cell culture and monitored for cytopathic effect. EEEV isolates
obtained from a single round of amplification were used for sequencing.

733

734 In Massachusetts, mosquito surveillance was conducted from mid-May through to mid-October, 735 as previously described (Kinsella et al., 2020; Molaei et al., 2013). Trapping was performed by 736 the Massachusetts Department of Public Health (MDPH) in collaboration with 10 local mosquito 737 control projects (MCP) at semi-variable frequencies visiting non-fixed trap sites spread across all 738 14 counties. Site visitation frequency increased with high volume collections of vector species 739 and narrowed to weekly-biweekly over time in correlation with increased site-specific target 740 mosquito abundance. Targeted sites visitation frequency increased to weekly at minimum when 741 EEEV activity was detected and persisted through the duration of the seasonal surveillance 742 period. Weekly collections were performed at 10 fixed collection sites in Bristol and Plymouth 743 counties known to be historically active EEEV sentinel sites. These site collections increased to 744 twice a week after initial EEEV detection.

745

746 Mosquito collection methods varied depending on MCP, however nearly all successful Cs. 747 melanura collections were performed using primarily CDC-Miniature Light traps with a CO<sub>2</sub> 748 source (either dry ice or regulated tank flow ranging from 250-500cc), gravid traps baited with an 749 infusion of lactalbumin-yeast-hay with oak leaves, or resting boxes placed primarily in locales with 750 both deciduous and evergreen freshwater forested swamps. Light traps and gravid traps were 751 placed in the early morning-late afternoon and retrieved 24 hours later, and resting boxes were visited once weekly. Mosquito trap canisters collected from the field were transported to the 752 753 laboratory in an igloo cooler lined with dry ice, freeze-killed in an ultra-low -80°C freezer, identified 754 by species using a dichotomous key to characterize morphological differences with a 755 stereoscope, and pooled in sample vials of 5-50 female mosquitoes. Sample pools were grouped 756 by species/trap site/date of collection before being submitted to the MDPH Molecular 757 Diagnostics lab for arbovirus testing.

758

759 In Connecticut, mosquito trapping and arbovirus surveillance was conducted from the beginning 760 of June through the end of October at 91 fixed collection sites, distributed among all 8 counties. 761 Trapping locations, where Cs. melanura were likely to be collected or where there was historical 762 record of EEEV activity, were established in sparsely populated rural settings that included 763 permanent fresh-water swamps (red maple/white cedar) and bogs, coastal salt marshes, horse 764 stables, and swamp-forest border environs. Additional trap sites are located in more densely 765 populated urban or suburban locales, including parks, greenways, golf courses, undeveloped 766 wood lots, sewage treatment plants, dumping stations, and temporary wetlands associated with 767 waterways.

Mosquito trapping was conducted with CO<sub>2</sub> (dry ice)-baited CDC miniature light traps equipped with aluminum domes or gravid mosquito traps baited with a lactalbumin-yeast-hay infusion. Traps were placed in the field in the afternoon, operated overnight, and retrieved the following morning. Trapping frequency was minimally made once every ten days at each trap site over the course of the entire season. Mosquito trapping frequency was increased at EEEV-positive sites to twice per week after the virus was isolated from that site. Adult mosquitoes were transported alive to the laboratory each morning in an ice chest lined with cool packs. Mosquitoes were

immobilized with dry ice and transferred to chill tables where they were identified to species with the aid of a stereo microscope (90X) based on morphological characters. Female mosquitoes were pooled in groups of 50 or fewer by species, collection date, trap type, and collection site and stored at -80°C until processed for virus isolation. Processed mosquito pools were inoculated into Vero cell cultures and screened for cytopathic effect (CPE) as previously described (Armstrong et al., 2011). CPE positive virus cultures and the original mosquito pool were then tested for EEEV by TagMan RT-PCR assay (Armstrong et al., 2012).

782 Maximum-likelihood estimation of infection rate, relative abundance, and 783 vector index

The maximum-likelihood estimation (MLE) of the infection rate is a pooled infection rate of positive 784 EEEV pools, and was estimated using the CDC R software package PooledInfRate 785 786 (https://github.com/CDCgov/PooledInfRate). This estimation procedure takes into account that 787 there may be different numbers of positive mosquitoes in each positive pool, and so estimates 788 the likely number of positive mosquitoes in each pool based on the overall number of positive 789 pools. The relative abundance was calculated as the average number of mosquitoes captured 790 per trap per night. Vector index is these two metrics multiplied together (Fauver et al., 2016; 791 Nasci et al., n.d.).

#### 792 RNA isolation and virus sequencing

793 RNA was extracted on the MagMax-96 Express robot (Applied Biosystems, Foster City, CA) with 794 the Magmax Viral isolation kit (ThermoFisher Scientific, Waltham, MA), according to 795 manufacturer's specifications. 50 µL of sample was added to 130 µL of lysis buffer containing 796 20 µL of RNA binding beads diluted 1:1 with wash buffer. RNA was eluted in 90 µL of elution buffer. Primer pairs, ATAGGGTACGGTGTAGAGGCAACC, TGGTCCGGCATCCCCTTTCTTAC, 797 798 CGTTAACGGAGGGGCACTGAAT, GCGTAGATGCCGGTAGATAACAAC, and and 799 AAAGCGCACCTCGTCAAGCATTCT, GCGGTGAGTCTTATCGGGTTTGTC, and 800 CGAAACGGAATTGCAATGTCACTC, CTGATCATAGGCTCGGCTGTCGTA, and 801 CCAAAAGGGGGTTACAGTCAAA, TCGGTGTCGCAGAAGCAGTAGG, and 802 CAAAAGTGCCGTCTCCAGTAGTGA, GAAATATTAAAAACAAAATAAAAACATAAAA, were used 803 to generate 6 overlapping fragments of approximately 2.5 kb each using one-step superscript III 804 RT-PCR with platinum Tag (Life Technologies, Carlsbad, CA). Each reaction utilized 5 µL of RNA, 805 1 µL of enzyme, and a 0.4 µM final concentration of primer pairs in a total reaction volume of 25 806 µL. Thermocycler amplification was completed using the following conditions: 55°C for 30 min; 807 94°C for 2 min; 40 cycles of 94°C for 15 sec, 55°C for 30 sec, 68°C for 3.5 min; and a final 808 extension of 68 °C for 10 min (Simpliamp by Applied Biosystems, Waltham, MA). Two uL of 809 amplicons were visualized on a 1% agarose gel to confirm size and guality, and subsequently 810 purified using Zymo DNA Clean and Concentrate (Zymo Research, Irvine, CA). Amplicons from 811 individual isolates were pooled and sent to the Wadsworth Center Advanced Genomic 812 Technologies Core for library preparation and indexing using the Nextera XT kit (Illumina, San 813 Diego, CA) according to manufacturer's protocols.

814

815 Sequencing was performed on the Illumina MiSeq platform (San Diego, CA). Paired-end reads 816 were assembled to a 2014 Connecticut isolate from *Cs. melanura* (KX029260) deploying

- 817 Geneious Prime's reference mapping tool with high sensitivity and free end gaps using 10
- 818 iterations of fine tuning and trimming paired read overhangs. Mean coverage/base ranged from
- 819 703-2132x. Resultant consensus sequences were used for downstream analyses. We generated
- 820 complete genome consensus sequences for all 80 sequenced isolates.
- 821 Nucleotide alignments and phylogenetic analysis

In total, 80 new samples of EEEV were sequenced from 2015-2019. These were deposited in
Genbank with accession numbers OQ511733-OQ511812. 451 additional whole genome
sequences from prior to this study were downloaded from Genbank. Those not from the US and
without location data were removed, and the remaining combined with the new sequences to
give a dataset of 523 sequences.

827

We aligned the sequences using Mafft version 1.3.7 (Katoh & Standley, 2013), and removed the
non-coding regions at either end of the genome, giving a final multiple sequence alignment with
a length of 11,277 bases. A maximum-likelihood phylogenetic tree was generated using IQ-TREE
2.1.4 (Minh et al., 2020) and temporal signal was assessed in TempEst (Rambaut et al., 2016).
A single molecular clock outlier (ID: AY722102) was removed, as described in (Hill & Baele,
2019).

834

835 We estimated introductions into the Northeast (defined as New York, Connecticut, 836 Massachusetts, New Hampshire, Vermont, Rhode Island and Maine) by conducting a discrete 837 trait phylogeographic analysis (DTA) at the state level in BEAST 1.10 (Suchard et al., 2018), with 838 an asymmetric CTMC model. We also used a non-parametric skygrid coalescent model (Gill et 839 al., 2012) estimated using Hamiltonian Monte Carlo sampling (Baele et al., 2020), an HKY 840 substitution model (Hasegawa et al., 1985), and a strict clock model (Ferreira & Suchard, 2008). 841 We used tip-date sampling for those sequences without exact sampling dates, with a starting 842 date given as 0.6 of the way through the appropriate year (i.e. August) with a standard deviation 843 of three months. We estimate Markov jump histories for the full posterior to obtain estimates of 844 location transitions between states in the DTA, and summarize them using 845 TaxaMarkovJumpHistoryAnalyzer (Lemey et al., 2020). We performed two independent 846 replicates of this analysis, with each chain running for 100 million iterations, removing 10% for 847 burn-in. Convergence and mixing were assessed in Tracer 1.7 (Rambaut et al., 2018).

- An introduction node was considered to be the first node which was inferred to be in one of the Northeastern states and downstream tips were counted as part of the cluster. One introduction left Massachusetts and returned to Florida. As this is unlikely given bird migration patterns, and the confidence in the location of the node was low (52% Massachusetts, 46% Florida), we instead used the child node which was found in Massachusetts, thereby excluding the Florida sequence
- 854 855

from this cluster.

As there are no sequences from Florida (which was estimated to be the backbone of the phylogeny) after 2014, and relatively few before, the DTA will underestimate the number of introductions in total as they will not be broken up by Florida sequences. The date of the introduction node (the first node in the cluster inferred to be in the Northeast) will therefore also be too far in the past, as the lack of Florida sequences in the cluster means that the location of

861 the node is inferred incorrectly. Therefore, we set out to split up some introductions which 862 contained long branches and likely represented unsampled Floridian diversity. We identified all of 863 the clusters which contained tips only from the Northeast with more than three sequences and 864 more than 50% of the sequences sampled in 2014 or earlier (i.e. when the last Floridian sequence 865 was sampled); and calculated their average branch length (1.28 years). We then traversed the 866 tree, and for any branches where both the parent and child node were inferred to be in the 867 Northeast, but were more than twice the standard deviation (1.52 years) above the average 868 branch length (threshold = 4.33 years), we assigned the introduction node as the child of the pair 869 instead of the parent. This therefore moved the introduction node closer to the present, and 870 sometimes excluded Northeastern sequences on the sister branch, making separate 871 introductions. The final number of introductions into the Northeast before this procedure was 42 872 prior to 2014 and 19 after, and 49 and 26 respectively, adding 14 more introductions in total. 873

To test for nucleotide substitutions common to 2019 sequences, we compared the consensus sequences to the reference sequence used in (Yu et al., 2015), which has Genbank accession ID KJ469556.

877 Effective population size using a skygrid model

878 To identify any possible associations between effective population size and case counts, we 879 applied the latter as covariates to the estimation of population size using the skygrid model (Gill 880 et al., 2016). We began by comparing 12 possible covariates (all human and animal cases, just 881 human cases, just horse cases, and all cases in Massachusetts, Connecticut, and New York with 882 0, 1 and 2 year lags) to effective population estimated sizes using a skygrid model with no 883 covariates (Gill et al., 2012). On the basis of this preliminary analysis, we ran formal analyses with 884 all cases, human cases, and horse cases and the relevant lags. We also only used sequence and case data from 2003 onwards (n=423), when the surveillance program began, in order to 885 886 eliminate some noise from the data. BEAST runs were set up as above with tip-date sampling, 887 HKY substitution models and a non-parametric skygrid coalescent model. Grid points were 888 externally set to correspond to the start of each year.

889

Case counts for years between the inferred time of origin of the tree and 2003 were estimated independently using a normal prior, whose mean is the recorded case count. The standard deviation was calculated such that all national cases and horse case covariates were allowed to have  $\pm 5$  cases in the 95% confidence interval; and human covariates were allowed to have  $\pm 1$ cases in the same interval, as the recording of the latter is more precise. This was also undertaken for 2021 data for both analyses with the 2 year lag covariates, as finalized data has not been released by ArboNET at time of writing.

897

Starting values for unobserved years were taken from news reports and other publicly available sources. Most of the researched outbreaks were reported in (Corrin et al., 2021), and data were supplemented by going to each of the references given in that paper. Data for outbreaks in Michigan were supplemented by information in (Stobierski et al., 2022), and Massachusetts data were supplemented using (Feemster, 1938; Grady et al., 1978; Massachusetts Department of Public Health, 2022). Data for all states were supplemented using CDC Morbidity and Mortality Weekly

904 reports in relevant years. Horse cases used are both confirmed and suspected, due to a lack of905 equine testing, especially in the earlier epidemics.

906

#### 907 Human outdoorness measurements

How much time people spent indoors or outdoors was taken from (Susswein et al., 2022), who
used anonymized GPS data from mobile phones. This data was only available for 2018 and 2019.
We took the average indoor/outdoorness for each county which had a case in 2019, or had ever

911 had a case and performed a dependent t test for paired samples to compare the metric between

912 years.

#### 913 Bird abundance

914 Bird banding data to calculate abundance and proportion were obtained from the North 915 American Bird Banding Program dataset (Celis-Murillo et al., 2022). All ages of birds were used. 916 Bird species were selected using data from (Molaei et al., 2016), who calculated the relative 917 feeding preference of Cs. melanura in Connecticut using a blood meal analysis and normalizing 918 it by abundance of the bird species in question. High-preference birds in this analysis were the 8 919 species identified in this paper, and low-preference birds were randomly selected from their list 920 of birds for which Cs. melanura appeared to have no preference. We conducted Poisson 921 regressions for each combination for the abundance or proportion of a bird species in a given 922 year against the human and horse cases or mosquito infection rate (both defined above).

#### 923 Mosquito transmission suitability model - index P

924 Index P is an estimate of the transmission potential by a single female mosquito using Bayesian 925 methods and mosquito-virus specific priors (Table S3), including transmission probability as a 926 function of temperature and relative humidity. Unlike the basic reproduction number,  $R_0$ , index P 927 does not need to be greater than one to cause sustained transmission, as index P is multiplied 928 by the ratio of human to mosquitoes to derive R<sub>0</sub>. We estimated the variable index P using the 929 Mosquito Virus Suitability Estimator (MVSE), an R package to download the functions for 930 modeling the suitability of a given environment for mosquito-borne virus transmission (Obolski et 931 al., 2019). Temperature and humidity data for each state were calculated by taking the average 932 temperature for each day in the center of each county in the state. These county level data were 933 then averaged to obtain a single temperature estimate for the entire state. All weather data were 934 provided by visualcrossing.com. Index P was calculated from April to October, in line with the 935 transmission season for EEEV. All negative temperatures were set to zero in the model to avoid 936 erroneous results, as at zero or below, mosquitoes are in a hibernation state and so there is no 937 mosquito activity that varies by temperature.

938

We used a 1 month lag time from index P to cases to account for delays caused by requiring
transmission into humans and horses, followed by incubation times and time to diagnosis.
Therefore the relevant mosquito activity will be before human and horse cases are reported.

943 While index P is seen as an important covariate in the negative binomial regression model, it is 944 difficult to tease out the seasonality of the mosquito season with cases. Due to the sporadic nature of EEEV in the Northeast, index P is a necessary but not sufficient parameter to consider. 945 946 To test whether temperature could be used as a proxy, an additional model was tested by 947 replacing index P with temperature which resulted in a slightly higher Akaike information criterion 948 (AIC) score (231.4 vs 232.3) and wider confidence interval. Given the widespread use of modeled 949 mosquito viability parameters, we opted to keep index P. However, future research and 950 surveillance programs may wish to utilize temperature, an easily obtained parameter with little 951 uncertainty in its estimate.

### 952 Regression model fit to cases

We restricted the case data (described above) to Connecticut and Massachusetts for the model where we had sufficient mosquito data to calculate the Vector Index. Dispersion was detected with a dispersion parameter alpha = 0.18 (p-value = 0.019) using the dispersion test from the AER package in R (Cameron & Trivedi, 1990). This led us to fit a negative binomial regression model (estimated using ML) to predict human\_equine with vector\_index (formula: human\_equine ~ vector\_index + month\_detected\_july + indexP\_lag1 + st\_grp + year\_index + month\_f).

- 960 Given the vast differences in cases between Massachusetts and Connecticut we attempted to 961 run stratified models of each state separately. However the model failed to converge for 962 Connecticut, likely due to low availability of cases. Thus a model combining both was used. 963 Months were included as categorical variables with the months May through July as reference 964 groups. These months were combined due to May and June having no cases, making parameter 965 estimates fail to converge when separate. The parameter 'first month detected July' was a binary 966 variable determined by identifying the month when the first non-zero value for the vector index 967 occurred for each year. All continuous data were normalized so interpretation of estimates are 968 for a 1 standard deviation increase in the term.
- 969

959

970 Effect modification of vector index by month of detection was explored in addition to the original 971 model without effect modification. AIC scores of the model with effect modification and without 972 were nearly identical (231.4 vs 231.2 respectively) and an ANOVA test was conducted between 973 the two models and found to have no difference (p-value = 0.14). While no difference was 974 identified, this may be due in part to the small sample size of years where the month detected 975 was after July.

976

977 In addition to effect modification, given some of the complexity of index P, we explored the terms 978 temperature 1-month lag and mosquito abundance 1-month lag in replacement of index P. 979 Utilizing the two point rule of thumb for AIC, the abundance model performed worse (231.4 vs 980 233.2) and its estimate was not significant (CI 95%: 0.57-1.62). The temperature performed 981 slightly worse but similar to the index P model (AIC 231.4 vs 232.3) but had a larger standard error (Cl 95%: 1.15-9.31). Given the desire to explore the utility of index P and its higher 982 983 performance we opted to focus on this model. However, for simplicity sake future work may 984 utilize temperature for its ease of use and similar performance (Figure S6 and Table S4).

985

986 Utilizing DHARMa 0.4.6 R (https://CRAN.Rthe version package in 987 project.org/package=DHARMa) , we simulated the residuals 1,000 times to test for 988 heteroskedasticity, zero inflation, and autocorrelation. Upon visual inspection no heteroskedasticity of the residuals was detected. The simulated ratio of expected to actual zeros 989 990 was 1.01 (p-value = 0.776) The Durbin-Watson test for autocorrelation was conducted on a 991 subset of the Massachusetts data to avoid duplicate time indexes. In addition to subsetting the 992 data, index P with and without a 1-month lag was tested per the suggestion of the Durbin-Watson 993 test to avoid lagging covariates. Autocorrelation was borderline but insignificant without the index 994  $P \log (DW = 1.59, p-value = 0.051).$ 

995

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1011

# 1012 Conflicts of interest

1013 The authors declare no conflicts of interest related to this work.

# 1014 Data availability

1015 New sequences were deposited in NCBI Genbank under accession numbers OQ511733-1016 OQ511812. Case data from the literature is available at <u>https://github.com/grubaughlab/eeev-</u> 1017 <u>genomics</u>, along with XMLs for the BEAST analyses. ArboNET case data is available on request 1018 from the CDC <u>https://wwwn.cdc.gov/arbonet/maps/ADB\_Diseases\_Map/index.html</u>. Original 1019 shape files available from gadm.org.

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