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3 **LAUREL: Etrolizumab for maintenance therapy in patients with moderately to severely**
4 **active ulcerative colitis**

5

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12

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28

DRAFT

29 RESEARCH IN CONTEXT

30

31 Evidence before this study

32 We searched PubMed for clinical trials of existing and emerging biological therapies for
33 moderately to severely active ulcerative colitis (UC) using the search terms “ulcerative colitis
34 treatment” and “moderate to severe” published between Jan 1, 2010, and December 14, 2020.
35 The search was limited to positive, phase 1–3 clinical trials and trials were included if they were
36 of therapies, not procedures, and included adult patients with moderately to severely active UC
37 who were outpatients (studies that included patients with severe ulcerative colitis admitted to
38 hospital were excluded). We found that etrolizumab was one of nineteen therapies (including
39 infliximab, adalimumab, golimumab, vedolizumab, tofacitinib, estrasimod, and tralokinumab) that
40 have entered or completed phase 2 and phase 3 clinical trials for the treatment of UC.

41

42 Added value of this study

43 The etrolizumab phase 3 UC study program consisted of several randomised, controlled studies
44 examining the safety and efficacy of etrolizumab, a humanized monoclonal antibody that
45 selectively binds the b7 subunit of the heterodimeric integrins $\alpha 4\beta 7$ and $\alpha E\beta 7$, in patients with
46 moderately to severely active UC. This study reports results from LAUREL, a randomized,
47 placebo-controlled maintenance study of etrolizumab in patients naive to tumor necrosis factor
48 inhibitors (anti-TNFs) with moderately to severely active UC. In these patients, no significant
49 difference between etrolizumab and placebo was observed in the primary endpoint of remission
50 at Week 62 among patients with a clinical response at Week 10; however, a numerical benefit
51 over placebo was observed for the primary and several secondary endpoints. Etrolizumab was
52 well tolerated and no new safety signals were identified.

53

54

55 Implications of all the available evidence

56 Gut-targeted therapies, such as etrolizumab, have the potential to effectively mitigate
57 inflammatory bowel disease symptoms while avoiding the broad-spectrum immunosuppression
58 observed with systemic therapies. By targeting the $\beta 7$ integrin, etrolizumab has the potential to
59 control both trafficking of immune cells into the gut and their inflammatory effects on the gut
60 lining. Etrolizumab is currently being evaluated as an induction and maintenance treatment in
61 patients with moderately to severely active Crohn's disease, with and without prior anti-TNF
62 treatment, in a global phase 3 study (BERGAMOT; NCT02394028) and an open-label extension
63 and safety monitoring study (JUNIPER; NCT02403323).

64

65 ABSTRACT

66 **Background:** In a previous phase 2 induction study, etrolizumab significantly improved clinical
67 remission versus placebo in patients with moderately to severely active ulcerative colitis (UC).

68 **Methods:** LAUREL (NCT02165215) was a multicenter, phase 3, placebo-controlled study
69 evaluating etrolizumab for maintenance of remission in patients with moderately to severely
70 active UC who were naive to tumor necrosis factor inhibitors. During the open-label induction
71 phase, all patients received etrolizumab 105 mg every 4 weeks. Patients achieving clinical
72 response (≥ 3 -point decrease and $\geq 30\%$ reduction in MCS plus ≥ 1 point decrease in RB
73 subscore or absolute RB subscore of 0 or 1) at Week 10 were randomized into the double-blind
74 maintenance phase to receive etrolizumab 105 mg every 4 weeks or matched placebo through
75 Week 62. The primary endpoint was remission (Mayo Clinic total score [MCS] ≤ 2 with individual
76 subscores ≤ 1 and a rectal bleeding [RB] subscore of 0) at Week 62 among patients with a
77 clinical response at Week 10.

78 **Findings:** At Week 62, 32/108 [29.6%] patients in the etrolizumab group and 21/106 [20.6%]
79 patients in the placebo group achieved the primary endpoint ($p=0.19$). Nominally significant
80 improvements were reported for histologic remission (etrolizumab: 42.4%, placebo 21.8%;
81 $p=0.02$), endoscopic remission (etrolizumab: 30.6%, placebo: 16.7%; $p=0.03$), and endoscopic
82 improvement at Week 62 (etrolizumab: 38.0%, placebo: 22.5%; $p=0.01$). No new or unexpected
83 safety signals occurred, and most adverse events were low grade.

84 **Interpretation:** No significant differences were observed between etrolizumab and placebo in
85 the primary endpoint of remission at Week 62 among patients with a clinical response at Week
86 10. Etrolizumab was well tolerated in this patient population.

87 **Funding:** F. Hoffmann–La Roche Ltd.

88 **Trial Registry:** ClinicalTrials.gov, NCT02165215

89 **Keywords:** anti-TNF, etrolizumab, inflammatory bowel disease, laurel, ulcerative colitis

90 INTRODUCTION

91 Ulcerative colitis (UC) is an idiopathic inflammatory bowel disease (IBD), characterized by
92 chronic or recurrent mucosal inflammation of the rectum and colon, that severely limits patient
93 quality of life.¹⁻⁴ Current treatments for moderately to severely active UC include corticosteroids,
94 immunomodulators, and tumor necrosis factor inhibitors (anti-TNFs). Despite these treatment
95 options, a large proportion of patients do not maintain a durable response to therapy.⁵ Targeted
96 therapy with the ability to achieve and maintain sustained remission and prevent long-term
97 complications may provide a valuable therapeutic option for these patients.

98
99 Etrolizumab is a next-generation, gut-targeted anti-integrin biologic therapeutic. Etrolizumab is a
100 dual-action, anti- $\beta 7$ monoclonal antibody that selectively targets $\alpha 4\beta 7$ and $\alpha E\beta 7$ integrins to
101 control both trafficking of immune cells into the gut and their inflammatory effects on the gut
102 lining.^{6,7} In a Phase 2 study, the etrolizumab induction regimen was well tolerated and provided
103 significantly higher rates of clinical remission compared with placebo in patients with moderately
104 to severely active UC.⁸

105
106 The Etrolizumab phase 3 UC study program consists of five studies, including two head-to-head
107 studies, assessing the safety and efficacy of etrolizumab in patients with moderately to severely
108 active UC. This program comprises the largest phase 3 IBD clinical trial program to date. Here,
109 we describe results from LAUREL, a phase 3 study which evaluated the efficacy and safety of
110 etrolizumab for maintenance of remission in patients with moderately to severely active UC
111 naive to anti-TNF therapy.

112

113 METHODS

114 *Study Design*

115 LAUREL consisted of a 10-week open-label induction phase, a double-blind 52-week
116 maintenance phase, and a 12-week safety follow-up phase. An extended safety monitoring
117 period is ongoing in COTTONWOOD, an open-label extension and safety monitoring study of
118 patients with moderately to severely active disease previously enrolled in etrolizumab phase 2/3
119 studies.

120

121 This trial was conducted in accordance with the International Conference on Harmonisation
122 Good Clinical Practice guidelines and the principles of the Declaration of Helsinki. The trial
123 protocols, informed consent forms, and other relevant information were approved by (add IRB
124 name) and the institutional review boards at each investigational site.

125

126 *Participants*

127 Eligible patients were adults between 18 to 80 years of age with a diagnosis of moderately to
128 severely active UC, defined as a Mayo Clinic total score (MCS) of 6–12 with an endoscopic
129 score ≥ 2 , a rectal bleeding (RB) subscore ≥ 1 , and a stool frequency (SF) subscore ≥ 1 . All
130 patients had an established diagnosis of UC ≥ 3 months, corroborated by both clinical and
131 endoscopic evidence. Included patients were naive to treatment with anti-TNFs and had an
132 inadequate response, loss of response, or intolerance to prior immunosuppressant and/or
133 corticosteroid treatment. Patients taking stable doses of oral 5-aminosalicylates, oral
134 corticosteroids (prednisone ≤ 30 mg/day), azathioprine (AZA), 6-mercaptopurine (6-MP), and
135 methotrexate (MTX) were allowed. Written informed consent was obtained from all participants.

136

137 Patients with prior exposure to anti-integrin therapy (including vedolizumab and natalizumab) or
138 anti-adhesion molecule therapy were excluded. Patients with prior extensive colonic resection,
139 subtotal or total colectomy, colostomy or ileostomy or planned surgery for UC were excluded.

140

141 *Randomisation and masking*

142 Patients who achieved clinical response at Week 10 with open-label etrolizumab were
143 randomized into the maintenance phase using a centralized voice/Web-based response system
144 into parallel treatment groups. A permuted blocked randomisation method ensured a 1:1 ratio
145 between groups. Randomisation was stratified by Week 10 remission status, concomitant
146 treatment with corticosteroids (yes vs no) at baseline, concomitant treatment with
147 immunosuppressants (yes vs no), and baseline disease activity (MCS ≤ 9 vs MCS ≥ 10). All
148 patients, study site personnel, and the sponsor and its agents were blinded to treatment
149 assignment throughout the 52-week maintenance period.

150

151 *Procedures*

152 During the open-label induction phase, all patients received subcutaneous (SC) etrolizumab 105
153 mg every 4 weeks for 10 weeks. Between Weeks 10 and 12, eligibility for entry into the blinded
154 maintenance phase was determined based on clinical response. Patients who achieved clinical
155 response at Week 10 were randomised 1:1 to receive etrolizumab SC 105 mg or matching
156 placebo every 4 weeks during the maintenance phase.

157

158 During the induction phase, patients were required to maintain stable doses of their concomitant
159 medications (oral 5-ASA, corticosteroids, immunosuppressants). During the maintenance
160 phase, patients who received corticosteroids underwent mandatory tapering from Week 10.
161 Patients at the US sites discontinued immunosuppressants (i.e., azathioprine, mercaptopurine,
162 methotrexate) at entry to the maintenance phase; all other patients maintained their stable
163 baseline doses, unless reduction or discontinuation was required due to toxicity.

164

165 Patients who did not achieve a clinical response by Week 10, who had clinical relapse during
166 the maintenance phase, or who completed the maintenance phase in full (up to Week 62) were

167 eligible to enroll in the open-label extension (OLE) study. Patients who did not enroll in the OLE
168 entered a 12-week safety follow-up period and were requested to enroll in the safety monitoring
169 study for 92 weeks of extended monitoring for progressive multifocal leukoencephalopathy
170 (PML).

171

172 The serum concentrations of etrolizumab were measured at Weeks 12, 24, 44, and 62 (two
173 weeks after etrolizumab administration). Serum concentrations were also measured at pre-
174 etrolizumab administration time (trough) at Weeks 24 and 44. The validated pharmacokinetic
175 (PK) assay used for measuring etrolizumab concentration was based on the Gyrolab
176 Immunoassay platform, which provides a higher level of matrix tolerance. This immunoassay
177 has a minimum quantifiable concentration of 80 ng/mL etrolizumab. The anti-drug antibody
178 (ADA) assay strategy used a tiered approach in a bridging assay format. Samples were
179 screened and confirmed for ADA presence and then titered.

180

181 *Outcomes*

182 The primary efficacy endpoint was remission (defined as MCS ≤ 2 with individual subscores ≤ 1
183 and RB subscore of 0) at Week 62 among randomized patients with a clinical response (defined
184 as decrease in MCS of ≥ 3 points and 30% reduction from baseline and ≥ 1 point decrease in
185 RB subscore or absolute RB subscore of 0 or 1) at Week 10. Secondary efficacy endpoints
186 evaluated at Week 62 included: clinical remission (defined as MCS ≤ 2 with individual subscores
187 ≤ 1), endoscopic improvement (Mayo endoscopic subscore ≤ 1), endoscopic remission (Mayo
188 endoscopic subscore = 0), histologic remission (Nancy histological index [NHI] ≤ 1), and
189 corticosteroid-free remission in patients receiving corticosteroids at baseline (defined as
190 remission with no corticosteroid use for 24 weeks prior to Week 62). Safety endpoints included
191 the incidence and severity of adverse events (AEs), serious adverse events (SAEs), laboratory

192 abnormalities, and hypersensitivity reactions. Additional endpoints are defined in the full
193 protocol available online ([add link](#)).

194

195 *Statistical analyses*

196 Approximately 350 patients were to be enrolled in the induction phase, under the assumption
197 that 60% of patients would be clinical responders at Week 10 ($n = 210$). It was estimated that a
198 sample size of 105 patients per treatment group was required in the maintenance phase to
199 provide >90% power to detect a 25% absolute difference in remission rates between the
200 etrolizumab and placebo treatment groups using a Chi-squared test at <0.05 , under the
201 assumption of a placebo true remission rate of 30 to 45%.

202

203 All statistical hypotheses for the primary and key secondary endpoints were tested with a
204 multistage gatekeeping procedure to ensure an overall type I error of no greater than 5%, with
205 the primary endpoint tested first at a two-sided significance of $p < 0.05$. Additional details are
206 available in **Supplementary Figure 1** and in the Statistical Analysis Plan available online ([add
207 link](#)).

208

209 Efficacy for the maintenance phase was analyzed using a modified intent-to-treat population,
210 (mITT) defined as all randomized patients who received at least one dose of study drug.

211 Patients with missing data, who were non-evaluable for efficacy at a particular timepoint, who
212 began concomitant medications not permitted with etrolizumab, or who received increased

213 doses of or initiated permitted concomitant medications relative to baseline were considered

214 non-responders. The histology evaluable population included all patients in the mITT population

215 for whom a baseline histology sample was available and showed baseline neutrophilic

216 inflammation ($\text{NHI} \geq 1$). The safety analysis population for the maintenance phase included all

217 patients who received study drug in the maintenance phase. This study is registered at
218 www.ClinicalTrials.gov, number NCT02165215.

219

220 *Role of the funding source*

221 This study was funded by Hoffmann-La Roche (South San Francisco, CA). Hoffmann-La Roche
222 participated in the study design; in the collection, analysis and interpretation of data; in the
223 writing of the report; and in the decision to submit the article for publication.

224

225 **RESULTS**

226 LAUREL was conducted from August 12, 2014 to June 4, 2020 at 111 sites worldwide. A total of
227 359 patients were enrolled in the trial, and 347 (96.7%) completed the induction phase to Week
228 10. Of those who completed the induction phase, 214 (59.6%) were clinical responders and
229 were randomly assigned to receive either placebo (n=106) or etrolizumab (n=108) in the
230 maintenance phase (**Figure 1**). Eighty (74.1%) etrolizumab patients and 42 (39.6%) placebo
231 patients completed Week 62. In both treatment groups, the most common reason for
232 discontinuation of study treatment was lack of efficacy (placebo, 43.4%; etrolizumab, 16.7%).
233 Median treatment duration was 64.4 and 42.1 weeks and the median number of doses was
234 16.0 and 10.5 in the etrolizumab and placebo groups, respectively. Characteristics (efficacy
235 measures, albumin, and weight) of patients who withdrew vs completed the study were matched
236 for both treatment groups (ie, patients receiving placebo did not withdraw for reasons other than
237 loss of efficacy).

238

239 Baseline characteristics were generally balanced across treatment groups (**Table 1**). For the
240 etrolizumab and placebo groups, respectively, the median (range) duration of disease was 5.41
241 (0.6-44.0) and 5.85 (0.3-40.4) years and the median (range) MCS at baseline was 8.0 (5.0-
242 11.0) and 9.0 (6.0-12.0). In both treatment groups, approximately 34% of patients were not

243 receiving either corticosteroids or immunosuppressants at baseline. Characteristics of patients
244 randomised to receive maintenance therapy were balanced between treatment groups with
245 regard to end of induction characteristics (eg, efficacy measures, albumin, histology).

246
247 In the mITT population, 32 (29.6%) patients in the etrolizumab and 21 (20.6%) patients in the
248 placebo group achieved the primary endpoint of remission at Week 62 among responders at
249 Week 10 (treatment difference 9.0%; $p=0.19$; **Figure 2**). As this difference was not statistically
250 significant, the study did not meet its primary endpoint. Because the primary endpoint was not
251 met, p-values of the secondary endpoints are reported as nominal per prespecified hierarchical
252 testing.

253
254 Etrolizumab treatment was associated with nominally significant improvements in the proportion
255 of patients with histologic remission (etrolizumab, 42.4%; placebo, 21.8%; $p=0.02$), endoscopic
256 remission (etrolizumab, 30.6%; placebo, 16.7%; $p=0.03$), and endoscopic improvement at
257 Week 62 (etrolizumab, 38.0%; placebo, 22.5%; $p=0.01$) (**Figure 3**). No significant differences
258 were observed in the endpoints of remission at Week 62 among Week 10 remitters
259 (etrolizumab, 40.0%; placebo, 26.8%; $p=0.31$) or corticosteroid-free remission at Week 62
260 (etrolizumab, 18.2%; placebo, 8.0%; $p=0.14$) (**Figure 3**).

261
262 In patients receiving maintenance therapy with etrolizumab, serum etrolizumab concentrations
263 gradually increased from Week 4 to Week 64 (**Figure 4**). The mean etrolizumab concentration
264 at Week 62 (15.4 $\mu\text{g/mL}$) was >11-fold higher than the target exposure associated with 90% $\beta 7$
265 receptor occupancy (EC90 of 1.3 $\mu\text{g/mL}$).⁹ The mean trough serum concentrations of
266 etrolizumab at Week 24 and Week 44 were 10.0 $\mu\text{g/mL}$, >7-fold higher than the target
267 exposure.

268 The incidence of ADA in the maintenance phase was 32.4% (33/102) in the
269 etrolizumab/placebo group and 32.4% (35/108) in the etrolizumab/etrolizumab group. There was
270 no impact of ADA on pharmacokinetic outcomes. The median concentrations of etrolizumab in
271 ADA-positive patients in the etrolizumab/etrolizumab group were similar to those in ADA-
272 negative patients at Weeks 4, 12, 24, 44, and 62 (**Figure 5**).

273
274 Etrolizumab was generally well tolerated and the majority of adverse events were Grade 1 or 2
275 and considered non-serious by the investigators (**Table 2**). Higher rates of adverse events were
276 reported in the placebo group (etrolizumab: 64.8%, placebo 80.4%): most notably UC
277 (etrolizumab: 14.8%, placebo 36.3%), abdominal pain (etrolizumab: 5.6%, placebo 8.8%),
278 diarrhea (etrolizumab: 3.7%, placebo 8.8%), and pyrexia (etrolizumab: 1.9%, placebo 6.9%).
279 Nasopharyngitis occurred more frequently with etrolizumab (etrolizumab: 10.2%, placebo
280 6.9%).

281
282 Three adverse events of special interest occurred in the maintenance population – all in the
283 placebo group. One suspected case of PML that was deemed unrelated to the study drug, 1
284 case of anaphylaxis in a patient that had general body itching, seasonal and drug allergies, and
285 1 case of elevated cryptogenic hepatitis considered unrelated to the study drug. The rates and
286 nature of serious adverse events were otherwise comparable (**Table 2**). No deaths were
287 reported in either treatment group.

288

289 **DISCUSSION**

290 Moderately to severely active UC remains an area of high unmet need. Targeted therapy with
291 an improved safety profile and the ability to achieve remission and prevent long-term
292 complications would provide a valuable therapeutic option for UC patients and was the rationale
293 for the development of anti-integrin treatments.⁴ Etrolizumab can be distinguished from anti-TNF

294 biologics and integrin receptor antagonists such as natalizumab and vedolizumab by virtue of
295 selectively targeting $\beta 7$ integrin. It not only targets the gut-specific $\alpha 4\beta 7$:MAdCAM-1 interaction
296 that plays a pivotal role in migration of lymphocytes into the gut, but also the $\alpha E\beta 7$:E-cadherin
297 interaction which mediates the retention of pro-inflammatory lymphocytes within the gut
298 mucosa.¹⁰

299
300 The etrolizumab phase 3 UC study program was highly ambitious, enrolled over 2,000 patients
301 worldwide, and included two head-to-head studies. In the current study, the efficacy of
302 etrolizumab as maintenance therapy was investigated in patients with moderately to severely
303 active UC who were naïve to anti-TNFs. Although LAUREL did not meet its primary endpoint, a
304 numerically higher proportion of etrolizumab recipients achieved remission on etrolizumab
305 compared with placebo (29.6% vs 20.6%). In addition, while the pre-specified hierarchal study
306 design precluded any formal statistical testing of secondary endpoints, nominally significant
307 benefits were achieved across several key secondary endpoints including endoscopic
308 improvement, endoscopic remission, and histologic remission. Of particular note, among
309 patients on corticosteroids at baseline, a two-fold increase in corticosteroid-free remission was
310 observed following treatment with etrolizumab. Maintenance therapy with etrolizumab over a
311 period of 62 weeks was well tolerated in this population, and the rates of serious adverse events
312 and infections were similar between the two treatment groups. No new or unexpected safety
313 signals occurred and most adverse events were low grade.

314
315 Several factors may have influenced the results of this study. First, the sample size of 105
316 patients per treatment group was designed to provide >90% power to detect a 25% absolute
317 difference in remission rates at Week 62 between treatment groups at the 5% significance level.
318 The observed difference in remission rates (9%) was much lower than expected, although

319 numerically in favor of etrolizumab. It is possible that larger sample sizes may have revealed a
320 statistically significant benefit of etrolizumab in this population.

321
322 Secondly, the 105 mg dose of etrolizumab was chosen for this study based on results from the
323 phase 2 study EUCALYPTUS.⁸ In that study, etrolizumab 105 mg once every 4 weeks was
324 sufficient to maintain $\beta 7$ receptor occupancy in both blood and colonic tissue during the entire
325 dosing interval. Further, no apparent difference in exposure-response relationship was observed
326 between the 105 mg and 300 mg doses.⁸ Although further analysis is needed, initial
327 examination of the exposure-response relationship in the phase 3 etrolizumab studies suggests
328 that higher etrolizumab exposure in the early treatment phase is likely associated with improved
329 clinical outcomes. Although full $\beta 7$ receptor occupancy was achieved for most patients, the
330 findings of this and other studies suggest that increasing the dose beyond full receptor
331 occupancy in the peripheral circulation may provide additional benefit in this class of therapies.¹¹

332
333 Thirdly, the ADA incidence rate observed in this study (32.4% for both treatment groups) is
334 unexpectedly higher than observed during phase 1 and phase 2 studies of etrolizumab
335 ($\approx 5\%$).^{8,12} This may be attributed to a number of factors, including the shorter duration of
336 previous studies of up to 10 weeks and the fact that many patients were treated with higher
337 etrolizumab exposure levels in early stage trials compared to the dose in the current study.
338 Nevertheless, a robust evaluation of the potential impact of ADA response on etrolizumab
339 exposure levels showed minimal impact both by between-patient and within-patient assessment
340 (data not shown).

341
342 Of note, 8 patients in the etrolizumab group were missing data for primary endpoint analysis;
343 however, it is unlikely that this is responsible for the failure of etrolizumab to meet the primary

344 endpoint. In addition, as most patients in both groups were off corticosteroids at Week 62, it is
345 unlikely that an imbalance in corticosteroid use explains this result.

346
347 While the LAUREL study did not reach its primary endpoint, further analyses of these data are
348 expected to provide deeper insights into the characteristics of patients most likely to benefit from
349 this class of biologics and also on the correlation of clinical, endoscopic and patient-reported
350 outcomes. The data from the etrolizumab global clinical trial program in UC (~2,000 patients
351 overall) and ongoing open label extension program (COTTONWOOD) will serve to further
352 elucidate some of the key questions on patient selection and the correlation between early and
353 longer-term outcomes in this challenging-to-treat patient population. Etrolizumab is currently
354 being evaluated as an induction and maintenance treatment in patients with moderately to
355 severely active Crohn's disease with and without prior anti-TNF treatment in a global phase 3
356 study (BERGAMOT; NCT02394028) and open-label extension and safety monitoring study
357 (JUNIPER; NCT02403323).

358

359 **Author Contributions**

360 TBD

361

362 **Ethics Committee Approval Statement**

363 TBD

364

365 **Declaration of Interests**

366 **SV** reports having received grants from AbbVie, J&J, Pfizer, and Takeda; and has received consulting
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385

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395 2020; and the Congress of the European Crohn's and Colitis Organization, 2021.

396

397 **DATA SHARING STATEMENT**

398 Qualified researchers may request access to individual patient level data through the clinical
399 study data request platform (<https://vivli.org/>). Further details on Roche's criteria for eligible
400 studies are available here (<https://vivli.org/members/ourmembers/>). For further details on
401 Roche's Global Policy on the Sharing of Clinical Information and how to request access to
402 related clinical study documents, see here
403 ([https://www.roche.com/research_and_development/who_we_are_how_we_work/clinical_trials/
404 our_commitment_to_data_sharing.htm](https://www.roche.com/research_and_development/who_we_are_how_we_work/clinical_trials/our_commitment_to_data_sharing.htm)).

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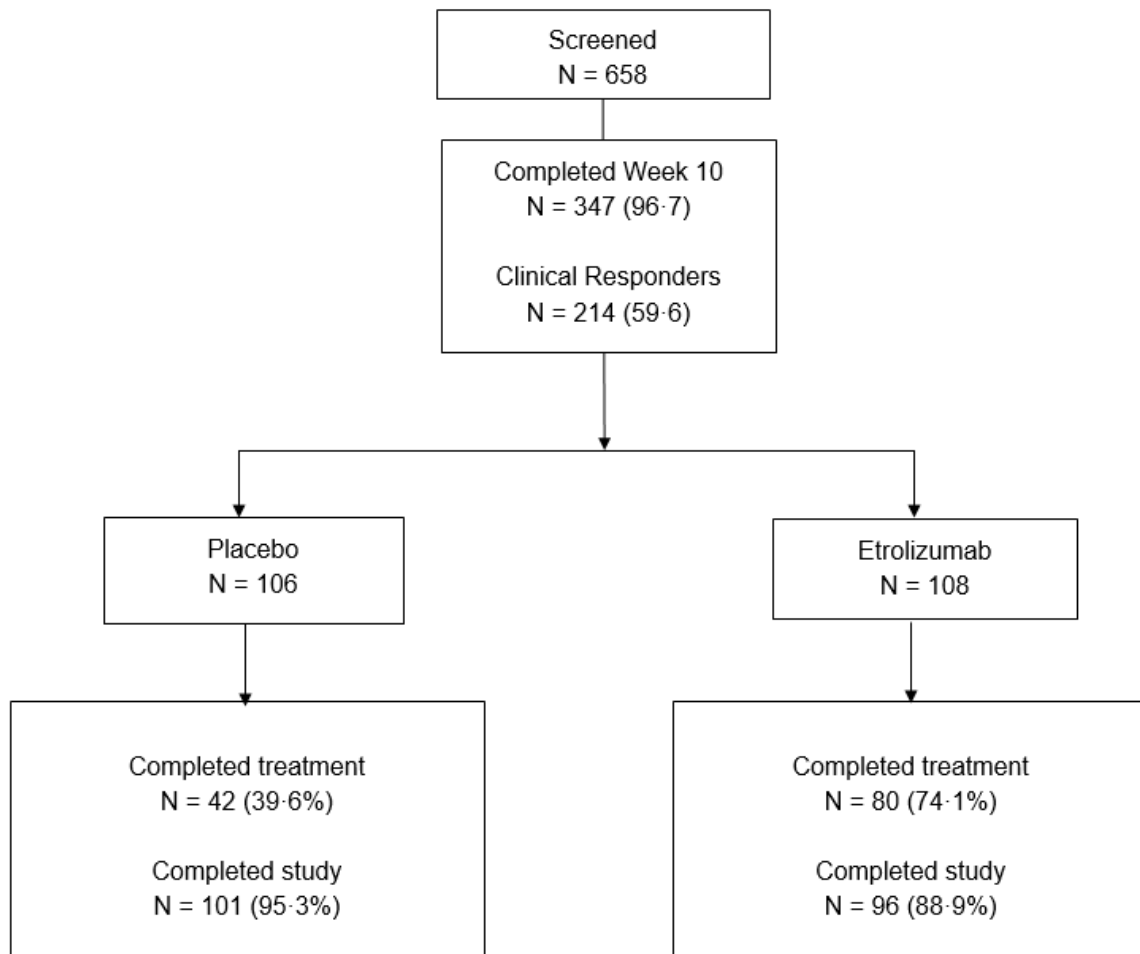
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442 **FIGURES**

443 **Figure 1.** Patient disposition. Patients who completed treatment are those who received all
444 doses of study treatment specified by the protocol. Patients who completed the study are all
445 patients who either rolled into the open-label extension or completed 12 weeks of safety follow-
446 up, following treatment completion or treatment discontinuation.

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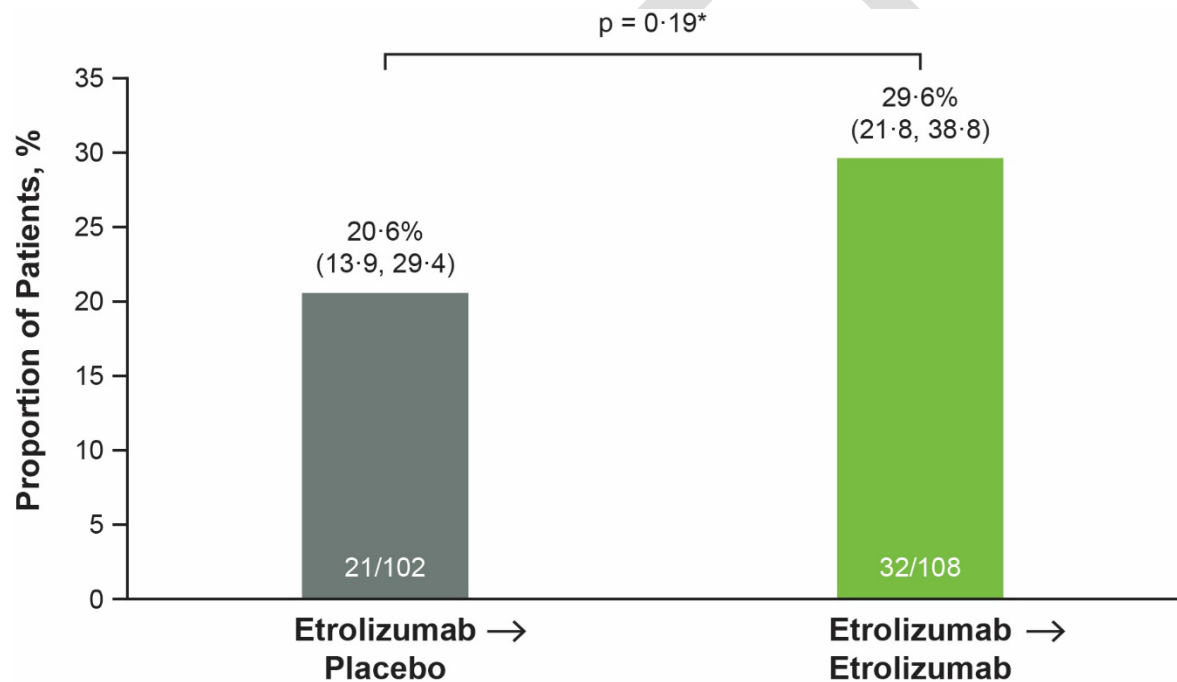
452 **Figure 2.** Patients achieving remission at Week 62 among clinical responders at Week 10. 95%

453 CIs were constructed using the Wilson method. *p-value constructed using the Cochran-

454 Mantel-Haenszel method adjusting for stratification factors. Remission: MCS ≤ 2 , with individual455 subscores ≤ 1 and RB subscore of 0. Clinical response: MCS with ≥ 3 -point decrease and 30%456 reduction from baseline as well as ≥ 1 -point decrease in RB subscore or an absolute RB score of

457 0 or 1. MCS, Mayo Clinic total score; RB, rectal bleeding.

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461 **Figure 3.** Patients achieving secondary endpoints at Week 62. 95% CIs were constructed using
 462 the Wilson method. *Nominal p-values are based on analysis adjusting for stratification factors.

463 †In patients receiving baseline CS, remission with no CS use for 24 weeks before Week 62.

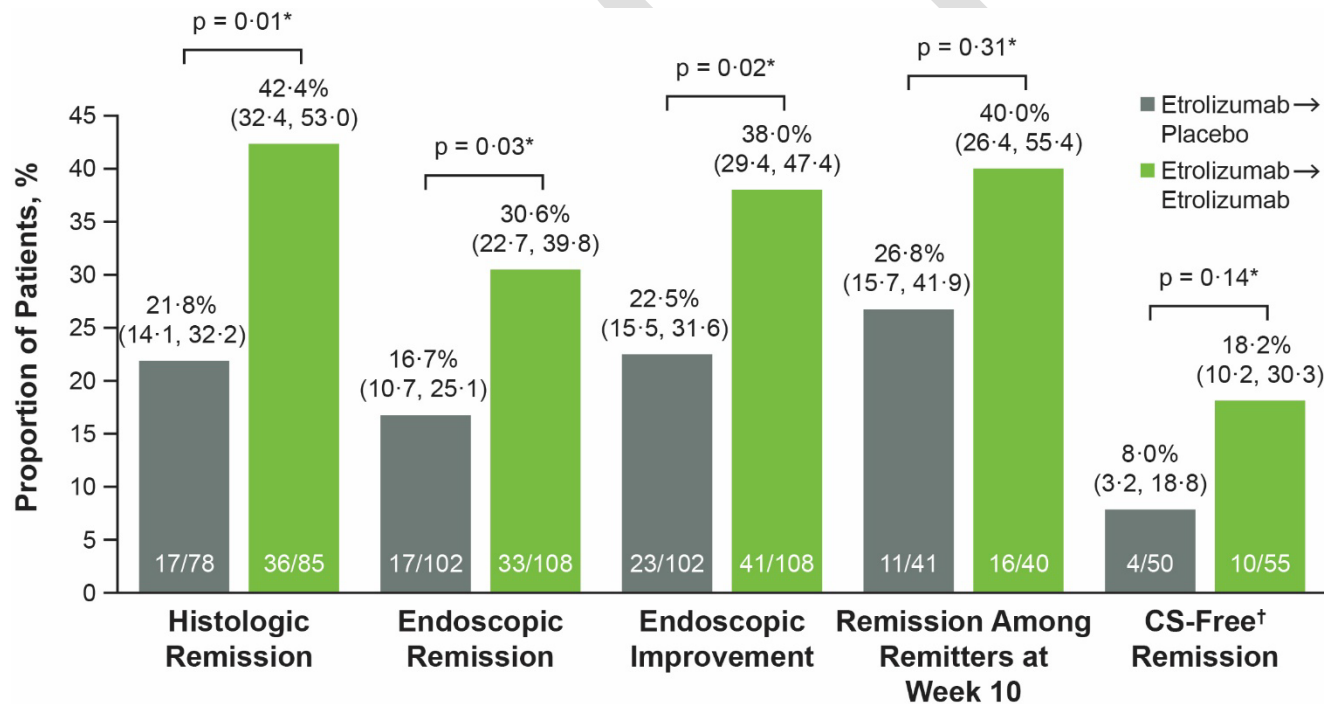
464 Histologic remission: NHI ≤ 1. Endoscopic remission: Mayo endoscopic subscore = 0.

465 Endoscopic improvement: Mayo endoscopic subscore ≤ 1; Remission: MCS ≤ 2, with individual

466 subscores ≤1 and RB subscore of 0. NHI ≤1. CS, corticosteroids; NHI, Nancy Histologic Index;

467 MCS, Mayo Clinic total score; RB, rectal bleeding.

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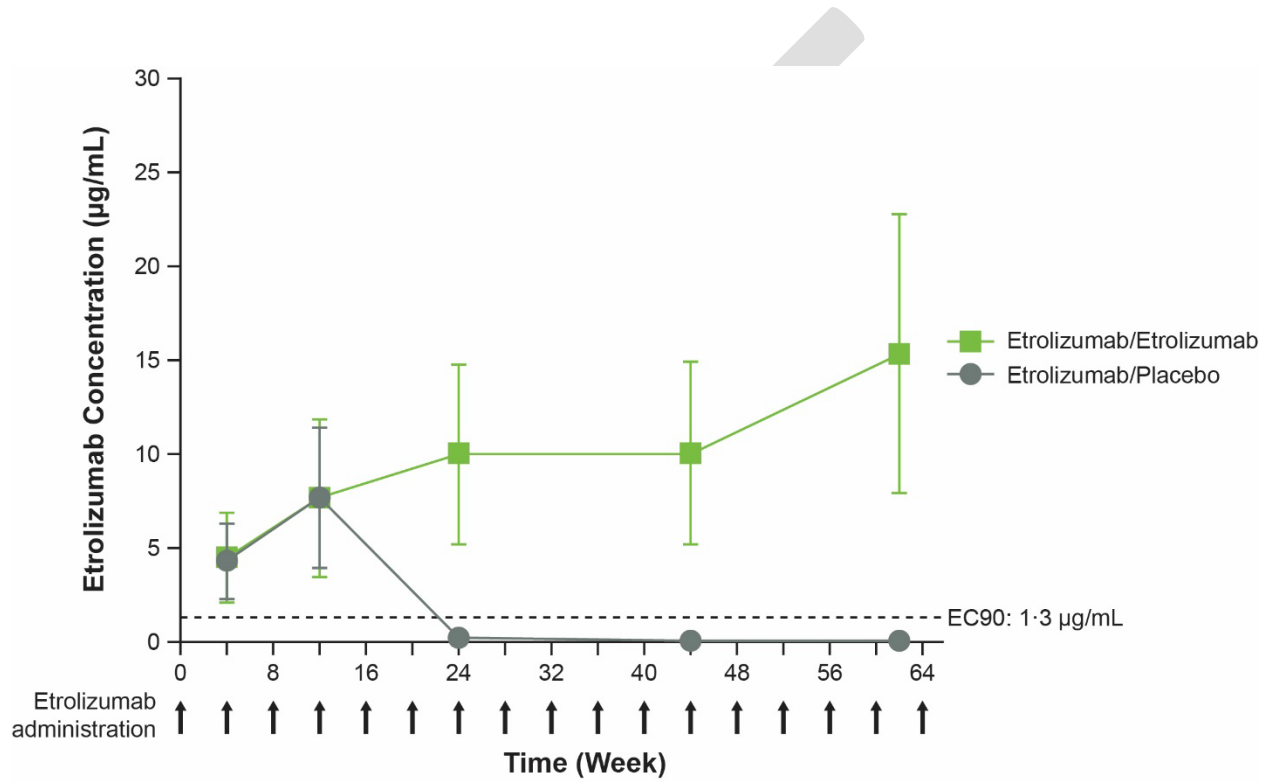
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472 **Figure 4.** Group mean serum etrolizumab concentrations over time. Data shown are mean and
 473 standard deviation (error bar) from data of available patients at each timepoint. Horizontal
 474 dashed line indicates EC90 concentrations associated with 90% of $\beta 7$ receptor occupancy.
 475 Arrows indicate times of etrolizumab administration.

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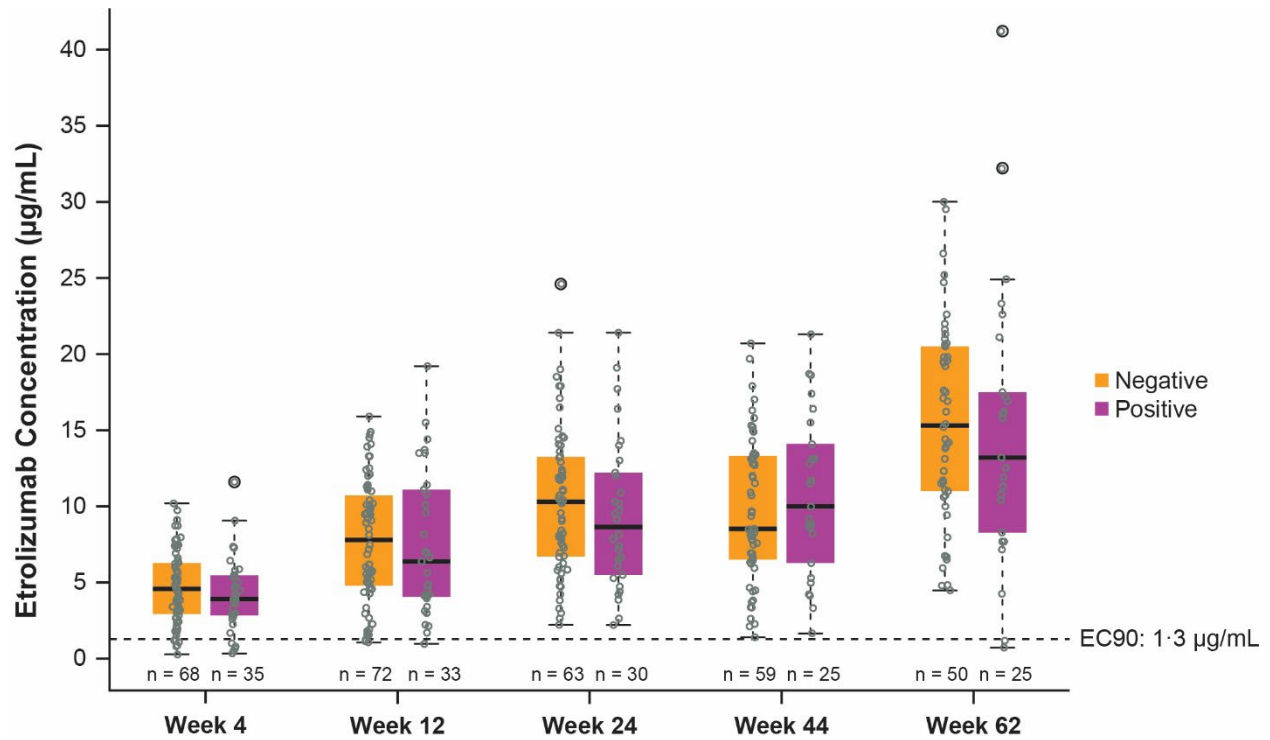
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479 **Figure 5.** Etralizumab concentration by ADA response (positive vs negative) over time.

480 Horizontal dashed line indicates EC90 concentration associated with 90% of $\beta 7$ receptor

481 occupancy. ADA, anti-drug antibody.

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494 **TABLES**495 **Table 1.** Patient demographics and baseline characteristics

	Etrolizumab 105 mg/ Placebo (N=106)	Etrolizumab 105 mg/ Etrolizumab 105 mg (N=108)
Age, median (range), years	37·5 (18–69)	36·0 (18–77)
Male, n (%)	52 (49·1%)	60 (55·6%)
BMI, median (range), kg/m ²	24·9 (15–46)	23·8 (13–80)
Duration of disease, median (range), years	5·85 (0·3–40·4)	5·41 (0·6–44·0)
Mayo Clinic total score, median (range)	9·00 (6·0–12·0)	8·00 (5·0–11·0)
Nancy Histological Index, median (range)	3·00 (0·0–4·0)	3·00 (0·0–4·0)
Fecal calprotectin, median (Q1-Q3), µg/g	1517 (552–2865)	814 (347–1553)
C-reactive protein, median (Q1-Q3), mg/L	3·92 (1·39–9·34)	2·57 (0·95–8·17)
Disease location, n (%)		
Left-Sided Colitis	65 (61·3)	62 (57·4)
Extensive Colitis	12 (11·3)	14 (13·0)
Pancolitis	29 (27·4)	32 (29·6)
Baseline treatment, n (%)		
5-ASA use	80 (75·5)	89 (82·4)
No CS or IS	37 (34·9)	37 (34·3)
CS alone	40 (37·7)	44 (40·7)
IS alone	16 (15·1)	16 (14·8)
CS and IS	13 (12·3)	11 (10·2)

496 5-ASA, 5-aminosalicylate; BMI, body mass index; CS, corticosteroids; IS, immunosuppressants;

497 Q1, quarter 1; Q3, quarter 3.

498

499 **Table 2.** Adverse events (safety population)

AE, n (%)*	Etrolizumab/Placebo N=102	Etrolizumab/Etrolizumab N=108
Any AE	82 (80·4)	70 (64·8)
Any SAE	8 (7·8)	10 (9·3)
≥1 AE leading to treatment discontinuation	9 (8·8)	5 (4·6)
Infections	34 (33·3)	37 (34·3)
Serious infections	2 (2·0)	2 (1·9)
Deaths	0	0
PML	0	0
AEs occurring in ≥ 5% of any treatment group		
Ulcerative colitis	37 (36·3)	16 (14·8)
Arthralgia	12 (11·8)	10 (9·3)
Abdominal pain	9 (8·8)	6 (5·6)
Diarrhea	9 (8·8)	4 (3·7)
Upper respiratory tract infection	8 (7·8)	3 (2·8)
Nasopharyngitis	7 (6·9)	11 (10·2)
Pyrexia	7 (6·9)	2 (1·9)
Headache	5 (4·9)	7 (6·5)
Fatigue	4 (3·9)	6 (5·6)
SAEs occurring in ≥ 1% of any treatment group		
Ulcerative colitis	2 (2·0)	2 (1·9)
Hepatitis	2 (2·0)	0

Rectal abscess	1 (1·0)	1 (0·9)
Anal fistula	1 (1·0)	0
Diarrhoea haemorrhagic	1 (1·0)	0
Upper respiratory tract infection	1 (1·0)	0
Systemic inflammatory response syndrome	1 (1·0)	0
Pulmonary embolism	1 (1·0)	0
Deep vein thrombosis	1 (1·0)	0

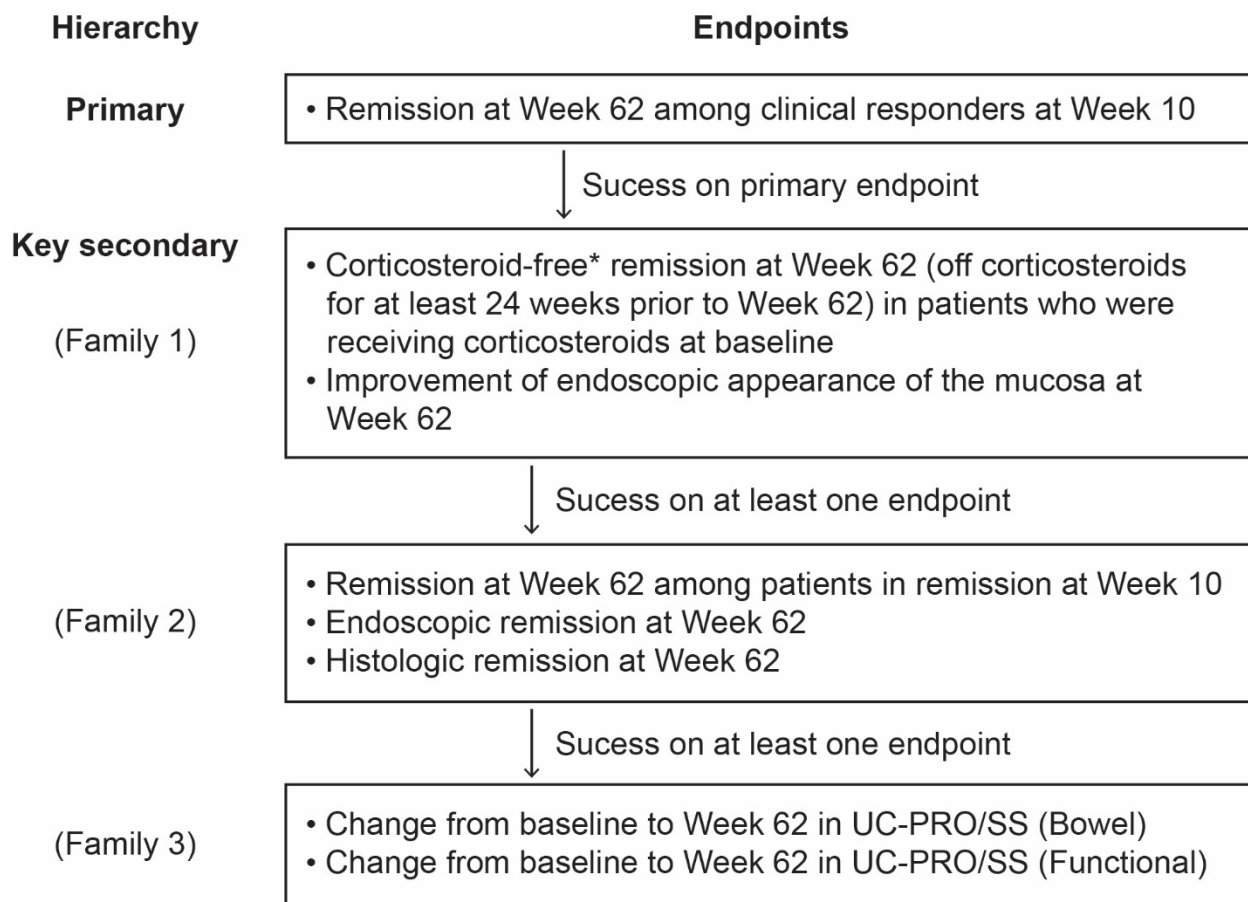
500 **n* represents individual patients, not individual events.

501 AE, adverse event; SAE, serious adverse event; PML, progressive multifocal
502 leukoencephalopathy; URTI, upper respiratory tract infection.

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505 **Supplementary Figure 1.** Multiple testing procedure for endpoints. Multiplicity control via
 506 multistage gatekeeping. Ordering of endpoints within a family were based on the p value results
 507 from the hypotheses tests of the endpoints listed here. *Off corticosteroids for ≥ 24 weeks prior
 508 to Week 62. UC-PRO/SS, Ulcerative Colitis Patient-Reported Outcome Signs and Symptoms.
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