Plasma biomarkers and genetics in the diagnosis and prediction of Alzheimer's disease

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Abstract

- 2 Plasma biomarkers for Alzheimer's disease-related pathologies have undergone rapid developments
- 3 during the past few years, and there are now well-validated blood tests for amyloid and tau pathology,
- 4 as well as neurodegeneration and astrocytic activation. To define Alzheimer's disease with biomarkers
- 5 rather than clinical assessment, we assessed prediction of research-diagnosed disease status using these
- 6 biomarkers and tested genetic variants associated with the biomarkers that may reflect more accurately
- 7 the risk of biochemically defined Alzheimer's disease instead of the risk of dementia.
- 8 In a cohort of Alzheimer's disease cases (N=1439, mean age 68 years [SD=8.2]) and screened controls
- 9 (N=508, mean age 82 years [SD=6.8]), we measured plasma concentrations of the 40 and 42 amino acid-
- 10 long amyloid β fragments (Aβ40 and Aβ42, respectively), tau phosphorylated at amino acid 181 (P-
- 11 tau181), neurofilament light (NfL), and glial fibrillary acidic protein (GFAP) using state-of-the-art Single
- 12 molecule array (Simoa) technology. We tested the relationships between the biomarkers and
- 13 Alzheimer's disease genetic risk, age at onset, and disease duration. We also conducted a genome-wide
- association study for association of disease risk genes with these biomarkers.
- 15 The prediction accuracy of Alzheimer's disease clinical diagnosis by the combination of all biomarkers,
- 16 APOE and polygenic risk score reached AUC=0.81, with the most significant contributors being ε4, Aβ40
- or Aβ42, GFAP and NfL. All biomarkers were significantly associated with age in cases and controls
- 18 (p<4.3x10⁻⁵). Concentrations of the Aβ-related biomarkers in plasma were significantly lower in cases
- 19 compared with controls, whereas other biomarker levels were significantly higher in cases.
- In the case-control genome-wide analyses, APOE- ϵ 4 was associated with all biomarkers (p=0.011-
- 21 4.78x10⁻⁸), except NfL. No novel genome-wide significant SNPs were found in the case-control design;
- 22 however, in a case-only analysis, we found two independent genome-wide significant associations
- 23 between the Aβ42/Aβ40 ratio and *WWOX* and *COPG2* genes.
- 24 Disease prediction modelling by the combination of all biomarkers indicates that the variance attributed
- 25 to P-tau181 is mostly captured by APOE-ε4, whereas Aβ40, Aβ42, GFAP and NfL biomarkers explain
- additional variation over and above APOE. We identified novel plausible genome wide-significant genes
- 27 associated with Aβ42/Aβ40 ratio in a sample which is fifty times smaller than current genome-wide
- 28 association studies in Alzheimer's disease.
- 29 **Keywords**: Plasma biomarkers; genome-wide association study; Alzheimer's disease
- 30 **Abbreviations:** Aβ = Amyloid beta; GFAP = glial fibrillary acidic protein; GWAS = genome wide
- association study; HWE = Hardy-Weinberg equilibrium; LD = linkage disequilibrium; MAF = minor allele
- 32 frequency; MCI = mild cognitive impairment; MMSE = Mini Mental State Examination; NfL =
- 33 neurofilament light chain; PC = principal component; PRS = polygenic risk score; P-tau = phosphorylated
- tau; SNP = single nucleotide polymorphism

1 Introduction

- 2 Alzheimer's disease is one of the greatest health challenges, affecting tens of millions of people
- 3 worldwide. The clinical diagnosis of this disease is, however, often inaccurate; around 25% of people
- 4 with clinical Alzheimer's disease do not have underlying pathology at autopsy, and many people who
- 5 have not yet developed Alzheimer's disease-type dementia have incipient pathology, the prevalence of
- 6 which increases with age¹. Detecting Alzheimer's disease at the earliest possible stage remains essential
- 7 to combating its effects and to further our understanding of this devastating illness. By diagnosing early,
- 8 we can better understand how the disease progresses, plan and implement treatments earlier, and
- 9 monitor response to drugs currently being trialled.
- 10 Aβ and tau pathology are the defining pathological features of Alzheimer's disease². For many years, it
- 11 has been possible to detect Alzheimer's disease pathology (amyloid aggregation, tau tangles and
- 12 neurodegeneration) using imaging and cerebrospinal fluid (CSF) biomarkers. Although CSF and PET
- biomarkers of amyloid β and tau are highly accurate for detecting disease pathology³, the costs, invasive
- 14 nature, and low availability of the tools needed to detect these biomarkers hamper their feasibility for
- use in clinical diagnostic practice and for screening in clinical trials.
- Assays for plasma A β fragments (ratio of amyloid β_{1-42} (A β 42) to amyloid β_{1-40} (A β 40)) reflect brain
- amyloidosis^{4–7}; however, these assays have limitations, including the impact of substantial peripheral
- amyloid β production⁸. By contrast, CSF and plasma tau phosphorylated at threonine 181 (P-tau181) is a
- 19 highly specific pathological marker of Alzheimer's disease that remains normal in other dementias^{9,10}.
- 20 GFAP and NfL are putative non-amyloid plasma-based biomarkers indicative of ongoing
- 21 neuroinflammatory and neurodegenerative disease processes. Increased GFAP suggests abnormal
- activation and proliferation of astrocytes, for instance secondary to neuronal damage. It has been
- 23 shown that GFAP levels in plasma and CSF are higher in Alzheimer's disease and correlate with cognitive
- impairment^{11–13}. Plasma NfL is a marker of neuronal injury, increased in Alzheimer's disease¹⁴, but this
- 25 biomarker has low specificity, because increases are also reported in several other neurodegenerative
- disorders ^{13,15,16}. Thus, while NfL has potential as a monitoring biomarker, GFAP might be a valuable
- 27 prognostic biomarker, predicting incident dementia¹³. Recent reports show that plasma P-tau181
- 28 concentration starts to increase around 15 years prior to clinical disease onset in familial Alzheimer's
- 29 disease 17, and that plasma P-tau181 predicts disease neuropathology at least eight years prior to
- 30 autopsy in sporadic disease¹⁰.
- 31 Early disease prediction can be helped with genetic data as an individual's genetic makeup does not
- 32 change over time and genetic data are precise and inexpensive to measure, however, the prediction
- accuracy by genetics is limited¹⁸. Biomarkers, in contrast to genetics, can only indicate the presence of
- 34 Alzheimer's disease pathology after the disease has already been triggered, i.e., a biomarker change
- 35 marks the onset of a pathological process. Nevertheless, the prediction accuracy of, e.g., P-tau181 and
- P-tau217 for discriminating Alzheimer's disease from other neurodegenerative diseases^{19–21}, when
- 37 combined with APOE genotype, memory and executive function phenotypes, was reported to reach

- 1 AUC>90% in predicting the progression from mild cognitive impairment (MCI) to Alzheimer's disease in
- 2 two relatively small samples of participants (N=340 and 543)²².
- 3 Identifying genetic loci associated with biomarkers could aid understanding of the specific
- 4 pathophysiological components <u>underpinning</u> these biomarkers. Genome-wide association studies
- 5 (GWAS) of CSF biomarkers in AD case/control samples have found loci in genes GEMC1 and OSTN²³ as
- 6 well as more commonly reported loci such as the TREM cluster, APOE, APOC, and TOMM40²⁴. However,
- 7 these have also only focussed on small sets of biomarkers, typically P-tau181 and Aβ42. GWAS of blood
- 8 plasma P-tau181 and NfL levels^{25,26} have identified only loci within the APOE genomic region, and only
- 9 for P-tau181. Investigation of the relationship between Alzheimer's disease PRS and plasma P-tau181²⁷
- has revealed highly significant associations with PRS containing the APOE region ($p = 3x10^{-18} 7x10^{-15}$),
- and moderate association when APOE was excluded. GWAS studies for plasma Aβ40, Aβ42, and
- 12 Aβ42/40 ratio in non-demented participants from population-based studies have identified GWAS
- 13 significant variants in APOE and BACE1 genes, and APP, PSEN2, CCK, and ZNF397 genes in gene-based
- 14 analysis²⁸.

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- 15 The aims of this study are 1) to test the prediction ability of the biomarkers for clinical AD diagnosis in
- our cohort (over and above commonly used predictors such as APOE, age and AD PRS), and 2) to identify
- 17 genetic loci associated with these plasma biomarkers. The latter may shed light on which SNPs
- 18 associated with clinical Alzheimer's disease are also associated with plasma biomarkers. This could help
- 19 to further refine the relevance of the AD GWAS genes to different biological processes, which the
- 20 biomarkers represent. To that end, we measured plasma biomarkers in a sample of 1,439 early and late
- 21 onset Alzheimer's disease cases (mean age 68 years [SD=8.0]) and 508 elderly screened controls (mean
- age 82 years [SD=6.7]). We used ultrasensitive Single molecule array (Simoa) assays to measure P-
- 23 tau181, NfL, GFAP, Aβ40, Aβ42, and calculated the ratio of Aβ42/40. We then tested these biomarkers
- for association with the clinical diagnosis of AD and, in case samples, the relationship of the biomarkers
- 25 with age at sample collection, age at onset and disease duration. To identify genetic loci associated with
- 26 these biomarkers, we undertook a GWAS for P-tau181, NfL, Aβ40, Aβ42, ratio of Aβ42/40 and GFAP
- 27 biomarkers in the largest case-control sample set to date.

Materials and methods

Alzheimer's Disease Cardiff Cohort

- 30 The Alzheimer's Disease Cardiff Cohort (ADCC) was collected between 2004 and 2020 using MRC,
- 31 Moondance Foundation, and Health and Care Research Wales (HCRW) funding. The cohort collection
- 32 used a standardised clinical and comprehensive neuropsychological assessment (validated by Holmes et
- 33 al.²⁹), see more details in Supplementary Section 1. AD diagnosis was not supported by any biochemical
- or imaging measures (e.g., CSF or PET) due to the funds allocated to the study collecting the data.
- 35 We used plasma samples collected from 1,439 early and late onset sporadic Alzheimer's disease cases
- and 508 screened elderly controls. Information on age at assessment, sex, APOE genotype and genome-
- 37 wide array genotyping was available for all 1947 samples. Within cases, information was also available

- 1 for N=1319 individuals on age at onset, and duration of disease was calculated for these samples. Details
- 2 of the sample demographics are in Table 1.

Biomarkers

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- 4 Biomarkers were tested for 1986 individual plasma samples from the ADCC. P-tau181
- 5 concentration was measured using the Simoa P-tau181 Advantage Kit, whilst Aβ40, Aβ42, NfL
- and GFAP concentrations were measured using the Simoa Human Neurology 4-Plex E (N4PE)
- 7 assay (Quanterix, Billerica, MA). The measurements were performed in one round of
- 8 experiments using one batch of reagents with the analysts blinded to diagnosis and clinical data.
- 9 All measurements for all 5 analytes were above the limit of detection of the assays. Intra-assay
- 10 coefficients of variation were below 10%. These data were then matched to phenotype
- information. Thirty-nine samples were removed at this stage based on missing/mismatching data
- for age and gender or due to ID duplication, leaving 1947 individuals for further analysis.
- 13 Samples were excluded for each biomarker analysis on a case-by-case basis, based on outlier
- thresholds calculated using Median Absolute Deviation (MAD)³⁰. This method is more robust to
- remote outliers than the mean and SD method, and copes better with skewed data due to its
- reliance on non-parametric measures of central tendency and variation. Pearson's correlations
- between biomarkers were calculated for the 1735 samples which had no outlier measurements
- for any biomarker. Details of biomarker distributions are in Table 1.

19 Genetics

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- 20 Individuals for this analysis were included if both genetic and biomarker information were available,
- 21 totalling 1,947 individuals in the final dataset. All individuals had information available on APOE
- 22 genotype ($\epsilon 2 \epsilon 2 = 8$, $\epsilon 2 \epsilon 3 = 145$, $\epsilon 2 \epsilon 4 = 33$, $\epsilon 3 \epsilon 3 = 844$, $\epsilon 3 \epsilon 4 = 620$, $\epsilon 4 \epsilon 4 = 239$. Quality control (QC) of
- 23 the genetic data was performed for cases and controls together, the QC steps used are reported
- elsewhere ^{31,32} and in Supplementary Section 2. Genotyped data were aligned to human genome
- assembly GRCh37/hg19 and imputed via Michigan Imputation server using Minimac3³³ with the
- 26 Haplotype Reference Consortium (HRC)³⁴ reference panel. Post-imputation QC used thresholds of
- 27 MAF<5%, poor accuracy of imputation (INFO)<0.8, MISS>5%, and HWE $p \le 10^{-6}$. This resulted in a final
- dataset containing 4,618,496 variants.

Statistical analysis

- 30 The association of biomarkers with age at onset and disease duration in cases, and with age at interview
- 31 in cases and in controls (separately), was tested with linear regression where the biomarker was the

- 1 outcome variable, controlling for sex. For all following analyses the biomarkers were adjusted for age
- 2 and standardised to have a mean of zero and standard deviation of one. The correlations between the
- 3 biomarkers were assessed with Pearson's correlation.
- 4 The association of Alzheimer's disease case/control status by the biomarkers was tested using logistic
- 5 regression, accounting for sex, APOE and PRS without the APOE region (chromosome 19:44.4-46.5Mb)
- 6 using the glm() function in R. The most parsimonious model was derived with the backwards stepwise
- 7 approach (step() function in R). The prediction accuracy was assessed by means of the area under the
- 8 receiver operation curve (AUC), using auc() function in R.
- 9 The APOE region was represented by the number of ε2 and ε4 alleles which we used as two predictor
- 10 variables. The PRS without APOE region (PRSnoAPOE) was used to account for the remaining genetic
- effect. For the PRS calculation we used the summary statistics from the largest clinically assessed late-
- onset case-control GWAS study on Alzheimer's disease available at the time of analysis (N=63,926)³⁵.
- PRS were generated with the PLINK genetic data analysis toolset ³⁶ for p-value threshold $p \le 0.1$ on LD-
- clumped SNPs by retaining the SNP with the smallest p-value excluding variants with $r^2 > 0.1$ in a 1000-kb
- window, see details in³⁷. Prior to analyses PRSnoAPOE was adjusted for five principal components and
- then standardised.
- 17 All statistical analyses were performed in R-statistical software (https://www.R-project.org/). The plots
- 18 were generated using the *ggplot2* package with custom scripts generated in house.
- 19 The results of the biomarkers' association with the clinical/demographic characteristics are presented
- without correction for multiple testing, since these analyses are hypothesis-driven.

21 Genetic analysis

- 22 SNP-based association analyses were performed for each biomarker using linear regression model with
- 23 PLINK. Association analyses of SNPs with the biomarkers were adjusted for age and sex, five principal
- 24 components (PCs) and case-control status ("caseness"). The adjustment for caseness was introduced to
- 25 reduce the variation due to potential differences in association pattern of biomarkers between cases
- and controls, whilst using all available samples to maintain the statistical power. In addition, association
- 27 analyses for cases and controls were also conducted separately. Since the APOE region is not well
- 28 covered by the Illumina arrays used to genotype the ADCC dataset, we tested association of the
- 29 biomarkers with the number of directly genotyped APOE-E4 alleles. PCs were computed using PLINK and
- 30 the number of PCs was determined via visual inspection of the pairwise PC scatter plots. The GWAS
- 31 significance level was set to the commonly accepted $p < 5x10^{-8}$. We did not further adjust this for the six
- 32 biomarkers as the biomarker levels were measured in the same sample and are not independent.
- 33 To investigate further the variants of interest, we used Combined Annotation-Dependent Depletion
- 34 (CADD) and RegulomeDB (RDB) scores for SNPs accessible within the Functional mapping and
- annotation of genetic associations (FUMA) on-line tool³⁸. CADD is a tool for scoring the deleteriousness
- of single nucleotide variants as well as insertion/deletions variants in the human genome^{39,40}. RDB⁴¹ is a
- 37 categorical score from 1a to 7 representing regulatory functionality of SNPs based on eQTLs and

- 1 chromatin marks. 1a is the highest score, indicating that the SNP has the most biological evidence to be
- 2 a regulatory element.
- 3 We compared our GWAS biomarker association results to Alzheimer's disease genome-wide significant
- 4 findings³⁵, assessing all SNPs in the ADCC GWAS within ±20kB of the GWAS-significant SNPs. The
- 5 replication significance level was set to nominal significance level p<0.05.
- 6 To summarise the association results from all variants in a gene, accounting for number of variants and
- 7 linkage disequilibrium (LD) between them, we used Multi-marker Analysis of GenoMic Annotation
- 8 (MAGMA, v1.09b)⁴². For the gene-based analysis, we mapped a SNP to a gene (as defined by NCBI 37.3)
- 9 if it resided within the gene boundaries. The LD between SNPs was estimated with the European
- reference panel in 1000 Genomes phase 3. The significance level for the gene-based analysis results was
- set to the commonly accepted $p < 2.5 \times 10^{-6}$.
- 12 For the pathway analyses, 10,271 gene sets were downloaded from Reactome, Biocarta, KEGG and
- 13 Pathway Interaction Databases³². The pathway analyses were performed using the "competitive" option
- in MAGMA, assessing whether the genes in a gene set are more strongly associated with the phenotype
- than in other gene sets in the genome. We adopted the false discovery rate (FDR≤0.05) approach
- 16 (p.adjust() function in R with method="fdr") to correct for multiple testing the results of the pathway
- 17 analyses.

18 Data availability

- 19 GWAS summary statistics for the top results ($p \le 1 \times 10^{-5}$) are listed in the main text of the paper and
- 20 Supplementary Materials. Full GWAS summary statistics are available from the authors upon request.

21 Results

22 Biomarker results in relation to Alzheimer's disease, age at onset and

23 disease duration

- 24 The correlation pattern between the biomarkers was similar for cases and controls, and agree with the
- results of Cullen et al. 43. The correlation between A β 42 and A β 40 values was high (r=0.8 in cases and 0.7
- in controls, $p<10^{-16}$). The lowest correlation was observed between P-tau181 and A β -related biomarkers,
- 27 see Figure 1.
- To assess whether the disease stage is captured by the biomarkers, we explored the relationship
- between biomarkers, age of onset and disease duration in cases. Table 2 summarises the results. In this
- 30 case-only analysis, age at onset was strongly positively associated with Aβ40, Aβ42, GFAP and NfL (p-
- values $\leq 4.2 \times 10^{-23}$), moderately with P-tau181 (p=0.0023), and negatively associated with A β 42/A β 40
- 32 ($p=4.8\times10^{-4}$). The biomarkers GFAP, NfL and P-tau181 show significant increase in females as compared
- to males ($p=9.0x10^{-23}$, $1.4x10^{-7}$, and $2.1x10^{-8}$, respectively). This in part replicates the finding in Kumar-
- 34 Singh et al. 44, who showed that age-of-onset of PSEN1-linked familial Alzheimer's disease correlated

- 1 negatively with Aβ42/Aβ40 but positively with Aβ40 levels. Longer disease duration was strongly
- associated with elevated levels of GFAP and NfL ($p=2.9 \times 10^{-6}$ and 1.2×10^{-12} , respectively) and moderately
- associated with increase of A β 40 and P-tau181 levels (p=0.027 and 0.008, respectively).
- 4 In controls, all biomarkers were positively associated with age at interview (p-value ranked between
- 5 1.2x10⁻⁷ for A β 42 and 1.9x10⁻³⁰ for NfL), and negatively with the ratio A β 42/A β 40 (p=1.2x10⁻¹⁰) (see
- Table 3), indicating that all biomarkers are sensitive to age, and will show less discrimination between
- 7 AD cases and controls if AD cases with earlier onset (~65-68 years) are compared with elderly screened
- 8 controls (see Supplementary Figure 1).
- 9 Next, we assessed the prediction accuracy of disease status in our sample. The prediction accuracy of
- the case-control status by sex and APOE genotype resulted in AUC=0.74 and R^2 =0.21. All biomarkers
- were significantly associated with Alzheimer's disease status when tested separately (Table 4,
- 12 Supplementary Figure 2). The prediction accuracies, however, were moderate with the highest
- 13 prediction accuracy AUC=0.66 and 0.65 for Aβ42 and P-tau181, respectively.
- 14 The prediction accuracy of a model combining all biomarkers and genetics (ΑΡΟΕ-ε4, ΑΡΟΕ-ε2, PRS
- without APOE region) was AUC=0.81, R²=0.29. The most parsimonious model that predicted the
- 16 outcome with the same accuracy as above (derived using stepwise regression) included all predictors
- 17 except Aβ42 and P-tau181 (APOE-ε4 B=1.3, $p=2.02 \times 10^{-24}$; APOE-ε2 B=-0.45, p=0.011; PRSnoAPOE
- B=0.14, p=0.033; Aβ40 B=-0.62, p=6.6x10⁻¹⁸; GFAP, B=0.29, p=3.9x10⁻⁴; NfL B=0.45, p=4.6x10⁻⁸;
- 19 A β 42/A β 40 B=-0.20, p=0.003).
- 20 This model highlights the importance of all genetic predictors and the Aβ40, GFAP, and NfL biomarkers.
- 21 The variance of A β 42 was captured by A β 40, as the correlation between these biomarkers was high.
- 22 Indeed, when Aβ40 was dropped from the model, then Aβ42 became a significant predictor (B=-0.59,
- $p=9.6\times10^{-12}$). In both models, the ratio of A β 42/A β 40 was significant but it changed its direction of effect
- depending on which marker was included (B=0.20, p=0.005, and B=-0.20, p=0.003, when A β 42 or A β 40
- was included, respectively) P-tau181 was dropped from the model by the stepwise regression, however
- 26 this should not be interpreted as P-tau181 being fully explained by the genetic predictors. In a model
- 27 with only P-tau181 and genetics (ΑΡΟΕ-ε4, ΑΡΟΕ-ε2, PRSnoAPOE), P-tau181 remained highly significant
- 28 over and above genetics (B=0.38, $p=4.5 \times 10^{-8}$).
- 29 The model with all biomarkers but without genetic predictors had an accuracy of AUC=0.75 and
- 30 explained variance of R^2 =0.18. In this model, the same biomarkers as above showed significant
- association, with the addition of the P-tau181 biomarker (B=0.18, p=0.022), indicating that the P-tau181
- 32 signal may be explained by genetics, whereas the other significant biomarkers (Aβ-related, GFAP, and
- NfL) add to the prediction over and above genetics.

Genome-wide association study

- 35 We performed three sets of GWAS (cases only, controls only, all samples) in ADCC with the 5 biomarkers
- 36 (Aβ40, Aβ42, NfL, P-tau181, GFAP) and the Aβ42/Aβ40 ratio as outcome measures. The top SNPs with
- 37 an association p-value $\leq 1 \times 10^{-5}$ are presented in Supplementary Tables 1-6. In the case-control analysis,

- 1 APOE-ε4 was associated with all biomarkers ($p=0.011 4.78 \times 10^{-8}$, Supplemental Tables 1-2,4-6), except
- 2 NfL (in Supplemental Table 3).
- 3 We compared the GWAS we performed for biomarkers to the genome-wide significant SNPs from a
- 4 large clinically assessed Alzheimer's disease GWAS study³⁵, see Supplemental Table 7. The strongest
- associations for the GWAS index APOE SNP (rs429358) were for P-tau181 and GFAP (p=0.001 and 0.002,
- 6 respectively, Supplemental Table 7). Interestingly, SNPs in or near the WWOX gene were at least
- 7 nominally associated with all biomarkers. The strongest association was found for GFAP (p=1.2x10⁻⁵) for
- 8 a SNP situated 2.7KB away from the GWAS index WWOX SNP.
- 9 The GWAS of the five biomarkers and the Aβ42/Aβ40 ratio in controls only and in all samples did not
- 10 reveal any genome-wide significant loci. In the cases only GWAS, however, we observed two genome-
- 11 wide significant loci for the Aβ42/Aβ40 ratio (Supplementary Table 6 and Figure 2). The lead SNPs for
- these loci lie within the intronic region of their respective genes (COPG2 and WWOX), with the WWOX
- variant predicted to function as an enhancer.
- 14 The first genome-wide significant locus was a high LD region on chromosome 7 spanning from 130.2-
- 130.4Mb and covering genes *COPG2* (chr7:130,146,080-130,353,598) and *TSGA13* (chr7:130,353,486-
- 130,371,406) with the lead SNP rs17165066, (chr7:130,370,267, B=0.15, SE=0.026, p=8.9x10⁻⁹). This SNP
- tags 50 other SNPs with r²>0.8; see Manhattan plot (Figure 2) and LocusZoom plot (Figure 3A).
- Moreover, this region contains two SNPs (rs10264429 and rs375839317, MAF=0.06, 0.07, respectively)
- which are in high LD with the lead SNP (r^2 =0.84 and 0.71, respectively) and have CADD scores = 13.6,
- 20 12.48, which are greater than the suggestive threshold for a SNP to be deleterious (CADD>12.37). The
- 21 rs77696591 (MAF=0.06) intergenic variant is also tagged by the lead SNP (r²=0.87) and has an RDB
- 22 score=3a, i.e., has "putatively functional impact on gene regulation". The lead SNP rs17165066 was not
- 23 statistically significant in the clinically assessed AD GWAS³⁵.
- 24 The second genome-wide significant region was on chromosome 16 in the WWOX gene
- 25 (chr16:78,133,327-79,246,564), that has also been linked to Alzheimer's disease by GWAS³⁵. The lead
- 26 SNP rs34946778 (chr16:78989116, B=0.15, SE=0.026, p=4.36x10⁻⁹) was not statistically significant in the
- 27 AD GWAS³⁵. The linkage disequilibrium was r²=0.0014 between the AD GWAS lead SNP (rs62039712)
- and the SNP identified in our study (rs34946778).
- Finally, the number of APOE-ε4 alleles was associated with Aβ40 (B=-0.072, p=1.1x10⁻²), Aβ42 (B=-0.015,
- $p=6.3x10^{-7}$), Aβ42/Aβ40 (B=-0.15, $p=1.05x10^{-5}$), GFAP (B=0.1, $p=1.3x10^{-3}$) and P-tau181 (B=0.18,
- 31 $p=4.7\times10^{-8}$), but not with NfL (p=0.40).

Discussion

- 33 We demonstrated that the prediction accuracy for Alzheimer's disease status by the combination of
- blood biomarkers, sex, APOE and PRS reaches AUC=0.81 (R²=0.29) with the most significant contributors
- 35 being APOE-ε4, Aβ40, and GFAP. This AUC value is lower than that reported in Palmqvist et al.²² likely
- 36 due to our controls being systematically older than cases, with the diagnostic accuracies for Alzheimer's

- disease being decreased with age 45 . Note that A β 42 becomes a highly significant predictor when A β 40 is
- 2 dropped from the model and vice versa, although a stepwise regression recommended dropping Aβ42
- 3 over Aβ40. The prediction accuracy by all biomarkers without genetic predictors was AUC=0.75, which is
- 4 slightly higher than the accuracy by genetic predictors alone (AUC=0.73 in our sample). Interestingly, P-
- 5 tau181 was not significant if genetic predictors were included in the model and became significant only
- 6 when no genetic predictors were used, indicating that genetic factors, APOE-ε4 in particular, influence
- 7 plasma P-tau181 levels. However, an advantage of P-tau181 as a biomarker over other predictors (e.g.,
- 8 genetics) is that it is a relatively inexpensive blood biomarker and does not reveal any sensitive genetic
- 9 information.
- 10 In controls, age at interview was positively associated with all biomarkers (p-value ranged between
- 1.2x10⁻⁷ for A β 42 and 1.9x10⁻³⁰ for NfL), and negatively associated with the ratio A β 42/A β 40 (p=1.2x10⁻¹
- 12 ¹⁰), indicating that all biomarkers are sensitive to age or pre-clinical age-related neurodegenerative
- 13 pathologies.
- 14 In case-only analyses, age at onset was significantly associated with all biomarkers, in particular,
- 15 positively with Aβ40, Aβ42, GFAP, NfL and P-tau181 and negatively with the ratio Aβ42/Aβ40. In
- addition to age at onset, GFAP, NfL and P-tau181 were also associated with the disease duration, with
- similar effect sizes indicating that the associations can be attributed to age in general, rather than to a
- 18 particular feature of the disease development and progression. These findings are in line with other
- recent studies. Chatterjee et al.46 demonstrate that plasma GFAP levels are elevated in cognitively
- 20 normal older adults at risk of Alzheimer's disease. Aschenbrenner et al.⁴⁷ conclude that NfL can be used
- 21 to monitor both cognitive decline due to normal aging and dementia. Lantero Rodriguez et al. 10 report
- 22 that the main increase in plasma P-tau181 occurred between eight and four years prior to death in
- 23 patients with Alzheimer's disease neuropathology whereas patients without pathology and controls
- 24 exhibited minor, although significant, increases in P-tau181 up until death.
- 25 The Aβ40 and Aβ42 results showing increasing concentration with age in both cases and controls
- 26 support the earlier finding that Aβ40 and Aβ42 levels are increased before the onset of sporadic
- 27 Alzheimer's disease^{48–50}. It has also been shown that the biomarker distributions are more similar
- 28 between subjects with and without Alzheimer's disease in elderly subjects than in young subjects⁴⁵.
- 29 When comparing cases and controls in our sample, we found that cases have lower concentrations of
- 30 Aβ40 and Aβ42 in plasma, accounting for age. This might indicate that cases, despite early onset, are in
- 31 the advanced stage of the disease (mean disease duration 5.3 years (SE=3.6) in our sample). An earlier
- 32 study⁵⁰ showed that A β 40 and A β 42 levels are elevated in some patients before and during the early
- 33 stages of Alzheimer's disease but decline thereafter. Our results show similar association patterns (lower
- $A\beta42/A\beta40$ is associated with increased age) to the recent report⁷-for participants of all ages and
- diagnoses who were enrolled in a longitudinal study of memory and aging. Another study which
- 36 included cognitively normal individuals, patients with mild cognitive impairment and patients with
- 37 Alzheimer's disease, found no significant correlations between the biomarker values and age. A
- 38 population-based study⁵¹ reports results in a cohort where all individuals were born in the same week,
- 39 but blood samples were collected within the testing period of 2.6 years. Within this very limited age
- 40 range, Aβ42 (but not Aβ40) was significantly positively associated with age. Therefore Aβ42/Aβ40 was

- 1 also positively associated with age. In our study with a much wider age range, both Aβ42 and Aβ40 were
- 2 significantly positively associated with age. The ratio Aβ42/Aβ40 was negatively associated with age
- 3 because the increase in Aβ40 was greater than that in Aβ42 (Table 4, Supplementary Figure 2). To
- 4 summarise the Aβ data, the biomarker is sensitive to age and potentially other clinical conditions and
- 5 phenotypes unmeasured and unaccounted for in our and others' reports. Given this, interpretation of
- 6 A β measurements in the absence of other clinical information is uncertain at best.
- 7 In addition, the biomarkers measuring Aβ40, Aβ42 and P-tau181 levels, also have complex trajectories
- 8 as the disease develops, and this is all in the context of 80% Alzheimer's disease diagnostic accuracy.
- 9 Counterintuitively, it seems that P-tau181 is largely a plaque amyloid marker⁵²: it does not go up in
- progressive supranuclear palsy, it goes up in amyloid mice after onset of plaque pathology⁵³ (although it
- may also increase in tau-overexpressing mice⁵⁴). Aβ, however, goes down when plaque deposition starts
- and APOE correlates with plaque number in a dose-dependent manner⁵⁵. Thus, APOE and P-tau181
- 13 correlate positively because they both largely mark amyloid deposition. When P-tau181 increases,
- 14 Aβ42/Aβ40 decreases because Aβ42 sticks to the amyloid plaques, preventing it from leaking into
- 15 plasma or CSF. An advantage of using the Aβ42/Aβ40 ratio over the individual biomarkers is that the
- 16 ratio normalises high vs low Aβ producers to each other and is a more reliable qualitative test for Aβ
- 17 status in the brain than Aβ42 alone.
- 18 We found two independent genome-wide significant associations with the ratio of Aβ42/Aβ40 in the
- 19 COPG2 and WWOX genes in a case-only analysis (the lead SNPs in controls were not-significant). In the
- analysis, which included both cases and controls, these SNPs were not genome-wide significant despite
- 21 the increased sample size compared to cases-only. The GWAS SNPs found in cases were not statistically
- 22 significant in controls and had effect sizes in the opposite direction. This may indicate that there are
- 23 genetic-protein associations that can only be identified when looking at disease-relevant groups (AD in
- 24 this case).
- 25 COPG2 is a part of the coat protein complex I (COPI) which is responsible for retrograde transport from
- 26 Golgi-to-endoplasmic reticulum. Genetic modulation of the COPI complex leads to changes in amyloid
- 27 precursor protein processing and a decrease in the amyloid plaque burden in an Alzheimer's disease
- 28 mouse model⁵⁶.
- 29 The WW domain-containing oxidoreductase gene (WWOX) maps to the ch16q23.1-23.2 region and
- 30 encodes a 414-amino acid protein composed of two WW domains in its N-terminus and a central short-
- 31 chain dehydrogenase/reductase domain⁵⁷. In recent years, abundant evidence from multiple studies has
- 32 causally linked WWOX loss of function with various central nervous system pathologies. WWOX
- dysfunction induced sequential aggregation of tau and amyloid β , and caused apoptosis⁵⁸. The role of
- 34 WWOX/WOX1 in Alzheimer's disease pathology and in cell death signalling has previously been
- reported⁵⁹, as has its role in brain development and pathology⁶⁰.
- 36 In conclusion, our results demonstrate that the currently available plasma biomarkers reflect different
- 37 aspects of Alzheimer's disease, some of which can be attributed to ageing in addition to the disease-
- 38 specific features, while others are specifically related to disease progression mechanisms. Our study

- 1 shows that biomarker-based diagnosis is not perfect because the biomarker measurements in older
- 2 controls are similar to those in younger clinically diagnosed Alzheimer's disease cases (which likely
- 3 represents increased prevalence of pre-clinical Alzheimer's changes in older controls). Biomarkers,
- 4 however, have the advantage of specificity over clinical assessments, which may confuse dementia
- 5 subtypes due to phenotypic similarities. Therefore, blood plasma biomarkers can only be a useful tool
- 6 for the assessment and prediction of Alzheimer's disease in the context of other genetic and/or clinical
- 7 information. The idea that biomarkers alone might provide more accurate prediction for Alzheimer's
- 8 disease remains to be fully validated. Longitudinal studies which use a combination of genetics, plasma
- 9 biomarkers, brain imaging, and pathology confirmation to differentiate cases and controls could provide
- 10 accurate analyses moving away from prediction of dementia towards prediction of Alzheimer's disease.

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Competing interests

29

38

- 30 BD\$ has no direct conflict of interests with the results reported in this manuscript. He has however
- 31 consulted for several major drug companies and is scientific founder of Augustin TX and Muna TX. He
- has a small amount of shares in Muna TX. HZ has served at scientific advisory boards and/or as a
- consultant for Abbvie, Alector, Annexon, Artery Therapeutics, AZTherapies, CogRx, Denali, Eisai,
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Supplementary material

39 Supplementary material is available at *Brain* online.

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1 Figure legends

- 2 Figure 1 Pearson correlation between biomarkers in cases (A) and in controls (B).
- 3 Figure 2 Aβ42/Aβ40 case-only GWAS (N=1420 cases).
- 4 Figure 3 Genome-wide significant regions associated with Aβ42/Aβ40 in case-only analysis (N=1420
- 5 cases).

6

Table I Summary of demographics and plasma biomarker summary characteristics (mean [Standard Deviation], μ g/ml) in ADCC, post-outlier removal

	Controls (N=508)	Cases (N=1439)
Demographics	<u> </u>	
Age	82.2 [6.72]	68.1 [8.03]
Sex M / F	221 / 287	748 / 691
Age at onset	N/A	62.4 [7.9]
Duration	N/A	5.3 [3.6]
Biomarkers	•	
Αβ40	140 [40.0]	94.5 [34.4]
Αβ42	7.50 [2.05]	5.00 [1.84]
GFAP	196 [85.3]	215 [103]
NfL	32.9 [13.7]	31.0 [13.9]
P-tau 181	3.18 [1.54]	4.10 [1.90]
Αβ42/Αβ40	0.0556 [0.013]	0.0543 [0.014]

Values in brackets are standard deviation.

Table 2 Beta coefficients, standard errors, and p-values for linear regressions predicting biomarkers from age at onset and disease duration in Alzheimer's disease cases, controlling for age and sex

		Age at onset			Duration		
	N	В	SE	Þ	В	SE	P
Αβ40	1219	0.042	0.003	1.9 × 10 ⁻³⁵	0.016	0.007	0.027
Αβ42	1219	0.034	0.003	4.2 × 10 ⁻²³	0.013	0.007	0.077
GFAP	1301	0.034	0.003	7.1 × 10 ⁻²⁴	0.034	0.007	2.9 × 10 ⁻⁶
NfL	1275	0.048	0.003	1.1 × 10 ⁻⁴⁴	0.050	0.007	1.2 × 10 ⁻¹²
pTau-181	1309	0.011	0.003	0.0023	0.020	0.008	0.008
Αβ42/Αβ40	1215	-0.012	0.003	0.0005	-0.004	0.008	0.592

Table 3 Beta coefficients, standard errors, and p-values for linear regressions predicting biomarkers from age at interview in cases and controls, controlling for sex

	Cases (max N=1439)			Controls (max N=508)				
	N	В	SE	Þ	N	В	SE	P
Αβ40	1415	0.041	0.003	2.9 × 10 ⁻³⁷	492	0.064	0.006	1.2 × 10 ⁻²²
Αβ42	1417	0.034	0.003	4.6 × 10 ⁻²⁵	486	0.036	0.007	1.2 × 10 ⁻⁷
GFAP	1394	0.034	0.003	8.4 × 10 ⁻²⁸	501	0.052	0.006	4.6 × 10 ⁻¹⁶
NfL	1361	0.051	0.003	1.2 × 10 ⁻⁵⁴	478	0.074	0.006	1.9 × 10 ⁻³⁰
P-tau I 8 I	1389	0.014	0.003	4.3 × 10 ⁻⁵	472	0.038	0.007	3.5 × 10 ⁻⁰⁸
Αβ42/Αβ40	1413	-0.010	0.003	0.0018	481	-0.044	0.007	1.2 × 10 ⁻¹⁰

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Table 4 Results of logistic regressions predicting Alzheimer's disease status from each biomarker, adjusted for age and sex (1302 cases and 421 controls after excluding the missing values list-wise)

	В	SE	P	R ²	AUC
Αβ40	-0.44	0.058	3.5 × 10 ⁻¹⁴	0.05	0.63
Αβ42	-0.56	0.059	2.8 × 10 ⁻²¹	0.08	0.66
GFAP	0.55	0.067	2.4×10^{-16}	0.07	0.64
NfL	0.47	0.066	1.1×10 ⁻¹²	0.05	0.63
P-tau 8	0.55	0.067	1.4 × 10 ⁻¹⁶	0.07	0.65
Αβ42/Αβ40	-0.18	0.055	0.0009	0.01	0.56

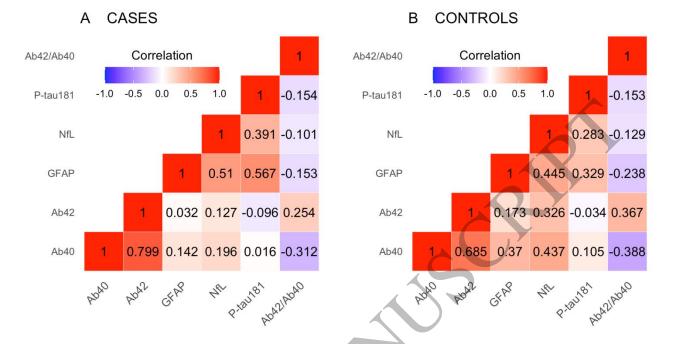


Figure 1 559x279 mm (0.0 x DPI)

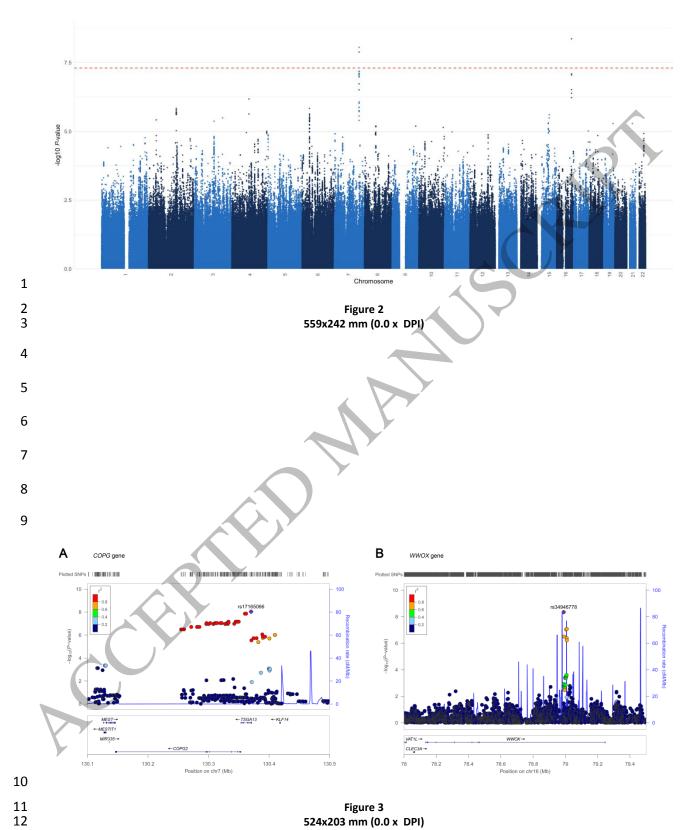


Figure 3 524x203 mm (0.0 x DPI)