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1 **A filament stretching rheometer for *in-situ* X-ray experiments: combining rheology**
2 **and crystalline morphology characterization**

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14 **ABSTRACT**

15 We present a rheometer that combines the possibility to perform *in-situ* X-ray experiments
16 with a precise and locally controlled uniaxial extensional flow. It thus allows to study
17 the crystallization kinetics and morphology evolution combined with the rheological re-
18 sponse to the applied flow field. A constant uniaxial deformation rate is ensured thanks
19 to a fast control scheme that drives the simultaneous movement of the top and bottom
20 plate during a pulling experiment. A laser micrometer measures the time evolution of the
21 smallest diameter, where the highest stress is concentrated. The rheometer has a copper
22 temperature-controlled oven with the ability to reach 250°C and a N₂ connection to cre-
23 ate an inert atmosphere during the experiments. The innovation of our rheometer is the
24 fixed location of the midfilament position, which is possible because of the simultaneous
25 movement of the two end plates. The copper oven has been constructed with four ad-hoc
26 windows: two glass windows for laser access and two kapton windows for X-ray access.
27 The key feature is the ability to perfectly align the midfilament of the sample to the laser
28 micrometer and to the incoming X-ray beam in a synchrotron radiation facility, allowing
29 to investigate structure and morphologies developed during extensional flow. The rheo-
30 logical response measured with our rheometer for LDPE is in agreement with the linear
31 viscoelastic envelope and with the results obtained from existing extensional rheometers.
32 To demonstrate the capability of the instrument we have performed *in-situ* time-resolved
33 X-ray experiments on LDPE samples exhibiting extensional flow-induced crystallization.

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34 I. INTRODUCTION

35 Nowadays, polymers, and in particular thermoplastics, are widely used materials in the man-
36 ufacturing industry, thanks to their relatively low price, good processability and wide range of
37 achievable properties. Despite these favorable aspects polymers are quite elaborate molecules
38 and, as a consequence their response to the thermo-mechanical history experienced during pro-
39 cessing is not only complex, but also different from one polymer to the other. During processing,
40 using techniques ranging from the most consolidated ones, like extrusion, injection molding, blow
41 molding and fiber spinning, to the emerging ones such as filament fused deposition modeling
42 (FDM) and inkjet printing, polymers are subjected to various flow fields, consisting of both shear
43 and extensional contributions. For semi-crystalline materials the presence of flow largely affects
44 the kinetics as well as formed crystal morphologies and structures¹⁻³ which in turn affect the final
45 properties (e.g. mechanical, optical, barrier, piezoelectrical)⁴⁻⁶. It is well-established that the most
46 common crystalline morphology developed during strong flows is the so called “shish-kebab” con-
47 sisting of: extended crystal chains oriented in the direction of the flow (shish), and folded chain
48 lamellae in the opposite direction (kebab)². Shear-induced crystallization has received substantial
49 attention since decades⁷. Thereto, several innovative setups and modifications of existing setups
50 that allow *in-situ* crystallization studies have been developed. These devices were combined with
51 various *in-situ* structure characterization techniques, like birefringence⁸⁻¹¹, optical imaging^{12,13},
52 X-ray scattering and diffraction (SAXS, WAXD)^{2,14-18}. In the context of flow-induced crystal-
53 lization time resolved X-ray experiments are the most popular technique to resolve the structure
54 evolution during processing¹⁹⁻²⁴.

55 Despite the extreme importance of extensional flow in polymer processing, very little is known
56 about the material response to an extensional flow and even less is known about extensional flow-
57 induced crystallization. This is because the implementation of an ideal uniaxial extensional de-
58 formation is still an experimental challenge and there is a lack of suitable devices for the applica-
59 tion of uniaxial extensional flow combined with *in-situ* characterizations. Nevertheless several
60 studies have been conducted in order to understand the role of extensional flow in the crys-
61 tallization process²⁵⁻⁴⁰. They use different devices that either generate a precise and locally
62 controlled uniaxial extension resulting in accurate rheological data without *in-situ* access to the
63 microstructure²⁵⁻³³, or they facilitate *in-situ* structure characterization whereas the deformation
64 field undergone by the material is less well controlled³⁴⁻⁴⁰. In the former category a commercial

65 (VADER 1000) and a home-built filament stretching rheometer have been used, to study the ex-
66 tensional flow-induced crystallization of a commercial low density polyethylene (LDPE) and an
67 isotactic polypropylene (iPP) respectively^{30,32,33}. The filament stretching rheometer is a power-
68 ful and versatile tool to investigate the extensional rheology of complex fluids with a wide range
69 of viscosities and **it allows** to reach high strains. It mainly consists of two plates, of which one
70 moves, thereby stretching the fluid in between. The most important feature of this type of device
71 is its ability to keep the deformation rate at the midfilament point constant, leading to an ideal
72 uniaxial extensional flow. **In both devices only the upper plate moves causing a shift of the middle**
73 **point position over time, that allows only for structure characterization via post-mortem *ex-situ***
74 **X-ray experiments. In the second category, apart from cross-slot flow cells³⁴⁻³⁶, a home-built**
75 **double-drum windup device allows for *in-situ* X-ray characterization during extension and has**
76 **been tested on poly(ethylene oxide), poly(1-butene) and a commercial iPP³⁷⁻⁴⁰. This rheome-**
77 **ter has two counter-rotating drums as in the Sentmanat extensional add-on for commercial shear**
78 **rheometers (SER)⁴¹ with improved design to reduce polymer sagging and to homogenize the tem-**
79 **perature. However this type of rheometer is limited in the Hencky strain values that can be reached**
80 **and it does not allow for local strain (rate) measurements or control. A homogeneous deformation**
81 **is assumed, which is however not always the case, especially at large values of the Hencky strain,**
82 **as shown in the study of McCready et al.⁴² on pre-aligned cylindrical block copolymer melts.**

83 In the current work we present, for the first time to our knowledge, a rheometer that combines
84 the possibility to perform *in-situ* X-ray characterization whilst the material undergoes a precise
85 and locally controlled uniaxial extensional flow. **The general design of the rheometer is based on**
86 **existing filament stretching rheometers⁴³⁻⁴⁶, but differs from that of similar devices in its ability to**
87 **perform *in-situ* experiments while locally controlling the deformation. To fulfill this purpose the**
88 **design of the rheometer ensures the stationary position of the midfilament point allowing precise**
89 **alignment of it to the X-ray source. An electrically heated oven is built to control the temperature**
90 **and degradation is avoided using nitrogen gas. Studies of polymer crystallization, combining**
91 **scattering (SAXS) and diffraction (WAXD) techniques, with this device will allow us to develop**
92 **an understanding of the extensional flow-induced crystallization process.**

93 **II. RHEOMETER DESIGN AND DESCRIPTION**

94 Fig. 1 shows the rheometer combined with SAXS/WAXD measurements, as arranged in the
95 beamline. A 3D virtual reality model can be found in the supplementary material.

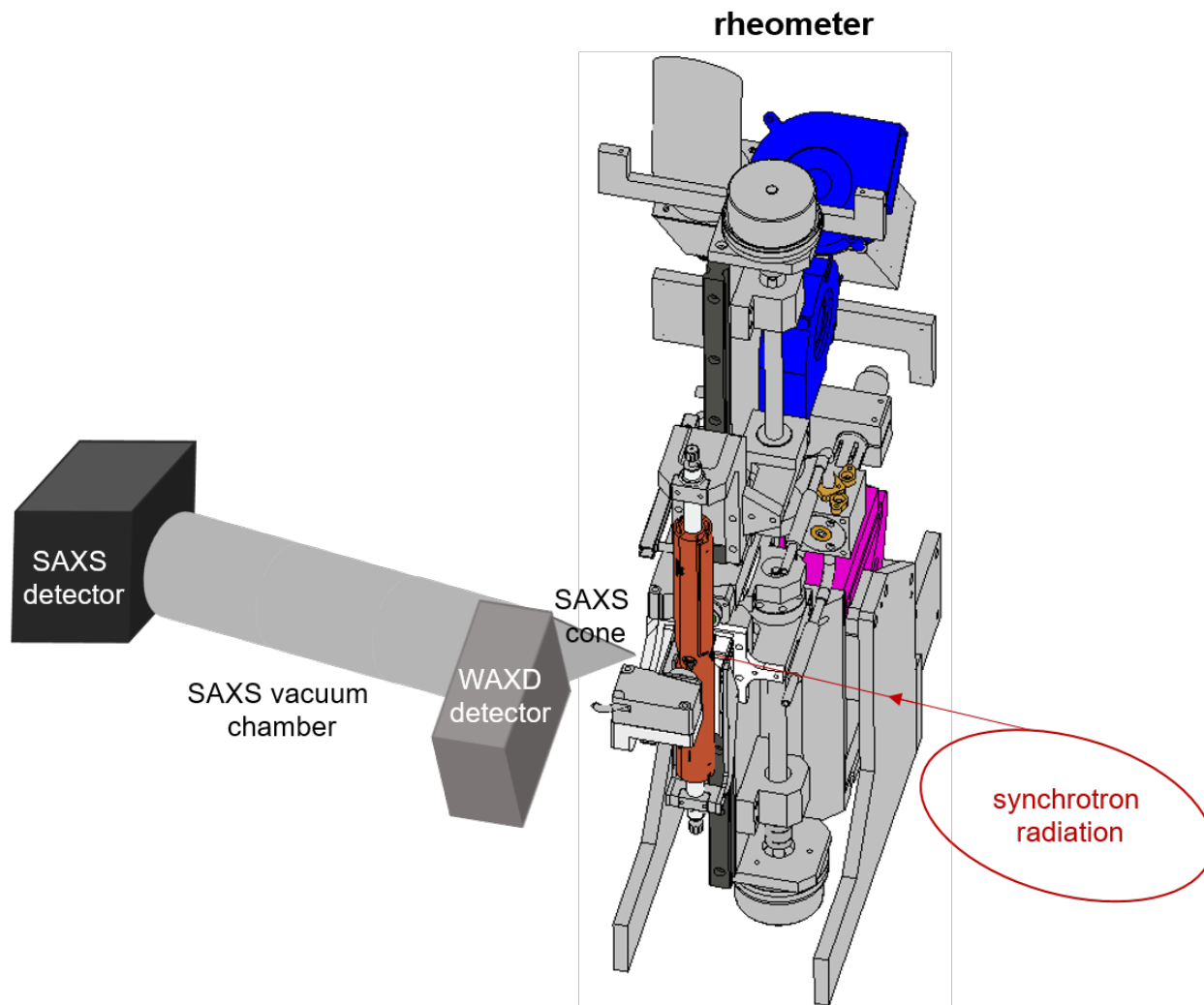


FIG. 1. Schematic of the experimental setup with *in-situ* X-ray capability, as placed in the ESRF beamline.

96 **The** rheometer is constructed with two end plates that move simultaneously and at the same
97 velocity (Fig. 2 (a)), the latter being controlled to create an ideal uniaxial extensional deformation.
98 In an ideal uniaxial extensional flow at a constant strain rate the length increases and the diameter
99 decreases exponentially in time. To control the deformation at the midpoint the diameter is con-
100 stantly measured by a laser micrometer and its value serves as input for a closed control loop. The
101 simultaneous movement of the plates is realized by two motors driven by two ball screws that are

102 independently controlled. The response of the material to the deformation is measured by a load
 103 cell connected to the bottom plate. An *ad-hoc* copper oven allows for high temperature experi-
 104 ments in an inert environment, and enables access for the laser micrometer and the X-ray source.
 105 The four windows, two for the laser and two for the X-rays (Fig. 2 (c)), are aligned with respect to
 106 each other with an accuracy of $\pm 50 \mu\text{m}$, ensuring a precise alignment of the midfilament point to
 107 the laser and subsequently to the X-ray beam, as will be described in detailed later. Fig. 2 (b) and
 108 Fig. 2 (d) show the rheometer with the main components indicated. **The overall programming and**
 109 **controlling of the filament stretching rheometer is done with MATLAB[®] Simulink. The RTI104**
 110 **DSpace[®] card is the real time control platform that runs the model created in Simulink, while**
 111 **DSpace[®] ControlDesk software provides a user interface to interactively work with the model.**
 112 **The main components and features** are described in more detail in the following sections.

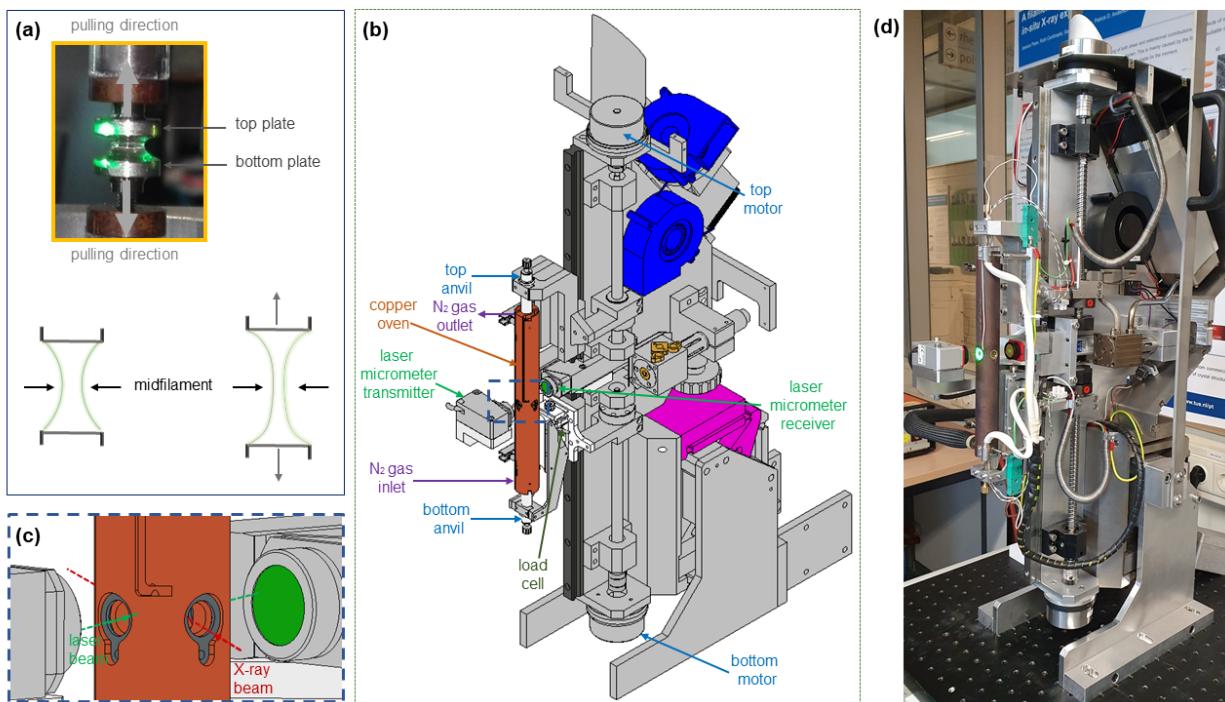


FIG. 2. (a) Picture of a pre-stretched sample between top and bottom plate, pulling direction is indicated by the arrows and schematic of the diameter evolution with the midfilament point stationary in time. (b) 3D drawing of the in-house developed filament stretching rheometer. (c) Zoomed-in view of the oven showing the alignment of the four windows and the direction of the laser and the X-ray beam. (d) Photograph of the device.

113 **A. Motion control and force measurement**

114 The top and bottom stainless steel plates are connected to two pistons thanks to their threaded
115 body. Hence it is possible to use, and easily interchange plates of different diameters, materials
116 and geometries, which allows to increase the contact between the sample and the plates in case
117 slip-off occurs³³. Each piston consists of two main components, a copper plate holder in which
118 the plates are screwed and a quartz tube that accommodates the plate holder itself, the heating
119 element and the thermocouple used to heat and control the temperature of the plates, respectively,
120 as shown in Fig. 3. The low thermal expansion coefficient of quartz ensures minimum changes in
121 length and thus minimum changes in the position of the plates.

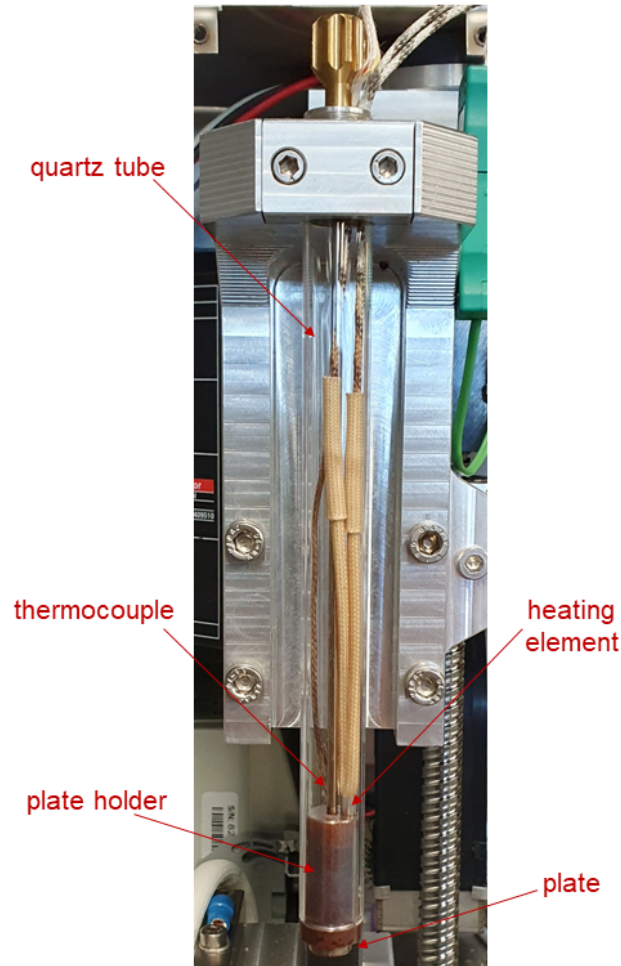


FIG. 3. Photograph of the piston with its components indicated.

122 The pistons are mounted and connected to a preloaded linear profile guide that is linked by ball

123 screws to the motors. The maximum distance achievable between the plates is 145 mm. When the
124 oven is used, this distance is reduced to 114 mm to assure that the pistons always remain inside the
125 oven, throughout the experiment. The motors that drive the guides are EC Maxon motors, with a
126 maximum speed of 3740 rpm, which corresponds to a maximum linear movement of 623.3 mm/s
127 for a single axis, while the minimum speed is 0.001 mm/s. The motors are externally controlled
128 by a servocontroller, an encoder provides the electrical signal that is used for speed control. The
129 sampling time of the speed controller is 200 μ s.

130 The axial force on the bottom plate during the uniaxial experiment is measured by a load cell
131 HBM U9C of 100N maximum nominal force. The load cell housing is designed as such that differ-
132 ent cells can easily be interchanged (i.e. to measure lower viscous samples). In particular 2N and
133 20N (XFTC300) load cells are available. The load cell has been calibrated both in compression, by
134 placing known weights on it, and in tension, by pulling, at constant rate, a metallic spring of which
135 the elastic constant k is known. The calibration indicated that the minimum value measurable by
136 the 100N load cell is 0.02 N with a noise level of ± 0.01 N. Furthermore it was verified that the
137 contribution of the acceleration from the moving components to the total force measured during
138 an experiment is negligible. The load cell is placed outside the oven to ensure that no increase of
139 its temperature occurs during the experiments.

140 At the center of the oven there are two flat quartz windows providing optical access for the
141 head and receiver of the KEYENCE LS-9006 laser micrometer, thereby allowing it to measure the
142 diameter of the sample during the experiment. Because the middle point location of the polymer
143 is fixed, the laser, mounted on an aluminum support, is aligned to the oven quartz windows and,
144 thus, to the midfilament point. The measurable diameter ranges from 0.04 mm to 6 mm, with an
145 accuracy of ± 0.5 μ m. For a typical initial sample diameter $D = 5$ mm and height $L = 1.5$ mm,
146 the combination of laser micrometer range and motor speed results in a maximum Hencky strain
147 of 9 and extension rates ranging from 0.00001 s^{-1} to 5 s^{-1} . The aluminum support on which the
148 laser is mounted allows to dissipate the heat generated from the laser itself and to keep it within
149 its operating temperature range. The laser micrometer not only measures the diameter but also
150 shows video images of the measured area (over a filament height of 1.6 mm), allowing to verify
151 the location of the minimum diameter.

152 B. Oven

153 Around the pistons a cylindrical copper oven is constructed to control the temperature and to
154 create an inert atmosphere, and its design is crucial for the success of the *in-situ* X-ray experiments.
155 The copper oven is designed as a double wall cylinder with an external diameter (D_e) of 38 mm
156 and an internal diameter (D_i) of 13 mm. Copper is chosen as construction material because of its
157 high thermal conductivity and because it can easily be shaped. Dimensional choices are restricted
158 by the requirements of good temperature control, minimal amount of air around the sample to
159 minimize the scattering background, and correct placement of the rheometer in the beamline.
160 The internal diameter has been chosen as the minimum possible value in order to reduce the gap
161 between the quartz tube and the copper oven, and to minimize the scattering background coming
162 from the air. The outer diameter is the optimal compromise between design limitations and the
163 beamline requirements of placing the rheometer as close as possible to the incoming tube of the X-
164 ray source and to the SAXS cone to reduce the background scattering. In order to allow an X-ray
165 beam to access the oven and to collect all the diffraction and scattering patterns from the polymer
166 two Kapton windows of 0.05 mm thick have been designed as shown in Fig. 4 (b). **Kapton is the**
167 **material of choice because, being amorphous, it is free of strong diffraction peaks and it does not**
168 **show lamellar scattering in the q-range of our interest.** The inlet window for the X-ray beam has
169 a diameter of 6 mm, as the two quartz windows for the laser access. The window for the outlet of
170 the X-ray beam has been realized with an **intrusive** angle of 90° facilitating diffraction angles (2θ)
171 of most polymeric materials.

172 Eight heating rods with a power of 100 W each, four on the top and four at the bottom equally
173 distributed on all sides in the oven walls, regulate the temperature of the oven (Fig. 4 (a)). In order
174 to avoid degradation of the polymer because of the high temperatures involved, nitrogen gas (at a
175 pressure of 1 bar) continuously flows from the bottom through the oven keeping the environment
176 inert and helping in reducing temperature gradients. The nitrogen gas is externally preheated using
177 a 400 W heating cartridge regulated by a thermocouple and Hasco power supply unit. The heat
178 is transferred to the polymer from the plates by conduction and from the heated nitrogen in the
179 oven by convection. The temperature is measured and controlled in three points: at the top and
180 bottom plate and in the oven wall at the center of the oven, where K-type thermocouples (RS
181 Pro, $\pm 1^\circ\text{C}$ accuracy) are integrated. Three feedback loops regulate the temperature of the plates

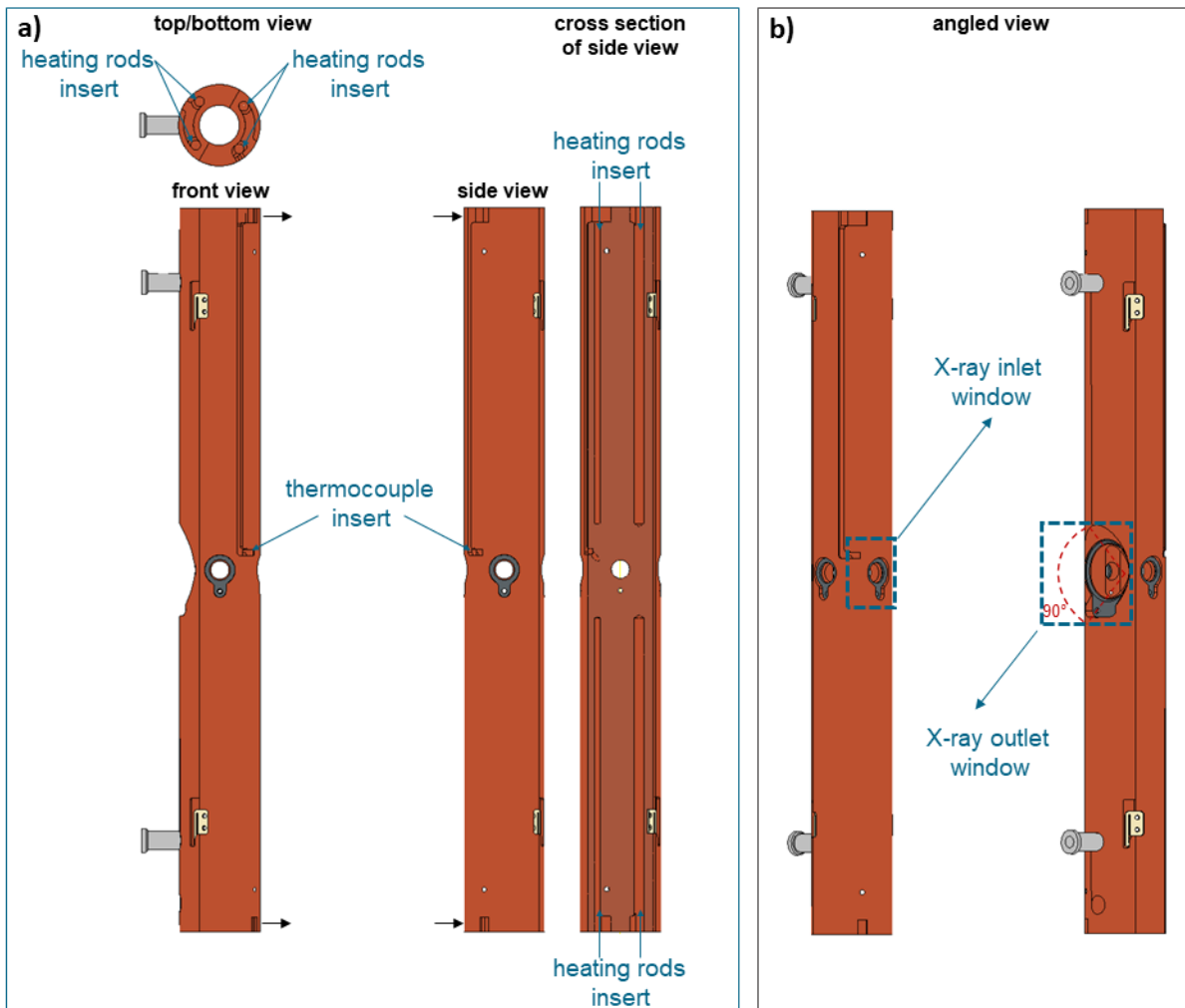


FIG. 4. Technical drawing of the oven. (a) Top/bottom, front and side views with thermal insert. Cross-section and top/bottom view show the heating inserts. (b) Angled view of the inlet and outlet windows for the X-rays.

182 and of the oven using the three independently measured temperatures as input values. Each loop
 183 contains a PI controller. The temperature of the sample at the middle point has been checked
 184 with an external thermocouple, of the same type as mentioned before and placed directly into
 185 the polymer. The found temperature offset from the set point is 5°C (corrected for during the
 186 experiments) and the maximum achievable temperature is 250°C . The heating and cooling rate
 187 are fixed, and approximately $100^{\circ}\text{C}/\text{min}$ and $4^{\circ}\text{C}/\text{min}$, respectively. There is an overshoot of 2°C
 188 and the oscillations around the set point are $\pm 0.5^{\circ}\text{C}$. We chose to not cover the copper oven with
 189 an insulation shield because the presence of insulation would enormously increase the cooling
 190 time, which is extremely undesired for flow-induced crystallization experiments.

191 **C. Control scheme implementation**

192 In this type of rheometer a perfect uniaxial extensional deformation is not achievable through-
 193 out the filament because of the presence of the rigid plates. **The contact with the end plates results**
 194 **in deviations from a cylindrical shape due to which the average and local extension rates differ**
 195 **from each other.** In early version of filament stretching rheometers, a locally constant uniaxial ex-
 196 tension rate was accomplished by determining the plate speed evolution via trial-and-error, which
 197 gave good control for polymer solutions^{43,47}. When using polymer melts the implementation of
 198 open control loops becomes a tedious process due to the dependence of the material rheology on
 199 the strain rate⁴⁸. Previous works show that by means of an active real time control of the diameter
 200 evolution at the midfilament point, it is possible to impose, locally, a deformation at constant rate
 201 and thus to achieve an ideal uniaxial extensional flow^{48,49}. The most successful way to perform
 202 such experiments is to implement a closed control loop, and the most robust algorithm available
 203 at present for controlling the kinematics of a filament stretching rheometer is the one proposed by
 204 Marín et al.⁴⁸. The working principle of this control scheme is schematically depicted in Fig. 5.

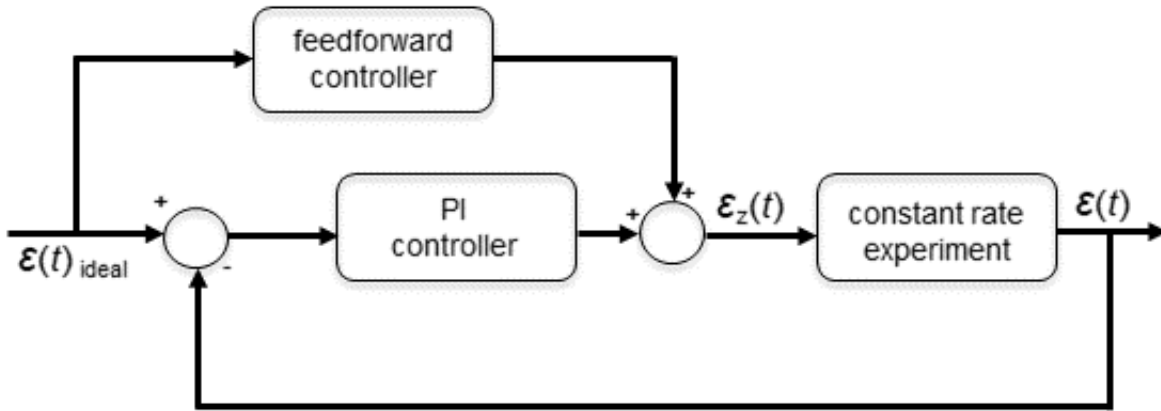


FIG. 5. Schematic of the control scheme.

205 In the control loop the Hencky strain based on diameter (ϵ) is the controlled variable:

206
$$\epsilon = -2\ln\left(\frac{D(t)}{D_0}\right), \quad (1)$$

207 while the strain based on the distance (ϵ_z) between plates is the actuated variable:

208
$$\epsilon_z = \ln\left(\frac{L(t)}{L_0}\right). \quad (2)$$

209 A combination of a PI (proportional/integral) feedback and a feed-forward control is used to
 210 act on ε_z to control ε . Hence the evolution of the diameter over time is controlled by changing the
 211 plate separation velocity profile accordingly. The governing equations are the following⁴⁸:

$$212 \quad \varepsilon_z(i+1) = \varepsilon_z(i) + \Delta\varepsilon_z^{\text{ff}}(i) + K_p[\delta\varepsilon(i) - \delta\varepsilon(i-1)] + K_i\Delta t[\delta\varepsilon(i)], \quad (3)$$

213 where $\delta\varepsilon$ is the error and $\Delta\varepsilon_z^{\text{ff}}$ is the feed-forward contribution calculated as:

$$214 \quad \delta\varepsilon(i) = 2\ln\left(\frac{D(i)}{D_{\text{ideal}}(i)}\right), \quad (4)$$

$$215 \quad \Delta\varepsilon_z^{\text{ff}}(i) = f(\varepsilon(i+1)) - f(\varepsilon(i)), \quad (5)$$

217 where $f(\varepsilon)$ describes the kinematic curve (i.e. the relation between the extent of separation be-
 218 tween the end plates and the midfilament diameter) with the following function:

$$219 \quad f(\varepsilon) = \frac{\alpha\varepsilon d}{\alpha\varepsilon + d}, \quad (6)$$

220 wherein the values of the parameters are $\alpha = 1$ and $d = 2.5^{48}$. The actuation time of the system (Δt)
 221 is 200 μs . After tuning the proportional and integral gain (K_p and K_i , respectively) of the feedback
 222 controller the optimal values found were $K_p=0$ and $K_i=2.5 \text{ s}^{-1}$, in agreement with the values from
 223 literature⁴⁸. This results in a deviation from the ideal diameter ranging from approximately 2%
 224 at low rates to approximately 15% at strain rates as high as 1 s^{-1} . By increasing the integral gain
 225 it is possible to reduce the error and to increase the maximum strain rate at which a successful
 226 experiment with closed loop control can be performed. In particular at a value of $K_i=12.5 \text{ s}^{-1}$
 227 experiments up to $\dot{\varepsilon}=2.5 \text{ s}^{-1}$ are possible. All the experiments shown in this paper are performed
 228 with a value of 0 for the proportional gain and 2.5 s^{-1} for the integral gain. **Switching off the**
 229 **control loop allows to achieve higher overall strain rates, albeit without control over their local**
 230 **value.** More details on the control scheme can be found in the paper of Marín et al.⁴⁸.

231 **D. Beamline setup**

232 Time-resolved X-ray experiments have been performed at the Dutch-Belgian beamline (DUB-
 233 BLE) at the European Synchrotron Radiation Facility (ESRF). Fig. 6 shows the beamline setup.

234 To allow alignment with respect to the incoming X-ray beam the rheometer is mounted on a
 235 system of linear translation stages. For the movements in x and y direction a Huber stage 5102.20

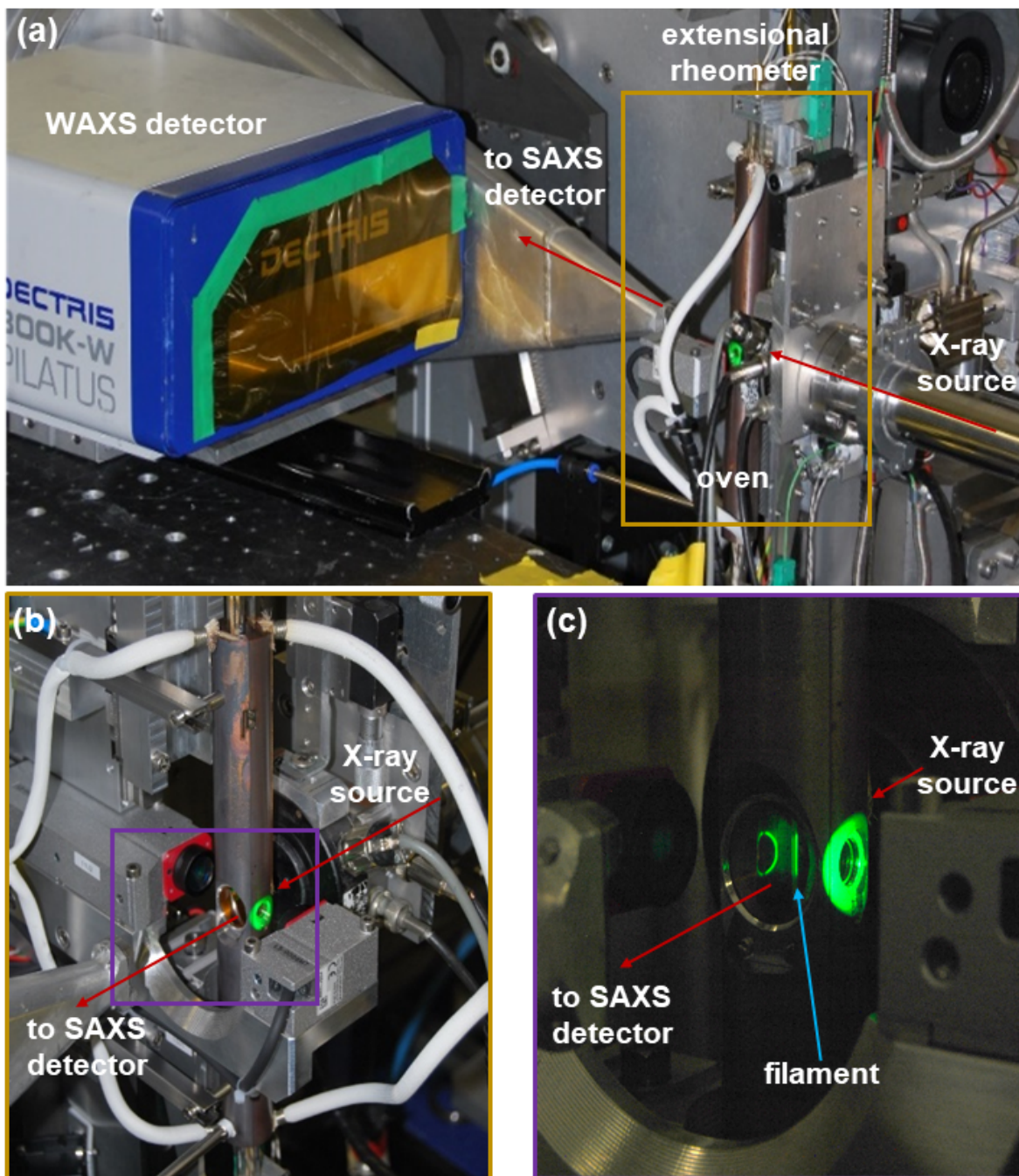


FIG. 6. (a) Experimental setup in the beamline BM26 at ESRF. (b) Close view of the alignment between the oven and the SAXS cone. (c) View of the filament after stretching and complete crystallization.

236 is used. The travel range of the motor is ± 15 mm and it has a minimal incremental step of 0.1
 237 mm. The z translation stage is a Huber 5103.A20-90 with a travel range of 90 mm and resolution
 238 of $0.05 \mu\text{m}$. The wavelength of the X-ray beam is 1.0408 \AA , and a beam size of $300 \mu\text{m} \times 300$
 239 μm is obtained by chopping the standard beam. This size is smaller than the minimum value of

TABLE I. Acquisition protocol.

acquisition time [s]	exposure time [s]	delay [s]
60	0.5	-
60	5	-
600	5	25
1080	5	55

240 the diameter reached during the experiments. During and after extensional flow X-ray scattering
 241 (SAXS) and wide angle X-ray diffraction (WAXD) measurements have been performed using,
 242 simultaneously, a Pilatus 1M detector (981 x 1043 pixels of $172\ \mu\text{m} \times 172\ \mu\text{m}$ placed at a distance
 243 of 3.432 m) and a Pilatus 300K detector (1472 x 195 pixels of $172\ \mu\text{m} \times 172\ \mu\text{m}$ placed at
 244 a distance of 0.297 m), respectively. The distance and tilt angle were calibrated using AgBe
 245 (silver behenate) for the SAXS detector and Al_2O_3 (aluminum oxide) for the WAXD detector.
 246 A mask was applied to remove any trace of the beam stop and direct beam from the acquired
 247 images that were further corrected for the background and the decrease of the diameter during the
 248 experiments⁵⁰. The starting of the SAXS/WAXD acquisition was triggered by an electric TTL
 249 pulse send by the rheometer to avoid any time discrepancy. A combination of a fast and a slow
 250 acquisition was used to collect both SAXS and WAXD patterns for a total acquisition time of 30
 251 min (1800s). The fast acquisition mode was used in the first 60 s of the experiments and carried out
 252 with an exposure time of 0.5 s. For the remaining 1740 s the slow acquisition mode was used, and
 253 an exposure time of 5 s was chosen. Detailed information regarding the acquisition procedure can
 254 be found in Table I. SAXS and WAXD data were analyzed using the software Fit2D⁵¹ developed
 255 by the European Synchrotron Radiation Facility.

257 III. EXPERIMENTAL PROCEDURE

258 A. Sample preparation

259 To validate the performance of the rheometer, experiments on a low density polyethylene
 260 (LDPE) (LD 150BW, ExxonMobil) have been carried out. The peak melting temperature (T_m)

261 of this LDPE is around 112°C, as determined by differential scanning calorimetry (DSC) at a
262 heating rate of 5°C/min. The samples used in our experiments are small LDPE cylinders of 6 mm
263 diameter and 1.5 mm thickness obtained by means of hot compression molding. A rectangular
264 mold was filled with LDPE pellets, heated to 180°C and held at this temperature for 5 min. Subse-
265 quently a force of 250 kN was applied and held for 6 min allowing the molten polymer to be shaped
266 between the two hot plates. After cooling to room temperature, samples of the desired dimensions
267 were punched out of the polymer sheet. A schematic of the procedure for the extensional rheology
268 experiment is shown in Fig. 7. The compression molded sample is placed on the bottom plate
269 allowing for melting. The plates are then moved close to each other, thereby slightly compressing
270 the molten polymer to ensure attachment to both plates before surface tension effects become pre-
271 dominant. The sample is held in between the plates for a sufficient time allowing relaxation and
272 homogenization of the temperature. Subsequently, the material is slowly prestretched to a desired
273 midfilament diameter value and its complete relaxation. During this procedure a small flow could
274 be present due to the interfacial tension and viscoelastic relaxation of the material, causing a small
275 decrease of the filament diameter. Moreover, depending on the viscosity of the material, the height
276 of the midpoint could shift to a lower position because of gravitational effects. Both phenomena
277 are visible on the real time video images from the laser micrometer, thus making it possible to
278 realign the midpoint to the center of the laser by moving both plates simultaneously in the same
279 direction. The midpoint position during both the prestretch and the experiment is constantly mon-
280 itored, indicating a fixed position for all the experiments performed in this work. In the rest of the
281 manuscript we will refer to $\Lambda_i=L_i/D_i$ as the initial aspect ratio of the compression molded sample,
282 $\Lambda_c=L_c/D_c$ as the aspect ratio prior to the prestretch and L_0 and D_0 as the values right before start-
283 ing the experiment, of the length L (distance between the plates) and the midfilament diameter D
284 (measured with the laser micrometer) that will be used in the control loop as initial conditions.

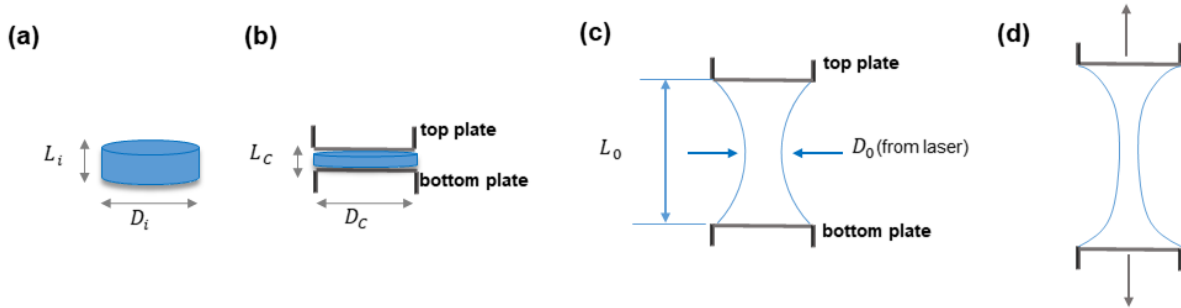


FIG. 7. Schematic of the experimental procedure. (a) Preparation of the sample by compression molding. (b) Melting and attachment to the end plates, by slight compression. (c) Prestretching. (d) Controlled uniaxial deformation.

286 B. Linear viscoelasticity

287 The material response to extensional flow is different from the one in simple shear. This is
 288 because extensional flow is irrotational and, therefore, it can induce much stronger orientation
 289 and stretching of the macromolecules. In a uniaxial extensional deformation the material function
 290 of interest is the transient extensional viscosity that is a function of the extension rate, time and
 291 temperature. For viscoelastic fluids after startup of the flow only in the limit of very low exten-
 292 sion rates the transient extensional viscosity approaches three times the transient shear viscosity,
 293 thereby defining the linear viscoelastic envelope (LVE)⁵². At higher strain rates, for branched poly-
 294 mers as LDPE, the extensional viscosity deviates upwards from the LVE, and this phenomenon
 295 is known as "strain hardening"^{45,49,53}. The linear viscoelastic behavior of the LDPE was de-
 296 termined using small amplitude oscillatory shear (SAOS) measurements. A 25 mm plate-plate
 297 geometry was mounted on an ARES rheometer with a convection oven using a nitrogen flux and
 298 experiments at four different temperatures, between 130°C and 190°C, were performed at 2%
 299 strain. The data were shifted to a master curve at the reference temperature of 150°C using time-
 300 temperature-superposition (TTS) (Fig. 8 (a)). The shift factors (Fig. 8 (b)) were fitted with the
 301 Arrhenius equation⁵⁴:

$$302 \quad a_T = \exp\left[\frac{E_a}{R}\left(\frac{1}{T} - \frac{1}{T_{\text{ref}}}\right)\right]. \quad (7)$$

303 In the above equation the fitting parameter is the activation energy E_a , R is the universal gas
 304 constant, T the temperature and T_{ref} the reference temperature. Good agreement between the

TABLE II. Relaxation spectrum for LDPE at 150°C.

mode	λ_i [s]	G_i [Pa]
1	0.00406	$1.44 \cdot 10^5$
2	0.0461	$4.85 \cdot 10^4$
3	0.386	$2.09 \cdot 10^4$
4	3.04	$7.46 \cdot 10^3$
5	22.7	$1.84 \cdot 10^3$
6	170	224

305 fitting and the experimental shift factors is found for a value of E_a of 65.7 kJ/mol, which is in
 306 agreement with literature values for a different LDPE grade⁵⁵.

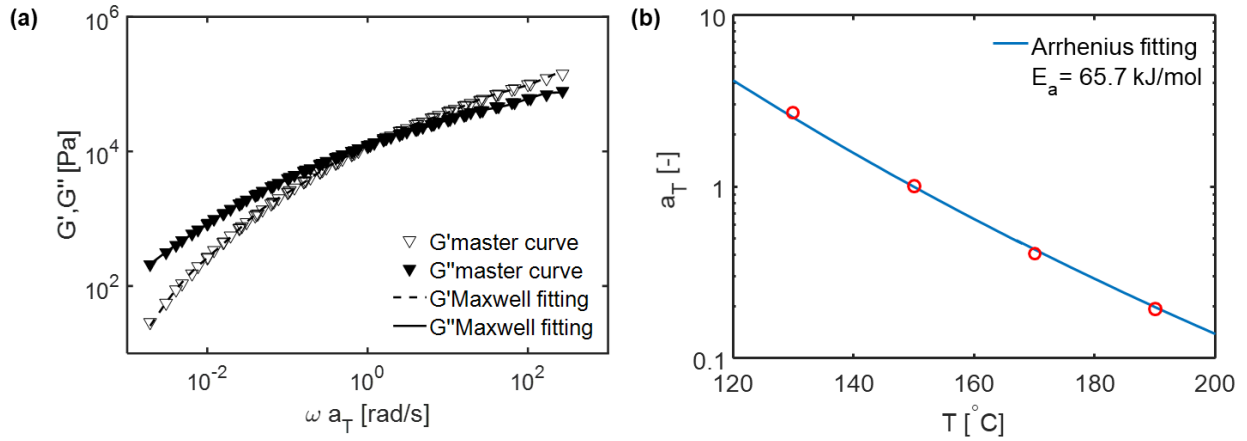


FIG. 8. (a) Master curve of storage modulus G' (open symbols) and loss modulus G'' (filled symbols) at the reference temperature of 150°C and multi-mode Maxwell model fitting (dashed and continuous lines). (b) Time-temperature shift factors (open symbols) and Arrhenius fitting.

307 A multi-mode Maxwell model was fitted on the dynamic moduli to obtain the linear viscoelastic
 308 relaxation spectrum. This model is constructed by placing n modes in parallel, whereby each mode
 309 (a spring and a dashpot connected in series) models a viscoelastic contribution of the polymer. The
 310 relaxation times and corresponding plateau moduli for LDPE are given in Table II.

311 **C. Experimental procedure for *in-situ* X-ray experiments**

312 To perform *in-situ* structure characterization experiments it is important that the midfilament
 313 point is perfectly aligned with the X-ray beam. After the polymer has been prestretched, the
 314 temperature is lowered to the desired experimental value. Before the temperature reaches the set
 315 point an X-ray scan is performed by moving the rheometer along the x - and z - direction, allowing
 316 the material, during this aligning procedure, to achieve the experimental temperature. **During this**
 317 **process the reduction of the minimum diameter was negligible (around 2%).** The transmitted beam
 318 intensity collected at the photo-diode is used to evaluate the coordinates of the midfilament point.
 319 Fig. 9 (a) illustrates the alignment method.

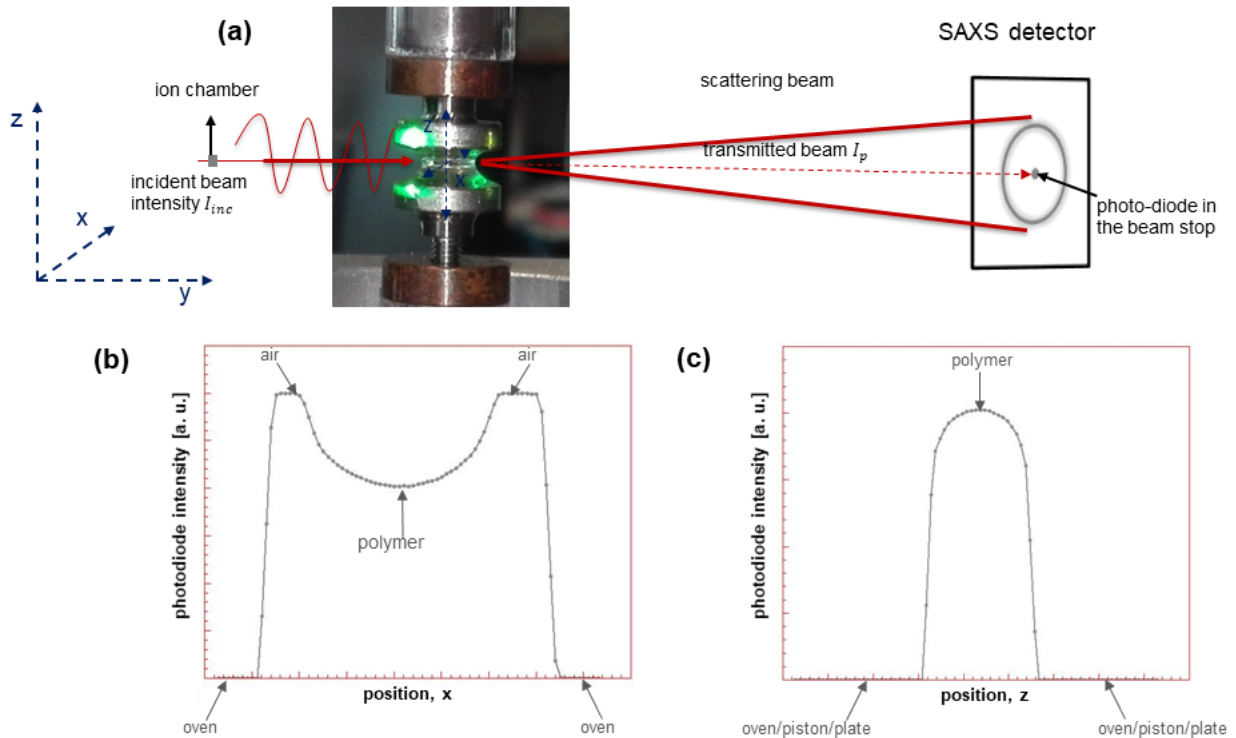


FIG. 9. (a) Schematic of the scanning procedure to find the midfilament point. (b) Photo-diode intensity profile in horizontal direction. (c) Photo-diode intensity profile in vertical direction.

320 When scanning along the x -direction (i.e. along the width of the sample) the metal of the oven
 321 initially absorbs the complete intensity received, as a consequence no intensity is transmitted to
 322 the photo-diode. Moving further the intensity suddenly reaches a maximum, corresponding to
 323 the air present in the oven. A subsequent decrease of the transmission marks the presence of

324 the polymer which absorbs part of the intensity proportionally to its thickness. The minimum
 325 in the intensity profile at the photo-diode corresponds to the center of the polymer, the profile
 326 is symmetric because of the geometry of the prestretched sample. While scanning along the z -
 327 direction (i.e. along the height of the sample) the photo-diode intensity is only different from zero
 328 when the X-rays pass through the polymer and the intensity shows a maximum at the midfilament
 329 region where the thickness is the lowest. Fig. 9 (b) and Fig. 9 (c) show the transmitted intensity
 330 profile, as measured with the photo-diode, as a function of the scanning position. This procedure
 331 allows to precisely identify the midfilament point and to align it to the X-ray beam source. A
 332 quiescent experiment (no flow applied after the prestretch) has been performed prior to the flow
 333 experiment to determine the time window for the temperature stabilization and scanning procedure
 334 before the polymer starts to crystallize. In quiescent conditions the crystallization starts around
 335 200s after the completion of thermal homogenization and alignment. The scanning procedure
 336 takes less than 5 minutes during which, SAXS/WAXD patterns of the midfilament region have
 337 been acquired to check that the material remains amorphous.

338 IV. RESULTS AND DISCUSSION

339 A. Control scheme and melt rheology validation

340 To demonstrate the capability of our rheometer to locally control the deformation, the im-
 341 plementation of the control scheme was validated by performing experiments at different flow
 342 conditions. Fig. 10 (a) demonstrates that the laser measurement effectively occurs at the point of
 343 minimum diameter. In Fig. 10 (b) we report the evolution of the diameter in time in comparison
 344 with the ideal exponential decrease during representative experiments performed at strain rates of
 345 0.1 s^{-1} and 1 s^{-1} , and a temperature of 150°C for an LDPE sample. Fig. 10 (c) shows the non-ideal
 346 relation between the strains in axial and radial directions.

347 The transient extensional viscosity calculated from a force balance⁵⁶, and in absence of surface
 348 tension and inertia contributions, is defined as^{44,57}:

$$349 \quad \eta_E^+ = \frac{F_z - 1/2mg}{\dot{\epsilon}\pi D^2/4}(\kappa\dot{\gamma}). \quad (8)$$

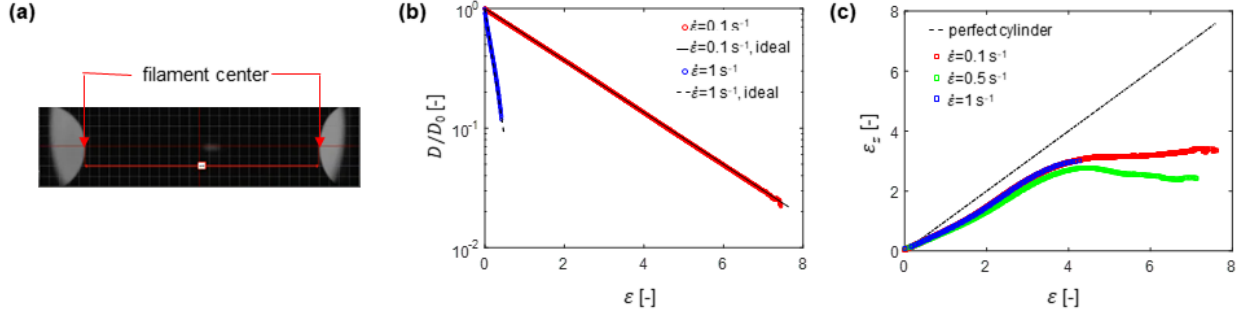


FIG. 10. (a) Optical image of the area around the filament point. (b) Comparison of the evolution of the filament diameter with the ideal exponential thinning profile. (c) Kinematic curve for the evolution of the axial strain. Experiments with LDPE at a temperature of 150°C .

350 In the above equation F_z is the axial force measured by the load cell, g is the gravitational ac-
 351 celeration, m the mass of the filament, D the diameter measured with the laser micrometer and $\dot{\epsilon}$
 352 the strain rate. At the startup of the flow strong shear contributions can be generated due to the
 353 pinning of the polymer at the end plates. The consequent radial pressure gradient adds an extra
 354 contribution to the axial force measured that can be compensated for by using a correction factor⁵⁸:

$$355 \quad \kappa\dot{\gamma} = \left(1 + \frac{\exp(-7(\epsilon + \epsilon_{\text{pre}}/3))}{3\Lambda_c^2} \right)^{-1}. \quad (9)$$

356 The above expression takes into account the amount of deformation that the polymer undergoes
 357 during the prestretch (ϵ_{pre}) and the aspect ratio prior to it (Λ_c). For large strains the shear con-
 358 tribution becomes negligible because of the reduction of the radial pressure gradients⁵⁹.

359 To test the validity of the rheological data, the extensional viscosity measured with our rheome-
 360 ter (rheoTU/e) has been compared with the linear viscoelastic envelope (LVE) and the extensional
 361 viscosity, measured at the same flow and temperature conditions, with a Sentmanat Extensional
 362 Rheometer (SER) setup mounted on an Anton Paar MCR502 with convection oven. The linear
 363 viscoelastic envelope is calculated from the following equation⁵²:

$$364 \quad \eta_E^+ = 3 \sum_i G_i \lambda_i \left(1 - \exp\left(\frac{-t}{\lambda_i}\right) \right). \quad (10)$$

365 Fig. 11 shows that the rheological response measured with our rheometer for LDPE is in nice
 366 agreement with the linear viscoelastic envelope (LVE) in the linear region and with data from the

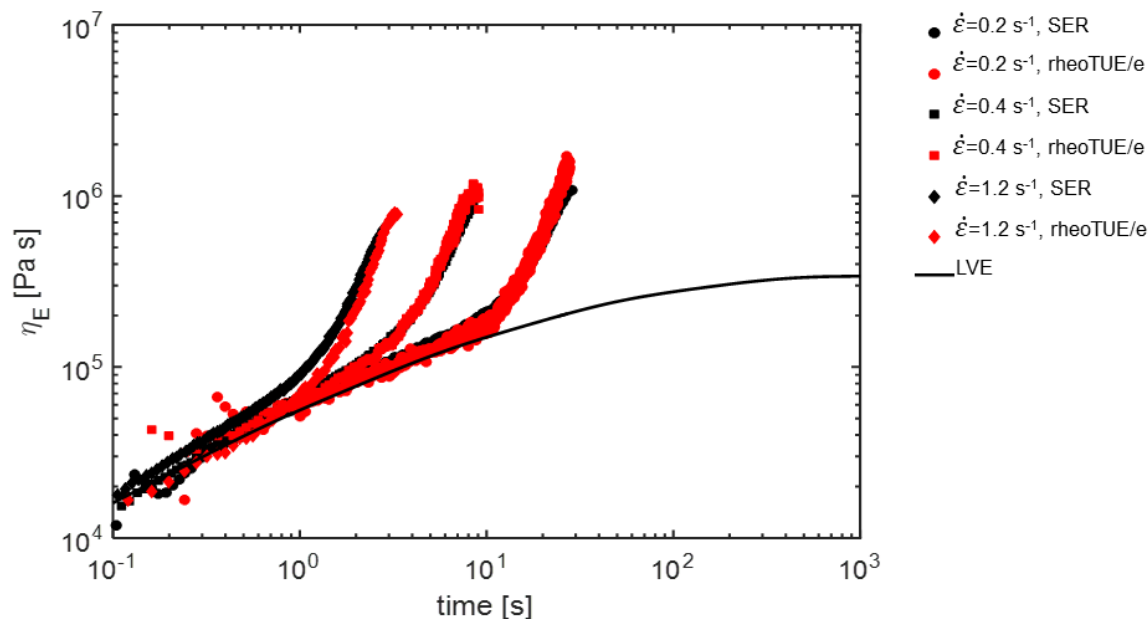


FIG. 11. Measured extensional viscosity as a function of time for LDPE at 150°C at different strain rates, comparison with SER measurements and LVE envelope.

367 commercial device (SER) over the complete measurement range.

368 B. Combining rheology and *in-situ* SAXS/WAXD

369 To demonstrate the potential of our filament stretching rheometer for *in-situ* structure character-
 370 ization, time-resolved X-ray experiments have been performed while applying a locally controlled
 371 deformation. A case study experiment is reported at 107°C, for a strain rate of 1.2 s⁻¹ and final
 372 strain of 3.2. Fig. 12 demonstrates that the crystallization kinetics, and the evolution of the crystal
 373 structure and morphology, during and after uniaxial extension, can be studied with our rheometer.
 374 The scattering patterns collected during flow (Fig. 12 (a)) being characteristics of the shish-kebab
 375 evolution can be compared to the viscosity evolution, which is measured simultaneously. In the
 376 linear regime the polymer is amorphous and no scattering pattern is observed. When the viscosity
 377 deviates from the LVE envelope, streaks appear in the SAXS pattern in the direction perpendicular
 378 to the flow, indicating the formation of shish. Immediately after the shish form, and the deforma-
 379 tion proceeds, lobes due to the scattering of crystal lamellae (kebabs) start to grow on top of the

380 shish as is clearly visible from the increase of the scattered intensity in the direction of the flow.

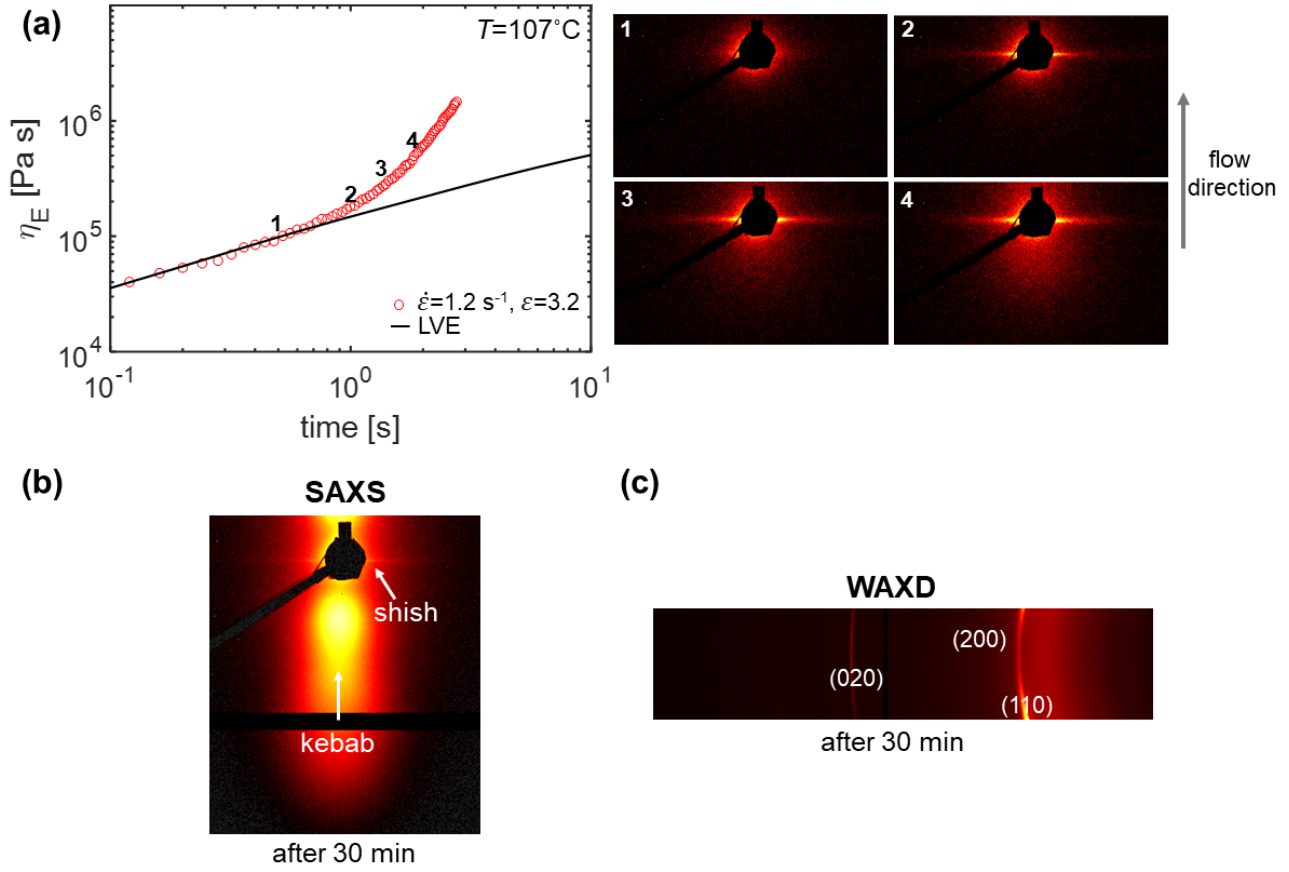


FIG. 12. (a) Shish kebab morphology evolution and extensional rheology response during flow, at a strain rate of 1.2 s^{-1} and final Hencky strain of 3.2. (b) SAXS pattern and (c) 1D-WAXD pattern after cessation of flow and complete crystallization (after 30 min). The positions of shish, kebab and of the characteristic crystal peaks of LDPE are indicated on the scattering and diffraction pattern, respectively.

381 To gain a better understanding of the changes in the morphology of the crystalline structures, an
 382 analysis of the intensity scattered at small angles was carried out. From the 2D-SAXS pattern two
 383 azimuthal regions can be identified. The equatorial (centered at $\phi=0^\circ$), and meridional (centered
 384 at $\phi=90^\circ$) (Fig. 13 (a)), where scattering of respectively shish and kebab occurs. To obtain the
 385 time evolution of the intensity the 2D-SAXS pattern was integrated azimuthally in both regions
 386 according to:

$$387 \quad I_{\text{SAXS}} = \int_{q_{\min}}^{q_{\max}} \int_{\phi_{\min}}^{\phi_{\max}} I(\phi, q) d\phi dq, \quad (11)$$

388 where q_{\min} and q_{\max} are the minimum and maximum values of the scattering vector experi-
 389 mentally accessible, ϕ_{\min} and ϕ_{\max} are the minimum and maximum value of the azimuthal an-
 390 gle (for the meridional region $\phi_{\min}=45^\circ$ and $\phi_{\max}=135^\circ$, for the equatorial region $\phi_{\min}=-15^\circ$ and
 391 $\phi_{\max}=20^\circ$. Because the beam stop is not perfectly aligned to the shish position, the integration area
 392 of the equatorial region results to be asymmetrical). **The intensities of the kebab (flow experiment)**
 393 **and of the spherulites (quiescent experiment) have been corrected with the Lorentz factor for a 2D**
 394 **and 3D object respectively⁶⁰.** The time evolution of the SAXS meridional and equatorial intensity
 395 is reported in Fig. 13. The intensities are normalized with respect to the corresponding maximum
 396 values (to allow a more clear comparison of the trends in Fig. 13 (b)). The kebabs intensity is
 397 compared with the scattered intensity under quiescent conditions. As expected flow has two main
 398 effects on the crystallization process. Firstly, it enhances the crystallization rate, an effect which is
 399 noticeable from the earlier onset of crystallization and the quasi-plateau reached in the meridional
 400 intensity curve compared to the quiescent case. Secondly, it modifies the crystallization kinetics
 401 changing the shape of the intensity curve versus time, due to the development of highly oriented
 402 structures. Furthermore it can be observed that the equatorial intensity (shish) grows much faster
 403 during flow as compared to the meridional one (kebab) and it shows an unusual maximum fol-
 404 lowed by a decrease over time. We believe that this phenomenon is caused by the nature of the
 405 SAXS technique itself. The scattered intensity indicates the deviation of the local electron density
 406 from the average electron density in the irradiated volume⁶¹, as a consequence, when kebabs start
 407 to grow very fast they take over the shish on the scattering pattern causing, because of the electron
 408 density difference, an apparent decrease of the equatorial intensity.

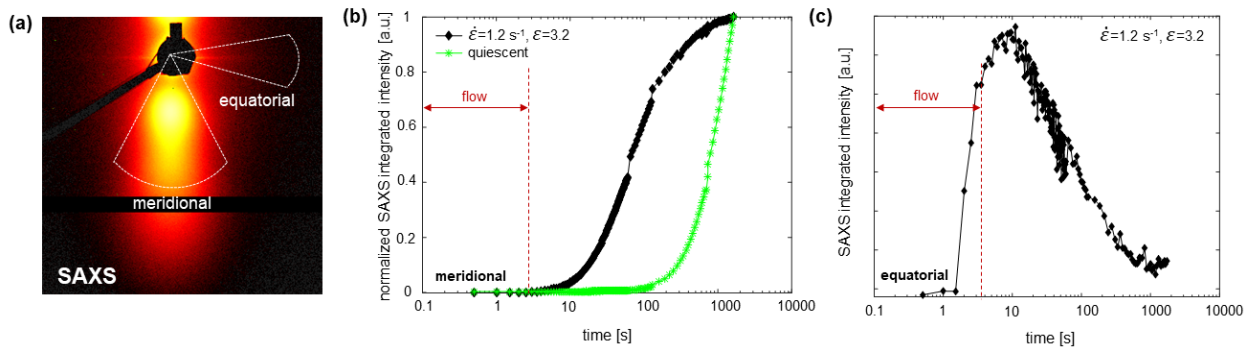


FIG. 13. (a) Anisotropic two-dimensional SAXS image showing the two azimuthal regions. (b) Kebab intensity evolution (black) compared with meridional intensity evolution under quiescent conditions (green). (c) Shish intensity evolution.

409 Simultaneously 1D-WAXD patterns have been acquired in the equatorial direction. Because
 410 the Pilatus 300K detector has a limited azimuthal range (not sufficient to capture the complex
 411 orientation and twisted lamellae typical of polyethylene⁶²) only a qualitative time evolution of
 412 the characteristic crystal peaks of LDPE is provided. The 3D-plot in Fig. 14 shows the intensity
 413 profiles obtained by radially integrating the 1D-pattern and plotting it against the time and the
 414 scattering angle (2θ). The evolution from a completely molten polymer, at the start of the flow, to
 415 a fully crystallized one starts with the decrease of the amorphous halo and the appearance of the
 416 characteristic peak (110) approximately after 2.5 s. Three reflections centered at scattering angle
 417 values (2θ) of 14.7° , 15.1° and 25.4° related to interplanar distances (d) of 4.1 \AA , 3.9 \AA and 2.4
 418 \AA , characteristic for the (110), (200) and (020) planes of the orthorhombic form of polyethylene⁶³,
 419 respectively are present. The small peak at lower scattering angle could suggest the presence of
 420 the (001) plane of the monoclinic form of polyethylene usually favored by high deformations⁶⁴.
 421 The investigation of the latter is outside the scope of this work.

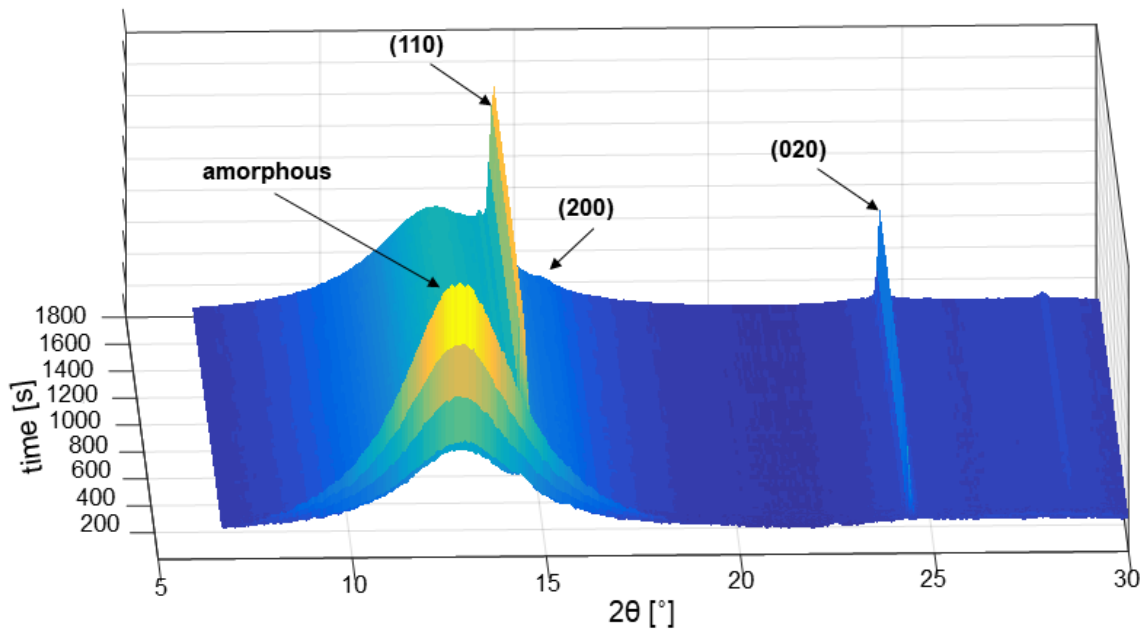


FIG. 14. Real-time 1D-WAXD evolution for the experiment performed at a final Hencky strain of 3.2 with a constant strain rate of 1.2 s^{-1} .

422 The results from this case study on LDPE demonstrate the capability of our in-house devel-
 423 oped rheometer to simultaneously capture the structure and morphology evolution, and thus the
 424 kinetics of the development of the microstructure, while the polymer undergoes a controlled uni-

425 axial extensional deformation. Further experiments at different flow conditions, and on different
426 semi-crystalline polymers, will help in unraveling unsolved questions of flow induced crystalliza-
427 tion. Furthermore the fixed location of the midfilament point is a unique innovation that makes the
428 device flexible towards different *in-situ* characterization techniques.

429 V. CONCLUSIONS

430 A filament stretching rheometer has been designed and constructed, with the ability to per-
431 form *in-situ* X-ray experiments on flow-induced crystallization of polymer melts during and after
432 a precisely controlled uniaxial extensional flow. To demonstrate the performance of the rheometer
433 the extensional rheology of a molten LDPE sample has been measured, and *in-situ* flow induced
434 crystallization experiments have been performed in a synchrotron radiation facility. Controlled ex-
435 periments with extension rates up to 2.5 s^{-1} , with a deviation from the ideal diameter ranging from
436 2% to approximately 15%, depending on the applied strain rate and chosen controller gains, are
437 possible and good agreement with results from commercial rheometers is found. Furthermore the
438 *in-situ* X-ray experiments demonstrate that the crystallization kinetics, and the evolution of crystal
439 structure and morphology can be studied with our innovative rheometer. Access to this unique
440 information will help us to develop an enhanced understanding of extensional flow-induced crys-
441 tallization that is essential for the design of polymer processing operations involving extensional
442 flow. The flexibility of the rheometer towards different *in-situ* characterization techniques, due to
443 the steady location of the midfilament point, opens new opportunities in material testing.

444

445 SUPPLEMENTARY MATERIAL

446 See supplementary material for the 3D virtual reality model of the in-house filament stretching
447 rheometer.

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454

455 DATA AVAILABILITY STATEMENT

456 The data that support the findings of this study are available from the corresponding author upon
457 reasonable request.

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