Kinetic modeling of *in vitro* small intestinal lipid digestion as affected by the emulsion interfacial composition and gastric pre-lipolysis

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1 Abstract

2 This research evaluated the impact of the emulsion interfacial composition on in vitro small intestinal lipolysis kinetics with the inclusion of rabbit gastric lipase resulting in a gastric pre-3 lipolysis step. O/w emulsions contained 5% triolein (w/w) and 1% (w/w) of the following 4 5 emulsifiers: sodium taurodeoxycholate, citrus pectin, soy protein isolate, soy lecithin and tween 80. Emulsions were subjected to static *in vitro* digestion and diverse lipolysis species quantified 6 via HPLC-charged aerosol detector. Single-response modeling indicated that kinetics of lipolysis 7 in the small intestinal phase were impacted by the emulsion particle size at the beginning of this 8 9 phase. Multi-response modeling permitted the elucidation of the lipolysis mechanism under in 10 vitro conditions. The final reaction scheme included enzymatic and chemical conversions. The modeling strategies used in this research allowed to gain more insight on the kinetics and 11 mechanism of in vitro lipid digestion. 12

13 Keywords

14 Emulsion interfacial composition; lipid digestion; *in vitro*; kinetic modeling; gastric lipase;15 pancreatic lipase

16 Introduction

The digestion of lipids in oil-in-water (o/w) emulsions is a phenomenon that depends on the extent 17 of lipase adsorption at the oil-water interface ^{1,2}. Consequently, the composition of the emulsion 18 interface influences phenomena directly related to lipid digestion: (i) the interfacial displacement 19 20 of the emulsifier by bile salts and lipases; (*ii*) the emulsion stability under digestive conditions; (*iii*) the potential hydrolysis of emulsifiers at the interface, and (*iv*) the micellization and transport 21 of lipolysis products. The competitive adsorption between lipase, bile salts and emulsifiers at the 22 23 interface refers to the capacity of the former ones to displace the original emulsifier so the lipid digestion process can proceed ^{3,4}. Recently, it has been shown that dog gastric lipase adsorption 24 was blocked by using adsorbed polymer particles in an o/w emulsion ⁵. Next to this, the 25 26 physicochemical properties of the emulsifier(s) used can largely influence the emulsion stability under digestion conditions. As a consequence, the available surface area for lipase adsorption can 27 be significantly modified causing changes in the kinetics of lipid digestion $^{6-9}$. Another implication 28 29 of the interfacial composition is the potential hydrolysis of emulsifiers present at the interface (e.g. protein- or digestible carbohydrate- or lipid-based stabilizers)¹⁰. This phenomenon can influence 30 31 emulsion stability as well as the interaction between these hydrolyzed surface-active compounds and digestive elements (i.e. lipases and bile salts). Micellization and transport of lipolysis products 32 could also be supported by emulsifiers with micellization capacity if these are present in the initial 33 34 emulsions (e.g. phospholipids).

The engineering of emulsion properties from a digestibility perspective could have diverse applications, e.g. to increase lipophilic bioactives bioavailability or to affect satiety ^{11,12}. Vast research has been conducted to evaluate this effect under simulated small intestinal conditions ^{13–} ¹⁸. Nonetheless, only a limited amount of *in vitro* studies have included a relevant substitute of human gastric lipase to evaluate the impact of gastric pre-lipolysis on the subsequent small
intestinal phase ^{16,19,20}. Moreover, quantification of diverse lipolysis species, monitoring of
emulsion stability during *in vitro* digestion, and/or use of emulsifiers of different chemical nature
is still lacking in the state-of-the-art research.

The study of reaction kinetics taking place in food systems implies the application of suitable 43 44 quantification techniques to collect relevant data, and appropriate mathematical modeling methods to quantitatively compare kinetic parameters ²¹. When evaluating the effect of emulsion properties 45 on lipid digestion kinetics, few studies have attempted to apply modeling techniques to understand 46 this phenomenon ^{15,18,22-24}. Most research in this field have evaluated results from different 47 treatments/conditions by visual comparison or basic statistical analysis (ANOVA and post hoc 48 comparison tests). In addition, a common feature in most recent studies assessing the lipolysis 49 kinetics as affected by emulsion design properties is the quantification of FFA release via titration 50 as a sole response ²⁵. Drawbacks of this technique are the lack of information about enzymatic 51 52 conversions of intermediate products, low sensitivity and report of odd results (e.g. FFA release extents higher than 100%). The quantification of multiple lipid digestion species could allow to 53 overcome these flaws and moreover give mechanistic insight on the lipolysis reactions. Some 54 55 fundamental studies in which the stereoselectivity of lipases was analyzed have employed chromatographic techniques to quantify the release of multiple lipid digestion products (e.g. tri, 56 di-, monoglycerides, and fatty acids) ²⁶⁻²⁸. However, to the best of our knowledge, limited 57 58 information can be found regarding the quantification of multiple lipolysis species (including isomers) when studying the effect of emulsion design properties on *in vitro* lipid digestion. 59

In this research, our objective was (*i*) to assess the effect of the emulsion interfacial compositionon the kinetics of small intestinal lipid digestion after a gastric pre-lipolysis step by means of

single-response modeling and relating it to the emulsion stability during the gastric phase, and (ii) 62 to elucidate the lipolysis molecular mechanism under static in vitro small intestinal conditions in 63 presence of gastric and pancreatic lipases by using the advanced multi-response modeling 64 technique. To achieve these objectives, emulsions were prepared with triolein (5%) and a 65 stabilizing agent (1%) which selection was based on a diverse stability performance and chemical 66 nature: sodium taurodeoxycholate (NaTDC), lecithin (LEC), soy protein isolate (SPI), citrus pectin 67 (CP) or tween 80 (TW80). NaTDC is a purified bile salt, which can be potentially employed in 68 self-emulsifying systems²⁹. LEC is an ionic stabilizing agent commonly used in food formulations. 69 70 TW80 is an edible non-ionic surfactant, stable over a broad pH range. SPI is an ionic food polymer, employed as stabilizer in meat products, cake batters, coffee whiteners, milks, mayonnaise, salad 71 dressings, and frozen desserts ³⁰. CP is a indigestible carbohydrate, found in the waste streams of 72 the citrus industry. CP has shown a good emulsifying and stabilizing capacity in model emulsions 73 ³¹. The substrate for lipases was triolein. We selected this purified oil because it is abundant in 74 commercial oils like olive, canola and high oleic sunflower oil ³². Moreover, triolein hydrolysis 75 can generate isomers that can be further quantified to obtain mechanistic insight on lipid digestion 76 reactions. 77

78 Materials and methods

79 **Preparation of emulsions**

Different emulsifying agents were individually employed to prepare a series of emulsions: sodium
taurodeoxycholate (> 95%, Cayman Chemical Company, MI, USA), lecithin (98%
phosphatidylcholine, PanReac AppliChem, Darmstadt, Germany), soy protein isolate (90%, Bulk
Powders, Colchester, UK), citrus pectin (degree of methylesterification ≥ 85%, Sigma-Aldrich,

Diegem, Belgium) or tween 80 (Sigma-Aldrich, Diegem, Belgium). Emulsions contained triolein 84 (5% w/w) (>99%, Acros Organics, Geel, Belgium), one type of the above mentioned emulsifying 85 agents (1% w/w) and Milli-Q water (94% w/w). First, coarse emulsions were prepared using a 86 high-shear mixer (Ultra-Turrax T25, IKA, Staufen, Germany) at 13500 rpm for 5 min. For CP and 87 SPI, these compounds were first dissolved or dispersed in water overnight under constant stirring 88 89 prior to mixing with the oil. Second, the coarse emulsions were subjected to one cycle of homogenization at 100 MPa in a high-pressure homogenizer (Stansted SPCH-10, Homogenizing 90 systems, U.K.) to form a fine emulsion 33 . 91

92 In vitro digestion of the generated emulsions

In this study, a kinetic approach was followed to analyze the time dependent evolution of *in vitro* 93 94 digestion. Hence, one independent, end-point moment for the gastric phase (i.e. 120 min after 95 addition of gastric enzymes) and eight independent moments for the small intestinal phase (i.e. 5; 96 10; 15; 30; 45; 60; 90; 120 min after addition of pancreatic enzymes enzymes) were considered to 97 evaluate the digestion kinetics per emulsion type. For this purpose, we utilized the standardized protocol of the international network INFOGEST ³⁴. In this method, static conditions are used for 98 each digestion compartment, which means that physiological parameters are set at the beginning 99 of each digestion phase. We down-scaled the in vitro digestion experiment to reduce the 100 consumption of chemical products and enzymes as explained in our previous work ³³. 101

Gastric phase. In a brown vial (to avoid oxidation of lipids), volumes of 125 μL of emulsion and
125 μL of Milli-Q water were mixed to simulate dilution by saliva in the oral phase. In a next step,
we added 200 μL of simulated gastric fluid set at pH 3, and 5 μL of a 15 mM CaCl₂ solution.
Afterwards, 15 μL of a rabbit gastric extract (RGE, Lipolytech Marseille, France) solution,
containing pepsin and rabbit gastric lipase, was added to mimic gastric lipid digestion (RGE was

107 dissolved in Milli-Q water). RGE lipase activity was experimentally measured (19.9 \pm 1.3 U/mg tributyrin-based). Then, HCl 50 mM was added to reach a pH value of 3. The exact HCl volume 108 was determined in a preliminary up-scaled experiment and was different for each emulsion. Lastly, 109 enough Milli-Q water was added to reach a final chyme volume of 0.5 mL. In each digestion vial, 110 a gastric lipase activity of 60 U/mL (tributyrin-based) and consequently a pepsin activity of 2340 111 112 U/mL (hemoglobin-based) was reached. The above mentioned volumes were added to all eight independent samples intended for studying the small intestinal phase kinetics. For end-point gastric 113 114 phase samples per emulsifier type, the double of these aliquots were added to reach a final volume of 1 mL. Each vial headspace was filled with nitrogen, whereafter the vials were incubated at 37 115 °C. The stomach mechanical agitation was mimicked with an end-over-end rotator set at 40 rpm. 116 Gastric lipid digestion reactions were stopped via chemical inhibition. In case of the end-point 117 gastric phase sample, we added 10 µL of a 100 mM Orlistat (Sigma-Aldrich, Diegem, Belgium) 118 ethanol solution to inhibit lipid digestion. The remaining eight independent tubes per emulsifier 119 120 type were further processed in the small intestinal phase without gastric lipase inhibition.

Small intestinal phase. The brown vials from the gastric phase were opened and a series of 121 solutions were added to the 0.5 mL of chyme. First, 200 µL of simulated intestinal fluid set at pH 122 123 7, and 40 μ L of a 15 mM CaCl₂ solution were incorporated. Subsequently, we added 75 μ L of bile salts solution (to reach 10 mM in the chyle). Bile salts content in the bile extract (Sigma-Aldrich, 124 125 Diegem, Belgium) was determined (1.24 mmol/g powder). Then, an aliquot of 125 μ L of 126 pancreatin was added to reach 2000 U/mL of lipase activity using tributyrin as substrate. Pancreatic 127 extract powder was kindly donated by Nordmark (Uetersen, Germany), and presented an experimentally determined lipase activity of 125 U/mg (tributyrin-based). Finally, NaOH (50 mM) 128 was included in the reaction mixture to reach a pH value of 7 (the exact volume was determined 129

in a preliminary up-scaled experiment, and depended on the emulsifier used). The total chyme volume was 1 mL after adding enough Milli-Q water. The headspace of each vial was again filled with nitrogen, incubated at 37 °C, and agitated with an end-over-end rotator set at 40 rpm. Lipolysis in the small intestinal phase was stopped by adding 10 μ L of 100 mM Orlistat and 10 μ L of an 4-bromophenylboronic acid (Sigma-Aldrich, Diegem, Belgium) solution (1 M in methanol) to inhibit gastric lipase and pancreatic lipase, respectively. All samples were kept on ice until lipid extraction was started immediately after the digestion experiment was finished.

137 Oil droplet physicochemical properties

The particle charge, microstructure, and particle size were determined in the emulsions and their respective digested samples taken at different digestion moments (after 120 min of gastric phase; and 15, 30, 60 and 120 min of intestinal phase). These oil droplet properties are indicators for the emulsion stability evolution during *in vitro* digestion ^{24,33}.

142 Particle charge

The oil droplet ζ-potential in the initial emulsion and digested samples was determined via
dynamic light scattering electrophoresis equipment (Zetasizer NanoZS, Malvern Instruments,
Worcestershire, UK). Emulsion, gastric, and small intestinal phase samples were diluted (1:10)
with pure Milli-Q water, Milli-Q water adjusted to pH 3, or to pH 7, respectively before analysis.
We performed these measurements in duplicate per sample type ³³.

148 Microstructure

149 We observed the microstructure of the initial emulsions (1:4 dilution with Milli-Q water) and their

respective digested samples (no dilution) using an optical microscope (Olympus BX-41) equipped

with an Olympus XC-50 digital camera (Olympus, Opticel Co. Ltd., Tokyo, Japan). Samples
 microstructure was observed at 40x magnification ³³.

153 Particle size

Initial emulsions and digested samples were also analyzed in a laser diffraction equipment (Beckman Coulter Inc., LS 13 320, FL, USA). We determined the particle size distribution and volume-weighted mean particle size d(4,3) in duplicate applying the same settings as described in our previous work ³³.

158 Quantification of lipid digestion products

From triolein (TAG) hydrolysis, diverse hydrolysis products can be generated: sn-1,2/2,3-diolein (sn-1,2/2,3-DAG); sn-1,3-diolein (sn-1,3-DAG); sn-2-monoolein (sn-2-MAG); sn-1/3-monoolein (sn-1/3-MAG) and oleic acid (FFA). All these neutral lipids were immediately extracted after performing the digestion experiment and stored at -80 °C for maximally one week. Lipid extraction and HPLC-CAD quantification were exactly carried out following the procedure indicated in our previous work ³³. The concentration of glycerol (GLY) per digestion moment was calculated by performing a molar balance of the lipolysis products as explained in the previously cited study.

166 Statistical analysis and modeling

167 One-way ANOVA and comparison test

We statistically compared the changes in volume-weighted mean droplet size and ζ -potential during *in vitro* digestion. Therefore, we utilized the software JMP (JMP pro14, SAS Institute Inc., Cary, NC, USA) to carry out an one-way ANOVA and Tukey HSD comparison tests to determine significant differences (P < 0.05) among samples during *in vitro* digestion.

9

172 Single-response kinetic modeling

Lipolysis kinetics during the small intestinal phase were evaluated via single-response modeling 173 using the software JMP (JMP pro14, SAS Institute Inc., Cary, NC, USA). For this type of 174 modeling, we selected the ratio of TAGs digested during the small intestinal phase over the initial 175 TAGs concentration in the emulsion as a response. We employed an empirical, fractional 176 conversion model to compare the kinetic parameters of the different emulsions under in vitro small 177 conditions ³⁵. This technique allowed the estimation of kinetic parameters which are specified in 178 equation (1). The term C (%) represents the predicted response at time t (min) during the small 179 intestinal phase. The estimated parameters are: the asymptotic value C_f (%); the initial value C_0 180 (%) (t=0); and the reaction rate constant $k (\min^{-1})$. 181

182
$$C = C_f + (C_0 - C_f)e^{-kt}$$
 (1)

183 We compared the estimated kinetic parameters (C_0 , C_f and k) by calculating their confidence 184 intervals (95%).

185 Multi-response kinetic modeling

We aimed to obtain mechanistic insight into the lipolysis reaction in the small intestinal phase by means of multi-response modeling. This advanced modeling technique has been previously utilized in our research unit. A reaction scheme of lipolysis in the small intestinal phase in presence of lipases from a pancreatic extract was proposed ²³. Recently, our research group postulated a mechanism of gastric lipolysis in presence of gastric lipase which consisted of both enzymatic and chemical conversions ^{24,33}. In the present study, we aimed to understand the lipid digestion mechanism in the small intestinal phase in presence of gastric as well as pancreatic lipases. 193 The first step of multi-response modeling was to propose a reaction scheme based on the available literature regarding the lipid digestion mechanism and the data generated in this study. Afterwards, 194 the proposed (bio)chemical reactions were transformed into differential equations. These equations 195 contained the concentrations of diverse lipolysis products quantified by HPLC-CAD, and reaction 196 rate constants (k, \min^{-1}) that were estimated with this advanced methodology. We estimated the 197 kinetic parameters by solving the differential equations with the 'proc model' command of the 198 statistical software SAS (version 9.4, SAS Institute Inc., Cary, NC, USA). A variable order, 199 variable step-size backward difference scheme was employed to integrate the differential 200 201 equations. We employed the full information maximum likelihood (FIML) and the Gauss-Newton minimization methods to estimate the kinetic parameters. The concentrations of sn-1,2/2,3-DAG; 202 sn-1,3-DAG; sn-2-MAG; sn-1/3-MAG; FFA and GLY at the starting point of intestinal digestion 203 were set equal to the experimentally determined concentrations at the end of the gastric phase. We 204 made use of the 'fit' statement with standard options and set the 'dynamic' option as well as a 205 convergence criterion of 0.01, and the maximum number of iterations equal to $500^{23,24,33}$. 206

207 **Results and discussion**

208 Changes in oil droplet properties during *in vitro* digestion

Some indicators of emulsion stability were followed during *in vitro* digestion because these properties may drastically influence lipolysis kinetics. The oil droplet charge can give information about interfacial electrostatic interactions impacting the overall emulsion stability. Emulsion microstructure and particle size give complementary indication of emulsion (in)stability (e.g. coalescence or flocculation). The volume-based average particle size d(4,3) of the different initial emulsions was initially equivalent: $0.94 \pm 0.00 \ \mu m$ for NaTDC-, $1.31 \pm 0.04 \ \mu m$ for LEC-, $1.10 \pm$

215 0.05 μ m for SPI-, 1.12 \pm 0.16 μ m for CP-, and 0.78 \pm 0.03 μ m for TW80-based emulsions.

216 NaTDC emulsion. The oil droplet characteristics presented a variable trend during digestion. The 217 ζ -potential of the initial emulsion was largely negative due to the ionic nature of the emulsifier (-79.9 mV at pH 6.8). Yet, after 2 hours of gastric digestion, the droplet charge changed to a slightly 218 219 positive value (Figure 1). As observed in Figure 2 and the Supp. information, simulated gastric 220 conditions drastically increased the particle size of the emulsion indicating a large extent of 221 emulsion droplet coalescence. Therefore, the ζ -potential measured at the end of the gastric phase 222 probably represents the ions solubilized in the aqueous phase as the emulsion showed phase separation. The reason for this phenomenon may be the formation of micelles between NaTDC 223 224 molecules and lipid digestion products causing a removal of NaTDC molecules from the interface. This probably led to the destabilization of oil droplets resulting in a high extent of emulsion 225 coalescence (Figure 2 and Supp. Information). A different scenario occurred during the small 226 227 intestinal phase. The ζ -potential after 15 min of small intestinal digestion became negative because of bile salts and phospholipids adsorption to the interface added in the bile extract. During this 228 phase, Figure 1 depicts a gradual decrease in the droplet charge which may represent the formation 229 of free fatty acids and/or micellar structures ³⁵. In case of the particle size, we observed a 230 progressive decrease which can be due to a structuring effect by the addition of bile salts. The role 231 of bile salts in emulsification of lipids during digestion is widely acknowledged ^{36,37}. This 232 233 structuring phenomena could also be promoted by lipolysis products with surface active properties (e.g. monoglycerides). 234

LEC emulsion. The ζ -potential was considerably negative because the phospholipids were ionized at the pH of the emulsion (8.0). At the end of the gastric phase, the droplet charge changed to 5.8 237 mV. This charge sign change possibly occurred due to the adsorption of positive ions added with the simulated fluids onto the negatively charged phosphates groups of the emulsifier resulting in a 238 shielding effect ^{38,39}. The positive droplet charge could also be influenced by the pH decrease 239 during the gastric phase (from 8 to 3), which changed the charge of the phospholipids. The particle 240 size slightly increased during this phase, mainly due to coalescence (Figure 2 and Supp. 241 242 Information). The generation of lipolysis products during this phase possibly also contributed to the stabilization of oil droplets. Along the small intestinal phase, the ζ-potential evolution had an 243 analogous behavior as the NaTDC-based emulsion due to fatty acids production and micelles 244 245 formation. The particle size rapidly augmented during the first 30 min of small intestinal digestion due to flocculation. Afterwards, lipid digestion caused the gradual disappearance of oil droplets 246 leading to a particle size decrease observed until the end of this phase. A comparable behavior was 247 observed for a lysolecithin-stabilized emulsion during *in vitro* small intestinal phase ¹³. 248

SPI emulsion. It presented a negative droplet charge due to the pH value of the emulsion (8.0) 249 which is above the isoelectric point of soy proteins 40 (Figure 1). During the gastric phase, the ζ -250 potential shifted towards a positive value due to the acid pH (lower than isoelectric point of soy 251 proteins). Afterwards, it gradually became more negative possibly due to the release of free fatty 252 253 acids and/or the hydrolysis of adsorbed soy proteins. Protein digestion has shown to decrease the net surface charge of protein-stabilized emulsions⁴¹. About the particle size, it moderately 254 increased until an average value of 10 µm after 120 min of gastric digestion mainly due to 255 flocculation (Figure 2 and Supp. information). Even if protein stabilizing the oil droplets were 256 partially cleaved by pepsin potentially causing emulsion instability, generated peptides and 257 lipolysis products can stabilize oil droplets as previously reported ^{41,42}. In case of the small 258 intestinal phase, bile salt adsorption, formation of fatty acids and proteolysis turned the ζ-potential 259

into negative values which became more negative over digestion time. The mean particle size of the SPI-stabilized emulsion drastically increased after 15 min of small intestinal digestion. Then, it decreased to values between 12-27 μ m during the remaining small intestinal phase. A structuring phenomena occurring in this case can possibly be linked to the formation of micelles and production of lipolysis products resulting in smaller oil droplets.

CP emulsion. This emulsion exhibited a droplet electrical charge of -20.1 mV (Figure 1). During 265 gastric digestion, the acid pH changed the ζ-potential magnitude to a slightly positive magnitude 266 267 because it was close to pectin pKa, while the particle size increased to a value of 18 µm due to a 268 combined effect of flocculation and coalescence (Figure 2 and Supp. information). Similar results were encountered for CP-based emulsions of different methyl esterification degrees subjected to 269 *in vitro* gastric digestion in absence of gastric lipase ^{43,44}. In case of the small intestinal phase, the 270 ζ -potential followed a similar trend compared to the previous emulsions. The particle size 271 augmented to values around 32-35 µm due to flocculation as observed in Figure 2. Similar findings 272 were encountered for the same type of CP in a previous work ⁴³. 273

TW80 emulsion. As observed in Figure 1, the initial ζ-potential value was -1.4 mV. This almost 274 neutral charge was expected since TW80 is a non-ionic surfactant. The slightly negative charge 275 276 may be caused by some free fatty acid impurities present in the emulsifier or the adsorption of OH⁻ groups at the oil-water interface 17 . During gastric digestion, the ζ -potential and mean particle size 277 278 magnitude did not significantly change. However, during the small intestinal phase, an increase in the d(4,3) value was detected after 15 min of digestion due to flocculation (Figure 2). Hereafter, 279 280 there was a progressive decrease in the magnitude of this property because oil droplets disappeared due to lipid digestion and formation of micelles. Regarding the ζ -potential, again negative values 281 were observed during small intestinal digestion due to bile salts adsorption, fatty acid release and 282

micelles formation. This behavior in ζ -potential was also described for a tween-20-stabilized emulsion ¹³.

Lipid digestion products formation during small intestinal *in vitro* digestion

The analytical platform utilized in this work permitted the identification and quantification of the 286 287 substrate triolein (TAG) and its corresponding lipolysis products: sn-1,2/2,3-diolein (sn-1,2/2,3-288 DAG); sn-1,3-diolein (sn-1,3-DAG); sn-2-monoolein (sn-2-MAG); sn-1/3-monoolein (sn-1/3-289 MAG) and oleic acid (FFA) (Figure 3). The product glycerol (GLY) was calculated based on the 290 excess of FFA per digestion time (Section 2.5.2). As indicated in our previous work, the analytes 291 sn-1,2/2,3-DAG and sn-1/3-monoolein represent the optical isomers of sn-1,2 and sn-2,3-DAG, and *sn*-1 and *sn*-3 MAG, respectively ^{24,33}. As observed in Figure 3, the initial values of triolein 292 293 are different and the ones of the derived lipolysis products are not equal to zero (t=0 min, end of gastric phase). This is because gastric lipase acted on triolein to generate intermediate and final 294 295 products during the gastric phase. In case of residual TAG and released FFA, concentrations at the end of the gastric phase were 24 and 5 µmol/mL for the NaTDC emulsion; 10 and 29 µmol/mL for 296 297 the CP emulsion; 11 and 31 µmol/mL for the SPI emulsion; 14 and 18 µmol/mL for the LEC emulsion; and 27 and 1 µmol/mL for the TW80 emulsion, respectively. These initial values found 298 in the present study are very close to the ones quantified in our previous, independent study, in 299 300 which kinetics of gastric lipolysis as influenced by the emulsion interfacial composition were investigated ³³. 301

Overall, during the small intestinal phase, a very fast hydrolysis of triolein occurred which resulted in the generation of intermediate and final products. The two regioisomers of diolein were produced in a low extent during the first 5-30 min of small intestinal digestion and then hydrolyzed. As depicted in Figure 3B-E, MAGs were produced in a higher extent compared to DAGs. The 306 intermediate products sn-1,2/2,3-DAG and particularly sn-2-monoolein were produced more extensively compared to their corresponding regioisomers sn-1,3-DAG and sn-1/3-MAG, 307 respectively. This finding is logic since pancreatic lipase was the main enzyme active at the pH of 308 the small intestinal phase. This enzyme is regioselective for the *sn*-1 and 3 positions of the glycerol 309 moiety, which results in the predominant formation of sn-2-monoolein ⁴⁵. Yet, the detection of sn-310 1,3-DAG and *sn*-1/3-MAG may be an indicator of certain yet lower activity over the *sn*-2 position. 311 We hypothesize that gastric lipase was still active during the small intestinal phase and responsible 312 313 for *sn*-2 position hydrolysis. It was reported that gastric lipase contribution to small intestinal lipolysis was around 7.5% in an clinical trial ⁴⁶. In our previous studies, we obtained more 314 mechanistic insight in the gastric lipolysis reactions ^{24,33}. One interesting finding was the 315 establishment of a reaction scheme in which *sn*-2 position cleavage by gastric lipase was included. 316 Other authors also reported gastric lipase activity over the *sn*-2 position through the detection of 317 diolein enantiomers but did not propose a reaction mechanism based on other lipolysis products 318 47,48 319

In Figure 3A-G, we can also observe a significant effect of the initial emulsion interfacial 320 composition on the evolution of lipolysis products during small intestinal digestion. In case of 321 322 NaTDC-based emulsion, its instability during the gastric phase and further structuring by bile salts 323 during the small intestinal phase played an important role during lipid digestion. Triolein 324 hydrolysis and the formation of lipolysis products were delayed in comparison to the other 325 emulsions due to the large average particle size present in the first 60 min of small intestinal digestion (Figure 2). A remarkable finding in Figure 3F-G is the significant lower extent of final 326 products generation for the NaTDC-based emulsion during the small intestinal phase. This can be 327 related to the lower hydrolysis degree of intermediate products (DAG and MAG) leading to a 328

lower formation of final products (FFA and GLY) due to emulsion coalescence as a result of
emulsion gastric instability. The instability phenomenon occurring during the gastric phase
explained in Section 3.1 drastically reduced the surface area available for lipase adsorption during
the first term of the small intestinal phase.

For all other emulsions, triolein was almost completely hydrolyzed within the first 15 min of small 333 334 intestinal digestion (Figure 3A). As observed in Figure 3B-E, there were some differences in the 335 trends of intermediate products formation and hydrolysis. LEC- and CP-based emulsions showed 336 a lower extent of intermediate products evolution compared to SPI- and TW80-based emulsions, 337 specially the monoolein regioisomers. This means that the intermediate products of LEC- and CPbased emulsions were converted faster to final products. This observation is evidenced in Figure 338 3G, where glycerol formation extent is higher for LEC- and CP-based emulsions. In case of the 339 SPI-based emulsion, the slower hydrolysis of intermediate products may have occurred due to the 340 drastic increase in d(4,3) during the first minutes of small intestinal digestion (Figure 2). This 341 342 instability phenomena may hinder the removal of lipolysis products from the interface by bile salts and subsequently lipase adsorption, thus causing accumulation of these intermediate products at 343 the droplet interface ⁴⁹. For the TW80-based emulsions, the limited TAG hydrolysis during the 344 345 gastric phase implied that the cleavage of TAGs predominantly occurred during the small intestinal phase, so the intermediate products were produced later compared to the CP- and LEC-based 346 347 emulsions. This means that gastric pre-lipolysis influenced the formation and degradation of 348 intermediate products in the small intestinal phase. Other researchers also found that a LEC-349 stabilized emulsion was more extensively digested under in vitro small intestinal conditions with a gastric lipolysis step than sodium-caseinate- and tween-80-stabilized emulsions¹⁶. 350

351 In order to evaluate the effect of gastric lipolysis on the following small intestinal lipolysis, it may be relevant to compare our results with other studies employing a similar experimental setup, 352 353 specifically the same gastrointestinal conditions (i.e. INFOGEST protocol) without the inclusion of gastric lipase. In case of the FFA generation during small intestinal digestion, previous studies 354 found that a TW80-based emulsion reached the highest and fastest production of this analyte 355 during *in vitro* small intestinal digestion compared to other emulsions ^{13,43}. These observations are 356 aligned with our results presented in Figure 3F, in which the TW80-based emulsion reached a high 357 358 extent of FFA production. If we compare the evolution of FFA in the study of Verkempinck et al. 359 and ours for the TW80 emulsion (Figure 3F), they look rather similar. In the same article by Verkempinck et al., citrus pectin with the same chemical characteristics to the one employed in 360 our study was used to stabilize an emulsion subjected to *in vitro* digestion. In terms of emulsion 361 stability, both emulsions showed a similar behavior during the whole *in vitro* digestion process. In 362 our study, the CP-based emulsion exhibited a comparable FFA production trend in the small 363 intestinal phase with respect to the TW80-based emulsion. However, the extent of FFA production 364 in the small intestinal phase reported by Verkempinck et al. was much lower (<50%) compared to 365 the TW80 emulsion. The difference between the results of Verkempinck et al. and ours might be 366 367 explained by the gastric pre-lipolysis step which had a high contribution of FFA generated during the gastric phase. In other words, gastric lipolysis seemed to significantly affect the production of 368 369 FFA in the to the small intestinal phase in case of the CP-based emulsion.

Our study includes the identification and quantification of diverse lipid digestion products which are part of simultaneous and consecutive lipase-catalyzed reactions. Therefore, we aimed to evaluate this data using two modeling strategies. A first strategy considers the selection of one representative response to evaluate the lipid digestion behavior by means of single-response modeling (Section 2.5.2). A second strategy was employed to obtain mechanistic insight in lipid
digestion conversions under static small intestinal *in vitro* conditions. For this purpose, we utilized
the advanced statistical technique multi-response modeling (Section 2.5.3).

377 Single-response kinetic modeling to describe triolein cleavage

378 As explained in the previous section, quantified lipolysis species showed different behaviors 379 depending on the chemical nature of the interface. Next to this, we employed single-response 380 modeling to quantitatively evaluate these differences in the lipid digestion kinetics as affected by 381 the emulsion interfacial composition (Figure 4). The selected response was the% of digested TAG 382 during in vitro small intestinal digestion because TAGs are the main substrate for lipases. For the single-response modeling, three parameters were estimated using a fractional conversion model: 383 384 (i) C_0 (%) represents the estimated initial value of the response, (ii) k (min⁻¹) is the reaction rate constant which indicates the rate at which (*iii*) $C_f(\%)$ or the plateau value is reached. 385

386 In case of C_0 , it presented different values depending on the chemical nature of the emulsifiers 387 (Table 1). The emulsifier type influenced emulsion stability and competitive adsorption between 388 gastric lipase and the emulsifier. In brief, high extents of digested TAGs were achieved by 389 biopolymer-stabilized emulsions (SPI and CP, 52-55%), relatively high by the LEC-stabilized emulsion (~40%), and low by NaTDC and TW80-based emulsions (3-9%). Regarding reactions 390 rate constants k, the lowest magnitude was reached by the NaTDC-based emulsion. As explained 391 392 in section 3.1, this emulsion presented a large particle size during the first part of *in vitro* small 393 intestinal digestion which reduced the hydrolysis rate of TAG molecules. For the other emulsions, 394 differences in k values can be explained by emulsions microstructure and particle size at the end of the gastric phase because TAGs were (almost) completely digested within the first 15 min of 395 intestinal digestion. After 120 min of gastric digestion, TW80 and LEC-stabilized emulsions 396

showed the most stable d(4,3) values (0.8 and 5 µm, respectively). Conversely, SPI and CP-397 398 stabilized emulsions presented larger d(4,3) values (12 and 18 μ m, respectively). This significantly impacted the magnitudes of k: higher rate constants reached by TW80 and LEC-stabilized 399 emulsions (0.69 and 0.49 min⁻¹, respectively) compared to lower values reached by SPI and CP-400 stabilized emulsions (0.21 and 0.23 min⁻¹, respectively). The negative correlation between k values 401 and initial particles size values at the beginning of small intestinal phase is shown in Figure 4B. 402 403 Therefore, the stability status of the emulsion, specifically oil droplet size, at the beginning of 404 small intestinal digestion affected the kinetics of small intestinal lipolysis during the first minutes of digestion. Bile salts apparently displaced these molecular-based interfacial layers rather easily 405 which is possibly an evolutionary characteristic developed by mammals to fully digest lipids ⁵⁰. 406 Consequently, competitive adsorption between emulsifiers at the interface and bile salts may have 407 408 not played a major role. A similar correlation between particle size and lipid digestion extent has been reported before ¹³. However, particle size has a major impact on the rate and not on the extent 409 410 of lipolysis. Moreover, these authors quantified lipid digestion via titration (FFA release) and did 411 not model the data. Regarding the extent of TAG digestion, C_{f} , all emulsions reached (almost) complete TAG digestion at a certain point during the small intestinal phase (Figure 4A). 412

In addition, the different gastric lipolysis extents did not significantly impact the lipolysis kinetics in the small intestinal phase because even a limited gastric lipolysis extent in the gastric phase resulted in fast kinetics in the small intestinal phase, e.g. TW80-based emulsion. Couëdelo et al. also found a low *in vitro* lipid digestibility in the gastric phase for a TW80 emulsion but this resulted in a lower extent of lipid hydrolysis in the following small intestinal phase compared to other emulsifiers with higher gastric lipolysis level ¹⁶. Differences between this study and ours can be explained by different experimental conditions (e.g. emulsion preparation and/or *in vitro* 420 digestion parameters). In addition, the latter authors did not report microstructure evaluation nor particle size measurements during digestion which could have been useful to explain the lipid 421 digestion behavior in the small intestinal phase. Other researchers found that only a small amount 422 of hydrolyzed lipids is necessary to activate the pancreatic lipase complex ⁵¹. Therefore, even a 423 low extent of gastric lipolysis extent could be enough to trigger the lipid hydrolysis process in the 424 425 small intestinal compartment. Verkempinck et al. evaluated the kinetics of lipid digestion after digesting o/w emulsions stabilized by TW80 and CP, using the INFOGEST protocol and 426 quantifying the lipolysis products by HPLC-ELSD ⁴³. If we compare the extents of digested TAG 427 in our study for TW80- and CP-based emulsions (~100%, Figure 4A) with the ones of 428 Verkempinck et al., lower extents were found in their study: 83 and 32% for TW80 and CP, 429 respectively. Considering the similar stability behaviors in both studies for these emulsions, the 430 hydrolysis of triolein was probably boosted by the synergistic activity of gastric and pancreatic 431 lipases. 432

Multi-response kinetic modeling for the quantitative representation of overall 433 gastrointestinal lipolysis

434

435 As explained in Section 3.2, several lipolysis products were quantified during in vitro small 436 intestinal digestion. These lipolysis products are part of a common set of (bio)chemical reactions. 437 We aimed to elucidate these reactions via multi-response modeling. For this purpose, a reaction 438 scheme was proposed based on previous studies on lipid digestion, lipases stereospecificity and 439 the data generated in this study. In our previous study, we also proposed a reaction scheme describing the lipolysis phenomena under *in vitro* small intestinal conditions ²³. However, in the 440 current study, we have included a gastric pre-lipolysis step and the quantification of more 441 intermediate lipolysis products, which allows the construction of a more detailed reaction scheme. 442

This reaction scheme is then transformed into differential equations which solution allows the 443 estimation of kinetic parameters (reaction rate constants). The kinetic parameters hereby estimated 444 will reflect the action of several lipolytic enzymes (gastric and diverse pancreatic lipases) acting 445 simultaneously and in synergy on the same substrate and in the same reaction mixture. Afterwards, 446 the multi-response model is evaluated based on the following criteria: model convergence, 447 adjusted determination coefficient (R^{2}_{adi}) calculated from the experimental and predicted values 448 (parity plots), residual plots and errors of the parameter estimates. If one of these criteria is not 449 450 met, a new reaction scheme is proposed (iterative process).

451 After modeling the five data sets using a iterative process, the model best representing lipid digestion reactions and fulfilling the previously stated criteria could only be obtained for the 452 453 NaTDC-based emulsion (Figure 5). Unfortunately, for all other data sets, the model derived from the scheme presented in Figure 5 did not converge as well as all other logic reaction schemes tested 454 during the iterative process (data not shown). We hypothesize that the lack of convergence was 455 456 due to the drastic decrease in triolein concentration in a very short timeframe (Figure 3A). This fast decrease was covered by only 1 or 2 experimental points which does not allow a good 457 parameter estimation. By contrast, a more progressive decrease in triolein was detected for the 458 459 NaTDC-based emulsion, resulting in model convergence and a better parameter estimation. A representation of the multi-response model describing the lipid digestion phenomena under *in vitro* 460 461 small intestinal conditions is depicted in Figure 6. Predictive curves are represented as solid lines 462 and almost all of them are very close to the experimental values. The response *sn*-1,3-diolein was not fitted because its concentration was very low. Regarding the modeling performance, the R^2_{adi} 463 for all responses was excellent (0.90-0.99), except for the relatively good value of sn-1,2/2,3-464 diolein (0.44) which is linked to the low concentrations of this analyte during digestion. Moreover, 465

the residual plot shown in Figure 6G indicates that all residuals remained between the limits(represented as dotted lines).

468 Table 2 provides an overview of the estimated rate constants related to the six (bio)chemical 469 reactions in the final reaction scheme (Figure 5). Enzymatic reactions involving k_1 , k_3 and k_6 represent the cleavage of the *sn*-1/3 positions of the glycerol moiety. More specifically, parameters 470 471 k_3 and k_6 presented the highest magnitude of all reactions. This finding is logic since pancreatic lipase was the most active enzyme, and this lipase is specific towards the extreme positions ⁴⁵. In 472 case of k_2 , the related reaction indicates the cleavage of either sn-1 or 3, and the sn-2 position. As 473 expected, this reaction rate constant was very low since it involves the sn-2 position hydrolysis. 474 We hypothesize that gastric lipase was responsible for this middle position cleavage. Gastric lipase 475 476 remains active during the small intestinal phase and contributes to around 7.5% of lipid digestion in this compartment ⁴⁶. In our previous study, we detected the gastric lipase capacity to catalyze 477 the breakage of the ester bond at the sn-2 position ²⁴. Other authors have also suggested the affinity 478 of gastric lipase towards this position 48,52 . Finally, k_4 and k_5 kinetic parameters involved 479 isomerization reactions. This type of reaction was proposed in the scheme because migration of 480 fatty acids located at the sn-2 towards the sn-1/3 positions has been reported before ⁵³. Compared 481 482 to the enzymatic conversions, isomerization reactions are rather slow but still contribute to the lipid digestion process. 483

In brief, this research aimed to evaluate the effect of different emulsion interfacial composition on the lipid digestion kinetics and to elucidate the lipolysis mechanism under *in vitro* small intestinal conditions including a gastric pre-lipolysis step using advanced modeling techniques. The interfacial composition can modulate lipolysis kinetics by influencing the emulsion stability and/or competitive adsorption for the interface between digestive elements and emulsifiers. In case of the 489 model emulsions employed in this study, the rather simple interfacial layer(s) seemed to allow very fast kinetics of lipid digestion in the small intestinal phase. Hence, the main factor impacting 490 the lipolysis kinetics, especially the reaction rate constant during the first minutes of small 491 intestinal digestion, was the stability of emulsions resulting from the gastric digestion step. This 492 was indicated by the negative correlation between the estimated reaction rate constant by single-493 494 response modeling and the average droplet size. It was aimed to elucidate the lipolysis molecular mechanism using multi-response modeling. Enzymatic and chemical conversions were included 495 496 in the final reaction scheme. The enzymatic cleavage of the sn-1/3 positions were the fastest 497 conversions followed by isomerization reactions and sn-2 ester bond hydrolysis. To sum up, molecular-based interfacial layers seem not to represent a barrier for lipid hydrolysis in the small 498 intestinal phase. However, the stability changes during the gastric phase resulting from using 499 diverse emulsifiers affected the kinetics in the small intestinal phase. Further detailed studies 500 including the use of interfacial multi-layers or more complex interfaces are needed to understand 501 502 how lipolysis kinetics can be modulated in the digestive compartments. Additionally, further characterization of interfaces (e.g. interfacial tension) during in vitro digestion would give more 503 504 insights on the competitive adsorption phenomenon between emulsifiers and bile salts and lipases.

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508 **Declaration of interests**

509 The authors of this work declare no conflict of interests.

510 Supporting information

511 Microstructural changes of the five emulsions subjected to *in vitro* gastrointestinal digestion

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654 **Figure Captions**

Figure. 1. Evolution of the ζ-potential of sodium taurodeoxycholate (NaTDC), lecithin (LEC), soy protein isolate (SPI), citrus pectin (CP) and tween 80 (TW80) based emulsions during in vitro gastric and small intestinal digestion. Different lower case letters indicate significant differences (P < 0.05) between different digestion times from the same emulsion.

Figure 2. Evolution of the particle size distribution (PSD) and average volume-based particle size
d(4,3) of sodium taurodeoxycholate (NaTDC), lecithin (LEC), soy protein isolate (SPI), citrus
pectin (CP) and tween 80 (TW80) based emulsions during *in vitro* gastric (0-120 min) and small
intestinal digestion (120-240 min).

Figure 3. Time dependency of (A) triolein and (B, C, D, E, F, G) multiple lipolysis products during in vitro small intestinal digestion as affected by the interfacial composition of oil-in-water emulsions. Glycerol was calculated based on the quantified lipolysis products. Symbols represent the experimental values of the analyte concentration for the ($\mathbf{\nabla}$) sodium taurodeoxycholate (NaTDC), (O) lecithin (LEC), (\blacklozenge) soy protein isolate (SPI), (\triangle) citrus pectin (CP), and (\Box) tween 80 (TW80) based emulsion.

Figure 4. Time dependency of (A) digested triolein during *in vitro* small intestinal digestion as affected by the interfacial composition of oil-in-water emulsions. (B) Correlation between the average particle size d(4,3) value at the beginning of the intestinal phase and the reaction rate constant *k* (min⁻¹) of digested triolein for emulsions formulated with different emulsifiers. Symbols in graphs A represent the experimental values of the analyte concentration for the ($\mathbf{\nabla}$) sodium taurodeoxycholate (NaTDC), (O) lecithin (LEC), (\blacklozenge) soy protein isolate (SPI), (\triangle) citrus pectin (CP), and (\Box) tween 80 (TW80) based emulsion. Dot-dashed, dashed, solid, dotted and double-

676	dot-dashed lines in graph A represent the predicted values of the corresponding fractional
677	conversion model for digested triolein of the NaTDC-, LEC-, SPI-, CP- and TW80-based
678	emulsion, respectively. In graph B, from left to right, data points correspond to the TW80-, LEC-
679	, SPI-, PEC- and NaTDC-based emulsions.

Figure 5. (A) Final reaction scheme postulated to describe the lipolysis mechanism under *in vitro*small intestinal conditions. (B) Differential equations derived from the final reaction scheme.

Figure 6. (A, B, C, D, E, F) Representation of the multi-response modeling describing the lipolysis products evolution during in vitro gastric digestion for the ($\mathbf{\nabla}$) sodium taurodeoxycholate (NaTDC) based emulsion. Solid lines are the predicted curves for each analyte. (G) Residual plot derived from the multi-response model. Roman numbers indicate the standardized residual set of points for (I) triolein; (II) sn-1,2/2,3-diolein; (III) sn-2-monoolein; (IV) sn-1/3-monoolein; (V) oleic acid and (VI) glycerol.

Table 1. Single-response Model Parameter Estimates of the Percentage of Digested Triolein during *In Vitro* Small Intestinal Digestion of the Sodium Taurodeoxycholate (NaTDC), Lecithin (LEC), Soy Protein Isolate (SPI), Citrus Pectin (CP), and Tween 80 (TW80) Based Emulsions. Different Lower Case Letters Indicate Significant Differences among each Parameter Estimate according to their Confidence Intervals (95%). The Parameter C_0 is the Estimated Initial Concentration, *k* is the Estimated Lipolysis Rate Constant, and C_f is the Estimated Final Extent of TAG Hydrolysis.

	% Digested triolein		
	$C_{ heta}$ (%)	$k (\min^{-1})$	$C_f(\%)$
NaTDC	$8.9\pm 6.8^{\rm a}$	$0.05\pm0.01~^a$	101.4 ± 5.0^{a}
LEC	40.3 ± 0.2^{b}	$0.49\pm0.01^{\ b}$	$100.1\pm0.0^{\text{ a}}$
SPI	55.2 ± 2.7^{c}	$0.21\pm0.04^{\text{c}}$	96.4 ± 1.2^{a}
СР	52.4 ± 1.3^{c}	$0.23\pm0.02^{\text{c}}$	$98.8\pm0.6^{\rm \ a}$
TW80	2.66 ± 0.0^{a}	0.69 ± 0.00^{d}	$100.0\pm0.0^{\:a}$

Table 2. Estimated Kinetic Parameters Determined after the Multi-Response Modeling of the *In Vitro* Small Intestinal Digestion of the NaTDC-Based Emulsion. The Parameter k_{number} (min⁻¹) Represents the Reaction Rate Constants for a certain (Bio)Chemical Conversion. Different Lower Case Letters Indicate Significant Differences among each Parameter Estimate According to their Confidence Intervals (95%).

k_1	0.0027 ± 0.0007^a
k_2	0.0050 ± 0.0016^{b}
<i>k</i> ₃	0.0403 ± 0.0026^{c}
<i>k</i> ₄	0.0060 ± 0.0008^{b}
k 5	0.0060 ± 0.0008^{b}
k_6	$0.0172 \pm 0.0018^{\rm d}$

riguit i	Figure	1
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Figure 5

A

TAG + H₂O $\xrightarrow{k_1}$ sn-1,2/2,3-DAG + FFA TAG + 2H₂O $\xrightarrow{k_2}$ sn-1/3-MAG + 2FFA TAG + 2H₂O $\xrightarrow{k_3}$ sn-2-MAG + 2FFA sn-1,2/2,3-DAG $\xrightarrow{k_4}$ sn-1,3-DAG sn-2-MAG $\xrightarrow{k_5}$ sn-1/3-MAG sn-1/3-MAG + H₂O $\xrightarrow{k_6}$ FFA + GLY

$$\frac{d(TAG)}{dt} = -(k_1 + k_2 + k_3)(TAG)$$

$$\frac{d(sn-1,2/2,3-DAG)}{dt} = k_1(TAG) - k_4(sn-1,2/2,3-DAG)$$

$$\frac{d(sn-1,3-DAG)}{dt} = k_4(sn-1,2/2,3-DAG)$$

$$\frac{d(sn-2-MAG)}{dt} = k_3(TAG) - k_5(sn-2-MAG)$$

$$\frac{d(sn-1/3-MAG)}{dt} = k_2(TAG) + k_5(sn-2-MAG) - k_6(sn-1/3-MAG)$$

$$\frac{d(FFA)}{dt} = (k_1 + 2k_2 + 2k_3)(TAG) + k_6(sn-1/3-MAG)$$

$$\frac{d(GLY)}{dt} = k_6(sn-1/3-MAG)$$

B







Abstract

