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**1 The Role of Pharmacogenomics in Contemporary Cardiovascular Therapy:  
2 A position statement from the European Society of Cardiology Working Group  
3 on Cardiovascular Pharmacotherapy**

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Abstract

There is a strong and ever-growing body of evidence regarding the use of pharmacogenomics to inform cardiovascular pharmacology. However, there is no common position taken by international cardiovascular societies to unite diverse availability, interpretation and application of such data, nor is there recognition of the challenges of variation in clinical practice between countries within Europe. Aside from the considerable barriers to implementing pharmacogenomic testing and the complexities of clinically actioning results, there are differences in the availability of resources and expertise internationally within Europe. Diverse legal and ethical approaches to genomic testing and clinical therapeutic application also require serious thought. As direct-to-consumer genomic testing becomes more common, it can be anticipated that data may be brought in by patients themselves, which will require critical assessment by the clinical cardiovascular prescriber. In a modern, pluralistic and multi-ethnic Europe, self-identified race/ethnicity may not be concordant with genetically detected ancestry and thus may not accurately convey polymorphism prevalence. Given the broad relevance of pharmacogenomics to areas such as thrombosis and coagulation, interventional cardiology, heart failure, arrhythmias, clinical trials, and policy/regulatory activity within cardiovascular medicine, as well as to genomic and pharmacology subspecialists, this position statement attempts to address these issues at a wide-ranging level.

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## 158 Introduction

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160 The completion of the human genome project in 2003 heralded an age of genomic  
161 promise<sup>1,2</sup>. Advances in genomic knowledge and sequencing technology have  
162 provided vital tools for the implementation of personalised medicine; i.e., the ability to  
163 use genetic information to select the right pharmacological agent, to be used at the  
164 right dose, for the right person, and at the right time, thereby maximising the efficacy  
165 of the intervention and minimising adverse drug reactions (ADR)<sup>2</sup>.

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167 Pharmacogenomics (PGx) describes the role of genomic variation in drug response,  
168 which can relate to both pharmacokinetic and pharmacodynamic properties.

169 Pharmacokinetics constitute the effect of the body on the drug, categorized into  
170 effects on absorption, distribution, metabolism, and excretion of the drug.

171 Pharmacodynamics reflect the effect of the drug on the body. Both pharmacokinetics  
172 and pharmacodynamics influence efficacy, effectiveness, and toxicity. Many drugs  
173 are metabolized in the liver by cytochrome P450 (CYP) enzymes. These enzymes  
174 are encoded by various genes named according to their family (e.g., '2') and  
175 subfamily (e.g., 'C'), based on the amino acid structure. A full code indicates a  
176 specific gene, (e.g., *CYP2C9*). Other drug metabolizing enzymes, receptors, and  
177 transporters also play an important role in drug response. Indeed, whole genome  
178 approaches, including sequencing, are likely to identify new pharmacogenes,  
179 including in therapeutic target genes, which determine drug efficacy and/or safety. It  
180 is inevitable that the number of pharmacogenes with evidence of clinical utility will  
181 continue to increase, which makes pharmacogenomics a dynamic area.

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183 The cost of genome sequencing has decreased exponentially with the emergence of  
184 next generation and 3<sup>rd</sup> generation sequencing technology, from 2.7 billion USD in  
185 2003 to sequence the first human genome (which took 13 years) to less than 1,000  
186 USD to sequence a whole genome in 2019<sup>3-5</sup>. In order to implement personalised  
187 medicine, three main tools to target discovery in PGx studies are used: genome-wide  
188 association studies (GWASs), candidate gene analyses, and next generation  
189 sequencing (high throughput parallel sequencing)<sup>6</sup>. The first is an agnostic approach  
190 that locates a genome wide signal to a locus, while the candidate gene approach  
191 starts from a gene hypothesised to be significant. This will often be a gene that  
192 encodes protein targets of the drug, or proteins that are involved in drug  
193 pharmacokinetics. When genetic loci or single nucleotide polymorphisms (SNPs) of  
194 interest are identified, this should be replicated and may be followed by functional  
195 validation studies, although this is not always necessary, depending on the predictive  
196 accuracy of the variant. Evidence of clinical utility needs to be gathered which  
197 depends on the clinical phenotype and the gene-drug pair. The present rate of big  
198 data-based discovery, and the scale and complexity of genomic information  
199 interpretation and translation into useful therapeutic interventions present  
200 considerable challenges. The expectations of gold standard evidence from  
201 randomised controlled trials (RCTs) for PGx may not be realistic (or indeed  
202 necessary) in PGx, as each trial would look at one or a few gene variant-drug  
203 pairs<sup>7,8</sup>.

204  
205 Though PGx guided therapy has been endorsed by both regulators and international  
206 consortia, there is discordance in recommendations, and PGx has remained an area  
207 of specialist interest<sup>9</sup>.

208  
209 Given the rapid advances which are occurring, there is a need for professional  
210 bodies and health systems to support prescribers in understanding, interpreting and  
211 implementing evidence-based PGx in cardiovascular medicine. This is particularly  
212 timely as many prescribers begin to find themselves increasingly confronted with  
213 patients able to access PGx information through direct-to-consumer genetic  
214 testing<sup>10</sup>. Moreover, prescribers may not have access to confirmatory testing within  
215 their place of work. Given the broad relevance of PGx to areas such as thrombosis  
216 and coagulation, interventional cardiology, heart failure, arrhythmias, clinical trials,  
217 and policy/regulatory activity within cardiovascular medicine, as well as to genomic  
218 and pharmacology subspecialists, this position statement attempts to address these  
219 issues at a wide-ranging level. The following sections address evidence for specific  
220 drug-gene pairs, as well as broad genomic approaches in cardiovascular medicine,  
221 and the potential role of genomics in clinical trials. The focus is on translational  
222 aspects most likely to be encountered by non-genomically trained practitioners in  
223 day-to-day practice.

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## 228 **Anticoagulants**

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### 230 **Warfarin and other vitamin K antagonists**

231 The coumarin derivatives, warfarin, acenocoumarol and phenprocoumon, are used  
232 to prevent or treat thromboembolism. There are differences across the World and  
233 between European countries regarding which coumarin oral anticoagulant is  
234 preferred, but collectively they remain commonly prescribed, despite the rapidly  
235 increasing use of direct oral anticoagulants (DOACs)<sup>11,12</sup>. Coumarins inhibit vitamin  
236 K epoxide reductase complex subunit 1 (VKORC1), leading to hypofunctional clotting  
237 factors II, VII, IX and X<sup>13</sup>. Coumarin derivatives are all administered as a racemate.  
238 For warfarin, the S-warfarin enantiomer is preferentially metabolised by CYP2C9 and  
239 is ~3-5x more potent than R-warfarin<sup>14-16</sup>. Both acenocoumarol enantiomers are  
240 principally metabolised by CYP2C9 and, while S-acenocoumarol is more active, its  
241 short elimination half-life (1.8hr) means the majority of anticoagulant effect is through  
242 R-acenocoumarol<sup>13</sup>. For phenprocoumon, both CYP2C9 and CYP3A4 are involved  
243 in its metabolism<sup>17</sup>. Coumarin dose requirements to maintain an international  
244 normalised ratio (INR) between 2.0 and 3.0 are highly variable between individuals.  
245 For example, warfarin stable dose (WSD) ranges at least 25-fold (0.6 to 15.5  
246 mg/day), and real world registry data of patients anticoagulated for atrial fibrillation  
247 demonstrated a mean time in the therapeutic INR range (TTR) of 65% ( ± 20%)<sup>18</sup>.  
248 This high inter-individual variation and narrow therapeutic index increase  
249 susceptibility to adverse events. Notably, 6-7% of patients prescribed warfarin were  
250 hospitalised due to bleeding over a mean follow up of 425 days, with supra-  
251 therapeutic INRs increasing bleeding risk<sup>19-21</sup>. Decreased TTR is also a predictor for

252 increased ischaemic stroke, other thromboembolic events, major bleeding and  
253 mortality<sup>22,23</sup>.

254

255 For warfarin, approximately ~55-60% of variation in WSD can be principally  
256 explained by genetic variation in *VKORC1* (~25%) and *CYP2C9* (~15%),  
257 supplemented by *CYP4F2*\*3 (~1-7%) and clinical factors (e.g. age, body mass  
258 index, smoking, interacting drugs, collectively <20%)<sup>24-26</sup> (Table1).

259

260 At least 14 clinical trials testing genotype-guided warfarin dosing have been  
261 conducted, employing various algorithms that incorporate genetic (*VKORC1*,  
262 *CYP2C9* +/- *CYP4F2*) and clinical covariates<sup>25,27,28</sup>. The largest European RCT of  
263 warfarin PGx was conducted by the EU-PACT collaboration and recruited patients  
264 starting warfarin for atrial fibrillation or venous thromboembolism (VTE)<sup>29</sup>. EU-PACT  
265 compared genotype-guided warfarin dosing on days 1-5 in 227 patients to standard  
266 warfarin loading in 228 patients, with all subsequent dosing in both arms according  
267 to routine practice, and found that the primary endpoint of 12-week TTR was  
268 significantly higher in the genotype guided arm (67.4% vs 60.3%,  $p < 0.001$ )<sup>29</sup>. There  
269 was no significant difference in bleeding or thromboembolic events. In EU-PACT,  
270 99% of participants were Caucasian, and point-of-care (POC) genotyping was  
271 utilised<sup>29</sup>. A subsequent UK-based real-world implementation study with POC  
272 genotyping similarly found genotype-guided dosing increased warfarin TTR by 7%<sup>30</sup>.  
273 A recent meta-analysis incorporating EU-PACT data shows that genotype-guided  
274 warfarin dosing compares favourably to DOACs in cluster rank plots considering  
275 both thromboembolism and bleeding<sup>31</sup>.

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277 In contrast, the US COAG trial did not find any significant difference between  
278 genotype-guided and clinically guided dosing algorithms (4-week TTR 45.2% vs  
279 45.4%,  $p = 0.91$ )<sup>32</sup>. An important distinction between the two trials is that 27% of  
280 patients recruited to COAG were African-American, but only the *CYP2C9* alleles  
281 common in Caucasians, \*2 and \*3, were assessed. Genotype-guided African-  
282 American patients in COAG fared less well than those clinically dosed (4-week TTR  
283 35.2% vs 43.5%,  $p = 0.01$ ), highlighting the importance of patient ethnicity in  
284 considering *CYP2C9* variants<sup>32</sup>. Another difference between the trials is that the US  
285 COAG trial comparator arm used a clinical dosing algorithm whereas EU-PACT used  
286 standard care. However, clinical dosing algorithms have not been validated  
287 themselves versus usual care and the comparator arm TTR was lower in COAG than  
288 in EU-PACT.

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290 GIFT was the largest and most recent RCT; it recruited patients undergoing elective  
291 hip or knee arthroplasty treated with perioperative warfarin and randomised them to  
292 genotype- (n=808) or clinically-guided (n=789) dosing on days 1-11. Patients were  
293 genotyped for *VKORC1* -1639G>A, *CYP2C9*\*2, *CYP2C9*\*3, and *CYP4F2* V433M.  
294 The primary composite endpoint of  $INR \geq 4$ , major bleeding, death (all within 30 days)  
295 plus VTE within 60 days, occurred in 10.8% and 14.7 % of patients in the genotype  
296 and clinically-guided groups, respectively ( $p = 0.02$ ). This result was driven mainly by  
297 a decrease in  $INR \geq 4$  ( $p = 0.04$ ) and borderline reduction in major bleeding ( $p = 0.06$ )<sup>27</sup>.  
298 Given the continued high real-world use of warfarin, these results are considered  
299 clinically relevant.

300  
301 To aid implementation, there is supportive evidence for the cost-effectiveness of  
302 genotype-guided warfarin dosing, and multigene-drug testing will likely be even more  
303 advantageous<sup>33,34</sup>. Clinical guidelines for genotype-guided warfarin dosing have  
304 been developed by both the Dutch Pharmacogenetics Working Group (DPWG) and  
305 Clinical Pharmacogenetics Implementation Consortium (CPIC)<sup>35,36</sup>.

306  
307 The EU-PACT collaboration also conducted RCTs of acenocoumarol and  
308 phenprocoumon PGx<sup>37</sup>. Due to low enrolment, they were combined for analysis.  
309 Though the primary outcome of 12-week TTR in the 484 eligible participants did not  
310 differ between the genotype-guided and clinically guided dosing arms (61.6% vs  
311 60.2%, p=0.52), follow up analysis demonstrated that the acenocoumarol dosing  
312 algorithm, designed for a Dutch population, overestimated dose in Greek ancestry  
313 patients<sup>37-39</sup>.

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315 On balance, we advocate genotype-guided dosing when commencing warfarin. To  
316 optimise the effectiveness of a genotype-informed strategy, genetic results should be  
317 available on the first day of warfarin dosing. This may require the use of point-of-care  
318 testing, or pre-emptive genotyping.

319  
320 Although patient ethnicity is important in warfarin PGx, self-reported ethnicity may  
321 not always adequately represent genetic ancestry, for instance in admixed  
322 individuals. Genetic characterisation to facilitate appropriate use of ethnicity-specific  
323 warfarin algorithms may be preferable, but arguably difficult to achieve in clinical  
324 practice<sup>40</sup>. Therefore, rather than genotype for specific alleles in patients from  
325 different ethnic backgrounds, a better approach would be to genotype for all the  
326 relevant *CYP2C9*, *VKORC1* and *CYP4F2* alleles, irrespective of ethnicity/ancestry,  
327 and determine dose requirements using a “universal” algorithm, which will require  
328 development.

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**Table 1**

Gene	Variant(s)	Functional Effect	Clinical Effect
<i>VKORC1</i>	-1639G>A (rs9923231)	Reduced <i>VKORC1</i> transcription	Reduced warfarin requirements <sup>41</sup>
<i>CYP2C9</i>	*2, *3, *5, *6, *8, *11	Decreased <i>CYP2C9</i> metabolic activity	Reduced warfarin requirements <sup>42,43</sup>
<i>CYP4F2</i>	*3 (V433M)	Decreased hepatic <i>CYP4F2</i> levels and reduced vitamin K hydroxylation	Higher warfarin requirements <sup>44</sup>

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**Key clinical positions:**

- Prospective genotyping prior to warfarin initiation is advised where possible.
- If genotypic information is already available, it should be used to guide warfarin initiation dosing.

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## 347 **Antiplatelets**

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Antiplatelet therapy is the backbone of treatment for atherothrombotic diseases such as coronary artery disease and stroke. In acute coronary syndromes and patients undergoing percutaneous coronary intervention, dual antiplatelet therapy with aspirin and a P2Y<sub>12</sub> receptor antagonist is usually recommended<sup>45,46</sup>. P2Y<sub>12</sub> receptor antagonists include clopidogrel, prasugrel and ticagrelor. The more potent P2Y<sub>12</sub> receptor antagonists, prasugrel and ticagrelor, are usually preferred over clopidogrel in high risk cases on the basis of improved cardiovascular outcomes, albeit with an increased bleeding risk<sup>47</sup>. In stroke, single antiplatelet therapy with clopidogrel alone is usually preferred for long term secondary prevention<sup>48</sup>. However, the effectiveness of antiplatelet therapy is limited by variability in patient response; particularly to clopidogrel, partly attributed to genetic variation.

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Clopidogrel is a prodrug, transformed to its active metabolite in two sequential CYP2C19 dependent steps. Up to a third of patients have reduced enzymatic activity secondary to a loss of function variant in *CYP2C19* (e.g. \*2 or \*3)<sup>49</sup>. This is associated with high on-treatment platelet reactivity and an increased risk of ischaemic events<sup>50,51</sup>.

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Knowledge of an individual's genetic profile can allow personalised antiplatelet strategies in order to optimise the balance of beneficial to adverse outcomes. However, genotypic variation does not always translate into adverse clinical outcomes as, unlike platelet function testing, genotyping does not provide a direct measure of response to therapy or an assessment of non-genetic factors on platelet function. Yet platelet function testing is limited by the need to perform testing while already on treatment and lacks standard reference values and thresholds. Given the large number of patients prescribed antiplatelet agents, use of PGx to personalise treatment could have a significant effect at a population level. A PGx polygenic risk score has also been proposed as an effective risk stratification tool, and merits further investigation in a prospective clinical setting<sup>52</sup>.

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Clinical trials have used both phenotype-guided (platelet function testing while on treatment) and genotype-guided (*CYP2C19* status assessed before/during treatment) approaches. Meta-analysis has shown phenotype-guided approaches in patients presenting with acute coronary syndrome or with coronary artery disease undergoing percutaneous coronary intervention are not superior to standard care<sup>53</sup>. This is unsurprising given the limitations discussed. In contrast, meta-analysis of 6 randomised controlled trials using genotypic-based treatment, with 3,764 patients undergoing percutaneous coronary intervention or with acute coronary syndromes, has shown a significant reduction in net adverse clinical events (HR 0.65; 95% CI



388 0.45 to 0.95;  $p=0.03$ ), including reduced major adverse cardiovascular events (HR  
389 0.59; 95% CI 0.43 to 0.82;  $p<0.01$ ) and reduced bleeding events (HR 0.75; 95% CI  
390 0.61-0.93;  $p<0.01$ )<sup>54</sup>. This meta-analysis pooled studies that use different strategies  
391 and different patient populations, including those with stable and acute coronary  
392 syndromes.

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394 Notably, the large POPular Genetics RCT found that genotype-guided therapy in  
395 patients undergoing primary PCI was non-inferior to standard treatment with  
396 prasugrel or ticagrelor in terms of a combined primary outcome of thrombotic and  
397 bleeding events, and risk of bleeding was reduced<sup>55</sup>. The recent TAILOR-PCI trial  
398 randomised 5302 PCI patients, 1849 of whom carried loss of function *CYP2C19*  
399 alleles, to genotype guided antiplatelet therapy versus conventional therapy with  
400 clopidogrel<sup>56</sup>. The composite cardiovascular primary endpoint was 4.0% in the  
401 genotype guided and 5.9% in the non-genotype guided cohort at 1 year follow-up  
402 (hazard ratio 0.66 [95% CI, 0.43-1.02];  $P=0.06$ )<sup>56</sup>. Unfortunately, the study was  
403 underpowered due to lower event rates than expected. However, a post hoc analysis  
404 found that the primary outcome was significantly reduced during the first 3 months  
405 after PCI (hazard ratio 0.21 [95% CI, 0.08-0.54];  $P=0.001$ ), suggesting higher  
406 modifiable risk in the months immediately following stenting<sup>56</sup>. This includes the  
407 highest risk period for early in-stent thrombosis, which is the first 30 days<sup>57</sup>.

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409 This evidence base suggests that the genotype-guided approach could be superior  
410 to standard care in terms of both cardiovascular outcomes and reduced bleeding  
411 events, particularly in the months immediately following PCI. The availability of rapid  
412 diagnostic genetic tests means such strategies are a viable option in routine clinical  
413 practice. In other settings, such as stroke, there is still a lack of prospective large  
414 scale RCT data. However similar benefits might be anticipated, a hypothesis  
415 supported by systematic review and meta-analysis data<sup>58,59</sup>.

416  
417 Clopidogrel PGx profiling for *CYP2C19* remains only recommended in specific high-  
418 risk situations, such as patients with acute coronary syndrome undergoing  
419 percutaneous coronary intervention, or presenting with recurrent adverse events,  
420 and only if results are likely to change the treatment strategy. If patients are  
421 considered intermediate or poor metabolisers of clopidogrel, alternative antiplatelet  
422 agents are recommended<sup>60</sup>. More large-scale trials in patients with coronary artery  
423 disease (e.g. GUARANTEE study) are ongoing and will inform this recommendation  
424 further. Additional prospective data from large scale trials for other indications, such  
425 as stroke, are awaited before clear recommendations can be made (e.g. PLATELET  
426 study). Given the disease prevalence, even a small improvement with PGx use may  
427 translate to meaningful population level health gains.

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**Key clinical positions:**

- Clopidogrel should be avoided in patients who are known to be intermediate or poor metabolisers from existing genotypic information.
- Genotyping high-risk cardiovascular patients (either high risk of thrombosis or bleed) prior to prescribing clopidogrel **should** be considered where possible, particularly to prevent post-stent thrombosis.

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## Statins

Statins are the most commonly prescribed lipid-lowering medication for the treatment and prevention of cardiovascular disease (CVD)<sup>61,62</sup>. Meta-analyses show a consistent relationship between the extent of low density lipoprotein cholesterol (LDL-C) reduction and CVD risk reduction, irrespective of statin choice, dose or baseline risk<sup>63-65</sup>. Statins are well-tolerated in most individuals but can rarely cause severe hepatotoxicity, and are associated with the development of diabetes mellitus<sup>66</sup>. Statin-associated muscle symptoms (SAMS) are the most commonly reported side-effect and are a common reason for treatment discontinuation<sup>67,68</sup>. SAMS range from mild myalgia without an elevation in creatine kinase to life-threatening rhabdomyolysis or autoimmune-necrotizing myositis<sup>69,70</sup>. The underlying mechanism of muscle injury is increased systemic statin exposure with intracellular skeletal myocyte entry and disruption of muscle function<sup>71</sup>.

Statin disposition is dependent on multiple enzymes and transporters, with their relative importance varying between drugs. Solute carrier anion transporter family 1B1 (*SLCO1B1*) encodes organic anion transporting polypeptide 1B1 (OATP1B1) which is central to the hepatic uptake and consequent elimination of statins. A GWAS in patients with simvastatin-induced myopathy identified an increased risk of myopathy in heterozygous and particularly homozygous carriers of the reduced function *SLCO1B1*\*5 variant, which significantly increases plasma concentrations of all statins, except fluvastatin (Table 2)<sup>72</sup>. In addition to this gene dose trend, a simvastatin dose trend was observed with the risk of myopathy increasing by 2.6 and 4.3 per copy of *SLCO1B1*\*5 in patients on simvastatin 40mg and 80mg daily, respectively<sup>72</sup>.

The clinical association between simvastatin-induced myopathy and *SLCO1B1*\*5 has been confirmed in a recent meta-analysis, which failed to identify any other genetic loci<sup>73</sup>. There is ongoing uncertainty over the effect of *SLCO1B1*\*5 on other statins as, in the example of atorvastatin, alternative anion transporters are partially responsible for hepatic uptake and its intrinsic myotoxicity may be less than compared to simvastatin<sup>74,75</sup>. Interestingly, recent work has associated *SLCO1B1*\*5 with rosuvastatin-induced myotoxicity in Han Chinese patients, despite it not previously being associated with myalgia in patients of European descent<sup>76-78</sup>. This may be secondary to increased rosuvastatin exposure in individuals of Asian ancestry, which is partially explained by polymorphisms in *ABCG2*, an efflux transporter encoding breast cancer resistance protein (BCRP)<sup>79</sup>.

Other factors, such as drug-drug interactions, exercise, neuromuscular disorders, mitochondrial impairment, mevalonate pathway perturbation, immune-mediated toxicity, muscle transcriptomics and vitamin D deficiency can all contribute to

485 myotoxicity<sup>71</sup>. It is likely that SAMS often result from a combination of these and  
 486 genetic factors.

487  
 488 There is little prospective data available to inform clinical decision making. Peyser *et al.*  
 489 randomized 159 patients with prior statin myalgia to receive *SLCO1B1* genotype  
 490 informed statin therapy (GIST) versus usual care<sup>80</sup>. Rosuvastatin, pravastatin, or  
 491 fluvastatin were recommended for *SLCO1B1*\*5 carriers, whereas non-carriers were  
 492 recommended to try any statin that they had not tried in the past. Over an 8-month  
 493 follow-up, those who were randomized to GIST showed increased statin re-initiation  
 494 and reduced LDL-C, but without an increase in self-reported medication  
 495 adherence<sup>80</sup>. Vassy *et al.* recently completed a RCT of 408 patients treated in  
 496 primary care settings, to assess potential unintended harms of PGx testing<sup>81</sup>. The  
 497 findings were reassuring, showing that knowledge of *SLCO1B1* genotype did not  
 498 deter from appropriate initiation of statins in accordance with guidance, and did not  
 499 result in inferior LDL-C at the 1 year end point<sup>81</sup>.

500  
 501 The minor allele frequency of rs4149056 (*SLCO1B1*\*5) is approximately 1%, 8% and  
 502 16% in African, Asian and European populations, respectively<sup>82</sup>, and so a substantial  
 503 proportion of the population may be at risk. Both CPIC and DPWG, as well as the  
 504 European summary of product characteristics, recommend that individuals carrying  
 505 *SLCO1B1*\*5 avoid high-dose simvastatin, but the suggested clinical approach  
 506 differs<sup>83,84</sup>. The CPIC guidelines recommend a lower simvastatin starting dose or an  
 507 alternative statin in heterozygous carriers, alongside consideration of routine creatine  
 508 kinase surveillance in those who are homozygous<sup>85</sup>. In contrast, the DPWG  
 509 recommends that homozygotes avoid simvastatin entirely<sup>86</sup>. The DPWG guideline  
 510 also recommends avoiding atorvastatin for individuals with other clinical risk factors  
 511 for SAMS<sup>86</sup>. The EU summary of product characteristic for simvastatin states “Where  
 512 available, genotyping for the presence of the C allele should be considered as part of  
 513 the benefit-risk assessment prior to prescribing 80 mg simvastatin for individual  
 514 patients and high doses avoided in those found to carry the CC genotype.”

515  
 516 As the current evidence base is limited to specific drug-gene pairs and largely  
 517 retrospective, PGx testing to assess the risk of SAMS has not yet transitioned into  
 518 routine clinical care, and PGx testing while on statin therapy is not usually  
 519 recommended.

520  
 521 **Table 2**  
 522

Statin	Increase in Area Under the Curve in <i>SLCO1B1</i> *5 (loss-of-function) homozygotes <sup>87–91</sup>
Simvastatin	221%
Lovastatin	186%
Pitavastatin	208%
Atorvastatin	145%
Pravastatin	91%
Rosuvastatin	65%
Fluvastatin	19%, non-significant

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**Key clinical positions:**

- Avoid high-dose simvastatin (80mg) and consider an alternative statin of equivalent LDL lowering efficacy in patients known to be homozygous for the *SLCO1B1*\*5 reduced function variant.

**Beta-blockers**

Several beta-blockers, including metoprolol, carvedilol and propranolol are metabolised by CYP2D6, a phase I drug-metabolising enzyme implicated in the metabolism of 20-25% of clinically prescribed drugs<sup>92-94</sup>, and encoded by the highly polymorphic gene, *CYP2D6*. Of these, metoprolol is most highly dependent on CYP2D6 for its elimination, with 70-80% of an oral dose undergoing CYP2D6-mediated biotransformation<sup>92</sup>(Table 3). Other beta-blockers, such as atenolol and bisoprolol, undergo either no or negligible CYP2D6 metabolism<sup>92,95</sup>.

Several studies have investigated the clinical effects of *CYP2D6* in patients taking metoprolol, mostly for heart failure (HF) or hypertension (HTN). The tolerated maintenance metoprolol dose in *CYP2D6* poor metabolisers (PMs) relative to extensive (normal) metabolisers (EMs) is lower in some, but not all studies<sup>96-101</sup>. A decreased heart rate (HR) has been frequently reported in intermediate metabolisers (IMs) and particularly PMs relative to EMs, and an increased incidence of (mostly asymptomatic) bradycardia (HR<60bpm) has been reported in *CYP2D6* PMs and occasionally IMs in some but not all studies<sup>96,98,99,101-104</sup>. No association between *CYP2D6* and systolic blood pressure in metoprolol users has been identified<sup>96,98,101,103</sup>. However, at least three studies, including the two largest to date have linked *CYP2D6* PM status to a ~5 mmHg reduction in diastolic blood pressure relative to EMs, although this has not been replicated in all studies<sup>96,98,99,101-103,105</sup>. Bar an early small case-control study, clinically apparent adverse events have not been associated with *CYP2D6* PMs or IMs<sup>98,99,101,102,105-107</sup>. One limitation of some of these studies is a reliance on a single, albeit common, loss-of-function variant, *CYP2D6*\*4 (rs3892097) for assigning *CYP2D6* predicted function<sup>96,97,101</sup>.

Due to the lower prevalence of *CYP2D6* ultra-rapid metabolisers (UMs) compared to IM/PMs, less research has specifically focused on this group, although HR and blood pressure do not appear to be notably different from EMs with metoprolol<sup>102,103</sup>. One study of 187 patients post myocardial infarction related *CYP2D6* duplications to ventricular rhythm disturbances, although this group also had a higher proportion of ST-elevation myocardial infarction<sup>104</sup>.

The US Food & Drug Administration (FDA)-approved metoprolol product label states that *CYP2D6* (genotype)-dependent metabolism seems to have little influence on the safety or tolerability of metoprolol<sup>108</sup>. Nonetheless, the label also notes that strong CYP2D6 drug inhibitors are expected to mimic *CYP2D6* PMs and recommends

571 caution with co-administration of potent CYP2D6 inhibitors<sup>108</sup>. This is one example of  
572 a trend in drug labelling that urges more (appropriate) caution for drug-drug  
573 interactions than for genetic polymorphisms with the same effect. The DPWG  
574 guidance for metoprolol-CYP2D6 recommends slower dose titration and reduced  
575 maximal doses in IMs and PMs in the event of symptomatic bradycardia or when a  
576 gradual reduction in heart rate is indicated, and suggests increasing metoprolol dose  
577 beyond the usual maximum or an alternative beta-blocker in UMs if effectiveness  
578 remains insufficient<sup>35</sup>.

579  
580 On balance, if patients known to be a CYP2D6 PM or UM are started on a beta-  
581 blocker, avoiding metoprolol seems prudent. Similarly, CYP2D6 genotyping in  
582 patients that experience an ADR on metoprolol appears justifiable to minimise risk of  
583 future ADRs from other CYP2D6-metabolised drugs that the patient might  
584 subsequently be prescribed, and to refine the metoprolol-CYP2D6 evidence base.

585 **Table 3**

586

CYP2D6 Metaboliser phenotype	Fold difference in metoprolol exposure (compared with normal metaboliser) <sup>109</sup>
Ultra-rapid	~0.4
Intermediate	~2.5
Poor	~4.9

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**Key clinical positions:**

- If genotype is known metoprolol should be avoided in CYP2D6 poor and ultrarapid metabolisers, and an appropriate substitute made (e.g., bisoprolol)
- Prescribers should be aware that co-administration of potent CYP2D6 inhibiting drugs can lead to variable phenoconversion, the extent of which will be influenced by the underlying CYP2D6 genotype.

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**Hypertension:**

596 Primary Hypertension (HTN) is highly prevalent in Europe and a leading cause of  
597 morbidity and mortality despite the existence of many pharmacologic therapies and  
598 lifestyle modification recommendations<sup>110,111</sup>. There are now >1,000 genetic loci  
599 linked with blood pressure regulation through large GWASs and meta-analyses,  
600 which supports a complex polygenic mechanism of partial heritability, with most  
601 common risk alleles exerting a small effect on blood pressure<sup>112,113</sup>.

602  
603 Interestingly, one of the largest multi-ethnic meta-analysis of blood pressure traits to-  
604 date included a phenome-wide association study which demonstrated uniform  
605 distribution of clinical risk based on identified genetic loci across ethnic groups,  
606 including Caucasians, Hispanics and persons of African descent<sup>113</sup>. Though PGx

607 trials have not provided an evidence base to support genotype-guided HTN  
608 pharmacotherapy, therapeutic recommendations remain stratified by self-reported  
609 ethnicity, with 'black' Europeans recommended a different treatment regime  
610 (extrapolated from African American population data from the USA)<sup>110</sup>.

611  
612 There is some evidence from the GenHAT study (a sub-study of ALLHAT), the  
613 largest RCT to explore gene panel risk prediction in stratified anti-hypertensive (anti-  
614 HTN) therapies, that genetic panels can predict response to different anti-HTN  
615 therapies. However, this adequately powered study (N >39,000) did not demonstrate  
616 clinically significant benefit to genetically stratified therapeutic choice<sup>114</sup>. It also failed  
617 to demonstrate different clinical outcomes for use of the studied anti-HTN  
618 therapeutics in Caucasians or participants of African descent. This trial did not  
619 include beta-blockers, which are less routinely used to treat HTN.

620  
621 Thus, current evidence doesn't support the routine use of any genomic targeted  
622 treatment or change to therapy for any variant reported for essential HTN (beta-  
623 blockers discussed separately above). There remains a need for further prospective  
624 clinical studies to either validate or discard the current practice of using self-reported  
625 ethnicity (black or white) to guide initial therapeutic choice. Prior assessment of  
626 evidence has concluded that this may be dubious<sup>115</sup>.

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629 **Key clinical positions:**

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631 ■ No clinical action advised based on current evidence

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636 **Arrhythmias**

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638 The main arrhythmia-predisposing condition related to pharmacotherapy and  
639 amenable to genetically guided intervention is long QT <sup>116</sup>.

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641 A prolonged QT interval is a well-known risk factor for ventricular arrhythmias  
642 (including torsade de pointes (TdP), a rare and unstable polymorphic ventricular  
643 tachycardia) and sudden cardiac death (SCD), and can be congenital or  
644 acquired<sup>117-121</sup>. Acquired QT prolongation can be caused by cardiac disease such as  
645 coronary heart disease and HF, but it is commonly caused by certain drugs (drug  
646 induced long QT syndrome (diLQTS))<sup>120,122</sup>. QT interval prolongation is one of the  
647 leading causes of therapeutic relabelling or withdrawal from the market. To date,  
648 >200 drugs are listed on [CredibleMeds.org](https://www.crediblemeds.org) (a curated database maintained by  
649 AZCERT) as associated with QT prolongation and/or TdP<sup>123</sup>.

650  
651 Susceptibility to acquired QT interval prolongation can be influenced by genetic  
652 variation; the heritability of QT interval duration in the general population (excluding  
653 congenital long QT syndrome (LQTS) patients) is estimated to be around 35%, and  
654 first-degree relatives of patients with congenital LQTS have a higher risk of drug-  
655 induced QT prolongation than non-related individuals<sup>124-127</sup>. A large number of genes

656 associated with QT interval duration have been identified by GWAS<sup>128–130</sup>. The gene  
657 with the strongest signal related to QT interval duration is the nitric oxide synthase 1  
658 adaptor protein gene (NOS1AP), located on chromosome 1<sup>128–134</sup>. This gene also  
659 influences impulse propagation<sup>135</sup>. Other key findings from GWAS included  
660 polymorphisms within genes known to be mutated in congenital LQTS, genes  
661 associated with intracellular calcium handling, as well as genes previously not known  
662 to influence cardiac repolarization<sup>124,129,130</sup>. A polygenic risk score comprised of 61  
663 variants previously associated with baseline QT interval at genome-wide level was  
664 also associated with drug-induced QT prolongation, and was a significant predictor  
665 of drug-induced TdP<sup>136,137</sup>.

666  
667 Several other exploratory studies have sought to identify the underlying genetic  
668 architecture predisposing to diLQTS<sup>136,138–140</sup>. One candidate gene study evaluated  
669 the genetic predisposition to diLQTS among 176 cases and 1044 controls and  
670 identified a nonsynonymous variant in *KCNE1* (rs1805128, D85N) that conferred risk  
671 of diLQTS (OR=9.0, 95% confidence interval: 3.5–22.9)<sup>138</sup>. In an exome sequencing  
672 study of 65 diLQTS cases and 148 controls, a significant excess of rare variants in  
673 *KCNE1* and *ACN9* were identified. Moreover, 37% of diLQTS cases were also  
674 carriers of a rare variant in potassium channel genes compared with 21% of  
675 controls<sup>140</sup>. These studies highlight the importance of individualized treatment and  
676 risk stratification in the setting of ADRs, but also the need for further work to translate  
677 this data to the clinical realm with thorough validation studies.

678  
679 The most common mechanism by which drugs lead to acquired LQTS is blockade of  
680 the rapid component of the delayed rectifier potassium current,  $I_{kr}$ , encoded by  
681 *KCNH2*, also referred to as human ether-a-go-go-related gene<sup>141</sup>. The risk of TdP  
682 seems to increase with higher concentrations of  $I_{kr}$  blocking drugs. Thus, genetic  
683 variants that alter drug metabolism and result in higher drug concentrations can  
684 predispose to diLQTS. The reduced repolarisation reserve hypothesis proposes that  
685 patients remain asymptomatic until multiple hits (e.g., hypokalaemia, bradycardia,  
686 and/or exposure to a drug) unmask an extreme drug response phenotype (i.e. QT  
687 prolongation) that can lead to the development of fatal arrhythmias<sup>117</sup>.

### 688 **Future prospects:**

689  
690 Potentially dangerous effects of drugs may be masked when administered to a large  
691 number of patients. The risk may become evident only when genetic and  
692 environmental risk factors combine; for example, when an  $I_{kr}$  blocking drug is given  
693 to an individual with a silent mutation in one of the congenital LQTS genes in the  
694 setting of hypokalaemia.

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697 Therefore, therapeutics that are both safe and efficacious for the majority of the  
698 population may be withdrawn due to unacceptable risk in a small minority of patients.  
699 With the current emphasis on avoiding QT prolongation in drug development, it is  
700 likely that drugs that would never result in TdP in a selected population are being  
701 abandoned due to perceived risk. The challenge lies in identifying which patients, in  
702 which settings, and with which drugs or combination of drugs (and at what doses)  
703 will develop diLQTS and avoiding the combination of factors that promote TdP.

### Key clinical positions:

- Medications known to prolong the QT interval should be avoided in those known to harbour long-QT associated genetic variants.

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### **Chemotherapy induced Cardiomyopathy:**

Cardiomyopathy and HF resultant from chemotherapeutic myocyte toxicity (chemotherapy induced cardiomyopathy (CCM)) is a feared side effect of several common chemotherapeutic agents, most prominently: doxorubicin, trastuzumab, paclitaxel, and 5-fluorouracil<sup>142</sup>. The most studied amongst these is doxorubicin, with anthracycline-induced cardiotoxicity the subject of the sole existing PGx recommendation: The Canadian Pharmacogenomics Network for Drug Safety (CPNDS) recommends genetic testing for 3 SNPs (*RARG* rs2229774, *SLC28A3* rs7853758, *UGT1A6\*4* rs17863783) prior to initiating doxorubicin or daunorubicin in children, endorsed by a moderate level B evidence based support<sup>143</sup>. Though these variants were each replicated in separate cohorts within a single published study, they have not yet been validated by further independent research. This recommendation was met with some consternation, as these SNPs lack functional validation as well as mechanistic plausibility (two are not expressed in cardiac tissue and don't alter protein coding), and has not been incorporated into other guidelines or mainstream practice<sup>144</sup>. A recent study from the USA found that a polymorphism present in both African and European ancestry populations was associated with higher risk of cardiomyopathy in childhood cancer survivors, with disproportionately higher risk to those of African ancestry (5.43-fold vs 1.31-fold); however this population included exposure to radiotherapy as well as anthracyclines<sup>145</sup>.

While PGx studies have not yet evolved to clinical utility in risk prediction within mainstream care, recent advances have localised additional risk to those cancer patients with *TTN* truncating variants (TTNtvs). The massive titin protein, coded for by *TTN*, is integral to sarcomere function, and implicated in familial forms of DCM<sup>146,147</sup>. These genetic changes are hypothesised to create a stress cardiomyopathy phenotype that may also be expected in states such as pregnancy or alcohol excess<sup>146</sup>.

Nevertheless, the study that linked TTNtvs to CCM identified TTNtvs in only 7.5% of those with CCM, and not all the at-risk participants developed cardiomyopathy<sup>146</sup>. However, this study sets the stage for the possibility of improved risk stratification which could prospectively investigate risk modification strategies for a genetically at-risk population. Work is urgently needed in this area to advance scientific gains to clinical care.

#### **Key clinical positions:**

- No clinical action advised based on current evidence



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## Clinical Trials

Despite the growing worldwide burden of CVD, drug development in the field has been on a downward trend due to the numerous challenges associated with successfully bringing a new drug to the market. Compared to other therapeutic areas, developing new cardiovascular medicines is more expensive for many reasons including the requirement for trials with large sample sizes, new therapies usually add only incremental benefit to individuals on very effective polypharmacy, and increased late-phase failures. Therefore, to ensure the future of cardiovascular drug development, clinical trials need to evolve and embrace new advances to overcome these challenges<sup>148</sup>.

PGx could help address these difficulties by facilitating mechanism-based approaches for drug development in defined populations. This approach can be utilised at various stages in the drug development pathway.

Challenges for conducting PGx RCTs for drugs that are already licensed include each trial only being able to assess one or a few gene-drug pairs, and large numbers of participants needing to be recruited to include sufficient with the variant allele(s) of interest in the absence of accessible genomic data prior to recruitment<sup>56</sup>. Moreover, there are specific concerns over the generalisability of PGx RCT evidence to ethnicities inadequately represented in such trials, as they may carry different gene variants relevant to the studied drug. Furthermore, there is a lack of consensus over the evidential threshold required for PGx biomarkers whose role is to guide dose/drug selection akin to prescribing adjustments based on renal/liver function tests<sup>149</sup>.

### *Phase II and III trials*

RCTs tend to require large patient numbers to show a clinical effect. However, there has been interest in improving patient selection to identify those who are most likely to benefit from the proposed treatment, which may potentially reduce the sample size requirements.

Post-hoc subgroup analyses attempt to identify PGx markers from already completed RCTs. This has clear cost saving benefits, but studies risk being under-powered and results inconclusive.

Prospective study design takes adequate powering into consideration to produce more robust evidence. Examples of this design include the following<sup>150</sup>:

- 803 - Enrichment design, where only patients who have the feature predicted to  
804 have clinical benefit are recruited or analysed. An example of this is the  
805 TAILOR-PCI trial discussed above, where the analysis was limited to those  
806 with CYP2C19 loss of function (\*2, \*3) variants<sup>56</sup>.
- 807 - Adaptive trial design is categorised by adjusting trial features based on data  
808 amassed during the trial itself. An example of this is the GENETIC-AF trial<sup>151</sup>.
- 809 - Biomarker based all-comers design which aims to investigate the interaction  
810 between treatment effect and biomarker status, more commonly employed in  
811 oncology.
- 812 - Hybrid or combination biomarker trials, which are typically an enrichment  
813 study extending to include another technique such as adaptive design.

#### 814 *Successes, failures, and opportunities – lipid modulation trials*

815 The discovery that mutations in *PCSK9* were a rare cause of familial  
816 hypercholesterolaemia paved the way for the development of PCSK9 inhibitors for  
817 lipid management. Yet, cholesteryl ester transfer protein (CETP) inhibitors have  
818 proven that identification of a PGx marker does not guarantee successful drug  
819 development, and multiple CETP inhibitors have failed phase III trials<sup>152</sup>. However,  
820 persistence with this therapeutic target is proving fruitful. The REVEAL trial, with the  
821 CETP inhibitor Anacetrapib showed a reduction in cardiovascular events<sup>153</sup>.  
822 Additionally, the dal-GenE trial suggests improved cardiovascular outcomes when  
823 the CETP inhibitor dalcetrapib is used with a statin in the subset of patients with the  
824 AA genotype at rs1967309 in the *ADCY9* gene<sup>154</sup>. This further demonstrates the  
825 complexities of PGx application in trials as well as the need for robust research to  
826 ensure that potentially useful therapies are not wasted.

827 PGx has the potential to enrich the development of new therapies in cardiovascular  
828 medicine, resulting in a more efficient and cost saving drug development route.  
829 Significant challenges exist and well-designed trials are required to ensure we have  
830 robust evidence.

#### 831 *Real world evidence to evaluate PGx utility*

832  
833 Due to limitations in feasibility for RCT trials for each drug-gene pair, real world  
834 evidence which uses the power of big data contained within electronic health records  
835 may prove useful. This approach would also be more likely to include more diverse  
836 populations, limiting concerns about external validity of data and health equality. An  
837 example of such an approach is the IGNITE study, which assessed outcomes for  
838 use of PGx guided antiplatelet therapy following PCI (and found increased risk of  
839 cardiovascular events in those with a CYP2C19 loss-of-function allele who were  
840 prescribed clopidogrel)<sup>155</sup>.

841

#### 842 **Key position:**

843

844

- 844 ■ Pharmacogenomics offers tools that can revolutionize drug design and clinical trials and make both more economically efficient. However, prospective designs should be optimized and post-hoc subgroup analysis limitations recognized. **Real world evidence may fill important gaps.**

Magavern, E.F., Kaski, J.C., Turner, R.M., Janmohamed, A., Borry, P., Pirmohamed, M.  
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### Key Paper Positions

- **Thrombosis, Ischemic Heart Disease and Stroke:**  
Evidence is strongest for pre-emptive genotyping prior to warfarin use. There is also enough evidence to advise genotype guided antiplatelet therapy in selected high-risk CV patients.
- **Hypertension:**  
Further research is needed to translate scientific gains to the bedside. Self-identified black/white racial stratification in HTN therapeutic algorithms is not supported by genetic evidence to-date.
- **Arrhythmias:**  
Further research is needed to advance our understanding of genetic risk loci for drug-induced long QT and to trial a PGx approach in clinical practice. Known carriers of pathogenic LQT variants should not be given medication known to prolong the QTC.
- **Chemotherapy induced cardiomyopathy:**  
Further research is needed to translate scientific gains to the bedside.
- **Clinical Trials:**  
PGx has the potential to improve the success, efficacy, and cost profile of drug development and clinical trials but is in early stages of development.

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900 **Conflicts of interest**

901

902 MJC is seconded to Genomics England as Chief Scientist and part of his salary is  
903 funded by Genomics England Ltd, a wholly owned Department of Health and Social  
904 Care Company.

905

906 MP receives research funding from various organisations including the MRC, NIHR,  
907 EU Commission, HDR UK and Health Education England. He has also received  
908 partnership funding for the following: MRC Clinical Pharmacology Training Scheme  
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**Figures**

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1597 Figure 1 – Summary graphic of ESC WG clinical PGx advice

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1600 Figure 2 - Areas with promise for PGx translation – key opportunities for research

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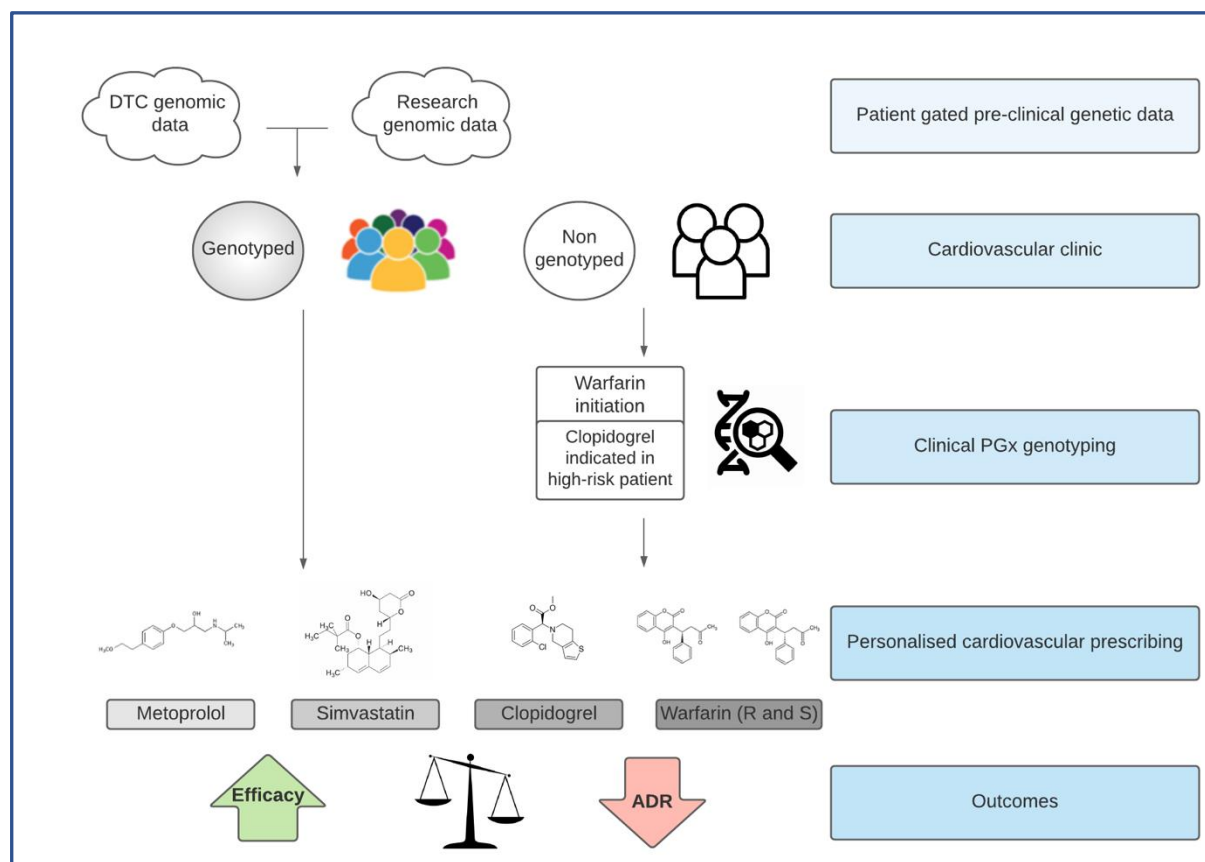
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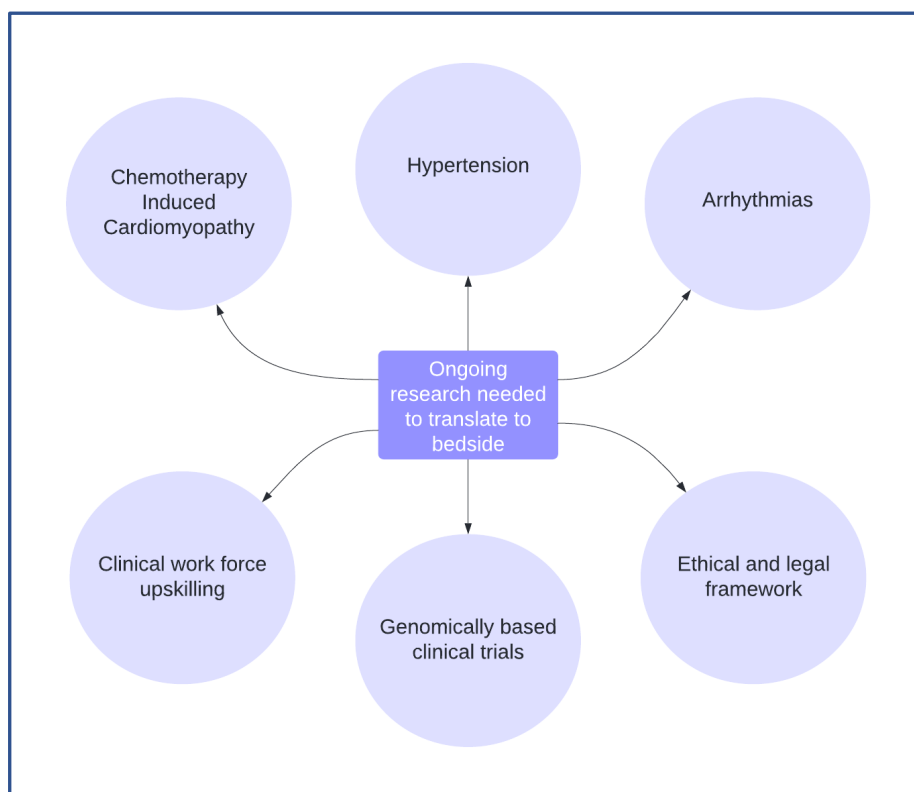
**Figure 1:** “Summary graphic of ESC WG clinical PGx advice”

This flow graphic illustrates the modes in which patients may have genetic testing, either prior to clinical encounter or indicated during a clinical encounter (where genotyping may be indicated prior to warfarin initiation or to guide antiplatelet therapy in high-risk patients). This information from diverse sources may then impact on the prescribing of metoprolol, simvastatin, clopidogrel and warfarin, to increase therapeutic efficacy and decrease the probability of adverse drug reactions.

Abbreviations:

1635 Adverse drug reactions (ADR)  
1636 Direct-to-consumer (DTC)

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**Figure 2: “Areas with promise for PGx translation”**

Key opportunities for research to advance pharmacogenomics and facilitate translation to the bedside.