

1 **Do we need dietary reference values for people with obesity?**

2 Steenackers N¹, Mutwiri L^{1,2}, Van der Schueren B^{1,3}, Matthys C^{1,3}.

3 ¹Clinical and Experimental Endocrinology, Department of Chronic Diseases and Metabolism, KU
4 Leuven, Leuven, Belgium; ²School of Food and Nutrition Sciences, Jomo Kenyatta University of
5 Agriculture and Technology, Nairobi, Kenya; ³Department of Endocrinology, University Hospitals
6 Leuven, Leuven, Belgium

7 **Contact information authors:**

8 **Nele Steenackers**

9 Clinical and Experimental Endocrinology
10 O&N I Herestraat 49 - box 902
11 3000 Leuven, Belgium
12 Nele.steenackers@kuleuven.be

13 **Linet N Mutwiri**

14 Clinical and Experimental Endocrinology
15 O&N I Herestraat 49 - box 902
16 3000 Leuven, Belgium
17 linet.mutwiri@kuleuven.be

18 **Bart Van der Schueren**

19 Clinical and Experimental Endocrinology
20 UZ Herestraat 49 - box 7003 44
21 3000 Leuven, Belgium
22 Bart.vanderschueren@uzleuven.be
23 +32 16 37 62 79

24 **Christophe Matthys (corresponding author)**

25 Clinical and Experimental Endocrinology
26 UZ Herestraat 49 - box 7003 44

27 3000 Leuven, Belgium

28 Christophe.matthys@uzleuven.be

29 +32 16 34 26 55

30 **Running title:** Dietary reference values for people with obesity

31 **Key words:** Obesity, Malnutrition, Micronutrients, Nutritional Status, Iron deficiency

32

The double burden of malnutrition – obesity and iron deficiency

Despite the rapid transition in the global nutrition landscape, malnutrition, which includes both undernutrition as well as overweight and obesity, or diet-related noncommunicable diseases, remains a global problem. Millions of people suffer from different forms of malnutrition that can co-exist simultaneously within a population, a household or an individual^(1,2) – a concept known as the double burden of malnutrition. Globally, progress has been made in addressing the double burden of malnutrition. However, progress is not equally spread across the different forms of malnutrition as the prevalence of overweight and obesity is still rising. Between 2000 and 2016, the prevalence of adult obesity has increased from 201.8 million (10.6%) to 393.5 million (15.1%) women and from 124.7 million (6.7%) to 284.1 million (11.1%) men (total: 13.1% of adults). In high-income countries, the prevalence of overweight and obesity is up to five times higher compared to Low and Middle Income Countries (LMICs)⁽³⁾⁽⁴⁾. Nevertheless, obesity is also rising in LMICs⁽⁶⁾. One of the key nutritional challenges in people with obesity is the co-existence of nutritional deficiencies such as iron deficiency that can progress to anaemia⁽⁷⁾. Similar to obesity, no progress has been made in addressing the global prevalence of anaemia. In 2016, 613.2 million (32.8%) women of reproductive age suffered from anaemia. Globally, no country is on course to reach either the anaemia target of the 2025 global nutrition targets nor to halt the rise in adult obesity⁽³⁾.

Notably, obesity and iron deficiency go hand-in-hand. From 1962 onwards, a growing number of researchers have observed that iron deficiency is more prevalent in people with obesity compared to their non-obese counterparts^(8–13). The prevalence of iron deficiency ranges between 13 and 53% depending on the used laboratory markers and associated cut-off values⁽¹⁴⁾. Different mechanisms have been proposed that could explain the link between obesity and iron deficiency including (i) an inadequate dietary iron intake, (ii) higher requirements and (iii) chronic low-grade inflammation. No differences have been observed in dietary iron intake between individuals with obesity and their non-obese counterparts^(15–18). However, iron requirements are higher in people with obesity due to increased blood volume⁽¹⁹⁾. Despite higher requirements, the chronic low-grade inflammation associated with obesity reduces iron absorption by increasing hepcidin levels⁽²⁰⁾. In turn, the presence of iron deficiency within an individual with obesity can impair physical activity and further contribute to obesity due to the link between iron status, oxygen transport and physical activity⁽²¹⁾. Based on the increases in iron requirements and decreases in iron absorption in people with obesity, it seems paradoxical to exclude inadequate dietary iron intake as a contributor to the development of iron deficiency based solely on the lack of difference in dietary iron intake between individuals with obesity and their non-obese counterparts. Rather than just comparing dietary intakes, it might be more useful to reconsider the dietary reference values for people with obesity.

Do we need specific dietary reference values for people with obesity?

Dietary reference values have been proposed to identify populations that are at risk of under- or overconsumption. Nutrient intake is needed to ensure adequate growth and development, while reducing the risk of nutritional deficiencies. Nutritional requirements differ with age, sex and physiological conditions due to differences in velocity of growth, age-related changes in nutrient absorption, body function and functional capacity. Therefore, dietary reference values are available for different age- and sex groups⁽²²⁾. However, research has shown that physiological conditions can differ between individuals and populations independent of age and gender. Although dietary reference values are rarely derived for patient populations, since most conditions have a more acute nature. However, obesity is a chronic progressive condition that is more than just a risk factor for other diseases⁽²³⁾. Due to its chronic nature, the underlying physiology of people with obesity is affected. Progressive adaptations include low-grade chronic inflammation, higher morbidity, increased blood volume, reduced hepatic blood flow and reduced renal clearance⁽²⁴⁾. These physiological conditions can contribute to the burden of nutritional deficiencies by affecting the absorption, distribution, metabolism and excretion of nutrients⁽²⁴⁾. Considering these physiological alterations, the question rises whether the available dietary reference values set for healthy individuals are sufficient to cover the needs of all population groups including people with obesity.

To illustrate these concerns, we recalculated the current dietary reference values of iron for people with obesity using a simple factorial approach. We adapted the estimated average requirement (EAR) for adult men and women proposed by the Institute of Medicine based upon changes in overall iron absorption and bodyweight for different obesity classes (Class 1: Body Mass Index (BMI) between 30.0 and 34.9 kg/m²; Class 2: BMI between 35.0 and 39.9 kg/m², Class 3: BMI above 40.0 kg/m²). The EARs for iron depend on basal iron loss (body weight (kg) * 0.014 mg/kg/day), menstrual iron loss (0.51 mg/day) and overall iron absorption (18%)^{1, (25)}. For basal iron loss, we calculated the requirement with the body weight that corresponded to the lower limit BMI of the different obesity classes. Therefore, we used the median height for adult men (175.6 cm) and women (161.9 cm) in the US as reported in NHANES 2011-2014 and calculated the corresponding weight for BMI 30, 35 and 40 kg/m²^(26,27). According to our knowledge, no data is available regarding menstrual iron loss specifically in subjects with obesity. Therefore, we maintained the value of 0.51 mg/day for premenopausal women. For iron absorption, we used mean heme and non-heme iron absorption that was measured in a group of obese

¹ Formulation to determine iron absorption = ((fraction non-heme iron [0.9] x bioavailability non-heme iron [0.168]) + (fraction heme iron [0.1] x bioavailability heme iron [0.25])) x 100 = 17.6 ≈ 18

women before bariatric surgery (heme-iron: 23.9%; non-heme iron: 11.1%) in combination with the original iron bioavailability fractions to obtain an overall absorption value of 12.38%⁽²⁸⁾.

The adapted EARs per obesity class are summarized in Table 1. According to the adapted EAR's, the requirements are higher per obesity class for both men and women. For obesity class 1, the EARs increased by 75.0%, 60.5% and 78.0% for men, pre- and postmenopausal women, respectively, compared to the EARs set for the healthy adult population (6 mg/day, 8.1 mg/day and 5 mg/day for men, pre- and postmenopausal women, respectively). For obesity class 2, the EARs increased by 103.3%, 79.0% and 106.0% for men, pre- and postmenopausal women, respectively. For obesity class 3, the EARs increased by 133.3%, 97.5% and 138.0% for men, pre- and postmenopausal women, respectively.

Table 1: The revised Estimated Average Requirements for iron per obesity class

Healthy Adult	Men	Pre-menopausal women	Postmenopausal women
EAR (mg/day)	6	8.1	5
Obesity Class 1: $\geq 30 \text{ kg/m}^2$	Men	Premenopausal women	Postmenopausal women
Weight (kg)	92.5	78.6	78.6
Basal loss (mg/day)	1.30	1.10	1.10
Menstrual iron loss (mg/day)	-	0.51	-
Iron absorption	0.1238	0.1238	0.1238
EAR (mg/day)	10.5	13.0	8.9
Obesity Class 2: $\geq 35 \text{ kg/m}^2$	Men	Premenopausal women	Postmenopausal women
Weight (kg)	107.9	91.7	91.7
Basal loss (mg/day)	1.51	1.28	1.28
Menstrual iron loss (mg/day)	-	0.51	-
Iron absorption	0.1238	0.1238	0.1238
EAR (mg/day)	12.2	14.5	10.3
Obesity Class 3: $\geq 40 \text{ kg/m}^2$	Men	Premenopausal women	Postmenopausal women
Weight (kg)	123.3	104.9	104.9
Basal loss (mg/day)	1.73	1.47	1.47
Menstrual iron loss (mg/day)	-	0.51	-
Iron absorption	0.1238	0.1238	0.1238
EAR (mg/day)	14.0	16.0	11.9

Higher iron requirements, as indicated by the revised EARs, might explain why individuals with obesity suffer more from iron deficiency, while their dietary iron intake is not lower than individuals with normal-weight, as mentioned above. On the contrary, they support the idea that dietary iron intake should be higher in people with obesity. Moreover, these distinct differences in requirements might indicate that the current diets are potentially inadequate for people with obesity. A nutrient-poor diet

in combination with chronic inflammation could further worsen micronutrient status in obesity. As a consequence, a triple challenge of undernutrition (i.e. micronutrient deficiencies), overnutrition (i.e. obesity) and inflammation implies a major threat for metabolic health. Especially, when the different malnutrition forms could exacerbate each other and worsen the overall burden of malnutrition⁽²⁹⁾. Nonetheless, clinical investigations in people with obesity are needed to verify these assumptions. Importantly, the concept is not solely applicable to iron. Other vitamin and mineral deficiencies (e.g. vitamin B12) have also been associated with obesity^(30,31), for which the same concept of higher requirements could also apply.

Conclusion

In the face of all malnutrition challenges, the question arises as to whether we are paying sufficient attention to obesity and its related nutritional problems. We would like to say yes, but the reality is that the prevalence and worldwide spread of obesity has never been higher. Moreover, available research suggests the presence of serious nutritional challenges in obesity. In particular, the co-existence of nutritional deficiencies and obesity is often neglected among malnutrition challenges. In fact, nutrition financial resources are predominantly collected through undernutrition programs, while the financial resources needed for addressing malnutrition related to obesity keeps growing⁽³²⁾. In an increasingly obese world, more attention is urgently needed to address existing obesity and its related nutritional problems rather than focusing solely on obesity prevention. In the context of dietary reference values, research is necessary to test whether the available dietary reference values fulfill the requirements of people with obesity in light of the physiological alterations. This means allocating financial resources to investigate the physiological alterations in people with obesity, to study nutrikinetics (i.e. absorption, distribution, metabolism and excretion of nutrients) in people with obesity, to analyze dietary requirements in light of the nutrikinetic findings and to explore prevention and treatment options while collaborating with all involved parties ranging from policymakers to the nutrition community.

Conflict of interest statement

NS, LM, BVDS and CM declare no competing interest.

References

1. World Health Organization (WHO) (2018) Malnutrition, Key facts.
<https://www.who.int/en/news-room/fact-sheets/detail/malnutrition>.
2. Bailey RL, West KP Jr, Black RE. The epidemiology of global micronutrient deficiencies. Ann

144 Nutr Metab. 2015;66 Suppl 2:22-33.

145 3. 2020 Global Nutrition Report: Action on equity to end malnutrition. Bristol, UK: Development
146 Initiatives.

147 4. Development Initiatives, 2018. 2018 Global Nutrition Report: Shining a light to spur action on
148 nutrition. Bristol, UK: Development Initiatives.

149 5. World Health Organisation. Obesity and overweight Fact Sheet. Available from:
150 <https://www.who.int/news-room/fact-sheets/detail/obesity-and-overweight> [Accessed 29
151 April 2020].

152 6. Ford ND, Patel SA, Narayan KM. Obesity in Low- and Middle-Income Countries: Burden,
153 Drivers, and Emerging Challenges. *Annu Rev Public Health*. 2017;38:145-164.

154 7. Delisle H. Double Burden of Malnutrition at the Individual Level: The frequent co-occurrence
155 of undernutrition and nutrition-related cardiometabolic risk. *Sight and Life*. 2018; 32(2): 76-
156 81. Available from: <https://sightandlife.org/wp-content/uploads/2018>.

157 8. Wenzel BJ, Stults HB, Mayer J. Hypoferraemia in obese adolescents. *Lancet*.
158 1962;2(7251):327-328.

159 9. Menzie CM, Yanoff LB, Denkinger BI, et al. Obesity-related hypoferremia is not explained by
160 differences in reported intake of heme and nonheme iron or intake of dietary factors that can
161 affect iron absorption. *J Am Diet Assoc*. 2008;108(1):145-148.

162 10. Yanoff LB, Menzie CM, Denkinger B, et al. Inflammation and iron deficiency in the
163 hypoferremia of obesity. *Int J Obes (Lond)*. 2007;31(9):1412-1419.

164 11. del Giudice EM, Santoro N, Amato A, et al. Hepcidin in obese children as a potential mediator
165 of the association between obesity and iron deficiency. *J Clin Endocrinol Metab*.
166 2009;94(12):5102-5107.

167 12. Ausk KJ, Ioannou GN. Is obesity associated with anemia of chronic disease? A population-
168 based study. *Obesity (Silver Spring, Md.)*. 2008 Oct;16(10):2356-2361.

169 13. Tussing-Humphreys LM, Liang H, Nemeth E, Freels S, Braunschweig CA. Excess adiposity,
170 inflammation, and iron-deficiency in female adolescents. *J Am Diet Assoc*. 2009;109(2):297-
171 302.

172 14. Benotti PN, Wood GC, Kaberi-Oterod J, et al. NEW CONCEPTS IN THE DIAGNOSIS AND
173 MANAGEMENT APPROACH TO IRON DEFICIENCY IN CANDIDATES FOR METABOLIC SURGERY:

174 SHOULD WE CHANGE OUR PRACTICE?, *Surgery for Obesity and Related Diseases*, 2020,
175 doi.org/10.1016/j.soard.2020.08.018.

176 15. Cepeda-Lopez AC, Osendarp SJ, Melse-Boonstra A, et al. Sharply higher rates of iron
177 deficiency in obese Mexican women and children are predicted by obesity-related
178 inflammation rather than by differences in dietary iron intake. *Am J Clin Nutr*. 2011;93(5):975-
179 983.

180 16. Menzie CM, Yanoff LB, Denkinger BI, et al. Obesity-related hypoferremia is not explained by
181 differences in reported intake of heme and nonheme iron or intake of dietary factors that can
182 affect iron absorption. *J Am Diet Assoc*. 2008;108(1):145-148.

183 17. Cepeda-Lopez AC, Aeberli I, Zimmermann MB. Does obesity increase risk for iron deficiency?
184 A review of the literature and the potential mechanisms. *Int J Vitam Nutr Res*. 2010;80(4-
185 5):263-270.

186 18. Aeberli I, Hurrell RF, Zimmermann MB. Overweight children have higher circulating hepcidin
187 concentrations and lower iron status but have dietary iron intakes and bioavailability
188 comparable with normal weight children. *Int J Obes (Lond)*. 2009;33(10):1111-1117.

189 19. Cepeda-Lopez AC, Zimmermann MB, Wussler S, et al. Greater blood volume and Hb mass in
190 obese women quantified by the carbon monoxide-rebreathing method affects interpretation
191 of iron biomarkers and iron requirements. *Int J Obes (Lond)*. 2019;43(5):999-1008.

192 20. Tussing-Humphreys LM, Nemeth E, Fantuzzi G, et al. Elevated systemic hepcidin and iron
193 depletion in obese premenopausal females. *Obesity (Silver Spring)*. 2010;18(7):1449-1456.

194 21. Cepeda-Lopez AC, Baye K. Obesity, iron deficiency and anaemia: a complex relationship.
195 *Public Health Nutr*. 2020;23(10):1703-1704.

196 22. European Food Safety Authority. The EFSA Comprehensive European Food Consumption
197 Database. Available from: [https://www.efsa.europa.eu/en/food-](https://www.efsa.europa.eu/en/food-consumption/comprehensive-database)
198 [consumption/comprehensive-database](https://www.efsa.europa.eu/en/food-consumption/comprehensive-database) [Accessed 29 April 2020].

199 23. Bray GA, Kim KK, Wilding JPH; World Obesity Federation. Obesity: a chronic relapsing
200 progressive disease process. A position statement of the World Obesity Federation. *Obes Rev*.
201 2017;18(7):715-723.

202 24. Boullata JL. Drug disposition in obesity and protein-energy malnutrition. *Proc Nutr Soc*.
203 2010;69(4):543-550.

- 204 25. Institute of Medicine. 2001. Dietary Reference Intakes for Vitamin A, Vitamin K, Arsenic,
205 Boron, Chromium, Copper, Iodine, Iron, Manganese, Molybdenum, Nickel, Silicon, Vanadium,
206 and Zinc. Washington, DC: The National Academies Press. <https://doi.org/10.17226/10026>.
- 207 26. Fryar CD, Gu Q, Ogden CL, Flegal KM. Anthropometric Reference Data for Children and Adults:
208 United States, 2011-2014. *Vital Health Stat 3*. 2016;(39):1-46.
- 209 27. Green R, Charlton R, Seftel H, et al. Body iron excretion in man: a collaborative study. *Am J*
210 *Med*. 1968;45(3):336-353.
- 211 28. Ruz M, Carrasco F, Rojas P, et al. Heme- and nonheme-iron absorption and iron status 12 mo
212 after sleeve gastrectomy and Roux-en-Y gastric bypass in morbidly obese women. *Am J Clin*
213 *Nutr*. 2012;96(4):810-817.
- 214 29. Wells JC, Sawaya AL, Wibaek R, et al. The double burden of malnutrition: aetiological
215 pathways and consequences for health. *Lancet*. 2020;395(10217):75-88.
- 216 30. Kaidar-Person O, Person B, Szomstein S, Rosenthal RJ. Nutritional deficiencies in morbidly
217 obese patients: a new form of malnutrition? Part A: vitamins. *Obes Surg*. 2008;18(7):870-876.
- 218 31. Kaidar-Person O, Person B, Szomstein S, Rosenthal RJ. Nutritional deficiencies in morbidly
219 obese patients: a new form of malnutrition? Part B: minerals. *Obes Surg*. 2008;18(8):1028-
220 1034.
- 221 32. Hawkes C, Ruel MT, Salm L, Sinclair B, Branca F. Double-duty actions: seizing programme and
222 policy opportunities to address malnutrition in all its forms. *Lancet*. 2020;395(10218):142-
223 155.