

# Online milk composition analysis with an on-farm near-infrared sensor

## Authors

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## Abstract

On-farm monitoring of milk composition can support close control of the udder and metabolic health of individual dairy cows. In previous studies, near-infrared (NIR) spectroscopy applied to milk analysis has proven useful for predicting the main components of raw milk (fat, protein, and lactose). In this contribution, we present and evaluate a precise tool for online milk composition analysis on the farm. For each milking, the online analyzer automatically collects and analyses a representative milk sample. The system acquires the NIR transmission spectra of the milk samples in the wavelength range from 960 to 1690 nm and performs a milk composition prediction afterward.

Over a testing period of 8 weeks, the sensor collected 1165 NIR transmittance spectra of raw milk samples originating from 36 cows for which reference analyses were performed for fat, protein, and lactose. For the same online sensor system, two calibration scenarios were evaluated: training post-hoc prediction models based on a representative set of calibration samples ( $n = 319$ ) acquired over the entire testing

26 period, with different cows in the calibration and test set, and training real-time prediction models  
27 exclusively on the samples acquired in the first week of the testing period ( $n = 308$ ).

28 The obtained prediction models were thoroughly tested on all the remaining samples not included in the  
29 calibration sets ( $n$  respectively 846 and 857). For the post-hoc prediction models, this resulted in an overall  
30 prediction error (root-mean-squared error of prediction, RMSEP) smaller than 0.080% (all % are in wt/wt)  
31 for milk fat (range 1.5-6.3%), protein (2.6-4.3%) and lactose (4-5.1%), with a coefficient of determination  
32  $R^2$  of 0.989, 0.947 and 0.689 for fat, protein, and lactose respectively. For the real-time prediction models,  
33 the RMSEP was smaller than 0.092% for milk fat and lactose, and 0.110% for protein, with an  $R^2$  of 0.989  
34 (fat), 0.894 (protein) and 0.644 (lactose). The milk lactose predictions could be further improved (RMSEP  
35 = 0.088%,  $R^2 = 0.675$ ) by taking into account a cow-specific bias. The presented online sensor system using  
36 the real-time prediction approach can thus be used for detailed and autonomous on-farm monitoring of  
37 milk composition after each individual milking, as its accuracy is well within the requirements by the  
38 International Committee for Animal Recording (ICAR) for on-farm milk analyzers and even meet the  
39 standards for laboratory analysis systems for fat and lactose. For this real-time prediction approach, a drift  
40 was observed in the predictions, especially for protein. Therefore, further research on the development  
41 of online calibration maintenance techniques is required to correct for this model drift and further improve  
42 the performance of this sensor system.

## 43 **Keywords**

44 near-infrared spectroscopy; milk; health monitoring; real-time prediction;

## 45 **1 Introduction**

46 As the metabolism of dairy cows is heavily conditioned towards milk production, the milk composition can  
47 inform about the cow's nutritional, metabolic, and health status (McParland et al., 2014). In standard dairy

48 practices, cows are milked twice or three times a day, which implies that milk samples can be taken and  
49 analyzed regularly without interfering in the animal's daily life. Therefore, frequent analysis of the  
50 produced milk can be considered a very efficient way to monitor the performance, efficiency, and welfare  
51 of individual dairy cows.

52 Critical dairy health issues such as ketosis and mastitis produce changes in the concentration of the main  
53 components of raw milk (fat, protein, and lactose). For example, mastitis causes a decrease in lactose  
54 concentration (Bobbo et al., 2016; Forsbäck et al., 2010, 2009; Gonçalves et al., 2016), while ketosis is  
55 associated with increased fat and decreased protein contents (Brandt et al., 2010). Frequent and accurate  
56 monitoring of these changes over time allows for detecting alterations of the udder and metabolic health  
57 of individual cows (Mäntysaari et al., 2019).

58 Visible (Vis) and near-infrared (NIR) spectroscopy is a promising technique for the on-farm monitoring of  
59 the main components of raw milk, a potential scrutinized by numerous researchers in the past (Kawamura  
60 et al., 2007; Kawasaki et al., 2008; Melfsen et al., 2012; Tsenkova et al., 1999). Several commercially  
61 available technologies make use of this technique, mainly in the Vis and short-wave NIR wavelength range  
62 (400 to 1000 nm). Despite the low cost of detectors for that wavelength range, the performance in this  
63 region often provides unsatisfactory results for the prediction of milk composition (Fadul-Pacheco et al.,  
64 2018; Kaniyamattam and De Vries, 2014). This suboptimal performance is apparent when compared to  
65 NIR analyses performed using the long-wave NIR (LW-NIR) range from 1000 to 1700 nm (Aernouts et al.,  
66 2011).

67 Both reflectance and transmittance spectra can be collected using LW-NIR spectroscopy. In previous  
68 works, LW-NIR transmittance has shown an exceptional performance for raw milk composition prediction,  
69 with a root-mean-square error (RMSE) lower than 0.13% (wt/wt) and a coefficient of determination ( $R^2$ )

70 higher than 0.9 for fat, protein and lactose predictions (Aernouts et al., 2011; Saranwong and Kawano,  
71 2008; Tsenkova et al., 1999).

72 Using LW-NIR reflectance, fat and protein show a strong prediction performance, as fat globules and casein  
73 micelles are strongly linked to the NIR scattering. These scattering phenomena are caused by their physical  
74 structures, with the extensive variation in size and number of fat globules and casein micelles affecting the  
75 variation in light scattering (Postelmans et al., 2020), in addition to the absorbance of NIR radiation by  
76 their covalent bonds (Bogomolov and Melenteva, 2013). In contrast, lactose predictions are relatively poor  
77 (RMSE higher than 0.15% and an  $R^2$  lower than 0.75), as the majority of the reflected photons acquired by  
78 the sensor have limited interaction with the milk serum, in which lactose is diluted (Aernouts et al., 2015b).

79 Despite the potential of LW-NIR spectroscopy shown in previous studies, the strong absorption of LW-NIR  
80 radiation by water molecules and the severe light scattering by fat globules and casein micelles pose  
81 significant challenges for its on-farm use. For example, the thickness of the sample can only be 1 to 2 mm  
82 in order to obtain sufficient LW-NIR transmittance (Aernouts et al., 2011; Tsenkova et al., 1999), which  
83 complicates its in-line implementation due to its impact on the collected milk flow. This implies that a  
84 representative milk sample needs to be taken using a bypass, as demonstrated by Kawasaki et al.  
85 (Kawasaki et al., 2008).

86 Although many researchers have already shown the potential of LW-NIR spectroscopy for milk  
87 composition analysis in the laboratory, studies testing this technology under farm conditions are scarce  
88 and often include only a limited number of milk samples measured over a few test days (Kawasaki et al.,  
89 2008; Melfsen et al., 2012). Moreover, all previous studies, even the ones performed on farms, have made  
90 use of a post-hoc approach to predict the milk composition, in which the samples to train and test the  
91 prediction models were posteriorly selected from the complete dataset, both covering the full variability  
92 and time span of that dataset. None of these previous studies have considered a real-time prediction of

93 the milk composition using calibration models that were already established before a set of validation  
94 samples was measured.

95 In this study, we present an on-farm sensor system making use of LW-NIR spectroscopy to monitor the  
96 milk composition for each individual cow and every milking session. The main advantage of this system  
97 against currently established milk composition analysis methods is the possibility to install it in an AMS  
98 (automated milking system) allowing to perform milk composition predictions after every milking, in a fast,  
99 cost-efficient, and non-destructive manner. The goal of this work is to evaluate the accuracy of this tool  
100 and its potential for online measurement of the milk fat, protein, and lactose concentrations, with a real-  
101 time prediction approach. Moreover, to investigate model drift, the real-time predictions are compared  
102 to the ones obtained with the post-hoc approach followed in former studies. Additionally, it was  
103 investigated whether a cow-specific bias correction can further improve the performance of the real-time  
104 prediction models.

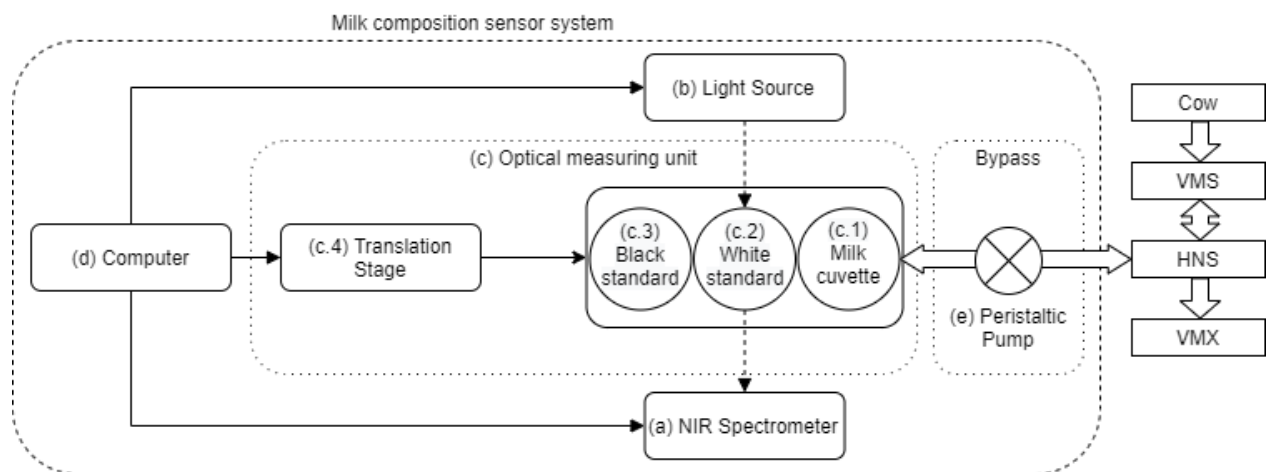
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## 106 2 Materials and methods

### 107 2.1 Sensor system to measure the long-wave near-infrared transmittance of raw milk

108 The sensor system, illustrated in Figure 1, consists of (a) a NIR spectrometer (1.7-256 Plane Grating  
109 Spectrometer, Carl Zeiss, Jena, Germany) with a cooled InGaAs (indium-gallium-arsenide) diode array of  
110 256 pixels (2.86 nm pixel resolution in the 960 to 1690 nm range); (b) a 20 Watt halogen light source  
111 (998079-14, Welch Allyn, New York, USA); (c) an optical measuring unit and (d) a dedicated computer  
112 which runs the control and spectral acquisition software in LabView 2012 (National Instruments, Austin,  
113 USA). All previous elements are contained in a compact and temperature-controlled (PowerCool, Laird,  
114 Liberec, Czech Republic) steel housing, which protects them from ammonia, dust, and moisture.

115 The optical measuring unit comprises (c.1) a round borosilicate cuvette with an inner thickness and  
 116 diameter of respectively 2 and 26 mm and containing 1.2 mL of raw milk during spectral sample  
 117 measurements; a spectral reference pair with a (c.2) 2 mm thick Spectralon (Labsphere, North Sutton,  
 118 USA) white standard and a (c.3) 1% Acktar Black (Acktar, Hohenaspe, Germany) coated plate as a dark  
 119 standard, and (c.4) a translation stage, consisting of a filter slide (FS40, OWIS, Frankfurt, Germany) which  
 120 was automated with a stepper motor (L4118L1804, Nanotec, Munich, Germany). This translation stage  
 121 holds the cuvette and the spectral reference pair and allows to alternate between them in order to  
 122 perform spectral reference measurements before measuring the milk sample. The transmitted light is  
 123 collected by a converging-type lens with a focal length of 31.6 mm (770303-9020-000 2:1, Carl Zeiss, Jena,  
 124 Germany) and guided to the spectrometer by an optical fiber (600  $\mu\text{m}$  core diameter, low-OH). The lens  
 125 is aligned with the center of the halogen light source and the translation stage moves perpendicularly to  
 126 the lens-halogen axis when alternating between the cuvette and the white and dark spectral references.  
 127 When the dark spectral reference is in position, the stray light and background noise of the spectrometer  
 128 are quantified.



129  
 130 **Figure 1:** Conceptual depiction of the sensor system. Bold black arrows indicate control flow, while dashed black arrows indicate  
 131 light flow from the source. White block arrows illustrate milk flow. VMS: Voluntary Milking System; HNS: Herd Navigator Sampler;  
 132 VMX: Milk sampler.

133 For each milk sample in the cuvette, the NIR spectrometer recorded LW-NIR spectra in transmittance mode  
134 in the wavelength range from 960 to 1690 nm. To obtain a high signal-to-noise ratio, these spectra were  
135 acquired with an integration time of 100 ms collecting 100 repeated measurements per milk sample,  
136 aggregated into an average spectrum for each sample. In addition, dark and white reference spectra were  
137 acquired using the same settings while the milk sample was loaded in the cuvette, just before performing  
138 a spectral recording for that milk sample.

## 139 *2.2 Experimental setup and reference analysis of milk samples*

140 The sensor system was tested in combination with a VMS™ Classic (DeLaval, Tumba, Sweden) AMS at the  
141 experimental dairy farm 'Hooibeekhoeve' of the province of Antwerp (Geel, Belgium). A Herd Navigator™  
142 sampler (HNS Supra+, DeLaval) was installed on the AMS collecting a 300 mL sample that is representative  
143 of all the milk collected during that milking (ICAR, 2017a). With this sampler, a fraction ( $\pm 100$  mL) of the  
144 collected milk samples can be pumped to the Herd Navigator™ analyzer (DeLaval) for milk biomarker  
145 analysis, while another fraction ( $\pm 30$  mL) can be sent to the VMX™ (DeLaval) to take a milk sample for  
146 laboratory milk analysis in the context of milk recording programs. The sensor system was installed as a  
147 bypass on the backflow of the Herd Navigator™ sampler and received at least 150 mL of raw milk. A  
148 peristaltic pump (EZ OEM Pump, Verderflex) created a controlled milk flow from the bypass to the cuvette  
149 (Figure 1e) and evacuated the milk once the spectral analysis was performed. The temperature of the  
150 sample in the cuvette was not monitored nor regulated. Thanks to thorough sample mixing in the Herd  
151 Navigator™ sampler, the composition of the milk analyzed by the sensor system and the milk taken by the  
152 VMX™ milk sampler can be assumed identical. Cleaning of the milk circuit and cuvette was performed by  
153 allowing the flush of an alkaline detergent (UltraClean™, DeLaval) through the bypass, during the  
154 automatic cleaning process of the VMS™ Classic and Herd Navigator™ sampler.

155 Over a period of eight weeks, the sensor system analyzed raw milk originating from 41 Holstein cows  
156 (lactation stage  $168 \pm 84$  days in milk, parity  $2.0 \pm 1.1$ ). On average, cows were milked 2.6 times a day.  
157 However, spectral analyses were only performed when a milk sample was taken for reference analysis in  
158 the context of an unrelated feeding trial. The periods for which milk samples were collected varied  
159 between 21 and 85 hours of non-interrupted measurements per week, with an average of 158 samples  
160 taken per week. The milk samples taken by the VMX™ were preserved with bronopol (0.3 mg/mL) and  
161 analyzed at the Milk Control Center (MCC Vlaanderen, Lier, Belgium) within three days after sample  
162 collection. In this experiment, the reference analysis (fat, protein, and lactose) of each sample was  
163 performed according to ISO 9622 (ISO, 2013) with the Milkoscan FT+ (Foss A/S, Hillerød, Denmark), which  
164 has an accuracy < 1.0% coefficient of variation (CV) for fat and < 0.9% CV for protein and lactose. For this  
165 reference analysis, based on the prediction of milk composition by the use of Fourier Transform mid-  
166 infrared spectroscopy, short-term stability tests are performed routinely.

167 In total, milk samples and spectral measurements from 1270 individual milkings were collected during the  
168 experimental period, corresponding to approximately 22% of the number of milkings ( $n = 5969$ ) in that  
169 eight-week period. Fifty-nine of them originated from four dairy cows that calved during the trial and from  
170 one individual animal that was less than five days in milk at the beginning of the experiment. To avoid the  
171 inclusion of colostrum samples, they were removed from the dataset (Abd El -Fattah et al., 2012). Forty-  
172 six additional samples had to be discarded as a consequence of unsuccessful reference analyses, caused  
173 by a failure of the VMX™ on filling sample tubes with sufficient milk. The final dataset consisted of 1165  
174 raw milk samples from 36 cows for which both transmission spectra and reference analyses were available.



### 175 2.3 Selection and normalization of the sample spectra

176 The dataset with transmittance spectra and the results from the reference analyses were imported in R  
177 version 3.5.1 (R Core Team, 2018) to be analyzed with a custom chemometrics toolbox (Aernouts et al.,  
178 2020).

179 By default, a pair of dark and white reference spectra was acquired every time a milk sample spectrum  
180 was recorded. This pair of reference spectra was used to normalize the sample spectra according to the  
181 formula  $Transmittance\ spectrum = \frac{sample\ spectrum - dark\ spectrum}{white\ spectrum - dark\ spectrum}$ . This procedure corrects the  
182 spectrum of each sample for drift in the spectral sensitivity of the spectrometer and the intensity spectrum  
183 of the light source, both mainly influenced by stray light or environmental temperature changes (Andersen  
184 et al., 2013).

185 This correction is only needed when the drift effect is higher than the stochastic noise introduced by the  
186 acquisition of the reference spectra (Slutsky, 1997). Therefore, to identify degradation in the prediction  
187 caused by this drift and accordingly improve the milk composition predictions, different time intervals for  
188 acquiring a new pair of dark and white reference spectra were evaluated. Based on this analysis, a new  
189 spectral reference pair was considered right after connecting the sensor system to the AMS and every 0.5  
190 hours after this initialization.

### 191 2.4 Development and validation of the post-hoc prediction models

192 In previous studies, a post-hoc prediction approach was followed in which the samples to train and test  
193 the prediction models were selected from a single dataset, both covering the full variability and time span  
194 of that dataset. For an objective comparison, a similar approach was initially followed in this study too. To  
195 this end, the duplex algorithm (Snee, 1977) was used to divide the total dataset of the milk transmittance  
196 spectra and their respective reference analyses into an elaborate and representative calibration set of  
197 approximately 300 samples (Shetty et al., 2011) and a test set containing the remainder of the samples.

198 Not taking into account the time when the samples were measured, the post-hoc approach uses the  
199 Mahalanobis distance between the samples in the 3-dimensional space of the reference analyses (fat,  
200 protein, and lactose) to obtain two subsets with similar descriptive statistics and correlations for these  
201 milk components. To prevent over-optimistic test results due to modeled cow-specific effects, all samples  
202 from the same cow were grouped either in the calibration or test set (Kemps et al., 2010), with 9 cows in  
203 the calibration set and 27 cows in the validation set.

204 The wavelength range in the spectra where the absorption by water molecules is predominant (1360 to  
205 1500 nm), and thus the transmittance signals are very low, were removed to reduce the introduction of  
206 spectral noise. The pre-processing applied to the spectra was a combination of five steps. Initially, either  
207 a logarithmic spectral transformation step or no transformation was applied, followed by a second step  
208 with either multiplicative scatter correction, standard normal variates weighting, detrending, baseline  
209 correction, or no correction. As a third step, either no derivative or a first or second-order Savitzky-Golay  
210 derivative with a second-order polynomial filter and with 10 different spectral window lengths was  
211 applied, followed by a fourth step in which interference removal by orthogonal signal correction (OSC) was  
212 tested. As a final step, mean-centering was performed. These five steps resulted in 420 possible pre-  
213 processing combinations. For each of these combinations, the transmittance spectra of the calibration  
214 samples were used to train their respective partial least squares regression (PLSR) models to predict the  
215 individual milk components. Next, also four different variable selection methods (variable importance in  
216 projection, jack-knife, reversed interval PLSR, and forward interval PLSR) were applied on the pre-  
217 processed NIR spectra to obtain, each resulting in a set of most relevant wavebands. The maximum  
218 number of latent variables for the PLSR model was 20. The performances of these 4 PLSR models were  
219 compared mutually and with the model that uses all wavebands. The optimal number of latent variables  
220 for each PLSR model, the best spectral preprocessing, and the most informative spectral wavelengths were  
221 selected based on the model performances in group-wise cross-validation for the samples in the

222 calibration set (Aernouts et al., 2020). To this end, the calibration set was split into ten groups, each  
223 containing all the spectra of a single cow. Accordingly, all samples from the same cow were either included  
224 or excluded from the training set to prevent modeling cow-specific effects or relations (Kemps et al., 2010).  
225 The least complex model (with the lowest number of latent variables) that did not perform significantly  
226 worse than the model with the lowest root-mean-squared error of cross-validation (RMSECV) was selected  
227 (Haaland and Thomas, 1988). This comparison was based on one-sided paired t-tests ( $\alpha = 0.05$ ) applied to  
228 the absolute residuals of the cross-validated calibration samples (Cederkvist et al., 2005). This procedure  
229 was repeated for the three different milk components, obtaining a prediction model per component. As  
230 the resulting models were trained on samples extracted from the entire experimental period of 8 weeks,  
231 they are hereafter referred to as the post-hoc prediction models. Using these models, milk composition  
232 predictions were obtained for the samples in the test set, and the respective residuals were derived. These  
233 errors were then combined into a root-mean-square error of prediction (RMSEP).

## 234 2.5 Development and validation of the real-time prediction models

235 To evaluate the performance of the sensor system in predicting milk composition after each individual  
236 milking, which is the main goal of this study, prediction models for fat, protein, and lactose were trained  
237 on samples taken in the first week only ( $n = 308$ ) and validated on all subsequent samples, which were  
238 measured over the following seven weeks ( $n = 857$ ). In contrast with the post-hoc approach, the same  
239 cows are present in the calibration and test sets. These models are hereafter referred to as the real-time  
240 prediction models. The procedures to train, test, and compare the models were identical to the ones  
241 previously described for the post-hoc prediction models.

242 Finally, to compare the prediction performance of the real-time and the post-hoc approaches, they were  
243 contrasted using a statistical test on the squared residuals of the milk component predictions for the  
244 samples in the test set. As the test sets of the real-time and the post-hoc models did not entirely overlap,

245 the two approaches were compared with an unpaired t-test, in order to assess if there was a significant  
246 difference ( $\alpha = 0.05$ ) between them.

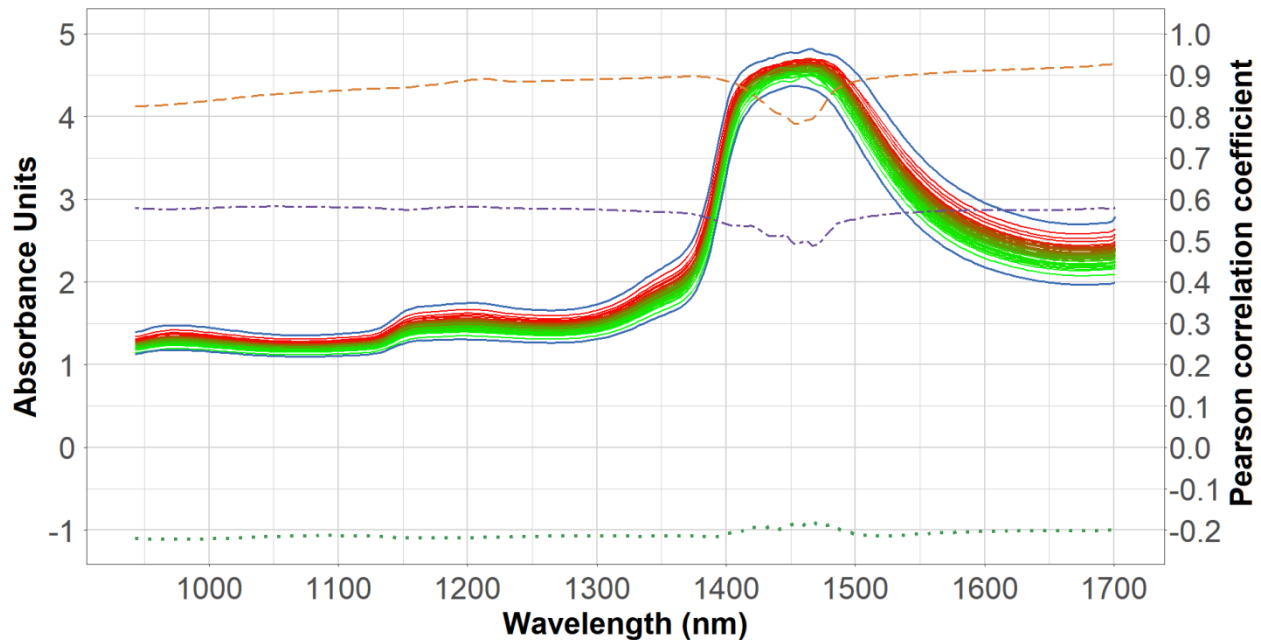
## 247 2.6 Analysis of the cow-specific bias on the milk component predictions by the real-time 248 models

249 To evaluate whether bias was present in the milk composition predictions for specific dairy cows, an  
250 analysis of this phenomenon was undertaken (Anderson et al., 2016). Over time, this bias can be observed  
251 as a cow-specific baseline in the residuals that are obtained after subtracting the predicted milk  
252 compositions from the respective reference analyses. For the real-time models, all cows were represented  
253 in the calibration set, as well as the test set, which allows the estimation of each individual cow-specific  
254 bias in the calibration set, and to apply it in the test set. This was not true for the post-hoc models, due to  
255 how the duplex procedure was implemented to split the original dataset. Accordingly, the cow-specific  
256 bias on the three milk component predictions was only studied for the real-time models.

257 The median value of the residuals of the samples in the calibration set was calculated for each individual  
258 cow, as its cow-specific bias. First, the agreement between those medians and the prediction residuals of  
259 the samples in the test set was studied for all cows using the concordance correlation coefficient (Lin,  
260 1989). Additionally, these cow-specific biases obtained from the calibration set was then subtracted from  
261 all further milk composition predictions for that same animal in the test set. Next, the performance of the  
262 real-time model for each component was evaluated before and after the correction of the cow-specific  
263 bias. This comparison was performed with a paired t-test on the squared residuals of the samples in the  
264 test set, in order to assess if there was a significant difference ( $\alpha = 0.05$ ) between them.

266 **3 Results and discussion**

267 **3.1 Collection of long-wave near-infrared spectra**



268  
269 **Figure 2.** Absorbance spectra for a single dairy cow (solid green/red gradient lines) collected over a period of 8 weeks. Green  
270 represents lower fat values, while red symbolizes higher fat values, for a fat range of 2.27% - 5.48% for this cow. Minimum and  
271 maximum (bold blue solid lines) absorbance spectral values for the entire dataset are depicted. The Pearson correlation coefficients  
272 illustrate for every wavelength the linear correlation between the near-infrared absorbance by the 1165 raw milk samples and their  
273 fat (orange dashed line), protein (purple dot-dashed line), and lactose (green dotted line) concentration.

274 In Figure 2, the absorbance spectra, obtained by the logarithmic transformation of the inverse of the  
275 transmittance spectra, is illustrated as solid blue lines for all the milk samples of a single dairy cow. It  
276 presents the variability in the observations acquired from the same animal across the duration of the  
277 experiment. Additionally, the maximum and minimum spectra of the entire dataset are shown as solid  
278 blue lines. Clear absorbance peaks can be observed around 970, 1200 and 1450 nm. The absorbance units  
279 between 4 and 5 for the latter peak indicate that only a very small fraction ( $10^{-4}$  –  $10^{-5}$ ) of the incident  
280 photons around this wavelength range was transmitted, resulting in a low signal to noise ratio.

281 The high correlation ( $r > 0.78$ ) between the fat content and the absorbance values over the full wavelength  
282 range can be attributed to the scattering of light by the fat globules. As a higher fat content results in  
283 stronger light scattering, it reduces the transmittance and thus increases the absorbance, resulting in a  
284 nearly constant correlation between the fat content and the NIR absorbance over the full wavelength  
285 range. In Figure 2, the maximum and minimum absorbance spectra (bold blue solid lines) of the entire  
286 dataset corresponded to respectively the maximum (6.25%) and the minimum fat percentage (1.54%). An  
287 absorption band of C-H bond overtone, characteristic of fat molecules, can be observed as a slight increase  
288 in the correlation with the fat content of the NIR absorption around 1210 nm (Zamora-Rojas et al., 2013).  
289 A significant positive correlation ( $r = 0.49$  to  $0.59$ ) between the absorbance values and the protein content  
290 can be observed. This relationship can be mainly attributed to the typical correlation between the protein  
291 and the fat content of milk (Rosati and Van Vleck, 2002). In contrast, a very weak negative correlation ( $r =$   
292  $-0.19$  to  $-0.23$ ) was found between milk lactose content and the NIR absorption spectra. Protein and  
293 lactose do not display clear absorption peaks, as they overlap with the more dominant absorption of water  
294 and fat.

295

### 296 3.2 Development and validation of the post-hoc prediction models

297 Following the duplex algorithm, the original dataset of 1165 raw milk samples was split between a  
298 calibration set ( $n = 319$ ) and a test set ( $n = 846$ ). The descriptive statistics of the fat, protein, and lactose  
299 content of the resulting sets and their correlations are summarized in Table 1. The comparable average  
300 and variability show that the data splits are representative for the whole dataset, which is critical for  
301 developing a robust prediction model (Saeys et al., 2008). Comparing the statistical parameters of this  
302 dataset to the data from milk recording programs in Flanders (Aernouts et al., 2011), showed that our  
303 samples also have comparable values and variability as the cow population of this region.

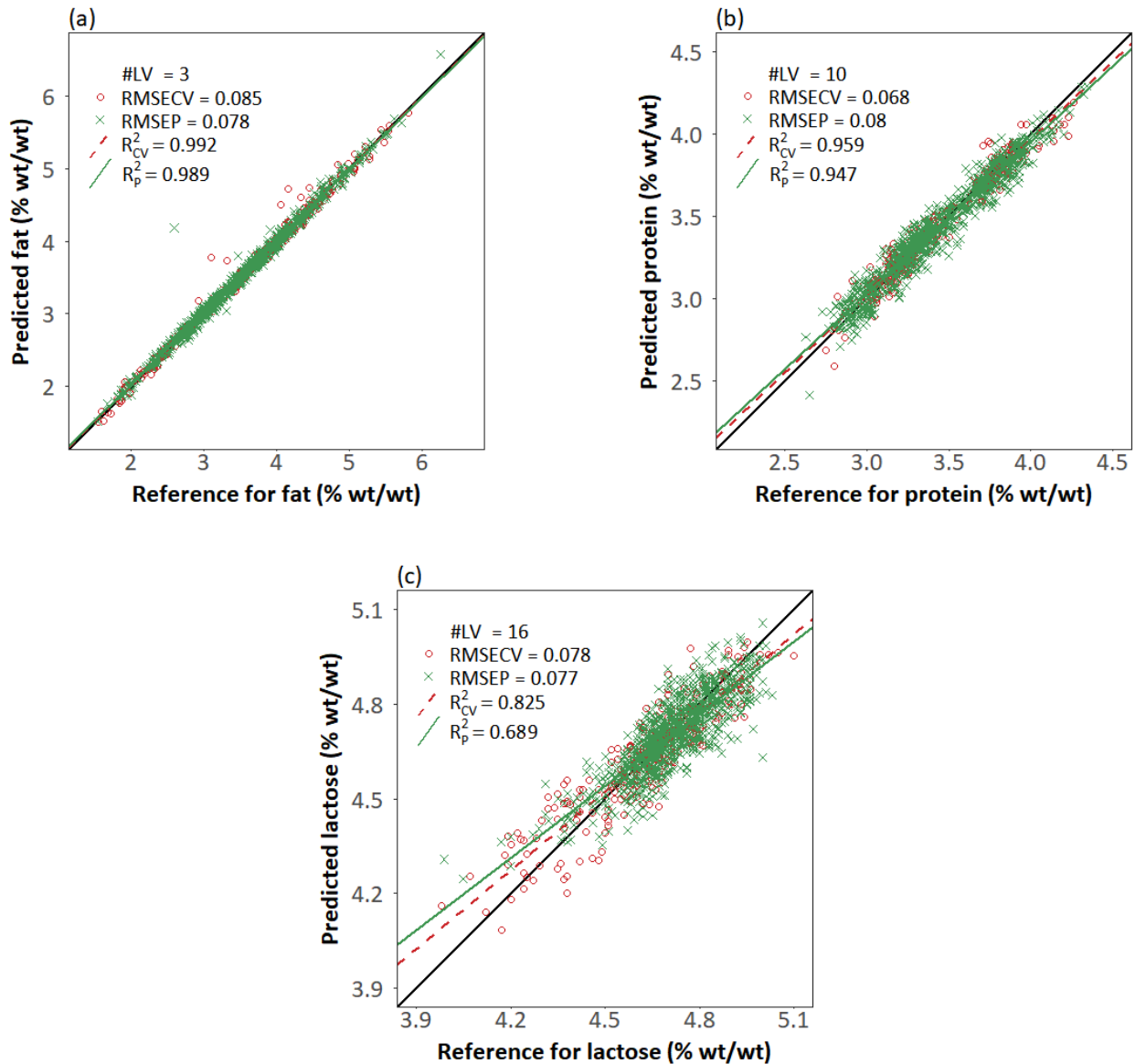
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305 **Table 1.** Basic statistics and Pearson correlations for the main milk components in the calibration and test sets used to construct the  
306 post-hoc prediction models.

Component	Calibration (n = 319)						Test (n = 846)					
	Basic statistics (% wt/wt)				Pearson corr.		Basic statistics (% wt/wt)				Pearson corr.	
	Mean	SD	Min	Max	Fat	Protein	Mean	SD	Min	Max	Fat	Protein
Fat	3.54	0.92	1.54	5.81	1	-	3.5	0.76	1.54	6.25	1	-
Protein	3.44	0.34	2.75	4.26	0.51	1	3.42	0.34	2.63	4.34	0.57	1
Lactose	4.65	0.19	3.98	5.1	-0.11	-0.23	4.71	0.14	3.99	5.03	-0.19	-0.11

307

308 Different time intervals were evaluated for acquiring a new pair of dark and white references. Based on  
309 this analysis, it was decided to take a new spectral reference pair at the start of each session after  
310 connecting the sensor system to the AMS and every 0.5 hours after this initialization. Based on the  
311 obtained results, it is expected that if new spectral references are taken with a higher frequency than this  
312 optimum, unnecessary stochastic noise, typical for spectral measurement, would be introduced. In  
313 contrast, if new spectral references are taken with a lower frequency than this optimum, significant drift  
314 in the spectral output of the light source and spectral sensitivity of the spectrometers might not be fully  
315 captured and accounted for, also negatively affecting the results.



316

317

318 **Figure 3.** Scatter plots of the predicted versus reference milk composition content for the calibration set in cross-validation (red  
 319 circles) and the test set (green crosses) for (a) fat, (b) protein, and (c) lactose, for the post-hoc approach. #LV = number of latent  
 320 variables used by the model; RMSECV = root-mean-square error of cross-validation; RMSEP = root-mean-square error of  
 321 prediction;  $R_{cv}^2$  = coefficient of determination for cross-validation;  $R_p^2$  = coefficient of determination for prediction. **The black solid  
 322 lines are the identity lines (1:1 correlation line). The pre-processing and variable selection applied is detailed in Table 4.**

323 Figure 3 shows the prediction performance of the post-hoc models for the calibration samples in cross-  
 324 validation (red circles) and the test samples (green crosses). Very good predictions are obtained for all  
 325 three milk components, with RMSEP values smaller than 0.08% (wt/wt). For fat and protein, more than



326 98% and 94% of the variation in the reference analyses for these components can be explained by their  
327 respective prediction model. The lower  $R^2$  values observed for lactose ( $R_{CV}^2 = 0.825$  and  $R_P^2 = 0.689$ ) can be  
328 partly attributed to the limited range (3.98% – 5.1% (wt/wt)) of this component in this particular dataset.  
329 Additionally, it can be observed (Figure 3c) that the majority of the test samples have a lactose  
330 concentration greater than 4.5% (wt/wt), while lactose calibration samples span a wider distribution,  
331 contributing to the discrepancy between the  $R_{CV}^2$  and  $R_P^2$  values while the RMSE values are very similar.  
332 This suggests that the split performed by the duplex algorithm had limited success for lactose. For both fat  
333 and lactose, RMSECV values are slightly higher than RMSEP, which can be partly attributed to the greater  
334 dispersion of the calibration data for both milk components (SD of 0.92% for fat and 0.19% for lactose)  
335 against the test data (SD of 0.76% for fat and 0.14% for lactose, all % wt/wt) and the higher presence of  
336 samples that are further away from the identity line (Figure 3) in the calibration data compared to the test  
337 data. The PLSR model for lactose had the highest complexity (16 latent variables), which is considerably  
338 higher than the 3 and 10 latent variables for fat and protein, respectively.

339 As can be seen in Table 2, the performance of these models is comparable to the results for the prediction  
340 of the raw milk composition based on LW-NIR spectra reported by other researchers. The selection of  
341 these works prioritized potential on-farm applications and the use of the LW-NIR wavelength range  
342 (Aernouts et al., 2011; Kawasaki et al., 2008; Melfsen et al., 2012; Saranwong and Kawano, 2008; Tsenkova  
343 et al., 2001). These studies were all performed in transmission mode, except for Melfsen et al., (2012),  
344 who used diffuse reflectance mode.

345 **Table 2.** Comparison of obtained model performances to the values reported by other researchers.

Source	n	RMSEP (% wt/wt)			$R_P^2$		
		Fat	Protein	Lactose	Fat	Protein	Lactose
Post-hoc model	846	0.078	0.08	0.077	0.989	0.947	0.689
Kawasaki et al., 2008	72	0.255 <sup>A</sup>	0.149 <sup>A</sup>	0.258 <sup>A</sup>	0.95	0.72	0.83

Melfsen et al., 2012*	262	0.09 <sup>A</sup>	0.05 <sup>A</sup>	0.06 <sup>A</sup>	0.998	0.98	0.92
Tsenkova et al., 2001	86	0.258 <sup>A</sup>	0.13 <sup>A</sup>	0.084 <sup>A</sup>	0.98	0.45	0.72
Aernouts et al., 2011	100	0.046	0.133	0.115	0.997	0.927	0.883
Saranwong and Kawano, 2008 <sup>†</sup>	47-43-46	0.03 <sup>A</sup>	0.07 <sup>A</sup>	0.094 <sup>A</sup>	0.99	0.96	0.89

346 RMSEP = Root-mean-square error of prediction;  $R_p^2$  = coefficient of determination;  $n$  = number of samples in the test set.

347 <sup>A</sup>RMSEP values calculated from standard error of prediction (SEP), bias, and number of samples, provided by the authors, with the  
 348 following relationship:  $RMSEP = \sqrt{((n - 1)/n) \times SEP^2 + bias^2}$ .

349 \*In this study, diffuse reflectance was used, all other studies used transmittance.

350 <sup>†</sup>Saranwong and Kawano (2008) used a different number of test samples for fat, protein, and lactose, respectively.

351 RMSEP and  $R_p^2$  values were considered in the examination of the literature sources (Table 2). The analysis  
 352 by Saranwong and Kawano (2008), Aernouts et al. (2011), and the post-hoc model achieved outstanding  
 353 accuracy for fat prediction, with RMSEP values smaller than 0.08% (wt/wt). Saranwong and Kawano (2008),  
 354 Melfsen et al. (2012) and the post-hoc approach of the present study are the best performing for predicting  
 355 protein content, with RMSEP values that did not exceed 0.08% (wt/wt). Melfsen et al. (2012) and the post-  
 356 hoc model in this study are very good for predicting lactose content in milk, with RMSEP values under 0.08  
 357 % (wt/wt).

358 In comparison to the results reported by other researchers, in general, we obtained low RMSEP and high  
 359  $R_p^2$  values, underlining the general suitability of the sensor system and calibration procedure for predicting  
 360 the main constituents in raw milk.

361 However, by selecting calibration samples throughout the experiment, the literature sources and present  
 362 post-hoc models do not provide an objective and complete image on how the system would perform in a  
 363 real-time setting. In order to evaluate the capability of the on-farm sensor system to perform real-time  
 364 predictions, the validation should be performed on samples that are measured after the initial calibration,  
 365 thus being date-independent of the samples used for training the prediction models.

366 3.3 *Development and validation of the real-time prediction models*

367 To test the potential for real-time prediction of the milk composition, the 308 raw milk samples collected  
 368 in the first week of the trial were assigned to the calibration set, while those collected in weeks 2 to 8 ( $n =$   
 369 857) were assigned to the test set. The descriptive statistics for the fat, protein, and lactose content of  
 370 both sets and their correlations are summarized in Table 3. This shows that the samples of the first week  
 371 are representative of the variation present in the samples of weeks 2 to 8.

373 **Table 3.** Descriptive statistics and Pearson correlations of the main milk components in the calibration and test set for the real-time  
 374 prediction models.

Component	Calibration (n = 308)						Test (n = 857)					
	Basic statistics (% wt/wt)				Pearson corr.		Basic statistics (% wt/wt)				Pearson corr.	
	Mean	SD	Min	Max	Fat	Protein	Mean	SD	Min	Max	Fat	Protein
Fat	3.44	0.86	1.61	6.25	1	-	3.54	0.79	1.54	5.72	1	-
Protein	3.45	0.35	2.65	4.24	0.53	1	3.42	0.34	2.63	4.34	0.57	1
Lactose	4.74	0.15	4.17	5.1	-0.15	-0.11	4.68	0.15	3.98	5.02	-0.16	-0.18

375  
 376 Identically to the post-hoc models, the selected time interval corresponded to taking a new spectral  
 377 reference pair at the start of each session after connecting the sensor system to the AMS and every 0.5  
 378 hours after this initialization.

379 The prediction performances of the post-hoc and real-time approaches were mutually compared based  
 380 on the residuals for the samples in the test set (Table 4). Since the test sets for those two approaches were  
 381 not identical, for each milk component the two approaches were compared with an unpaired t-test, in  
 382 order to assess if there was a significant difference ( $\alpha = 0.05$ ) between them. The test results indicate that  
 383 the post-hoc models had a better performance for the prediction of all three milk components.

384 **Table 4.** Prediction performance statistics for the post-hoc and real-time prediction models

Component	Model	Preprocessing <sup>o</sup>	Variable Selection	LV	RMSEP <sup>A</sup> (% wt/wt)	R <sub>p</sub> <sup>2</sup>
Fat	Post-hoc	Detr SG2D(19) OSC	RiPLS	3	0.078 <sup>a</sup>	0.989
	Real-time	Detr SG2D(15)	FiPLS	4	0.083 <sup>b</sup>	0.989
Protein	Post-hoc	SNV SG1D(15) OSC	FiPLS	10	0.08 <sup>b</sup>	0.947
	Real-time	Detr SG2D(13) OSC	RiPLS	5	0.11 <sup>a</sup>	0.894
Lactose	Post-hoc	MSC SG1D(19)	RiPLS	16	0.077 <sup>b</sup>	0.689
	Real-time	Log Base SG1D(07) OSC	RiPLS	13	0.092 <sup>a</sup>	0.644

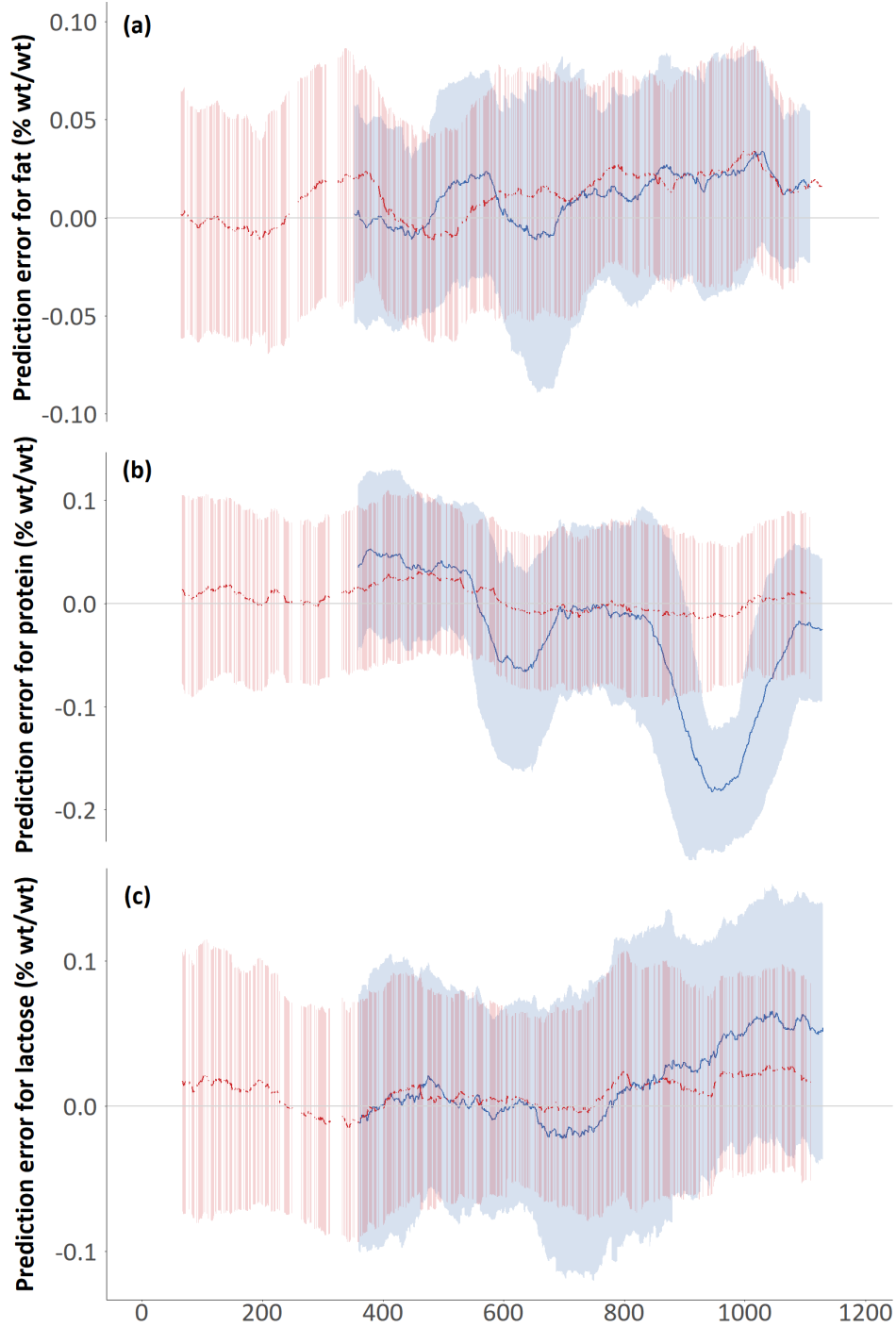
385 <sup>o</sup> Mean centering is applied in all cases. Detr = detrend correction; SG1D(x) and SG2D(x) = first and second Savitzky-Golay  
386 derivatives with second polynomial order and with a window size of x nm; OSC = orthogonal signal correction; SNV = standard  
387 normal variates; MSC = multiplicative scatter correction; Log = logarithmic spectral transformation; Base = baseline correction.

388 \*FiPLS and RiPLS = forward and reverse interval partial least squares.

389 LV = number of latent variables selected; RMSEP = Root-mean-square error of prediction; R<sub>p</sub><sup>2</sup> = coefficient of determination.

390 <sup>A</sup>For each component, RMSEP-values with different superscripts indicate significant ( $\alpha = 0.05$ ) differences between the different  
391 models according to an unpaired t-test, with a letter lower in the alphabetical order indicating the best model.

392  
393 The better performance of the post-hoc models compared to the real-time models was hypothesized, as  
394 the former models were trained on samples selected over the entire experimental period of 8 weeks, thus  
395 better representing the variability present in the samples of the test set. Moreover, the fatty acid or amino  
396 acid composition of milk can drift over time due to an alteration in the diet or due to a change in the  
397 lactation stage of the cows. Additionally, light scattering can be affected by a shift in the fat globule or  
398 casein micelle size or by an alteration of their refractive index. Finally, also the environmental temperature  
399 can affect the sample temperature. If these properties change, they affect the measured LW-NIR spectra  
400 and thus the prediction performance (Aernouts et al., 2015a).



401

402 *Figure 4. Prediction error plot for (a) fat, (b) protein, and (c) lactose, for the post-hoc (red) and real-time (blue) models, for milk*  
 403 *samples of the test set that are ordered based on the time when they were measured. A moving average (101 points) has been applied*  
 404 *to obtain the average prediction error (bold line) and the moving standard deviation (red and blue zones, for the post-hoc and real-*  
 405 *time models, respectively). The samples selected for calibration are not plotted (white zones).*

406 Figure 4 illustrates the drift observed in the prediction errors of (a) fat, (b) protein, and (c) lactose for the  
407 test samples by the post-hoc (red) and real-time models (blue). The samples are sorted according to the  
408 time when they were measured and they are synchronized for the different models. Because a moving  
409 window of 101 points was used to calculate and illustrate the average prediction error and standard  
410 deviation over time, with the increase of sample number, these parameters could not be shown for the  
411 first and the last 50 samples of the test set. For the post-hoc models, the samples to train the PLSR models  
412 are selected over the entire dataset following the duplex approach. Accordingly, the average prediction  
413 error and standard deviation of the samples in the test set are interrupted with white zones representing  
414 the samples used for calibration. For the real-time models, on the other hand, the calibration samples are  
415 all taken at the beginning of the dataset (first week), appearing as a distinct white zone, and thus the test  
416 set is a single uninterrupted series of samples.

417 For protein and lactose, the moving average prediction error of the post-hoc models remains close to zero,  
418 with almost no trend with the increasing sample number. In contrast, milk fat prediction for the post-hoc  
419 approach and all milk components of the real-time approach display drift over time. The real-time model  
420 for the prediction of milk lactose shows a clear drift after week 6 (samples 845 - 1165) with mainly positive  
421 prediction errors, indicating an underestimation of the lactose content by the model. The real-time model  
422 to predict the milk protein shows apparent drift over the entire test set, although not being very  
423 consistent. In weeks 3 and 4 (samples 539 to 677) and 7 (samples 941 - 1108), the prediction errors were  
424 mainly negative, indicating an overestimation of the actual milk protein concentration by the models,  
425 while they were mainly positive in week 2 (samples 349 - 538). The real-time model for the prediction of  
426 milk fat resulted in mainly positive prediction errors in week 3 (sample 539 - 617) and after week 5 (sample  
427 678 - 1165), while the post-hoc counterpart resulted in mainly positive prediction errors in week 2  
428 (samples 349 - 538) and after week 4 (samples 618 - 1165). All real-time models show an increased  
429 standard deviation compared to their post-hoc counterparts, and this effect is larger for lactose.

430 As the post-hoc models include calibration samples throughout the experiment, possible changes over  
431 time in milk composition, environmental fluctuations, variations in the morphology and optical properties  
432 of fat globules and casein micelles are, **in general, more included** in the calibration of the model. **For fat,**  
433 **we hypothesize that the greater sensitivity of this component to the external variations previously**  
434 **mentioned might have a larger impact on the spectra than the variation in protein or lactose. In general,**  
435 **this** sampling procedure contributes to making this approach more robust against the observed drift. In  
436 contrast, the real-time models display a more evident degradation of their prediction capabilities over  
437 time. As these models are exclusively trained on the samples collected in the first week, they may not have  
438 captured this additional complexity.

439 Table 4 and Figure 4 indicate that the variability of fat, protein, and lactose over this 8-week experiment  
440 is not entirely captured by the calibration dataset of the real-time approach. This difference in milk  
441 composition prediction between the two scenarios suggests that especially the real-time models can  
442 benefit from calibration maintenance strategies to assure that the composition estimates remain accurate  
443 under varying conditions. As such, changes due to management, parity, or diet that influence the  
444 prediction performance could be included. By adding new samples to the calibration set that represent  
445 this fluctuation, the models can be made robust against new measurement conditions, resulting in better  
446 overall predictions (Wise and Roginski, 2015). The addition of new samples to the calibration set would  
447 require the acquisition of additional **reference analyses** over time. Currently, individual cow milk samples  
448 are already collected every 4 to 6 weeks as a part of milk recording programs. In the context of these  
449 programs, the milk composition sensor system would increase the monitoring frequency of fat, protein,  
450 and lactose, decreasing the need for milk composition reference analysis and its costs. The calibration  
451 maintenance could still benefit from these existing programs by requesting the reference analysis of the  
452 most informative samples to contribute to the calibration model. **In addition, the milk composition**  
453 **prediction could benefit from the implementation of spectral standardization methods (Bonfatti et al.,**

454 2017; Grelet et al., 2015), currently already applied on Fourier Transform Mid-Infrared spectra, in order to  
455 create common NIR spectral databases from independent implementations of this sensor system in  
456 different farms, or for different groups in the same farm.

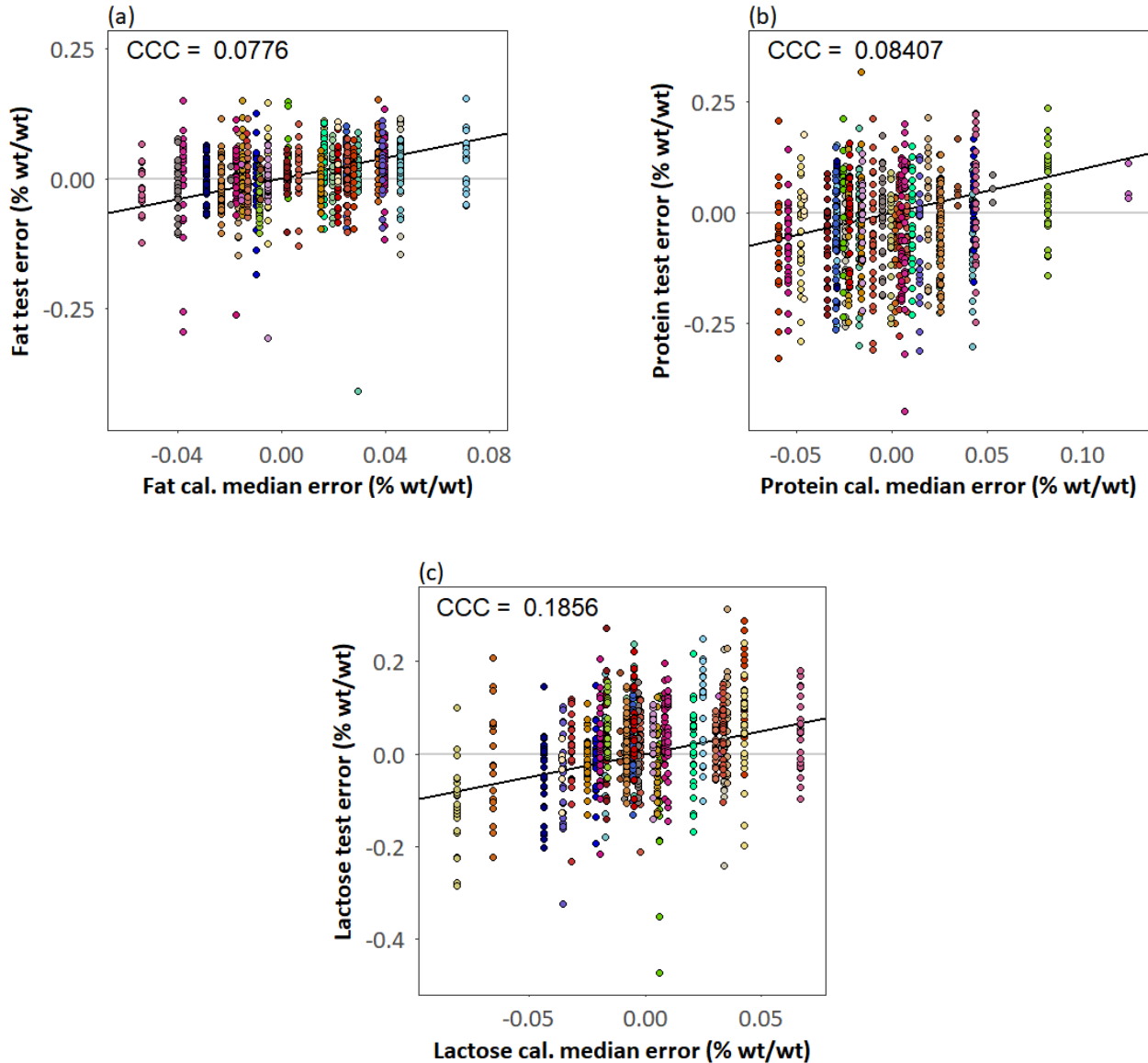
457 The accuracy of the real-time prediction approach (Table 4), which includes the same cows in the  
458 calibration and test set, was evaluated against the ICAR requirements for on-farm in-line and at-line milk  
459 analyzers (ICAR, 2017b) and laboratory milk analyzers (ICAR, 2020). Good predictions were achieved for  
460 protein, with an RMSEP value close to 0.11% (all % are wt/wt), attaining sufficient accuracy for protein  
461 predictions according to ICAR recommendations for at-line (0.2%) and in-line (0.25%) milk analyses (ICAR,  
462 2017b). Very good results were obtained for fat and lactose, with RMSEP values under 0.1%, accomplishing  
463 the more restrictive accuracy limit requested for laboratory milk analyses (0.1%).

464 The impact of drift related to environmental temperature, diet changes, lactation stage, etc. should be  
465 further investigated in a study covering also a longer time period. Parallel measurement of these external  
466 factors could help to better understand, distinguish between and even correct for different sources of  
467 drift. Moreover, temperature monitoring and control could be applied to the milk sample, reducing the  
468 effect of temperature variation in the spectral analysis.

#### 469 3.4 Analysis of the cow-specific bias on the milk predictions by the selected real-time model

470 The relationship between the median residuals of the calibration data and the prediction residuals of the  
471 test data for the real-time prediction model are illustrated in Figure 5 for (a) fat, (b) protein, and (c) lactose  
472 for each dairy cow. These plots evaluate if the cow-specific bias for each individual cow remains constant  
473 between the calibration and test sets. The concordance correlation coefficient indicates practically no  
474 correlation for fat and protein, but a weak relationship for lactose.





475

476

477 *Figure 5. Relationship between prediction residuals and the medians of the calibration residuals for the real-time model, for every*  
 478 *individual dairy cow for (a) fat, (b) protein, and (c) lactose. Each color represents the predictions for a single cow. The black solid*  
 479 *lines are the identity lines. Three extreme fat test errors were outside the range of figure (a) fat (fat test error of -1.573, -0.661 and*  
 480 *-0.556; (% wt/wt)). CCC = concordance correlation coefficient.*

481 Cow-specific bias correction was performed by subtracting the median residuals of the calibration data for  
 482 a single cow from all further milk composition predictions in the test set for that same animal. Next, the  
 483 prediction outcome of the original real-time model and the outcome after applying this cow-specific bias

484 correction were compared with a paired t-test (Table 5), to assess if there was a significant difference ( $\alpha =$   
 485 0.05) between them.

486 **Table 5.** Prediction performance statistics for the real-time and cow-specific bias correction prediction results.

Model	RMSEP <sup>A</sup> (% wt/wt)			$R_p^2$		
	Fat	Protein	Lactose	Fat	Protein	Lactose
Real-time – No correction	0.083	0.11	0.091 <sup>b</sup>	0.989	0.894	0.644
Real-time – Cow-specific bias correction	0.084	0.109	0.088 <sup>a</sup>	0.989	0.896	0.675

487 RMSEP = Root-mean-square error of prediction;  $R_p^2$  = coefficient of determination.

488 <sup>A</sup>Within each column, RMSEP-values with different superscripts indicate significant ( $\alpha = 0.05$ ) differences between the different  
 489 models according to a paired t-test, with a letter lower in the alphabetical order indicating the best model.

490  
 491 The cow-specific bias correction resulted in a small improvement in the protein and lactose prediction,  
 492 being statistically significant for the latter. This was expected, as only lactose had a significant correlation  
 493 between the cow-specific bias correction included in the calibration and the cow specific bias over time.

494 The RMSEP reduction on lactose increases its performance and brings it closer to the one of the post-hoc  
 495 model for this milk component (RMSEP of 0.077% wt/wt and an  $R_p^2$  of 0.689) and further proves its  
 496 suitability as a milk composition predictor (ICAR, 2017b & 2020).

497 Three extreme fat test errors of negative sign (not shown in figure 5), with an absolute value greater than  
 498 ten times the interquartile range, correspond to three different cows with median errors of positive sign  
 499 in the calibration set. As the bias correction was performed by subtracting the median residuals of the  
 500 calibration data from the predictions in the test set, the cow specific correction slightly increased the  
 501 overall prediction error for fat for all samples. This correction results in a slightly decreased performance  
 502 compared to not applying any correction for this milk component (Table 6). However, if the sample with  
 503 the most extreme fat test error (with an absolute value greater than 17 times the interquartile range) is  
 504 excluded, a very high fat prediction performance (RMSEP of 0.063% wt/wt and a  $R_p^2$  of 0.994) is obtained

505 for the real-time approach, indicating that an improved overall milk fat prediction can be accomplished.  
506 In this new scenario, the cow-specific bias correction for fat further improves (not significantly) the  
507 prediction performance, given the newly increased relationship between prediction residuals and the  
508 medians of the calibration residuals. However, the NIR spectrum and **reference analysis** results of this  
509 sample were not outlying and no observations made during the trial pointed at valid reasons to justify the  
510 removal of this sample. Therefore, this sample was kept in the analysis.

511 Cow-specific bias correction based on the subtraction of calibration median residuals from the predictions  
512 in the test set provided a small, but significant performance increase on the real-time approach for lactose.  
513 **Therefore, further research is recommended on the application of different correction approaches that**  
514 **more closely reflect the relationship between calibration and test data, since the cow-specific bias did not**  
515 **remain constant over time, especially not for fat and protein. Cow-specific bias correction could further**  
516 **improve when integrated with the aforementioned calibration maintenance procedures. However, the**  
517 **complexity and cost of introducing reference acquisitions for all cows and potentially all lactations to**  
518 **estimate the cow-specific correction has to be considered against the performance increase that the**  
519 **correction can provide.**

520 **Finally, future tests should be performed closer to a real field application, with independent cow and herd**  
521 **validations for the real-time approach.**

## 522 **4 Conclusions**

523 An on-farm sensor system for online fat, protein, and lactose analysis was developed and implemented on  
524 an AMS. This system can analyze the milk of each individual milking session autonomously to support the  
525 cost-efficient monitoring of performance, efficiency, and welfare of individual dairy cows. The prediction  
526 accuracy of the system was very high for all three milk components (0.078% (**wt/wt**), 0.080% (**wt/wt**) and  
527 0.077% (**wt/wt**) for fat, protein, and lactose respectively) when creating a prediction model following a

528 post-hoc approach for sample selection. When implemented as a real-time system, training the prediction  
529 model with the samples from the first week of the trial and evaluating the model performance on the  
530 samples that were measured afterward, the prediction accuracies deteriorated to 0.083% (wt/wt), 0.110%  
531 (wt/wt) and 0.092% (wt/wt) for fat, protein, and lactose, respectively. These performances still abide by  
532 the ICAR guidelines for on-farm analysis systems for all components and even comply with the ICAR  
533 thresholds for laboratory analysis systems for fat and lactose. Furthermore, the performance of the real-  
534 time model for lactose prediction was further improved with the use of a cow-specific bias correction. As  
535 drift was identified in the milk composition predictions over time, future research should focus on the  
536 development and implementation of intelligent and efficient calibration maintenance procedures to cope  
537 with external influences and guarantee long-term prediction stability.

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## Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

## **CRediT authorship statement**

**Jose A. Diaz-Olivares:** Methodology, Software Programming, Validation, Formal Analysis, Data Curation, Writing - Original Draft, Review and Editing Preparation; **Ines Adriaens:** Formal Analysis, Data Curation, Writing - Review & Editing; **Els Stevens:** Resources; **Wouter Saeys:** Conceptualization, Writing - Review & Editing; **Ben Aernouts:** Conceptualization, Methodology, Investigation, Supervision, Project Acquisition, Writing - Review & Editing, Project Administration, Funding Acquisition;

All authors have read and agreed to the published version of the manuscript.