

25 horticultural products. Finally, a brief discussion is presented on the challenges and opportunities in future
26 development and application of hyperspectral imaging technology in food quality and safety evaluation of
27 horticultural products.

28 **Keywords: Spectroscopy, imaging, fruit, vegetables, postharvest, quality, safety**

29 **1. Introduction**

30 While the biological nature of horticultural products contributes to their high value as healthy sources of
31 carbohydrates, vitamins and natural fibers, it also creates challenges with respect to the quality and safety
32 assessment. Horticultural products are the result of a natural production process influenced by a wide range
33 of factors such as genetics, environment, agronomic practices, etc. Moreover, horticultural products are not
34 stable over time, but grow, mature, ripen and eventually perish as a result of metabolic processes during
35 pre- and post-harvest periods. Consequently, their nutritional value, appearance and taste can vary widely
36 between batches, and even within a batch. Hence, to ensure consumer satisfaction, the quality and safety of
37 every product item should be inspected.

38 Appearance (i.e., color, size, shape and surface texture) can often be used as a proxy for product quality
39 and safety, thanks to the interaction of light with the pigments and microstructure in horticultural products.
40 Consequently, visual inspection is widely used throughout the horticultural production chain for rapid and
41 non-destructive evaluation and sorting of the produce. While sorting based on visual inspection is still
42 widely used in the horticultural sector, the limitations of human operators in terms of speed, volume and
43 subjectivity, have inspired researchers to develop automatic sorting lines based on machine vision where
44 the human eyes are replaced by a camera, the brain by a computer and the hands by an actuation system
45 (e.g. ejector or tipping buckets). The first applications of machine vision made use of panchromatic cameras
46 where each picture element (pixel) acquires a value which is proportional to the average intensity over a
47 wide wavelength range (e.g. 400-1000 nm). This results in a greyscale image which allows to segment
48 objects such as fruits, stalks and punctures based on image contrast. Early in the 1980's, Sarkar and Wolfe

49 (1985) already demonstrated this concept for tomato sorting. To increase the contrast between the relevant
50 objects in the images, specific filters could be added in front of the camera to select a specific spectral
51 portion.

52 Human (color) vision that is sensitive to red, green and blue light can be mimicked by different optical
53 configurations (e.g., sequentially placing bandpass filters in front of a camera). An efficient way involves
54 the deposition of a patterned filter on the camera chip consisting of squares. The most popular filter pattern,
55 known as the Bayer filter, involves squares of 4 pixels with 1 blue, 1 red and 2 green filters to acquire RGB
56 (red-green-blue) images. In the 1990's, RGB computer vision developed rapidly for quality grading and
57 defect detection in the food industry (Brosnan and Sun, 2004) and on horticultural products such as apples
58 (Throop et al., 1993) and tomatoes (Shearer and Payne, 1990). The significant increase in discriminating
59 power provided by RGB imaging compared to panchromatic or monochromatic imaging inspired
60 researchers to investigate the added value of utilizing more and other combinations of filters in the visible
61 and near infrared (NIR) range. Imaging at multiple (typically 3-10) spectral bands is referred to as multi-
62 spectral. For example, Mehl et al. (2002) showed the value of multispectral imaging for defect detection in
63 apples, while Noordam et al. (2004) demonstrated its efficacy for inline defect and disease detection in the
64 production of French fries.

65 In parallel with the investigations on computer vision for quality grading and sorting based on
66 appearance, NIR spectroscopy, which covers the spectral region from 780 to 2500 nm that cannot be
67 perceived by human eyes, was evaluated for rapid and non-destructive assessment of quality traits such as
68 soluble solids content, titratable acidity and firmness (Nicolai et al., 2007). In these studies, point
69 measurements were performed in the visible (400-780 nm) and NIR (780 – 2500 nm) range with a spectral
70 resolution of a few nm, providing spectral information at more than hundred wavebands, referred to as
71 hyperspectral data. This allowed to obtain much more detailed information on the chemical composition of
72 the samples. Early in the 1980's, researchers in remote sensing had already demonstrated the possibility to
73 scan the earth surface with such a high spectral resolution, which gave birth to a new field known as imaging

74 spectrometry or hyperspectral imaging (Goetz et al., 1985). The equipment for hyperspectral imaging used
75 in remote sensing was extremely expensive. So, it took until the late 1990's before this technology was
76 introduced in food science (Gowen et al., 2007) and postharvest research (Lu and Chen, 1998; Martinsen
77 and Schaare, 1998; Nicolai et al., 2007) for more challenging classification tasks and mapping of the
78 chemical composition, referred to as chemical imaging.

79 In this article, the progress in hyperspectral imaging technology for quality and safety evaluation of
80 horticultural products since its introduction 20 years ago is reviewed. First, the instrumentation and imaging
81 modes are discussed with special attention for the challenges related to real-time implementation. Then, the
82 different steps in hyperspectral data analysis are discussed. Finally, an overview is given of hyperspectral
83 imaging applications for external quality and defect evaluation, internal quality and maturity assessment,
84 and food safety detection, followed by a brief discussion on challenges and future research needs.

85 **2. Instrumentation and Imaging Modes**

86 **2.1. Overview**

87 Instrumentation for hyperspectral imaging requires considering specific image acquisition approaches (i.e.,
88 point-scanning, line-scanning, area-scanning, and single shot or snapshot) and imaging or sensing modes
89 (i.e., reflectance, transmittance, fluorescence and Raman), depending on the intended applications. Line
90 and area scanning are widely used in hyperspectral imaging research for food quality inspection, and the
91 former is well suited for inspecting food items moving along the production line. Snapshot or single shot is
92 an emerging approach for hyperspectral image acquisition, which holds promise for real-time applications
93 because of its fast imaging speed. Hyperspectral imaging can be implemented using one of the four sensing
94 modes, or their combinations.

95 In any hyperspectral imaging system, there are three essential devices, i.e., light source, wavelength
96 dispersive element and area-array detector (Lu et al., 2017). The light source can be a broadband quartz-
97 tungsten-halogen (QTH), arc lamp, light emitting diodes (LEDs) or lasers. QTH lamps are most extensively
98 used in hyperspectral imaging for food inspection, while narrowband LEDs and lasers are commonly used

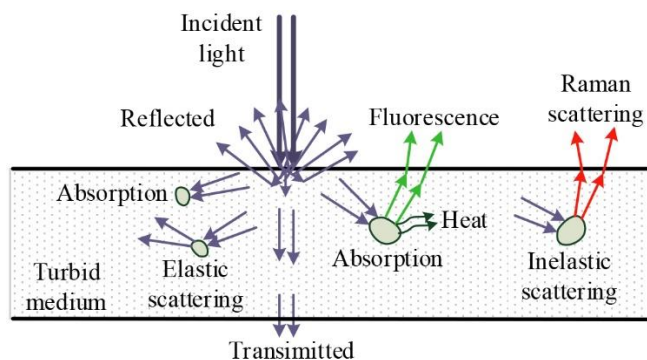
99 as an excitation light source in fluorescence and Raman imaging measurements. With the rapid
100 developments in LED technology in recent years, broadband LED lighting is increasingly used for
101 hyperspectral imaging. The wavelength dispersive element is usually composed of a diffraction grating
102 based imaging spectrograph or an electrically tunable filter (ETF) [e.g., liquid-crystal tunable filter (LCTF)
103 or acousto-optic tunable filter (AOTF)]. Different types of wavelength dispersive elements are associated
104 with different image acquisition approaches. The imaging spectrograph is used for line scanning
105 measurements, while the ETF is used for area scanning. For the area detector, there are multiple options
106 available as well in terms of image sensor, such as charge-coupled device (CCD) or complementary metal-
107 oxide-semiconductor (CMOS), and photo-sensitive elements: Silicon (Si), indium gallium arsenide
108 (InGaAs) or mercury cadmium telluride (MCT). CCD represents the mainstream detectors for hyperspectral
109 imaging, while CMOS is appealing and increasingly popular for real-time imaging applications. More
110 detailed descriptions of these devices are given elsewhere (Lu et al., 2017; Qin, 2010).

111 Over the past two decades, both custom-assembled and commercial hyperspectral imaging systems
112 have been used for food quality and safety evaluation. The former are built using modular components as
113 described above, which are amenable to further modifications and improvements for meeting specific
114 application needs, while the later come as all-in-one, ready-to-use hyperspectral imaging systems suitable
115 for general applications.

116 **2.2. Single Imaging Modes**

117 The nature of light-matter interactions forms the foundation for optical imaging technologies like
118 hyperspectral imaging. As schematically shown in Figure 1, the light incident on a turbid medium (e.g. a
119 biological tissue) can be back-reflected after absorption and multiple scattering events, or via energy
120 transfer between light and particles in the medium emitted in a different form of radiation such as
121 fluorescence or Raman scattering, usually at longer wavelengths. However, it may also be transmitted
122 through the medium without being fully absorbed. These light-matter interaction processes enable
123 hyperspectral imaging to be implemented in reflectance, transmittance, fluorescence or Raman scattering
124 mode by measuring respectively the reflected, transmitted or emitted light signals. Each of these imaging

125 modes has different implications in quality and safety assessment of horticultural products (Lu et al., 2017;
126 Qin et al., 2017a), and therefore will be described in detail.



127
128 Figure 1. Schematic representation of light-matter interactions in a turbid medium illustrating four types of light that
129 can be sensed in hyperspectral imaging, i.e., reflected, transmitted, fluorescence and Raman; the different color of
130 the arrows for fluorescence and Raman scattering indicate that these photons have a different wavelength.

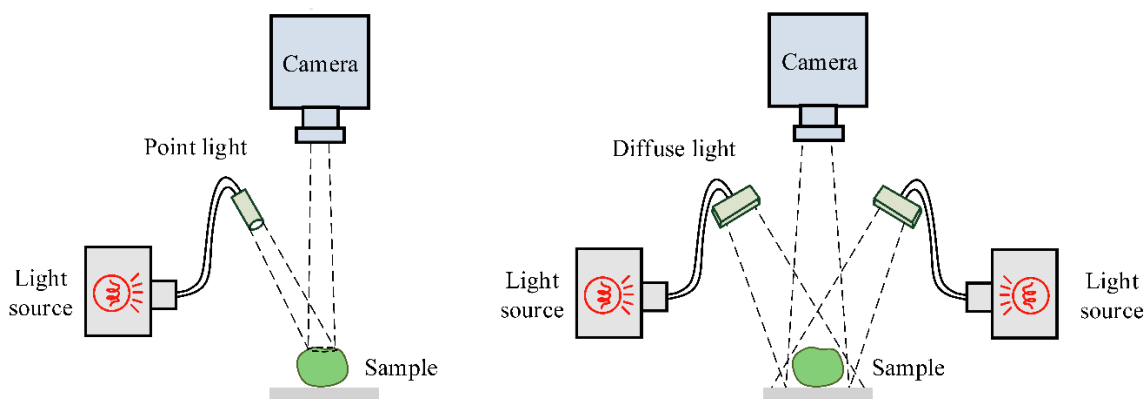
131 2.2.1. Reflectance

132 The standard configuration for reflectance imaging involves the use of diffuse light, as shown in
133 Figure 2 (right). Diffusely or uniformly distributed light illuminates the sample, thus minimizing unwanted
134 shadows or glares. This lighting mode is most extensively used in various machine vision applications for
135 food product inspection (Cubero et al., 2011). Such diffuse light can be generated using a single line lamp
136 or two line lamps symmetrically mounted around the object being imaged, as shown in Figure 2, or by
137 mounting light sources within a hemispherical aluminum diffuser (Gómez-Sanchis et al., 2008a) or a
138 lighting tunnel with an inner surface painted in white (Kleynen et al., 2005). As most horticultural produce
139 is glossy and has a complex geometrical shape, it is recommended to optimize the positioning of the light
140 sources based on ray tracing simulations (Keresztes et al., 2016b).

141 An alternative illumination mode involves point lighting with a narrow high-intensity light beam (e.g.,
142 ~1-2 mm in size), which can be generated by using point-like sources like lasers or focusing a broadband
143 light beam, to interrogate biological samples. This creates a light scattering image at the surface of the
144 sample, and by acquiring and analyzing scattering images from fruit samples, one could assess fruit texture

145 (e.g., firmness, porosity) and flavor [e.g., soluble solids content (SSC)] (Lu, 2004; Lu, 2007; Lu and Peng,
146 2006; Wang et al., 2020). Moreover, based on light propagation models, the optical properties (i.e.,
147 absorption and scattering coefficients) of horticultural products can be estimated (Cen et al., 2012; Qin and
148 Lu, 2008; Vanoli et al., 2020; Wang et al., 2020). Further information on this special variant of reflectance
149 hyperspectral imaging and the methods to extract the optical properties from these measurements can be
150 found in Lu et al. (2020) .

151 The diffuse reflectance imaging mode usually probes the superficial regions of biological samples
152 within several millimeters below the sample surface, depending on imaging hardware setup and optical
153 properties of the sample. Hence, this imaging mode is commonly used to detect surface or near-surface
154 characteristics of horticultural products, such as surface defects (Mehl et al., 2004; Qin et al., 2009a; Zhang
155 et al., 2015a), subsurface bruising (Lu, 2003; Lu and Lu, 2017a; Xing and De Baerdemaeker, 2005) and
156 tissue decay (Gómez-Sanchis et al., 2008a; Li et al., 2016).



157
158 Figure 2. Schematic representation of reflectance imaging modes with point light (left) and diffuse illumination
159 (right).

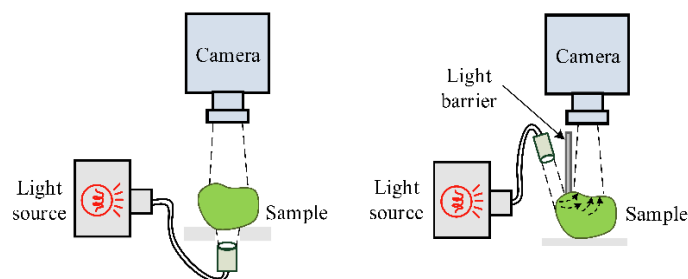
160 2.2.2. Transmittance

161 In transmittance imaging, as illustrated in Figure 3(left), the incident light and the camera are positioned
162 on opposite sides of the sample with an angle of detection around 180°. Compared to reflectance imaging,
163 such configuration is advantageous in detecting internal quality characteristics of samples, such as internal

164 defects (Ariana and Lu, 2008a; Huang et al., 2013; Qin and Lu, 2005; Xing et al., 2008), given the fact that
165 only light passing through the whole sample is measured. To yield detectable signals, transmittance imaging
166 requires a high-intensity light source and a high-sensitivity detector (Ariana and Lu, 2008b). This makes it
167 relatively costly and more difficult to implement in practice. Transmittance measurements may be
168 influenced by product size and shape, since light attenuation within the product is dependent on the travelled
169 light pathlength. Another issue is that clear-cut images cannot be readily obtained by transmittance imaging,
170 because in most tissues the transmitted light has undergone many scattering events. In the reported
171 applications on defect detection (Ariana and Lu, 2008a; Huang et al., 2013; Qin and Lu, 2005; Xing et al.,
172 2008), (low-resolution) transmittance images were analyzed mostly for spectral features rather than defect
173 visualization, which essentially reduces transmittance imaging to transmittance spectroscopy (Clark et al.,
174 2003; Han et al., 2006).

175 As a compromise between diffuse reflectance and transmittance imaging, a semi-transmittance imaging
176 mode has been suggested (Pan et al., 2017), where the light illumination area is separated from the imaging
177 area by a specified distance or angle [Figure 3(right)]. This allows to acquire more information from the
178 inside of the sample than the reflectance mode, because the measured light has gone through a minimal
179 depth of tissue below the surface. This interactance imaging mode has been used in several studies (Pan et
180 al., 2017; Wang et al., 2013).

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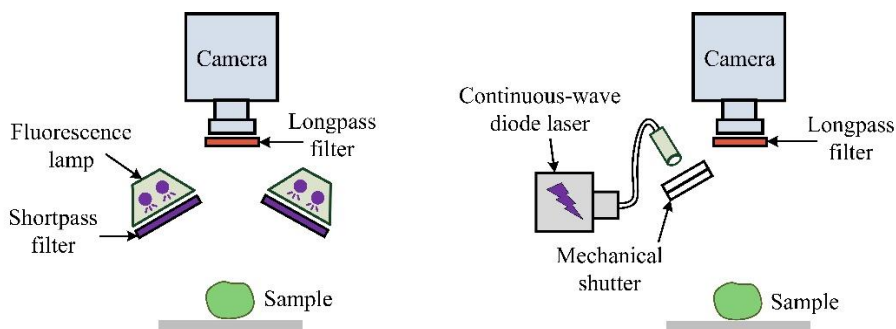
Figure 3. Schematic illustration of transmittance (left) and interactance (right) imaging modes.

184 2.2.3. Fluorescence

185 Biological materials, upon excitation by absorbing ultraviolet (UV) radiation or short-wavelength visible
186 light, can emit longer-wavelength radiation. This phenomenon is known as fluorescence. Typical
187 fluorescence spectra of plant materials are characterized by emission peaks in the blue, green, red and far-
188 red regions, spanning a spectral range from 400 to 800 nm (Buschmann and Lichtenthaler, 1998). The blue
189 and green fluorescence can be produced by cinnamic acids, while chlorophylls produce emission peaks in
190 the red and far-red range (Buschmann et al., 2000). Imaging fluorescence emissions, especially at these
191 four wavebands, provides a means for diagnosis of plant health conditions (Lichtenthaler and Miehe, 1997),
192 and also for quality and safety inspection of horticultural products (Kim et al., 2002; Zhang et al., 2012).

193 In fluorescence imaging, the excitation light is critical for achieving high-yield fluorescence emissions.
194 For example, radiation in the UV-A spectral region (long-wavelength UV in the 310-400 nm range)
195 effectively excites the fluorophores in plant materials. Figure 4 shows two typical setups for hyperspectral
196 fluorescence imaging. As in reflectance imaging, the light source and detector are generally positioned at
197 the same side of the sample, and either broadband xenon arc lamps with high-intensity UV output or lasers
198 or LEDs at appropriate wavelengths are used for sample excitation. In fluorescence imaging, it is important
199 to control possible experimental artifacts due to ambient light or excitation light being detected. A simple
200 technique illustrated in Figure 4(left) involves the installation of a shortpass filter (e.g., <400 nm) in front
201 of the excitation light source and a longpass filter (e.g., >400 nm) in front of the camera (Kim et al., 2001b).
202 Another way is to employ a time-gated detection system, in which a pulsed excitation light source (e.g., a
203 short pulse laser) is used for excitation and the detection is electronically delayed relative to the excitation
204 (Birlouez-Aragon et al., 2008). In addition, researchers have also used continuous-wave lasers coupled to
205 a computer-controlled mechanical shutter, as shown in Figure 4(left), for fluorescence excitation (Noh and
206 Lu, 2007). Under UV-A excitation, hyperspectral fluorescence images are typically captured in the 420-
207 750 nm range, which covers the blue to far red region (Kim et al., 2001b; Kim et al., 2002). Like reflectance
208 imaging, fluorescence imaging also probes superficial regions of samples and is mainly used to detect

209 surface and near-surface characteristics. It is commonly used for food safety applications, such as detecting
210 fecal contaminations and foreign materials (Kim et al., 2002; Mo et al., 2017a).



211
212 Figure 4. Schematic of fluorescence imaging using broadband lamps (right) and laser for excitation.

213 2.2.4. Raman

214 Hyperspectral Raman imaging, which is a two-dimensional (2-D) advancement over Raman spectroscopy
215 that measures Raman scattering (Matousek and Morris, 2010), is a relatively recent technique for food
216 quality and safety inspection. A hyperspectral Raman imaging system shares some similar requirements
217 with the fluorescence imaging described above. Both Raman and fluorescence are weak (low-probability)
218 processes (the former is even weaker), requiring an intense excitation light source and high-performance
219 detector to ensure adequate signal quality. Moreover, they require blocking the excitation light from the
220 detection end. For Raman measurements, an additional challenge is posed by the strong background of
221 auto-fluorescence emission in many plant materials, which is generally several orders of magnitude stronger
222 than the Raman signal. Such fluorescence interference needs to be eliminated or suppressed to avoid it from
223 masking the Raman signal. To this end, the excitation is typically performed with diode lasers at 785 or
224 830 nm, because they generate less fluorescence than lasers at shorter wavelengths (Qin et al., 2016). A
225 beam splitter at the excitation wavelength is preferentially used in Raman imaging to direct the incident
226 light to the sample and resulting Raman-shifted light to the detector. As the Raman signals are very weak,
227 commercial Raman imaging systems typically have small imaging areas at millimeter scales or less (for
228 microscopic applications). Hence, there is a need to custom-design a macro-scale Raman imaging system

229 for inspecting food items (Qin et al., 2010). Applications of hyperspectral Raman imaging have been
230 reported on chemical mapping of horticultural products (Qin et al., 2011b; Qin et al., 2017b).

231 **2.3. Integrated Imaging Modes**

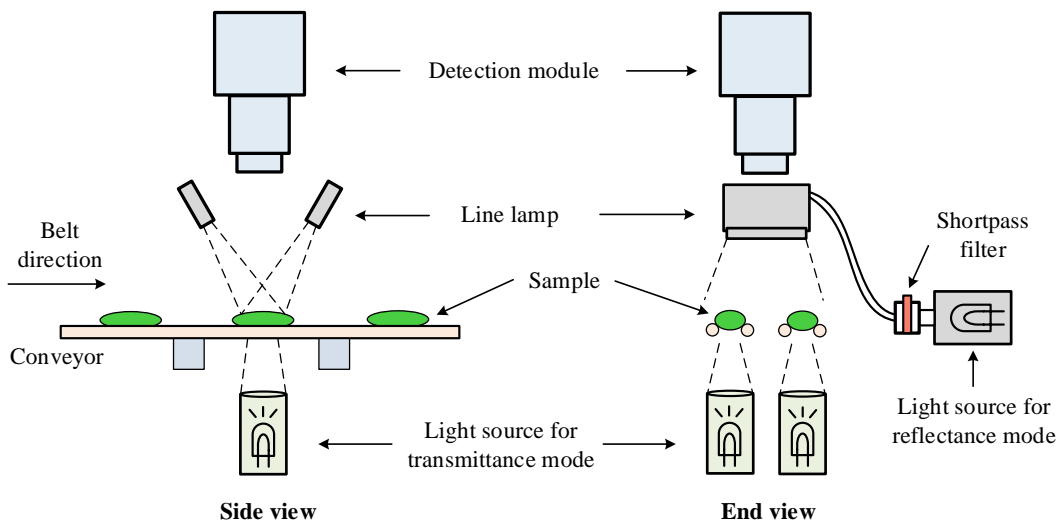
232 **2.3.1. Integrated Reflectance and Transmittance**

233 Integrated reflectance and transmittance imaging, compared to implementing them individually, has
234 the advantage of simultaneous evaluation of external (e.g., color, size and surface defects) and internal (e.g.,
235 firmness, SSC and internal defects) quality characteristics of horticultural products. Ariana and Lu (2008b,
236 2008c, 2010) pioneered such reflectance-transmittance integrated hyperspectral imaging concept. It is well
237 recognized that many biological tissues are almost opaque to visible light in the region of 400-675 nm
238 because of strong light scattering and absorption, while red-NIR light in the region of 675-1000 nm has
239 deeper tissue penetration. This motivated the development of the integrated reflectance and transmittance
240 imaging system illustrated in Figure 5, in which the visible light was used for reflectance measurements to
241 assess surface quality characteristics of samples, and the red-NIR light was used in transmittance imaging
242 for internal quality assessment. The visible light was generated using a QTH lamp with light output filtered
243 by a shortpass filter at the cut-off wavelength of 675 nm, and the red-NIR light was generated using a
244 higher-power QTH lamp. Hyperspectral images covering the full wavelength range from 400 to 1000 nm
245 were acquired using a single CCD camera. It should be noted that shortpass filters at other cut-off
246 wavelengths (e.g., 700 nm) could also be used to lend measuring flexibility to the system. The integrated
247 hyperspectral imaging system has demonstrated its effectiveness for the inspection of pickling cucumbers,
248 whole pickles and blueberries (Ariana and Lu, 2008c, 2010; Leiva-Valenzuela et al., 2014; Lu and Ariana,
249 2013).

250 The broadband QTH lamps are inefficient, since the generated light above a cut-off wavelength is fully
251 wasted and results in a considerable heat generation. Improvements were made to the above integrated
252 imaging system by replacing the original QTH lamps with white LED lamps covering the 400-700 nm
253 spectral region for reflectance imaging, and an NIR LED lighting module in the 700-1000 nm range for

254 transmittance imaging (Cen et al., 2014). The use of white LEDs eliminates the need for a shortpass filter
255 to de-mix reflectance and transmittance measurements.

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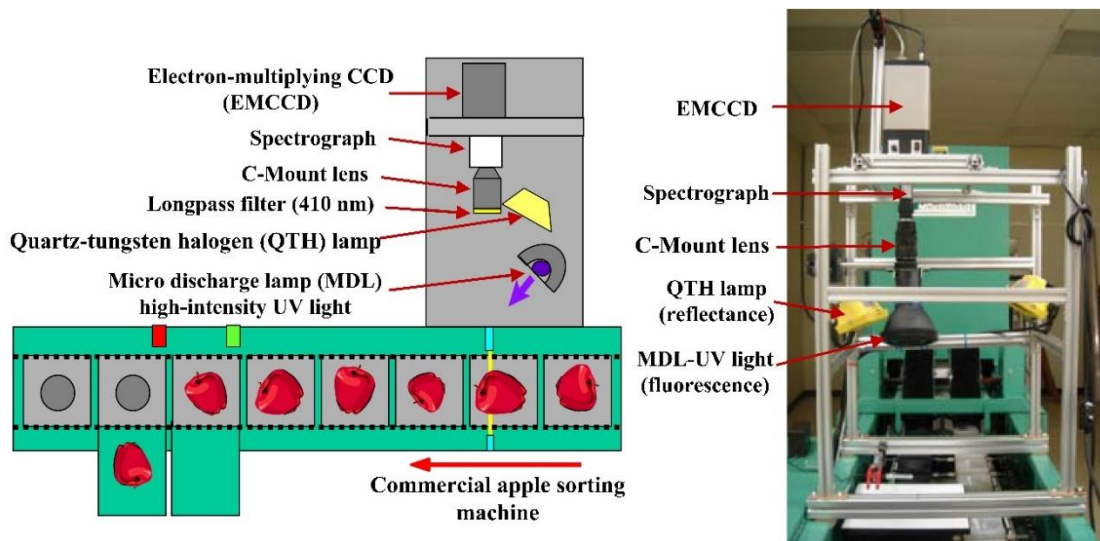
Figure 5. Schematic of an integrated hyperspectral reflectance and transmittance imaging prototype.

259 2.3.2. Integrated Reflectance and Fluorescence

260 Although both reflectance and fluorescence imaging are suited for detection of surface and subsurface
261 characteristics of food commodities, they are based on different principles of light-matter interactions and
262 may not be equally effective for specific applications. In general, reflectance imaging has a broader scope
263 of applications, while fluorescence imaging was shown to be more sensitive for detecting some exogenous
264 contaminants such as animal feces (Kim et al., 2001a; Kim et al., 2007) and certain stress-induced defects
265 such as chilling or freezing damage (Slaughter et al., 2008). This suggests that the complementary use of
266 both techniques may lead to a more versatile inspection tool.

267 Researchers at the U.S. Department of Agriculture Agricultural Research Service (USDA/ARS) in
268 Beltsville, Maryland pioneered the technique of integrating reflectance and fluorescence imaging for food
269 quality and safety assessment (Kim, 2015; Kim et al., 2007; Kim et al., 2001b; Kim et al., 2008; Lefcourt
270 et al., 2006b). Figure 6 shows such an integrated hyperspectral imaging system in conjunction with a
271 commercial fruit sorting machine, for the detection of fecal contamination and surface defects on apples
272 using fluorescence and reflectance measurements, respectively. This system was equipped with an electron-

273 multiplying CCD (EMCCD) camera, which is low-light sensitive, and two different light sources, a QTH
 274 lamp and a high-intensity UV-A lamp, enabling both fluorescence and reflectance measurements,
 275 respectively (Kim et al., 2007). A similar configuration integrating hyperspectral reflectance and
 276 fluorescence imaging, in which a diode laser at 408 nm was used for fluorescence excitation and a focused
 277 QTH light beam for reflectance measurement, was investigated for assessing apple maturity parameters
 278 (Noh et al., 2007). However, results for firmness, titratable acid and SSC prediction were relatively poor,
 279 compared to conventional point spectroscopy.



280
 281 Figure 6. Schematic illustration (left) and photo (right) of an online hyperspectral reflectance and fluorescence line-
 282 scan imaging system. Reproduced with permission from Kim et al. (2007).

283 2.4. Real-time Imaging

284 Real-time hyperspectral imaging is faced with significant challenges, because of the need to acquire and
 285 process large volumes of image data at tens to hundreds of wavelengths. So far, the number of publications
 286 on real-time (full-spectrum) hyperspectral imaging for food quality and safety inspection is still limited.
 287 Keresztes et al. (2016a) implemented pixel-based early apple bruise detection using short-wave infrared
 288 (SWIR) hyperspectral imaging in real-time. However, the reported speed of 0.3 m/s was still short of
 289 meeting the industry needs. An alternative solution is to implement a line-scanning hyperspectral imaging
 290 system in multispectral mode, thus significantly reducing the workload of image acquisition and processing.

291 In addition, snapshot based hyperspectral imaging systems could provide opportunities for online
292 application for quality and safety inspection of horticultural products. Several companies are now offering
293 commercial systems for sorting seeds, nuts, fruit and vegetables in fresh, dried and processed form based
294 on line-scan hyperspectral imaging mode (e.g. Insort GmbH, Kirchberg an der Raab, Austria; TOMRA
295 Systems ASA, Asker Municipality, Norway; Key Technology Inc., Walla Walla, WA, USA).

296 **2.4.1. Line-scanning Mode**

297 A line-scanning hyperspectral imaging system for real-time inspection needs dedicated hardware (e.g.,
298 efficient lighting, fast data acquisition and transfer devices, and powerful computers), well-decided working
299 parameters (e.g. line-scanning spatial resolution and the number of wavelengths) and efficient software (e.g.
300 image processing algorithms and implementations, and software architecture) (Park and Yoon, 2015).
301 EMCCD is a low-light-sensitive camera that achieves fast image acquisition (<1 ms exposure times) and
302 data transfer rates. An important feature of the EMCCDs is that they provide either contiguous or non-
303 contiguous partial readout ability, which allows to readily implement a hyperspectral imaging system in a
304 multispectral imaging mode at several discrete wavelengths. This hyperspectral-multispectral approach,
305 pioneered by USDA/ARS researchers at Beltsville, Maryland, was used for inspecting apples for surface
306 defects and fecal contaminants at a rate of 3-4 apples per second (Kim, 2015; Kim et al., 2007; Kim et al.,
307 2008) and for inspecting poultry carcasses at an inspection rate of 140-180 birds/min for wholesomeness
308 (Chao et al., 2007; Chao et al., 2010; Yang et al., 2009) and fecal contaminations (Park et al., 2011; Yoon
309 et al., 2011). The technology for wholesomeness detection of broiler chickens (Chao et al., 2014; Chao et
310 al., 2010) is now being commercialized, but its rate for fruit inspection still falls short of the industrial needs
311 (e.g., 10 apples per second). Compared to poultry inspection, inspection of horticultural commodities, such
312 as apples, is faced with two special challenges, i.e., whole surface inspection and reducing the false positives
313 caused by the presence of stem and calyx tissues (Keresztes et al., 2017). The stem and calyx regions may
314 be isolated based on the geometric features of products (Xing et al., 2007) or through supervised image
315 segmentations (Unay and Gosselin, 2007).

316 **2.4.2. Snapshot Mode**

317 Snapshot or single shot imaging captures the entire three-dimensional (3-D) (x, y, λ) data cube through a
318 single detector integration event, without scanning in either spatial or spectral domain. Compared to
319 scanning based image acquisition, snapshot is advantageous in high optical throughput, lack of artifacts
320 associated with scanning and increased compactness thanks to the absence of moving components. The
321 technique thus offers a very promising solution to real-time imaging. However, the spatial and spectral
322 resolutions for snapshot systems are typically lower compared to line-scanning systems.

323 There are a number of techniques that support snapshot spectral imaging, such as computed tomography
324 imaging spectrometer, coded aperture snapshot spectral imager and image mapping spectrometry (Hagen
325 and Kudenov, 2013). Recently, novel snapshot imagers based on pixel-level monolithic integration optical
326 filters have become commercially available (Geelen et al., 2015; Geelen et al., 2013, 2014). These snapshot
327 imagers enable fast hyperspectral measurements. For example, Geelen et al., (2015) acquired 170 datacubes
328 of size $217 \times 409 \times 25$ pixels per second in the region of 600-900 nm at lower spatial and spectral resolutions.

329 Snapshot hyperspectral imaging has been applied in the biomedical field (Kester et al., 2011; Pichette
330 et al., 2016) and unmanned aerial vehicle based precision agriculture (Yue et al., 2017), but its application
331 for horticultural product quality assessment is still at the infancy stage (Rungpichayapichet et al., 2017).

332 **3. Hyperspectral Image Analysis**

333 Hyperspectral image data are high-dimensional both spectrally and spatially. Therefore, both conventional
334 methods that are commonly used in image and spectroscopic analysis and specific techniques that pertain
335 to hyperspectral image data are needed in order to accomplish detection tasks. Basically, the raw
336 hyperspectral data are sequentially subjected to five main treatment steps: data preprocessing, spectroscopic
337 and image analysis, modelling and performance evaluation (Figure 7). In the context of machine learning
338 for data analysis, all the data treatments prior to modeling can be loosely referred to as feature extraction.
339 In the following subsections, only the principle or concept of these treatments is covered and the reader is
340 referred to relevant literature for further details.

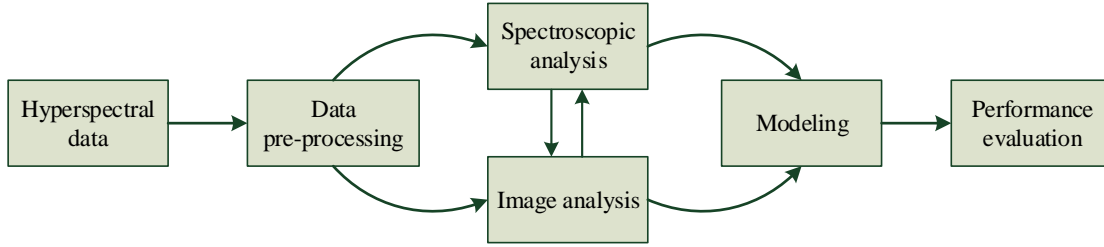


Figure 7. Flowchart of the main steps for hyperspectral image analysis.

3.1. Data Pre-processing

Data or image pre-processing involves radiometric correction, noise reduction and removal of other image artifacts related to illumination and spectral responses. Radiometric correction aims to correct for the variation in the spectral response of different detector units, like pixels in a CCD or CMOS area-array, and the non-flat geometry of samples. Flat-field corrections are most commonly used, in which reference and dark images are acquired and the corrections are done pixel by pixel for individual wavelengths (λ) according to the following equation:

$$I_{\text{corrected}}(\lambda) = \frac{I(\lambda) - I_{\text{dark}}(\lambda)}{I_{\text{standard}}(\lambda) - I_{\text{dark}}(\lambda)} \quad (1)$$

where I indicates the intensity value in a raw image, I_{dark} is the image acquired under dark environment (i.e. the light source is turned off and the camera lens is covered with an opaque cap), and I_{standard} is the image for a flat standard target. A flat Spectralon panel (Labsphere, Inc., Sutton, NH, USA), which has a uniform reflectance rate of 98% or higher for the entire visible and near-infrared region, is widely used for acquiring a reference image, although other types of reference panels may also be used. Fluorescence imaging, however, typically requires different targets that produce strong fluorescence emissions at corresponding wavelengths (Noh et al., 2007). For surface-curved samples, further geometric corrections for reflectance images may be required based on the actual 3-D geometry or surface contour of the samples (Gómez-Sanchis et al., 2008b; Peng and Lu, 2008).

Denoising is a routine practice for processing images acquired by an imaging system. Various filtering methods, in either spatial-domain or Fourier-domain, are widely used for noise reduction (Gonzalez and

362 Woods, 2008). Dimension reduction methods, like principal component analysis (PCA) (Saeys et al., 2019)
363 and maximum noise fraction (MNF) (Green et al., 1988; Lee et al., 1990), also enable separation of the
364 noise component. In addition, bi-dimensional empirical mode decomposition (BEMD) provides an adaptive
365 data-driven method for noise removal, while also removing image vignetting (Lu and Lu, 2018b). Apart
366 from noise, raw hyperspectral images may suffer from glares or dark spots resulting from the imperfection
367 of imaging optics or the presence of abnormalities on the sample surface. These alter the intensities of the
368 pixels at each waveband and consequently the spectral and spatial features in the images. These artifacts
369 may be removed by applying a statistics filter (e.g., median filter) or image segmentation (Lange, 2005; Lu
370 et al., 2017a).

371 **3.2. Spectroscopic Analysis**

372 Spectroscopic or spectral analysis deals with hyperspectral data in the spectral domain and prepares them
373 for future multivariate modeling. It mainly includes spectral corrections and transformations, and
374 dimensionality reduction. In addition to the aforementioned radiometric correction, spectral corrections,
375 which are aimed at removing multiplicative scattering effects, baseline shifts and other unwanted systematic
376 variations, are often needed, and they include multiplicative scatter correction (Isaksson and Næs, 1988),
377 de-trending and standard normal variate transformation (Barens et al., 1989), and orthogonal signal
378 correction (Westerhuis et al., 2001; Wold et al., 1998). These corrections may simplify the relationships
379 between the spectra and the quality traits of interest and can thus improve the performance of the subsequent
380 models. Data transformations, such as derivatives and logarithms, may also lead to improved spectral
381 interpretability. For example, second-order derivatives are found to be effective in removing baseline shifts
382 and enhancing spectral peak or valley positions. These corrections and transformations are commonly
383 known as spectral preprocessing (Saeys et al., 2019; Varmuza and Filzmoser, 2009).

384 Dimensionality reduction, as a key step in the spectroscopic analysis, aims to reduce the number of
385 dimensions of the spectral data. It simplifies data visualization, reduces computational cost, helps to identify
386 or enhance useful spectral features, and improves model accuracy and reliability. There are two main types
387 of dimension reduction methods: transformation and feature selection. The former reduces the

388 dimensionality of the data through a transformation (e.g., moving and rotating), while retaining the
389 information content as much as possible (van der Maaten et al., 2009). They include PCA, kernel PCA,
390 independent component analysis (ICA), linear discriminant analysis (LDA), multivariate curve resolution
391 (MCR), and so on. With PCA, which is by far the most popular dimension reduction method for spectral
392 data, the original correlated spectral variables are replaced by a smaller number of uncorrelated linear
393 combinations capturing the largest part of the variation in the data (Cowe and McNicol, 1985; Saeys et al.,
394 2019).

395 Feature selection aims to select a number (much lower than the original dimension) of important
396 features (i.e., wavelengths in the spectral analysis) relevant for the modeling tasks under study. Wavelength
397 selection is essential for implementing hyperspectral imaging in a multispectral mode for online, real-time
398 applications. There are three types of feature selection methods, i.e., filter, wrapper and embedded methods
399 (Chandrashekar and Sahin, 2014; Saeys et al., 2007). The filter methods perform feature selection by
400 thresholding on a certain measure based on the importance of variables [e.g., loading weights of principal
401 components (PCs), correlation coefficient, mutual information, variable importance in projection (VIP)
402 scores, etc.] derived from the dataset (the thresholding is sort of a filtering operation). This generally does
403 not involve a learning algorithm. The features selected in this way may not be optimal for subsequent
404 classification or regression purposes. The other two types of methods relate to specific learning algorithms.
405 The wrapper methods use a search algorithm to find a subset of relevant features, and the model construction
406 is wrapped within the search process. Since the model has to be trained for each subset of features, the
407 wrapper methods are more computationally intensive than the filter methods. Examples of wrapper methods
408 are sequential selection algorithms (Pudil et al., 1994) and partial least squares (PLS) based feature selection,
409 such as uninformative variable elimination (UVE) (Centner et al., 1996), genetic algorithm (GA)-PLS
410 (Leardi and González, 1998), competitive adaptive reweighted sampling (CARS) (Li et al., 2009) and
411 interval partial least squares (Norgaard et al., 2000). The embedded methods embed the feature search
412 process into the model construction, which may be more efficient than the wrapper methods. Random forest
413 (RF) based (Díaz-Uriarte and de Andrés, 2006), neighborhood component feature selection (Yang et al.,

414 2012) and support vector machine (SVM) based feature selection algorithms (Guyon et al., 2002;
415 Maldonado et al., 2011) are examples of wrapper methods. In addition, there are also other methods that
416 combine (e.g. filter-wrapper), or even go beyond the scope of, the above methods. For more comprehensive
417 reviews on feature selection methodologies the reader is referred to Chandrashekar and Sahin (2014) and
418 Guyon and Elisseeff (2003).

419 **3.3. Image Analysis**

420 Image data play a crucial role in detecting spatial and morphological quality characteristics of horticultural
421 products (e.g., size, shape and defects), as opposed to spectral data for chemical constituents. The goal of
422 image analysis is to enhance, segment and extract image features pertaining to the quality characteristics of
423 interest, which correspondingly requires image enhancement, image segmentation and texture analysis. In
424 conventional machine vision, these methods are applied to the panchromatic or monochromatic images.
425 While it is also possible to apply them to the individual images in a hyperspectral dataset, they are
426 computationally intensive. Therefore, these methods are typically applied to a virtual image where the
427 spectral data has been combined with one of the models discussed in Section 3.4 to obtain a chemical map
428 or an image with maximal contrast.

429 Image enhancement provides an image with visually enhanced contrast and clarity. It can either be
430 performed in the spatial or frequency domain linearly or nonlinearly. The spatial-domain linear methods
431 are most commonly used, which include linear stretching, gamma transformation and histogram
432 equalization (Gonzalez and Woods, 2008). These methods only stretch the global distribution of image
433 intensities, which may not work well for specific tasks like edge detection. Spatial filtering, with a designed
434 derivative filter mask is well suited for edge detection. A large family of unsharp masking operators based
435 on emphasizing high-frequency information in the image provide another means for image enhancement
436 (Ramponi et al., 1996). Many more advanced, often less efficient, methods rely on modifying the traditional
437 histogram equalization method (Arici et al., 2009; Celik, 2012), because the shape of an image histogram
438 provides a measure of image contrast. In addition, the BEMD method discussed above is also effective for
439 image enhancement based on removing image noise and illumination vignetting (Lu and Lu, 2018b).

440 In most computer vision applications, image segmentation is a critical step to simplify higher-level
441 vision tasks. For quality inspection of horticultural products, it involves two basic steps: background
442 removal (i.e., extraction of the major objects such as fruit in the image) and segmentation of regions of
443 interest (ROIs) (i.e., defects to be detected). If the image is captured under a well-controlled environment
444 (e.g., in an enclosed dark chamber and with a clearly different background), simple thresholding techniques,
445 in conjunction with morphological operations (e.g., filling and erosion), may suffice to remove the
446 background from the image (Lu and Lu, 2017b). However, the second step normally requires more
447 dedicated efforts, depending on the contrast of the ROI with its surroundings. In fruit defect detection, a
448 large variety of morphological and textural properties for different types of defects complicate the accurate
449 segmentation of these defects. For instance, surface russeting, which is a common web-like surface defect
450 of fruits, is notoriously difficult to segment (Leemans and Destain, 2004). Apart from thresholding methods,
451 other segmentation methods include edge-, region-, graph-, normalized cuts-, active contours, level sets,
452 classification-based image segmentation, etc. (Sonka et al., 2015; Szeliski, 2011). These can be further
453 categorized into unsupervised and supervised methods. Unsupervised methods are more straightforward to
454 use, but computationally intensive and the outcome can vary considerably. On the other hand, supervised
455 methods are typically more reliable and faster. However, they require manual labelling of the objects in a
456 set of training images, which is tedious and prone to error. Semi-supervised segmentation strategies have
457 been proposed to combine the advantages of both approaches (van Roy et al., 2017).

458 It should be noted that the difficult second-step image segmentation may be avoided in defect detection
459 tasks. For example, in fruit sorting for defects, a suboptimal yet still acceptable solution is to eliminate
460 defective fruit without providing specific information (e.g., location and shape) on the defects. So, only
461 image-based classifications are needed, thus avoiding defect segmentation (Kavdir and Guyer, 2008; Lu
462 and Lu, 2018a).

463 Apart from color and intensity differences, humans also largely rely on texture differences for detecting
464 objects and shapes. Therefore, texture analysis has been proposed as an alternative method to identify
465 objects and shapes in an image. Texture in an image can be quantitatively defined by a diversity of features

466 that represent tonal and structural properties of the zones in an image (Haralick, 1979). Among the most
467 extensively used features are the Haralick texture measures, statistical geometric features, local binary
468 pattern (LBP), shape descriptors and statistical moments (Nixon and Aguado, 2012). In particular, LBP
469 features (Ojala et al., 2002) have gained much attention in various classification tasks thanks to their
470 superior performance. Essentially, a basic LBP is derived from a 3×3-pixel block by comparing the center
471 pixel with its neighbors to yield an 8-bit binary pattern. This is then converted into a single decimal code
472 for the center pixel. Finally, the LBP features are defined as the histogram of the codes for an entire image.
473 Improvements have been made to the primitive LBP for achieving scale and rotation invariance (Ojala et
474 al., 2002; Pietikainen et al., 2011). In addition, there are other well-known texture features or descriptors,
475 e.g., Gabor-filter based features (Kamarainen et al., 2006), SIFT , HOG and SURF (Szeliski, 2011), just to
476 name a few. One may use only one type of features or an ensemble of multiple types of features, with or
477 without feature selection as described in Section 3.2, for model construction, which is discussed next.

478 **3.4. Modeling**

479 The goal of hyperspectral data analysis is to build a predictive or classification model. To exploit the high-
480 dimensional nature of hyperspectral data, the model should be multivariate, and depending on specific
481 detection tasks, it is either quantitative for providing numerical predictions (e.g. determining the
482 concentration of chemical constituents), or qualitative to perform classifications (e.g. defect detection).
483 Both types of models are constructed based on learning from the given data. Hence, separate data sets are
484 required for training, validating (for model optimization) and testing the model (Marsland, 2015; Varmuza
485 and Filzmoser, 2009; Saeys et al., 2019).

486 There are numerous multivariate techniques for quantitative modeling, mainly including multiple linear
487 regression (MLR), principal component regression (PCR), partial least squares regression (PLSR), artificial
488 neural networks (ANN), support vector regression (SVR), and kernel based learning methods (Scholkopf
489 and Smola, 2002; Varmuza and Filzmoser, 2009; Saeys et al., 2019).

490 Developing qualitative models is the domain of pattern classification in machine learning (Hastie et al.,
491 2009). The classification can be done by defining a linear (linear discriminant analysis – LDA) or quadratic

492 (quadratic discriminant analysis - QDA) discrimination function by combining the original variables.
493 Alternatively, the classification of samples can be based on the class memberships of the samples which
494 are most similar (closest) to the sample to be classified. This classification can then be done based on a
495 majority vote (k nearest neighbors – k -NN) or a distance weighted function of the memberships (support
496 vector machines - SVM, least squares support vector machines - LS-SVM and other kernel methods). As
497 the spectral variables are typically highly correlated, it may be more interesting to define these functions
498 based on the PC scores. Alternatively, the classifier can be based on disjoint PCA models for the different
499 classes (soft independent modelling of class analogies - SIMCA). The regression methods, i.e., MLR, PCR
500 and PLSR, can be also readily extended for classification purposes by coding dummy response variables
501 for different classes and adopting a proper discrimination rule (e.g. LDA). A typical example of such an
502 extension is PLS-discriminant analysis (PLS-DA).

503 Instead of combining the different variables in one discrimination function, a decision tree can be
504 constructed by placing thresholds on the different variables to assign the samples to the different classes.
505 Random forests, which is based on an ensemble of decision trees aided with a bootstrap aggregating
506 sampling strategy (Breiman, 2001), is an emerging and increasingly popular classifier. Driven by
507 technological advancements in computing capacity, deep neural networks (DNNs, as opposed to traditional
508 shallower ANNs) or deep learning are becoming the workhorse for various large-scale machine learning
509 tasks (LeCun et al., 2015). In particular, convolutional neural networks (CNNs) have enjoyed remarkable
510 success in image classification and object detection, because they integrate feature extraction in the spectral
511 and spatial domain with classification, and automatically learn low-level up to high-level abstractions from
512 raw images.

513 The details of these methods and the guidelines to efficiently use these for multivariate calibration of
514 spectral sensors for postharvest quality evaluation are beyond the scope of this article. Therefore, the
515 interested reader is referred to the review paper on this topic which has recently been published in this
516 journal (Saeys et al., 2019).

517 **3.5. Performance Evaluation**

518 Quantitative and qualitative models should be evaluated using performance metrics. For quantitative
519 models, root-mean-square error (*RMSE*), standard error of prediction (*SEP*) and bias are the most frequently
520 used metrics for the absolute error, while the coefficient of determination (R^2) is the most popular relative
521 metric (Varmuza and Filzmoser, 2009; Saeys et al., 2019).

522 Qualitative models are usually evaluated against classification accuracy, which is defined as the number
523 of correctly classified samples divided by the total number of samples, or the number of correctly classified
524 samples for a specific class divided by the number of samples of that class. The accuracy calculated over
525 all classes is the overall classification accuracy. However, only using the overall accuracy for model
526 evaluation may create an accuracy paradox (i.e., a model with a high overall accuracy may have a low
527 predictive power), since it gives no information on the classification performance for specific classes.
528 Hence, apart from overall accuracy, it is highly recommended to provide the classification results for
529 individual classes, such as false positive and false negative rates (or true positive and true negative rates).
530 A confusion matrix gives a more complete and balanced evaluation of a model. In the special case of binary
531 classification (i.e. discrimination between two classes), the metrics such as precision, recall, receiver
532 operator characteristic curves (ROCs) as well as the overall accuracy are most commonly reported
533 (Marsland, 2015). It should also be noted that in hyperspectral imaging, the classification level can be
534 reported at the pixel level as well as at the object level. As the latter is typically what is most of interest
535 from a practical point of view, it is highly recommended to evaluate the performance on that level.

536 **4. Applications**

537 The first applications of hyperspectral imaging for postharvest quality and safety inspection were reported
538 in the late 1990s (Lu and Chen, 1998; Martinsen and Schaare, 1998). Thanks to the advancements in
539 instrumentation and data analysis, hyperspectral imaging technology has evolved into a powerful
540 nondestructive inspection tool and the scope of applications in postharvest quality and safety evaluation
541 has expanded tremendously during the past 20 years and resulted in commercial applications on sorting

542 machines. These applications mainly fall into three categories, i.e., external quality and defect evaluation,
543 internal quality and maturity assessment, and food safety detection. This section gives a summary review
544 of representative and updated research in these areas of applications.

545 **4.1. External Quality and Defect Evaluation**

546 The external quality of horticultural produce is evaluated for such attributes as size, shape, color, and the
547 presence or absence of surface defects, which are among the most important factors in pricing horticultural
548 products on the market. Size and shape can be readily evaluated using conventional machine vision, while
549 color and defects, especially the latter, require a more effective modality like hyperspectral imaging.
550 Defects can also occur beneath the surface or are hidden inside the products. Hyperspectral imaging in
551 reflectance mode, in general, is limited to detecting surface defects or subsurface defects within a few mm
552 of depth. While transmittance or interactance mode allows light to penetrate deeper into the tissues, it could
553 not provide good quality images of internal tissue defects because the light detected by the hyperspectral
554 imaging system has gone through multiple scattering events. Table 1 summarizes the major applications of
555 hyperspectral imaging for color and defect evaluation.

556 Color is an important quality indicator for horticultural products, especially for perishable products like
557 vegetables which require special efforts for color retention during postharvest handling. Hyperspectral
558 imaging provides abundant, well-resolved spectral information and is thus well suitable for more precise
559 color measurements than RGB imaging. Ariana and Lu (2008c) measured skin and flesh colors of pickling
560 cucumbers using hyperspectral imaging in different imaging modes (i.e., reflectance, transmittance and
561 their combination). Reflectance imaging mode was found to be the most effective for skin color
562 measurement with R^2 values of 0.79 and 0.70 for chroma and hue, respectively. However, all three imaging
563 modes resulted in poor flesh color measurements. In a later study, the authors reported on measuring surface
564 color of pickles by directly integrating hyperspectral reflectance imaging data over the 500-675 nm range,
565 instead of building predictive models (Ariana and Lu, 2010). In applying hyperspectral imaging to measure
566 the color of vine tomatoes, van Roy et al. (2017) reported that the direct method, which is similar to the one

567 used in Ariana and Lu (2010), performed poorly and was sensitive to intensity variation due to fruit
 568 curvature and glossiness, while multivariate modeling performed better. In addition to color measurements
 569 on intact products, hyperspectral imaging has been used for color-based quality inspection of fresh cut
 570 products, such as discriminating sound and discolored areas in fresh-cut lettuce (Mo et al., 2015).

571 Table 1. Applications of hyperspectral imaging for external quality and defect detection of horticultural products

Imaging mode	Applications		Reference
	Quality attribute	Product	
Reflectance	Color	Lettuce	Mo et al. (2015)
		Tomato	van Roy et al. (2017)
	Surface or visual defects	Apple	Lee et al. (2008); Mehl et al. (2002, 2004)
		Citrus	Li et al. (2011); Qin et al. (2008)
		Peach	Li et al. (2016); Liu et al. (2020); Zhang et al. (2015a)
		Hazelnuts	Moscetti et al. (2015)
	Physiological disorders	Apple	ElMasry et al. (2009); Huang and Lu (2010); Li et al. (2019); Nicolai et al. (2006)
		Cucumber	Cheng et al. (2004); Liu et al. (2005)
		Peach	Liu et al., (2020); Pan et al. (2016)
	Subsurface bruising	Apple	
Mushroom			Gowen et al. (2008)
Potato			Lopez-Maestresalas et al. (2016)
Strawberry			Nagata et al. (2006)
Blueberry		Zhang et al. (2017); Zhang et al. (2020)	
Transmittance	Internal defects	Blueberry	Zhang et al. (2017); Zhang et al. (2020)

		Cherry	Qin and Lu (2005); Siedliska et al. (2017)
		Cucumber	Ariana and Lu (2010)
		Nectarine	Munera et al. (2019)
		White radish	Pan et al. (2017); Song et al. (2016)
Reflectance and Transmittance	Physiological disorders	Cucumber	Cen et al. (2016)
	Internal defects and color	Cucumber	Ariana and Lu (2008c; 2010); Cen et al. (2014)
Reflectance and fluorescence	Surface defects	Apple	Ariana et al. (2006)

572

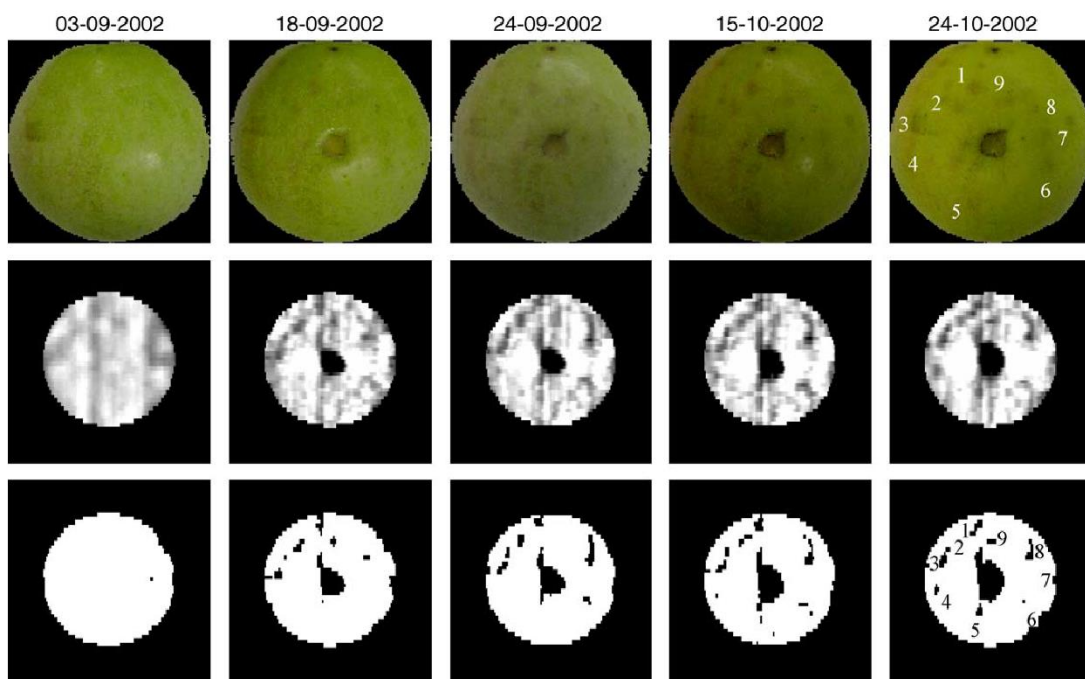
573 Defect detection in horticultural products is challenging, because there exist large variations in
574 morphological and/or physiological characteristics of defects (Lu and Lu, 2017c). Hyperspectral imaging
575 has been reported for detecting a range of defects, either surface, subsurface or internal by implementation
576 of an appropriate imaging mode. For the convenience of discussion, defects of horticultural products are
577 loosely categorized into four types: surface or visual defects (i.e., the defects occurring on the surface of
578 products or defects that are externally visible), physiological disorders (i.e., those well-identified defects
579 due to physiological stress, such as bitter pit and chilling injury), subsurface bruising, and internal defects.
580 Except surface or visual defects, other types of defects may be barely visible or totally invisible to the
581 human, depending on the severity of the defect.

582 Mehl et al. (2002) were among the first to apply hyperspectral imaging for **surface defect detection** in
583 fruits. In detecting surface defects on apples, they identified three effective wavebands 460, 575 and 705 nm
584 based on PCA of hyperspectral images. These bands were implemented in a multispectral imaging system
585 and resulted in overall detection accuracies of 76-95% for three apple varieties. Later on, they proposed an
586 asymmetric second difference method for processing hyperspectral images to enhance defect detection in
587 apples (Mehl et al., 2004). Lee et al. (2008) presented a correlation analysis (CA) method based on two-

588 wavelength image ratios or differences for identifying the best pairs of wavebands for surface defect
589 detection in apples. The two-wavelength image ratio R_{670}/R_{684} nm (R denotes reflectance) was the most
590 effective for defect detection with a 92% detection rate. This method, however, requires an exhaustive
591 search for all possible two-wavelength pairs. Qin et al. (2011a) applied the CA method for detecting citrus
592 canker (surface defect caused by diseases) by hyperspectral imaging and identified the best wavelength
593 ratio R_{834}/R_{729} nm, which achieved an overall detection accuracy of 95%. Using high-dynamic range
594 hyperspectral reflectance imaging in the SWIR (1000-2500 nm) region, Moscetti et al. (2015) classified
595 hazelnuts (cv. Tonda Gentile Romana) in four quality classes commonly used in industry: i.e., the
596 presence/absence of discolorations, infestations, infections and/or detrimental disorders. After the spectral
597 pretreatment optimization, the multi-class PLS-DA classifier provided good (>80%) to very good (>90%)
598 sensitivity and selectivity.

599 Horticultural products are prone to numerous **physiological disorders** which develop mostly post-
600 harvest due to internal or external stresses such as extreme temperature, nutritional deficiency, senescence
601 and suppressed respiration. Chilling injury (CI) is a serious physiological disorder during postharvest
602 handling of horticultural commodities of tropic or subtropic origin like cucumbers, apples and peaches.
603 Cheng et al. (2004) reported on using hyperspectral imaging for CI detection in cucumbers. Combination
604 of PCA-LDA as a hybrid dimension reduction technique with k-NN for classification achieved detection
605 rates of 91-93% for CI cucumbers (Cheng et al., 2004). Later, Liu et al. (2006) compared two methods, i.e.,
606 a simple dual-waveband ratio R_{811}/R_{756} nm and PCA-SIMCA for CI detection of cucumbers and reported
607 comparable detection rates over 90%. Early CI detection is difficult, because of the absence of visible
608 symptoms. Cen et al. (2016) applied hyperspectral reflectance and transmittance imaging for detecting CI
609 in pickling cucumbers. They compared different classifiers combined with feature extraction and selection
610 techniques for classifying cucumbers, achieving the best overall accuracies of 92% and 100% for three-
611 class and two-class classification schemes, respectively. Hyperspectral imaging has also been used for
612 detecting CI in other horticultural products such as apples (ElMasry et al., 2009) and peaches (Pan et al.,
613 2016).

614 Bitter pit is a calcium deficiency-induced physiological disorder in apples, which is usually initiated
 615 pre-harvest, but progresses rapidly during postharvest storage. The defect mainly occurs under the
 616 epidermis and is not externally visible. Nicolai et al. (2006) first reported on using hyperspectral reflectance
 617 imaging combined with PLS-DA based pixel-level classification for bitter pit detection (Nicolai et al., 2006).
 618 The model enabled identifying bitter pit lesions before visual symptoms had developed, as shown in Figure
 619 8, but it could not discriminate these from the corky tissues (e.g., the defective but non-bitter pit lesion
 620 occurring in the image center in Figure 8).



621 Figure 8. Development of bitter pit during storage: digital images (top row); predicted images based on modelling
 622 (middle row) and binary images showing bitter pit lesions (bottom) row. The numbers in the right most images
 623 indicate bitter pit lesions. Reproduced with permission from Nicolai et al. (2006).
 624

625 Improper postharvest handling operations would subject horticultural products to excessive impact,
 626 compression or vibration forces, which could cause bruising to the products, thus lowering the quality grade
 627 and resulting in revenue loss. The bruised tissues are situated beneath the surface of the products with barely
 628 visible symptoms, which is referred to as subsurface bruising. Bruises can also appear deep inside the
 629 products, which is referred to as internal bruising. There has been continued interest in developing effective
 630 techniques such as hyperspectral imaging for subsurface bruising detection in fruits. Lu et al. (1999) first
 631 reported on bruise detection in apples by hyperspectral reflectance imaging. PCA transformation of the

632 hyperspectral images in the 700-900 nm wavelength range enabled identifying all the newly generated
633 bruises as well as most pre-existing ones (Lu et al., 1999). Later, Lu (2003) found that the spectral region
634 of 1000-1340 nm was also informative for bruise detection and achieved detection rates of 62-88% for
635 'Delicious' and 59-94% for 'Golden Delicious' apples between 0 and 47 days after bruising (Lu, 2003).
636 Studies were also reported on detection of bruises of at least 1-day old with hyperspectral imaging in the
637 400-1000 nm range for 'Jonagold' and 'Golden Delicious' apples (Xing and De Baerdemaeker, 2005; Xing
638 et al., 2005). An ideal detection system should be able to detect both new and old bruises. ElMasry et al.
639 (2008) investigated bruise detection for 'McIntosh' apples over a period of 1 h to 3 days after bruising,
640 using three wavelengths of 750, 820 and 960 nm selected based on VIP scores from PLS analysis, along
641 with adaptive thresholding. Recent studies demonstrated the feasibility of real-time bruise detection in
642 apples by hyperspectral imaging in the SWIR range (1000-2500 nm) at conveyor speeds up to 0.3 m/s and
643 with a prediction accuracy of 98% (Keresztes et al., 2016a). Hyperspectral imaging has also been
644 investigated for bruise detection in mushrooms (Gowen et al., 2008), potatoes (Lopez-Maestresalas et al.,
645 2016) and strawberries (Nagata et al., 2006). More studies on bruise detection by hyperspectral imaging are
646 listed in Table 1.

647 **Internal defects** are hidden inside biological tissues and are more difficult to detect than surface and
648 subsurface defects. This would require performing transmittance measurements for interrogating deeper
649 tissues. Cucumbers, after being subjected to excessive mechanical stress, can be internally bruised, leading
650 to carpel separation and even hollow center. Ariana and Lu (2008a) first applied hyperspectral transmittance
651 imaging for detecting internal mechanical injuries in pickling cucumbers (Ariana and Lu, 2008a). They
652 reported that the NIR wavelength region of 700-1000 nm had much higher transmittance and was thus more
653 effective for internal injury detection than the visible range of 450-700 nm. PLS-DA models achieved
654 classification accuracies of 90% and 99% based on mean spectra and 89% and 95% for the pixel-based
655 spectra for two varieties of cucumbers. In subsequent research, a laboratory online imaging system
656 integrating transmittance for the NIR range and reflectance for the visible range was developed and used
657 for simultaneous detection of both external quality and internal injury of cucumbers (Ariana and Lu, 2008b,

2008c and Figure 5). Hyperspectral transmittance and/or reflectance imaging has also been used for detecting other internal defects such as pits in cherries (Qin and Lu, 2005; Siedliska et al., 2017), blackheart in white radish (Song et al., 2016), and internal injuries in blueberries (Throop et al., 1993; Zhang et al., 2017).

4.2. Internal Quality and Maturity Assessment

Internal quality discussed here is referred to as texture, flavor and nutritional value, which cannot be readily detected through visual inspection. These quality features would normally require destructive physicochemical analysis (e.g., the Magness-Taylor test for firmness and the Brix refractometer for SSC measurement). Firmness is the primary textural attribute of horticultural products, and the sensory properties such as sweetness, sourness and bitterness, in conjunction with various volatile compounds, form the characteristic flavor. Nutrients include vitamins, minerals, fibers, antioxidants, etc. in the products (Golding and Wills, 2016). Evaluation of internal quality has been a key theme in non-destructive quality assessment of horticultural products.

Based on light scattering principles, an innovative hyperspectral scattering imaging technique was developed for assessing internal quality of fruits (Lu, 2007; Lu and Peng, 2006; Vanoli et al., 2020; Wang et al., 2020). This technique, which is based on the relation between light scattering and structured and textural properties of biological tissues, uses a highly focused light beam to generate scattering images as described in Section 2.2.1, so as to enhance assessment of fruit firmness. In using hyperspectral scattering for measuring firmness of peaches, Lu and Peng (2006) built firmness prediction models by applying step-wise MLR to the parameters of scattering profiles, achieving $R^2=0.77$ and 0.58 for ‘Red Haven’ and ‘Coral Star’s peaches, respectively. A detailed description of the analysis of hyperspectral scatter images and characterization of scattering profiles for fruit quality assessment is given in Lu et al. (2017). In a later study, Lu (2007) applied hyperspectral scattering imaging coupled with ANN models to assess firmness and SSC for apples, which resulted in firmness predictions of $R^2 = 0.76$ and 0.55 and better SSC predictions of $R^2 = 0.79$ and 0.64 for ‘Golden Delicious’ and ‘Delicious’ apples, respectively. It was hypothesized that

683 the relatively poor predictions for ‘Delicious’ might be attributed to the more irregular fruit shape, which
684 could have negatively affected the scattering measurements. Relatively poor predictions of SSC, compared
685 to point Vis/NIR spectroscopy, could be attributed to the lower signal to noise ratio and the fact that light
686 scattering technique tends to enhance structural features which would be more conducive to firmness
687 prediction than SSC prediction.

688 Apart from light scattering and spectral characteristics, image textural features, which carry information
689 on the structural or morphological properties of samples, can also be useful for quality evaluation. Mendoza
690 et al (2011) integrated hyperspectral scattering profile and image textural features for measuring firmness
691 and SSC of apples. This led to significantly improved predictions. An attempt to integrate hyperspectral
692 image data with those obtained by other sensing techniques such as NIRS also resulted in improved
693 prediction accuracies (Mendoza et al., 2012). However, the use of multiple sensing techniques for
694 postharvest quality evaluation may not be practically viable at present, because of the increased complexity
695 and instrumental costs. Mendoza et al. (2014) further applied hyperspectral scattering imaging to grade
696 apples for firmness and SSC. Two-grade grading accuracies of 78-98% for firmness and 62-92% for SSC
697 were attained at an image acquisition speed of 0.5 fruit/second. It should be noted that the grading was
698 performed through offline analysis.

699 In addition to hyperspectral scattering techniques, LCTF-based hyperspectral reflectance imaging in
700 the 450-650 nm range under wide-field illumination rather than focused point light, was used for measuring
701 firmness and SSC in strawberries (Nagata et al., 2004). Tallada et al. (2006) further tested an NIR
702 hyperspectral imaging system for firmness prediction of strawberries and identified three effective
703 wavelengths (685, 865 and 985 nm). ElMasry et al. (2007) also reported on the assessment of strawberry
704 internal quality. Using MLR for selected wavelengths, the authors achieved prediction accuracies of $R^2 =$
705 0.76, 0.64, and 0.85, and SEP = 5.8%, 0.21% and 0.09 for moisture content (MC), SSC and pH, respectively.
706 More applications of hyperspectral reflectance imaging for internal quality assessment for a diversity of
707 horticultural products are listed in Table 2. In addition, hyperspectral imaging in fluorescence (Noh and Lu,
708 2007) and integrated reflectance and transmittance imaging mode (Leiva-Valenzuela et al., 2014; Noh et

709 al., 2007) have also been utilized for assessing apple and blueberry quality. However, they often did not
 710 lead to improved accuracies compared to reflectance imaging (Leiva-Valenzuela et al., 2014). Despite the
 711 progress made so far, hyperspectral imaging has not yet been implemented for real-time fruit grading based
 712 on internal quality traits such as firmness and SSC, while point spectroscopy has been implemented for the
 713 latter.

714

715 **Table 2.** Applications of hyperspectral imaging for internal quality and maturity evaluation of horticultural products

Imaging mode	Application		Reference		
	Quality attribute	Product			
Reflectance	Maturity or shelf life	Apple	Menesatti et al. (2008); Peirs et al. (2003)		
		Banana	Rajkumar et al.(2012)		
		Blueberry	Yang et al. (2014)		
		Mango	Wendel et al. (2018)		
		Mushroom	Taghizadeh et al. (2010)		
		Peach	Lleó et al. (2011)		
		Pear	Khodabakhshian and Emadi. (2018)		
		Tomato	Polder et al. (2004)		
		Texture and flavor	Apple		Lu (2007); Mendoza at al. (2011, 2012, 2014); Ma et al. (2018); Peng and Lu (2008); Qin et al. (2009b)
				Blueberry	Leiva-Valenzuela et al. (2013)
Grape	Fernandes et al. (2011)				
Kiwifruit	Guo et al. (2015)				
Pear	Fan et al. (2015); Yu et al. (2018)				
Persimmon	Munera et al. (2017)				
Plum	Li et al. (2018)				

		Strawberry	ElMasry et al. (2007); Nagata et al. (2006)
Fluorescence	Texture and flavor	Apple	Noh and Lu (2007)
Raman	Maturity	Tomato	Qin et al. (2011b)
Reflectance and transmittance	Texture and flavor	Blueberry	Leiva-Valenzuela et al. (2014)

716

717 In determining internal quality attributes, it is not uncommon to average the spectra of pixels within an
718 ROI to reduce the hyperspectral datacube for a sample into a single spectrum, followed by multivariate
719 modeling and quality prediction. However, this approach does not take advantage of the capability of
720 hyperspectral imaging for mapping or visualizing the spatial heterogeneities within the produce.
721 Hyperspectral imaging can be used for generating a map of the quality attribute distribution of the sample
722 at the pixel level. Martinsen and Schaare (1998) were the first to utilize hyperspectral imaging for mapping
723 postharvest quality attributes. To measure the SSC distribution over the cut section of kiwifruit, they built
724 separate predictive models for the core and pericarp fruit tissues. Polder et al. (2004) reported on using
725 hyperspectral imaging for measuring the distribution of carotenes (including lycopene, lutein and β -
726 carotene) and chlorophylls in ripening tomatoes. Pixel-level predictive models were built by randomly
727 selecting 200 pixels per sample. It was noted that pixel-level modeling requires dense sampling points to
728 obtain ground-truth reference values and also lengthy model calibration processes. The lycopene
729 distribution in tomatoes was also mapped using hyperspectral Raman imaging (Qin et al., 2011b).
730 Rungpichayapichet et al. (2017) recently used a snapshot hyperspectral camera for mapping the quality
731 attributes of mangoes. The prediction maps for firmness, total soluble solids (TSS) and titratable acidity
732 (TA) obtained for mangoes at different ripening stages are illustrated in Figure 9. These clearly reveal
733 quality attribute changes during fruit ripening and their heterogeneity within individual fruits. However, it
734 should be noted that the values close to the edge of the fruit are very different from those in the center. This
735 might be attributed to effects of the fruit curvature on the acquired spectra. To verify this hypothesis, the

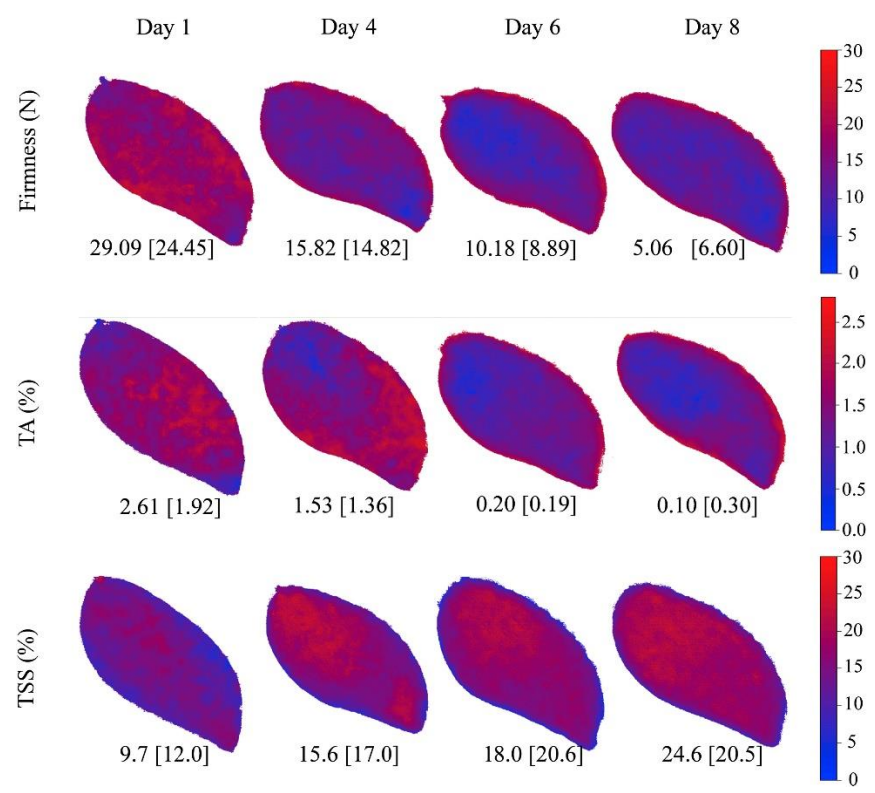
736 locally predicted values should be verified against ground truth values for densely sampled points, as
737 proposed by Polder et al. (2004).

738 Maturity assessment is important for determining harvest timing and to optimize postharvest options
739 and storage regimes. Multiple parameters are often used for maturity assessment, which include color,
740 firmness, starch pattern index, SSC, acid and ethylene (Reid, 2002). Polder et al. (2002) first reported on
741 using hyperspectral imaging for assessing maturity of tomatoes. Hyperspectral imaging in the visible range
742 of 396-736 nm was found to be superior to traditional color imaging in classifying tomatoes into five
743 ripeness stages based on LDA models. The maturation of apples is commonly characterized by the degree
744 of degradation of starch into sugars, which is routinely determined through starch-iodine tests. Peirs et al.
745 (2003) first applied hyperspectral imaging as an alternative to the standard starch-iodine test to determine
746 the starch index of apples. The PC-1 score images extracted from the hyperspectral images revealed a
747 pattern of starch breakdown during fruit maturation, which correlated well to that obtained with the
748 conventional iodine test. Menesatti et al. (2009) extended the starch index evaluation by applying
749 supervised pixel-based classification on hyperspectral images. The color images of iodine test results for
750 apples of various maturity stages were segmented into two regions (i.e. starch and starch-free) by a *k*-NN
751 model, serving as ground-truth starch index levels. Afterwards, PLS-DA models were built to classify each
752 pixel into starch-free and starch classes. Classification accuracies of 81% and 66% were obtained by two
753 types of PLS-DA models that were built for each fruit sample and all samples together, respectively. It
754 should be noted that both studies on starch index evaluation required destructive sampling. Lleó et al. (2011)
755 proposed optical indices for rapid assessment of peach maturity, which were derived from the reflectance
756 ratios at three selected wavelengths (640, 680 and 730 nm) around the chlorophyll absorption peak (Lleó
757 et al., 2011). The index $[R680/(R640+R730)]$, which was focused on the shape of the chlorophyll absorption,
758 was found to be the most discriminative between ripening and non-ripening stages.

759 All the above studies on maturity assessment were aimed towards postharvest quality inspection. In-
760 field or pre-harvest maturity assessment would be beneficial for yield estimation and deciding on the harvest
761 date. Yang et al. (2014) reported on in-field maturity assessment of blueberries by hyperspectral imaging

762 under natural lighting conditions. In classifying three maturity stages of fruit plus background, classification
 763 accuracies of 88% and higher were achieved using different pattern classifiers coupled with wavelength
 764 selection. More recently, Wendel et al. (2018) were the first to report on orchard-scale maturity mapping
 765 of mangoes based on predicting dry matter (DM) content using a mobile hyperspectral imaging platform.
 766 Ground-truth DM values were measured with a hand-held NIR spectrometer. For hyperspectral imaging,
 767 fruit pixels were first segmented based on pixel-level classifications, after which multivariate models were
 768 built for DM prediction for each pixel, which resulted in the best cross-validation accuracies of $R^2 = 0.64$
 769 and $RMSE = 1.08\%$ w/w for fruit on trees. Further, the predicted DM values were projected to a world
 770 coordinate system, leading to maturity mapping on an orchard scale.

771



772

773 Figure 9. Prediction maps showing the distribution of firmness, titratable acidity (TA) and total soluble solids (TSS)
 774 for 12 mango samples during ripening with measured and predicted (in brackets) quality attribute values.
 775 Reproduced with permission from Rungpichayapichet et al. (2017).

776

777 **4.3. Food Safety Inspection**

778 The food safety factors discussed here include fecal contamination, defects caused by microbial (bacterial
779 and fungal) infection, insect or pest infestation, and pesticide residues. These defects can be collectively
780 seen as biological contamination. These concerns, which are closely related to food-borne diseases,
781 represent more severe quality issues than those described above and are normally inspected against more
782 stringent standards. Fresh produce should be free from these safety issues, and any bulk lot containing those
783 unsafe products is likely to be inspected against a stricter tolerance (e.g., 1% ppm level or even zero
784 tolerance) to meet the grading requirements. Hence, there is a strong incentive to develop potent
785 nondestructive technologies such as hyperspectral imaging for food safety inspection.

786 Researchers with the USDA/ARS at Beltsville, Maryland did extensive research on using hyperspectral
787 fluorescence imaging for detection of fecal contamination on fresh produce (Kim, 2015; Kim et al., 2001a;
788 Kim et al., 2001b; Kim et al., 2004; Kim et al., 2002). Fecal matters from cattle, swine, deer and other
789 animals are the common sources of fecal contamination. They emit fluorescence upon excitation with UV
790 radiation, making fluorescence imaging a suitable modality for fecal contamination detection. In detecting
791 fecal contamination on apple surfaces, Kim et al. (2002) used PCA to identify four effective wavebands
792 around 450, 530, 685 and 735 nm, which corresponded to four fluorescence emission peaks in the blue,
793 green, red and far-red regions, respectively. These wavebands can be used for rapid multispectral imaging
794 (Kim et al., 2005; Lefcourt et al., 2003) and also enable image fusion or ratio analysis for enhanced detection.
795 The waveband ratio images, in particular, greatly reduce the variation due to the fruit surface colorations,
796 while accentuating image contrast, facilitating segregation of the contaminated spots based on simple
797 thresholding (Kim et al., 2004; Kim et al., 2005). Using single-band images and two-band ratio images,
798 Lefcourt et al. (2003) reported that the 1:2 and 1:20 dilutions of animal feces, which were artificially applied
799 on the surfaces of apples, were detected with accuracies of nearly 100%, and that the detection accuracy
800 for 1:200 dilutions diminished, but still exceeded 80%. Other methods that enhanced the detection of fecal
801 contamination on apples include two-band differences and universal power transformation (Lefcourt and
802 Kim, 2006). Reflectance imaging has also been used for fecal contamination detection, but it was much less

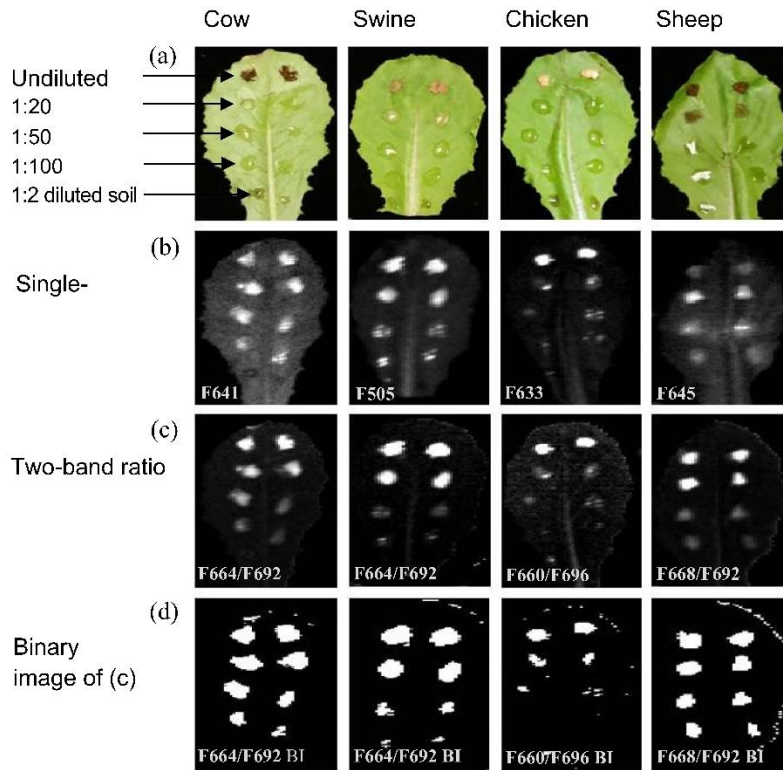
803 sensitive than fluorescence imaging for detecting highly diluted (e.g., 1:200) or thin fecal smear spots
 804 (Lefcourt et al., 2006; Liu et al., 2007). Table 3 summarizes some important works on fecal contamination
 805 detection on horticultural products.

806 Table 3. Applications of hyperspectral imaging for food safety detection of horticultural products

Imaging mode	Application		Reference
	Safety attribute	Product	
Reflectance	Fecal contamination	Apple	Kim et al. (2001a)
		Apple	Pieczywek et al. (2018)
		Citrus	Gómez-Sanchis et al. (2008a, 2008b, 2013, 2014); Li et al. (2016)
	Insect infestation	Spinach	Siripatrawan et al. (2011)
		Apple	Rady et al. (2017)
		Jujube	Wang et al (2011)
		Mango	Haff et al. (2013); Saranwong et al. (2011)
Hazelnut	Moscetti et al. (2015)		
Fluorescence	Fecal contamination	Apple	Kim et al. (2002, 2005, 2007, 2008); Lefcourt et al. (2003, 2006a); Yang et al. (2011)
		Cantaloupe	Vargas et al. (2005)
		Lettuce	Cho et al. (2018); Mo et al. (2017a)
		Spinach	Everard et al. (2014, 2016); Lefcourt and Siemens (2017); Lefcourt et al. (2019)
	Insect infestation	Lettuce	Mo et al. (2017b)
Transmittance	Insect infestation	Cherry	Xing et al. (2008)
Reflectance and fluorescence	Fecal contamination (or and surface defects)	Apple	Kim et al. (2001b, 2007, 2008); Lefcourt et al. (2006b)

807

808 Recent efforts have been devoted to detecting fecal contamination on leafy greens using hyperspectral
809 fluorescence imaging (Cho et al., 2018; Everard et al., 2016; Everard et al., 2014; Kang et al., 2011).
810 Compared to fruits like apple, leafy vegetables generally have more strong fluorescence emissions due to
811 high chlorophyll concentrations, and the emissions are in proximity to those due to fecal matters in the red
812 and far-red regions, which may require higher spectral-resolution imaging for effective detection of fecal
813 contamination. Fecal matters exhibit slight blue shifts for the emission peak in the red region, compared to
814 the leafy greens (Everard et al., 2014; Kang et al., 2011). In detecting cow feces on spinach, Everard et al.
815 (2014) compared two different excitation light sources, i.e., UV-A and violet light at 405 nm. They reported
816 that the latter performed better in detecting a range of varied dilutions of fecal contamination and that they
817 both were superior to reflectance imaging. The authors also noted that the yellow hue or discolorations of
818 leaves could cause false positives. It was hence suggested to image leaves before the onset of leaf
819 deterioration (Everard et al., 2016). Cho et al. (2018) reported on the detection of four species of varied
820 dilutions of animal feces on romaine lettuce (Cho et al., 2018), as illustrated in Figure 10. It was shown that
821 species-specific detection after illumination with violet LED excitation light required different filters
822 corresponding to the fluorescence emission wavelengths. The most effective two-band ratio for all species
823 of feces was found to be 664 ± 4 nm/ 694 ± 2 nm.



824

825 Figure 10. Detection of fecal spots from four animals (i.e., cattle, pig, chicken, and sheep) on green romaine lettuce
 826 leaves. (a) Color photos showing application locations of undiluted feces, 1:20, 1:50, and 1:100 fecal dilutions, and
 827 1:20 dilution of soil; (b) Single waveband images for species-specific fecal detection; (c) Two-band ratio images for
 828 species-specific fecal detection; (d) Binary detection images from thresholding of the images in (c). Reproduced
 829 with permission from Cho et al. (2018).

830

831 Safety inspection for pathogenic microorganisms (or diseases) and insects is another important area of
 832 application for hyperspectral imaging. Fresh produce attacked by microorganisms will rot or decay, which
 833 may further contaminate the sound produce, resulting in substantial economic loss. Hyperspectral imaging
 834 has been used for the detection of microorganism-induced rottenness or pathogenic contaminations,
 835 discriminating infected from normal areas of food products or sorting the infected or rotten items (Gómez-
 836 Sanchis et al., 2008a; Gómez-Sanchis et al., 2014; Li et al., 2016; Siripatrawan et al., 2011; Zhang et al.,
 837 2015b). Gómez-Sanchis et al. (2008a) first reported on the detection of early rottenness in citrus fruits. Fruit
 838 decay caused by *Penicillium sp.* infection traditionally requires manual sorting under UV illumination that
 839 is harmful to operators. To avoid the use of UV lighting, they developed an LCTF-based hyperspectral
 840 imaging system with a classification accuracy of 91% by regression tree based classification. Siripatrawan

841 et al. (2011) reported on the detection of *Escherichia coli* infection in fresh spinach and obtained prediction
842 maps for the pathogen concentration, which allowed rapid and convenient data interpretations.

843 Detection of pest infestation in food products often requires performing quarantine treatments such as
844 vapor heating or irradiation, which negatively affects food quality and consumer acceptance. Saranwong et
845 al. (2011) reported on using hyperspectral imaging to detect fruit flies in mangoes, at the surface of which
846 16 small pores in a 4×4 grid pattern were created to facilitate infestation. Pixel-based Bayesian classification
847 for three selected wavelengths yielded the best detection result 48 h after infestation. Further efforts were
848 made to automatically identify infested regions and extend the classifications to the fruits without surface
849 pores. i.e., no a priori knowledge of infestation locations (Haff et al., 2013). Lu and Ariana (2013) reported
850 on detecting fruit fly infestation in pickling cucumbers using hyperspectral reflectance and transmittance
851 imaging. Three imaging modes, i.e., reflectance (450-740 nm), transmittance (740-1000 nm) and their
852 combination were compared for differentiating infested from normal cucumbers by PLS-DA. It was found
853 that the transmittance imaging mode achieved the best overall accuracies of 88%-93% and that the
854 combination mode did not produce better accuracy than transmittance. Moscetti et al. (2015) also
855 considered insect infestation when defining the quality classes of hazelnuts to be predicted from
856 hyperspectral images acquired in reflectance mode in the SWIR (1000-2500 nm) range. It should be noted
857 that these infestations might be detect the changes in the optical properties of the fruit flesh rather than
858 detecting the pest itself.

859 Recently, Mo et al. (2017b) developed a line-scanning hyperspectral imaging system for online
860 detection of slugs and worms on fresh-cut lettuce. This system allowed to detect the two kinds of pests on
861 both adaxial (upper) and abaxial (lower) surfaces of lettuce. Using image differences and ratios at selected
862 effective wavelengths resulted in high detection rates of 98% and 99% for slugs and worms, respectively.
863 However, the actual real-time detection speed was not mentioned in the study. More applications of
864 hyperspectral imaging for food safety detection are listed in Table 3.

865

866 **5. Challenges and Future Research Needs**

867 Over the past 20 years, much progress has been made in the hardware and software for hyperspectral
868 imaging, and the technology has demonstrated great capabilities in postharvest quality and safety
869 assessment. However, critical challenges still exist and have to be addressed in future work. These
870 challenges primarily stem from the need to develop an efficient, reliable, fast and cost-effective modality
871 for both research purposes and practical or industrial applications.

872 **5.1. Data Interpretation and Modeling**

873 Large volumes of spectral-spatial data that may contain irrelevant and noisy signals, pose a great challenge
874 in data handling and the extraction of meaningful information. Compared to spectroscopic and image data,
875 hyperspectral image data require far more dedicated efforts on data analysis, as described in Section 3, for
876 developing effective and reliable models. These models are typically built based on limited data acquired
877 under well-controlled laboratory conditions, and they may not be applicable to new samples acquired in
878 real-world situations. Hence, extensive calibration and validation based on a diversity of samples and under
879 industry relevant conditions is needed to ensure the models are robust for practical use. An effective and
880 reliable model requires a deep understanding of light-tissue interactions and the relations of spectral-spatial
881 features with quality attributes to be inspected. Few reports provided in-depth analysis and discussion of
882 the acquired data in the development of calibration models. This may result in poor generalization of the
883 models to different application conditions. Moreover, while calibration transfer is well described for point
884 spectroscopy systems, little research has been reported on the transferability of calibration models
885 developed on a specific hyperspectral imaging system to other hyperspectral imaging systems which may
886 have different imaging and/or lighting configurations. Therefore, future research is needed on the light-
887 tissue interaction involved in hyperspectral imaging, and on the development of more effective data-mining
888 and calibration transfer methods to fully exploit the abundant spectral-spatial information provided by the
889 technology. In this context, it would be interesting to investigate the possibility to build self-learning

890 correction models to overcome sample differences (varieties, harvest years, etc.) and instrumentation
891 differences (i.e., different optical systems, light sources, imaging modes, etc.).

892 Hyperspectral imaging provides a unique capability and great opportunities for mapping the quality
893 attributes or chemical composition of horticultural products in the 2-D or 3-D spatial domain. However,
894 constructing an accurate quality or chemical composition map is not a simple task, because the models for
895 quality prediction are typically developed using a single spectrum aggregated (e.g., by averaging) from all
896 pixel spectra within an ROI, which may not have adequately accounted for the physical and/or physiological
897 factors that influence the model performance. Hence, these models should be rigorously tested and validated
898 before being used for characterizing the spatial heterogeneity within a sample. Although one may alleviate
899 the issue by building different models, each of which is used to predict a small local area of the sample
900 (Martinsen and Schaare, 1998; Polder et al., 2004), this approach is time consuming and also limited by
901 actual sampling density in measuring ground-truth values. It should be noted that most published studies
902 did not perform pixel-level accuracy validation for the mapping results, mainly due to the difficulty of
903 obtaining pixel-level ground-truth values. Hence, future research is needed on new modeling methods and
904 validation strategies for more accurate mapping of quality attributes or chemical composition.

905 **5.2. Real-time, Online Applications**

906 Besides equipment cost, speed is presumably the most critical factor in commercial adoption of
907 hyperspectral imaging technology for real-time inspection of horticultural commodities. The inspection
908 speed by hyperspectral imaging is constrained by the time needed to acquire, transfer and analyze large
909 volumes of image data (at tens to hundreds of wavelengths), each of which could be the limiting factor for
910 achieving a practical inspection rate (e.g., 5-10 or more food items per second). While the recent
911 advancements in hardware and software have greatly improved the implementation speed of hyperspectral
912 imaging, compared to 20 years ago, there are still relatively few real-time implementations of hyperspectral
913 imaging for postharvest quality inspection. One technically feasible solution is to configure a line-scanning
914 hyperspectral imaging system in a multispectral mode (or hyperspectral-multispectral mode). This approach
915 is advantageous for online applications, in terms of speed and flexibility, compared to the conventional

916 multispectral imaging approach, and hence it should be further considered for online inspection of
917 horticultural products. Real-time inspection rates of about 3 items per second have been reported by
918 implementing line-scan hyper-multispectral imaging for poultry carcass inspection (Park and Yoon, 2015;
919 Yoon et al., 2011), but the speed still falls short of the requirement for inspecting horticultural commodities.
920 Moreover, defect detection for round-shaped horticultural commodities such as apples requires inspecting
921 the whole product surface. This requires fast rotating of products during image acquisition, as is already
922 done in conventional machine vision systems. The implementation of fruit rotation for hyperspectral line-
923 scanning is challenging, but technically possible. High-speed, high performance imaging cameras currently
924 are available to meet the need of scanning rotating horticultural products, although costs are still high.

925 Integrated imaging modes (i.e., reflectance and transmittance, and reflectance and fluorescence) expand
926 the capabilities of hyperspectral imaging for multi-parameter inspection (Kim et al., 2008). Coupled with
927 the hyperspectral-multispectral mode, these integrated imaging modes could provide new opportunities for
928 online inspection of postharvest quality and safety of horticultural products. As discussed in Section 2.4.2,
929 snapshot hyperspectral imaging holds great promise for real-time postharvest quality and safety inspection,
930 owing to its ability of acquiring hyperspectral image cubes simultaneously. The technology is evolving
931 rapidly, and some devices are already commercially available and have started to find applications in the
932 fields of remote sensing and biomedical imaging. At present, most snapshot cameras on the market are
933 based on CMOS sensors. It remains to be evaluated whether these cameras are able to deliver sufficiently
934 high-quality images at the wavelengths of interest for real-time applications.

935 In addition, miniaturized, handheld hyperspectral imaging devices, either snapshot or internal line-
936 scanning based, have emerged recently (Behmann et al., 2018; Wu et al., 2014). These devices provide
937 convenience and new opportunities for fast, on-site inspection, but their performance remains to be
938 evaluated.

939 In recent years, fast computing technologies based on parallel computing have evolved rapidly, which
940 provides a means for accelerating hyperspectral-related computations (Burger and Gowen, 2011; Plaza and
941 Plaza, 2011). Parallel computing, which allows simultaneous use of computer resources [e.g., multiple

942 central processing units (CPUs) in a computer], can execute multiple tasks simultaneously and is thus well
943 suited for multi-tasking food quality and safety inspection by hyperspectral imaging. In particular, parallel
944 computing, using field programmable gate arrays (FPGAs) and graphical processing units (GPUs), has
945 demonstrated excellent performance in hyperspectral remote sensing applications (Bernabe et al., 2013;
946 Ghamisi et al., 2017) and is currently used in commercial postharvest sorting systems based on
947 hyperspectral imaging. Owing to the advancements in computing technologies, especially the utilization of
948 GPUs along with deep learning frameworks (e.g., TensorFlow, PyTorch, Caffe and Theano), deep neural
949 networks (DNNs) that are inherently computationally intensive, are becoming increasingly popular in
950 solving computer vision tasks with superior accuracies. Efficient deployment of DNNs based on GPU
951 accelerations (Sze et al., 2017) is likely to greatly enhance the capacity of hyperspectral imaging for
952 detecting different types of defects in horticultural products, which, however, require a sufficiently large
953 image dataset to train the networks. Hence, future research efforts should also be directed at efficient
954 utilization of DNNs for rapid and effective defect detection in horticultural products.

955

956 **6. Conclusions**

957 Since its introduction for postharvest quality and safety assessment in the late 1990s, hyperspectral imaging
958 technology has been extensively researched for external quality and defect detection, internal quality and
959 maturity assessment, and food safety inspection. Great progress in the hardware design and implementation
960 and image processing algorithms and methodologies has been made over the past 20 years, and several
961 online hyperspectral imaging prototypes have been developed for horticultural products. However,
962 commercial application of the technology has been slow in progress, due to the constraints in image
963 acquisition and processing speed and equipment cost. With the further advancement in imaging
964 implementation modes and the emergence of new imaging modalities (i.e., snapshot and miniaturized,
965 portable devices), along with new, faster and more powerful image processing and computing techniques
966 including artificial intelligence, hyperspectral imaging technology will find more wide applications in the

967 near future for enhanced quality and safety evaluation of horticultural products, especially at the industry
968 scale.

969

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