

1 **Runoff, soil loss, and sources of particulate organic carbon**
2 **delivered to streams by sugarcane and riparian areas: an isotopic**
3 **approach**

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5 Taciana F. Gomes¹, Marijn Van de Broek^{2,4}, Gerard Govers², Robson W.C. Silva¹,

6 Jorge M. Moraes³; Plínio Camargo¹; Edmar A. Mazzi¹, Luiz A. Martinelli¹

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8 ¹Isotopic Ecology Laboratory, Centro de Energia Nuclear na Agricultura, CENA/USP, Piracicaba, SP,

9 Brazil. tgomes@cena.usp.br

10 ² Division of Geography and Tourism, Department of Earth and Environmental Sciences, KU Leuven,

11 Belgium.

12 ³Escola de Engenharia de Piracicaba, Piracicaba, SP, Brazil.

13 ⁴Department of Environmental Systems Science, Swiss Federal Institute of Technology, ETH Zürich,

14 Zürich, Switzerland

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17 **Abstract.** Soil erosion leads to land degradation and translocation of soil particles
18 together with associated particulate organic carbon (POC) and nutrients, thereby
19 influencing the global carbon cycle. In the present study, we estimated the contribution
20 of POC delivered to a first-order stream from upslope sugarcane fields and a riparian
21 forest in southeast Brazil. The results show that the amount of surface runoff and soil
22 erosion generated in the riparian forest is significantly lower than in the upslope
23 sugarcane field. However, the contribution of the forest to the total stream bed POC was
24 above 70%, even though most sediments delivered to the stream originated from the
25 upland sugarcane fields. The discrepancy between sediment and POC delivery from

26 both land uses is a consequence of the presence of preferential runoff pathways from the
27 agricultural fields, through the buffer strips, to the stream. This disconnection between
28 the main sources of sediment and POC to the first-order stream is a potentially
29 important mechanism influencing the transfer of POC from upslope areas to waterways.
30 This mechanism should be considered in order to more reliably assess fluxes of OC
31 from upslope areas to first-order streams in landscapes where arable land is separated
32 from streams by a semi-natural buffer zone with permanent vegetation.

33 **Keywords:** soil erosion, lateral fluxes of particulate organic carbon, sugarcane, riparian
34 forest, preferential runoff pathways

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36

37 **1 Introduction**

38 Soil erosion is both a fundamental phenomenon that governs biogeochemical
39 cycling of essential elements in the Earth system (Berhe et al., 2018; Wang et al., 2017),
40 and one of the main causes of land degradation, causing losses of ecosystem services
41 such as food production (Brady and Weil, 2013; Pimentel, 2006; Pimentel et al., 1995).
42 Moreover, due to expected changes in the Earth's climate, an increase in the total rate of
43 soil erosion is expected (Berc et al., 2003; Yang et al., 2003).

44 Previous research has shown that soil erosion not only leads to soil degradation
45 but also significantly impacts fresh water quality (Filoso et al., 2015). Carbon and
46 nutrients fixed by land plants or added as inputs to crops are laterally displaced from
47 upland soils to inland waters (Cole et al., 2007; Quinton et al., 2010; Regnier et al.,
48 2013; Van Oost et al., 2007; Wang et al., 2017). However, the impact of soil erosion on
49 water quality and carbon cycling is at present not fully understood, especially in tropical

50 areas (Berhe et al., 2018; Cole et al., 2007; Doetterl et al., 2016; Stallard, 1998; Van
51 Oost et al., 2007).

52 Watersheds are mostly made up of sloping landscapes (Berhe et al., 2008),
53 where the conversion of forest to arable land inevitably causes an increase in soil loss
54 (Oliveira et al., 2015). This is also occurring in Brazil, where sugarcane fields cover an
55 area of approximately 10 million ha, mostly in southeast Brazil (Filoso et al., 2015).
56 Studies have demonstrated that soils cultivated with sugarcane undergo significant
57 changes in terms of physical characteristics (Sant'anna et al., 2009; Silva et al., 2007).
58 In general, increasing soil erosion is a consequence of soil compaction linked to the use
59 of heavy machinery and the subsequent decrease in infiltration capacity, which
60 facilitates surface runoff and soil erosion (Filoso et al., 2015; Silva et al., 2009; Teixeira
61 et al., 2012).

62 One measure to counteract the detrimental effects of soil erosion downslope of
63 the areas of sediment production is the maintenance of natural or planted riparian forests
64 acting as buffer strips along rivers and streams. It is expected that these vegetation strips
65 protect against stream bank erosion (Martin and Church, 2000; McKergow et al., 2003;
66 Zaines et al., 2008; Zaines and Schultz, 2015) and protect water bodies against
67 pollution, by trapping a large fraction of the soil particles and runoff originating from
68 arable land (Bussi et al., 2016; Dosskey et al., 2010; Zhang et al., 2010). However,
69 recent studies have shown that trapping under field conditions is often considerably
70 lower than anticipated from laboratory or experimental plots results due the formation
71 of preferential pathways within the buffer strips that may reduce their buffering capacity
72 (Knight et al., 2010; Salemi et al., 2016; Stehle et al., 2016; Wallace et al., 2018).

73 In this paper the surface runoff and associated lateral fluxes of soil organic
74 carbon from arable land were measured to: (1) quantify the lateral flux of soil organic

75 carbon from a sugarcane field passing through a riparian forest buffer into a first-order
76 stream; (2) to track the origin of the soil organic carbon in the stream by means of the
77 use of stable carbon isotopic composition ($\delta^{13}\text{C}$); and (3) evaluate the effect of a riparian
78 forest buffer on the transfer of soil organic carbon from a sugarcane field to a first-order
79 stream.

80

81 **2 Material and Methods**

82

83 **2.1 Study area**

84 Field measurements were taken in a 6.5-ha first-order sub-catchment of the
85 Barroção catchment, located in the Corumbatai River basin, State of São Paulo,
86 (22°36'S, 47°40'W), Southeast region of Brazil (Figure 1). The Barroção catchment has
87 an average elevation of 510 m above sea level and a hilly topography with an average
88 slope gradient of 15% (Figure 1 - Supplemental Material).

89 According to the Köppen classification, the climate is subtropical (Cwa), with
90 a distinct dry season from April to September and a wet season from October to March.
91 Mean annual precipitation between 1970 and 2015 was approximately 1320 mm
92 [<http://www.leb.esalq.usp.br/leb/anos.html>] and the mean annual temperature for the same
93 period was 23°C. The total precipitation during the one-year study period was 1530 mm.

94 Soils in the catchment were classified as Ultisols (Soil Survey Staff, 2014),
95 equivalent to Argissolo Vermelho-Amarelo in the Brazilian Soil Classification
96 (EMBRAPA, 2013; Oliveira, 1999). The riparian forest, which is second-growth forest
97 dating back 60 years, covers about 60% of the total studied catchment area. The forest is
98 composed of more than 20 different tree species and is at least 30 meters wide on both
99 sides of the stream, in compliance with the Brazilian environmental law (Forest Code,

100 law n° 12.651 of 25 of May of 2012). Visual observations clearly showed the presence
101 of intense soil erosion on the sugarcane fields upslope of the riparian forest. A large
102 fraction of the water and sediment leaving the sugarcane fields is concentrated in
103 preferential runoff pathways that start in the sugarcane areas, pass through the riparian
104 forest, and flow straight to the small perennial stream that is draining the catchment
105 (Figure 2 - Supplemental Material).

106 It is important to describe in detail the sugarcane phenological cycle because
107 each phase provides a distinct soil cover of stalks and leaves. Sugarcane has four growth
108 stages, which in total last 12-18 months (Figure 3 - Supplemental Material). These
109 growth stages are characterized by different groundcover: 1) 10% groundcover -
110 establishment (germination or re-growth emergence); 2) 10% to 70% groundcover -
111 tilling (canopy establishment); 3) 70% to 80% groundcover - culm formation and 4) >
112 80% plant cover - ripening (plant senescence) (Ellis and Lankford, 1990).

113 Field measurements were taken in the eastern and the western slopes of the
114 watershed, hereafter called slope A and slope B, respectively, which have been
115 continuously cropped with sugarcane since the 1950s (Figure 1 and Figure 1 -
116 Supplemental Material). Sugarcane plants are replanted every 5 – 6 years, as yields
117 annually decline by about 10% (Cabral et al., 2012). The data reported for slope A
118 (CTC15 cultivar) refer to sugarcane plant cultivars planted in February 2014, with the
119 first harvest in June 2015. Therefore, from June to the end of this study, sugarcane in
120 slope A was the first ratoon (Figure 4 - Supplemental Material). On slope B, sugarcane
121 (RB867515 cultivar) was planted in March 2012, the first harvest was 18 months later,
122 while the first ratoon was harvested in October 2015. Therefore, the data reported here
123 for slope B refer to the second ratoon for the whole study period (Figure 4 -
124 Supplemental Material). The sugarcane cultivars used in our catchment cover more than

125 35% of the total sugarcane area in Brazil due to their rapid growth, high productivity,
126 tall upright growth characteristic, high-density of culm, good sprouting from stump, and
127 great drought tolerance (Chapola et al., 2016).

128 Traditionally, until 2012, sugarcane leaves were burned to facilitate harvesting.
129 However, this practice was banned in the State of São Paulo; from 2012 onwards,
130 pruned leaves were left on the ground and used to fuel biomass boilers to provide
131 energy. Due to the steep slopes of the Barroco catchment (Figure 1 – Supplemental
132 Material), sugarcane harvesting has always been done manually. In contrast, soil tillage
133 and sugarcane transport have always been done mechanically. Soil management and
134 fertilizer application has changed little over time. Annually, about 350 kg ha⁻¹ of mineral
135 fertilizer (NPK) is applied to slopes A and B, and a subsequent application of 2 Mg ha⁻¹
136 of Ajifer®, an organic nitrogen compound containing 7.5% nitrogen, 7% sulfur and
137 10% organic carbon, is applied only to slope A, because each slope is managed by
138 different owners with different fertilizing decisions.

139

140 **2.2 Infield measurements**

141 Soil saturated hydraulic conductivity (K_{sat}) measurements were done on 25
142 randomly selected points, comprising 9 from riparian forest, 8 from sugarcane slope A
143 and another 8 from sugarcane slope B, using a compact constant head permeameter
144 (Amoozegar, 1992). These measurements were conducted at 0.15, 0.30, 0.50 and 0.90
145 m soil depth. For each depth point, K_{sat} rate was measured in triplicate.

146

147 **2.3 Sampling**

148 Soil samples were collected in triplicate with an Edelman auger in the top,
149 middle and footslopes of the sugarcane slopes and in the riparian forest. Soil samples
150 were collected from the following depths: 0.05, 0.15, 0.30, and 0.50 m.

151 From November 2014 to November 2015, the amount and intensity of rainfall
152 as well as surface runoff and lateral soil fluxes were measured in sugarcane fields and
153 riparian forest. The amount and intensity of rainfall were measured at 10-min intervals
154 with a tipping-bucket rain gauge with a resolution of 0.254 mm (RainLog, RainWise
155 Inc.). The gauge was installed in a cleared area at about 500 m from the stream (Figure
156 1). After each field campaign, the rain gauge was cleaned to prevent clogging by debris.
157 To classify rainfall events as an intensive event, therefore erosive event, at least 15 mm
158 of rainfall must have been recorded in one hour or at least 20 mm of rainfall must have
159 been recorded in four hours (Cruciani et al., 1998).

160 Surface runoff generation and lateral soil fluxes were measured in erosion
161 plots. These had a pentagonal shape to direct runoff to a 20-L plastic reservoir at the end
162 of the plots. The total area of each plot was 1.8 m². Triplicate plots were installed 10 m
163 from each other in the middle of the slope in both cropped fields (slopes A and B) and
164 in the riparian forest. The distance from the triplicate plots on slopes A and B to the
165 triplicate plots of the riparian forest was approximately 70 meters. The erosion plots
166 used are small (1.8 m²) for erosion evaluation (Bagarello et al., 2018). The reason for
167 using these plots was that this was the size accepted by the farmer to prevent a
168 significant loss of sugarcane cropped area. The main caveat in using such small plots is
169 that lateral flux of soil particle by temporary gully formation is neglected, and the type
170 of lateral soil flux measured in these plots was by soil detachment caused by raindrop
171 impact and the interrill erosion. Therefore, soil loss can be underestimated in our study,

172 making extrapolation to other sugarcane areas difficult. On the other hand, as the same
173 plot area was adopted in the whole study area, intercomparisons between slopes A and
174 B, and riparian forest are still valid.

175 Surface runoff generation, expressed in millimeters, was calculated as:

176
$$SR = \left(\frac{V}{A}\right) 1000 \dots\dots\dots (1)$$

177 where: SR = surface runoff generation (mm), V = volume of water collected in
178 the reservoir (m³) and A = the area of the erosion plot (m²).

179 The water in the 20-L reservoir was collected after intensive rainfall events
180 (Cruciani et al., 1998). From November 3, 2014 until November 5, 2015, samples were
181 collected after 25 rainfall events (Figure 5 - Supplemental Material).

182 Soil lateral flux was calculated by passing the water collected in the 20-L
183 reservoir through a 63-µm sieve to separate coarse and fine solids. The concentration of
184 coarse solids (expressed as g m⁻²) was obtained after drying the material that was
185 retained in the sieve at 60°C until the mass remained constant and by dividing by the
186 plot area (1.8 m²). The concentration of fine solids (expressed as g m⁻²) was obtained by
187 filtering the water volume that passed through the 63-µm sieve using pre-weighed and
188 calcinated quartz filters of 0.7 µm. After filtration, the filters were weighed after being
189 dried at 60°C until a constant weight was obtained. The concentration of fine solids was
190 subsequently calculated by subtracting the filter weight from the total weight (filter +
191 soil material) and dividing the solid mass by the plot area (1.8 m²).

192 To estimate the amount of deposited sediments, a bathymetry survey was
193 carried out every four months (Nov/2014, Mar/2015, Jul/2015, Nov, 2015) during the
194 sampling period by measuring the height of the bed sediment column. This was done in
195 18 transects across the stream channel, distant one meter from each other, located
196 upstream from a weir installed in the final portion of the catchment (Figure 1 –

197 Supplemental Material). In each transect three measurements were taken, one in the
198 center, one in the left and one in the right banks of the the stream channel. Besides,
199 stream surface bed sediments were also collected in four random selected points
200 upstream from the weir (Figure 1 – Supplemental Material). The sediments were also
201 collected in triplicate (margins and center of the channel), five times (Nov/2014,
202 Feb/2015, May/2015, Aug/2015, Nov, 2015) evenly distributed throughout the duration
203 of the study, yielding a total of 60 stream bed sediment samples. We tried to collect
204 suspended solids during fast rising water events. For this purpose, single-stage samplers
205 (model US-S-59 -United States Government, 1961) were installed in the stream channel
206 at the catchment outlet (Figure 1 – Supplemental Material) where a weir was installed
207 (Andrade, 2013). On several occasions the sampler was buried by the high load of
208 sediments entering the stream. Consequently, only four samples of suspended solids
209 were collected, where only the fine fraction was present and obtained using the same
210 procedure as described above for eroded soil particles. For statistical purposes, nine
211 additional samples from a previous study conducted in the same catchment, and
212 collected with the same methodology of this study, were included in our analysis
213 (Andrade, 2013).

214 To quantify the contribution of sugarcane fields and the riparian forest to POC in
215 the stream sediments, we used the MixSIAR model (Moore and Semmens (2008)), a
216 Bayesian mixing model that uses the stable carbon isotopic signatures of the POC
217 sources to estimate their relative contribution. We ran the MixSIAR model using the
218 stable carbon isotopic composition, assuming as sources of particles to streams the
219 lateral soil flux from the erosion experimental sugarcane plots installed on slopes A and
220 B, and the lateral soil flux from the erosion experimental riparian forest plots. We also

221 assumed that there was no isotopic fractionation taking place between the eroded
222 material and stream particles.

223 The stable carbon isotopic composition of the bulk lateral soil flux used in the
224 MixSIAR was estimated by the weight average of the carbon stable isotopic
225 composition of the fine and coarse fractions according to the following equation:

226
$$\delta = \frac{[X].\delta_{coarse} + [Y].\delta_{fine}}{[X+Y]} \dots\dots\dots (2)$$

227 Where, δ is the $\delta^{13}\text{C}$ weight average for the bulk lateral soil flux sample; [X]
228 and [Y] are the absolute masses of coarse and fine fractions, respectively; and δ_{coarse} and
229 δ_{fine} is stable carbon isotope composition of the coarse and fine fractions, respectively.

230

231 **2.4 Laboratory measurements**

232 Before analysis, solids collected from erosion plots in the 20-L reservoir, and
233 solids from the stream were dried at 60° C. Soil and stream bed sediment samples were
234 passed through a 2-mm sieve to remove small rocks, roots, leaves and charcoal
235 fragments. Next, samples were homogenized, hand-ground with a mortar, weighed and
236 packed in tin capsules. Organic carbon was determined by combustion in a Carlo Erba
237 CHN analyzer (Thermoquest, Rodano, Italy). Due to the absence of carbonate rocks in
238 our catchment and the soil acidity ($\text{pH} \leq 4.7$) we expected no or minimal presence of
239 inorganic carbon, and therefore the total carbon measured was assimilated to organic
240 carbon. Isotope measurements were performed with a Finnigan Delta-E mass
241 spectrometer (ThermoFinnigan, Bremen, German). The $\delta^{13}\text{C}$ and $\delta^{15}\text{N}$ values were
242 reported in per mil (‰) relative to Pee Dee Belemnite (PBD) standard and relative to air
243 N_2 , respectively. Analytical precision ($\pm 1\sigma$) was ± 0.2 ‰ for $\delta^{13}\text{C}$ and ± 0.3 ‰ for
244 $\delta^{15}\text{N}$. The average precision of C and N concentration measurements was ± 0.1 %. Data

245 reproducibility was checked by replicate analysis of selected samples and a laboratory
246 standard.

247 To determine the grain size of the soil and sediments, granulometric analyses
248 were performed to determine the sand (0.05 – 2.0 mm), silt (0.02 – 0.05 mm) and clay
249 (< 0.02 mm) size-fractions for 8 soil profiles: 6 located in the top, middle and footslopes
250 of the sugarcane areas A and B, and 2 in the top and middle of the slope in the riparian
251 forest. These analyses were performed using the Bouyoucos hydrometer method
252 (Bouyoucos, 1926).

253

254 **2.5 Statistical analysis**

255 We tested for differences in the carbon, nitrogen and $\delta^{13}\text{C}$ of soil organic matter
256 between the slopes A and B, and riparian forests by comparing these variables in the 0-5
257 cm depths from 9 soil pits in each of the slopes and riparian forests. Carbon and
258 nitrogen concentrations were transformed to achieved normality, and a generalized
259 linear model assuming a normal distribution and an identical linking function was
260 applied to test for differences. Soil $\delta^{13}\text{C}$ could not be transformed to achieve normality,
261 so in this case we used the Kruskal-Wallis non-parametric test.

262 The measured runoff volumes were not distributed normally, and no transformation
263 was possible due to the large number of rainfall events which did not generate runoff in
264 the riparian forest (Figure 5 - Supplemental Material). Therefore, we used a non-
265 parametric method, the Kruskal-Wallis test, to test significant differences between
266 slopes A, B and riparian forest. The lateral soil flux (expressed as mass of solids per
267 area), as well as the organic carbon concentration of the soil, the lateral soil organic
268 carbon flux (expressed as mass of carbon per area) were also not distributed normally.
269 We used a box-cox transformation to achieve normality, and we tested for differences

270 between slopes and riparian forest applying a generalized linear model, assuming a
271 normal distribution and an identical linking function. The $\delta^{13}\text{C}$ of the solids in the
272 lateral flux was not distributed normally; however, no transformation was possible for
273 $\delta^{13}\text{C}$ values. In this case we also used the non-parametric test, Kurskall-Wallis. In these
274 statistical models the average value between the triplicate plots was used.

275 Only differences at the 0.05 of probability level were reported as significant. The
276 central tendency of the values was expressed by the median followed by the first and
277 third quartile between brackets. Tests were done using STATISTICA13 package.

278 For quantification of the sugarcane and riparian forest contributions to the
279 stream sediments, the Markov Chain Monte Carlo (MCMC) in the MixSIAR was set as
280 follows: chain length: 3,000,000; burn-in: 1,500,000; thin: 5000, and number of chains:
281 3. The error structure was set as “process”. With these settings the Gelman-Rubin
282 diagnostic was < 1.05 for all cases; and the Geweke diagnostic was $< 5\%$ for the three
283 chains of the MCMC.

284

285 **3 Results**

286

287 **3.1 Characteristics of the agricultural and riparian forest soils**

288 Sand was the predominant grain size fraction in the soil profiles of slopes A
289 and B (Table 1 – Supplemental Material). In the riparian forest, sand represented about
290 50% of the grain size fraction (Table 1 – Supplemental Material). Soil hydraulic
291 conductivity (K_{sat}) was significantly higher ($p < 0.01$) in the riparian forest than in the
292 sugarcane slopes until 0.5 m depth (Figure 7 - Supplemental Material). Most of the K_{sat}
293 values in the riparian forest were higher than a rainfall intensity of 15 mm h^{-1} above 0.5

294 m depth (Figure 6 – Supplemental Material). On the other hand, in the sugarcane slopes
295 all K_{sat} values were lower than this threshold (Figure 6 – Supplemental Material).

296 Soil organic carbon and nitrogen concentrations were higher ($p < 0.01$) in soil
297 profiles of the riparian forest compared to soil profiles of sugarcane plots (Figure 2A-
298 B). Additionally, organic carbon and nitrogen concentrations decreased with depth in
299 the riparian forest, while this decrease was limited or absent on the sugarcane slopes
300 (Figure 2A-B).

301 The $\delta^{13}C$ of topsoil in the riparian forest was approximately -25‰, increasing
302 to -23‰ at a depth of 0.3 m (Figure 2C). The $\delta^{13}C$ of sugarcane soil in both slopes
303 showed a different pattern. In the topsoil, $\delta^{13}C$ varied from -19‰ (slope A) or from -
304 17.5 ‰ (slope B), decreasing to -21‰ at a depth of 0.3 m, below which the $\delta^{13}C$
305 became constant in both slopes (Figure 2C). Differences in the $\delta^{13}C$ of soil between
306 sugarcane slopes and the riparian forest were significant ($p < 0.01$) in the first 0.15 m
307 depth. The $\delta^{15}N$ of the soil increased with depth in all profiles (Figure 2D). In general,
308 there was a tendency of higher soil $\delta^{15}N$ in the riparian forest than in the sugarcane plot
309 B. However, this difference was only significant ($p < 0.05$) in the topsoil.

310

311 **Precipitation, runoff and soil loss**

312 The total precipitation during the one-year sampling period was 1,530 mm,
313 which is somewhat higher than the historical average annual rainfall over the past 45
314 years in this region (1,320 mm). Despite the predominance of low intensity precipitation
315 ($< 15 \text{ mm h}^{-1}$), which comprised almost 90% of the events, high intensity precipitation
316 ($> 15 \text{ mm h}^{-1}$) contributed most to the total precipitation (56% of the total rainfall
317 amount).

318 During two rainfall events (January 1 and March 3, 2015), no runoff was
319 generated in the erosion plots on slope A, while a lack of runoff generation was
320 observed only once (September 9, 2015) in the plots on slope B. On the other hand,
321 runoff was not generated during eleven out of twenty-five rainfall events on the plots in
322 the riparian forest (Figure 5 - Supplemental Material). The highest runoff was observed
323 at the plots of slope B followed by plots of slope A and the plots at the riparian forests
324 (Figure 3). The total amount of generated runoff was only 2% of the total precipitation
325 in the riparian forest and 6% and 10% on slopes A and B, respectively (Figure 3A).

326 Most of the cumulative lateral soil flux (expressed as mass of solids per area)
327 on slope B occurred during the first growth stage of sugarcane (November-December
328 2014). Afterwards, with growth of the sugarcane, the lateral soil flux decreased (Figure
329 3B).

330 As we grouped the solids from the lateral soil flux in two fractions (fine and
331 coarse), we tested for differences in these two fractions separately. At the end of the
332 experiment, the cumulative lateral coarse and fine solid fluxes on slopes A and B were
333 significantly higher ($p < 0.01$) than in the riparian forest (Figure 4). The proportion of
334 coarse particles in the lateral soil flux was more than 80% in slopes A and B and
335 decreased to approximately 50% in riparian forest.

336 The POC concentration of the lateral soil flux was significantly lower ($p <$
337 0.01) on slopes A and B than in the riparian forest for the coarse as well as for the fine
338 fraction (Figure 5).

339 Consequently, the cumulative lateral POC flux was not significantly different
340 between slope B and riparian forest for the coarse and fine fractions, while both were
341 higher than this flux on slope A (Figure 6). Approximately 40% of the POC in the
342 lateral flux was carried as coarse carbon, and the remainder as fine carbon for the forest

343 and slope A plots, while in the slope B such proportion decreased to 30% and 70%,
344 respectively.

345 As expected, for both fractions, the $\delta^{13}\text{C}$ of lateral POC flux from slopes A and
346 B were significantly higher ($p < 0.01$) than $\delta^{13}\text{C}$ of the riparian forest (Figure 7).

347

348 **3.2 Riverine bed sediments and suspended particulate organic matter**

349 During the sampling period, a sediment deposition of approximately 860 g m^{-2}
350 was estimated by bathymetry in the stream channel.

351 The particulate organic carbon and nitrogen concentration of the suspended
352 solids in the stream were an order of magnitude higher ($p < 0.01$) than concentrations
353 observed in the bed sediments of the stream (Table 1). On the other hand, there was no
354 significant difference between the $\delta^{13}\text{C}$ and $\delta^{15}\text{N}$ values of suspended solids and bed
355 sediment (Table 1). Granulometric analysis of the stream bed sediments revealed a sand
356 concentration higher than 80 %. This concentration is similar to the one observed in the
357 sugarcane soil and in the particles generated by sugarcane runoff (Table 1 –
358 Supplemental Material). POC concentration of the stream suspended solids was
359 intermediate between the carbon concentration of the coarse and fine lateral soil flux.
360 On the other hand, the POC concentration of the stream bed sediment was closer to the
361 coarse fraction of the lateral soil flux.

362 Using the MixSIAR model, we estimated that the POC median contribution
363 from the forest soil lateral flux to the stream suspended solids was 73% (1st quartile =
364 68%, 3rd quartile = 78%), and from the sugarcane soil lateral flux was 27% (1st quartile
365 = 22%, 3rd quartile = 32%). Most of the POC in the stream bottom sediment also mainly
366 originated from the forest soil (75%, 1st quartile = 69%, 3rd quartile = 80%), while

367 sugarcane soil contributed to the remainder 25% (1st quartile = 20%, 3rd quartile =
368 31%).

369

370

371 **4 Discussion**

372 The total volume of runoff generated in the erosion plots was about three times
373 higher on the sugarcane slopes compared to the riparian forest (Figure 3). While in the
374 riparian forest plots, approximately 30 mm of cumulative runoff was generated over the
375 study period, in sugarcane plots A and B, approximately 90 and 150 mm of cumulative
376 runoff was generated, respectively (Figure 3). We found only one study on runoff
377 generation in sugarcane fields in Brazil. Therefore, it was not possible to make broad
378 generalizations. The cumulative sugarcane runoff of 60 mm year⁻¹ found by Youlton et
379 al. (2016) in the municipality of Itirapina, approximately 80 km from our study site, was
380 lower than the cumulative runoff found here. Moreover, the runoff:rainfall ratio
381 obtained by these authors was 4%, which is lower than the ratio found in the slope A
382 (6%) and in the slope B (10%). A potential explanation for this difference is the steeper
383 slopes of this study (15%) compared with the Youlton et al. (2016) (9%).

384 The lateral soil flux on the sugarcane slopes was significantly higher compared
385 to the riparian forest (Figure 3-4). This was especially true on slope B, where soil cover
386 was low during most of the rainy study period (Figure 4 – Supplemental material). The
387 higher soil loss in sugarcane fields was probably related to the lower water infiltration
388 rate (lower K_{sat}) found in sugarcane plots compared to the riparian forest (Figure 7 -
389 Supplemental Material), probably a consequence of the use of heavy machinery in the
390 sugarcane fields (Andrade, 2013; Sant'anna et al., 2009; Silva et al., 2007; Silva, 2014).
391 This leads to topsoil compaction and surface crust formation, reducing the water

392 infiltration capacity and increasing the generation of surface runoff (Fernandes et al.,
393 2013; Meyer et al., 2011; Silva, 2014). On the contrary, forested areas generally have
394 higher soil porosity because of the higher concentration of organic matter and the
395 existence of a large number of roots, which contribute to higher infiltration capacity and
396 water percolation (Bonell and Bruijnzeel, 2005; Wine and Zou, 2012).

397 It was also observed that runoff generation heavily depends on the crop cycle, a
398 conclusion also reached by Youlton et al. (2016). Higher runoff volumes were observed
399 on slope B (Figure 3), where the first growth stages of sugarcane coincided with the
400 rainy season (Figure 4 – Supplemental material). According to Silva et al. (2016),
401 during these initial growth stages, the leaf area index (LAI) is still low ($< 1 \text{ m}^2 \text{ m}^{-2}$),
402 increasing to $3 \text{ m}^2 \text{ m}^{-2}$ in the third growth stage. On the other hand, the LAI of the
403 riparian forest ($4 \text{ to } 5 \text{ m}^2 \text{ m}^{-2}$) was constant during the entire year (Silva et al., 2016).
404 The higher the LAI, the greater the interception of water by leaves, preventing further
405 increases in runoff generation (Fernandes et al., 2013). Therefore, to prevent severe soil
406 loss, it is highly recommended that farmers avoid having the first stages of sugarcane
407 development coincide with the rainiest months of the year, which in southeast Brazil
408 takes place between October and March.

409 In line with runoff, soil loss was also inversely related to soil cover (Figure 3), as
410 most of the lateral soil flux occurred during the establishment of the sugarcane crop on
411 slope B, when the soil cover was minimal (November 2014 to December 2015). This
412 allowed soil detachment by the raindrop impact. Coarse soil particles are often detached
413 by raindrop impact and by turbulent flow generated on the compacted sugarcane soils
414 (Strudley et al., 2008), rather than by the overland flow (Parsons, 1991). In addition, the
415 removal of the carbon-rich topsoil in sugarcane fields by erosion (Figure 2) contributes
416 to the decrease of soil aggregate stability (Silva et al., 2007), potentially contributing to

417 lower carbon concentration, as suggested by Wynn et al. (2006). These facts probably
418 explain the high lateral soil flux mainly as coarse fraction (Figure 4), and the lower
419 carbon concentration of lateral soil flux on the sugarcane slopes than in the riparian
420 forest (Figure 5).

421 The lower soil organic carbon concentration in the sugarcane fields, compared to
422 the riparian forest, is caused by multiple factors. Firstly, input of carbon via litterfall is
423 generally lower in cultivated fields compared to forests. For the type of forest present in
424 the study site (Semideciduous Atlantic Forest), Martinelli et al. (2017) estimated an
425 annual carbon input of 5 Mg ha⁻¹ via litterfall. Sugarcane produces large amounts of
426 straw varying from 7 to 9 Mg ha⁻¹ of carbon (Lisboa et al., 2018). However, in our study
427 area, only about 20% of straw was retained in the field, which would be equivalent to a
428 potential carbon input of approximately 1.4 to 1.8 Mg ha⁻¹. Secondly, the higher sand
429 content in the sugarcane soils compared to the riparian forest soil (Table 1 -
430 Supplemental Material), could play a role, since the organic carbon storage is known to
431 be lower in sandy soil than in clay soil (Assad et al., 2013; Saiz et al., 2012). Finally,
432 several studies have shown a decrease in soil organic carbon due to soil cultivation (e.g.
433 Assad et al., 2013; Don et al., 2011; Ecclesia et al., 2012; Guillaume et al., 2015).

434 The higher topsoil organic carbon concentration of the riparian forest compared
435 to the sugarcane topsoil is reflected in the larger relative contribution of organic matter
436 from this area (70 %) to the stream. However, despite the presence of a 30 m wide
437 riparian forest, approximately 30 % of the POC found in the streams originates from
438 sugarcane soils.

439 Although a rigorous survey on the formation of gullies was not conducted, we
440 noticed the presence of ephemeral gullies and preferential pathways efficiently
441 connecting the sugarcane fields to the stream, bypassing the buffering effect of the

442 riparian forest. This suggests that sediments and the attached carbon can be efficiently
443 transported from the sugarcane fields to the stream channel through these preferential
444 runoff pathways (Wallace et al., 2018; Pankau et al., 2012; Stehle et al., 2016). These
445 results thus strongly suggest that these preferential pathways substantially decrease the
446 efficiency of riparian forest to trap eroded sediments since the bathymetry estimated that
447 860 g m^{-2} was carried to the stream channel, while the total soil loss in the riparian
448 forest was only 45 g m^{-2} (Figure 3). Zhang et al., 2010 performed a meta-analysis
449 compiling 73 studies that provided quantitative results on sediment trapping by
450 vegetated buffers. The authors predicted a sediment removal efficiency of at least 80%
451 for slope degree, soil type and vegetation types similar to our study. In contrast, Wallace
452 et al., 2018 found that the presence of preferential pathways could reduce the vegetated
453 buffering potential by as much as 78%. Therefore, adequate management of riparian
454 buffer strips is necessary to ensure their optimal functioning.

455

456 **5 Conclusion**

457 The results of this study indicate that runoff generation is greater on slopes under
458 sugarcane cultivation compared to a riparian forest. The higher runoff generation
459 resulted in larger lateral soil flux in the sugarcane fields. Most of the runoff and lateral
460 soil flux was generated when sugarcane was in the first growth stages, when soil cover
461 was low. Therefore, we strongly recommend that farmers try to avoid harvesting
462 sugarcane during periods of the year characterized by the highest rainfall intensities
463 (October to March). The riparian forest was not able to buffer the majority of the soil
464 particles coming from eroding sugarcane fields and transported to the stream. This was
465 because poor soil conservation in the sugarcane fields allowed the formation of gullies
466 and preferential pathways that cut through the riparian forest, transporting sediments
467 from upslope agricultural fields into the stream. Therefore, an effective soil

468 conservation strategy which prevents the formation of preferential pathways through the
469 riparian forest would be highly desirable. Finally, although most of the sediments in the
470 stream were generated in the sugarcane fields, the riparian forest was still an important
471 source of carbon to the stream.

472

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