

Role of Electron-Phonon Coupling in the Thermal Evolution of Bulk Rashba-Like Spin-Split Lead Halide Perovskites Exhibiting Dual-Band Photoluminescence

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Supporting Information

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Experimental methods and additional figures and tables.

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ABSTRACT: The optoelectronic properties of lead halide perovskites strongly depend on their underlying crystal symmetries and dynamics, sometimes exhibiting a dual photoluminescence (PL) emission via Rashba-like effects. Here we exploit spin- and temperature-dependent PL to study single crystal APbBr₃ (A= Cs and methylammonium; CH₃NH₃) to evaluate peak energy, intensity and linewidth evolutions of the dual emissions. Both materials are identified to have two temperature regimes – above and below approximately 100 K – being governed by different carrier scattering and radiative recombination dynamics. With increasing temperature, high-energy optical phonons (>11 meV) are found to drive energy splitting of the dual bands and electron-longitudinal-optical-phonon coupling dominates the linewidth broadening, with a stronger coupling constant inferred in CsPbBr₃ for the spin-split indirect bands (78 meV) compared to the direct one (54 meV). We find the unusual thermal evolution of all-inorganic CsPbBr₃ and hybrid MAPbBr₃ perovskites are comparable – suggesting A-site independence and dominance of dynamic spin-splitting effects – and are best understood within a framework which accounts for bulk Rashba-like effects.

The interest for solution-processable lead halide perovskites within efficient solar cells^{1,2} stems from their promising optoelectronic response to the solar photons and high tolerance to defects^{3,4}. This family of semiconductors are increasingly being considered as “soft” solid-state materials⁵⁻⁷, whereby the fate of photo-generated charges primarily rely on the fundamental carrier-lattice interaction dynamics. For instance, polaron formation – via carrier-longitudinal-optical-phonon (Fröhlich) interactions – within the lattice has been linked to several favourable qualities, like long carrier lifetimes and diffusion lengths⁸⁻¹⁰. Recent indications of spin splitting and indirect tail state formation in lead halide perovskites¹¹⁻¹⁶ due to Rashba-like effects¹⁷ motivate a reconsideration of how electron-phonon coupling can exist within its perturbed electronic band structure. Universally, for the application of any polar metal halide perovskite, the properties of the free charge carriers and phonon scattering mechanisms are central to its optoelectronic performance at room temperature (RT).

Bulk Rashba-like effects occur in metal halide perovskites via two key ingredients. First, heavy elements, like Pb, introduce strong spin–orbit coupling (SOC) into the electronic structure. Second, the crystal must lack inversion symmetry, so that an effective magnetic field is imposed on electrons by SOC, lifting spin degeneracy and splitting the band electronic structure, as represented in Figure 1. To lose inversion symmetry, both static¹⁸ (i.e. intrinsically non-centrosymmetric) and dynamic^{12,13,19} (i.e. fluctuating breaks in symmetry) mechanisms have been proposed, though the full picture is still heavily debated²⁰. Consequently, the valence band maxima²¹ (VBM) and conduction band minima (CBM) shift away from the high-symmetry points in the Brillouin zone, giving rise to low-energy indirect tail states^{11,15} (Figure 1). The shift for Pb-based perovskites in reciprocal space is expected to be larger for the CBM compared to the VBM due to the relative orbital contributions to the band structure²², producing a low energy (LE) indirect transition alongside a high energy (HE) direct one. Notably, these phenomena constitute a general result within both all-inorganic and hybrid lead halide perovskites, leading to a characteristic dual photoluminescence (PL) emission^{11,15} which is better seen at low temperatures²³.

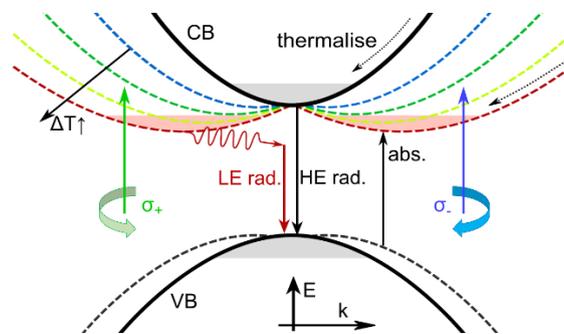


Figure 1: Schematic of energy band structure experiencing thermally-driven Rashba-like spin splitting, with selective excitation provided using left (σ_+) and right (σ_-) circularly polarised light. Photo-generated carriers recombine by two main radiative (rad.) pathways; a high-energy (HE) unperturbed direct bandgap transition, and a phonon-mediated low-energy (LE) indirect bandgap. For simplicity, only the CB splitting shows a thermal dependence.

The origin of the spin splitting in bulk hybrid lead halide perovskites like MAPbBr₃ (where MA is methylammonium; CH₃NH₃) is unclear, and is especially puzzling for all-

inorganic CsPbBr₃, which adopts a centrosymmetric orthorhombic (*Pnma*) crystal structure²⁴ at RT. However, it is expected that the thermal motion of the Cs⁺ atoms (as well as MA molecules) at the cation A-sites couple to lattice vibrations to promote local polar fluctuations²⁵ (Figure 2a inset) and dynamically break local centrosymmetry^{13,16}. It is not known if CsPbBr₃ or MAPbBr₃ adopt a “static” centrosymmetric structure near 0 K¹¹. The intensity of the Rashba-induced LE peak is sensitive to sample preparation¹¹, being best resolved in large single crystals (SCs)²⁶. As such, PL-based studies^{27,28} become ambiguous when comparing across different physical microstructures, i.e. nanocrystals or polycrystalline networks. Nevertheless, accounting for Rashba-like effects that underpin the low-temperature dual PL emission in CsPbBr₃ and MAPbBr₃ will yield a more accurate description of the photophysics involved^{29,30}.

In this Letter, we report a spin- and temperature-dependent PL analysis of the emission positions, linewidths and intensities arising in SC all-inorganic CsPbBr₃ and hybrid MAPbBr₃ perovskites possessing dual bands at RT. The two systems exhibit qualitatively similar dependence on temperature, inferring that the underlying models employed are cation-independent. The LE and HE peak positions undergo different evolutions in the lead bromide perovskites, whereby both peaks blueshift when warming above 0 K, with LE undertaking an additional strong redshift above 100 K, assisted by high-energy optical phonons. While high-temperature quenching of the LE emission intensity is in-line with nominal semiconducting behaviour, we assign thermal-driven intersystem crossing to account for a HE intensity which peaks near 100 K and quenches approaching 0 K. The Fröhlich coupling strength of carriers residing in the spin-split bands is found to be much higher than in the direct bands in CsPbBr₃, inferred from the temperature-dependent linewidth broadening. We demonstrate that several anomalous features in the low-temperature dual PL emission evolution found in SC CsPbBr₃ and MAPbBr₃ are best understood when Rashba-like effects are considered.

APbBr₃ (A = Cs and MA) SCs were prepared with a modified methods from literature³¹, resulting in 1-3 mm-sized perovskite single crystals (Methods and Figure S1 of Supporting Information). Figure 2(a) provides the RT PL spectrum of CsPbBr₃, displaying a clear dual

emission.¹¹ At RT, the emission linewidth will be dominated³² by the Fröhlich interaction strength, represented by dimensionless constant α , which scales with the carrier effective mass (m^*) within the Feynman polaron model³³:

$$\alpha = \frac{e^2}{\hbar c} \sqrt{\frac{m^* c}{2\hbar\omega_{LO}}} \left(\frac{1}{\varepsilon_\infty} - \frac{1}{\varepsilon_0} \right). \quad (1)$$

Parameters ε_∞ and ε_0 respectively indicate the high-frequency and static dielectric constants, c the speed of light, \hbar the reduced Planck constant, e the fundamental charge, and ω_{LO} is the frequency of the coupling optical phonon mode(s) involved. The decisive factor for m^* is the E - k dispersion curvature at the CB and VB extrema (assuming near-parabolic character), whereby: $m^*(k) \propto (\partial^2 E / \partial k^2)^{-1}$.

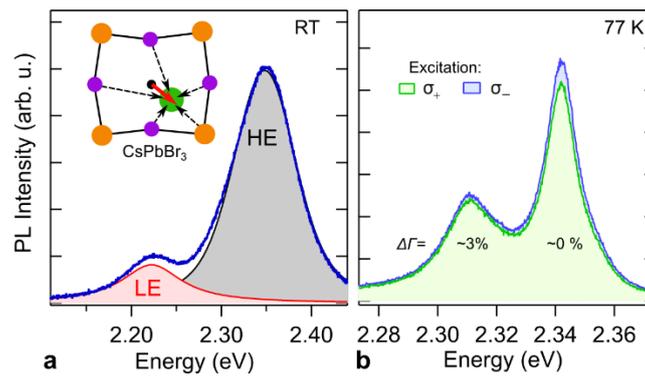


Figure 2: (a) Room-temperature PL spectrum of SC CsPbBr₃ ($\lambda_{\text{exc.}} = 400$ nm) with dual high- (HE) and low-energy (LE) emissions. The inset illustrates how the perovskite “cage” (Pb-Br framework) and dynamic symmetry breaking¹³ of an off-centre Cs cation (green) creates an effective electric dipole moment (solid red arrow), through the summation of local dipoles (dashed arrows). (b) Right circularly polarised PL spectra (77 K) of CsPbBr₃ upon exciting (473 nm) with left (σ_+) and right (σ_-) circularly polarised light. The $\Delta\Gamma$ values depict the difference in the linewidth derived from their fitting: $\Delta\Gamma = 2[I(\sigma_-) - I(\sigma_+)]/[I(\sigma_-) + I(\sigma_+)]$.

Within the spin-split system depicted in Figure 1, a one-band effective mass approximation no longer holds³⁴ for Equation 1, given two shallow side valleys are formed. For example, carriers thermalizing into each Rashba-induced valley will have nontrivial spin texture, with intervalley

scattering mediated via phonons. Importantly, for the spin-split bands, their curvature is expected to be small compared to the direct transition^{19,35}, indicating an enhancement in the effective mass and a relative increase of the Fröhlich interaction.

The effective mass of charges in the two spin-split indirect bands will evolve as a function of the SOC, described by the Rashba Hamiltonian³⁴. Both the electron-phonon coupling^{36,37} and the (large) polaronic effective mass³⁸ correction are enhanced by Rashba-like effects. In this case, the relative electron-phonon coupling strength for carrier in the lower (-) Rashba band are expected to be larger than those in the upper (+) one³⁸. As a result, carriers recombining at the two spin-split band edges will encounter different polaron effects, leading to a relatively broader PL emission arising from recombination via the lower branch. We experimentally assess this via a comparison of the LE emission full-width at half maximum (FWHM; Γ) when the recombination has different spin populations, down at cryogenic temperatures ($T = 77$ K) where the LE band is more pronounced. To exclude instrumental response to light helicity, we fixed the detection optics and only vary the incident polarisation. Figure 2(b) displays right circularly polarised PL spectra recorded from CsPbBr₃ using left (σ_+) and right (σ_-) circularly-polarised excitation. Beyond the polarisation-dependence of the emission intensities¹¹, fitting these data (dual Voigt functions) reveals an approximately 3 % broader LE peak using σ_- excitation compared to σ_+ , with no detectable change in the HE linewidth. While this difference is relatively small (the indirect recombination rate will occur relatively slowly compared to the rate of spin-flipping), it is consistent with a relative disparity in the electron-phonon coupling arising from the two spin valleys, and should be enhanced exciting closer to the split band edges¹¹.

Smearing the spin information, Figure 3(a) overviews the unpolarised temperature-dependence of the normalised PL lineshape in SC CsPbBr₃ and MAPbBr₃ (raw spectra shown in Figure S2). Besides the asymmetry in the MAPbBr₃ HE peak at lower temperatures, the temperature dependence of the two perovskites are comparable, and are evaluated together. Note that fitting the HE band of MAPbBr₃ with a second peak to account for the asymmetry yields a comparable

thermally-driven trend in the peak position (open circle in Figure 3(b)), suggesting it is related to the direct transition.

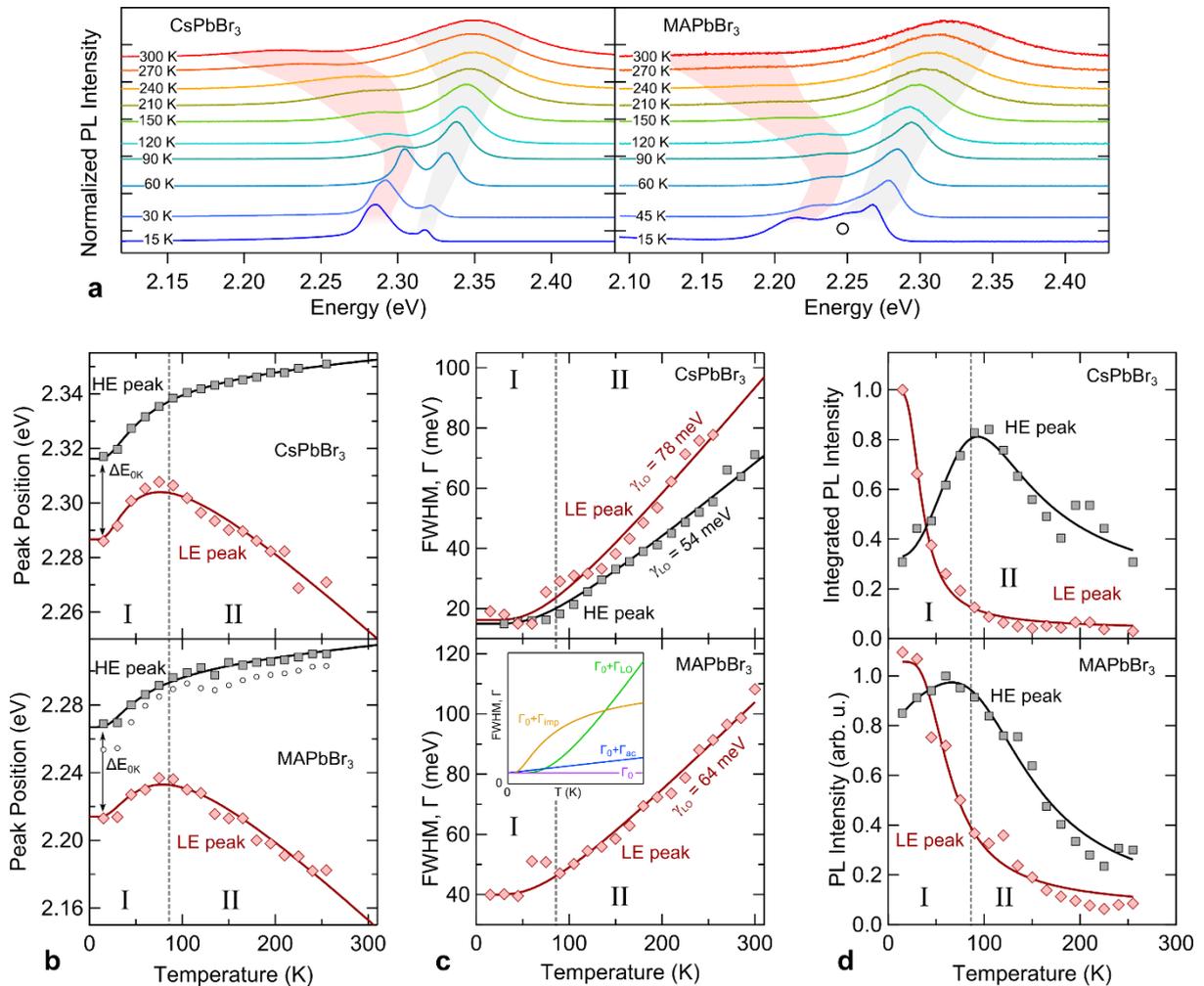


Figure 3: (a) Normalised low-temperature PL spectra of single crystal CsPbBr₃ and MAPbBr₃ ($\lambda_{\text{exc.}} = 400$ nm), with the open circle in the MAPbBr₃ data set highlighting the asymmetry seen in the HE peak. Analysis of the temperature evolution for both material systems; (b) Emission peak energies of the peaks, including the third peak identified in (a) by the open circle, modelled using Equation 3; (c) FWHM of the dual bands fit via Equation 5; (d) Normalised integrated LE and HE peak intensities, fit using Equations 6 and 7, respectively. For each analysis, low-temperature and high-temperature trends are respectively identified by regimes I and II. The inset in (c) shows the archetypal form of the temperature dependence of the contributing terms in Equation 5.

The LE and HE peak positions undergo different evolutions in Figure 3(b), due to the superposition of two identified trends. Trend I raises the energy of both peaks, due to a blueshift

in the absorption edge with increasing temperature, driven by a combination of thermal expansion and electron-phonon coupling^{37,39,40}. LE experiences an additional redshift in regime II at higher temperatures, representing a thermally-induced band splitting¹¹ superimposed to the aforementioned blueshift. Both effects are activated by phonons with “effective” vibrational energies ($\hbar\omega$) – via electron-phonon coupling – although the respective mode(s) involved are very different. For instance, any phonon mode (acoustic or optical) can raise the lead halide perovskite bandgap energy with increasing temperature^{27,37}; however, high-temperature Rashba-like effects must involve modes that break local inversion symmetry¹⁹.

The respective phonon population is governed by Bose–Einstein statistics:

$$n(T) = \frac{1}{e^{\hbar\omega/k_B T} - 1}, \quad (2)$$

where k_B is the Boltzmann constant. Warming above absolute zero, low-energy acoustic phonons dominate the initial rise in bandgap energy^{27,37,41}. At higher temperatures, optical phonons and spin splitting become more influential, inverting the relative shift in LE¹¹. This infers that trend I requires only relatively low phonon energies, while trend II requires relatively high-frequency modes.

Considering the interaction of electrons with the crystal lattice, the temperature dependence of the emission peaks can be reduced to the Bose-Einstein bandgap model^{42,43}:

$$E(T) = E_0 + \sum_i A_i \left(\frac{1}{e^{\hbar\omega_i/k_B T} - 1} + \frac{1}{2} \right). \quad (3)$$

For up to 3 Bose-Einstein oscillators ω_i ($i = 1, 2, 3$), A_i represents their weight which, if negative (positive), describes the degree of the energy decrease (increase) with rising temperature, and E_0 is the bandgap energy at 0 K. The evolution of HE will involve the summation of a low-energy acoustic phonon branch ($i = 1$) and a higher energy optical phonon one ($i = 2$), with opposite weightings. LE initially follows the shift of HE, though is redshifted by a third relatively high-energy oscillator ($i = 3$) during trend II. Therefore, the two Bose-

Einstein terms used to account for HE are used as inputs to evaluate their combined influence on LE (fitting parameters in Table S1). The effective phonon frequencies shifting HE (CsPbBr₃; $\hbar\omega_1 = 3.8$ meV, $\hbar\omega_2 = 7.0$ meV, and MAPbBr₃; $\hbar\omega_1 = 3.6$ meV, $\hbar\omega_2 = 6.4$ meV) are estimated to be far lower than the phonons driving the LE redshift (CsPbBr₃; $\hbar\omega_3 = 14.7$ meV, and MAPbBr₃; $\hbar\omega_3 = 11.3$ meV). We note the potential involvement of high-energy Raman active optical modes nearing this energy (Figure S3 and S4), whose population will be relatively small below 100 K, in line with the model. Interestingly, the HE and LE peaks in Figure 3(b) approach an intrinsic energy-split near zero temperature¹¹; $\Delta E_{0K} = 30$ meV for CsPbBr₃, while $\Delta E_{0K} = 55$ meV for MAPbBr₃. While dynamic effects arising from thermal fluctuations are suppressed with decreasing temperature, and vanish completely at 0 K, this does not mean that all dynamic effects vanish in quantum systems. Even at absolute zero there are dynamic fluctuations arising from quantum zero-point motion. This comes from predictions made for the perovskites under consideration¹⁹ and are based on the intrinsic dynamic disorder and peak energy splitting at 0 K. More generally, quantum zero-point fluctuations are known to play a major role in other perovskite materials, with the best known example being SrTiO₃, which is a quantum paraelectric in which quantum fluctuations stabilise the paraelectric phase compared to the ferroelectric phase⁴⁴. Alternatively, in the absence of clear structural data for these materials near 0 K, the energy split may potentially come about by a loss of centrosymmetry in the crystal structure. However, because the dual peak evolution is common to both all-inorganic CsPbBr₃ and hybrid MAPbBr₃ materials, this result reinforces the interpretation of “dynamical” spin splitting in the Cs case, as MA statically breaks inversion symmetry due to the dipole in the MA molecule, whereas Cs does not statically break inversion symmetry (i.e. spherical atom). That both perovskites exhibit the same behaviour shows that with the inclusion of dynamics, the physics becomes the same, dominated by the dynamical spin splitting.

Assessing the temperature-dependent emission broadening in Figure 3(c), the intrinsic FWHM (T_0) of both perovskites grow with increasing temperatures. Here, an evaluation of the MAPbBr₃ HE FWHM evolution is omitted given its complicated asymmetric lineshape in the

low-temperature range (Figure S2). Notably, in Figure 3(c), the LE emission in CsPbBr₃ broadens faster than HE with rising temperature, suggesting enhanced carrier scattering in these bands. The temperature-dependent excitonic linewidth of band-to-band transitions within semiconductors^{32,45} is relatively well understood, being described by

$$\begin{aligned}\Gamma(T) &= \Gamma_0 + \Gamma_{ac} + \Gamma_{LO} + \Gamma_{imp} \\ &= \Gamma_0 + \gamma_{ac}T + \gamma_{LO}n(T) + \gamma_{imp}e^{-E_b/k_B T}.\end{aligned}\quad (4)$$

The second and third terms (Γ_{ac} and Γ_{LO}) respectively describe the trends ascribed to acoustic and LO-phonon (Fröhlich) scattering, with coupling strengths γ_{ac} and γ_{LO} . The fourth term accounts for the scattering from ionized impurities, with average binding energy E_b . Below 75 K, the linear Γ_{ac} component – involving low-energy acoustic phonons – will dominate the broadening. The gradient of the of the FWHM of all peaks is essentially flat in regime I, in-line with negligible contributions from the γ_{ac} term^{32,46–48} and is omitted for simplicity. The small sublinear feature in LE below 100 K for both CsPbBr₃ and MAPbBr₃ resembles the influence of Γ_{imp} (inset of Figure 3(d)), but does not appear in HE. Because it is unlikely that ionised impurities will selectively scatter carriers residing only in the LE bands, this feature is suggested to have other origins and we omit for simplicity³². There is a group of distinct LO-optical vibrations expected to interact with charge carriers⁴⁹ (Figure S3 and S4) with energies close to 17 meV ($\sim 140\text{ cm}^{-1}$), and are energetically stable down to cryogenic temperatures. Therefore, we adopt a simplified model to fit our data³², dependent on two dominant components:

$$\Gamma(T) = \Gamma_0 + \frac{\gamma_{LO}}{e^{\hbar\omega_{LO}/k_B T} - 1}.\quad (5)$$

For the LE band, a temperature invariant γ_{LO} broadening parameter may not fully describe its behaviour over the temperature range explored, as its nature is dependent on the phonon population. However, the broadening of LE above 100 K is fairly linear in both CsPbBr₃ and MAPbBr₃, being agreeably fit by a fixed γ_{LO} parameter. We note that analogous models have

been used for heavy- and light-hole VB splitting in strained epitaxial semiconductors and quantum wells⁵⁰, which experience temperature-dependent changes in their band dispersion at the high-symmetry points.

Through Equation 5 we estimate the relative strength of the Fröhlich coupling arising in the two recombination pathways in CsPbBr₃; γ_{LO} is determined to be 54 ± 5 meV for the direct HE transition, while the LE linewidth broadening generates a value of 78 ± 8 meV. For the LE peak of MAPbBr₃, we derive a value of $\gamma_{LO} = 64 \pm 6$ meV. The large difference in coupling strength between the direct and spin-split bands in CsPbBr₃ is expected within a Fröhlich coupling model which corrects for SOC, as described previously. For lead bromide perovskites, γ_{LO} values generally near 60 meV^{32,51,52}. However, the sensitivity of the LE emission to the sample preparation¹¹ may explain the large spread in γ_{LO} values reported^{47,48,52-54} from low-temperature PL analysis of this materials, reaching as high as 130 meV⁵⁵.

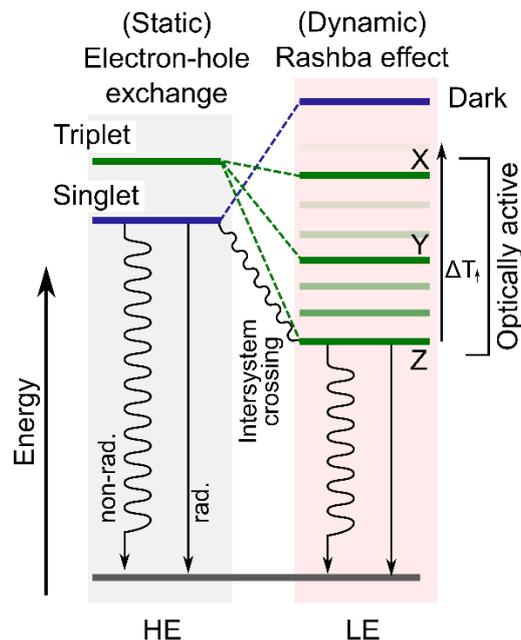


Figure 4: The expected fine structure⁵⁶ of the band-edge excitons considering short- and long-range electron–hole exchange (left) and then including Rashba-like effects⁵⁷ with symmetry breaking along the z direction (right), under orthorhombic symmetry. In the split states, the exciton separates into three bright states and a high-energy dark state. Intersystem crossing will depend on the relative population of the low-energy spin split triplet states, which is governed by the crystal temperature.

Figure 3(a) shows that the relative weighting of the LE band in the dual PL spectra significantly grows in both CsPbBr₃ and MAPbBr₃ perovskites upon cooling, which is tracked in Figure 3(d) (note the MAPbBr₃ HE intensity is derived from fully integrating the HE band, using two Gaussian peaks). First considering the more standard characteristic of the LE bands, the initial intensity (I_0) decreases above 0 K due to thermally activated quenching (estimated via a single dominant non-radiative channel), commonly described by an Arrhenius expression:

$$I(T) = \frac{I_0}{1 + ae^{-E_a/k_B T}}, \quad (6)$$

where a is the ratio of non-radiative and radiative probabilities and E_a is the activation energy of the quenching channel. Applying Equation 6 to the temperature dependence of the LE intensity yields an activation energy of 11 meV for CsPbBr₃ and 18 meV for MAPbBr₃ (Table S2).

The temperature dependence of the HE emissions, on the other hand, is more complex; the HE peak intensity maximises under 100 K and begins to quench moving to either lower (regime I) and higher (regime II) temperatures. At higher temperatures, the HE intensity decrease is similar to LE, manifesting via indiscriminate thermally activated non-radiative carrier recombination. In regime I, however, the system is subject to another non-radiative channel which becomes significant upon cooling toward 0 K. The Arrhenius fitting can be modified to include the second quenching term, whereby

$$I(T) = \frac{I_0}{1 + a_1 e^{-\frac{E_{a1}}{k_B T}} + a_2 e^{-\frac{E_{a2}}{k_B T}}}. \quad (7)$$

The two competing processes ($a_1 > 0$; $a_2 < 0$) superimpose to yield a maximum below 100 K, with the fitting parameters provided in Table S2.

The origin of the non-radiative channel quenching in regime I for both CsPbBr₃ and MAPbBr₃ is difficult to isolate, though it is likely connected to thermally-driven intersystem crossing between the bright low-energy spin-split triplet states and the bright direct transition involving a singlet state⁵⁶. This is because the intersystem crossing

between the states must be mediated by phonons, which are depopulated and vanish toward 0 K. Based on the predicted exciton fine structure of CsPbBr₃ perovskite⁵⁶⁻⁵⁹, an energy scheme representing this proposition is displayed in Figure 4. The left and right portions of the scheme represent the static and dynamic cases of the electronic structure, which generate the unperturbed and perturbed band structures in Figure 1, respectively. As shown in Figure 4, thermal energy is required to populate the low-energy Rashba bands and allow reverse intersystem crossing to the static HE transition, thus facilitating a more intense HE emission. This is because the system remains split at 0 K, retaining the lower energy indirect tail states. That is to say, some of the rise in the low-energy emission intensity while cooling in regime I is at the cost of depopulating the radiative high-energy transition.

In summary, we have reported a low-temperature PL analysis of high-quality single crystals of CsPbBr₃ and MAPbBr₃ emitting a dual emission accounting for Rashba-like effects. We find that a single-band-to-band model no longer accounts for the radiative recombination pathways expressed in this system, due to different physical properties of carriers residing in the split band structure. While the formation of indirect tail states promotes longer radiative lifetimes¹⁴, it ultimately enhances electron-phonon scattering, reducing carrier mobility and leading to a relatively broader low-energy emission. To explain the origin of this behaviour, we connect both the enhancement in the effective mass of carriers residing in the spin-split bands and the requirement for additional scattering to overlap the bands, for recombination. Further, the direct band-edge recombination is quenched near 0 K, which we attribute to the presence of an unpopulated low-energy indirect emission pathway. These findings allow rationalization of previous experimental observations and provide a key to understanding the complex carrier dynamics in bulk spin-split halide perovskites. **Cs = MA, meaning cation independent.**

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TOC graphical entry

