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## Short chain fatty acids: The microbiome's route to the brain?

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### **Abstract**

Short chain fatty acids (SCFA), the main metabolites produced by bacterial fermentation of dietary fibre in the colon, are speculated to play a key role in microbiota-gut-brain crosstalk. However, the pathways through which they may influence psychological functioning, including affective and cognitive processes and their neural basis, have not been fully elucidated. Furthermore, research directly exploring the role of SCFA as potential mediators of the impact of microbiota-targeted interventions on affective and cognitive functioning is sparse, especially in humans. The purpose of this review is to (a) summarise the existing knowledge on SCFA and their potential to mediate microbiota-gut-brain interactions directly or indirectly, (b) review the impact of microbiota-targeted interventions on psychological functioning and its neural basis, and the putative mediating role of SCFA signaling herein, (c) discuss the literature that examines the relationship between SCFA and psychobiological processes, and (d) outline future directions to facilitate direct investigation of the impact of SCFA on psychological functioning.

### **Keywords:**

*Short chain fatty acids, gut-brain axis, mood, emotion, cognition, microbiota, fibre*

### **Competing interests**

The authors have no competing interests to declare.

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## 1. Introduction

The gut-brain axis refers to the bidirectional signaling mechanisms between the gastrointestinal (GI) tract and the central nervous system (CNS)<sup>1</sup>. Through complex neuro-humoral pathways, signals from the brain can alter the gut's sensorimotor and secretory functions, and conversely, visceral afferent signals originating in the GI tract can modulate brain function. The gut microbiota is the ecological community of commensal, symbiotic and pathogenic micro-organisms present in the gut and is critically involved in gut-brain communication<sup>2</sup>. Imbalances in the gut's microbial composition are present in GI and metabolic disorders<sup>3</sup> as well as in mental disorders including eating disorders<sup>4</sup>, autism spectrum disorders (ASD)<sup>5</sup>, and mood and anxiety disorders<sup>6</sup>. Microbiota-gut-brain (MGB) communication can theoretically occur through multiple systems comprising the gut-brain axis, including the autonomic and enteric nervous system (ENS), neuroendocrine systems, and the immune system. Nevertheless, the specific mechanisms of this communication and its putative effects on brain development, behaviour, cognition, and mood in humans are largely unknown.

To explore the influence of the gut microbiome on psychological functioning, the microbiome can be modified by means of prebiotic, probiotic, and dietary interventions. Experimental studies adopting this approach have demonstrated modulation of stress reactivity, affective and cognitive processes, and behaviour in animals and, to a lesser extent, humans<sup>7</sup>. Although the biological mediators driving these effects remain largely unknown, short-chain fatty acids (SCFA), microbial metabolites that constitute the major products of bacterial fermentation of dietary fibre in the colon, are often considered key candidate mediators. SCFA may be directly or indirectly involved in communication along the MGB axis due to their neuroactive properties and effects on other gut-brain signaling pathways including the immune and endocrine systems<sup>8,9</sup>. However, research directly exploring the role of SCFA as potential mediators of the impact of microbiota-targeted interventions on affective and cognitive functioning is sparse, especially in humans. In this non-exhaustive but comprehensive review we (a) summarise the existing knowledge on SCFA and their potential to mediate MGB interactions, (b) review the impact of microbiota-targeted interventions on psychological functioning and its neural basis, and the putative mediating

1 role of SCFA signaling herein, (c) discuss the literature that examines the relationship between  
2 SCFA and psychobiological processes, and (d) outline future directions to facilitate investigation of  
3 the impact of SCFA on psychological functioning.

## 4 2. Metabolism of SCFA

5 SCFA are saturated fatty acids with a chain length ranging from one to six carbon atoms and are the  
6 main products of fermentation of dietary fibre in the large intestine<sup>10</sup>. Approximately 500 to  
7 600 mmol of SCFA are produced per day depending on the dietary fibre content of the diet<sup>11</sup>. Acetic  
8 acid (C2), propionic acid (C3) and butyric acid (C4) are the most abundant SCFA as well as the  
9 most abundant anions in the large intestine. They are responsible for the drop in pH when  
10 progressing from the terminal ileum to the proximal colon. These SCFA are present in the colon in  
11 an approximate molar ratio of 60:20:20, respectively<sup>12</sup>, although the amount and relative proportion  
12 of each SCFA depends on the substrate, the microbiota composition, and gut transit time<sup>13</sup> (Table 1).  
13 Following their production in the colon, SCFA are rapidly absorbed by the colonocytes, mainly via  
14 active transport mediated by members of the family of monocarboxylate transporters (MCT) (FIG.  
15 1). MCT-1 transports SCFA in an H<sup>+</sup>-dependent electroneutral manner whereas SCFA anion  
16 transport by the electrogenic sodium-dependent monocarboxylate transporter 1 (SMCT-1) is  
17 coupled to Na<sup>+</sup>-transport. A comprehensive list of all known SCFA transporters is shown in Table  
18 2. Absorption of undissociated SCFA by passive diffusion is probably quantitatively less important,  
19 as well as exchange for HCO<sub>3</sub><sup>-</sup> via downregulated-in-adenoma (DRA) or solute carrier family 26,  
20 member 3 (SLC26A3)<sup>14</sup>. After absorption in the cells, SCFA enter the citric acid cycle in the  
21 mitochondria to generate ATP and thus energy for the cells. SCFA that escape metabolism in the  
22 colonocytes are transported into the portal circulation where concentrations of SCFA have been  
23 reported to be 260, 30 and 30 μM for acetate, propionate and butyrate, respectively<sup>15</sup>. In the liver,  
24 all three SCFA are used as energy substrates for the cells. In addition, acetate is a substrate for  
25 cholesterol and fatty acid synthesis<sup>16-18</sup>. Propionate is a known precursor for the synthesis of glucose  
26 in the liver, at least in ruminants<sup>19</sup>, but gluconeogenesis from propionate in the human liver is  
27 quantitatively less important<sup>16</sup>. Consequently, only a minor fraction of the SCFA produced in the

1 colon reaches the systemic circulation and peripheral tissues. Plasma concentrations of acetate,  
2 propionate and butyrate have been reported in a range of 25-250  $\mu\text{M}$ , 1.4-13.4  $\mu\text{M}$  and 0.5-14.2  $\mu\text{M}$ ,  
3 respectively<sup>12</sup>. Other sources of plasma acetate include endogenous production from fatty acid  
4 oxidation and amino acid metabolism<sup>20,21</sup>, ketogenesis in hepatocytes<sup>21</sup>, or oxidation of ethanol by  
5 microsomal cytochrome P450 enzymes<sup>22</sup>. Bovine milk fats provide a source of butyrate as 5-10 %  
6 of the triacylglycerides mixture in bovine milk contains butyrate which is released by gastric  
7 lipase<sup>23</sup>.

### 8 3. SCFA in gut-brain signaling

9 Besides exerting local effects in the colon, SCFA affect gene expression by inhibiting histone  
10 deacetylases (HDAC), and act as endogenous ligands for orphan G-protein coupled receptors  
11 (GPRs). Additionally, SCFA affect inflammation and hormonal regulation, and interact with vagal  
12 afferents. In what follows, we outline the interactions of SCFA with specific cellular systems and  
13 gut-brain signaling pathways, arguing for a potential key role of SCFA in MGB communication  
14 (FIG. 2).

#### 15 3.1. Impact on cellular systems

##### 16 3.1.1. SCFA receptors

17 The best studied SCFA receptors are GPR43 and GPR41, later renamed as free fatty acid receptor  
18 (FFAR) 2 and FFAR3, respectively. Those receptors are activated by SCFA anions such as formate,  
19 acetate, propionate, butyrate and pentanoate, although with differing specificity for carbon chain  
20 length. FFAR2 is expressed mainly in enteroendocrine L cells, vasculature and immune cells,  
21 including lymphocytes, neutrophils, and monocytes<sup>24-26</sup>. FFAR3 is expressed in the colon, kidneys,  
22 sympathetic nervous system, and blood vessels. Finally, GPR109a, a receptor initially identified as  
23 a receptor for niacin present on adipocytes, immune cells and colonocytes, is activated by  $\beta$ -D-  
24 hydroxy butyrate as well as butyrate<sup>27,28</sup>. Other receptors activated by SCFA are listed in Table 3.

25 Evidence exists for presence of functional SCFA receptors in the CNS and peripheral  
26 nervous system (PNS). FFAR3 is highly expressed in rat brain tissue<sup>29</sup>, and in sympathetic ganglia,  
27 specifically the superior cervical ganglion, in adult mice<sup>30</sup>. The expression of FFAR3 appears

1 important in controlling sympathetic nerve activity, as reduced activity was observed in *Ffar3*<sup>-/-</sup>  
2 mice<sup>30</sup>. Furthermore, intraperitoneal administration of propionate (1 g/kg) resulted in increased heart  
3 rate in wild-type and *Ffar2*<sup>-/-</sup> mice, but not in *Ffar3*<sup>-/-</sup> mice. Nøhr et al.<sup>31</sup> confirmed expression of  
4 FFAR3 in the superior cervical ganglion and revealed its expression in the sympathetic ganglia of  
5 the thoracic and lumbar sympathetic trunk, as well as in both autonomic and sensory ganglia such  
6 as the vagal ganglion, spinal dorsal root ganglion, and trigeminal ganglion. Contrary to evidence in  
7 rats<sup>29</sup>, however, FFAR3 was not found in the brain or spinal cord in mice<sup>31</sup>. Through gut-brain  
8 neurocircuitry involving FFAR3, propionate improved glucose control and insulin sensitivity in  
9 mice<sup>32</sup>. Specifically, FFAR3 was expressed in the portal vein wall and propionate-induced intestinal  
10 gluconeogenesis depended on FFAR3 signaling. Importantly, propionate influenced CNS regions  
11 implicated in signaling from the portal area, whereby propionate feeding induced c-Fos expression  
12 in all areas of the dorsal vagal complex, C1 segment of the spinal cord, and the parabrachial nucleus.  
13 Hypothalamic areas, namely the paraventricular nucleus, the lateral hypothalamus, and the arcuate  
14 nucleus, which receive input from the parabrachial nucleus, also exhibited c-Fos activation in  
15 response to propionate feeding<sup>32</sup>. Together, these findings suggest that through binding of GPRs,  
16 SCFA might affect the central and peripheral nervous systems, which is a prerequisite for their  
17 putative effects on psychological processes.

### 18 3.1.2. Histone deacetylase inhibition

19 Gene expression is regulated by modulating the coiling of the DNA around histones mostly  
20 via acetylation of the histones. Acetylated histones are less compact and result in more  
21 transcriptionally active chromatin. Conversely, removal of the acetyl groups by HDAC leads to  
22 condensed and transcriptionally silenced chromatin. Studies showed that intracellular butyrate and  
23 propionate<sup>33</sup>, as well as acetate<sup>34</sup> inhibit the activity of HDAC, promoting hyperacetylation of  
24 histones. Importantly, HDACs are involved in brain development and a range of  
25 psychopathologies<sup>35</sup>. Furthermore, preclinical studies suggest that HDAC inhibitors act as cognitive  
26 enhancers in fear, anxiety and trauma-related processes and may be used in conjunction with  
27 psychotherapy to promote long-term positive treatment outcomes and relapse prevention<sup>36</sup>.

1 Evidence demonstrating SCFA-mediated HDAC inhibition and its impact on the brain  
2 mostly comes from animal research with sodium butyrate. Chronic (28 days) and acute systemic  
3 administration of butyrate combined with fluoxetine (a selective serotonin reuptake inhibitor  
4 antidepressant) significantly decreased behavioural despair<sup>37</sup>, which correlated with changes in  
5 brain-derived neurotrophic factor (BDNF) transcript levels, suggesting that upregulation of BDNF  
6 expression may be important to the observed effect. Notably, histone hyperacetylation following  
7 systemic injection of a single dose of sodium butyrate (1.2 g/kg) was observed in the hippocampus  
8 and frontal cortex and explained the superior antidepressant effects of the combined treatment over  
9 fluoxetine alone. Chronic inhibition of HDAC by sodium butyrate (daily for four weeks, 1.2 g/kg<sup>-1</sup>)  
10 also improved learning and memory in wild-type mice and mice with brain atrophy<sup>38</sup>. Furthermore,  
11 systemic (1.2 g/kg) and intrahippocampal (55 mmol/L) injection of sodium butyrate in mice induced  
12 enhanced and persistent extinction of fear<sup>39</sup>. For a more extensive summary of studies on the impact  
13 of butyrate administration on brain physiology and function, the reader is directed to the review by  
14 Stilling et al.<sup>8</sup>.

15 The dose of butyrate may be critical in determining the effects on behavioural and  
16 psychophysiological processes. Intraperitoneal injection of sodium butyrate (100 mg/kg, 10 days)  
17 attenuated social deficits in a mouse model for ASD, with no side effects on locomotor and anxiety-  
18 related behaviours. The dose normally used to induce HDAC inhibition (1.2 g/kg), on the other  
19 hand, did not affect the examined social behaviours<sup>40</sup>. The high dose of butyrate induced global  
20 changes in histone acetylation whereas the low dose selectively modified the expression of genes  
21 involved in excitatory and inhibitory pathways in the prefrontal cortex. In another study, the dose  
22 of 1.2 g/kg of sodium butyrate acted as pharmacological stressor, increasing plasma levels of stress  
23 markers corticosterone and adrenocorticotrophic hormone (ACTH), as well as glucose<sup>41</sup> whereas a  
24 low dose (200 mg/kg) only slightly increased ACTH. As argued by Stilling et al.<sup>8</sup>, butyrate is usually  
25 administered at supraphysiological concentrations. This entails that, at physiological concentrations  
26 (a) butyrate influences the brain through a different mechanism than HDAC inhibition, (b) butyrate  
27 still influences the brain through HDAC inhibition, or c) butyrate may not influence the brain. The  
28 last possibility is questionable as a physiological oral dose of butyrate impacted brain metabolism

1 and hippocampal neurogenesis in pigs<sup>42</sup>. Some studies also showed that diet modifies histone  
2 acetylation<sup>43</sup> and that SCFA are at least partially responsible for this modification<sup>44</sup>. Together, these  
3 findings suggest that SCFA do play a role in diet-induced chromatin changes, but whether these  
4 changes also occur at the level of the brain, or reach the brain indirectly (e.g., via expression of  
5 genes associated with immune function), remains to be demonstrated.

6 Other histone modifications including crotonylation, butyrylation, and hydroxybutyrylation  
7 have been identified in recent years, but their functional significance remains unclear. Histone  
8 crotonylation correlates with gene expression and promotes histone acetylation, but may even play  
9 a more direct role in promoting transcription compared to histone acetylation<sup>45</sup>. Moreover, crotonyl-  
10 coenzyme A, an intermediate in fermentation of butyrate, increased histone crotonylation and  
11 subsequently transcription *in vivo* and *in vitro*<sup>45</sup>. Fellow and colleagues<sup>46</sup> found that histone  
12 crotonylation is abundant in both the intestinal epithelium and the brain. Notably, gut microbiota  
13 and SCFA were important to histone crotonylation, with butyrate specifically promoting histone  
14 crotonylation in intestinal cell and organoid culture<sup>46</sup>. Since SCFA can enter the brain, they may  
15 induce CNS histone crotonylation, thereby influencing brain functions<sup>46</sup>.

16 Although studies in mice solely used butyrate, propionate and acetate are also capable of  
17 inhibiting HDAC, albeit to a lesser extent<sup>34,47</sup>. In a rat hepatoma cell line, propionate administration  
18 resulted in buildup of acetylated forms of histones<sup>47</sup>. Furthermore, using purified calf thymus histone  
19 deacetylases, propionate inhibited HDAC *in vitro* to similar extents as butyrate<sup>47</sup>. Acetate increases  
20 acetylated histones not only by inhibition of deacetylation but also by stimulating acetylation.  
21 Acetate supplementation using a single dose of glyceryl triacetate (6 g/kg) in rats increased  
22 acetylation in brain histones, possibly via inhibition of HDAC 2, since increased brain histone  
23 acetylases-states temporally coincided with decreases in HDAC 2 protein levels<sup>34</sup>. In contrast, long-  
24 term acetate supplementation did not affect total brain HDAC, and exhibited variable effects on  
25 class I and II HDAC, but increased histone acetylation by increasing brain histone acetyl transferase  
26 (HAT)<sup>34</sup>, suggesting that HDAC inhibition may become desensitised in the long-term and other  
27 transcription processes may be at play.



1 In sum, SCFA may influence brain function via interaction with FFARs and/or inhibition  
2 of HDAC. Studies exploring SCFA interactions with these cellular systems are, however, lacking  
3 in humans. Exploring the dose-response effect of individual SCFA on HDAC inhibition and  
4 posttranslational modifications is needed. Specifically, determining whether a given SCFA dose  
5 produces global, potentially unfavourable, HDAC inhibition versus more specific HDAC inhibition  
6 that modulates a psychological response in the desired direction is critical.

### 7 3.2. Impact on gut-brain pathways

#### 8 3.2.1. Immune pathways

9 Immune responses and inflammation may be involved in the pathogenesis of psychiatric disorders  
10 (FIG. 3)<sup>48,49</sup>. CNS-cytokine interactions influence neural processes, thereby affecting the function  
11 of neurocircuits that regulate mood, motor activity, and motivation<sup>50</sup>. Microglia dysregulation was  
12 reported in a range of psychiatric disorders including major depression, schizophrenia, ASD, and  
13 obsessive-compulsive disorder<sup>51</sup>. Effects of SCFA on mucosal immunity are well documented<sup>52</sup>, yet  
14 SCFA may also affect the peripheral immune system to modulate brain function. Systemic  
15 inflammation may be reduced indirectly by improving the intestinal barrier and preventing  
16 translocation of bacteria and bacterial products, or by direct interaction with immune cells, which  
17 may in turn reduce neuroinflammation at the level of the brain.

18 Early studies found that physiological concentrations of butyrate<sup>53,54</sup>, but also acetate and  
19 propionate<sup>54</sup>, enhance intestinal barrier function as indexed by increased transepithelial electrical  
20 resistance (TEER) in a Caco-2 cell monolayer model. Butyrate appears to enhance intestinal barrier  
21 function by regulating the expression of tight junction proteins, and this effect is mediated by the  
22 activation of AMP-activated protein kinase (AMPK)<sup>55</sup>. Another effect of butyrate is downregulation  
23 of claudin-2 expression (a cation-selective pore), which may improve barrier function as claudin-2  
24 upregulation partially explains barrier function disturbances<sup>56</sup>. In dextran sulfate sodium-induced  
25 colitis in rats, *ex vivo* treatment with butyrate restored TEER<sup>57</sup>. Recently, SCFA mixtures  
26 representing compositions produced by fermentation of different dietary fibres improved barrier  
27 function and protected against disrupting agents such as LPS and TNF- $\alpha$  in a Caco-2 cell model<sup>58</sup>.

1 By maintaining intestinal barrier integrity, SCFA decrease bacterial translocation<sup>59</sup> into the systemic  
2 circulation, which may in turn reduce systemic inflammation.

3 In addition, SCFA directly regulate a host of immune cells and immune modulators to  
4 maintain homeostasis<sup>52</sup>. SCFAs regulate the differentiation, recruitment and activation of immune  
5 cells including neutrophils, dendritic cells (DCs), macrophages and monocytes, and T-lymphocytes.  
6 Specifically, SCFA modulate the recruitment of neutrophils, effector function, and survival in the  
7 affected tissue<sup>60</sup>. SCFA can also modify production of inflammatory cytokines from neutrophils,  
8 such as tumor necrosis factor alpha (TNF- $\alpha$ ), and regulate growth and function of monocytes,  
9 macrophages, and DCs, altering their abilities to capture antigens and produce cytokines, such as  
10 interleukin (IL) 10 and IL-12<sup>52,61</sup>. Finally, SCFA are able to modulate adaptive immune responses  
11 by direct or indirect modulation of T-lymphocyte differentiation and proliferation, through effects  
12 on DCs, promoting the production of IL-10 to downregulate inflammatory response, or via the  
13 generation of regulatory T cells (Tregs) and T-helper 1 and 17 cells<sup>62,63</sup>. Multiple mechanisms are  
14 involved in the effects of SCFA on immune cells, including their interaction with FFARs present on  
15 immune cells<sup>64-68</sup> as well as inhibition of HDAC<sup>61,69</sup>.

16 Since SCFA can reach the bloodstream, they have the potential to modulate immune cell  
17 function in the systemic circulation and potentially influence brain and neuronal function. Oral  
18 administration of butyrate and propionate promoted peripheral Treg generation<sup>70</sup>. A study by Möhle  
19 et al.<sup>71</sup> suggested that Ly6C<sup>hi</sup> monocytes may be important for hippocampal neurogenesis.  
20 Specifically, hippocampal neurogenesis and memory retention were decreased following antibiotic  
21 treatment in mice and restored upon reconstitution of a normal microbiota combined with probiotics  
22 or physical exercise. These mice had higher numbers of Ly6C<sup>hi</sup> monocytes in the brain than  
23 antibiotic-treated mice. Depletion of these monocytes decreased neurogenesis in the brain whereas  
24 adoptive transfer of Ly6C<sup>hi</sup> monocytes rescued neurogenesis in antibiotic-treated mice,  
25 demonstrating that Ly6C<sup>hi</sup> monocytes are important messengers signaling from the periphery to  
26 restore brain homeostasis. Further, SCFA modulate human, but not mouse, monocyte inflammatory  
27 responses via activation of FFAR2 and FFAR3, resulting in increased p38 phosphorylation and  
28 decreased proinflammatory cytokine expression<sup>72,73</sup>. Thus, these cells can likely be regulated via

1 microbially-derived SCFA, and subsequently reach the bloodstream and the brain, influencing  
2 neural structure and function, and in turn higher-order brain functions.

3 Human studies on modulation of systemic inflammation by SCFA are scarce and yielded  
4 inconsistent results. In a recent systematic review<sup>74</sup>, only two of five studies administering SCFA  
5 showed significant decreases in serum inflammatory markers. TNF- $\alpha$  levels significantly decreased  
6 in hyperinsulinaemic female subjects after intravenous or rectal administration of acetate<sup>75</sup>. In the  
7 second study, fasting levels of IL-1 $\beta$  significantly decreased following colonic infusion of a SCFA  
8 mixture (40 mmol/200mL)<sup>76</sup>. The studies that failed to demonstrate an effect of SCFA on systemic  
9 inflammation administered SCFA for periods between 3 and 20 days<sup>77-79</sup>, suggesting that effects of  
10 SCFA on pro-inflammatory cytokines may only be observable after acute administration. However,  
11 it remains difficult to compare these studies given the small sample sizes (<16 participants), and the  
12 heterogeneity of the study populations. Comparatively more studies have investigated the effects of  
13 prebiotic and synbiotic (mixtures of prebiotics and probiotics) supplementation on systemic  
14 inflammation<sup>74</sup>. Inflammatory markers were decreased in 48% of the prebiotic and 53% of the  
15 synbiotic studies, with no effect on inflammation in the remaining studies, and in very few studies  
16 even an increase in inflammation. Even though the meta-analysis did not take into account changes  
17 in SCFA levels reported in some of the reviewed studies<sup>80</sup>, SCFA were suggested to drive the effects  
18 on systemic inflammation<sup>74</sup>.

19 Systemic inflammation is highly important in brain immunity, and can modulate  
20 neuroinflammation<sup>81,82</sup>. Butyrate decreased lipopolysaccharide (LPS)-induced inflammation in rat  
21 primary microglia, hippocampal slice cultures, and co-cultures of rat cerebellar granule neurons,  
22 astrocytes and microglial cells<sup>83</sup>. However, in murine N9 transformed microglia cells, butyrate had  
23 a pro-inflammatory effect. Recently, the microbiota was found to influence homeostasis, maturation,  
24 and function of microglia in the CNS<sup>84</sup>. Specifically, germ-free mice exhibited compromised innate  
25 immune responses due to microglia-related defects. When challenged with LPS and lymphocytic  
26 choriomeningitis virus, their microglia innate immune response was severely reduced compared to  
27 that of specific pathogen-free mice. Furthermore, microbiota depletion via antibiotic administration  
28 in specific pathogen-free mice severely compromised microglia homeostasis, resembling that in

1 germ-free mice<sup>84</sup>. Notably, 4-week oral administration of a SCFA mix in the drinking water of germ-  
2 free mice resulted in restored microglial cell morphology and reversed microglial immaturity. In  
3 further support of a crucial role of SCFA, *Ffar2*<sup>-/-</sup> mice continued to exhibit malformed microglia  
4 in terms of major alterations of dendrite length, number of segments, branching points, terminal  
5 points and increased cell volumes<sup>84</sup>.

6 Prebiotic treatment also altered neuroimmune responses potentially via SCFA. Mice treated  
7 with  $\beta$ -galacto-oligosaccharides (BGOS) showed reduced anxiety following LPS-induced  
8 inflammation compared to control mice<sup>85</sup>. Furthermore, LPS-induced increases in IL-1 $\beta$  and 5-  
9 HT2ARs in the frontal cortex were lower in the BGOS group compared to the control group. These  
10 attenuated neuroimmune responses were attributed to prebiotic fermentation into SCFA. For another  
11 study<sup>86</sup>, see section 4. Modulation of SCFA production.

12 Taken together, the gut microbiota may impact systemic inflammation and central  
13 neuroimmune function, with SCFA being candidate mediators of these effects. SCFA strengthen  
14 gut barrier integrity and interact with a host of immune cells, influencing systemic inflammation,  
15 and affecting the structural and functional integrity and microglia-related activation involved in  
16 neuroinflammation. Prebiotic interventions that show decreased systemic inflammation could  
17 benefit from concurrent measurement of plasma SCFA to confirm a potential mediational effect of  
18 SCFA on pro-inflammatory and anti-inflammatory markers. Results from mice studies look  
19 promising but translation to and replication in humans is lacking and may be challenging.

### 20 3.2.2. Endocrine pathways

21 SCFA may also exert their effects on the gut-brain axis by modulating secretion of gut hormones  
22 (FIG. 3). Activation of G-protein coupled receptors by SCFA in the colon stimulates the release of  
23 glucagon-like peptide 1 (GLP-1) and peptide YY (PYY) from enteroendocrine L-cells<sup>87-89</sup> which  
24 interfere with brain circuits involved in appetite and food intake regulation, either through the  
25 systemic circulation<sup>75</sup> or through vagal afferents<sup>90</sup>.

26 GLP-1 is best known as an incretin hormone that enhances glucose-dependent insulin  
27 secretion. However, it is also secreted in the nucleus of the solitary tract of the brainstem<sup>91</sup>. GLP-1  
28 can influence brain function via humoral and neural pathways<sup>92</sup>. GLP-1 receptors are widespread

1 across the body, including the pancreas, intestines, heart, and lungs, as well as the CNS and PNS<sup>93</sup>.  
2 GLP-1 may have various effects on brain function. Administration of GLP-1 or a GLP-1 receptor  
3 agonist influenced responses to food pictures in reward-related brain regions in obese participants<sup>94</sup>,  
4 had anxiogenic and antidepressant effects in rats<sup>95</sup>, and increased ACTH and cortisol in animals and  
5 humans<sup>96</sup>. In mice, GLP-1 improved learning and memory<sup>97,98</sup>, neuroprotection and neuroplasticity  
6 in the hippocampus<sup>99,100</sup>, reduced beta-amyloid (A $\beta$ ) plaques, and microglia activation in animal  
7 models of Alzheimer's disease (AD)<sup>99</sup>.

8 PYY is another anorexigenic neuropeptide that inhibits gastric motility and reduces appetite.  
9 In the human brain, PYY is expressed in the frontal, temporal, and occipital lobes, thalamus,  
10 hypothalamus, hippocampus, pons, medulla oblongata and cerebellum, with the highest  
11 concentrations found in the hypothalamus and the pituitary<sup>101</sup>. The most common form of circulating  
12 PYY is PYY<sub>3-36</sub>, which preferentially binds to the Y2 neuropeptide Y receptor<sup>102</sup>. Animal studies  
13 suggest that the mechanisms by which PYY influences appetite and brain function involve either  
14 crossing the blood-brain barrier (BBB)<sup>103</sup> or activation of vagal afferent pathways to the  
15 brainstem<sup>104</sup>. PYY influences affective state, but evidence regarding the direction of its effects is  
16 contradictory. Knockout of PYY enhanced both depressive- and anxiety-like behaviour in mice<sup>105</sup>,  
17 whereas in another study, depressive-like but not anxiety-like behaviour was enhanced<sup>106</sup>. Knockout  
18 of Y2 receptors enhanced ability to cope with stress and reduced anxiety in mice<sup>107</sup>, while  
19 stimulating them increased anxiety-like and depressive behaviour<sup>108</sup>.

20 To our knowledge, the extent to which SCFA-induced changes in GLP-1 and PYY mediate  
21 brain function is not well investigated. One study found that increased colonic propionate by  
22 administration of inulin-propionate ester influenced brain anticipatory reward responses in the  
23 caudate and nucleus accumbens during an fMRI food picture evaluation task in non-obese men<sup>109</sup>.  
24 In parallel, decreases in subjective appeal of high energy food picture and reduced energy intake  
25 during an *ad libitum* meal was also observed<sup>109</sup>. However, no changes in PYY and GLP-1 were  
26 observed. Using the same propionate-delivery method, however, acute supplementation increased  
27 plasma PYY and GLP-1 levels, but long-term supplementation did not<sup>89</sup>, suggesting that gut  
28 hormones may be differently involved in the SCFA-brain effects in the long- versus short-term. The

1 studies cited regarding the direct effect of PYY and GLP-1 on the brain also render it difficult to  
2 judge whether injection of GLP-1 and PYY induces similar psychological changes as when  
3 produced by the enteroendocrine L-cells. In addition, evidence is conflicting as to whether (SCFA-  
4 prompted) GLP-1 and PYY production attenuates or enhances stress, anxiety, and depressive-like  
5 behaviour.

6 Other hormones that influence brain function and are affected by SCFA include leptin,  
7 ghrelin, and insulin. However, they have been studied less extensively than PYY and GLP-1. Leptin  
8 is an anorexigenic hormone mainly known for its regulatory role of energy balance by activating  
9 hypothalamic receptors. All SCFA appear to regulate leptin production but the directionality and  
10 mechanisms are not clear<sup>110</sup>. Acetate and propionate increased leptin expression in adipocytes<sup>26,111-</sup>  
11 <sup>113</sup>. Whereas one study<sup>114</sup> found that butyrate had no effect on leptin secretion, another found that  
12 fasting leptin levels were significantly reduced following chronic supplementation of all SCFA  
13 including butyrate<sup>115</sup>. Furthermore, while some findings implicated the involvement of FFAR2 in  
14 SCFA-induced leptin regulation<sup>111,113,114</sup>, others suggest that FFAR3 is involved<sup>26,116</sup>, yet others  
15 found no effect of SCFA nor expression of FFARs in relation to leptin<sup>117</sup>. The BBB and the vagus  
16 nerve have been implicated in the effect of leptin on the brain, although transport- and receptor-  
17 related mechanisms are not clear<sup>118-122</sup>. Leptin signaling also influences non-hypothalamic areas  
18 such as the cortex and the hippocampus, thereby modulating a range of brain functions including  
19 reward, motivation, cognition, as well as brain structure, neuronal and synaptic function, and  
20 plasticity<sup>123</sup>. Disruption in leptin signaling has been associated with AD, depression, bipolar  
21 disorder, and schizophrenia<sup>124</sup>.

22 Ghrelin, the main orexigenic hormone, is produced by ghrelin cells which are mainly present  
23 in the stomach and duodenum, and functions as a neuropeptide in the CNS. Ghrelin influences the  
24 brain through the vagus nerve<sup>125</sup> or by crossing the blood brain barrier<sup>126</sup> and acts on the  
25 hypothalamus to increase hunger and prepare the body for food intake<sup>127</sup>. Plasma ghrelin  
26 concentrations decreased after injection of SCFA in wethers<sup>128</sup>, independent of circulating glucose  
27 and insulin concentrations. Furthermore, ingestion of inulin increased serum SCFA and reduced  
28 ghrelin in lean and obese participants<sup>129,130</sup>. The mechanisms that mediate this inverse relationship

1 between SCFA and ghrelin are not clear. However, ghrelin modulates a number of brain functions.  
2 Specifically, intravenous administration of ghrelin activated brain regions (including the amygdala  
3 and the orbitofrontal cortex) implicated in reward and incentive value of food cues<sup>131</sup>, suggesting  
4 modulation of hedonic, as opposed to solely homeostatic, responses to food. In mice, ghrelin  
5 modulated neuronal and synaptic function in the hippocampus, which were paralleled by  
6 enhanced learning and memory<sup>132,133</sup>. Furthermore, ghrelin modulated stress, depression, and  
7 anxiety via the Hypothalamo-Pituitary-Adrenal (HPA) axis, the serotonergic system, and the  
8 sympathetic nervous system<sup>134</sup>.

9         Insulin is a hormone produced by the pancreas that allows the body to maintain blood sugar  
10 levels, preventing hyperglycemia and hypoglycemia<sup>135</sup>. Earlier work found that infusion of  
11 propionate and butyrate, but not acetate, in sheep increased plasma insulin levels 4- and 14- fold,  
12 respectively, without affecting plasma glucose. These effects were also found in cows, but not in  
13 non-ruminant species (rats, rabbit, or pigs)<sup>136,137</sup>. In humans, supplementation of resistant starch  
14 (30g/d for 4 weeks) increased both SCFA and systemic and muscle insulin sensitivity despite lower  
15 insulin levels<sup>138</sup>. Insulin is also a CNS regulatory peptide, and peripheral insulin is taken up by the  
16 brain but the mechanisms remains a matter of debate<sup>139</sup>. Insulin may influence the brain from the  
17 periphery, following intravenous administration, or centrally, following intranasal and  
18 intracerebroventricular routes to the CNS<sup>139</sup> or intracranial or intrathecal transplantation of pancreatic  
19 islets<sup>140</sup>. A large body of literature suggests that insulin availability and/or alterations in insulin  
20 receptor sensitivity/availability is relevant to brain function. This has been shown in relation to  
21 cognitive function and mood in AD<sup>141-144</sup>, bipolar disorder<sup>145</sup> but not in major depressive disorder<sup>146</sup>.  
22 However, a neural circuit involving the ventral striatum, insula, and anterior mid-cingulate cortex  
23 linked higher insulin resistance with depressed mood in healthy humans<sup>147</sup>. Intranasal administration  
24 of insulin to a healthy population improved learning and memory, as well as responses to  
25 psychosocial stress<sup>148,149</sup>.

26         More research is needed to discern whether there is a direct effect of SCFA on leptin,  
27 ghrelin, and insulin and the mechanisms underpinning these effects. Surprisingly, chronic  
28 intragastric acetate infusion doubled acetate turnover in the brain under high-fat diet in comparison

1 to chow diet in mice and increased insulin and ghrelin secretion, caloric intake, and weight gain.  
2 These effects were prevented by vagotomy, suggesting that the effect of chronic acetate is mediated  
3 by parasympathetic activation<sup>150</sup>. Whether SCFA-prompted release of these hormones would have  
4 positive or negative effects on the brain and its psychobiological functions is difficult to predict.  
5 Nonetheless, the studies cited above suggests that (a) SCFA increase the production of some  
6 hormones in the GI tract and (b) these hormones influence mood and cognition. Therefore,  
7 investigating whether these GI hormones may be a mechanism through which SCFA may impact  
8 on psychological functioning would be a fruitful endeavor.

### 9 3.2.3. Vagal pathways

10 The vagus nerve contains 80% afferent and 20% efferent fibres and innervates almost all the  
11 digestive tract. Vagal afferents are not in direct contact with the gut luminal microbiota but can  
12 indirectly sense luminal signals, through diffusion of bacterial compounds or metabolites<sup>151</sup>, such  
13 as serotonin and gut hormones released from the enteroendocrine cells<sup>152,153</sup>. Electric stimulation of  
14 vagal afferents modulates neurotransmitter levels in the brain<sup>154</sup> and is a last-resort for treatment of  
15 epilepsy and depression<sup>155</sup>. Bacteria can also stimulate vagal afferents, modulating brain  
16 neurotransmitter gene expression and related stress-, anxiety-, and depressive-like behaviours<sup>156</sup>.  
17 SCFA are able to directly activate vagal afferents. Luminal perfusion of sodium butyrate (10 mM)  
18 in the jejunum of anaesthetised male rats evoked vagal afferent nerve discharge that was abolished  
19 following subdiaphragmatic vagotomy<sup>157</sup>. Importantly, butyrate likely acted directly on vagal  
20 afferent terminals, at least independent of CCK-A receptors present on vagal afferents. More  
21 recently, intraperitoneal injection of acetate, propionate, and butyrate (separately, each at 6  
22 mmol/kg) suppressed food intake in mice in the order of butyrate>propionate>>acetate. This effect  
23 was attenuated following desensitisation of vagal afferents by systemic capsaicin treatment and via  
24 hepatic branch vagotomy<sup>158</sup>. Finally, butyrate directly interacted with single neurons isolated from  
25 the nodose ganglion and increased  $Ca^{2+}$ <sup>158</sup>. Since FFAR3 were expressed in nodose ganglion  
26 neurons<sup>31</sup>, the effect of SCFA on appetite suppression via the vagus nerve may be further mediated



1 by the presence of FFAR3<sup>151</sup>. It would be interesting to investigate whether the vagus nerve mediates  
2 SCFA effects on other higher-order brain functions.

### 3 3.2.3. Other direct humoral pathways

4 An intact BBB is critical to brain development and preservation of CNS homeostasis, as it ensures  
5 a controlled passage of molecules and nutrients from the circulation to the brain (FIG. 3). SCFA  
6 crossed the BBB in a cell-culture model<sup>159</sup> possibly due to the abundant expressions of MCTs<sup>160,161</sup>.  
7 Brain uptake of SCFA in rats is in the order of butyrate>propionate>>acetate<sup>162</sup>. In human brain  
8 tissues, concentrations of 17.0 pmol/mg of brain tissue for butyrate and 18.8 pmol/mg for propionate  
9 have been reported<sup>163</sup>. Using positron emission tomography (PET) imaging, it was shown that  
10 approximately 3% of intravenously infused <sup>11</sup>C-acetate was immediately taken up in rat brain,  
11 whereas approximately 2% was taken up 20 minutes following colonic infusion<sup>164</sup>. However, brain  
12 uptake of <sup>11</sup>C-labelled butyrate in primates was limited to only 0,006% of injected dose/ml<sup>165</sup>. In  
13 human PET studies, no measurable brain uptake of <sup>11</sup>C-acetate was detected<sup>166,167</sup> up to 76 min after  
14 injection<sup>167</sup>. In sum, brain uptake of SCFA appears to be minimal.

15 Compared to normal mice, germ-free mice have increased BBB permeability and butyrate  
16 treatment decreases BBB permeability to a level similar to that of pathogen-free mice<sup>168</sup>.  
17 Propionate also protected BBB integrity, as exposure of human cerebromicrovascular endothelial  
18 cell line (hCMEC/D3) to 1  $\mu$ M propionate for 24h lead to the inhibition of a number of pathways  
19 associated with non-specific inflammatory responses to microbial inflections *in vitro*<sup>169</sup>. For  
20 example, exposure of hCMEC/D3 monolayers for 12h to physiological concentration of propionate  
21 (1 $\mu$ M) and butyrate (1 $\mu$ M), but not acetate (65 $\mu$ M) attenuated the permeablising effect of LPS  
22 derived from *Escherichia coli*. Propionate also protected the BBB from oxidative stress via NFE2L2  
23 signalling and protected against LPS-induced disruptions in tight junction proteins occludin,  
24 claudin-5 and zona occludens-1. Given the low brain and systemic SCFA concentrations<sup>16</sup>, it is  
25 unlikely that colonic SCFA affect brain function through uptake in the brain, but the limited  
26 evidence in mice does not completely rule out this possibility.

1           There is some evidence that SCFA that cross into the CNS have neuroactive properties. In  
2 mice, acetate taken up through the BBB altered the level of the neurotransmitters glutamate,  
3 glutamine, and gamma-aminobutyric acid (GABA) in the hypothalamus, and increased anorexigenic  
4 neuropeptide expression<sup>164</sup>. Propionic acid induced the expression of tryptophan 5-hydroxylase 1,  
5 the enzyme involved in synthesis of serotonin in PC12 cells, a pheochromocytoma cell line.  
6 Furthermore, propionate and butyrate also induced tyrosine hydroxylase gene transcription<sup>170</sup>.  
7 Tyrosine hydroxylase facilitates the conversion of L-tyrosine to L-3,4-dihydroxyphenylalanine (L-  
8 DOPA), which is the rate-limiting step in the biosynthesis of dopamine, noradrenaline and  
9 adrenaline<sup>171</sup>.

10           The mechanisms by which dietary fibre and butyrate affect the brain may involve  
11 neurotrophic factors, such as nerve growth factor (NGF), BDNF, and glial cell line-derived  
12 neurotrophic factor (GDNF). These are small proteins that regulate the growth, survival, and  
13 differentiation of neurons and synapses in the CNS and PNS<sup>172</sup>, playing important roles in learning,  
14 memory, and a range of brain disorders. Fructo-oligosaccharides (FOS) and BGOS feeding in rats  
15 elevated hippocampal BDNF and N-methyl-d-aspartate receptors<sup>173</sup>. These effects may be mediated  
16 by butyrate as butyrate injection enhanced levels of neurotrophic factors alongside positive effects  
17 on mood-related behaviours<sup>174,175</sup>. Effects of butyrate on neurotrophic factors also benefit learning  
18 and memory. Upregulation of hippocampal BDNF, following exercise and intraperitoneal  
19 administration of butyrate, was necessary to transform a weak learning event to long-term memory  
20 in sedentary mice<sup>176</sup>. Similarly, butyrate prevented memory impairment and reversed levels of  
21 hippocampal BDNF and GDNF in a mouse model of pneumococcal meningitis<sup>177</sup>. Finally, effects  
22 of butyrate on neurotrophic factors also support neurogenesis and neuroprotection<sup>178,179</sup>.

23           Serotonin biosynthesis constitutes another humoral gut-brain communication pathway that  
24 may be impacted by SCFA. Serotonin (5-hydroxytryptamine; 5-HT) is derived from tryptophan and  
25 functions as a neurotransmitter in the CNS and in the periphery. More than 90% of the body's 5-HT  
26 is synthesised in the enterochromaffin cells in the GI tract where it regulates diverse GI functions<sup>180</sup>.  
27 The remainder is synthesised in the CNS in the raphe nuclei located in the brainstem, the ascending  
28 projections from which are involved in regulation of mood, appetite, memory, learning, and sleep<sup>181</sup>.

1 Intraluminal administration of physiological concentrations of SCFA into the proximal colon  
2 stimulated the release of 5-HT from enterochromaffin cells (ECs)<sup>182</sup>. Reigstad et al.<sup>183</sup> found that  
3 acetate and butyrate promoted tryptophan hydroxylase 1 (the rate limiting enzyme for mucosal 5-  
4 HT synthesis) transcription in a human-derived EC cell model, suggesting that SCFA are crucially  
5 involved in enteric 5-HT production and homeostasis. Similarly, Yano et al.<sup>184</sup> found that specific  
6 microbial metabolites, including the SCFA butyrate and propionate, play a critical role in promoting  
7 host 5-HT biosynthesis, regulating both colon and serum levels of 5-HT. Taken together, these  
8 findings suggest that SCFA may modulate the peripheral levels of serotonin, which may in turn  
9 regulate brain function by influencing the immune system<sup>185</sup>, fetal brain development<sup>186,187</sup>, or  
10 signalling to the brain via 5-HT receptors on vagal afferent fibres<sup>188</sup>.

11 In sum, SCFA may directly influence the brain by crossing the BBB, reinforcing BBB  
12 integrity, modulating neurotransmission, influencing levels of neurotrophic factors, and promoting  
13 serotonin biosynthesis. Due to the invasive nature of these studies, research into the direct humoral  
14 effects of SCFA on brain function is limited to *in vitro* and animal studies. Importantly, it remains  
15 to be established whether alterations in microbiota-derived SCFAs exert similar effects on the BBB,  
16 neurotransmission, and neurotrophins, and whether microbiota-derived SCFAs reach  
17 physiologically relevant concentrations in the CNS in humans.

#### 18 4. Modulation of SCFA production

19 SCFA production can be modulated by manipulating the intestinal microbiota via the  
20 ingestion of live beneficial bacteria, known as probiotics<sup>189, 190</sup>. An alternative strategy is the intake  
21 of prebiotics which are defined as “a substrate that is selectively utilised by host microorganisms  
22 conferring a health benefit”<sup>191</sup>. Prebiotics act as a substrate for beneficial bacteria in the colon, which  
23 in turn ferment them into SCFA. Finally, habitual diet significantly influences gut health and its  
24 microbial composition. As an example, increased consumption of plant foodstuffs, as in a  
25 Mediterranean diet, increases the availability of fermentable substrates for advantageous bacteria,  
26 thereby modulating SCFA formation. Ample evidence exists that interventions with prebiotics<sup>12,192-  
27 195</sup> and probiotics<sup>196,197</sup> or adherence to the Mediterranean diet<sup>198-200</sup> increases large intestinal SCFA

1 production. On the other hand, the number of studies, in animals and humans, demonstrating  
2 positive effects of prebiotics, probiotics and adherence to a Mediterranean diet on psychological  
3 functioning is increasing. Endpoints include depressive-like and anxiety-like behaviour, stress-  
4 related behaviour, and cognitive functioning. An overview of these studies is provided in  
5 Supplementary Information (Supplementary Tables 1 and 2). Unfortunately, less than a handful of  
6 studies on psychological impact of these dietary interventions quantified SCFA. In mice,  
7 administration of *Clostridium Butyricum* increased butyrate levels in faeces and in the brain in a  
8 model of vascular dementia, showed significant attenuation of cognitive impairment and  
9 histopathological changes in mice hippocampus, and activated BDNF-PI3K/Akt Pathway-related  
10 proteins<sup>201</sup>. A 3-week supplementation of FOS and GOS attenuated stress-induced corticosterone  
11 release, reduced pro-inflammatory cytokine levels, modified gene expression in hippocampus and  
12 hypothalamus, increased cecal acetate and proprionate, and reduced cecal isobutyrate concentration.  
13 Importantly, changes in cecal SCFA levels correlated with reduced depression- and anxiety-like  
14 behaviours<sup>86</sup>.

## 15 5. SCFA, psychological functioning, and neuropsychiatric disorders

16 Despite the knowledge of multiple pathways that support a potential key role of SCFA in MGB axis  
17 signaling, studies linking SCFA directly with one or more aspects of psychological functioning are  
18 sparse, heterogeneous in terms of design and study population, and yield conflicting results.  
19 Notwithstanding these limitations, SCFA have been implicated in a range of neuropsychiatric  
20 disorders. First, there is controversy over the role of SCFA in Parkinson's disease (PD). PD patients  
21 have lower abundance of SCFA-producing bacteria compared to healthy controls<sup>202-205</sup>, and exhibit  
22 lower faecal SCFA concentrations than age-matched healthy controls<sup>206</sup>, suggesting that those  
23 patients could benefit from SCFA supplementation. Butyrate administration in animal models of  
24 Parkinson's disease improved motor impairment and dopamine deficiency<sup>207-209</sup>. However, in a  
25 similar mouse model, orally-administered SCFA mixtures promoted neuroinflammation and motor  
26 deficits in comparison to germ-free or antibiotic-treated mice<sup>210</sup>, suggesting that under genetic  
27 predisposition, SCFA may exacerbate symptoms associated with PD.

1 Further, key neuropathological processes underlying AD may also be modulated by SCFA.  
2 Butyrate administration recovered memory function and increased expression of genes implicated  
3 in associative learning in a mouse model of AD via HDAC inhibition<sup>211</sup>. Valeric, butyric, and  
4 propionic acid interfered with protein-protein interactions among A $\beta$  peptides, thereby disrupting  
5 A $\beta$  assembly into neurotoxic oligomers; specifically, SCFA attenuated conversion of monomeric  
6 A $\beta$ 1-40 and A $\beta$ 1-42 into A $\beta$  fibrils *in vitro*<sup>212</sup>.

7 The role of SCFA in ASD is also controversial. Children with ASD have been reported with  
8 both lower<sup>213</sup> and higher<sup>214</sup> faecal SCFA levels than controls. However, altered faecal SCFA levels  
9 in children with ASD may have various causes such as variability in fibre intake, changes in  
10 microbiota composition, or variability in gut transit time<sup>213</sup>. Animal studies have shown higher levels  
11 of butyrate in the cecum of offspring exposed to valproic acid (murine model of ASD)<sup>215</sup> but another  
12 study demonstrated that treatment with butyrate attenuated social behaviour deficits via modulation  
13 of GABA signaling and modification of inhibitory and/or excitatory gene transcription in the frontal  
14 cortex of a mouse model of ASD<sup>40</sup>. Finally, the extensive work of MacFabe and colleagues<sup>216</sup>  
15 suggested that treating rodents with SCFA, predominantly propionate, via various methods of  
16 administration (intracerebroventricular, intraperitoneal, subcutaneous, and oral) induces  
17 behavioural and brain alterations consistent with those exhibited in patients with ASD<sup>216</sup>.

18 With respect to affective symptomatology, faecal SCFA concentrations were lower in  
19 depressed patients compared to controls in one study<sup>217</sup> but not in another<sup>218</sup>. Moreover, faecal  
20 microbiota transplantation from depressed patients to microbiota-depleted rats transmitted the  
21 anxiety-like behaviour, yet resulted in higher faecal SCFA concentrations than in rats receiving  
22 donor feces from healthy controls<sup>218</sup>. In another study, faecal butyrate unexpectedly correlated with  
23 reported emotional problems in children<sup>219</sup>. In animal models of mania, sodium butyrate reversed  
24 behavioural hyperactivity and restored hypoactivity of mitochondrial respiratory-chain complexes  
25 in the prefrontal cortex, hippocampus, striatum, and amygdala<sup>220</sup>, as well as reversed depressive-  
26 like and manic-like behaviours in rats<sup>221</sup>.

27 In relation to addiction-related behaviour, administration of SCFA normalised reward  
28 responses to cocaine in mice with antibiotic-induced depletion of gut microbiota<sup>222</sup>.

1           In addition to the putative direct influences outlined above, the role of SCFA in  
2 neuropsychiatric disorders may also be indirect, such that instead of alleviating (or exacerbating)  
3 symptoms *per se*, they may alleviate (or exacerbate) symptoms of comorbid physical or  
4 psychological symptoms, thereby indirectly reducing (or enhancing) severity of the primary  
5 neuropsychiatric illness. For instance, enriching SCFA in patients with schizophrenia via  
6 Mediterranean diet-based interventions has been suggested to improve immune and cardiovascular  
7 outcomes associated with increased mortality in these patients<sup>223</sup>.

8           A couple of functional brain imaging studies have been conducted looking at the effects of  
9 SCFA in relation to food reward, cognitive function and brain functional connectivity. See section  
10 3.2.2. Endocrine pathways for one propionate-fMRI study on food reward<sup>109</sup>. In another study, high  
11 fat diet supplemented with 5% of butyrate for two months restored metabolic adaptations,  
12 impairments in spatial memory, systolic blood pressure and cerebral blood flow, functional  
13 connectivity, and neuroinflammation (decreased number of activated microglia in hippocampus and  
14 thalamus, but no changes in TNF- $\alpha$ , IL-6, or IL-1 $\beta$ ) in mice. Finally, butyrate-related changes in  
15 microbiota correlated with changes in neuroinflammation<sup>224</sup>.

#### 16   5.1. Limitations and future directions

17           The above findings suggest that SCFA may indeed play a role in a range of neurological  
18 and neuropsychiatric conditions, as well as psychological functioning in general. However, it is  
19 premature to conclude whether their effect is favourable or unfavourable. A major commonality  
20 across these studies is the quantification of faecal SCFA in both observational and experimental  
21 studies. Faecal SCFA, however, provide information on the resultant non-absorbed SCFA, but do  
22 not reflect *in situ* production rates, absorption, and interaction with other biologically relevant  
23 molecules or cell types<sup>195</sup>. This hampers our ability to understand the influence of SCFA on  
24 (psycho)biological functions. This gap in our understanding stems, presumably, from (a) the  
25 inability to sample the intestinal content, (b) the extensive extraction and metabolism of SCFA in  
26 the splanchnic area (small intestine, liver and colon) resulting in low plasma SCFA concentration,  
27 and (c) analytical challenges in quantifying low plasma SCFA levels<sup>225</sup>. Knowledge of the systemic  
28 availability – that is, the fraction of the administered dose of unchanged compound that reaches the

1 systemic circulation – of SCFA is critical to understanding their full biological relevance, and to  
2 decipher the extent to which systemic SCFA mediate gut-brain communication through the MGB  
3 axis. Recently, our group<sup>16</sup> quantified the systemic availability and metabolism of colonically-  
4 produced SCFA in healthy subjects using stable isotope technology. Known amounts of either <sup>13</sup>C-  
5 labelled acetate, propionate, or butyrate were directly administered to the colon using colon delivery  
6 capsules after which the concentrations of <sup>13</sup>C-labelled SCFA were quantified in plasma. The use of  
7 stable isotopes allowed for selective and sensitive quantification of the SCFA originating from the  
8 colon. On average 36% of the administered acetate, 9% of the administered propionate, and 2% of  
9 the administered butyrate were recovered in the systemic circulation.

10           Nevertheless, quantification of circulating SCFA only will not solve discrepancies between  
11 animal and human literature, nor within-species discrepancies, specifically on the role of SCFA in  
12 neuropsychiatric disorders. Animal models of psychiatric disorders in MGB axis research must  
13 grapple with two major criteria, (a) adequately model the human microbiome and GI tract and (b)  
14 recapitulate the symptom or phenotype of interest. With respect to (a), it should be emphasised that  
15 the overwhelming majority of cited research in this article is based on rodent models. Important  
16 discrepancies between human and rodent (especially mouse) microbiota may render translation to  
17 humans highly challenging<sup>226</sup>. First, the dominant bacterial genera and their relative abundance in  
18 mouse and man are clearly different. Second, the distinct GI tract anatomy between mouse and  
19 human (e.g., large relative size of cecum) suggests that it may not adequately mirror metabolic  
20 interactions, such as microbiota composition across the GI tract, fibre fermentation, and metabolism  
21 and absorption of SCFA, in the human GI tract<sup>226</sup>. Better models for animal and human GI tract and  
22 gut microbiota are the pig<sup>227</sup> and the chimpanzee<sup>228</sup>, yet practical and ethical considerations restrict  
23 their use in MGB axis studies.

24           With respect to (b), it is well established that modeling complex human psychiatric disorders  
25 in animals is extremely challenging, and some argue it cannot mirror the multifaceted nature of any  
26 given psychiatric disorder<sup>229</sup>. Inherent limitations lie in using Diagnostic and Statistical Manual of  
27 Mental Disorders (DSM) criteria to construct animal models, as in humans, multiple symptom  
28 combinations can result in the same diagnosis of depressive disorder. In addition, the boundaries

1 between multiple psychiatric disorders, as well as between normality and disorder are quite arbitrary  
2 in humans<sup>229</sup>. Moreover, phenomenology of certain symptoms such as sadness and guilt in animals  
3 are impossible to validate. Finally, a pathophysiology-based animal model of a neuropsychiatric  
4 disorder usually consists of a single mutation that may associate with multiple disorders, as was the  
5 case with the DISC1 mutation that resulted in major depressive disorder, bipolar disorder, and  
6 schizophrenia in one family<sup>230</sup>. While animal models are invaluable for studying highly specified  
7 molecular, cellular, genetic, or neural abnormalities in a given neuropsychiatric disorder, no model  
8 may be capable of sufficiently recapitulating etiological factors, developmental processes, and  
9 temporal dynamics that rendered a human a psychiatric patient. Currently, it is advised to regard  
10 animal models of psychiatric disorders as endophenotype/symptom-based models and consider  
11 them a “biological system representing a *distinct* pathological process, but not a *nosological*  
12 *entity*”<sup>231</sup>. That is, to refrain from modelling all interdependent dimensions of a disorder and instead  
13 restrict the model to a single symptom, provided that its various dimensions/endophenotypes are  
14 adequately distinct and separable<sup>231,232</sup>.

15 Taken together, animal studies are instrumental in advancing our understanding of the  
16 neurobiological underpinnings of psychiatric disorders and have unquestionably permitted the  
17 exploration of molecular mechanisms that are impossible, or at best unethical, to reveal in humans.  
18 Future animal studies on the role of SCFA in MGB axis should therefore draw on models that  
19 adequately mirror the GI tract and gut microbiome and focus on a single endophenotype of a given  
20 psychiatric disorder. Difficulty in satisfying these conditions may, for instance, partially account for  
21 failing to translate the effect of *Lactobacillus rhamnosus* (JB-1) on emotional behaviour from  
22 animals<sup>156</sup> to humans<sup>233</sup>. True significance of the findings in animal models is their translatability in  
23 humans, thus advancing methodologies for studying the role of SCFA in the MGB axis in humans  
24 is needed. As reviewed, SCFA can be directly administered to humans orally, intravenously,  
25 colonic (via an enema), or by using an esterified fibre to facilitate colonic SCFA delivery<sup>89,234</sup>.  
26 While no single method may be perfect, findings from such studies would complement animal  
27 studies to increase our understanding of the different pathways by which SCFA may influence brain  
28 and behaviour. Finally, in humans, multiple factors, such as dietary fibre intake, microbiota



1 composition, and gut transit time, can contribute to SCFA production, and throughout the GI tract,  
2 multiple “stations” can modify absorption rate and incorporation to relevant biological processes.  
3 Variability of these mechanisms is larger in humans with GI and neuropsychiatric disorders  
4 compared to healthy populations. Thus, drawing solid conclusions on the role of SCFA in MGB  
5 axis may be an unsurmountable challenge if knowledge on their role in healthy humans is not  
6 systematically researched prior to that in patient populations. A set of recommendations to better  
7 address the role of SCFA in the MGB axis is summarised in (Box 1).

## 8 6. Conclusion

9 This paper synthesised a broad literature, supporting a role of SCFA as mediators of MGB  
10 interactions. By virtue of their putative effects on brain function via various gut-brain signaling  
11 pathways, they may act as a mediator of the effects of probiotics, prebiotics, and dietary  
12 interventions on a range of psychological functions. The link between SCFA and psychological  
13 functioning is slowly solidifying in animal research, but there is a dearth of human research, and  
14 little convergence in human and animal studies. Interventions using direct SCFA administration in  
15 humans should therefore be carried out. Prior to making claims on a mechanistic role of SCFA in  
16 the MGB axis, information on dose-effect relationships with respect to various psychological  
17 functions and the individual contributions of the major SCFA to observe such changes are needed.

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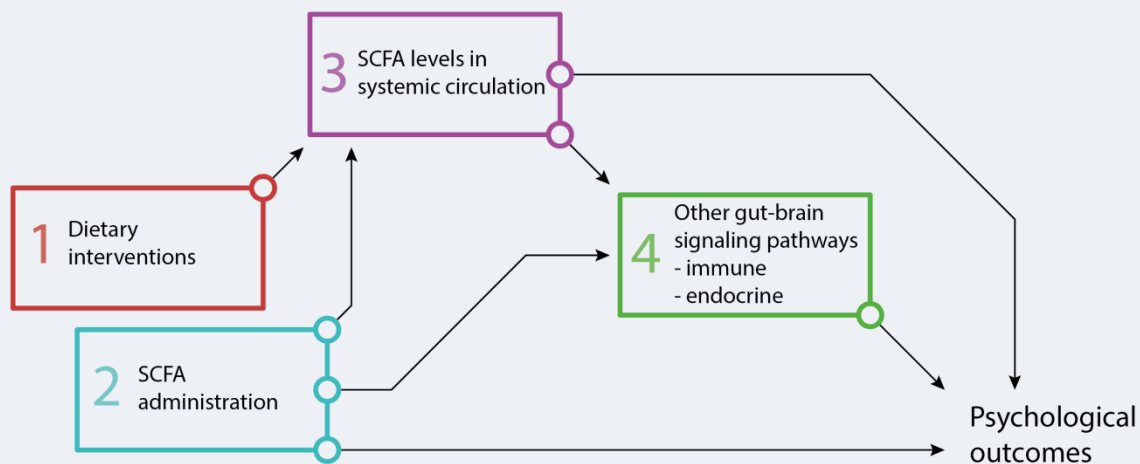
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## 36 8. Key-points

- 37
- 38 • SCFA are speculated to play a mediational role in the microbiota-gut-brain axis crosstalk.
  - 39 • SCFA may influence psychological functioning via interaction with G-protein coupled
  - 40 receptors, histone deacetylase inhibition, and exert their effects on the brain via direct humoral
  - 41 effects, indirect hormonal and immune pathways, and neural routes.
  - 42 • Dietary intervention studies indirectly implicate a mediational role of SCFA on cognition and
  - 43 emotion.
  - 44 • Animal studies provide direct evidence of the effects of SCFA on neuropsychiatric disorders
  - 45 and psychological functioning, whereas human studies are sparse, suffer from methodological
  - 46 limitations, and offer inconsistent conclusions.
  - 47 • SCFA should be quantified in the systemic circulation in dietary intervention studies where
  - 48 the effects on psychological functioning and psychopathology are an outcome of interest.
  - 49 • SCFA could be used as intervention substances to target microbiota-gut-brain interactions in
  - 50 humans.

**Box 1.****Future directions in the study of SCFA as a mediator of microbiota-gut-brain interactions**

1. Quantification of systemic concentrations of SCFA in probiotic, prebiotic, and dietary-intervention studies and use of mediation analysis to determine the extent to which SCFA mediate the effects of the intervention on the psychological outcome of interest.
2. Utilize SCFA as intervention substances and directly test their effects on psychological functions in humans.
3. Quantification of systemic concentrations of SCFA as opposed to faecal SCFA.
4. Examine whether changes in other relevant microbiota-gut-brain interaction pathways, including the immune and endocrine systems, are driven by changes in SCFA production.



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3 **FIG 1. Metabolism of SCFA: From dietary fibre to systemic circulation.**

4 Fermentation of dietary fibre by commensal bacteria in the colon leads to the production of SCFA.  
 5 SCFA are rapidly absorbed by colonic cells via monocarboxylate transporters, and produce energy for  
 6 the cells. SCFA that are not absorbed by the colonic cells travel via the basolateral membrane into  
 7 the portal circulation, whereby all SCFA provide energy for the cells. In the liver SCFA are  
 8 incorporated in glucose, cholesterol, and fatty acids. Only small amount of the colonically-produced  
 9 SCFA thus reach systemic circulation (part of the figure was adapted from den Besten et al. (2013)).

10 **FIG 2. Potential pathways through which SCFA may modulate brain function.** Dietary fibre,  
 11 prebiotics, and probiotics contribute to increases in SCFA via proliferation of beneficial bacteria or  
 12 fermentation of complex carbohydrates. SCFA may influence gut-brain communication and, hence,  
 13 brain function by interacting with FFARs on colonocytes, acting as histone deacetylase inhibitors,  
 14 influencing gut permeability and systemic inflammation, and inducing the release of gut hormones. If  
 15 SCFA successfully crossed the BBB, they may exert direct effects on the brain, including influencing  
 16 neurotransmission and neuroinflammation. SCFA: short-chain fatty acids, FFARs: free fatty acid  
 17 receptors, DC: dendritic cell, GLP-1: glucagon-like peptide-1, PYY: peptide YY, SNS: sympathetic  
 18 nervous system, HDAC: histone deacetylase, NTFs: neurotrophic factors, MCTs: monocarboxylate  
 19 transporters, BBB: blood-brain barrier.

20 **FIG 3. Gut-brain signaling pathways.**

21 The immune system, endocrine system, vagus nerve, and the humoral system mediate interactions  
 22 between the gut (and potentially SCFA) and the brain. PYY: Peptide YY, GLP-1: glucagon-like peptide-  
 23 1, BBB: blood-brain barrier, BDNF: brain-derived neurotrophic factor, NGF: nerve growth factor,  
 24 GDNF: Glial cell-derived neurotrophic factor; TEER: transepithelial electrical resistance.

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## **Glossary**



<b>Term</b>	<b>Definition</b>
Microbiota-gut-brain axis	The bidirectional communication occurring between the central nervous system and the gastrointestinal tract, including the enteric nervous system and the gut microbiota.
Short-chain fatty acids	Mainly including acetate, propionate, and butyrate, the major end products of bacterial fermentation of dietary fibre in the colon.
Probiotic	Live microorganisms that, when administered in adequate amounts, confer a health benefit on the host.
Prebiotic	A substrate that is selectively utilized by host microorganisms conferring a health benefit
Dietary fibre	A fraction of edible parts of plants, including polysaccharides, oligosaccharides, and lignins, that resists digestion in the small intestinal and is partially or fully fermented in the large intestine.
Transepithelial electrical resistance (TEER)	An index of the integrity and permeability of a barrier by quantification of electrical resistance across a cellular monolayer
Hypothalamo-Pituitary-Adrenal (HPA) axis	The neuroendocrine system comprising a set of interactions between the hypothalamus, pituitary gland, and the adrenal glands, which is highly involved in the regulation of the organism's stress response, as well as other bodily processes.
Fear extinction	The decline in fear response to a previously conditioned stimulus following multiple non-reinforced presentations of the conditioned stimulus