A PIM-to-Code Requirements Engineering Framework

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Abstract: The complexity of Model-driven engineering (MDE) leads to a limited adoption in practice. In this paper we argue that MDE offers "low hanging fruit" if creating executable UML models allowing core functionality prototyping is targeted rather than developing full-fledged information systems. This paper describes an environment for designing and validating conceptual business models using the model-driven architecture (MDA). The deliverable of the proposed modelling environment is an executable platform independent model (EPIM) that is further tested and validated through an MDA-based simulation feature. The proposed environment addresses a set of challenges associated with 1. shortcomings of the UML for being technically too complex for conceptual modelling goals as well as for being imprecise for rapid prototyping; 2. difficulties of MDE adoption due to the large set of required skills to adopt the key MDA standards such as the UML, MOF and XMI. The paper aims to introduce the current work and identify the needs for future research.

1 INTRODUCTION

Model-driven architecture (MDA) and engineering (MDE) are new initiatives which have produced a large amount of research and published material. Somewhat paradoxically MDE is too little used in practice, mainly because existing MDE solutions require extensive training due to the large set of skills required for using accepted standard MDA technologies and because MDE solutions often constrain themselves to specific architectures, platforms and 3-rd party technologies, making the reuse of transformations difficult. In this paper we argue that MDE offers "low hanging fruit" if creating executable UML models allowing core functionality prototyping is targeted rather than developing full-fledged information systems. This paper describes an environment for designing and prototyping conceptual business models using the model-driven architecture (MDA). Such approach benefits to the business analyst's model understanding and to the communication with and validation of models by business domain experts.

2 PROBLEM DOMAIN

MDE focuses on 1. designing platform independent ***models*** as the main representation of a system-to-be, having a sufficient level of completeness to generate other models or code from them; 2. ***transformation(s)*** (mappings) from platform independent to platform specific models or code, a process that may pass through a number of mappings before a software artefact can be generated. The OMG offers the MDA as a set of standards to realise this MDE approach. The key standards include (a.o.) the UML, Meta-Object Facility (MOF), XML Metadata Interchange (XMI) and Object Constraint Language (OCL). As stated in (Borland, 2004): “The technical complexity of UML has been held responsible for modelling adoption issues. Few expert modellers can rapidly evolve an application from requirements to code. ... Many of today’s modellers are casual in their approach; MDA, however, requires increased rigor and training in UML modelling”. (Erickson, Siau, 2007) present the complexity metric of the UML which scores from 2 to 11 times more complex than those of other methods due to the diversity of supported constructs and diagrams. Among the other fundamental deficiencies of UML is that it is unclear how to combine interactive, structural and behavioural aspects together in a single model (Gustas, 2010). Furthermore, (Buckl et al., 2010) points out the “noisiness” of modelling languages

with various concepts, that can result in misusing concepts and creation of unintended models, i.e. models that use the language concepts in a way not intended for the modelling domain.

The same holds true for the OMG's MOF and XMI standards which are used to store, transport and exchange models between tools. XMI is extensively used by translationist approaches that start with PIMs and progressively add refinements to produce PSMs. The main purpose of XMI is to enable the interchange of meta data between tools in heterogeneous environments. Despite these benefits XMI is also associated with issues like semantic mismatches, version incompatibilities (XMI/UML/MOF), human-readability, etc. Finally, transformations are mostly written using platform specific technologies and often have extensive dependencies on 3rd party technologies such as application and database servers, making their (re)use unnecessarily complex for prototyping purposes.

In theory, the MDA/MDE approach aims to simplify the development process in order to address the problems of rapidly changing business requirements and technologies by making the development process less dependent on specific programming languages and platforms. To achieve this goal MDE aims at a higher level of abstraction and genericity by grounding the development process onto models and allowing model-to-model transformations to bridge across platforms and languages. Such model-based abstraction on the other hand, creates its own share of complexity in practice with existing solutions continuously growing into a large all-in-one-capable pot. For instance, UML aims at genericity by supporting modelling various views of a system but on the other hand fails to provide good means of separating aspects per development phase (e.g. conceptual modelling versus program design). UML also fails to provide good support for recombining different views into one global and consistent model. Furthermore, although current approaches for model-to-model transformations attempt to achieve high traceability among models, this goal has not been adequately realized yet. Debugging and runtime performance modifications are still tied to the code level and cannot easily be traced to the model-to-code or (even more difficult) to the preceding model-to-model transformations. Despite the promise of easing the development process by getting rid of platform dependence, in current practice, MDE doesn't simplify the development process in terms of traceability and maintainability.

Thus, while MDE seems very promising, its practical utility is still limited by the fact that:

- UML is too complex to achieve a right design within a short time to be further processed with an MDE approach
- MDE model-to-model and model-to-code transformations are hard to write, debug, maintain and reuse

Despite these hurdles, we believe that MDE can be feasible and offer a "quick win" if prototyping is targeted rather than the development of full-fledged information systems and if mappings to PSMs are skipped and PIMs are directly transformed to code. Restriction to prototyping makes sense because it allows creating *executable* PIMs (EPIM). The current standard of MDD is the executable UML (xUML) which provides a key technology for expressing application domains in a platform independent manner. The xUML is a profile of UML 2.0 that defines the execution semantics for a subset of the UML. The Foundational UML (fUML) and the Action Language for fUML (Alf) are the new executable UML standards: fUML specifies precise semantics for an executable subset of UML, and Alf specifies a textual action language with fUML semantics. These however do not bring the MDE any closer to the novice modellers or simplify it such as making model validation by means of rapid prototyping easily feasible for technical and business domain experts. Still a very detailed diagramming with fUML is required and a solid knowledge of both fUML and Alf is required to make further transformation of UML to code. We will use the MERODE methodology and a proposed prototyping tool which will allow us to filter away unnecessary detail, use the consistency by construction provided by its modelling tool to minimize required input skills (thus tailoring the approach to novice users), as well as make it possible to receive automated feedback in the prototypes. In this paper the term *executable* PIM refers to a sufficient level of abstraction and completeness of the PIM enabling applying transformation(s) from platform independent to platform specific models or code. The straight-to-code approach enables rapid simulation of a model which 1) improves a modeller understanding of the PIM; 2) improves the communication with business experts leading to decreased requirements engineering cycles, benefiting the time-to-market of the final IS. Additionally the straight-to-code approach simplifies the development of model-to-code transformations, their debugging and maintenance and facilitates their reuse.

Putting MDE at work in this way requires simplification techniques to meet these goals. The proposed

simplification within the research presented here include:

- the use of a restricted part of UML as proposed by the MERODE methodology (Snoeck et al., 1998)
- the use of a template-based transformation approach going straight from model to code (i.e. a model-to-text transformation).

Starting from a high-level PIM (close to a Computational Independent Model (CIM)) allows removing or hiding details irrelevant for a conceptual modelling view. This makes the approach easier to understand and a one-click prototype production lowers the required skill-set for its useful application. Next, because of an absence of debugging techniques across models and platforms, the straight-to-code transformation is easier to create, reuse and maintain than a set of intermediate model-to-model increments. To operationalize this, we developed a requirements engineering environment that includes a proprietary modelling tool JMermaid and its companion simulation tool that assists in creating enterprise models according to the MERODE methodology. Advantages of a proprietary environment over the industry tools include: 1) a simplified modelling tool adapted to conceptual modelling goals, 2) models that are readily transformable to code, making them truly executable, 3) a fully functional prototype generated by a "single click". In the specific case of JMermaid, the generated prototype is augmented with a feedback feature that links parts of the applications to the corresponding part of the model (Sedrakyan, Snoeck, 2012). Such an approach yields additional benefits such as better support of the process of developing modelling competences and the ability to involve end-users early on in the development of the system-to-be by letting them test the incrementally growing prototypes.

3 CONCEPTUAL MODELLING WITH JMERMAID TOOL

To address the indicated UML issues MERODE adapts the use of UML to 1) alleviate the problem of "noisiness" of UML, and 2) ensure the quality and "transformability" of the model. In MERODE the object-oriented business model typically consists of 3 system views that together define a platform independent model. The business domain model consists of a class diagram, an interaction model and a number of state charts. JMermaid is an adapted modelling tool for modelling conceptual business models based on the MERODE concepts. The 3 system views in the tool are represented with a tabbed view which suggests an intuitive, incremental and iterative modelling process. Figure 1 depicts the artefacts and modelling cycle with MERODE within the proposed adapted environment. The class diagram is a restricted form of UML class diagram: the types of associations are limited to binary associations, with a cardinality of 1 to many or 1 to 1. Many to many associations need to be converted to an intermediate class. The interaction model consists of an Object-Event Table (OET), created according to the principles of MERODE (Snoeck, Dedene, 1998). It represents a kind of CRUD-matrix, a technique borrowed from Information Engineering (Martin, 1982). In MERODE, "business events" represent atomic actions from the real world in which one or more domain objects can participate. Each business event is assigned an owner class indicated by an "O/" preceding the kind of involvement (Create, Modify, End).

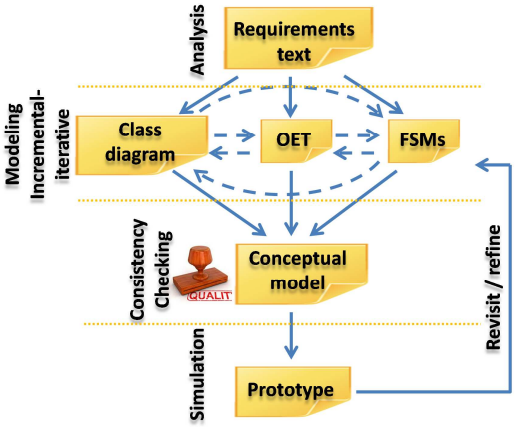

Figure 1: Modelling cycle and artefacts with MERODE.

The other participants are considered as "Associated" participants and have the C, M or E preceded by "A/". The finite state machines allow the object type to impose sequence constraints on the business events it is involved in. Multiple Finite State Machines (FSMs) allow to model independent aspects as parallel machines.

Figure 2 shows a snapshot combining the three main views supported in the JMermaid modelling tool. To ensure the completeness of a model to be processed by a code generator the tool uses consistency checking and validation techniques. To simplify its usage, the tool allows managing consistency between the three views in an automated way: it follows a "consistency-by-construction" approach (Snoeck et al., 2003) (Haesen, Snoeck, 2004) meaning that each

time when entering specifications in one view, specifications that can be derived for other views are automatically generated by the tool. As an example, one of the design guidelines states that when defining a class, one should provide at least one method

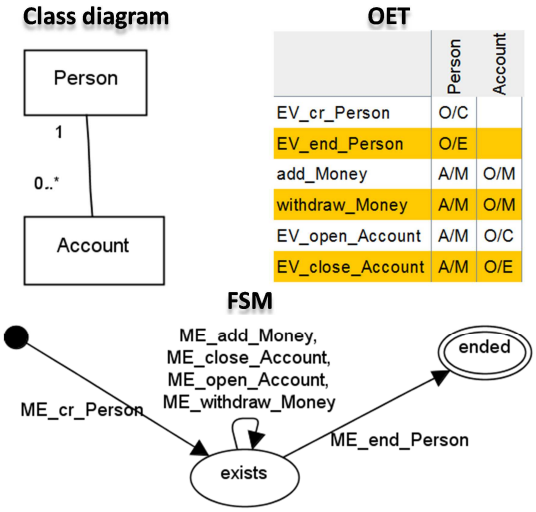

Figure 2: Modelling views within JMermaid: class diagram, Object-Event Table (OET) and a Finite State Machine (FSM).

to create instances of that class and one method to terminate instances. So when a business object is entered in the class diagram, the necessary completions are automatically performed in the OET and FSM views. This modelling approach ensures a perfect integration between the structural, interactive, and behavioural aspects, achieving models that are truly executable to be further validated through the prototyping feature.

4 MDA-BASED EXECUTION

Transformation to code can be achieved through a single click: the output is a Java project containing both a compiled application in executable JAR format and the source-code. The minimal input that can be accepted by the prototyping tool is actually a model that contains at least one business object in the class diagram view along with the minimal set of default elements, state machine states and transitions that are automatically generated by JMermaid. A set of default attributes for business objects, if not specified by a user, are automatically generated too.

The code generator for MERODE was built using the Java language and Velocity Templates Engine (http://velocity.apache.org). Figure 3 shows the transformation process behind the prototyping feature. The generator takes as an input the XML file (output of the JMermaid modelling tool). The XML parser module then "collects" the properties (rules) defined by a model, the code generator module further distributes the properties into template contexts.

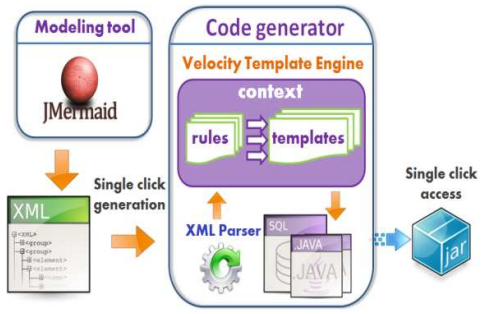

Figure 3: MERODE prototype generator's structure.

It is the template engine's responsibility then to merge each context with a specified template to generate a set of files, e.g. a database script, data access objects, hibernate mappings, event handlers and user interfaces or configuration files. Finally, the compiler module transforms the bunch of files into a compiled executable application. Velocity template contexts act as mapping contracts between the EPIM and the prototype code.

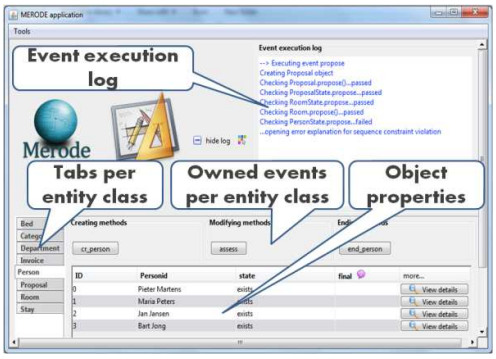

Figure 4: The main GUI of the prototype application.

The compiler module uses the IBM's eclipse compiler for Java (ECJ) making it possible to incrementally compile any modification made to the generated prototype's code afterwards which can be made in a simple text editor. A lightweight Hypersonic database is included in the application package (http://hsqldb.org) with a user interface that can be invoked from inside a prototype application.

A user interacts with the generated application through the graphical user interface (GUI) which

offers basic functionality like triggering the creating and ending of objects, and triggering other business events. Figure 4 shows the main interface of a generated prototype. The GUI layer is built on top of the event handling layer. The event handling layer consists of a collection of so called event handlers. The task of the latter layer is to handle all events correctly by managing the appropriate interactions with the objects in the persistence layer.

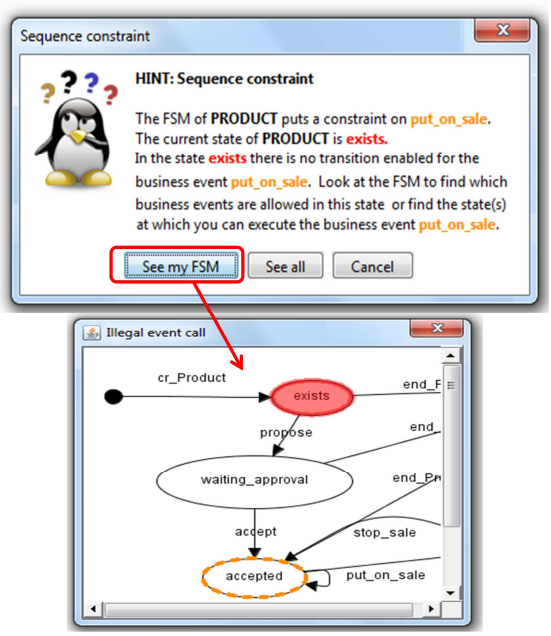

Figure 5: Automated feedback on event execution refusal.

The working of an event handler can be described in four steps: 1) upon an event execution call the event handler 'asks' every participating object (the participants to a business event that have been specified in the Object-Event Table) whether all preconditions set by the object are met. For example, associations between classes will lead to preconditions to maintain referential integrity; 2) Similarly to the previous step the event handler retrieves from every participating object its current state (or reference to the corresponding state object) and checks whether that state allows further processing of the event; 3) If all results of the tasks in step 1 and 2 are positive, the event handler invokes the methods in the participating objects, i.e. corresponding event triggered in response to processing the originally called event in the specific object; 4) next, if all results of previous steps are positive, the event handler executes the method in all participating objects retrieved in step 2 to implement the state modifications (according to the triggered event).

While executing a business event in a prototype application users can follow in an event execution log frame what is happening in the upper right corner of the generated application. When an event is refused (because of failed precondition checks) the user is informed of the refusal with a message that explains the reason of rejection by indicating what constraint of a model is violated (e.g. creation/end dependency or integrity constraint, FSM imposed constraint, etc.). Figure 5 shows for example how the triggering of a business event is refused by the application because the business rules stated in the form of a Finite State Chart impose a precondition that is not met by the current state of the business objects. The automated feedback includes an explanation message followed by graphical visualisation upon user's request. Such model execution with automated feedback enables a much better understanding of models than can be obtained by just reading a model.

5 EXPERIENCES AND EVALUATION

In its current form, the tool is mainly used in a teaching environment. Hence, the optimizations have been mainly motivated by the educational context and are therefore based on our observations of student achievements over a period of 5 years, experiments and observations of a progress curve of delivered results from tasks before and after the use of code generator, constant feedback from 300 students overall, as well as similar issues found in related research. Previously the simulation was achieved through a chain of several transformation and execution steps before being able to run the prototype. The prototyping process was in addition complicated by an extra dependency of a generated prototype on an application server. Furthermore, the graphical visualizations of errors were implemented as an optional plugin students could extend their prototypes with. Due to their low technical skills, students experienced various difficulties throughout the simulation process chain, which made the major part of students reluctant in using the feature mostly resulting in "didn't use" answer while evaluating the feature. Despite these early problems the prototyping and errors' visualizations were rated above average by the students. Furthermore, a little experiment conducted with students before and after the use of simulated model resulted in the positive correction of 1,16 in the interpretation of a model, increasing from 7,63 to 8,59 in a range of 0-10. In the mean-

time, the problems with the simulation chain have been solved by providing students with an all-in-one package allowing to generate and start a prototype with a single click from the student side as described in this paper. We therefore expect this tool to score even better in 2012-2013 resulting in a much higher positive correction. The preliminary test among 49 novice learners using true/false questions to assess the understanding of a model (both structural and behavioural aspect) already confirmed the expectations: for 6 question out of 9 positive corrections {21, 1, 4, 2, 6, 8} are observed. However, for 3 questions still some negative impact was observed. This indicates that for a novice modeller identifying right scenarios for testing a model can be yet another issue in using a prototype to validate a model. Hence, the need to improve testing capabilities or even providing tool assistance in developing test scenarios can be considered while implementing further extensions. This also suggests improvements in designing the experiments for evaluating the tool such as clustering of the users according to their expertise (e.g. novice, intermediate, advanced…).

6 CONCLUSIONS

While the use of existing MDE approaches require extensive training, the current research demonstrates how a (template-based) MDE approach can be put at work to the benefit of conceptual modelling, requiring a minimal input and minimal skill-set of business analysts. The proposed environment also claims that the resulting simulation facilities for EPIMs improves the business analyst's understanding of a model, yielding better modelling decisions and easing the end-users' involvement in the validation cycle. In its current form, the tool is used in a teaching environment and already revealing its capability of increasing the students understanding of models (Sedrakyan, Snoeck, 2012). The tool can be further validated by industry users.

Among the possible evolutions of the work could be to address current limitations of the code generator, such as the extension with an ability to generate code from models that use inheritance and support for general constraints formulated in OCL. The enhancement with OCL support would allow to swiftly validate a set of business rules implemented by means of a conceptual model. Another possibility for extension is the development of a user-friendly interface to allow modification of the structure of the generated application to better tailor it to the user's familiar environment. Yet another enhancement would be to modify the generator in a way that each entity can be generated as a self-contained component that can "inject" itself into a generated application as well as be easily removed from it.

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