

1 **Energy Cost of Running Instability Evaluated with**
2 **Wearable Trunk Accelerometry**

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40 **ABSTRACT**

41 Maintaining stability under dynamic conditions is an inherent challenge to bipedal
42 running. This challenge may impose an energetic cost (E_c) thus hampering endurance
43 running performance, yet the underlying mechanisms are not clear. Wireless tri-axial trunk
44 accelerometry is a simple tool that could be used to unobtrusively evaluate these mechanisms.
45 Here, we test a *cost of instability* hypothesis by examining the contribution of trunk
46 accelerometry-based measures (tri-axial root mean square, step and stride regularity, and
47 sample entropy) to inter-individual variance in E_c ($\text{kcal}\cdot\text{km}^{-1}$) during treadmill running.
48 Accelerometry and indirect calorimetry data were collected concurrently from 30 recreational
49 runners (16 men; 14 women) running at their highest steady-state running speed ($80.65 \pm$
50 5.99% VO_2 max). After reducing dimensionality with factor analysis, the effect of dynamic
51 stability features on E_c was evaluated using hierarchical multiple regression analysis. Three
52 accelerometry-based measures could explain an additional 10.4% of inter-individual variance
53 in E_c after controlling for body mass, attributed to anteroposterior stride regularity (5.2%),
54 anteroposterior RMS ratio (3.2%), and mediolateral sample entropy (2.0%). Our results lend
55 support to a *cost of instability* hypothesis, with trunk acceleration waveform signals that are
56 1) more consistent between strides anteroposteriorly, 2) larger in amplitude variability
57 anteroposteriorly, and 3) more complex mediolaterally, are energetically advantageous to
58 endurance running performance. This study shows that wearable trunk accelerometry is a
59 useful tool for understanding the E_c of running, and that running stability is important for
60 economy in recreational runners.

61 **NEW & NOTEWORTHY**

62 This study evaluates and more directly lends support to a *cost of instability* hypothesis
63 between runners. Moreover, this hypothesis was tested using a minimalist setup including a
64 single tri-axial trunk mounted accelerometer, with potential transferability to biomechanical
65 and performance analyses in typical outdoor settings.

66 **KEYWORDS**

67 Wearable technology; trunk accelerometer; energy cost; running instability; running economy

68 **GLOSSARY**

AP	anteroposterior
BLa	blood lactate
BMI	body mass index
CPU	central processing unit
CoM	center of mass
Ec	energetic cost
g	acceleration due to gravity
HR	heart rate
ML	mediolateral
OBLA	onset of blood lactate accumulation
RER	respiratory exchange ratio
RMS	root mean square
V_{OBLA}	highest treadmill velocity prior to OBLA
VO_2	oxygen consumption
$VO_2 \text{ max}$	maximal aerobic power
V_{peak}	peak treadmill speed
VT	vertical

69

70 **INTRODUCTION**

71 Running economy is widely accepted as a key determinant of endurance running
72 performance. Running economy is also a complex, multifactorial phenomenon with numerous
73 anthropometrical, demographic i.e. age- sex- and ethnic-related, physiological,
74 biomechanical, and neuromuscular determining factors (5, 23, 28). Of these factors,
75 establishing a biomechanical basis to running economy continues to be of interest to
76 researchers and coaches. For example, using biomechanical principles such as drafting, lighter
77 shoes, and course elevation drop has recently been proposed as quantifiable strategies needed
78 to reduce energy cost and break the two-hour marathon barrier (17). A biomechanical basis
79 for running economy is also intuitive from a running ‘technique’ standpoint. Although the
80 majority (~80%) of running economy is determined by the cost to support body mass (4), a
81 most recent review has revealed that superior running economy has its strongest direct links to
82 running technique characteristics such as less leg extension at toe-off, larger stride angles,
83 alignment of the ground reaction force and leg axis, and low activation of the lower limb
84 muscles (28).

85 Despite the plethora of studies examining biomechanical or running ‘technique’ links to
86 economy (4, 14, 22, 30, 34, 37, 46, 51), the upper extremity with respect to trunk control or
87 dynamic postural stability has been largely ignored. Evolutionary theory suggests that while
88 structural adaptations have allowed humans to have a more stable and less energetically costly
89 running gait, human running remains unwieldy and prone to instability (9, 25). Indeed, trunk
90 control has been identified as a critical component of locomotor efficiency (38). During
91 ground contact a runner must activate muscles sufficiently to ensure adequate stability while
92 also maintaining forward momentum (14). Electromyography has demonstrated that during

93 human running, the back extensors activate early to control forward momentum during
94 impact, while abdominal obliques' actively decelerate the thorax during second half of stance
95 (35). The increased activation of these trunk muscles could help explain earlier findings
96 relating trunk lean to running economy (51). For example, aside from a comprehensive set
97 running gait characteristics, Williams and Cavanagh (51) showed that a group of distance
98 runners with the best running economy exhibited greater mean forward trunk lean relative to
99 the vertical compared to runners with middle and least economical groups (51). Other
100 previous work indicates that larger horizontal plane lumbo-pelvic motion while running
101 relates to augmented activity of both abdominal and the superficial multifidi muscles (38).
102 Therefore, it is plausible that various characteristics of dynamic stability or trunk kinematics
103 in 3D could influence the activation levels of these stabilizing muscles as well as running
104 economy.

105 The effects of upper extremity posture on walking and running economy has been
106 indirectly assessed by removing stability (2, 3, 48). For example, instability induced by
107 suppressing arm swing increases energetic cost (E_c) by 7.7% and 7.6% while walking (48) and
108 running (3) respectively. The maintenance of lateral balance i.e. additional step width
109 variability has been a primary yet refuted mechanism for this increase (3), reasoned that other
110 unknown aspects of dynamic stability could account for this increased energetic cost.
111 Alternative explanations may include compensatory strategies in torso rotation or increases in
112 the free moment in the horizontal plane (3). Moreover, dynamic instability as reflected by
113 larger lateral and horizontal total excursions or exacerbated accelerations of the CoM could
114 also account for increased energy cost without arm swing (15).

115 Wearable trunk accelerometers provide a new level of analysis for dynamic stability of
116 human locomotion. Accelerometers have improved from an accuracy, sensitivity, and
117 computing power standpoint and have enabled more sophisticated analyses of motion. When
118 mounted to the lower trunk, accelerometry unobtrusively estimates CoM motion and thus
119 allows for several aspects of dynamic stability to be captured. These stability aspects, whether
120 it function vertically i.e. body weight support, mediolaterally i.e. side-to-side balance control,
121 or anteroposteriorly i.e. braking and propulsion could more directly test various biomechanical
122 hypotheses underpinning running economy. To the knowledge of the authors only two studies
123 (26, 49) and one pilot study (32) have previously used trunk accelerometry-based measures to
124 estimate energy expenditure while running. Unfortunately, these studies (26, 49) did not
125 investigate submaximal running economy specifically, by including running intensities
126 beyond aerobic i.e. ranging to maximal aerobic capacity in their regression analyses.
127 Additionally, these studies either did not assess (32, 49) or did not delineate (26) inter-subject
128 variations in running economy. Therefore, it remains unclear which trunk-accelerometry
129 based aspects of dynamic stability may be economically favourable for endurance running.
130 Several linear and non-linear stability aspects are worthy of investigation.

131 Firstly, higher amplitudes or variations of trunk accelerations expressed as the acceleration
132 root mean square (RMS) could reflect excessive changes in momentum that are energetically
133 wasteful (14). Vertically, this is plausible since runners with poor economy often demonstrate
134 larger vertical oscillation of the pelvis (12) and CoM (12, 46, 51), which may translate to
135 larger vertical accelerations. Horizontally, this is plausible since coordination patterns of the
136 pelvis and spinal segments during running function to minimize both ML and AP changes in
137 momentum (35, 38). Indeed, Folland *et al.*,(12) recently found that runners with greater
138 minimum AP horizontal velocity of the pelvis i.e. more deceleration/braking were more

139 energetically costly. Poor trunk coordination could therefore increase energetic cost via larger
140 changes in horizontal momentum (15). In partial support, trunk accelerations in the ML and
141 AP direction have shown to increase due to running induced fatigue (24, 40).

142 Secondly, the dominant autocorrelations of acceleration waveforms could empirically test
143 whether the ability to maintain a global consistency either between steps or strides are
144 influential on economy. Step regularity indicates bilateral (a)symmetry and could evaluate the
145 notion that as occurring in cars, dynamic asymmetries could generate a higher energetic cost
146 to travel a given distance (43). Stride regularity indicates consistency between strides, and
147 sticking with the car analogy, inconsistencies thereof could be synonymous to a driver rapidly
148 and/or more frequently applying accelerations i.e. “gas-brake-gas” that result in increased fuel
149 consumption.

150 Thirdly, the sample entropy of trunk accelerations accounts for the complexity of the trunk
151 acceleration signal waveform and could assess whether overall fluidity of a runner’s gait
152 pattern is related to economy (1). Sample entropy is a non-linear measure that might be
153 sensitive enough detect movement efficiencies masked by linear measures such as the RMS.

154 Here, we test a *cost of instability* hypothesis that proposes a link between a runner’s
155 stability and running economy, and that this link can be assessed using measures derived from
156 wearable tri-axial trunk accelerometry. Specifically, we hypothesize that runners running with
157 less deviations in CoM motion such as 1) less amplitude variability (RMS); 2) higher
158 symmetry; 3) higher consistency; and 4) less complexity have a running gait that is
159 energetically advantageous. We experimentally evaluate these hypotheses using simple and
160 non-linear measures including 1) the RMS; 2) inter-step 3) inter-stride regularity, and 4) the
161 sample entropy of waveforms of each acceleration axis (vertical, ML, AP), each of which

162 express unique aspects of dynamic stability during running. Additionally, since low-pass
163 filtering of acceleration waveform is a common, yet often questioned pre-processing
164 approach, we further assessed whether leaving accelerations unfiltered prior to calculating
165 stability measures would explain more inter-individual variance in Ec.

166 **METHODS**

167 *Subjects.* Thirty recreational to moderately trained runners including 16 men and 14
168 women (aged 19-26 years with running experience of 5 - 10 years) volunteered to be part of
169 this study. To be included in the study runners had to be running regularly (2 – 4 sessions per
170 week; 15 – 40 km/week) and have prior experience with treadmill running. All subjects were
171 screened to have no known history of metabolic, neurological, cardiovascular disease, or
172 surgery to the back or lower limbs, and were symptom-free of any lower extremity injury for
173 at least six months prior to the study. All runners provided written informed consent prior to
174 participation in accordance with the Declaration of Helsinki. The local ethics committee of
175 Stellenbosch University approved the study (# SU-HSD-002032).

176 *Incremental treadmill running speed test.* Subjects were asked to refrain from alcohol,
177 caffeine, and vigorous physical activity for 24 h before the session. They were also instructed
178 not to consume any food or drink, other than water, during the 90 min before the testing
179 session. All subjects indicated “excellent” as their self-reported motivation for exercise testing
180 on the day. Subjects performed a maximal incremental running test to exhaustion at 1% slope
181 on a motorized treadmill (Saturn h/p/cosmos, Nussdorf-Traunstein, Germany), starting at a
182 running speed of 2.22 m•s⁻¹ or 2.5 m•s⁻¹ depending on individual comfort and previous
183 experience. A warm-up of four minutes’ equivalent to starting speed was first provided, after

184 which treadmill speed was increased discontinuously in increments of $0.42 \text{ m}\cdot\text{s}^{-1}$ every four
 185 minutes interspersed by a one-minute rest until volitional exhaustion. This protocol was
 186 chosen based on pilot data that suggested four-minute intervals were sufficient to
 187 accommodate to each steady-state while also covering broader range of sub-maximal running
 188 speeds. Participants could run in their own relatively new (within three months of use)
 189 conventional shod running shoes. Treadmill gradient was maintained at 1% throughout
 190 submaximal assessments to reflect the energetic cost of outdoor running [22]. All tests were
 191 performed under similar laboratory conditions ($20 - 25 \text{ }^\circ \text{C}$, 50 – 60% relative humidity at
 192 130m of altitude). Rating of perceived exertion scores on a 6 – 20 point scale (8), as well as
 193 blood capillary samples from the finger (obtained with BLa concentrations using a portable
 194 lactate analyzer ; Lactate Pro 2 LT-1730, Japan) were obtained immediately after each stage.
 195 Heart rate (HR) was recorded by a heart rate monitor (Cosmed Quark CPET, Rome, Italy).

196 Participants were fitted with an adjustable safety harness during the entire treadmill test.
 197 Runners were considered to have achieved VO_2 max when at least two of the following
 198 criteria are fulfilled: 1) A plateau in the VO_2 , defined as an increase of less than 1.5
 199 $\text{ml}\cdot\text{kg}\cdot\text{min}^{-1}$ in two consecutive workloads; 2) respiratory quotient (R- value) > 1.15 ; 3)
 200 maximal heart rate value (HR max) $> 95\%$ of the age-predicted maximum ($220 - \text{age}$); and 4)
 201 rating of perceived exertion (RPE) ≥ 19 on the 6-20 Borg scale. Additionally, peak treadmill
 202 speed (V_{peak} ; in $\text{m}\cdot\text{s}^{-1}$) was calculated as follows, taking every second into account:

$$203 \quad V_{\text{peak}} = \text{completed full intensity (m}\cdot\text{s}^{-1}) + [(\text{seconds at final speed} \times 240\text{s}^{-1}) \times 0.42 \text{ m}\cdot\text{s}^{-1}]$$

204 *Running economy assessment.* Pulmonary gas exchange was recorded through-out the
 205 incremental test using a breath-by-breath metabolic analyser (Cosmed Quark CPET, Rome,
 206 Italy). Gas analysers were calibrated before each session to 16% O_2 , 4% CO_2 balance N_2 and

207 the turbine flow meter is calibrated with a 3L calibration syringe before each test. VO₂ data
208 collected from the last two minutes of each stage were checked for steady-state. Specifically,
209 linear regressions were performed on the final two minutes of each speed increment to
210 determine whether the VO₂ profile was not statistically different ($p < 0.05$) from the
211 horizontal flat line. In other words, no additional rise in the slow component of VO₂ was to be
212 detected during steady-state. V_{OBLA} was determined using this VO₂ criterion in addition to the
213 highest stage which elicited a post-stage BLA below the onset of blood lactate accumulation
214 (OBLA; BLA < 4mmol•L⁻¹) (Fig. 1).

215 [FIGURE 1]

216 VO₂, VCO₂ and RER were averaged during the final minute of V_{OBLA}. Updated nonprotein
217 respiratory equations were used to estimate substrate use (grams•min⁻¹) and the relative
218 energy derived from fat and carbohydrate was calculated by multiplying by 9.75 and 4.07
219 respectively (19). Running economy was defined as gross absolute Ec (expressed as joules per
220 meter), quantified as the sum of these values to reflect the mean energy content of the
221 metabolized substrates during moderate to high-intensity exercise (19). This definition was
222 chosen firstly to account for variations in substrate use when running at submaximal speeds
223 i.e. energetic, rather than oxygen cost (44), and secondly to enable normalization and
224 comparison between runners with different speed thresholds often determined by individual
225 training level and/or gender e.g. running at similar relative intensity (different absolute
226 speeds) rather than at a single fixed speed for all runners (11). Resting metabolic rate was not
227 subtracted since it cannot be ascertained if resting metabolic demand continues at the same
228 rate during the running (45).

229 *Accelerometry-based measures of stability.* Tri-axial accelerometry was acquired during
230 the entire running test using a Shimmer3 wireless device (± 16 g range, sampling at 1024 Hz,
231 16-bit resolution, 0.023 kg weight, Shimmer Sensing, Dublin, Ireland). The accelerometer
232 was securely positioned over L3 spinous process of the trunk and directly mounted to the skin
233 using double sided tape and adhesive spray. The accelerometer was securely tightened to
234 individual comfort provided to minimize movement artefact using additional self-adhesive
235 bandage (Cipla-Plast, Cipla, South Africa). Tri-axial accelerations signals expressed as g's
236 were processed using customized software in MATLAB version 8.3 (The Mathworks Inc.
237 Natick, MA, USA).

238 The sensing axis of the accelerometer may not be aligned with the axes of the world-
239 reference orientation while running. Therefore, a trigonometric correction (27) of the dynamic
240 acceleration signal was performed, a procedure consistently applied to CoM accelerations
241 during walking (20, 27) and running (21, 26). In this study, calculated deviations of
242 accelerometer axes were between 4.1 degrees to 12.5 degrees (anterior tilt) and 0.1 to 1.6
243 degrees (laterolateral tilt) prior to transformation. Accelerometry-based measures were then
244 computed from the final twenty consecutive steps of acceleration signals at each runner's
245 individual V_{OBLA} (Fig. 2). Standardizing acceleration epochs to amount of running steps as
246 opposed to time windows was done to allow cross study comparison (39, 40).

247 [FIGURE 2]

248 Since filtering of body-worn accelerations is a common (40), yet disputed signal
249 processing approach in terms of potentially eliminating physiologically related signal variance
250 (36), we additionally assessed the effect of filtering by computing a second set of

251 accelerometry-based measures after applying a zero-lag 4th order low-pass Butterworth filter
252 (cut-off frequency 50 Hz).

253 Moreover, non-linear measures such as sample entropy can be sensitive to input signal
254 length (N) (52) which would undesirably influence the outcome. To determine the optimal
255 amount of continuous running steps required for steady-state sample entropy values, we
256 performed a basic iteration analysis on VT, ML, and AP sample entropy values on N steps
257 ranging from six to 160. Twenty steps were chosen as the optimal (minimal) number of steps
258 required to achieve steady-state sample entropy values, and which appears in the APPENDIX.

259 Dynamic stability parameters were extracted from each acceleration axis (vertical, ML,
260 AP) and quantified firstly using both absolute and ratio of each linear acceleration axis root
261 mean square (RMS) relative to the resultant vector RMS to capture movement variability (26,
262 42); secondly using step regularity (inter-step symmetry) and stride regularity using the
263 unbiased autocorrelation procedure, with perfect regularities equivalent to one (27); and
264 thirdly using sample entropy to capture the waveform predictability, with values typically in
265 range of 0 to 2 for physiological systems and higher values indicating less periodicity or more
266 unpredictability (36). Detailed procedures and algorithm inputs for the computation and
267 extraction of these dynamic stability parameters are the same as previously explained (40).
268 Spatio-temporal parameters including step frequency (27, 39, 40) and contact time (13, 39)
269 were additionally computed from vertical trunk accelerations.

270 *Statistics.* Sex differences were analysed with a 2-tailed independent t-test. Factor analysis
271 was performed to reduce dimensionality and possible multicollinearity of the 17 respective
272 accelerometry outcome measures. A scree-plot determined the number of extracted factors
273 (eigenvalues > 1.0). VariMax rotation was used to optimize loadings of variables onto factors,

274 and the most representative accelerometry measures were chosen as the measures which
275 revealed the highest loading per factor. These representative measures were then entered in an
276 a priori hierarchical multiple regression analysis to explain inter-individual variance in Ec.
277 Specifically, body mass was entered first as block 1 into the model. Thereafter, block 2 was
278 entered containing the most representative accelerometry measures from each factor. After the
279 entry of each block, we evaluated the adjusted R^2 change to determine the proportion of
280 additional variance explained and the significance from 0. This sequential order was based on
281 an a priori hypothesis that the additional variance in Ec could be explained by dynamic
282 stability and spatio-temporal parameters, after accounting for body mass that is well known as
283 a primary determinant of running Ec (6). For each block the beta weights for the independent
284 variables retained in the regression equations and the multiple correlation coefficients are
285 presented. Beta weights further indicate the relative importance of each variable in explaining
286 the variance in Ec. All statistical analyses were performed using SPSS (version 20.0; SPSS
287 Inc, Chicago, IL), and data are reported as mean \pm SD.

288 RESULTS

289 *Descriptive.* All tests were terminated by volitional exhaustion, and all subjects achieved
290 VO_2 max by the set criteria. All highest stage steady-state slopes used for Ec analysis had a
291 gradient $< 0.2 \text{ ml O}_2 \cdot \text{s}^{-1}$ ($p > 0.05$) thus equating to $< 24 \text{ ml O}_2$ increase over the final 2 min of
292 each stage. Descriptive characteristics and results for endurance markers combined and per
293 sex are listed in Table 1. Height and mass were significantly greater in men compared to the
294 women (both $p < 0.001$). Men also had significantly higher VO_2 max, V_{peak} and V_{OBLA} (all $p <$
295 0.001). However, the relative intensity at which V_{OBLA} occurred was not significantly
296 different between sexes ($p = 0.294$) indicating similar running intensity, and thus all

297 subsequent analyses with respect to the primary hypothesis pooled both sexes together. Men
 298 had significantly higher absolute Ec, but not when expressed relative to body mass ($p = 0.44$).

Table 1. Descriptive results for endurance markers and running economy. Values are means \pm SD.

	All runners (n = 30)	Males (n = 16)	Females (n = 14)
<i>Descriptive</i>			
Age	21.75 \pm 1.40	21.86 \pm 1.88	21.64 \pm 0.74
Body mass (kg)	68.18 \pm 11.41	74.72 \pm 11.24*	61.64 \pm 7.19
Height (m)	1.73 \pm 0.08	1.78 \pm 0.08*	1.68 \pm 0.06
BMI	22.56 \pm 2.48	23.4 \pm 2.51*	21.72 \pm 2.23
<i>Endurance markers</i>			
VO ₂ max (ml•kg ⁻¹ •min ⁻¹)	48.43 \pm 6.30	52.17 \pm 5.90*	44.70 \pm 4.19
V _{peak} (m•s ⁻¹)	4.15 \pm 0.54	4.50 \pm 0.43*	3.80 \pm 0.39
V _{OBLA} (m•s ⁻¹)	2.89 \pm 0.43	3.09 \pm 0.39*	2.67 \pm 0.37
V _{OBLA} (% VO ₂ max)	80.65 \pm 5.99	79.5 \pm 5.30	81.79 \pm 6.60
<i>Running economy and RER</i>			
RER	0.95 \pm 0.03	0.95 \pm 0.03	0.94 \pm 0.03
Ec (J•m ⁻¹)	314.59 \pm 52.51	341.75 \pm 52.89*	287.44 \pm 36.69
Ec relative to body mass (J•kg•m ⁻¹)	4.64 \pm 0.33	4.56 \pm 0.25	4.68 \pm 0.42

* $p < 0.05$ significantly different between sexes

299
 300 Descriptive statistics for spatio-temporal and dynamic stability accelerometry measures
 301 combined and per sex are listed in Table 2. At V_{OBLA}, men had significantly shorter contact
 302 times ($p = 0.016$), lower dynamic stability in the ML direction for RMS ($p = 0.014$), RMS
 303 ratio ($p = 0.003$), step regularity ($p = 0.04$) and stride regularity ($p = 0.026$) as well as higher
 304 dynamic stability in the vertical direction for RMS ratio ($p = 0.001$).

Table 2. Descriptive results for accelerometry-based dynamic stability measures at V_{OBLA}. Values are means \pm SD.

	Axis	All runners (n = 30)	Males (n = 16)	Females (n = 14)
<i>Spatio-temporal</i>				
Stance-time (s)	VT	0.21 \pm 0.02	0.20 \pm 0.02*	0.22 \pm 0.02
Step frequency (steps•min ⁻¹)	VT	165.24 \pm 10.81	168.50 \pm 9.44	161.98 \pm 11.43
<i>Dynamic stability</i>				
Acceleration RMS	VT	1.22 \pm 0.22	1.29 \pm 0.29	1.16 \pm 0.10
	ML	0.53 \pm 0.14	0.48 \pm 0.13*	0.58 \pm 0.13
	AP	0.41 \pm 0.09	0.39 \pm 0.07	0.43 \pm 0.10
Ratio of acceleration RMS (unitless)	VT	0.87 \pm 0.05	0.89 \pm 0.04*	0.84 \pm 0.05
	ML	0.38 \pm 0.09	0.34 \pm 0.09*	0.42 \pm 0.08
	AP	0.29 \pm 0.05	0.28 \pm 0.04	0.31 \pm 0.06
Step regularity (unitless)	VT	0.91 \pm 0.04	0.92 \pm 0.06	0.91 \pm 0.02
	ML	0.74 \pm 0.14	0.69 \pm 0.16*	0.79 \pm 0.09
	AP	0.72 \pm 0.12	0.70 \pm 0.13	0.74 \pm 0.11
Stride regularity (unitless)	VT	0.92 \pm 0.06	0.92 \pm 0.08	0.92 \pm 0.02
	ML	0.82 \pm 0.09	0.78 \pm 0.10*	0.85 \pm 0.08
	AP	0.77 \pm 0.11	0.75 \pm 0.13	0.79 \pm 0.08
Sample entropy (unitless)	VT	0.11 \pm 0.03	0.10 \pm 0.03	0.11 \pm 0.03
	ML	0.24 \pm 0.09	0.27 \pm 0.08	0.22 \pm 0.09
	AP	0.27 \pm 0.09	0.30 \pm 0.10	0.25 \pm 0.08

* $p < 0.05$ significantly different between sexes

305

306 *Factor analysis.* Five components explained 86.3 % of total variance in unfiltered
 307 accelerometry measures. From the rotated matrix, factor one (eigenvalue (λ) = 5.79, 34.0 % of
 308 variance) included variables relating mainly to step symmetry and stride regularity from all
 309 axes. Factor two (λ = 3.89, 22.9% of variance) comprised mainly of dynamic stability
 310 parameters in the ML direction. Factor three (λ = 2.34, 13.8% of variance) was associated
 311 with variability (RMS) in the AP direction. Factor four (λ = 1.68, 9.9% of variance) was
 312 associated waveform complexity (sample entropy in all directions) with while factor five (λ =
 313 1.01, 5.7% of variance) comprised of spatio-temporal measures. Variables with highest
 314 loading per factor are bolded in Table 3. These five representative accelerometry measures
 315 were therefore assessed for their relationship with Ec. Although not reported here, the total
 316 variance explained in filtered accelerometry measures was like unfiltered (86.05% variance
 317 explained), with the same five measures having the highest loadings per factor.

Table 3. *Factor analysis on unfiltered accelerometry-based measures revealed five primary factors (eigenvalues greater than one).*

Factor 1	Factor 2	Factor 3	Factor 4	Factor 5
Stride regularity AP (0.93)	RMS ML (0.94)	RMS AP (0.94)	Sample entropy ML (0.93)	Stance time (-0.85)
Step regularity AP (0.90)	RMS ratio ML (0.93)	RMS ratio AP (0.87)	Sample entropy VT (0.80)	Step frequency (0.84)
Stride regularity VT (0.84)	RMS ratio VT (-0.84)		Sample entropy AP (0.63)	
RMS VT (-0.84)	Step regularity ML (0.55)			
Stride regularity ML (0.68)				
Step regularity VT (0.67)				

Measures (loadings) are sorted from highest to lowest and measures with most representative (highest loading) per factor are bolded. Cross-loadings as well as loadings smaller than 0.4 are suppressed for brevity.

318

319 *Hierarchical multiple regression analyses.* Three unfiltered accelerometry measures were
 320 retained in the multiple regression after accounting for block one (body mass) which, as
 321 expected, accounted for most of Ec variance (80.8%). Specifically, stride regularity (AP),

322 RMS (AP) and sample entropy (ML) significantly ($p < 0.05$) and independently accounted for
 323 an additional 5.2%, 3.2%, and 2.0% of the variance in Ec respectively. Remaining unfiltered
 324 accelerometry measures including RMS (ML) as well contact time were not retained as
 325 significant predictors (all $p > 0.05$). The final unfiltered regression model accounted for
 326 91.2% variance in Ec. The spread of the partial regression plots is shown in Fig. 3 B, C, and D
 327 with individual beta coefficients in Table 4. Partial regression plots were generated to more
 328 accurately reflect the scatter of partial correlations (31). For example, the partial regression
 329 plot in Fig. 3 B reflects the individual residuals of Ec (dependent variable) on body mass,
 330 RMS AP and sample entropy ML (remaining explanatory variables) versus individual
 331 residuals of AP stride regularity (target explanatory variable) on body mass, RMS AP and
 332 sample entropy ML (the remaining explanatory variables). Thereafter, individual residuals are
 333 added to the group mean values e.g. of Ec and Stride regularity AP (from Table 1 and 2) on
 334 both axes to aid interpretation of understandable values. When filtered accelerometer
 335 measures were entered in the regression for model two, sample entropy (ML) was no longer
 336 retained in the model as a significant predictor of Ec. The final filtered regression model
 337 accounted for 88.8% of variance in Ec.

338 [FIGURE 3]

Table 4. Three unfiltered and two filtered accelerometry-based measures were retained in the hierarchical multiple regression analyses for explaining inter-individual Ec after controlling for body mass.

Predictor	Unique contribution				R ² change	Overall model R ²
	B	SE B	β			
<i>Model 1: Unfiltered accelerations</i>						0.912
Body mass	–	0.894	0.071	0.810**	0.808	–
Stride regularity	AP	-29.219	6.852	-0.279**	0.052	–
RMS	AP	-27.981	8.650	-0.199**	0.032	–
Sample entropy	ML	-20.382	8.464	-0.144*	0.020	–
<i>Model 2: Filtered accelerations</i>						0.888
Body mass	–	0.892	0.078	0.813**	0.800	–
Stride regularity	AP	-37.069	10.037	-0.266**	0.045	–
RMS	AP	-30.523	9.673	-0.210**	0.043	–

β = standardized coefficients; */** $p < 0.05$ / $p < 0.001$; constant for multiple regression equations were 53.269 (unfiltered) and 56.935 (filtered)

339

340 **DISCUSSION**

341 The current study tested a *cost of instability* hypothesis that proposes a link between
342 running stability and running Ec using wearable tri-axial trunk accelerometry. Our results lend
343 support to this *cost of instability* hypothesis, with three accelerometry stability measures
344 explaining an additional 10.4% inter-individual variance in Ec over and above that needed to
345 support body mass (80.8%). Our findings build on limited evidence (3, 9, 25) by suggesting
346 new dynamic instability mechanisms that imposes an Ec to running which could hamper
347 endurance performance.

348 The first determining accelerometry measure, namely stride regularity AP, explained an
349 additional 5.2% of running economy. The direction of the slope in Fig. 3 B was as expected,
350 indicating that runners with poor consistency from stride to stride have a more energetically
351 costly gait. Since this measure is directed anteroposteriorly, it could reflect intermittency or
352 alternate decelerations and accelerations corresponding to braking and propulsive forces,
353 analogous to alternately applying “gas-brake-gas” while driving a car. The trunk muscles
354 could be compensating for this instability since they play a critical role by eccentrically
355 contracting to decelerate lumbo-pelvic motion anteroposteriorly during running (35, 38).
356 Electromyography assessment evaluating relationships between muscle activity, stability and
357 economy would help elucidate on the underlying mechanisms.

358 The second determining accelerometry measure, namely RMS AP, explained a slightly less
359 but additional 3.2% of running economy. However, the direction of the slope as shown in
360 Fig. 3 C is unexpected, since it was hypothesized that runners with higher RMS AP would
361 have the poorest running economy due to larger changes in momentum. Our data
362 counterintuitively suggest that higher amplitudes or variability of AP trunk accelerations

363 while running is a kinematic adjustment advantageous to economy. On the one hand this
364 confirms previous paradoxical evidence that greater changes in horizontal velocity of the
365 CoM were related to better economy in elite female runners (50). On the other hand this
366 contradicts more recent work (12) showing that endurance runners with smaller minimum AP
367 horizontal velocity of the pelvis i.e. less deceleration/braking velocity were more energetically
368 costly. Additionally, Ijmker *et al.*, (18) showed a decrease in E_c , and variability in horizontal
369 plane trunk accelerations when external balance support was provided during walking.
370 Notably, the RMS measure used in the current study considers all amplitude variability during
371 the running step and perhaps more knowledge could be gained from detecting and separately
372 calculating RMS during braking and propulsive phases of stance. For example, Chang and
373 Kram (10) revealed that increases and decreases in propulsive impulses were primarily linked
374 to costs and savings in E_c when impeding or aiding horizontal forces were externally applied
375 to the individual. However, Heise *et al.*, (14) revealed that neither braking nor propulsive
376 impulses showed sensitivity to inter-individual differences in E_c . Therefore, it remains
377 inconclusive how AP changes in momentum explain economy between runners and further
378 research is needed.

379 The third determining measure, namely sample entropy of ML trunk accelerations
380 explained an additional 2.0% of running economy when accelerations were left unfiltered.
381 Sample entropy is becoming an increasingly popular complexity measure to capture both
382 performance-related (32, 40) and pathologically-related (47) non-linear dynamics of human
383 gait. Unexpectedly, lower sample entropy ML values i.e. less complexity, were related with
384 costlier running gait (Fig 3. D). Although, this relationship may be supported from a
385 dynamical systems perspective, suggesting that a reduction or “freezing” in the interacting
386 degrees of freedom contributing to ML trunk control of stability is associated with poorer

387 movement economy (7). Murray *et al.*, (32) similarly found, in one of their six subjects, a
388 decrease in sample entropy of ML trunk accelerations to be retained as a determinant of
389 higher submaximal VO_2 with increasing running speed. However, their pilot sample was too
390 small to conduct inter-individual group statistics, and it is possible that both oxygen
391 consumption and sample entropy correlates were similarly detecting correlations with intra-
392 individual changes in running speed. Here, for the first time, we show a relationship between
393 ML sample entropy of movement and E_c of running in a larger sample of runners, while
394 accounting for running speed effects by expressing running economy per unit distance .

395 On a technology level, it is often argued that signal waveforms should be left unfiltered
396 when assessing complexity measures such as sample entropy (36), and our current findings
397 support this notion. Specifically, sample entropy ML was no longer retained as a significant
398 predictor of E_c when accelerations were low-pass filtered prior to calculation. This result
399 suggests that filtering either “washed out” or “masked” some inherent physiological variations
400 in the signal needed to explain some variance in E_c . Clearly, the choice to filter is important
401 because in contrast to the other two significant (linear) predictors, this complexity measure is
402 not robust to low-pass filtering and researchers should carefully consider this approach.
403 Additionally, based on incremental iteration tests, we recommend that 20 running steps is
404 optimal for calculating sample entropy measures from both an accuracy and computing stand-
405 point (see APPENDIX).

406 In terms of generalizability, men displayed some significantly different aspects of dynamic
407 stability compared to women. For instance, women had higher RMS ML as well as higher
408 step- and stride- regularity in the ML direction. This sex difference could be attributed partly
409 to female breast biomechanics since larger ML breast accelerations have translated to larger

410 ML trunk displacements and ground reaction forces while running (41). Notwithstanding,
411 none of the stability measures retained in the regression models showed significant sex
412 effects. Although not presented here, sex-specific regression models were checked but
413 retained the same determining stability measures. Therefore, it is possible that relationship
414 between running stability and E_c is generalizable to both sexes. With respect to the calibre of
415 our recreational to moderately trained participants, we suggest future studies assess and
416 extend the generalizability of our findings to more elite distance runners whom are expected
417 to have superior running stability. Furthermore, it is equally possible that the goal of the
418 recreational runner might be to increase energy expenditure, rather than save it. Thus, trunk
419 accelerometry could be proposed as a tool to test paradigms which deliberately attempt to
420 increase the E_c of instability experimentally through training e.g. irregular surfaces, external
421 perturbations, or unstable types of footwear.

422 *Implications and future directions.* Arguably, explaining an additional 10.4% inter-
423 individual variance in E_c might be considered relatively low. However, the measures
424 examined in this study were not expected to account for the majority of variance in E_c since
425 the remaining variance could be attributed to numerous other factors. Nonetheless, using the
426 final multiple regression equation of unfiltered accelerations, we estimated the energy cost for
427 the runners using the lowest and highest values of these three accelerometry measures in our
428 sample while holding body mass constant in the equation (by using group mean value of
429 68.18 kg). Hypothetically, the runner with poorest of all three stability measures i.e. 51%
430 lower stride regularity AP, 56% lower RMS AP, and 76% lower sample entropy ML would
431 correspond to a total additional energy cost of 49% or $125 \text{ J}\cdot\text{m}^{-1}$ ($57.46 \text{ J}\cdot\text{m}^{-1} + 42.15 \text{ J}\cdot\text{m}^{-1} +$
432 $25.67 \text{ J}\cdot\text{m}^{-1}$ respectively) compared to the runner with the best of these three stability
433 measures (see dotted lines on the x-axis of Fig. 3 B, C, D for visual comparison of maximum

434 and minimum). Quantitatively, however, it remains unclear how much this additional
435 energetic cost would translate to impaired outdoor endurance performance as has been shown
436 by adding shoe weight (16), but warrants an interesting question for future research. Notably,
437 other accelerometry measures that loaded together in factor one of the factor analysis
438 correlated strongly with stride regularity AP. Therefore, these other measures could have been
439 substituted as inputs in the multiple regression analysis, and shouldn't necessarily be excluded
440 from future investigations.

441 Further interpretation is needed with regards to how a runner could collectively target these
442 accelerometry measures and apply them to practice. For instance, an immediate question
443 raised by our findings is why the first two results appear to contradict each other: higher stride
444 regularity AP implies that higher consistency is good, while higher RMS AP implies higher
445 amplitude or variability is good for economy. One plausible explanation for our results is that
446 RMS amplitudes could be influenced by the different individual speeds used, given that
447 speeds were chosen as relative intensity rather than absolute. However, neither RMS AP nor
448 the other two retained accelerometry measures were correlated to running individual speed at
449 V_{OBLA} (*a posteriori* Pearson's correlation r values between -0.03 and 0.04; all $p > 0.05$),
450 indicating that individual speed was not an influencing factor on the relevant accelerometry
451 parameters. Since these accelerometry measures were uncorrelated and resided on
452 independent Factors (see Table 3), they seem to represent different constructs of dynamic
453 stability. Nevertheless, a combined recommendation for a recreational runner based on these
454 three measures would be target larger overall acceleration amplitudes (RMS AP), provided
455 these amplitudes are consistent between strides (stride regularity AP) and are maintained to
456 produce high complexity in ML control of movement (ML entropy) possibly by exploring
457 multiple movement strategies.

458 The Ec of dynamic instability could be influenced by altering the task from treadmill to
459 over ground running, especially in self-paced situations. Subject to the laboratory limitations
460 of this study, stability measures identified here may be used as a potential basis for examining
461 stability of an individual in relation to Ec in more ecological i.e. over-ground outdoor settings.
462 In addition, the relationships with stability observed here could be subject to the specific types
463 of parameters extracted and thus other parameter selections or combinations thereof could
464 yield different insights in the future.

465 Using trunk accelerometry as a tool to continuously examine the instability of running
466 could reveal more about how and when this instability arises at the individual level and how
467 this instability could be used to predict early decline in uneconomical performance. As
468 previously highlighted (29), it is also plausible that running economy could be improved by
469 training dynamic stability, requiring intervention studies. Indeed, it has been shown that
470 dynamic postural stability training reduces the level of coactivation needed during functional
471 tasks (33), which would improve economy. How stability measures change with various types
472 of endurance training could elucidate further on how runners “self-optimize” their stability
473 patterns to innately reduce Ec and is a focus of ongoing research.

474 *Conclusions.* Our results suggest that male and female recreational runners with lower
475 stride regularity AP, lower RMS AP, and lower sample entropy ML have a more energetically
476 costly running gait at similar relative intensities. Stated differently, characteristics of dynamic
477 stability may be an adaptation to improved endurance running performance. Additionally,
478 sample entropy ML was no longer retained as a significant predictor of Ec when accelerations
479 were low-pass filtered prior to calculation, indicating that researchers should carefully
480 consider this signal processing step when analysing acceleration waveform complexity.

481 Overall, targeting these stability characteristics non-invasively and unobtrusively with a
482 simple accelerometer in real competition settings could be useful for coaches and practitioners
483 identifying athletes with favourable economy potential.

484 **APPENDIX**

485 Non-linear measures such as sample entropy are known to be sensitive to length of input
486 signal used (52). Therefore, we computed sample entropy values as a function of number (N)
487 running steps over averages ranging from six to 160 consecutive running steps (see Fig A1 for
488 visual example of one participant). We observed that sample entropy values stabilized i.e. less
489 variable or levelled off from around 20 running steps (range of 16 - 20 in all runners).
490 Knowing when this biomechanical “steady-state” occurs is useful in two ways. Firstly,
491 steady-state eliminates the influence of average N steps used on the outcome thus improving
492 accuracy, and secondly minimizes the computational time, better suited to achieve outputs for
493 real-time application.

494 [FIGURE A1]

495 **ACKNOWLEDGMENTS**

496 We express our gratitude to Anthony Clark, and Kyle Basson, Louise Engelbrecht, and
497 Lara Grobler for their laboratory assistance, as well as to Prof. Elmarie Terblanche and Dr.
498 Karen Welman for their helpful discussions.

499 **GRANTS**

500 This study was funded by internal (BOF) funding of both KU Leuven, as well as the
501 Department of Sport Science, Stellenbosch University.

502 **DISCLOSURES**

503 No conflicts of interest, financial or otherwise, are declared by the author(s)

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611 FIGURE CAPTIONS

612 **Figure 1.** Representative example of determining the highest sub-maximal steady-state stage for estimating energetic cost
613 (Ec) of running. Ec was extracted from the final two minutes of stage two (here 2.64 m•s⁻¹), which demonstrated a running
614 velocity at which 1) the oxygen uptake (VO₂) curve was not drifting i.e. statistically significant ($p > 0.05$) from the horizontal
615 (flat dashed line shown in steady-state VO₂ box), and 2) a post stage blood lactate (BLa) that was less than the velocity
616 required to elicit onset of blood lactate accumulation (V_{OBLA}; BLa < 4 mmol•L⁻¹), here 2.6 mmol•L⁻¹.

617 **Figure 2.** Representative example of tri-axial trunk accelerations extracted for computing dynamic stability measures of
618 running. Accelerations used for analysis represented the final 20 running steps at V_{OBLA} for each runner (here 2.64 m•s⁻¹ for
619 the same female runner as shown in Figure 1).

620 **Figure 3.** Three unfiltered accelerometry-based dynamic stability measures contributed significantly and independently
621 to the inter-individual energetic cost (Ec) of running after controlling for body mass (n = 30). Partial regression plots were
622 scaled by adding regression-residuals to group mean values (from Table 1 and 2) on both axes to enhance interpretation (31).
623 Each plot represents the true correlation coefficient for the specific predictor on Ec, while controlling for the remaining three
624 predictors e.g. in panel B the relationship of stride regularity AP to Ec is shown while controlling for body mass (panel A),
625 root mean square (RMS) AP (panel C), and sample entropy ML (panel D). Minima and maxima highlight the range of the
626 spread on each axis (dashed lines). The final regression equation revealed Ec (J•m⁻¹) = 3.740•BM – 122.252•Stride regularity
627 AP -117.071•RMS AP – 85.279•Sample entropy ML + 222.878.

628 **Fig A1.** Non-linear sample entropy values were highly variable when averaged at a low number of steps but stabilized
629 from around 20 running steps (interval time of 6.9 seconds and 7115 acceleration samples), with a combined computation
630 time of approximately 0.8 sec on an Intel Core i5 CPU.







