

1 Title: Transmission Networks of Hcv Genotype 1a Enriched with Pre-Existing Polymorphism
2 Q80k Among Hiv-Infected Patients with Acute Hepatitis C in Poland

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4 Running head: HIV/HCV transmission chains in Poland

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39 Abstract

40 Background: Hepatitis C virus (HCV) resistance-associated variants (RAVs) have been
41 shown to adversely affect treatment response of direct-acting antivirals (DAAs). Identifying
42 pre-existing RAVs and transmission networks among HIV/HCV genotype 1 (G1) infected
43 patients from Poland will assist in shaping surveillance strategies for HCV.

44 Methods: NS3 and NS5A sequences were obtained from samples of 112 DAA-naive G1
45 patients (45 G1a, 67 G1b), of which 74 were chronically infected and 38 were diagnosed with
46 acute hepatitis C (AHC). RAVs were identified using geno2pheno, and 98 concatenated
47 NS3/NS5A alignments were constructed to identify transmission clusters using a maximum
48 likelihood approach.

49 Results: G1a was notably more prevalent compared to G1b among men-having-sex-with-men
50 (MSM) (60.0% vs. 31.3%, $p=0.004$), AHC cases (46.7% vs. 25.4%, $p=0.019$) and patients
51 diagnosed with syphilis (52.2% vs. 24.5%, $p=0.009$). The overall NS3/NS5A RAVs
52 frequency was 14.3% with variants occurring more often in G1a compared to G1b (27.5% vs.
53 5.2%, $p=0.005$), mostly for NS3 due to the high prevalence of polymorphism Q80K. NS5A
54 RAVs were only found in 2.9% of sequences. Significant clustering was observed for 73.5%
55 of the Polish sequences, however more common in G1a MSM compared to G1b (50.0% vs.
56 25.9%, $p=0.02$). The identified clusters contained sequences originating from up to five Polish
57 cities, located within a mean distance of 370 km.

58 Conclusions: Close clustering of Polish strains suggests the presence of compartmentalized
59 epidemics of MSM that fuel the spread of G1a variants. Particularly AHC patients form a
60 national transmission network, including clusters enriched with the NS3 Q80K
61 polymorphism.

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63 Key words: Phylogenetic analysis, acute hepatitis C, HIV/HCV coinfection, transmission
64 networks, natural resistance.

65

66 Introduction

67 Hepatitis C virus (HCV) infection remains a key worldwide epidemiological issue with recent
68 estimates indicating ~80 million viraemic infections on a global level¹. So far, seven HCV
69 genotypes and more than 80 subtypes have been identified with subtypes 1a (G1a) and 1b
70 (G1b) being the most common, irrespective of the HCV mono- or HIV/HCV co-infection
71 status². The HCV G1 distribution varies significantly according to geographical region, with
72 the highest prevalence of G1a reported in North America and Oceania, while G1b is the major
73 subtype in Europe, however particularly predominant in East European countries^{3,4}.

74 Recent advances in HCV treatment achieve virus elimination in ~95-100% of cases with the
75 use of direct acting antiviral (DAA) combinations¹. Treatment outcomes are affected not only
76 by the HCV genotype a patient is infected with, but also by the presence of pre-existing
77 resistance-associated variants (RAVs)⁵. Lower treatment response rates were observed,
78 especially in the setting of the NS3 Q80K polymorphism⁶, and for high-level resistance
79 conferring NS5A variants located at codon positions 28, 30, 31, 58 and 93^{5,7}.

80 Many RAVs are not natural variants but selected under DAA selective pressure, which
81 continue to evolve after treatment failure, often reverting back to the wild-type variant after a
82 few months or only after years in case of NS5A^{8,9}. This delay in reversion may result in
83 transmission of drug resistant strains, however so far infrequently reported^{10,11}. This may
84 become especially important in the light of the increasing frequency of diagnoses of recent
85 HCV infection among men-who-have-sex-with-men (MSM) with and without HIV infection,
86 with the latter reported in candidates for HIV pre-exposure prophylaxis^{12,13}.

87 In Europe, Australia and North America, acute hepatitis C (AHC) transmission networks have
88 been documented, associated with sex-related HCV acquisitions¹⁴⁻¹⁶. Additionally, among
89 HIV-positive MSM, alarmingly high incidence rates of HCV reinfection after viral cure have
90 been reported, ranging from 7.3-7.4 to 15.2/100 person-years¹⁷. This raises concerns for the
91 possible introduction of RAVs within a risk population that is actively fueling HCV
92 transmission, potentially resulting in reduced first-line DAA therapy efficacy and/or limited
93 treatment options after DAA failure.

94 In Poland, around 160 thousand cases are considered HCV-RNA positive¹⁸, with ~30% of
95 people living with HIV being co-infected with HCV. In the last decade, the HIV predominant
96 transmission route shifted from injecting drug use (90% decrease) to MSM (345% increase),
97 with approximately 65% of new infections with known transmission route linked to the group
98 of MSM. A stable increase in the number of new HIV diagnoses per year is observed across
99 the country, with >22.000 cases diagnosed as of December 2017¹⁹. In the group of HIV/HCV
100 co-infected patients, a decrease of 17.3 years in life expectancy is reported as well as a low
101 likelihood of survival beyond the age of 65, associated with an increased risk of
102 cardiovascular diseases, diabetes, neurocognitive performance and kidney disease, compared
103 to those that are HCV mono-infected²⁰. Although availability of DAA therapies has recently
104 increased, access to treatment is not universal yet and is still prioritized for patients with
105 advanced liver fibrosis, at least in Poland. Moreover, data on pre-existing variants conferring
106 resistance to the most used anti-HCV drug classes still remain sparse, with only one Polish
107 study investigating variants located in the NS3 region published so far²¹, while no data is
108 available for Polish patients carrying NS5A RAVs.

109 In this study, we aimed to map the genetic variability of viral proteins NS3 and NS5A in a
110 cohort of HCV G1 patients co-infected with HIV, including patients diagnosed with acute
111 hepatitis C. Moreover, phylogenetic inference was applied to identify clustering patterns
112 within the Polish epidemic, and to investigate the possibility of natural RAVs circulating in
113 the identified networks.

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117 Material and methods

118 Study group

119 Samples from 112 patients, all of Caucasian origin and co-infected with HIV-1 and HCV
120 genotype 1 (G1a or G1b), the most prevalent genotype in Poland, were analyzed. Also, this
121 genotype was the most prevalent among patients with acute hepatitis C, with other genotypes
122 accounting for 30.1%²². The samples were linked to care in five Polish HIV treatment centres
123 (Warsaw, Wrocław, Cracow, Szczecin, Zielona Góra) and sequenced at the Pomeranian
124 Medical University in Szczecin, Poland. The study protocol was approved by the Bioethical
125 committee of the Pomeranian Medical University, approval number KB-0012/26/17. All
126 samples were gathered from patients with chronic hepatitis C detected at HIV diagnosis
127 (78/112, 66.07%) or from patients with AHC observed after HIV diagnosis (38/112, 33.92%).
128 AHC patients diagnosed with genotype 1 were consecutively enrolled at the clinics
129 participating in the study, while individuals with chronic hepatitis C were selected based on
130 availability of stored left-over samples. AHC was defined as hepatitis C antibody
131 seroconversion from negative to positive with concomitant increase of aminotransferases and
132 detection of HCV-RNA during follow up. The majority (36/38, 94.74%) of the included AHC
133 patients were on stable antiretroviral therapy regimens suppressing their HIV viral load to <50
134 copies/ml. None of the patients were treated before with pegylated-interferon and ribavirin,
135 nor with any DAA at the time of sample collection.

136 The clinical data collected for all patients included the following parameters: age, gender,
137 HCV transmission route, lymphocyte CD4 count and HIV-RNA viral load at HIV diagnosis,
138 year of HCV infection for the AHC cases, HBV coinfection status (defined as HBs antigen
139 positive), alanine aminotransferase activity and HCV RNA level (last available measurement
140 prior to or at the date of sampling, with a median time range between the date of sampling and
141 the HCV RNA level measurement being 3.7 months). History of syphilis was assumed
142 positive if any serological test (VDRL, FTA-ABS, TPHA) was ever recorded reactive.

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146 **Sequencing methodology**

147 NS3 and NS5A genetic sequencing was performed using the Sanger methodology as
148 previously published²³. Amplicons obtained by nested PCR were used for sequencing with the
149 BigDye technology on an ABI 3500 platform (Applied Biosystems, Foster City, CA). NS3
150 and NS5A sequence assembly was performed with the Recall online tool, and variants were
151 scored above a threshold of 15%. The final dataset included 112 patients and consisted of 108
152 NS3 (108/112 = 96.4%) and 102 NS5A sequences (102/112 = 91.07%), as sequencing
153 experiments, either targeting NS3 or NS5A, repetitively failed for the remaining samples. Of
154 the successfully amplified sequences, 98 cases (87.5%) were characterized by the presence of
155 paired NS3/NS5A sequences. All sequences generated have been submitted to GenBank
156 (pending sequence IDs).

157

158 **Genotypic drug resistance and phylogenetic analyses**

159 The G1 subtype (a or b), clade I or II (in case of G1a), and NS3 and NS5A RAVs were
160 identified using the rules-based algorithm geno2pheno HCV v.0.92. For interpretation of drug
161 resistance, any variant scored as "reduced susceptibility" or "resistant" to known NS3 or
162 NS5A inhibitors, was included in the analysis. HCV genotype and subtype were confirmed
163 using the Oxford HCV Automated Subtyping Tool , and the COMET subtyping tool .

164 For phylogenetic analysis, only the dataset of 98 paired NS3/NS5A sequences was used, as
165 short fragments are often associated with poor phylogenetic signal. Firstly, joint NS3/NS5A
166 sequences were aligned with the software Clustal X 2.0.10, separately for G1a (n=40) and
167 G1b (n=58), using two reference sequences (G1a HQ850279 to root G1b tree and G1b
168 D90208 to root G1a tree) as outgroup. Alignments were edited in MEGA 7.0 to improve their
169 quality, while sequences containing stop codons were removed. The alignments covered NS3
170 codons 1-181 for both G1a and G1b, and NS5A codons 6-103 for G1a and 1-103 for G1b.

171 To investigate clustering patterns, datasets were supplemented with a selection of highly
172 similar sequences obtained from the public database GenBank (see Supplementary Material,
173 <http://links.lww.com/QAI/B110> for the Genbank IDs), using the software tool BLAST. More
174 in detail, for each sequence from the initial dataset, 10 sequences with the highest similarity

175 score and with 100% NS3 and NS5A sequence coverage were selected. After removal of
176 duplicates, the final datasets consisted of 136 G1a and 151 G1b sequences. To construct
177 phylogenetic trees, a maximum likelihood (ML) approach with an approximate likelihood
178 ratio test (aLRT) (online version PHYMLv.3.0) and the use of smart model selection,
179 indicating the general time reversible (GTR) nucleotide substitution model with four gamma
180 categories as the optimal model for both datasets. A neighbor joining tree, supported by 1000
181 bootstrap replicates, was constructed in Clustal (results not shown), showing a similar
182 topology as the ML tree. For the identification of clusters (≥ 3 sequences) and transmission
183 pairs, the software Cluster Picker was used. The maximum genetic distance for both datasets
184 was set at 0.08, combined with a clade support of aLRT >0.85 , to call a group of sequences a
185 cluster, similar to a previous analysis²⁴. The selection of these parameters was in agreement
186 with the clinical information related to exposure risk (disclosed epidemiological information
187 in physician provided clinical notes on the shared sexual partners/parties). All trees were
188 visualized in Figtree v.1.4.3.

189

190 ***Statistics***

191 Statistical comparisons were performed using Fisher's exact and Chi² tests for nominal
192 variables, while for continuous variables the Mann-Whitney *U*-test for nonparametric
193 statistics was used, with P values ≤ 0.05 considered statistically significant. Confidence
194 intervals (CI) and interquartile ranges (IQR) were indicated where appropriate. The
195 commercial software Statistica (13PL, Statsoft Polska, Warsaw, Poland) was used for all
196 statistical calculations.

197

198 **Results**

199 ***Clinical group characteristics and HCV genotype distribution***

200 Initially, by the geno2pheno algorithm, 47 sequences were assigned as G1a and 65 as G1b.
201 However, in two cases, the subtype needed to be corrected, according to the concordant
202 results from the two well-known subtyping tools used, so as a final result, infection with G1a
203 was found in 45 patients (40.18%), of whom 37 were infected with clade I (82.2%) and 8 with
204 clade II (17.8%), while G1b infection was observed in 67 individuals (59.82%).

205 All genotype related differences in group characteristics are outlined in Table 1. Notably, G1a
206 infection was more common among men (41/45, 91.11%) compared to G1b (51/67, 76.12%,
207 $p=0.042$), with a significantly higher frequency of G1a among MSM (27/45, 60.0%)
208 compared to injection drug users (IDUs) and their respective partners (18/45, 40%, $p=0.003$).
209 Additionally, G1a was enriched among AHC cases (21/45, 46.67% vs. 17/67, 25.37% for
210 G1b) and individuals with a history of syphilis (18/45, 52.24% for G1a vs. 14/67, 24.45% for
211 G1b, $p=0.009$), while the opposite was true for patients diagnosed with chronic HCV (24/45,
212 32.43% for G1a vs. 50/67, 67.57% for G1b, $p=0.019$). Acute HCV infection was exclusively
213 observed among MSM and associated with a history of syphilis (27/35, 84.38% with a
214 positive syphilis diagnosis vs. 8/35, 14.04% with a negative syphilis serology, $p<0.001$).

215

216 *Prevalence of resistance-associated variants (RAVs)*

217 Overall frequencies of HCV RAVs were calculated jointly for the paired NS3/NS5A
218 sequences ($n=98$, 40 G1a and 58 G1b) and separately for the available NS3 (G1a, $n=42$ vs
219 G1b, $n=66$) and NS5A (G1a, $n=43$ G1b, $n=59$) sequences. The overall NS3/NS5A RAVs
220 frequency was 14.3% (14/98 strains), and this frequency was significantly higher among G1a
221 infected cases ($n=11/40$, 27.0%) compared to G1b ($n=3/58$, 5.2%, $p=0.005$) (Figure 1a).

222

223 In total, NS3 RAVs were found in 15.7% of the patients (17/108), while for NS5A only in
224 2.9%. The most common variant in the dataset was located in protein NS3, more particularly
225 Q80K, found in 11.1% (12/108) of the analyzed NS3 sequences. One patient infected with
226 G1a had RAVs both in the NS3 and NS5A region. A notably higher frequency of NS3 RAVs
227 among G1a infected individuals ($n=13/42$, 31.0%) compared to G1b ($n=4/66$, 6.1% $p=0.0005$)
228 was demonstrated. The most predominant NS3 was Q80K, exclusive to G1a, observed in
229 12/42 (28.6%) G1a patients, and especially more prevalent among MSM ($n=9$, 19.57%)
230 compared to patients with a history of IDU and their partners ($n=3$, 4.84%, $p=0.016$) (Figure
231 1b). Other observed NS3 RAVs were 54S, 55A, 117H, and 168E. Overall, NS3 RAV
232 frequencies ($n=7/37$, 18.92%), including Q80K polymorphism ($n=6/37$, 16.22%), were
233 similar among HIV cases with AHC compared to HCV chronically infected patients
234 ($n=10/71$, 14.08% and $n=6/71$, (
235 8.45%, respectively).

236 NS5A RAVs were only observed in three sequences (2.9%) across the entire dataset,
237 including two G1a (4.7%) and one G1b strain (1.7%), more particularly NS5A variants 28T/V
238 and 31M (Figure 1c). All three patients were identified to be chronically infected with HCV.
239 No further significant differences in the distribution of NS3 and/or NS5A RAVs were noted
240 for gender, transmission route, history of syphilis, diagnosis of AHC, when analyzing both the
241 paired NS3/NS5A sequences or separately for NS3 and NS5A, neither for G1a or G1b.

242

243 *Phylogenetic inference*

244 In total, 72 out of 98 NS3/NS5A paired sequences (73.47%) formed 10 clusters and three
245 pairs of sequences. For G1a, 32 out of 40 Polish strains (80.0%) formed four clusters and two
246 pairs, including three clusters consisting exclusively of sequences derived from MSM and one
247 cluster consisting of 10 sequences which were all associated with IDU transmission (Figure
248 2a). For G1b, six clusters and one pair including 40 sequences (69.0%) were counted, of
249 which both the pair and four clusters consisted of sequences obtained from IDU-infected
250 individuals and their heterosexual partners, while a large cluster of 14 sequences was found to
251 be associated with MSM transmission (Figure 2b).

252 In G1a, the occurrence of both pairing and clustering among sequences derived from MSM
253 patients was notably more common compared to G1b ($n=20/40$, 50.0% for G1a infected
254 MSM vs. $n=15/58$, 25.86% for G1b MSM, $p=0.02$). Six sequences harboring the NS3 Q80K
255 polymorphism (50% of all sequences with Q80K in the study) clustered within a G1a-MSM
256 cluster, all were derived from patients with documented AHC infection during HIV follow-up
257 and were diagnosed in Warsaw and Wrocław (Table 2). Five transmission clusters included
258 cases diagnosed at a variety of clinical centers with a mean intercity distance of 370 km.
259 Patients characterized by NS5A RAVs were not shown to cluster closely together in the trees,
260 nor for G1a or G1b.

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264 **Discussion**

265 In the current study, we analyzed HCV G1a and G1b NS3 and NS5A genetic sequences
266 obtained from individuals co-infected with HIV, to investigate resistance patterns associated
267 to DAA treatment failure. Transmission networks were constructed to reflect clustering
268 patterns as well as transmissibility of natural RAVs in association with specific clinical
269 characteristics. A high frequency of infections with G1a was observed among MSM with
270 documented AHC after diagnosis of HIV and after being virologically suppressed with
271 antiretroviral treatment. In this group of patients, sequences characterized by the NS3 Q80K
272 polymorphism clustered together. On the other hand, NS5A RAVs were absent among
273 patients with AHC and also rarely observed (<5%) in the overall study group, supporting to
274 start treatment with NS5A inhibitors at an early stage. Phylogenetic inference revealed that
275 the HIV/HCV co-infected population in Poland is facing two separate epidemics, dependent
276 on the HCV genotype 1 subtype. While the more isolated G1b epidemic is probably related to
277 the spread of autochthonous Polish strains, the HCV subtype 1a epidemic in the MSM
278 population may be fueled due to mixing with strains from other European epidemics,
279 transmitted through sexual contact between MSM.

280 In our dataset, RAVs in the NS3 region were found in 31% and 6.1% of all G1a and G1b
281 sequences, respectively. The high frequency in G1a patients can be explained by the presence
282 of NS3 polymorphism Q80K, which is known to be virtually absent in G1b²⁵. This finding
283 was consistent with previously published cohorts which reported prevalence rates ranging
284 from <10% to ~50%, depending on the geographical region²⁶. The Q80K polymorphism is
285 specifically associated to G1a clade I and is only of key importance for patients treated with
286 second-wave protease inhibitor (PI) simeprevir, due to significant reduction of response rates
287 in combinations containing this agent and pegylated interferon/ribavirin or sofosbuvir²⁷,
288 compared to a small or even no impact for other PIs²⁸. In our study, other NS3 variants
289 associated with PI resistance were detected on amino acid positions 54, 55, 117 and 168, with
290 variants on position 117 only affecting susceptibility to boceprevir or telaprevir, agents which
291 are nowadays no longer used⁵. Variant D168E reduces susceptibility to grazoprevir,
292 paritaprevir and provides full resistance to simeprevir²⁹. A RAV on NS3 position 168,
293 reported for <1% of the DAA naive population in general, was previously reported to be
294 common among G1b infected patients experiencing virologic failure on treatment with

295 faldaprevir or asunaprevir³⁰. In general, the presence of naturally occurring NS3 non-Q80K
296 variants was infrequent in our study (<10%), which is in line with reports of other European
297 and Asian cohorts^{3,31}.

298 Among the analyzed NS5A sequences, RAVs were rarely detected, more particularly a
299 prevalence of 4.7% for G1a and 1.7% for G1b. NS5A variants M28T/V, which are associated
300 with antiviral resistance to daclatasvir, elbasvir, ledipasvir and ombitasvir^{32,33}, and L31M
301 conferring resistance to daclatasvir and elbasvir^{34,35}, were observed in the dataset. The
302 frequency of these RAVs was in agreement with previous reports, where pre-existing
303 M28T/V variants were found among 6% of G1a patients and in 7% of G1b infected
304 patients^{3,28}. However, these prevalence rates are still lower compared to the population of HIV
305 infected patients diagnosed with acute HCV infection (24%) or compared to a large European
306 HCV database (28%)^{36,37}. Variant L31M was previously reported to be even less prevalent,
307 more particularly present in 1.2% of Japanese patients and 8% according to a study using
308 public sequences^{36,38}. The NS5A variant Y93H, related to increased therapy failure among
309 individuals treated with NS5A inhibitor containing regimens, including asunaprevir and
310 daclatasvir, or grazoprevir and elbasvir was not detected, which is consistent with a Dutch
311 report presenting a low prevalence for this variant in patients recently infected with HCV³⁷,
312 however in general higher for HCV1b infected patients³⁹.

313 Clustering of more than three sequences, supported by a high aLRT value and a short
314 evolutionary distance, was commonly observed in the studied dataset, with five clusters
315 among IDUs and their respective heterosexual partners, and four MSM-transmission clades
316 identified. Only in one cluster, two cases with the history of IDU were linked to MSM risk
317 behavior, indicating that in general HCV epidemics are restricted to particular transmission
318 groups. Also, as G1a predominated among MSM, clustering was more common for this
319 subtype. Additionally, in the analyzed cohort of MSM, no IDU was reported, however use of
320 oral or intranasal chem-sex agents was common, in agreement with the reported broad use of
321 recreational drugs in the MSM community, previously associated with HCV acquisition⁴⁰. It
322 should be also noted that other sexual practices, such as the use of sexual toys, anal douches
323 or lubricants might additionally facilitate HCV transmission^{40,41}. Of note, similarly to another
324 European cohort, association between acute hepatitis C and prior diagnosis of syphilis among
325 HIV-infected MSM was observed in our study⁴².

326 Clustering among HCV sequences harboring the NS3 Q80K polymorphism was reported
327 previously for various countries like the UK and the Netherlands^{10,41}. It should be noted, that
328 in our study one of the transmission clusters (cluster 2), consisted of eight G1a clade I
329 sequences, all acquired from individuals with AHC and of which six sequences (75%)
330 harbored the NS3 Q80K polymorphism. It may be hypothesized, that for the two remaining
331 sequences reversion to the wild-type variant occurred, similarly as observed by Newsum *et*
332 *al.*, where probable reversions of Q80K to the wild-type Q80Q were noted¹⁰ among DAA
333 unexposed cases. However, such reversions were impossible to confirm due to the lack of
334 longitudinal sampling in our study. It should be noted that, sexual transmission events of
335 RAVs, including Q80K, have been rarely reported for individual cases^{15,11}. However, the
336 founder effect that dominates the history of Q80K strains reflects the occurrence of many
337 former transmission events, as all sequences characterized by polymorphism Q80K cluster
338 together in a large clade^{10,26}. Here, we show that transmission clusters, including the ones
339 harboring Q80K, were not limited to sequences from patients located in a single city, but were
340 in half of the cases collected in clinical centers distant from each other (e.g. >350 km distance
341 between Warsaw and Wrocław and even >500 km between Warsaw and Szczecin), indicating
342 countrywide span of the identified transmission networks. The key limitation of this study
343 was the non-random sampling of the sequences, since they were selected based on sample
344 availability, as well as on the patients' history of an acute or recently acquired HCV infection.
345 Moreover, at the time of the study completion, no systematic sequencing of HCV was
346 performed, resulting in a rather low number of viral sequences. It should be stated, though,
347 that the increase in the number of acute HCV infections among MSM living with HIV is a
348 recent phenomenon and so far, there is no systematic surveillance for DAA resistance in this
349 group. Also, the use of deep sequencing methodologies might have allowed the identification
350 of variants present at lower thresholds as well as to obtain longer fragments characterized by
351 higher phylogenetic signal. However this technology was not available and moreover the
352 clinical impact of minor variants on treatment response is still being debated⁴³, hence why our
353 findings resulted from the use of Sanger population sequencing are still significant without
354 using of next-generation sequencing.

355

356 Finally, it should be stressed that G1a, present in 40.2% of the samples and including 46.7%
357 of the AHC cases, has only been identified infrequently in Poland. More particularly, G1a has
358 been reported in only 2.5% of the HCV mono-infections and in 17.8% among the HIV/HCV
359 G1 infected cases^{20,44}, and was virtually absent in a former dataset focusing on the global
360 epidemiology of HCV genotype distribution, where only G1b infections were observed in the
361 country. In Poland, G1a cases were previously observed among young individuals, however
362 not linked to IDU transmission, in contrast to what has been reported for other regions.
363 Current analysis indicates enrichment of G1a among MSM with possible expanding
364 epidemics of these strains. In Western Europe, G1a was the most prevalent subtype among
365 MSM enrolled in HIV pre-exposure prophylaxis programs, both in HIV-positive and –
366 negative men⁴¹.

367

368 **Conclusion**

369 The identification of transmission clusters among HIV/HCV infected patients, especially for
370 the ones that recently acquired HCV through MSM contact, suggests that sexual transmission
371 may not only fuel the HCV epidemic, but also promote the spread of DAA resistance-
372 associated variants. Such a phenomenon is of primary importance for long persisting or highly
373 prevalent variants such as polymorphism Q80K in the NS3 region as well as several NS5A
374 variants. Efforts for continued surveillance of variants affecting susceptibility to DAAs should
375 be extended, especially for MSM, as transmission of RAVs known to confer resistance to the
376 currently used drug regimens, may adversely affect HCV treatment options in the future.

377

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384

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510

511 Figure captions

512 **Figure 1. Total resistance-associated variants (RAVs) frequencies shown per HCV**
513 **subtype (a), for the HCV NS3 region (b) and NS5A region (c).**

514 Resistance-associated variants are listed separately for NS3, NS5A as well as for the
515 sequences used to construct the concatenated NS3/NS5A alignments. Sample size/the total
516 number of sequences included in each category and subtype is indicated on the left. For G1a,
517 the observed prevalence of RAV is indicated in light blue, for G1b in red, and for the total of
518 both subtypes in dark blue.

519 * Frequencies calculated only for the paired NS3/NS5A sequences (n=40 for G1A, n=58 for
520 G1B)

521

522 **Figure 2. Maximum likelihood trees for joint NS3/NS5A sequences for G1a (a) and G1b**
523 **(b).**

524 For the reconstruction of the trees, highly similar sequences to the 98 Polish sequences were
525 selected by BLAST and added to the concatenated NS3/NS5A alignment. Black tips represent
526 sequences from the public database Genbank. Polish patient sequences from the study were
527 colored by the probable route of infection (as self-reported by the patient): blue - men who
528 have sex with men, red - injection drug users (IDUs), green - heterosexual partners of IDUs.
529 Identified clusters are shaded in gray, with the indicated cluster ID corresponding to those
530 listed in Table 2. Observed NS3 and/or NS5A resistance-associated variants are annotated at
531 the tips of the tree. Clusters were identified with a support of aLRT values >0.85 , and a
532 maximum genetic distance of 0.08. Reference sequences to root the phylogenetic tree (G1a:
533 HQ850279 and G1b: D90208) were removed from the final visualization. The genetic
534 distance is indicated by the bar at the bottom. Maps on the right indicate the Polish city where
535 patient follow-up took place, with putative reconstructed intercity transmission networks. City
536 codes: SZ-Szczecin, WA-Warsaw, WR-Wrocław, ZG-Zielona Góra, KR-Kraków.

Table 1. Clinical and laboratory characteristics listed by HCV genotype (G1a and G1b).

This table includes demographic data, information about the self-reported HCV transmission route, history of acute hepatitis C or syphilis during follow-up for HIV, as well as a selection of laboratory and serological parameters. P-values with statistical significance (≤ 0.05) are indicated in bold.

Abbreviations: ALT- alanine aminotransferase, HBsAg – hepatitis B surface antigen, HBV – hepatitis B virus, HCV- hepatitis C virus, HIV – human immunodeficiency virus, IDU – intravenous drug use, IQR - interquartile range, MSM – men-who-have-sex-with-men.

	<i>Genotype 1a,</i> <i>n (%)</i>	<i>Genotype 1b,</i> <i>n (%)</i>	<i>P value</i>	<i>Total, n (%)</i>
<i>Age at HCV diagnosis*</i>	37 (34-41)	37 (32-41)	0.65	37 (33-41)
<i>Gender*</i>				
Male	41 (91.11)	51 (76.12)	0.042	92 (82.14)
Female	4 (8.89)	16 (23.88)		20 (17.86)
<i>Reported HCV infection route*</i>				
<i>Intravenous drug use (IDU)</i>	18 (40)	41 (61.19)	0.004	59 (52.68)
<i>Heterosexual partners of IDUs</i>	0	5 (7.46)		5 (4.46)
<i>men-who-have-sex-with-men (MSM)</i>	27 (60)	21 (31.34)		48 (42.86)
<i>Observed acute hepatitis C*</i>				
<i>Yes</i>	21 (46.67)	17 (25.37)	0.019	38 (33.93%)
<i>No</i>	24 (32.43)	50 (67.57)		74 (66.07%)
<i>History of syphilis during follow-up*</i>				
<i>Yes</i>	18 (52.24)	14 (25.45)	0.009	32 (35.96)
<i>No</i>	16 (28.07)	41 (71.93)		57 (64.04)
<i>Active HBV coinfection (HbsAg positive) *</i>				
<i>Yes</i>	1 (2.63)	3 (5.26)	0.53	91 (95.79)
<i>No</i>	37 (97.37)	54 (94.74)		4 (4.21)
<i>HIV-1 viral load at diagnosis, log</i>	5.04 (4.46-	4.64 (4.34-5.23)	0.2	4.79 (4.35-

<i>copies/ml (IQR) *</i>	5.48)			5.35)
<i>Nadir lymphocyte CD4 count, cells/ul, median (IQR) *</i>	302 (161-386)	224 (103-353)	0.18	255 (104-371)
<i>Median ALT (IQR) at HCV diagnosis, IU/ml</i>	65 (43-163)	52 (25-78)	0.03	72 (47-135)
<i>Median HCV-RNA, log IU/ml (IQR)</i>	5.95 (5.55-6.32)	5.9 (5.41-6.38)	0.62	5.93 (5.45-6.36)

* Age, gender, transmission route and history of acute hepatitis C are available for 112 patients, history of syphilis is available for 89 (79.46%) cases, HBV history for 95 (84.82) cases, HIV viral load for 72 (64.28%) cases, and lymphocyte CD4⁺ T cell counts for 88 (78.57%) patients.

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Table 2. Characteristics of the identified transmission clusters.

Clusters are consecutively numbered, consistent with the numbering used in Figure 2, followed by the number of sequences included in the cluster. For G1a, the clade (I or II) is added. Observed percentages of resistance associated variants (RAVs) (both for NS3 and NS5A) are calculated for the total number of sequences included in each cluster. The HCV transmission route mentioned in the table is self-reported by the patient. City of diagnosis refers to the clinical center where the HIV/HCV coinfection was first diagnosed. Evolutionary distances (number of nucleotide substitutions per site per year) and statistical support for the clusters are calculated based on the maximum likelihood model, and listed in the last two columns of the table.

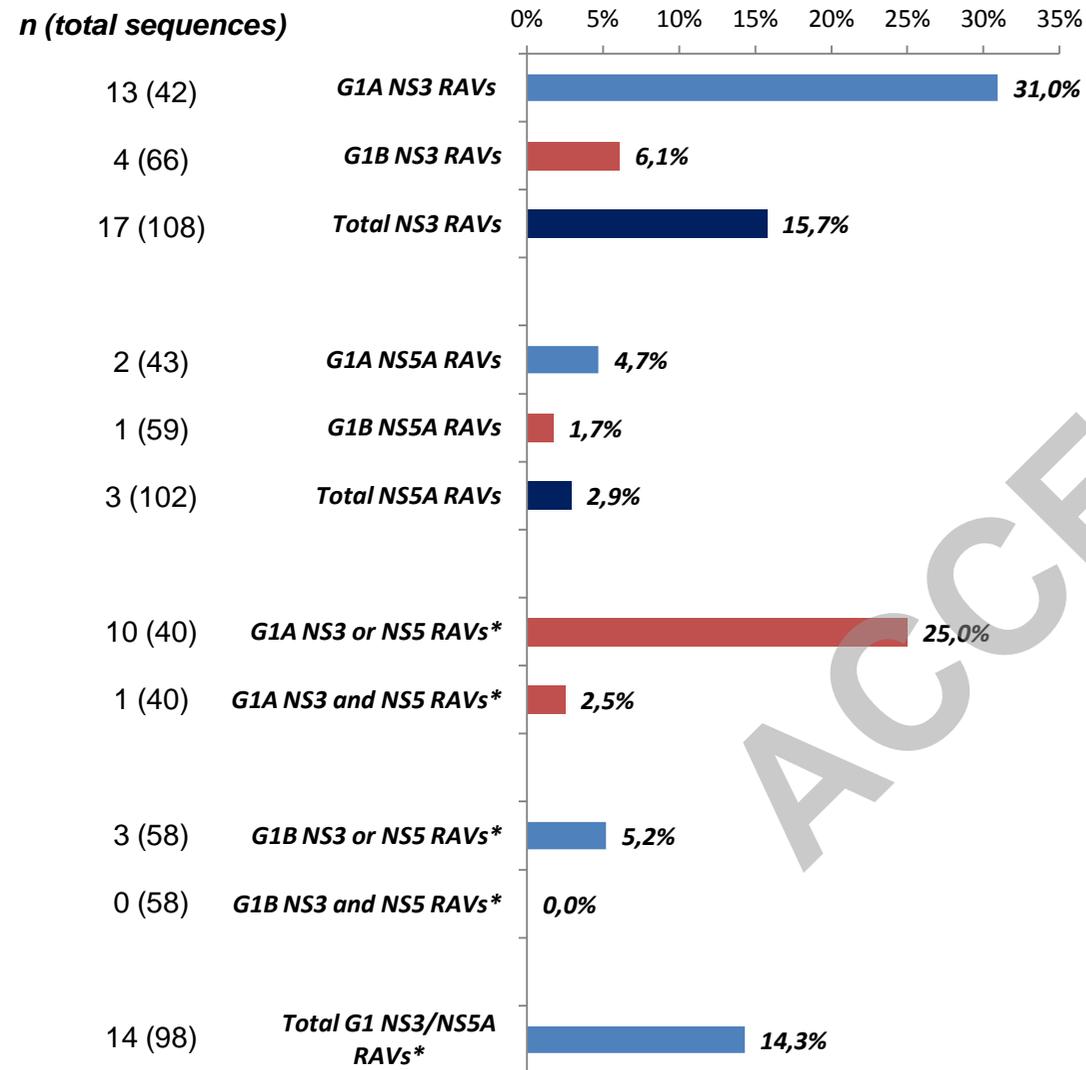
Abbreviations: aLRT - approximate likelihood ratio test. [#]IDU - injection drug use associated transmissions, MSM - men who have sex with men, HET - heterosexual partners of IDU. *City codes: SZ-Szczecin, WA-Warsaw, WR-Wrocław, ZG-Zielona Góra, KR-Kraków.

Cluster ID	Cluster size, number of sequences	HCV subtype/clade	Observed NS3 RAVs, (%)	Observed NS5 RAVs, (%)	Transmission route [#] (%)	City of diagnosis* (%)	Documented acute hepatitis C, (%)	Evolutionary distance within the cluster	Cluster aLRT support
1	10	1a/I	0	28T (10%)	IDU (100%)	SZ (90%) WR (10%)	0%	0.062	0.998
2	8	1a/I	Q80K (75%)	0	MSM (100%)	WA (75%) WR (25%)	100%	0.137 [±]	0.932
3	4	1a/II	0	0	MSM (100%)	WA (100%)	100%	0.042	0.87
4	6	1a/II	0	0	MSM (100%)	WA (16.6%)	83.4%	0.042	0.98

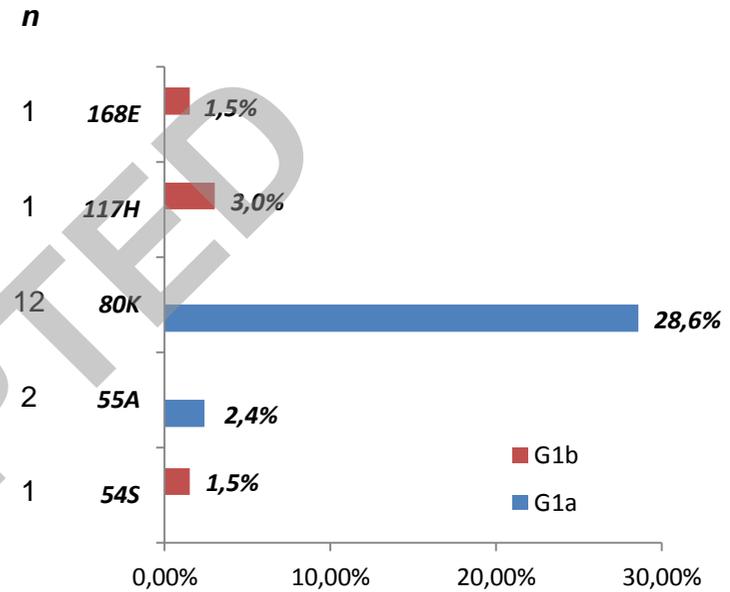
						SZ (83.4%)			
5	4	1b	0	0	IDU (75%), HET (25%)	SZ (100%)	0%	0.056	0.865
6	8	1b	0	0	IDU (100%)	SZ (100%)	0%	0.077	0.986
7	14	1b	0	0	MSM (100%)	KR (85.7%) WA (14.3%) SZ (7.1%) WR (7.1%)	100%	0.076	1
8	3	1b	0	0	IDU (66.6%) MSM (33.3%)	SZ (100%)	0%	0.064	0.976
9	4	1b	0	0	IDU (75%) HET (25%)	SZ (100%)	0%	0.58	0.995
10	5	1b	0	0	IDU (80%), HET (20%)	SZ (80%) WR (20%)	0	0.041	0.988

[±] For this cluster, three smaller clusters with evolutionary distances of 0.02, 0.067 and 0.028 were joint as all patients were epidemiologically linked, therefore the reported overall evolutionary distance is higher than the threshold of 0.85 mentioned in the Methods section.

a) Overall RAVs frequencies



b) NS3 RAVs frequencies



c) NS5A RAVs frequencies

