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Evolution and Distribution of Hydrolytic Enzyme Activities during Pre-Harvest Sprouting of Wheat (*Triticum aestivum*) in the Field

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23 ABSTRACT

To date, research on pre-harvest sprouted (PHS) wheat has mostly been conducted on kernels 24 germinated under laboratory conditions, which differ widely from conditions in the field. To 25 obtain detailed knowledge of the evolution of hydrolytic enzyme activities in PHS wheat 26 (Triticum aestivum), a broad collection of samples from three varieties was obtained by 27 28 harvesting before, at and after maturity. Delaying harvest time coupled with periods of heavy 29 rainfall caused sprouting in the kernels, observed as a drop in Falling Number and an increase 30 in α -amylase activity. The appearance of α - and β -amylase, peptidase and endoxylanase 31 during field sprouting was independent from each other. Consequently, Falling Number could not be used to predict activity of other hydrolytic enzymes. When differentiating endogenous 32 from kernel-associated microbial enzymes, results showed that α - and β -amylase and 33 34 peptidase activity of PHS kernels was predominantly of endogenous origin, whereas endoxylanase activity was largely from microbial origin. 35

36

KEYWORDS: *Triticum aestivum*, field sprouting, Falling Number, α -amylases, β -amylases,

- 38 peptidases, endoxylanases
- 39

40 **INTRODUCTION**

In wheat-based biotechnological processes, variation in flour yield and quality is a 41 major and recurring problem. It is generally known that such variations not only result from 42 genetic differences between wheat varieties, but are also often caused by varying 43 climatological conditions shortly before harvest. Untimely rainfalls after physiological 44 45 maturity evoke pre-harvest sprouting or germination in the ear of the parent plant in the field¹. 46 During the early stages of germination, gibberellic acid activates the production of hydrolytic 47 enzymes in the scutellum and aleurone layer. The secreted hydrolytic enzymes are then transported to the starchy endosperm where they catalyse the hydrolysis of cell wall materials, 48 starch and proteins to the advantage of the growing seedling $^{1.4}$. 49

50 Due to excessive hydrolytic enzyme activities in flour from PHS wheat and 51 concomitant degradation of major and minor constituents in the seed or during production processes, the quality of several cereal-based food products can be seriously affected. For 52 example, flour from PHS wheat produces dough which is sticky, insufficiently elastic and 53 54 difficult to handle. It results in bread with a sticky texture, low loaf volume, poor sliceability and a darker crumb and crust colour⁵⁻⁷. As bakery products made of PHS wheat are generally 55 unacceptable to producers and consumers, sprouted grains are often downgraded to feed grain 56 57 or are to some extent used for other applications like gluten-starch separation and brewing. 58 However, variation in hydrolytic enzyme activities can presumably also affect these other cereal-based food and feed applications⁸⁻¹⁰. Consequently, insight in the evolution of the 59 hydrolytic enzyme activities during pre-harvest sprouting is of great interest when 60 61 investigating methods targeted at enhancing the quality of PHS wheat.

Nevertheless, to date, there are surprisingly few reports in the literature on the evolution of hydrolytic enzyme activities of grains that have sprouted in the field^{11, 12}. Instead, most research on the quality of PHS wheat has been conducted on sound wheat kernels

germinated under laboratory conditions, which differ strongly from those encountered by 65 66 wheat kernels that sprout in the ear in the field. In these controlled laboratory germination studies, decreased product quality is considered to be the net result of the action of several 67 68 enzymes which degrade storage compounds prior to and during processing. The main damage to product quality is attributed to elevated α -amylase activity levels, which result in 69 breakdown of starch in the wheat kernel during early germination^{13, 14}, and cause further 70 71 hydrolysis of damaged and gelatinized starch during fermentation and baking when using the resulting wheat flour for bread making^{6, 7}. As a consequence, the Hagberg Falling Number 72 (FN) method, an indirect measurement of α -amylase activity¹⁵, is widely used to grade wheat 73 74 into quality classes. Also elevated peptidase activity is considered as a quality affecting factor. It is know that upon germination, proteolytic hydrolysis occurs in the kernel^{4, 16, 17}. This, and 75 similar activity during processing would lead to a reduction in gluten strength, resulting in 76 bread doughs with an impaired gas-retaining capacity^{4, 5}. Less information is available on the 77 levels of other enzyme activities, such as endoxylanases, in germinating wheat^{18, 19}. These 78 79 enzymes are involved in hydrolysis of aleurone and endosperm cell walls. Accumulation of these enzymes can also be expected to affect the technological functionality of flour⁸. 80

81 It is difficult, however, to extrapolate these conclusions from research performed on 82 sprouting of wheat kernels under laboratory or industrial conditions to pre-harvest sprouting 83 in the field for a number of reasons. Firstly, the conditions of temperature and humidity under 84 which germination of wheat kernels in the field occurs are far from the ideal conditions 85 generally applied during germination in the laboratory or in malt factories, and result in an inefficient and non-uniform onset of germination in the field. In second instance, this leads to 86 a non-uniform distribution and extent of germination of the kernels in the ear (unpublished 87 88 results) in contrast to a simultaneous germination of almost all kernels when germinating under laboratory conditions. It seems safe to assume that the resulting physiological changes 89

also differ in these two kinds of germination. Indeed, Meredith and Jenkins²⁰ for example 90 91 observed a second important starch degrading enzyme component in field sprouted wheat, lacking in laboratory sprouted grain. It was concluded that germination of wheat in the 92 laboratory is not representative for pre-harvest sprouting of wheat²⁰. Furthermore, 93 characterization of field sprouted materials is often done by counting the percentage of 94 95 visually sprouted wheat kernels. However, the enzyme activity in a kernel can vary 96 enormously depending on the severity of sprouting within the kernel, therefore the percentage of sprouted kernels would not necessarily give a correct indication of actual levels of enzyme 97 activity⁶. making comparisons between different studies difficult. An additional issue is that 98 99 in previous studies the surface of sound wheat kernels is generally disinfected prior to laboratory germination to prevent microbiological contamination^{2, 4, 16, 18, 21}. However, 100 enzymes associated with wheat kernels not only originate from the wheat plant itself but also 101 from microorganisms populating the outer layers of the wheat kernel²². Hence, the enzyme 102 103 activities measured for grain kernels sprouted in the field are also partly from microbial 104 origin, of which the contribution is underestimated in studies using laboratory sprouted grains. All the above implies that detailed knowledge on the physiological and functional 105 106 changes, and more specifically on changes in hydrolytic enzyme activities, occurring during 107 field sprouting of wheat is lacking. To have a better understanding of the factors responsible 108 for the quality deterioration of end products made from PHS wheat flour, it is required to 109 obtain representative PHS wheat samples in the field. In this study, the evolution of α -110 amylase, β -amylase, peptidase and endoxylanase activity in flour of three different wheat 111 varieties (Sahara, Forum and Tobak) as a function of harvest time and consequent sprouting in the field was investigated. A distinction between endogenous and wheat associated microbial 112 113 enzymes activities was made.

114 MATERIALS AND METHODS

Sample Collection and Preparation of Whole Meal and Flour. Three Belgium winter 115 wheat (Triticum aestivum) cultivars, Forum, Tobak and Sahara, with a difference in sprouting 116 tolerance, were cultivated in a standard manner in 2013-2014 at the experimental site of the 117 118 Université de Liege (Agro-bio Tech, Gembloux, Belgium). Amount of rainfall and minimum 119 and maximum temperatures were measured daily. Every two or three days, throughout the 120 period from pre- to extremely late maturity (23th of July until 4th of September), 2 to 3 kg wheat grain was harvested by hand with secateurs. This way a broad range of samples was 121 122 collected which, within one wheat variety, differed in harvest time. After harvesting, samples were dried overnight at 40 °C. One part of each batch of wheat was ground into whole meal 123 124 with the Laboratory Mill 3100 (Perten Instruments, Hägersten, Sweden). Another part of the kernels was conditioned to 16.0% moisture and subsequently milled into flour with a Bühler 125 126 MLU-202 laboratory mill (Bühler AG, Uzwil, Switzerland). The obtained flour samples consisted of three break roll flour fractions and three reduction roll flour fractions. Total flour 127 128 vield ranged from 71 to 75%. All wheat samples were milled within 2 months after harvest, and the resulting flours were stored at 7 °C before analysis. Kernel and flour moisture content 129 and flour ash content were determined according to AACC International methods 44-15.02 130 and 08-01.01, respectively²³. Ash content ranged from 0.49 to 0.72% (on dry matter (dm)). 131 132 The remaining part of the batch was used for the washing experiment (cf. infra). The 133 percentage of sprouting was assessed visually by counting the amount of kernels in which the 134 radicle and/or the coleoptile had penetrated the pericarp of randomly-chosen two hundred kernels. Results are expressed as percentage by count. 135

Chemicals and Reagents. Chemicals and reagents were purchased from Sigma-Aldrich
(Bornem, Belgium) and were of at least analytical grade. Azurine-cross-linked arabinoxylan
and amylose tablets were purchased from Megazyme (Bray, Ireland).

Hagberg Test. The FN of the different samples was measured in triplicate according to AACC International method $56-81.03^{23}$ and with a sample size of 7 g (14% moisture basis) in 25 mL H₂O. The FN of the flour and whole meal samples is defined as the total time in seconds required to stir (60 s) and allow a FN1500 viscosimeter stirrer to fall a specified distance through the heated flour-water suspension¹⁵.

Washing of Wheat Kernels. Washing of sound and PHS wheat kernels (100 g) of the different varieties was performed in triplicate as described by Dornez *et al.*²² with the use of universal buffer pH 8.0 as washing liquid and a washing time of 17 hours. Universal buffer was prepared by dissolving citric acid (6.0 g), monopotassium phosphate (3.9 g), boric acid (1.8 g), diethyl barbituric acid (5.3 g), and sodium azide (0.2 g) in deionized water (1.0 L) and adjusting the pH with a NaOH solution (2.0 M). Washed wheat kernels were ground with a Cyclotec 1093 sample mill (FOSS, Hogänäs, Sweden).

151 Analysis of Enzyme Activities. α-Amylase and endoxylanase activity levels in flour, whole 152 meal made from washed kernels and the washing liquid were determined with the Amylazyme and Xylazyme methods, respectively (Megazyme). Enzymes were extracted by 153 suspending 1.0 g of flour or meal in 10.0 mL maleate buffer (100 mM, pH 6.0) containing 5 154 mM CaCl₂ for the Amylazyme method and in 10.0 mL sodium acetate buffer (25 mM, pH 155 5.0) for the Xylazyme method. Suspensions were shaken (30 min, 7 °C) and centrifuged 156 (4000 g, 10 min, 7 °C). An azurine crosslinked amylose or arabinoxylan tablet was added to 157 1.0 mL pre-equilibrated flour or meal extract or washing liquid at 40 °C. After appropriate 158 incubation times, 10.0 of 159 the reaction was stopped by adding mL Tris(hydroxymethyl)aminomethane solution (2.0 or 1.0 w/v% for the Amylazyme or)160 Xylazyme method, respectively). After filtration of the suspensions, the extinction values at 161 162 590 nm (Ultraspec 2100 pro spectrophotometer, Biochrom Ltd, Cambridge, United Kingdom) 163 were measured against a control, prepared by incubating the extracts without the tablet.

⁷

164 Correction was made for non-enzymatic colour release by the substrate tablets. Activities
165 were expressed in α-amylase and endoxylanase units (AU and EU, respectively) per gram dm.
166 One unit is defined as the amount of enzyme activity needed to yield a corrected extinction
167 value of 1.0 per hour of incubation under the conditions of the assay.

 β -Amylase activity levels in flour, whole meal made from washed kernels and the washing 168 liquid were determined with the Betamyl-3 method (Megazyme) according to Struyf *et al.*²⁴. 169 170 For the measurement of free and soluble β -amylase activity, flour (1.0 g) was suspended in TRIS-HCl buffer (0.05 M, pH 8.0) containing 1.0 mM 171 10.0 mL disodium ethylenediaminetetraacetic acid and sodium azide (0.02% w/v). To assess the total β -amylase 172 173 activity, 100 mM cysteine was added to the extraction buffer. Activities were expressed in β-174 amylase units (BU) per gram dm. One BU is defined as the amount of enzyme activity 175 required to release one micromol of *p*-nitrophenol from *p*-nitrophenyl- β -D-maltotrioside, in the presence of excess β -glucosidase, per g dm per min at 40 °C and pH 6.2. The amount of 176 bound β -amylases was calculated as the difference of total and free β -amylase activity. 177

Exo- and endo-peptidase activity levels in flour, whole meal made from washed kernels and 178 the washing liquid were determined using haemoglobin as substrate according to Brijs *et al.*²⁵. 179 To measure peptidase activity at different pH conditions, 0.2 M sodium acetate buffer 180 adjusted to pH 3.0, 4.0 and 5.0; McIlvaine buffer (pH 6.0, prepared by mixing appropriate 181 182 amounts of 0.1 M citric acid and 0.2 M disodium phosphate) and 0.2 M sodium phosphate buffer adjusted to pH 7.0 and 8.0 were used. Total peptidase activity levels are expressed in 183 peptidase units (PU) per gram dm, with one PU corresponding to the amount of enzyme 184 activity needed to yield a corrected extinction value of 1.0 per hour of incubation under the 185 assay conditions. 186

Enzyme extractions and subsequent enzyme activity measurements were performed in triplicate. The enzyme activities in the washing liquid were expressed as enzyme units per gram of originally treated wheat rather than per mL of liquid.

190 **Analysis of Inhibition Sensitivity of Endoxylanases.** To measure the inhibition sensitivity 191 of kernel-associated and endogenous endoxylanases, endoxylanase inhibitors were extracted 192 from mature Forum wheat flour (1.0 g in 10.0 mL 25 mM sodium acetate buffer pH 5.0) 193 containing high amounts of endoxylanase inhibitors but only low levels of endoxylanase. 194 Whole meal enzyme extracts or washing liquids (0.5 mL) were then preincubated with the 195 extracted inhibitors (0.5 mL) for 30 min at 40 °C allowing enzyme-inhibitor complexes to be formed. The endoxylanase activity in the preincubated samples was measured with the 196 197 Xylazyme method as described above and corrected for the endoxylanase activity present in 198 the aqueous extract of the Forum flour sample.

199 Statistical Analysis. For reproducibility and accuracy measurements, all (bio)chemical 200 experiments were performed in triplicate. Significant differences were determined by one-way 201 analysis of variance using JMP Pro software 11 (SAS Institute, Cary, NC, USA), with 202 comparison of mean values using the Tukey test ($\alpha = 0.05$).

203 RESULTS AND DISCUSSION

Case Description. In the present study, a broad set of wheat samples, which differ 204 205 in harvest time within one wheat variety, was collected to obtain a better understanding of the 206 evolution of hydrolytic enzyme activity as a function of harvest time. The daily amount of 207 rainfall, daily temperatures and the FN of whole meal were measured to follow up the 208 sprouting process during the summer of 2014 (Figure 1). Initially (23 July), FN of the whole 209 meal samples increased slightly, indicating that harvest maturity was not yet reached at the 210 beginning of wheat sample collection. In general, at maximal FN values harvest maturity is assumed to be reached, which is associated with a reduction in moisture content of the 211

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kernel²⁶ and a drop of the α -amylase activity in the outer layers of the kernel^{27, 28}. For the selected data set, harvest maturity was obtained between the last days of July and the first week of August with a maximal whole meal FN of 245 s, 339 s and 330 s for Sahara, Forum and Tobak, respectively (Figure 1).

According to Mares²⁹ repeated wetting and drying cycles can lead to swelling and 216 217 shrinking of the grains and trigger the mature grain to break its dormancy and make the kernel 218 more susceptible to pre-harvest sprouting in the presence of suitable germination conditions. 219 During the summer of 2014, periods of heavy rainfall around the 5th, 20th and 27th of July 220 (Figure 1) caused wheat grains to lose their dormancy and, hence, sprouting in the ear occurred, which was visible in some kernels of the sprouted samples as the coleoptile and/or 221 222 radicle had penetrated the pericarp (Figure S1 in Supporting information). This was 223 concomitant with a decrease in FN when harvest time of the matured wheat grain was delayed. Rainy weather conditions and the occurrence of visible sprouting indicated that pre-224 225 harvest sprouting is the dominant cause of the decrease in FN rather than other phenomena like late maturity α -amylase activity, which also gives rise to high α -amylase activity levels in 226 wheat³⁰. Differences in the magnitude of the decline in FN suggest that the three varieties 227 differ in susceptibility towards pre-harvest sprouting. Sahara wheat samples were 228 characterised by an overall low FN throughout the entire harvest period and after harvest 229 230 maturity a fast drop in FN was observed (value of 70 s at 25th of August), which shows that Sahara was sensitive towards pre-harvest sprouting. At that moment 10.5% of the kernels 231 were visibly sprouted by penetration of the coleoptile. Compared to Sahara, the FN of Forum 232 samples evolved similarly as a function of harvest time, although the initial FN was higher 233 234 and dropped only to 112 s. The smaller extent of sprouting of Forum was also noticed in the 235 lower percentage of visible sprouted kernels (6%). The Tobak variety, on the contrary, 236 appeared to be the most resistant variety towards pre-harvest sprouting as only 1% of the

kernels were visibly sprouted and the drop in FN occurred slower when harvest time was
delayed under the same climatological conditions until a value of 240 s at the 25th of August.
Differences in pre-harvest sprouting susceptibility between wheat varieties can be explained
by their genetic background as this determines the level of dormancy and the sensitivity of a
wheat kernel to break out of his dormancy in the presence of certain environmental
conditions¹.

243

a-Amylase Activity.

 α -Amylase Activity of Flour as a Function of Harvest Time. In this study, the α -244 amylase activity in flour derived from the harvested kernels was assessed in two ways. On the 245 one hand the Hagberg FN test was used as this indirectly measures α -amylase activity¹⁵ and 246 on the other hand the activity was measured directly using a dyed and cross-linked amylose 247 substrate (Amylazyme method). Between these two parameters, a strong logarithmical 248 relationship was found ($R^2 = 0.99$, 0.98 and 0.96 for Sahara, Forum and Tobak, respectively) 249 (Figure 2), as also reported earlier³¹. In Figure 3 the α -amylase activity and FN of flour are 250 251 represented as a function of harvest time. For FN, in general, the same trends were observed 252 as those detected for the corresponding whole meal samples (Figure 1 and 3), but the FN of flour of the different wheat varieties was characterized by a higher value. This higher value 253 254 was caused by the removal of bran material containing relatively higher α -amylase levels during milling²⁷. However, differences between whole meal FN and flour FN were not 255 256 constant for different varieties and at different harvest time points, indicating that the FN of 257 whole meal is not a very accurate predictor for FN of flour.

Before harvest maturity was reached, α -amylase activity slightly decreased from 10 to 5 AU/g in flour of the Sahara variety, which corresponds to an increase in FN from 263 s to 307 s (Figure 3). The increase in FN of flour from Forum and Tobak was less pronounced and correspondingly no significant decrease in α -amylase activity was detected when harvest

maturity was achieved. The α -amylase activity in these samples was initially already very low 262 (3 AU/g for both Forum and Tobak), suggesting that the drop in enzyme activity, typically 263 when maturation progresses^{27, 28}, had occurred before the start of harvest in this study. 264 Delaying harvest after harvest maturity resulted in a strong increase in α -amylase activity 265 which was more pronounced as harvest time was further postponed until the 20th of August 266 267 and reached values of 93 and 65 AU/g in flour of Sahara and Forum, respectively. This rise in 268 α -amylase activity was accompanied by a strong decline in FN to values of 147 s and 183 s in 269 the flour samples of Sahara and Forum, respectively. While the initial slow increase in α -270 amylase activity is rather due to the disappearance of α -amylase inhibitors as germination sets on³², the large changes in α -amylase activity of flour are characteristic features of pre-harvest 271 sprouting and are attributed to *de novo* synthesis of two groups of iso-enzymes, low and high 272 pI α -amylases^{2, 3}. Due to periods of heavy rainfall, the increase in α -amylase activity over a 273 274 one month period - namely a 19, 22 and 4-fold rise for Sahara, Forum and Tobak, respectively - was larger than in the study of Noda et al.¹¹, in which a similar time span was examined, 275 276 indicating the importance of weather conditions for pre-harvest sprouting to occur. Several other authors have been studying the development of α -amylase activity during the 277 germination process in the laboratory and noticed a several hundred fold increase after 4 or 5 278 days of germination^{11, 18, 21}. However, these experiments were performed on mature wheat 279 280 kernels germinated under optimal conditions in the laboratory, which most likely resulted in a 281 homogeneous and immediate onset of germination and hence more pronounced changes in 282 enzyme activity, and results can therefore not be transferred as such to field sprouting. The fast increase in α -amylase activity in the flour samples of Sahara again confirms the 283 low resistance of this variety towards pre-harvest sprouting. Compared to Sahara and Forum, 284

286 gradual and less pronounced, as already indicated by the FN results of the whole meal

285

the rise in α -amylase activity and concomitant decline in FN of Tobak flour was much more

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samples (Figure 1). The FN values of the sample harvested at 20th of August reached a value of 268 s, whereas the α -amylase activity detected was 13 AU/g, suggesting a higher resistance of Tobak towards pre-harvest sprouting.

Distribution of α -Amylase Activity over the Kernel. Enzymes associated with wheat 290 291 kernels originate not only from the wheat plant itself but also from microorganisms populating the outer layers of the wheat kernel²². Therefore, it was investigated to which 292 293 extent endogenous enzymes contributed to the elevated α -amylase activity levels detected in 294 sprouted flour samples. The α -amylase activity in the washing liquid was considered as 295 kernel-associated α -amylase activity, which includes microbial α -amylase activity and 296 possibly also α -amylase activity originating from the pericarp. During the washing procedure 297 slight abrasion of the kernels occurred and hence migration of these enzymes out of the pericarp could not be prevented. No micro-organisms grew during this experiment due to the 298 299 presence of sodium azide and longer washing times (up to 46 hours) did not lead to elevated 300 α -amylase activity in the washing liquid (results not shown), indicating that no enzymes were 301 formed *de novo* during the washing step. The α -amylase activity measured in whole meal 302 made from the washed wheat kernels is regarded as endogenous α -amylase activity. The α -303 amylase activity of a mature wheat kernel predominantly consisted of endogenous α -304 amylases, namely 91%, 90% and 90% of the total α -amylase activity for Sahara, Forum and 305 Tobak, respectively (Table 1). Consequently, the amount of α -amylases that migrated from 306 the pericarp during the treatment is rather limited. Next, these results show that whole meal 307 endogenous α -amylase activity levels are significantly higher than flour α -amylase activity levels (comparing Table 1 and Figure 3), indicating that these enzymes are predominantly 308 309 present in the outer layers of a wheat kernel.

In addition, due to pre-harvest sprouting in the field, the amount of endogenous α-amylase
activity in Sahara and Forum increased 12 and 31 times to values of 187 and 161 AU/g,

respectively, demonstrating the *de novo* synthesis of these enzymes during field sprouting. 312 313 The rise in endogenous α-amylase activity in meal of Tobak was less pronounced (a sevenfold increase), which was expected based on the earlier observations. Moreover, delaying 314 harvest resulted also in an increase in kernel-associated α -amylase activity up to values of 4.4, 315 316 7.8 and 6.9 AU/g for Sahara, Forum and Tobak, respectively, as heavy rainfall not only 317 increases the risk of sprouting but also of microbial growth on the wheat kernel. However, for 318 Sahara and Forum, this increase was small in comparison with the rise in endogenous α -319 amylase activity.

320 β-Amylase Activity. The total β-amylase activity levels varied around 26, 27 and 25 BU/g over the harvesting period for Sahara, Forum and Tobak, respectively (Figure 3). No 321 changes in total β -amylase activity were detected as a function of harvest time, indicating that, 322 323 in contrast to α -amylases, no *de novo* formation occurred during field sprouting of wheat grain until flour FN of ca. 150 s, similar to what was previously observed for laboratory 324 germinated grains^{2, 21}. *De novo* synthesis of β -amylases only occurs during development of 325 the grain²⁸, confirming that in this study this stage was already completed before the start of 326 sampling of wheat from the field. As almost no β -amylase activity could be detected in the 327 328 washing liquid after completion of the washing procedure (results not shown), these enzymes 329 are almost exclusively present endogenously, more precisely in the endosperm (> 99%). The 330 latter follows from the fact that total β -amylase activity levels measured in flour and whole 331 meal were equally, respectively 24.8 and 25.7 BU/g for mature flour of Sahara.

 β -amylases in wheat are present in a 'free and soluble' and in a 'bound' form, where the latter are associated with each other or with glutenins by disulphide bonds, and require the addition of reducing agents or proteolytic enzymes for extraction and activity³³. It was observed that mature wheat kernels already contained high levels of free β -amylase activity, namely 85, 81 and 83% of the total β -amylase activity for flour of Sahara, Forum and Tobak,

respectively. Moreover, the ratio of bound to free β -amylases remained constant as a function 337 of harvest time, hence, no release of bound β -amylase into its free and active form was 338 detected in these samples upon sprouting until FN of ca. 150 s (Table S1 in Supporting 339 information). This is in contrast to most literature data on laboratory sprouted wheat grains, 340 341 where an apparent increase in β -amylase activity was detected due to progressive activation of 342 the bound enzymes by cleavage of disulphide bonds, and this already after two days of germination^{2, 3, 33}. In these kernels, however, the germination process has progressed further 343 due to the optimal conditions for germination of wheat kernels in the lab. This suggests that 344 345 the release of bound β -amylases probably occurs at a later stage during germination in the field. Although β -amylase activity is responsible for the release of maltose from the non-346 347 reducing end of starch polymers, an increase in free and active β -amylase activity would probably not impact the amount of fermentable sugars for the yeast during the fermentation 348 process, as flour contains an excess of β-amylase activity and research by Struvf et al.²⁴ 349 indicated that supplementation of β -amylase had no effect on fermentation speed. 350

351 Endoxylanase Activity.

Endoxylanase Activity of Flour as a Function of Harvest Time. Besides amylases, 352 ungerminated wheat also contains endogenous endoxylanases^{22, 34}. Indeed, the first harvested 353 354 samples, before harvest maturity, already contained some endoxylanase activity (Figure 3), 355 which in the flour of the Sahara and Forum varieties decreased to lower activity levels (0.08 356 and 0.02 EU/g, respectively) as maturity was reached and their function becomes of less 357 importance. In contrast, flour of Tobak was already from the beginning of sampling characterized by a higher endoxylanase activity level (0.2 EU/g) which doubled towards 358 359 maturity.

Postponing harvest time after harvest maturity caused a rapid increase in endoxylanase activity up to values of 0.7, 0.5 and 2.8 EU/g for Sahara, Forum and Tobak, respectively,

harvested at 20th of August. During germination of cereal kernels under laboratory 362 conditions, endoxylanase activity levels also increased, starting from an initially very low 363 value for the first three days, which then increased rapidly on the fourth and fifth day of 364 germination^{18, 19, 21}. The endoxylanase activity levels in Tobak flour were significantly higher 365 366 than the ones in sprouted wheat flour of Sahara and Forum. Differences in endoxylanase 367 activity levels between wheat varieties were expected as both genotype and climatological conditions markedly determine this variability⁸. Nevertheless, it was assumed that the activity 368 present in Tobak flour (8.6 EU/g at 30th of August) was too high to be only from endogenous 369 370 origin, especially when taking into account the low degree of sprouting. Indeed, when inspecting the individual Tobak kernels, marks characteristic for a Fusarium infection³⁵ were 371 372 spotted as pink dots on the grain. These marks were already visible in the early harvested 373 grain but became more abundant on the later harvested kernels. This plant pathogenic fungus 374 produces extra-cellular hydrolytic cell wall degrading enzymes not only to obtain nutrients but also for penetration and infection of the host³⁵. Besides the typical features of this 375 376 infection, the flour of Tobak was also characterized by elevated concentrations of deoxynivalenol (200 and 2000 ppb in flour of mature and sprouted wheat, respectively), a 377 mycotoxin associated with several Fusarium pathogens. The intensity of the Fusarium 378 379 infection was only that severe in Tobak and hardly present in the other cultivars. As heavy 380 rainfalls occurred during the sampling period, also other micro-organisms could have infected 381 wheat kernels on the field. However, to the best of our knowledge, this was not the case.

Distribution of Endoxylanase Activity over the Kernel. To investigate to which extent microbial enzymes contributed to the elevated endoxylanase activity in the different grain samples, the endoxylanase activity was measured in washing liquid and whole meal of the washed kernels. As most endoxylanase activity in the washing liquid (*ca.* 90%) was inhibited by the wheat flour extract containing endoxylanase inhibitors, the kernel-associated endoxylanases were considered to be from microbial origin. The endoxylanase activity in the
whole meal made from washed kernels was regarded as from endogenous origin, since this
activity did not diminish in the presence of endoxylanase inhibitors (results not shown).

390 Table 2 shows that the majority of endoxylanase activity in a mature grain kernel comes from kernel-associated microbial endoxylanases (90, 96 and 94% for Sahara, Forum and 391 392 Tobak, respectively), which is opposite to the distribution observed for α -amylase activities 393 (cf. Table 1). When delaying harvest, endogenous endoxylanase levels in the samples 394 increased and this increase was larger for Sahara and Forum than for Tobak, as sprouting had 395 occurred less in the last variety. Hence, during sprouting in the field endoxylanases are also formed *de novo* in addition to α -amylases. Dornez *et al.*¹² also noticed that endogenous 396 397 endoxylanase activity levels were affected by harvest date and weather conditions prior to harvest and noted a strong correlation between FN, α -amylase activity levels and endogenous 398 399 endoxylanase levels. In addition, the occurrence of pre-harvest sprouting was also 400 accompanied by a major increase in microbial endoxylanase activity (approximately a 9, 12 401 and 3 fold increase for Sahara, Forum and Tobak, respectively).

402 At last, surprisingly, not only the microbial endoxylanase activities in Tobak wheat 403 samples were high, but also the endogenous endoxylanase activity levels, compared to Sahara 404 and Forum. This could not be explained by insufficient removal of the microbial enzymes 405 from the wheat kernel (results not shown) nor due to penetration of fungal enzymes in the 406 kernel as the activity was not reduced after incubation with endoxylanase inhibitors (8.0 and 8.1 EU/ g in the absence and presence of inhibitors, respectively). Dornez et al.¹² also 407 408 detected increased endogenous endoxylanase activities in wheat samples with elevated 409 microbial contamination when fungicide treatment was omitted compared to when fungicides 410 were used. As the sprouting process had not progressed as far in Tobak as in the other

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varieties, one may speculate that a certain plant defence mechanism exists that causes an
increase in endogenous endoxylanase activity as a response to *Fusarium* infection.

Peptidase Activity. Based on their catalytic mechanism most peptidases can be divided 413 into four classes: aspartic, metallo, cysteine and serine peptidases. Each group is characterised 414 by class specific inhibitors and an optimal pH range¹⁶. To study which kind of peptidases are 415 416 mostly present or formed during field sprouting of wheat, the peptidase activity in PHS flour 417 of Forum (FN = 155 s) was measured at different pH conditions. Figure 4 shows that the highest peptidase activity was present under acidic conditions, 32 and 42 PU/g for pH 3.0 and 418 419 4.0, respectively, which suggests the presence of aspartic and acid cysteine peptidases. The presence of these kind of peptidases in germinated grain was also observed by Dominguez 420 and Ceiudo¹⁶ in wheat and by several authors in rye and barley^{25, 36}. In the present study, 421 hardly any peptidase activity was detected at neutral to alkali pH values, which is in contrast 422 to earlier studies describing the presence of neutral cysteine peptidases and alkali serine 423 peptidases in germinated grain^{17, 25, 36}. This again indicates that sprouting in the field appears 424 425 to stimulate the production of hydrolytic enzymes in a different manner than under laboratory conditions. The present results suggest, hence, that the impact of sprouting on the gluten 426 427 properties of flour will be smaller in non-acidic production processes, such as the early stage 428 of bread making, compared to what was considered earlier. Instead, due to the more acidic 429 conditions ruling during gluten-starch separation, the elevated peptidase activity may have a 430 more detrimental effect on the quality of the obtained gluten. Nonetheless, in this context, Kelfkens and Hamer⁹ noted earlier that moderately sprout damaged wheat (FN ~ 150 s) can 431 432 still be used in starch-gluten fractionation processes with no effect on gluten yield and quality; the gluten agglomeration was even improved due to the increased activity of endoxylanases. 433 434 When differentiating endogenous from kernel-associated peptidases, no peptidase activity

435 could be detected in the washing liquid. Comparing peptidase activity of whole meal from

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washed kernels (66 PU/g) with activity measured in flour (42 PU/g), the findings indicated
that in PHS wheat these enzymes are for 56% present in bran layers and germ removed by
milling and for 44% present in the produced flour.

439 Based on the above results, peptidase activity was measured for all samples at pH 4.0 to study changes in peptidase activity as a function of harvest time (Figure 3). Flour of wheat 440 441 kernels that almost reached harvest maturity already contained 34, 38 and 28 PU/g for Sahara, 442 Forum and Tobak, respectively. Although all varieties showed a slight increase in acidic 443 peptidase activity as a function of harvest time to values of 44, 42 and 51 PU/g in flour of 444 Sahara, Forum and Tobak, respectively, these increases were much lower than expected, 445 based on the (large) drop in FN values during this period. It is even possible that such increase 446 in peptidase activity is due to removal of proteolytic inhibitors rather than due to synthesis of new proteins¹⁷. These results show that *de novo* formation of α -amylases and peptidases is 447 regulated very differently and hence, that FN values cannot predict peptidase activity levels. 448 449 Compared to the other two varieties, peptidase activity increased much more for the Tobak 450 variety, which was remarkable, knowing that α -amylase activity levels only slightly increased 451 in comparison to Sahara and Forum. Probably the Fusarium infection contributed to the 452 elevated peptidase activities, as fungi also require peptidases for complete digestion of plant cell walls and as a nitrogen source³⁵. Previous research on laboratory sprouted grain showed 453 454 that the acidic peptidase activity largely increased upon germination due to *de novo* formation 455 of enzymes. Most studies detected an initial small increase in peptidase activity after 24 hours, followed by a 5- to 10-fold rise after 4-5 days of germination^{4, 5, 16, 25, 36}. As explained 456 457 above, it seems logical that the increase in peptidase activity was less pronounced in this 458 study as the wheat grain experiences less ideal conditions for germination in the field than in 459 the laboratory.

460 **Relevance of the Present Findings.**

The present study clearly demonstrates that unfavourable weather conditions around 461 harvest time markedly influence hydrolytic enzyme activity levels in a manner distinct from 462 what was previously observed for sound wheat kernels germinated under laboratory 463 conditions as a proxy for field sprouting. Preharvest sprouting differs quantitatively from 464 laboratory sprouting due to less ideal conditions and inefficient onset of germination in the 465 466 field, inducing a slow and less pronounced increase in hydrolytic enzyme activities. In 467 addition, qualitative differences were observed which are the results of the non-uniform 468 distribution and extent of germination of the kernels in the ear. Nevertheless, due to a lack of 469 data on field sprouted wheat, the results from laboratory germination studies are frequently 470 used to predict the impact of field sprouting on end product quality.

471 Moreover, the present investigation also reveals that the regulation of the different hydrolytic enzymes studied here during field sprouting differed temporally. A first 472 473 observation was that the acidic peptidase activity increased only slightly, and that active β -474 amylase did not, although FN values were considerably lower. In addition, the rise in 475 endoxylanase activity started markedly earlier than the increase in α -amylase activity and the concomitant drop in FN. This is in contrast to previous reports on germinative enzymes^{18, 21}, 476 in which it was logically assumed that the production of cell wall degrading enzymes was a 477 critical step as these cell walls construct a physical barrier for other endogenous hydrolysing 478 enzymes to access and degrade intracellular starch and protein^{18, 27}. However, as the 479 endoxylanase activity is predominantly coming from microbial enzymes associated with the 480 kernel, unfavourable weather conditions like the heavy rainfalls of 27-29 July (Figure 1) will 481 cause a fast microbial growth and hence, an increase in microbial endoxylanase levels 482 associated with the kernel. The increase in α -amylase activity is more delayed because of the 483 484 time needed for the kernel to break dormancy and start to synthesise α -amylases after 485 sufficient water imbibition. This indicates that α -amylase activity does not correlate well with 486 β-amylase, peptidase and endoxylanase activities measured in flour and that FN cannot be
487 used as sole criterion to predict the degree of sprout damage in wheat.

In addition, the present findings highlight the importance of the distinction between 488 endogenous enzymes and kernel-associated enzymes. While the α -amylase activity of wheat 489 490 kernels was predominantly of endogenous origin and situated in the outer layers, the 491 endoxylanase activity was dominated by microbial enzymes associated with the kernel 492 surface. In previous studies unravelling the causes of deteriorated product quality due to PHS wheat, the contribution of enzymes from microorganisms associated with the grain kernel was 493 underestimated. However, as part of these enzymes will end up in flour after milling and 494 495 hence, can affect end product quality, the presence of microbial endoxylanases in cereal processing should not be neglected. In this context, Gys *et al.*³⁷ showed that kernel-associated 496 497 microbial endoxylanases were involved in syruping of dough during storage.

It should also be mentioned that the influence of a particular enzyme during cereal processing will depend on whether the conditions are suitable for its action or not. Noteworthy, the changes detected in the present paper were observed under optimal operation conditions for each specific enzyme. For example temperature, pH value, moisture content, substrate access, *etc.* might be different and less ideal in a real food system. Hence, the relative importance of different enzymes will vary from application to application.

In conclusion, germination in the field appears to stimulate hydrolytic enzyme production in a quantitative and qualitative different manner than sprouting in laboratory conditions. Consequently, more care should be taken when using results of laboratory germination experiments to predict quality changing aspects from PHS flour. Nevertheless, further research with focus on technological aspects is required to completely understand the effect of these enzymes in PHS wheat on end product quality. This knowledge will contribute to finding remedies to reduce the detrimental impact of the increased enzyme activity during 511 processing. In this context, pearling of the wheat grain before milling can be a promising pre-

treatment to enhance flour quality from PHS wheat, since pearling can greatly reduce the

surface associated enzyme activities and remove a substantial amount of the enzyme-rich bran

514 and germ.

515

516 ABBREVIATIONS USED

- 517 AU, α -amylase activity units; BU, β -amylase activity units; EU, endoxylanase activity units;
- 518 dm, dry matter; FN, Falling number; PHS, pre-harvest sprouted; PU, peptidase activity units;

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525 SUPPORTING INFORMATION STATEMENT

526 Supporting Information is available free of charge at ACS publications website.

527 In Figure S1 photographs of a selection of mature and field sprouted wheat kernels of Sahara,

528 Forum and Tobak are shown. In the sprouted samples, pre-harvest sprouting is visible as the

- 529 penetration of the coleoptile and roots through the pericarp.
- 530 The measured free and bound β -amylase activities in flour of Sahara, Forum and Tobak wheat
- harvested at different dates during harvest time are shown in Table S1. This information
- 532 demonstrates no statistical changes (p < 0.05) in free and bound β -amylase activity when
- 533 harvest time was delayed. This observation indicates that no release of bound β-amylase into
- its free and active form could be detected in the samples upon sprouting until FN of ca. 150 s.

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619 FIGURE CAPTIONS

Figure 1. Top: Hagberg Falling number (s) of whole meal of Sahara (black spheres), Forum (grey spheres) and Tobak (white spheres) as a function of harvest time. Values are averages with standard deviations on triplicate measurements. **Bottom**: The daily amount of rainfall (mm) during harvest time is indicated as grey bars and minimum and maximal daily temperature (°C) indicated as white and black squares, respectively.

Figure 2. Logarithmic relationship between Hagberg Falling number (s) and α-amylase activity of flour samples of Sahara (black spheres), Forum (grey spheres) and Tobak (white spheres) harvested between 23th of July and 2th of September. α-Amylase activity was defined in α-amylase activity units (AU) per g of flour dry matter (dm).

629 **Figure 3.** Left: overview of α -amylase activity (squares), total β -amylase activity (triangles) and Falling number (s) (black spheres) measured in flour of Sahara (top), Forum (middle) and 630 631 Tobak (bottom) grains harvested at different dates during harvest time. **Right**: overview of peptidase activity (crosses) and endoxylanase activity (grey spheres) measured in flour of 632 633 Sahara (above), Forum (middle) and Tobak (below) grain harvested at different dates during harvest time. α -Amylase, total β -amylase, peptidase and endoxylanase activity was defined in 634 635 α -amylase activity units (AU), β -amylase activity units (BU), peptidase activity units (PU) 636 and endoxylanase activity units (EU) per g of flour dry matter (dm), respectively. Error bars 637 are standards deviations on triplicate measurements.

Figure 4. Peptidase activity in pre-harvest sprouted flour of Forum (harvested at 25th of
August) measured under different pH conditions (from 3.0 until 8.0). Peptidase activity was
defined in peptidase activity units (PU) per g of flour dry matter (dm). Values are averages
with standard deviations on triplicate measurements. ND = not detectable.

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TABLES

Table 1. The endogenous and kernel-associated α -amylase activity of mature and pre-harvest sprouted kernels of Sahara, Forum and Tobak. Values are averages with standard deviations on triplicate measurements. α -Amylase activity is expressed as α -amylase activity units (AU) per g of meal dry matter (dm).

	endogenous α-amylase activity		kernel-associated α-amylase activity	
	(AU/g dm)	(% of total)	(AU/g dm)	(% of total)
Sahara 28/7	16 ± 7	91%	1.6 ± 0.0	9%
Sahara 20/8	187 ± 8	98%	4.4 ± 1.1	2%
Forum 28/7	5 ± 1	90%	0.5 ± 0.2	10%
Forum 25/8	161 ± 6	95%	7.8 ± 0.9	5%
Tobak 1/8	6 ± 2	90%	0.6 ± 0.0	10%
Tobak 2/9	39 ± 2	85%	6.9 ± 0.2	15%

Table 2. The endogenous and kernel-associated endoxylanase activity of mature and preharvest sprouted kernels of Sahara, Forum and Tobak. Values are averages with standard deviations on triplicate measurements. Endoxylanase activity is expressed as endoxylanase activity units (EU) per g of meal dry matter (dm).

	endogenous endoxylanase activity		kernel-associated endoxylanase activity	
	(EU/g dm)	(% of total)	(EU/g dm)	(% of total)
Sahara 28/7	0.3 ± 0.01	10%	2.7 ± 0.2	90%
Sahara 20/8	1.6 ± 0.11	7%	23.2 ± 1.8	93%
Forum 28/7	0.1 ± 0.01	4%	2.4 ± 0.1	96%
Forum 25/8	0.8 ± 0.03	3%	28.2 ± 0.2	97%
Tobak 1/8	1.9 ± 0.13	6%	29.5 ± 3.2	94%
Tobak 2/9	8.1 ± 0.17	9%	84.8 ± 1.9	91%



Harvest date





Figure 3









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