

Defraeye T., Lambrecht R., Delele M.A., Ambaw A., Opara U.L., Cronjé P., Verboven P., Nicolai B. (2014), Forced-convective cooling of citrus fruit: cooling conditions and energy consumption in relation to package design, *Journal of Food Engineering* 121, 118-127. <http://dx.doi.org/10.1016/j.jfoodeng.2013.08.021>

1 **Forced-convective cooling of citrus fruit: cooling conditions and energy consumption in relation to**  
2 **package design**

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16 **Abstract**

17 The performance of an existing container for orange fruit and two new designs, stacked on a pallet, has been  
18 evaluated for forced-convective precooling using computational fluid dynamics. The focus was on the fruit  
19 cooling rate and the system energy consumption in relation to cooling conditions (airflow rate and cooling  
20 temperature). The new package designs both showed an improved cooling rate and cooling uniformity,  
21 although this improvement is to some extent dependent on the cooling system that is used, which should also  
22 be taken into account when evaluating package design. The energy required to maintain airflow through the  
23 containers during the precooling process was also less for the new containers due to their lower aerodynamic  
24 resistance. These new containers seem a cost-effective way for improving forced-convective precooling of  
25 orange fruit with respect to throughput, fruit quality and operational cost of the system. In this study, basic  
26 information on the containers was obtained to guide future cold-chain design decisions and changes to  
27 existing cooling protocols or cooling systems.

28

29

30 **Keywords**

31 computational fluid dynamics; convective transfer; precooling; cold chain; operational cost; cold sterilisation

## 32 **1. Introduction**

33 Forced-convective precooling of horticultural produce after harvest, to remove the field heat, is a critical step  
34 in the food cold chain since the postharvest food quality, storage time and shelf life are strongly related to the  
35 product temperature. Though it is the most widely used precooling method (Dehghannya et al., 2010), there  
36 is still a large potential for optimisation, both with respect to product quality as well as the cooling system.  
37 This implies reduced operational (energy) costs, increased throughput, an increased product lifetime and  
38 lower food losses. Such cold-chain optimisation challenges will become crucial in the coming decades due to  
39 the growing need for food (projected to grow with 70% by 2050; FAO, 2009) and for reducing energy  
40 consumption to produce and process food.

41

42 Product quality should be optimised by providing fast cooling without introducing chilling injury  
43 (Thompson, 2003), as well as uniform cooling of individual products to ensure uniform quality (Dehghannya  
44 et al., 2010; Nahor et al., 2005). Cooling system optimisation involves, amongst others, determining the  
45 optimal working point of the system (i.e., the required flow rate) that minimises the cooling time of the food,  
46 thus maximises throughput, and limits operational costs in the system, thus its energy consumption.

47

48 The cooling rate and uniformity of horticultural produce depend on their size, shape and thermal properties  
49 but also on airflow rate, cooling temperature and accessibility of the cooling air to the produce. The latter is  
50 determined by the product stacking in the containers, the design of the container (location of vent holes and  
51 total vent area; Pathare et al., 2012; van der Sman, 2002) but also by the stacking of individual containers on  
52 a pallet, as vent holes might be closed after palletisation or the airflow can bypass the produce through  
53 openings between individual containers (Defraeye et al., 2013; Ferrua and Singh, 2009). Apart from their  
54 impact on the cooling rate, these properties of produce and containers are closely linked to the operational  
55 costs of the system since a significant amount of energy is consumed by the fans to maintain airflow through  
56 the stacks of containers during the cooling process, in addition to the energy required for cooling the air.  
57 Here, the required fan power is largely determined by the aerodynamic resistance of the stack and the

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58 containers. Hence, cooling conditions, packaging and palletisation play a key role in the cooling rate,  
59 throughput and energy consumption of the forced-convective precooling process.

60

61 Containers of horticultural produce are, however, often designed and optimised with the focus on mechanical  
62 strength, instead of cooling performance or reduction of the airflow resistance, by which often product  
63 quality, throughput and operational costs can still be improved. Apart from experiments, integrated package  
64 performance analysis can be done using numerical techniques, such as computational fluid dynamics (CFD,  
65 see Defraeye et al., 2013; Dehghannya et al., 2011, 2012; Delele et al., 2012; Ferrua and Singh, 2009;  
66 Pathare et al., 2012; Verboven et al., 2006; Zou et al., 2006a, 2006b), which is often less cost- and labour-  
67 intensive (e.g., Defraeye et al., 2013). This tool allows to obtain the airflow characteristics and pressure  
68 losses of packaging and pallets as well as information on the cooling performance at a high spatial and  
69 temporal resolution (Dehghannya et al., 2010; Smale et al., 2006).

70

71 The focus of this study is on forced-convective precooling of oranges, which account for more than half of  
72 the global citrus fruit produced and which showed an increase in production of 70% compared to 1980  
73 (FAOSTAT, 2012). This research was mainly conducted for the South-African citrus industry, which is  
74 currently the third largest citrus exporter worldwide, within the framework of their cold sterilisation  
75 treatment. This phytosanitary treatment includes several weeks of storage at a sub-zero temperature ( $\approx -$   
76  $0.5^{\circ}\text{C}$ ). It is requested by some export markets (currently below 10% of the South-African markets) to  
77 prevent the infection by false codling moth, which is a typical pest for South-Africa. Since the oranges have  
78 to be pre-cooled to lower temperatures (for normal precooling  $\approx 2-4^{\circ}\text{C}$ , e.g., Thompson, 2003), the precooling  
79 time increases and the achievable throughput is reduced. More markets are expected to ask this treatment,  
80 which will put severe pressure on the South-African postharvest industry, as maintaining the same  
81 throughput will not be possible with the current cooling systems. Adjusting or increasing the precooling  
82 capacity is however not straightforward nor economically beneficial. Therefore the development of new  
83 container designs was explored as a cost-effective alternative to increase throughput for the currently  
84 installed cooling capacity.

85

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86 CFD is used in this study to evaluate the performance of three container designs, namely an existing  
87 corrugated fibreboard container (CFC) and two new container designs, namely the Supervent CFC and the  
88 Ecopack reusable plastic container (RPC). The aim is to calculate the influence of cooling conditions  
89 (airflow rate, cooling temperature) on the cooling rate of oranges, packed in containers which are stacked on  
90 a pallet, and on the energy consumption (operational cost) of the system. The outcome is to gain insight in  
91 the cooling rate and energy consumption of the three container designs and to provide basic information to  
92 guide changes to existing cooling protocols or cooling systems. This study is a follow-up of Defraeye et al.  
93 (2013), which evaluated the performance of CFD for these three container designs by comparison with  
94 experiments at a single flow rate and cooling temperature.

95

## 96 **2. Materials and methods**

97

### 98 **2.1 Package types**

99 Two types of telescopic CFC's were evaluated (see Figure 1). The only difference between the two CFC's is  
100 the number, size and positioning of the different vent holes: the standard container, with a conservative  
101 design, has two circular vents on each side, at half height; the Supervent container has half-circular vent  
102 holes, located at the top and bottom of each side. A (horizontal) ventilation pathway is formed via these vent  
103 holes, when several containers are stacked on a pallet. As forced-convective precooling is achieved here by  
104 horizontal airflow, the impact of the horizontal openings on the bottom and top of the containers on the  
105 cooling process is assumed negligible.

106

107 The third type of container was a reusable plastic container called Ecopack (see Figure 1). The fruit is kept in  
108 position by means of a net and a plastic foil. For this container, horizontal flow should always be  
109 perpendicular to the long side as the short side is almost completely blocked by the plastic foil. Table 1  
110 summarises the total open area (TOA) of the sides for both CFC types and for the Ecopack RPC.

111

### 112 **2.2 Numerical model**

113 Numerical models were constructed to study horizontal flow through these three types of containers, as  
114 stacked on a pallet. These models are shown in Figure 2 with the dimensions and boundary conditions.  
115 Standard and Superver containers are typically stacked in three rows on a pallet, with the most downstream  
116 row perpendicular to the other two. Some simplifications were made compared to reality to limit the  
117 computational cost, amongst others: (i) Only one layer of containers (Figure 2) was modelled for both CFC's  
118 and only two layers for the RPC, namely the layer(s) in the middle, by assuming symmetry on top and  
119 bottom boundaries; (ii) The Ecopack container geometry was simplified, by which the total open area was  
120 slightly higher, namely 62% (Table 1); (iii) The plastic net was not included for Ecopack due to its high  
121 porosity and the plastic foil was also not modelled as it was parallel to the (horizontal) airflow direction,  
122 which was found to be a reasonable assumption since flow inside the stack was predominantly horizontal  
123 (results not shown). Individual oranges were modelled discretely as spheres with a diameter of 80 mm. Each  
124 container held 64 (CFC's) or 80 oranges (Ecopack) and was filled according to a staggered pattern. Since 10  
125 containers were modelled for the CFC's and 8 containers for Ecopack, the amount of oranges in all  
126 computational models was equal, namely 640 oranges. Although the container footprint of the CFC's is  
127 different from that of Ecopack, the volumetric packing density of the oranges in the containers is about the  
128 same for both types of containers since their height also differs ( $z$ -direction in Figure 2). The upstream and  
129 downstream sections of the computational domain were taken sufficiently long to avoid an influence of inlet  
130 and outlet boundary conditions on the flow in the proximity of the containers.

131

132 The computational grid was a hybrid grid (hexahedral and tetrahedral cells) with  $5.5 \times 10^6$ ,  $5.4 \times 10^6$  and  $5.5$   
133  $\times 10^6$  cells for standard, Superver and Ecopack containers, respectively. The spatial discretisation error was  
134 estimated by means of Richardson extrapolation (Franke et al., 2007; Roache, 1994) and was about 2.5% for  
135 the mass flow rate through the containers and 5% for the heat flow from the oranges.

136

137 At the inlet of the domain, the ambient atmospheric pressure was imposed, i.e., the conditions in a normal  
138 cool room. A low turbulence intensity (0.05%) was imposed for flow entering the computational domain,  
139 similar to Defraeye et al. (2013). The inlet air temperature was taken representative for cooling conditions

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140 used in the South-African citrus industry for cold sterilisation treatment, namely  $-0.5^{\circ}\text{C}$ , but in some  
141 simulations (see sections 3.1.3 and 3.2.3) a higher (i.e., normal) set temperature was also evaluated ( $4^{\circ}\text{C}$ ).

142

143 At the outlet, an underpressure was imposed, as induced by the fans in the cooling system. Different  
144 underpressures were evaluated to investigate the effect of airflow rate on cooling rate: 100 Pa, 1000 Pa and  
145 10000 Pa for standard and Supervent containers; 10 Pa, 100 Pa and 1000 Pa for the Ecopack container,  
146 which were taken lower due to its lower airflow resistance. These pressures were chosen in order to obtain  
147 airflow rates in the simulations that are realistic for industrial forced-convective precooling. The  
148 corresponding flow rates (in  $\text{L s}^{-1}\text{kg}^{-1}$  of fruit), average air speed at the inlet of the computational domain  
149 and resulting average superficial air speed inside the fruit stacks in the containers are given in Table 2. The  
150 resulting flow rate range is realistic for forced-convective precooling in industry: typical flow rates of 1 to 3  
151  $\text{L s}^{-1}\text{kg}^{-1}$  of fruit have been reported (Brosnan and Sun, 2001). The magnitude of the flow rates also agrees  
152 well with the experiments performed by Defraeye et al. (2013).

153

154 The bottom and top surfaces and the lateral boundaries upstream and downstream of the containers were  
155 modelled as symmetry boundary conditions (slip walls), which assume that the normal velocity component  
156 and the normal gradients at the boundary are zero. The cardboard and plastic containers and the fruit surfaces  
157 were modelled as no-slip walls with zero roughness. At the sides of the containers, the heat exchange with  
158 the cool room (i.e. the heat flux) was modelled (calculated) by means of a convective heat transfer  
159 coefficient (CHTC [ $\text{W m}^{-2}\text{K}^{-1}$ ], taken equal to  $8 \text{ W m}^{-2}\text{K}^{-1}$ , which is representative for indoor conditions) and  
160 the room temperature. The CHTC relates the convective heat flux normal to the surface ( $q_{c,w}$  [ $\text{J s}^{-1}\text{m}^{-2}$ ]), i.e.,  
161 at the air-material interface, to the difference between the wall temperature ( $T_w$  [ $^{\circ}\text{C}$  or  $\text{K}$ ]) and a reference  
162 temperature ( $T_{ref}$  [ $^{\circ}\text{C}$  or  $\text{K}$ ]), which was the cool room temperature in this study:  $\text{CHTC} = q_{c,w}/(T_w - T_{ref})$ . The  
163 flux is assumed positive away from the surface. The initial temperature of the fruit and cardboard/plastic was  
164 taken equal to the characteristic fruit temperature after being packed and palletised, which was typically  
165  $20^{\circ}\text{C}$  for South Africa.

166

167 **2.3 Numerical simulation**

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168 In the past, CFD has been extensively applied to model fluid flow for several food processing applications  
169 (Ambaw et al., 2012; Delele et al., 2008, 2012; Hu and Sun, 2001; Verboven et al., 2003; Zou et al., 2006a,  
170 2006b). The accuracy of CFD simulations depends to a large extent on the turbulence-modelling and  
171 boundary-layer modelling approaches that are used. In this study, Reynolds-averaged Navier-Stokes (RANS)  
172 in combination with the shear stress transport  $k-\omega$  model (SST  $k-\omega$ ; Menter, 1994) was applied. It was not  
173 feasible to mesh the boundary-layer region fine enough to apply low-Reynolds number modelling to resolve  
174 the flow in the boundary layer on the no-slip surfaces (e.g., fruit) since the computational cost would be too  
175 large and grid generation is very challenging for the configuration under study. Therefore, the wall-function  
176 approach was used. Despite being less accurate (e.g., Defraeye et al., 2010), wall functions are often the only  
177 option as low-Reynolds number modelling is not practically applicable for complex 3-D configurations  
178 (Defraeye et al., 2012; Kondjoyan, 2006).

179

180 This RANS turbulence model in combination with wall functions was applied in a related study on cooling  
181 of orange fruit (Defraeye et al., 2013) with a very similar configuration (as in Figure 2). The satisfactory  
182 agreement with experimental data indicated a sufficient accuracy of the CFD simulations. Other studies  
183 using this turbulence model with wall functions also found a good agreement with experiments (Ambaw et  
184 al., 2012; Delele et al., 2009).

185

186 The simulations were performed with the CFD code ANSYS Fluent 13. Second-order discretisation schemes  
187 were used throughout. The SIMPLE algorithm was used for pressure-velocity coupling. Pressure  
188 interpolation was second order. Buoyancy effects were considered negligible and were not taken into account  
189 in the simulations, which implies forced-convective flow and passive scalar (heat) transfer. Radiation was  
190 also not considered in the simulations since the radiation exchange between the fruit inside the stack was  
191 considered small compared to convective heat transfer. Heat of respiration was not included in the model  
192 since it is unlikely to have a significant impact on the cooling rate of fresh horticultural produce during  
193 forced-convective precooling (Brosnan and Sun, 2001; Gowda et al., 1997) and it is quite low for oranges (~  
194  $50 \text{ W tonne}^{-1}$ ; ASHRAE, 1994). Mass loss from the fruit and the resulting latent heat of evaporation were  
195 also not included in the model since the mass loss was very small (measured as  $< 1\%$  after 3 days at  $-0.5^\circ\text{C}$ ,



196 of which one day with airflow, results not reported). Iterative convergence of the numerical simulation was  
197 assessed by monitoring the velocity, turbulent kinetic energy and temperature at specific locations in the  
198 flow field, and the heat fluxes (surface-averaged values) on the fruit surfaces. Following thermal properties  
199 of the oranges were used in the simulations: a density of  $960 \text{ kg m}^{-3}$ , a thermal conductivity of  $0.386 \text{ W m}^{-1}$   
200  $^{\circ}\text{K}^{-1}$  and a specific heat capacity of  $3850 \text{ J kg}^{-1}\text{K}^{-1}$  (ASHRAE, 1993). These properties were taken constant,  
201 thus independent of temperature.

202

203 Before simulating the transient cooling process, steady-state simulations were performed to obtain the flow  
204 field and the initial temperature conditions. During these simulations, the temperatures of the containers and  
205 fruit were fixed to their initial value ( $20^{\circ}\text{C}$ ) and the inlet temperature was taken equal to the cool room  
206 temperature ( $-0.5^{\circ}\text{C}$  or  $4^{\circ}\text{C}$ ). After the steady-state simulations, transient simulations of the precooling  
207 process were performed. Since the flow field was steady over time, amongst others since no buoyancy was  
208 included in the model, the flow field did not need to be resolved anymore during the transient simulations  
209 and thus the flow equations were switched off. As such, the computational cost was reduced since only the  
210 energy equation needed to be solved. The transient simulations were run for 20h, with a time step of 60s,  
211 which was determined from temporal sensitivity analysis. The simulation of the standard container at 100Pa  
212 was run for 40h, due to its low cooling rate. The simulations (for 20h) took roughly 35h on a 12 core Intel  
213 Xeon processor (2.66GHz) with 48GB RAM memory.

214

#### 215 **2.4 Evaluation of cooling rate**

216 The cooling rate of each container was assessed by monitoring the temperature in the centre of a single  
217 orange, located in the central part of the container, which acts as a virtual sensor. From these temperature  
218 profiles, the fractional unaccomplished temperature change ( $Y$ ) could be determined:

$$219 \quad Y = \frac{T - T_a}{T_i - T_a} \quad (1)$$

220 where subscripts  $i$  and  $a$  represent the initial temperature of the fruit ( $20^{\circ}\text{C}$ ) and the set cooling air  
221 temperature ( $-0.5^{\circ}\text{C}$  or  $4^{\circ}\text{C}$ ), respectively. The half cooling time (HCT,  $t_{1/2}$ ) and the seven-eighths cooling  
222 time (SECT,  $t_{7/8}$ ) are the times required to reduce the temperature difference between the fruit and the

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223 cooling air by half ( $Y = 0.5$ ) or seven eighths ( $Y = 0.125$ ). The SECT is particularly interesting in commercial  
224 cooling operations because the fruit temperature is then acceptably close to the required storage temperature.  
225 At this point, the fruit can be shipped or transferred to storage facilities where the remaining heat load can be  
226 removed with less energy costs (Brosnan and Sun, 2001). In addition to these parameters, the CHTC at the  
227 surface of the oranges was also determined in the same way as described in section 2.2:  $CHTC = q_{c,w}/(T_w -$   
228  $T_{ref})$ , with  $q_{c,w}$  the convective heat flux at the air-material interface,  $T_w$  the surface temperature at the start of  
229 the simulations (i.e. the initial fruit temperature, 20°C), which was thus uniform, and  $T_{ref}$  the (inlet)  
230 temperature of the cool room (-0.5°C or 4°C). As this temperature difference ( $T_w - T_{ref}$ ) was constant over all  
231 fruit surfaces when calculating the CHTC, the CHTC is actually directly proportional to the heat flux at the  
232 wall ( $q_{c,w}$ ). Note that other reference temperatures can also be taken to define the CHTC, such as the bulk air  
233 temperature at the inlet of each row or even of each individual container. In this study, preference was given  
234 to use a single reference temperature to define the CHTC for the entire system of containers, i.e. that at the  
235 inlet, which can also be easily measured in experiments. The CHTC is a simplified and straightforward  
236 parameter for representing and quantifying heat flows in complex heat transfer problems. Users of such  
237 CHTC information should however verify that they apply the appropriate reference temperature, i.e. the one  
238 which was used to define the CHTC.

### 239 **2.5 Evaluation of system energy consumption**

240 In precooling systems, the energy consumption is mainly determined by: (1) the field heat stored in the  
241 oranges; (2) the energy consumption of the ventilation system (fans) which maintains airflow through the  
242 stack of containers; (3) the efficiency of the cooling unit (COP); (4) the heat produced by respiration; (5) the  
243 heat losses from the refrigerator room to the outside environment; (6) air infiltration; (7) the moisture  
244 evaporation from the fruit. For precooling systems which are properly designed (i.e. well-insulated and a  
245 small amount of leakage), the first three contributions are usually the most significant.

246  
247 When comparing different package designs, the field heat to be removed is the same for all package designs  
248 as all packages contain in total the same amount of fruit and as the thermal mass of the containers themselves  
249 was negligible (below 1.5% of that of the fruit). As such, a possible reduction in energy consumption lies  
250 mainly in the ventilation system and is determined by the power required to produce airflow (i.e., fan power)

251 and the time needed to maintain this airflow (i.e., cooling time). Both are closely related to the package  
252 design: the aerodynamic resistance of the containers stacked on a pallet (pressure drop) determines the  
253 required fan power and the working point of the system (intersection of fan performance curve and system  
254 curve); the vent hole configuration and total open area determines the cooling time (e.g., SECT).

255

256 An estimate of the energy consumption of the different container designs for fruit precooling (in Joule) was  
257 made by multiplying the power required (in Watt) to force air through the computational model of the  
258 containers (single layer for CFC's and two layers for Ecopack, all containing in total the same amount of  
259 fruit, see Figure 2) with the required precooling time, for which the SECT (s) was taken. Note that the  
260 resulting amount of energy only includes the contribution of the packages (one or two layers), whereas the  
261 total operational cost also includes contributions of other components of the ventilation system (e.g., see de  
262 Castro et al., 2005). Although this energy estimate is only an indicative value, it allows relative comparison  
263 of container designs.

264

265 The power ( $P_w$ ) required to force air through the computational model (one or two layers of containers) was  
266 calculated as the product of the pressure drop over the layer ( $\Delta P$ , Pa) and the flow rate through the  
267 computational domain ( $G_a$ ,  $\text{m}^3 \text{s}^{-1}$ ):

$$268 \quad P_w = \Delta P G_a \quad (2)$$

269 This pressure drop equals:

$$270 \quad \Delta P = \xi_1 G_a^2 + \xi_2 G_a \quad (3)$$

271 where  $\xi_1$  and  $\xi_2$  are pressure loss coefficients. The first term of this second-order polynomial represents the  
272 pressure drop due to inertial effects (Forchheimer term), which dominates the pressure drop at high speeds,  
273 and the second term represent the pressure drop due to viscous effects (Darcy term) (Lage et al., 1997),  
274 which becomes important at low flow speeds.

275

276

277

## 278 **3. Results and discussion**

279

### 280 **3.1 Cooling rate**

#### 281 **3.1.1 Convective heat transfer coefficients**

282 During forced-convective precooling, the cooling rate of the fruit is determined by the convective heat  
283 exchange at the fruit-air interface, which is usually quantified by means of convective heat transfer  
284 coefficients (CHTCs). In a related study (Defraeye et al., 2013), the magnitude of these CHTCs and their  
285 heterogeneity within a specific container and between individual containers was investigated for standard,  
286 Supervent and Ecopack containers. Only a single flow rate was evaluated for each container, namely 0.19,  
287 0.65 and 2.12 L s<sup>-1</sup>kg<sup>-1</sup> of fruit for standard, Supervent and Ecopack containers, respectively. As mentioned  
288 above, these flow rates are representative for industrial forced convective precooling (Brosnan and Sun,  
289 2001). The flow rate differed for each container as it was related to a specific fan. The standard container  
290 showed very low CHTCs, a large spread within a single container and also a significant CHTC variation  
291 between individual containers. The Supervent container but especially the Ecopack container showed higher  
292 CHTCs, amongst others due to their higher flow rate, but also a more homogeneous distribution, both within  
293 each container and between individual containers. For more detailed information on these distributions, the  
294 reader is referred to Defraeye et al. (2013).

295

296 The present study provides additional insight since the CHTCs at the fruit surface can be correlated with the  
297 air speed. These CHTCs, resulting from steady-state CFD simulations at three flow rates (see section 2.2),  
298 were determined for each computational cell on the surface of the oranges. CHTCs were based on the initial  
299 fruit temperature (20°C) and the inlet air temperature (-0.5°C). As such, the resulting CHTCs are actually  
300 directly proportional to the heat flux at the wall ( $q_{c,w}$ ). For each row of containers, a correlation of the  
301 surface-averaged CHTC over that row with the approach flow air speed (average speed at the inlet of the  
302 computational domain, see Table 2) was determined. Depending on the quality of the approximation (R<sup>2</sup>  
303 value), a linear or power-law correlation was chosen as most appropriate. These correlations are presented in

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304 Table 3. In addition, the CHTCs are given as a function of the approach flow air speed in Figure 3 for each  
305 row of containers as well as for the entire layer of containers (bold lines), for the three container designs. The  
306 CHTC distribution over the surfaces of the oranges is shown in Figure 4 at an imposed pressure difference of  
307 10000 Pa, 1000 Pa and 10 Pa for standard, Supervent and Ecopack containers, respectively, since then the  
308 flow rate was quite similar for all containers (see Table 2). Normalisation of these CHTCs is done with the  
309 surface-averaged CHTC over the entire layer of containers at this flow rate.

310

311 At a certain flow rate and for a certain row, the standard container seems to have the highest CHTC of all  
312 packages, closely followed by Supervent. The CHTCs of Ecopack are clearly lower. The higher CHTCs for  
313 the CFC's at the same flow rate are attributed to the occurrence of high velocities induced by the jets in the  
314 openings of the containers (see Figure 4). Even though these higher (surface-averaged) CHTCs seem to be  
315 more beneficial, these local higher cooling rates are actually not desired since they introduce higher cooling  
316 heterogeneity of fruit within a container. Note that for the Ecopack container, high CHTCs are obtained at  
317 the sides of the stacks of oranges (Figure 4), since the resistance to airflow is less here as flow actually  
318 bypasses the stack (see also section 3.1.2).

319

320 In general, good correlations of the CHTC with the air speed were obtained. Although a power-law  
321 correlation is common for forced-convective heat transfer of bluff bodies (e.g., Defraeye et al., 2012), a  
322 linear correlation, with non-zero intercept, was found more appropriate for the second and third row of the  
323 CFC's. However, since most of these linear correlations had a negative intercept, which is physically not  
324 justified as it implies negative CHTCs at zero air speed, the power-law correlations (with a lower  $R^2$  value)  
325 were also reported in these cases. The power-law exponent is however also different for that typically found  
326 for forced-convective turbulent heat transfer, which is usually below one (e.g. Defraeye et al., 2010). One of  
327 the reasons for these anomalies in the correlations could be that the CHTCs here are influenced by the  
328 heating up of the air in the first row, by which a power-law correlation or using the upstream approach flow  
329 temperature to calculate the CHTC may be less justified.

330

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331 Note that a container design which provides the fastest cooling rate is not necessarily the best for fruit quality  
332 as chilling injury can occur during cooling of citrus fruit, especially at low temperatures such as applied for  
333 cold sterilisation treatments. Hence container cooling performance analysis should preferably be combined  
334 with an evaluation of chilling injury. Preliminary chilling injury data for these three container designs were  
335 already reported by Defraeye et al. (2013). The standard container showed the most chilling injury here.

336

### 337 3.1.2 Influence of airflow rate

338 The impact of airflow rate on the fruit cooling rate was evaluated by imposing different pressure differences  
339 over the layer of containers. In precooling systems, the flow rate and pressure drop are however determined  
340 by the intersection of the system curve with the fan performance curve. This working point differs for each  
341 container design and is also very system specific. As such, it is usually not justified to compare the cooling  
342 rate of different containers at a same pressure drop nor at the same flow rate: comparison at the same  
343 pressure drop implies that the fan curve is horizontal ( $\Delta P$  as a function of  $G_a$ ); comparison at the same flow  
344 rate implies that the fan can be tuned for each container to obtain this flow rate. The latter could be  
345 unrealistic when the same precooling system is used for different containers; even if the fan flow rate can be  
346 adjusted (e.g., by varying the rpm), the pressure drop curves for the containers can be too different here to  
347 allow a single fan to produce the same flow rate (see section 3.2). Therefore, comparing the impact of flow  
348 rate on the cooling behaviour for different containers should preferably be done in conjunction with the  
349 CHTCs, which were discussed in section 3.1.1, or by using a specific ventilation system (i.e., a single fan) to  
350 evaluate all package designs. The latter was done for the three package designs (at one flow rate) in a  
351 previous study (Defraeye et al., 2013), but in a laboratory setup rather than in a commercial context.

352

353 The fruit temperatures in the centre of the oranges are given in Figure 5 as a function of time for all virtual  
354 sensors (in the centre of a single orange, located in the central part of the container, section 2.4), but  
355 averaged over a specific row (see Figure 2 for row numbering). Results of the three container designs at three  
356 different flow rates are shown. The corresponding HCT ( $t_{1/2}$ ) and SECT ( $t_{7/8}$ ) of each row, i.e. obtained from  
357 the profiles of Figure 5, are presented in Figure 6 as a function of flow rate and pressure drop.

358

359 All HCT and SECT curves show a decreasing slope towards higher flow rates. For a similar pressure drop,  
360 the fruit in the standard container cools much slower than in the other two containers (Figure 6), due to the  
361 much lower flow rate. For a similar flow rate however, the standard container only shows a slightly lower  
362 cooling rate and for the third row, the HCT of the standard container is even lower than that of the Supervent  
363 container. For a similar flow rate, the differences between containers agree well with the CHTC behaviour  
364 (section 3.1.1). Nevertheless, some differences exist since HCT and SECT were evaluated in a single orange  
365 in the centre of the container, whereas CHTCs were surface-averaged, thus including all oranges.

366

367 Of all containers, the Ecopack container shows the fastest cooling for a similar pressure drop, due to the high  
368 flow rate, but the lowest cooling rate at a similar flow rate, due to the rather low CHTCs (see section 3.1.1  
369 and Figure 3). However, as mentioned above, an evaluation of container performance should also take into  
370 account the cooling system since they are closely intertwined and determine together the working point of  
371 the system, which will be different for each container design. In the (single) ventilation system used by  
372 Defraeye et al. (2013), the Ecopack showed the best performance, but this is not necessarily the case for  
373 other systems. Nevertheless, the Ecopack cools the stack of oranges for an individual container in the most  
374 uniform way, which is beneficial for fruit quality (see Defraeye et al., 2013). The Ecopack cooling  
375 performance could probably even be improved by closing the airflow short circuits, namely the open air  
376 spaces on either side of the container next to the plastic foil (see Figure 1), as they create to some extent a  
377 preferential airflow pathway around the fruit (Defraeye et al., 2013).

378

379 Finally, a spread in the cooling rate was identified between the individual containers in each of the two most  
380 downstream rows for the standard container in a related study with CFD (Defraeye et al., 2013). This spread  
381 was caused by blockage of several vent holes between row 2 and row 3 in the CFD model for horizontal  
382 flow, since the standard container was not designed to be palletised, i.e., placed with its long side against its  
383 short side. This blockage, predominantly of the two middle containers in the last row of the standard  
384 container, was also indicated by the lower CHTCs in these containers (Figure 4). In reality, some airflow will  
385 always pass via these vents, due to imperfect stacking, as seen experimentally, which leads to more rapid  
386 cooling with less heterogeneity between the containers in a certain row (results not shown, see Defraeye et

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387 al., 2013). Although the CFD model was thus rather idealised for this container, a model adjustment to allow  
388 airflow via the central vents in the last row was not pursued due to the arbitrariness of these changes. For  
389 Supervent and Ecopack, this spread was much more limited.

390

### 391 3.1.3 Influence of set temperature

392 In the future, more South-African citrus export markets are expected to request cold sterilisation treatment  
393 (cooling to  $\approx -0.5^{\circ}\text{C}$ ). This reduced set temperature will lead to a decreased throughput for the currently  
394 installed cooling installations. Instead of adjusting or expanding the current cooling capacity, new container  
395 designs (Supervent and Ecopack) were developed, amongst others, to maintain throughput in a cost-effective  
396 way when switching to the cold sterilisation protocol. Hence a comparison was made between the cooling  
397 time (thus achievable throughput) of the standard container to  $4^{\circ}\text{C}$  (current situation) and that of the  
398 Supervent and Ecopack containers to  $-0.5^{\circ}\text{C}$  (a possible future situation). The latter should preferably  
399 provide a cooling time (to  $-0.5^{\circ}\text{C}$ ) that is equal to, or faster than that of the standard container (to  $4^{\circ}\text{C}$ ).

400

401 The HCT and SECT of each row of the standard container when cooling to  $4^{\circ}\text{C}$  were within 1% of the ones  
402 which were presented in Figure 6 for cooling this container to  $-0.5^{\circ}\text{C}$ . This was expected to some extent  
403 since these dimensionless parameters are characteristics of the precooling process and should be in essence  
404 quite independent of the set temperature, particularly since the heat transport properties were taken  
405 independent of temperature in the simulations. Hence, in the simulations, the cooling behaviour (shape of  
406 cooling curves) is quasi independent of the set temperature, by which the conclusions on the cooling rate for  
407 the standard container for cooling to  $4^{\circ}\text{C}$  are similar to those of section 3.1.2, when cooling to  $-0.5^{\circ}\text{C}$ . In  
408 practice however, these dimensionless parameters (HCT and SECT) are rarely the same for different set  
409 temperatures, and are usually higher if the set temperature is lower. The HCT and SECT for the standard  
410 package will thus most probably be higher when cooling to  $-0.5^{\circ}\text{C}$ , by which the throughput will in practice  
411 be lower.

412

413 When comparing the results at a similar flow rate and especially at a similar pressure drop from the  
414 simulations, the new containers show a similar performance or an improvement in HCT and SECT when



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415 used for cold sterilisation treatment ( $-0.5^{\circ}\text{C}$ ), compared to standard containers with normal treatment ( $4^{\circ}\text{C}$ ).  
416 The same or a higher throughput can thus be achieved with the new containers, compared to the standard  
417 container, even when cooling to a lower set temperature. For a similar flow rate however, Ecopack performs  
418 slightly worse. In addition, the new designs also produce more uniform cooling of the individual fruit in the  
419 containers.

420

## 421 **3.2 System energy consumption**

### 422 3.2.1 Pressure loss curves

423 The pressure loss curves as a function of flow rate (Eq. (3)) for horizontal flow through the computational  
424 model of the containers (single layer for CFC's and two layers for Ecopack, all containing in total the same  
425 amount of fruit, see Figure 2) are given in Table 4 for all three containers, as determined from regression  
426 analysis, and they are also shown in Figure 7. Differences between the containers are pronounced, with a  
427 much lower aerodynamic resistance for the Ecopack container, due to its very high total open area in the  
428 airflow direction.

429

### 430 3.2.2 Influence of airflow rate

431 Using the method explained in section 2.5, the energy needed to produce airflow through the computational  
432 model (Figure 2) during precooling, until the SECT is reached, is shown in Figure 8 as a function of flow  
433 rate and pressure drop over the model. The two new container designs clearly show potential for reducing the  
434 operational cost, compared to the standard container, both due to a reduction of required ventilation system  
435 power as well as a reduced SECT (Figure 6). The Ecopack obviously performs best here at a specific flow  
436 rate. These findings should however be combined with an integral system design to find the optimal airflow  
437 rate for a specific container design as many other parameters also play a role (see de Castro et al., 2005). In  
438 addition, the actual reduction of the operational costs due to a lower aerodynamic resistance of the containers  
439 will strongly depend on the resistances of the other components in the system as well.

440

### 441 3.2.3 Influence of set temperature

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442 Since the SECT for the standard container, cooled to 4°C was very similar to that cooled to -0.5°C, Figure 8  
443 is also representative for the system energy needed to cool to 4°C and the same conclusions hold. However,  
444 cold sterilisation treatment (to -0.5°C) requires more field heat to be removed which will increase the energy  
445 consumption of the cooling system compared to the normal treatment. This difference in field heat to be  
446 removed can be easily estimated based on the heat capacity, the density and the temperature difference  
447 between initial fruit temperature (20°C) and set temperature (-0.5°C or 4°C). Cooling down to -0.5°C  
448 required 28% more energy, compared to 4°C (relative to 4°C).

449

450

#### 451 **4. Conclusions**

452 The influence of cooling conditions for orange fruit precooling (airflow rate, cooling temperature) on the  
453 cooling rate and system energy consumption was evaluated for three package designs within the context of  
454 cold sterilisation treatments for the South-African citrus industry. Both an existing standard container as well  
455 as two new container designs, namely Supervent and Ecopack, were evaluated, stacked on a pallet, by means  
456 of computational fluid dynamics (CFD).

457

458 With respect to cooling, Ecopack showed a lower convective heat transfer rate than the other two containers,  
459 for the same flow rate through the containers. Ecopack, however, cooled the oranges in the most uniform  
460 way, which is beneficial for fruit quality. The higher cooling rate of standard and Supervent was attributed to  
461 the high-velocity jets in the (circular) openings in the containers. The convective heat transfer coefficients at  
462 the surfaces of the oranges correlated well with the air speed, where linear or power-law correlations were  
463 found to provide a good approximation. Furthermore, the seven-eighths cooling time (SECT) of fruit cooled  
464 to normal temperatures for orange precooling ( $\approx 4^\circ\text{C}$ ) was very similar to that found for cold sterilisation  
465 treatment ( $\approx -0.5^\circ\text{C}$ ). As such, the new package designs provide similar or even better cooling behaviour for  
466 cold sterilisation than the standard package under normal conditions.

467

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468 With respect to the energy needed to maintain airflow through the pallet of containers during precooling until  
469 the SECT is reached, the new designs used less energy due to their lower aerodynamic resistance and lower  
470 cooling time, particularly the Ecopack. In conclusion, these new containers seem a cost-effective way for  
471 maintaining or even increasing throughput when switching to cold sterilisation treatment. In addition, they  
472 also reduce the energy load on the system and provide more uniform cooling of the oranges in the containers.

473

474 Note however that apart from the pressure loss characteristics of the containers, the aerodynamic resistances  
475 in the rest of the system and the fan curve are also critical determinants of the working point of the system,  
476 thus of the resulting flow rate through the pallets of containers. Since this working point is very case- and  
477 system-specific, it is often not straightforward to compare cooling rates and cooling performances of  
478 different containers designs, as they should in principle be assessed together with the specific cooling system  
479 which is used. This study however mainly aimed at providing basic information for preliminary design  
480 decisions or for altering existing cooling protocols or cooling systems. In a next step, this knowledge and the  
481 developed computational models will be used to optimise such protocols or systems more in detail, with  
482 respect to cooling time and homogeneity, throughput, fruit quality and operational cost of the system.

483

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494

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578

579

580 **Tables**

581

582 Table 1. Total open area of standard, Supervent and Ecopack containers.

	Short side	Long side
Standard	2.0%	1.5%
Supervent	3.1%	3.5%
Ecopack	not relevant	57.9%

583

584

585 Table 2. Flow rate, average air speed at inlet of computational domain and average superficial air speed  
586 inside the fruit stacks for three container designs at different pressure differences over the layer of containers.

	Superficial speed ( $\text{m s}^{-1}$ )				Average speed at inlet ( $\text{m s}^{-1}$ )				Flow rate ( $\text{L s}^{-1}\text{kg}^{-1}$ of fruit)			
	10 Pa	100 Pa	1000 Pa	10000 Pa	10 Pa	100 Pa	1000 Pa	10000 Pa	10 Pa	100 Pa	1000 Pa	10000 Pa
Standard	-	0.10	0.32	1.03	-	0.04	0.13	0.40	-	0.08	0.25	0.79
Supervent	-	0.37	1.17	3.71	-	0.14	0.46	1.45	-	0.28	0.90	2.85
Ecopack	0.84	2.80	9.07	-	0.35	1.15	3.73	-	0.85	2.81	9.10	-

587

588

589 Table 3. Parameters  $a$  and  $b$  in linear and power-law correlations of the CHTC with the air speed at the inlet  
590 of the computational domain ( $U$ ,  $\text{m s}^{-1}$ ):  $\text{CHTC} = aU + b$  (lin.) or  $= aU^b$  (pow.) (<sup>a</sup>: although a linear correlation  
591 gave a better correlation coefficient, a negative intercept  $b$  was present, and therefore a power law correlation  
592 is also reported here).

	Standard				Supervent				Ecopack			
	Type	a	b	R <sup>2</sup>	Type	a	b	R <sup>2</sup>	Type	a	b	R <sup>2</sup>
Total	Lin.	27.5	0.13	1.000	Pow.	21.7	0.91	0.999	Pow.	17.1	0.71	1.000
Row 1	Pow.	39.5	0.78	1.000	Pow.	32.1	0.71	1.000	Pow.	20.1	0.63	1.000
Row 2	Lin. <sup>a</sup>	28.6	-0.64	1.000	Lin.	20.9	0.43	0.998	Pow.	14.0	0.83	0.998
	Pow.	39.2	1.32	0.993								



Defraeye T., Lambrecht R., Delele M.A., Ambaw A., Opara U.L., Cronjé P., Verboven P., Nicolai B. (2014), Forced-convective cooling of citrus fruit: cooling conditions and energy consumption in relation to package design, *Journal of Food Engineering* 121, 118-127. <http://dx.doi.org/10.1016/j.jfoodeng.2013.08.021>

Row 3	Lin. <sup>a</sup>	14.65	-0.66	0.995	Lin. <sup>a</sup>	14.99	-1.19	1.000	-	-	-	-
	Pow.	30.6	1.78	0.989	Pow.	13.6	1.32	0.993				

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595

596 Table 4. Pressure loss curves (polynomial approximations) for the computational model (single layer of  
 597 containers for CFC's and two layers for Ecopack) as a function of the flow rate through the computational  
 598 domain ( $G_a$  in  $\text{m}^3 \text{s}^{-1}$ ) for three container designs.

Container design	Polynomial approximation
Standard	$\Delta P = 5.94 \times 10^5 G_a^2 + 11.5 G_a$
Supervent	$\Delta P = 4.52 \times 10^4 G_a^2 + 62.0 G_a$
Ecopack	$\Delta P = 4.35 \times 10^2 G_a^2 + 14.3 G_a$

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601

602 **Figure captions**

603 Figure 1. Geometry and dimensions of the standard and Supervent corrugated fibreboard containers and the  
604 Ecopack reusable plastic container.

605

606 Figure 2. Computational model and boundary conditions for standard (similar to Supervent) and Ecopack  
607 containers.

608

609 Figure 3. Surface-average convective heat transfer coefficient (CHTC) for each row of containers as a  
610 function of average air speed (at inlet of domain) for the three container designs. The bold lines (total)  
611 indicate the average value over the entire layer of containers.

612

613 Figure 4. Distribution of CHTCs over surfaces of oranges for three different container designs at a specific  
614 pressure difference (different for each container). The CHTCs are normalised with the surface-averaged  
615 CHTC over all oranges and all containers.

616

617 Figure 5. Temperatures in the centre of the oranges in each row of containers (averaged over all virtual  
618 sensors in the row, y-direction, row 1 is the upstream row) for the standard, Supervent and Ecopack  
619 containers for three flow rates (indicated by pressure differences), as a function of time.

620

621 Figure 6. HCT ( $t_{1/2}$ ) and SECT ( $t_{7/8}$ ) for each row of containers (averaged over all virtual sensors in the  
622 oranges in the row, y-direction, row 1 is the upstream row) for the standard (ST), Supervent (SV) and  
623 Ecopack (EP) containers for three flow rates, as a function of both pressure difference and flow rate  
624 (logarithmic scale).

625

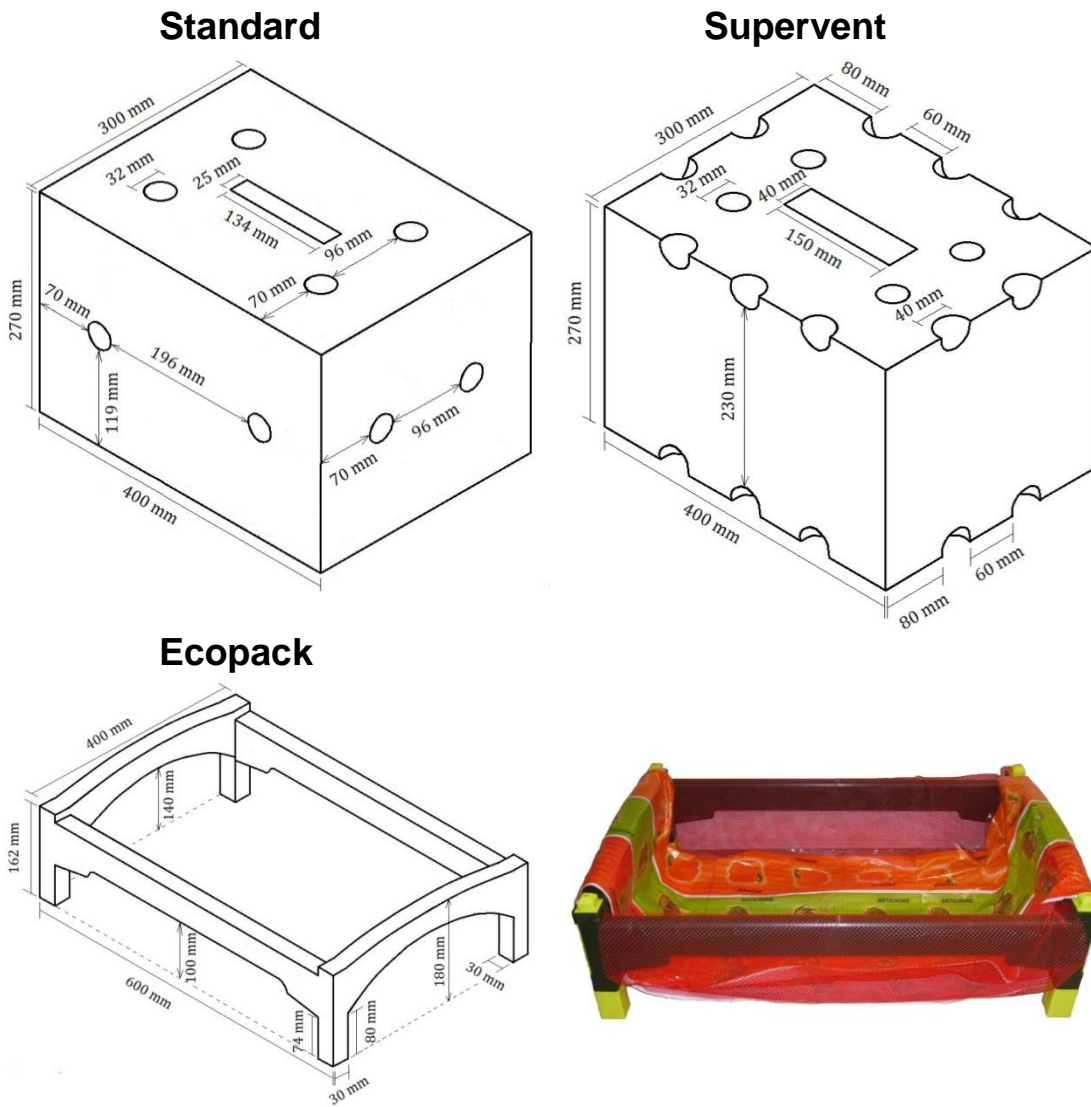
626 Figure 7. Pressure loss over the computational model (Figure 2) as a function of the flow rate through the  
627 computational domain for three container designs. The data points (dots) as well as the second-order  
628 polynomial approximations (Poly.) are shown.

Defraeye T., Lambrecht R., Delele M.A., Ambaw A., Opara U.L., Cronjé P., Verboven P., Nicolai B. (2014), Forced-convective cooling of citrus fruit: cooling conditions and energy consumption in relation to package design, *Journal of Food Engineering* 121, 118-127. <http://dx.doi.org/10.1016/j.jfoodeng.2013.08.021>

629

630 Figure 8. Energy required to maintain airflow through the computational model (Figure 2) until the SECT is  
631 reached for different container designs (logarithmic scale), as a function of airflow rate.

632



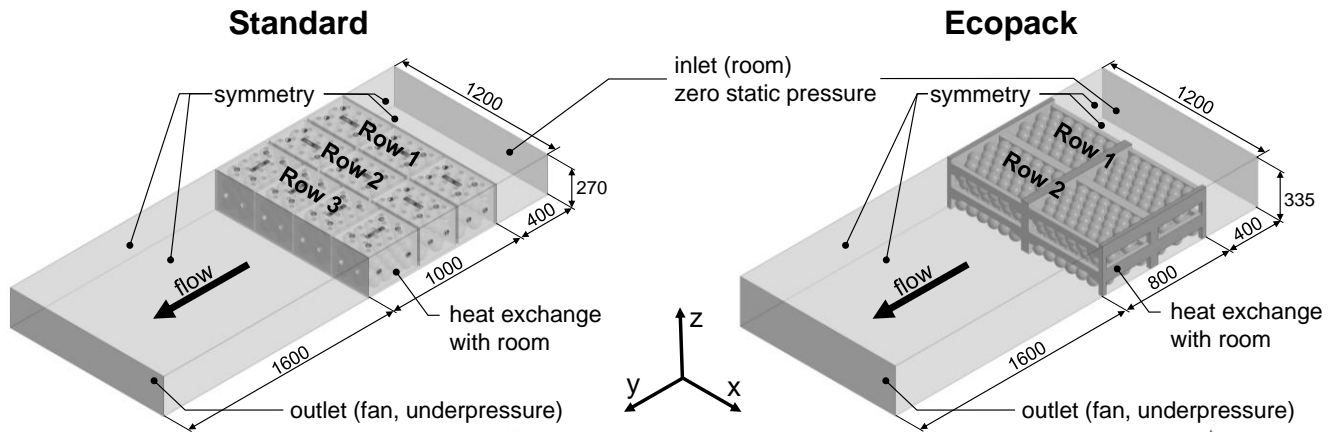
633

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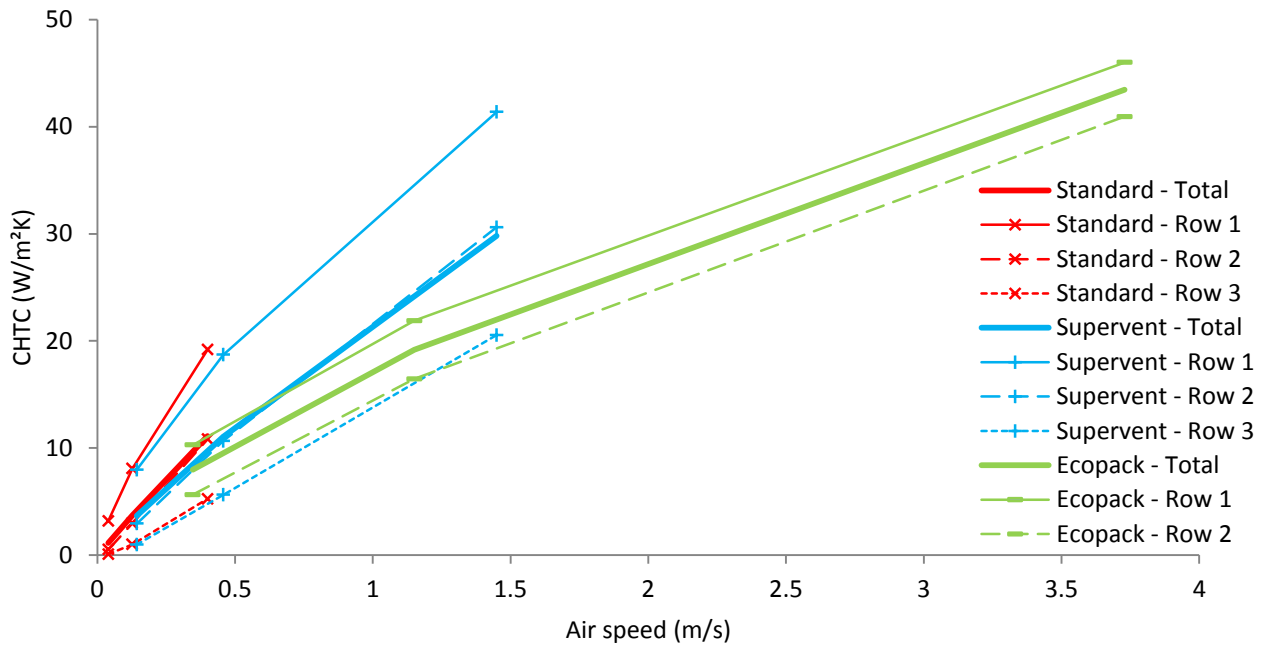


638

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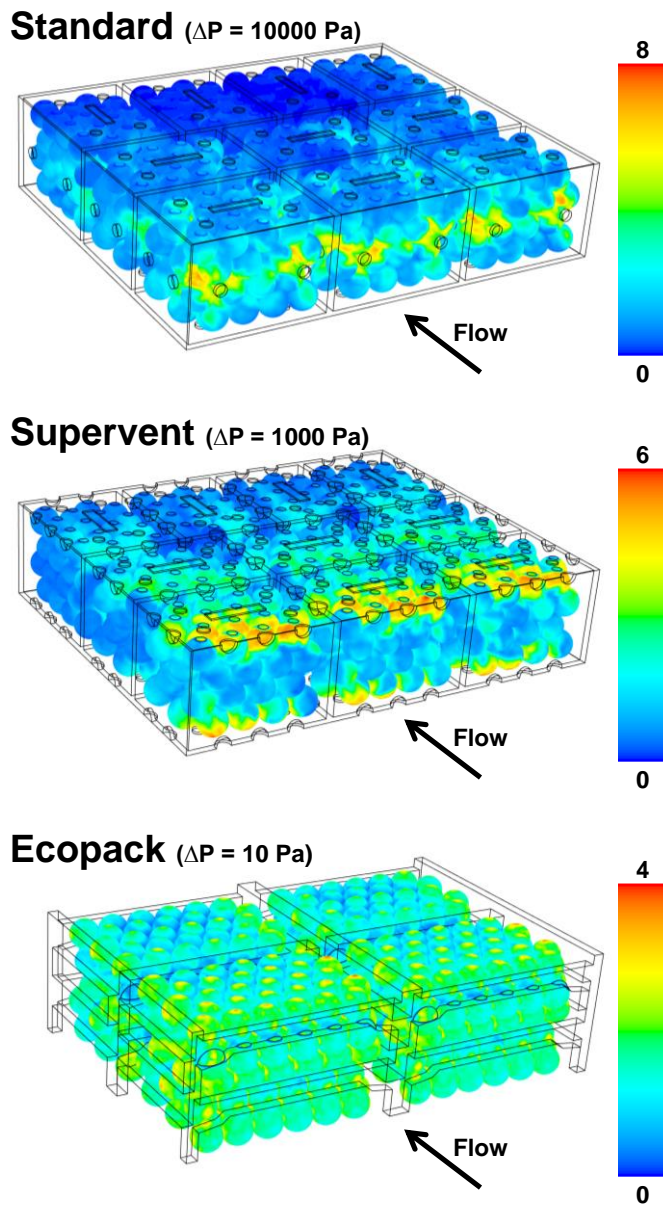
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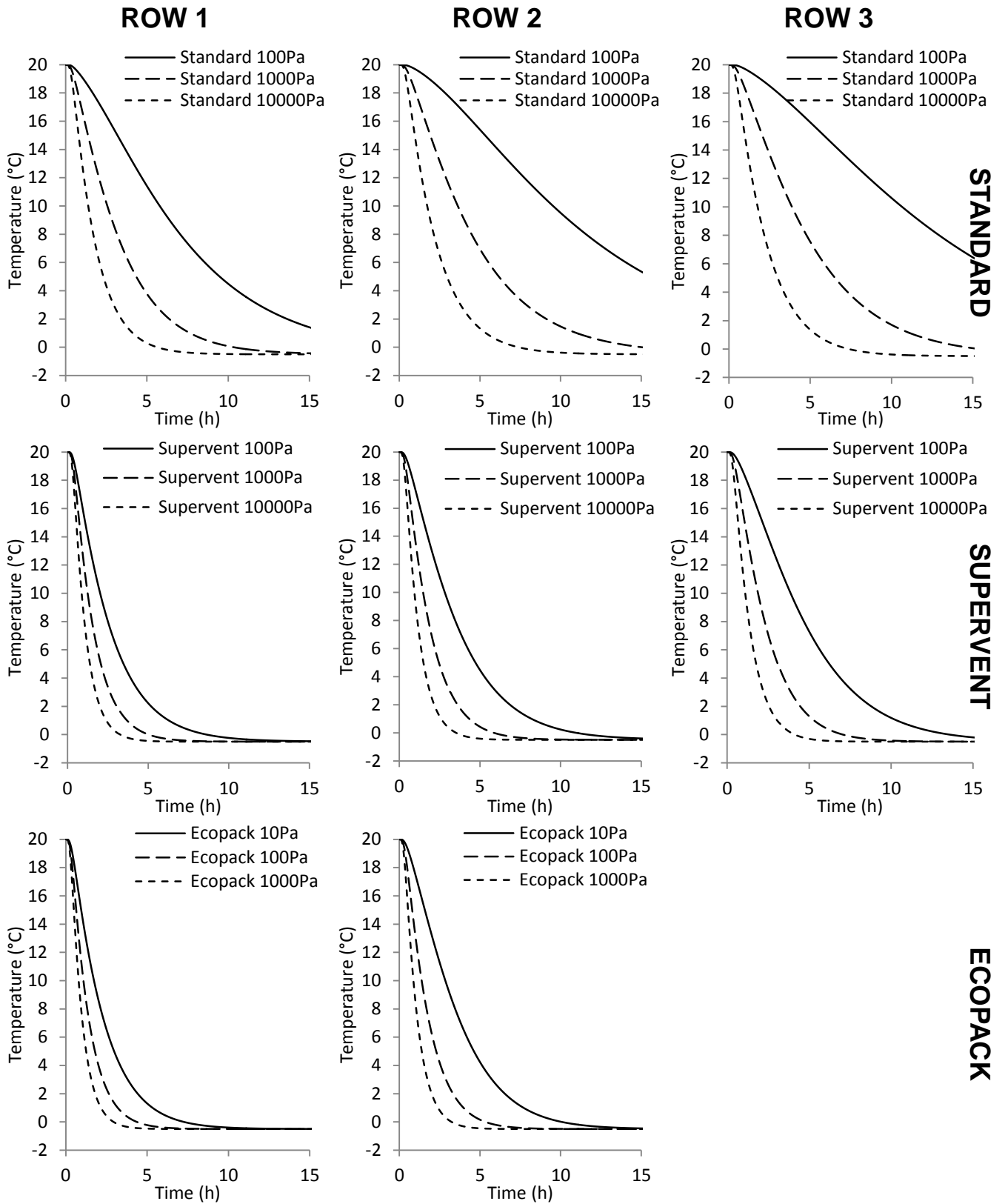
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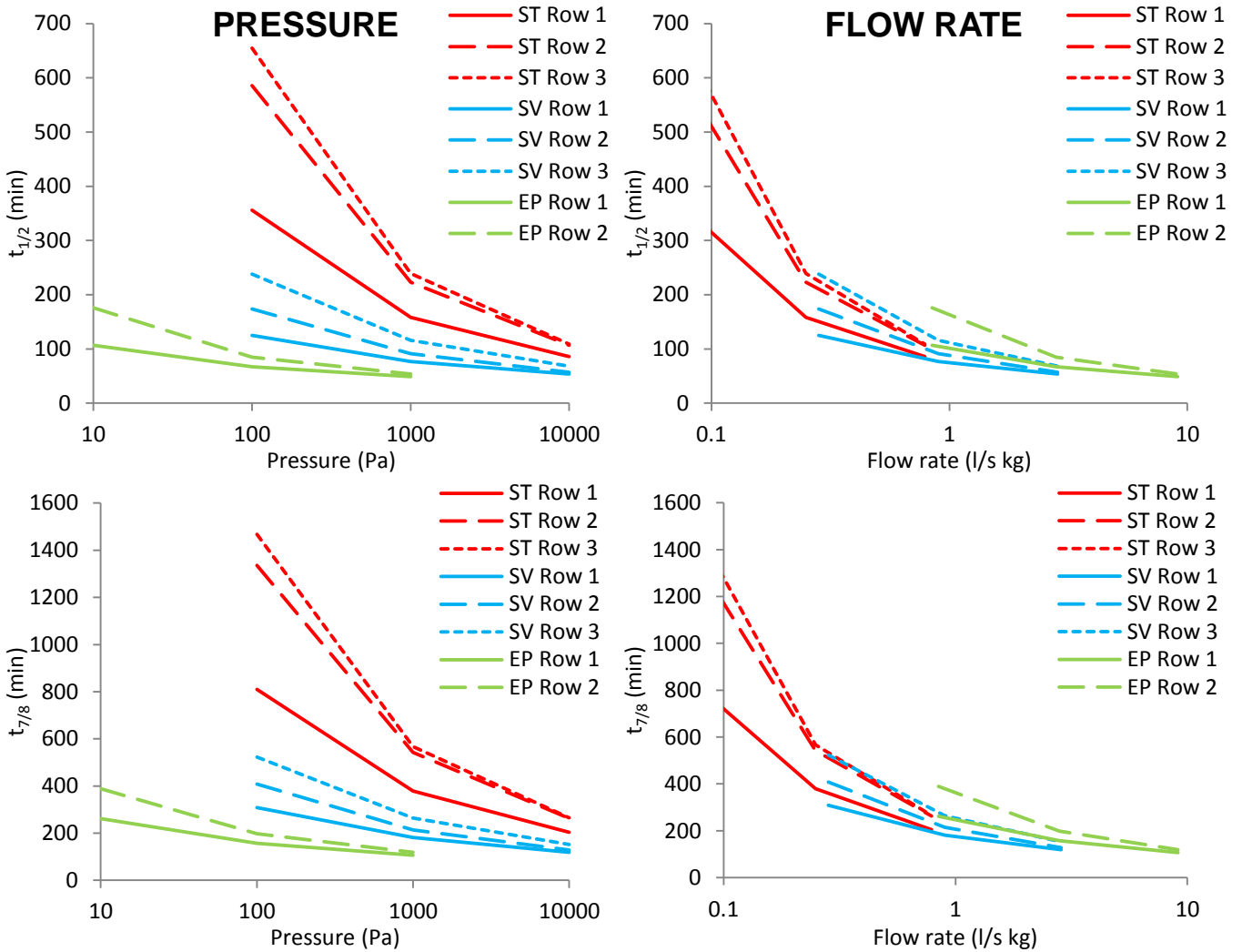
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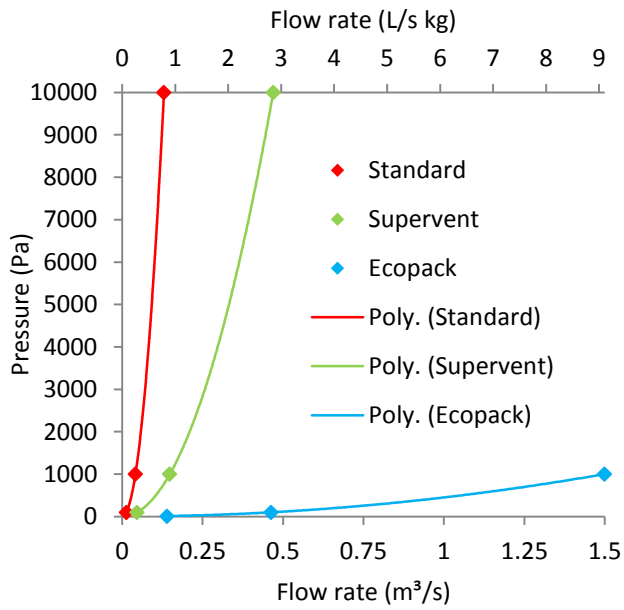




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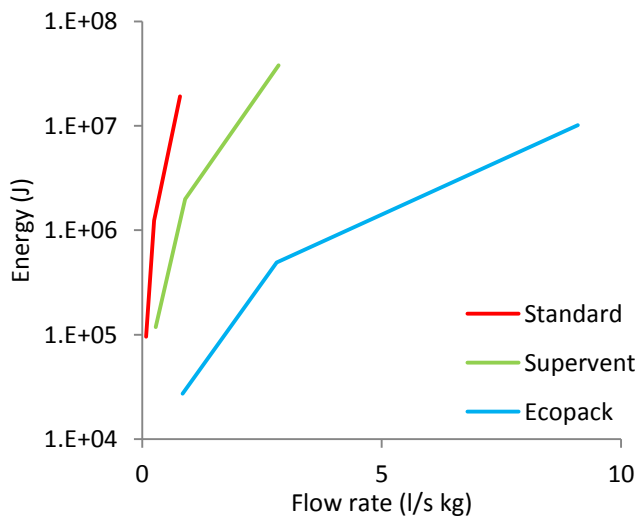


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