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DEPARTMENT OF DECISION SCIENCES AND INFORMATION MANAGEMENT (KBI)

Breaks, cuts, and patterns

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Abstract

In sports scheduling, a team is said to have a break when it plays two home (or two away) matches in a pair of consecutive rounds. In this paper, we generalize this concept by also considering pairs of nonconsecutive rounds. We show that a set of home-away patterns minimizing the number of generalized breaks cannot be found in polynomial time, unless P=NP. For the special case where all teams have the same set of breaks, the decision version becomes polynomially solvable; the optimization version remains NP-hard. For this special case, we also provide a lower bound for the number of generalized breaks for a given break set, thereby generalizing a classical result by De Werra.

Keywords: sport scheduling, home-away patterns, breaks, nonconsecutive rounds, complexity

1 Introduction

Consider a sports competition in which an even number of teams (say 2n) compete. Each team has its own venue, and each pair of teams meets once in one of the team's venues. Clearly, this is a single round robin tournament which can be played in 2n-1 rounds. A schedule is called compact when it uses the minimum number of rounds required to schedule all the games. In a compact

schedule with an even number of teams, each team plays exactly one game in each round. If the league contains an odd number of teams, a dummy team may be added, reducing this situation to the case with an even number of teams. In each round, the team playing against the dummy team has a 'bye', i.e. does not play. In this work, we deal solely with compact schedules for an even number of teams.

Traditional terminology prescribes that the sequence of home matches ('H') and away matches ('A') played by a single team is called its *home-away pattern* (HAP). Given such a HAP, the occurrence of two consecutive home matches, or two consecutive away matches is called a *break*.

In this contribution, we will generalize the concept of a break. The idea is simple: instead of defining a break as two home games (or two away games) in a pair of consecutive rounds, we will view a break as two home (away) games in a given, arbitrary pair of rounds. More specific, each team i, i = 1, ..., 2n specifies a set of pairs of rounds indicating that this team does not want to play either at home or away in both rounds of each pair (which need not be consecutive). The set of pairs is called the break set of team i, and is denoted by B_i , with i = 1, ..., 2n. It generalizes the traditional concept of a break. Indeed, the traditional setting arises when:

$$B_1 = B_2 = \ldots = B_{2n} = \{\{1, 2\}, \{2, 3\}, \{3, 4\}, \ldots, \{2n - 2, 2n - 1\}\}.$$

We say that a home-away pattern (HAP) is break-free with respect to a break set B_i if no two home matches or two away matches are scheduled on a pair of rounds that is an element of B_i . We call a set of 2n home-away patterns a pattern set if they are pairwise distinct, and when each round has n H's (and hence n A's). We call a pattern set break-free if the i-th HAP in the set is break-free with respect to B_i . The main problem can now be described as follows: given

2n break sets B_i , with i = 1, ..., 2n, does there exist a break-free pattern set with respect to B_i ? We refer to this problem as the BfPS problem.

In this paper, we present the following results. We show that when given a break set B_i for each team i, i = 1, ..., 2n, deciding whether a break-free pattern set exists is NP-complete (section 4). In the special case where all teams have identical break sets, we show that the BfPS problem can be solved in polynomial time, while minimizing the number of generalized breaks remains NP-hard (section 5). For this special case, we also provide a lower bound for the number of breaks, generalizing a classical result by De Werra (1981). In the next section, we motivate the concept of generalized breaks with practical applications in football scheduling, and in the traveling tournament problem. We give an overview of related problems and results in section 3.

2 Motivation

In most major football leagues, the vast majority of the matches are scheduled on weekend days. However, as there are often more rounds than available weekends, some rounds need to be scheduled on Wednesdays. Since a home game on a Wednesday typically attracts less fans (for instance because of overlap with the Champions League, see Forrest and Simmons (2006)), teams generally do not appreciate a home game on a midweek round. Consequently, teams ask for a schedule where the assignment of home games on Wednesdays is balanced: if a team plays at home on one Wednesday round, they don't want to play at home on the next Wednesday round. This is for instance the case in the Belgian Jupiler Pro Football League (see Goossens and Spieksma (2009)). Obviously, Wednesday rounds need not be consecutive, and hence generalized breaks arise.

In most sports competitions, the number of consecutive away (home) games is limited (see e.g. Goossens and Spieksma (2010)). When at most two consecutive

away (home) games are allowed for each team, we can express this condition with the following break set:

$$B_1 = B_2 = \ldots = B_{2n} = \{(1,3), (2,4), (3,5), \ldots, (2n-3,2n-1)\}.$$

This type of constraint is also relevant for the traveling tournament problem (see Easton et al. (2001)). In this problem, the objective is to minimize the total distance traveled by the teams to complete a (double) round robin tournament. Obviously, combining a number of away games that are geographically close by in a single trip is useful to reduce the travel distance. However, the length of an away trip is usually limited to some value k, which can be expressed using generalized breaks. Indeed, a schedule for 2n teams will have at most k consecutive home (away) games if and only if it uses a break-free pattern set with respect to the following break set:

$$B_1 = B_2 = \ldots = B_{2n} = \{(1, k+1), (2, k+2), (3, k+3), \ldots, (2n-1, k+2n-1)\}.$$

3 Related work

Kendall et al. (2010) present an annotated bibliography of sports scheduling literature. Many of the theoretical results and scheduling algorithms in this bibliography are based on graph theory. For an overview of graph-based models in sports scheduling, we refer to work by Drexl and Knust (2007). These authors mention that, to the best of their knowledge, De Werra (1981) was the first who suggested to use graphs for constructing schedules with home and away games. De Werra (1981) uses the complete graph K_{2n} on 2n nodes for single round robin tournaments, where the nodes correspond with the teams, and the edges with games between the teams. A compact schedule can then be seen as an edge coloring with 2n-1 colors, i.e. a partitioning of the edge set into 2n-1 perfect matchings.

Apart from introducing graph theory in sports scheduling, De Werra (1981) also considers the problem of finding the minimum number of breaks (in the classic interpretation, i.e. with consecutive rounds) for a single round robin tournament with 2n teams. He finds that since only two different patterns without breaks exist (HAHA...H and AHAH...A), and all teams must have different patterns (indeed, two teams with the same pattern can never play against each other), at most two teams will not have any break. Consequently, in each schedule at least 2n-2 breaks occur. Furthermore, De Werra shows that schedules achieving this lower bound can be constructed using the so-called canonical 1-factorization, which dates back to, as far as we are aware, a paper by Kirkman (1847). In this work, we partly extend De Werra's result to our setting with generalized breaks.

A set of home-away patterns restricts the set of possible matches. Of course, two teams should have a different home-away assignment in some round in order to be able to play against each other in that round. Obviously, there is no guarantee that a random set of HAPs allows a feasible single round robin schedule (i.e. where each team plays exactly once against each other team). The question whether or not it is possible to create a feasible single round robin schedule with a given set of home-away patterns is known as the *pattern set feasibility problem*. The complexity of this problem is still unsettled, but a number of necessary conditions are known.

Miyashiro et al. (2003) point out that it is easy to see that every feasible set of HAPs must satisfy the following two conditions:

- 1. in each round, the number of As and Hs are equal,
- 2. the HAPs are pairwise different.

Notice that these conditions correspond with our definition of a *pattern set* in section 1. They are, however, not sufficient. In other words, finding a break-free pattern set does not guarantee the existence of a schedule without (generalized)

breaks.

Miyashiro et al. (2003) also come up with more elaborate necessary conditions, which can be checked in polynomial time for sets of patterns with a minimum number of breaks. For this case, the authors checked computationally that the conditions are sufficient for problems with up to 26 teams. Briskorn (2008) provides a necessary condition based on a linear programming formulation. He shows that this condition is strictly stronger than those provided by Miyashiro et al. (2003). Nevertheless, these conditions are not sufficient, as shown by Horbach (2010).

Another related problem is the break minimization problem: given a tournament schedule without home-away assignment, find a feasible home-away pattern set that minimizes the number of (classic) breaks. To the best of our knowledge, the complexity status of this problem is still unknown. However, Miyashiro and Matsui (2005) proved a conjecture by Elf et al. (2003), stating that deciding whether a feasible home-away pattern set with 2n-2 breaks exists, can be solved in polynomial time.

4 The break-free pattern set problem

The break-free pattern set problem is the following: given a break set B_i for each team i = 1, ..., 2n, does there exist a break-free pattern set with respect to B_i ? We prove that this problem is NP-complete using a reduction from a problem we call the matrix flopping problem (MFP). In the matrix flopping problem, we are given a 0-1 matrix M, consisting of 2k rows and 2k-1 columns. Moreover, each row contains at least one '1' and one '0', and all rows are pairwise distinct and noncomplementary. A flop is defined as changing each '1' into a '0' and vice versa, for all the entries on some row i, with $1 \le i \le 2k$ (we will refer to

this operation as *flopping* a row). The question is whether there exists a series of flops such that as a result, each column sum equals k. The following lemma shows that the matrix flopping problem is NP-complete.

Lemma 4.1 The matrix flopping problem is NP-complete.

Proof. We prove the theorem by presenting a reduction from a special case of Exact Cover by 3-sets (X3C), namely where each element occurs in exactly three subsets. We refer to this problem as X3C3. Garey and Johnson (1979) showed that X3C is NP-complete. Hein et al. (1996) implied that X3C remains NP-complete when each element occurs in exactly three subsets. The NP-completeness of X3C3 was explicitly proven by Hickey et al. (2008).

X3C3

Input: A set of elements X with |X|=3q, and a collection C of m=3q 3-element subsets of X, such that each element occurs in exactly 3 members of C. Question: Does C contain an exact cover for X, i.e. does there exist a subcollection $C' \subset C$ such that every element of X occurs in exactly one member of C'?

Each instance of X3C3 can be transformed into an instance of MFP as follows. We construct a matrix M with 6q-2 rows and 6q-3 columns. We call the first 3q columns the left part of the matrix, the next 3q-3 columns are the right part. The first column of the right part, i.e. column 3q+1, is called the target column. The first 3q rows are denoted as the upper part of the matrix, the next 3q-6 rows are the middle part, and the last 4 rows form the lower part. The left part columns correspond with the 3q elements in X, the upper part rows correspond with the 3q members of C. Each entry m_{ij} in the upper left part of the matrix has value 1 if the j-th element of X occurs in the i-th member of C, and 0 otherwise. Thus, the left upper part of M captures the instance of X3C3. The entries in the middle left part of the matrix all have value 1. In

the lower left part, all entries in the second and third row are 1, the entries in the first and last row have value 0. The target column has in its upper part all zeros, except on the three rows corresponding to the 3 members of C in which the first element of X occurs. The middle part of the target column consists of q-2 ones, followed by 2q-4 zeros. The lower part of the target column has a zero in the first and the third row, and a one in the second and the last row. In the upper part of the matrix, the columns to the right of the target column are the complement of the target column. In the middle part, the entries in the first q-2 rows have value 1, except that $m_{ij}=0$ for i=3q+1,...,4q-2with j = i + 1. The next q - 2 rows have value 0, except that $m_{ij} = 1$ for i = 4q - 1, ..., 5q - 4 with j = i - q + 3. The last q - 2 rows of the middle part again have value 1, except that $m_{ij} = 0$ for i = 5q - 3, ..., 6q - 7 with j=i-2q+5 and i=5q-2,...,6q-6 with j=i-2q+4. In first two rows of the lower part, all entries have value 1 in columns 3q + 1 to 4q - 2, and 0 in the next columns. The last two rows of the lower part consist of ones in the columns to the right of the target column. We illustrate this transformation with the following matrix M, where lines have been inserted to show the various parts. The target column is indicated in boldface.

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0 0 0 1 ... 0 0 1 1 ... 1 1 1 ... 1
          \begin{vmatrix} 0 & 1 & 0 & 0 & \dots & 0 & \mathbf{0} & 1 & 1 & \dots & 1 & 1 & 1 & \dots & 1 \\ 1 & 1 & 0 & 0 & \dots & 0 & \mathbf{1} & 0 & 0 & \dots & 0 & 0 & 0 & \dots & 0 \\ 0 & 1 & 1 & 1 & \dots & 0 & \mathbf{0} & 1 & 1 & \dots & 1 & 1 & 1 & \dots & 1 \\ 0 & 0 & 0 & 0 & \dots & 1 & \mathbf{0} & 1 & 1 & \dots & 1 & 1 & 1 & \dots & 1 \end{aligned}
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Observe that the rows of M are indeed pairwise distinct and noncomplementary. Notice also that for each column in the left part, the sum over the rows equals 3q-1, i.e. exactly half of the number of rows. For the target column, however, the sum equals q+3, and for each of the other columns 5q-5. This completes the description of the instance of MFP.

We now show that a yes-answer for the X3C3 instance corresponds to a feasible solution for the MFP instance. If X3C3 has a solution, this means that there exist q rows from the upper part of M such that for each column in the left part, the sum over these rows is exactly 1. Flopping these rows will switch in each left part column q-1 zeros into ones, and 1 one into a zero. In other words, the column sums for the left part now all increased by q-2. To compensate for this, we also flop the last q-2 rows of the middle part, and the first 2 rows of the lower part. Observe that the upper parts of the target column and the first column are identical. Consequently, in the upper part of the target column, q-1 zeros are switched to one, and 1 one was switched to zero. In the lower part of the target column, flopping the last q-2 results in as many extra 1-entries. In total, this brings the sum over all the rows for the target column to 3q-1. In the remaining columns of the right part, the flops cause a column sum to decrease by q-2 in the upper part rows, and again by q-2 in the other flopped rows, such that these column sums now also equal 3q-1. Thus, the X3C3 solution determines a series of row flops, such that the sum over all rows equals 3q - 1 for each column of M.

A yes-answer to the MFP instance in turn corresponds to a yes-answer of the X3C3 instance. Let's determine how this solution for MFP looks like. We will first argue that if a solution for MFP exists, it must flop exactly q or 2q rows from the upper part. Notice that for the left part of M, the column sums already equal 3q - 1. This is not the case for the target column, for which the sum is q + 3. Therefore, a solution will always require at least one flop in the

upper part. Indeed, flopping rows from the lower part could set the sum in the target column to the required 3q-1, but will decrease the sum for each left part column, which can only be compensated by by flopping upper part rows. Flopping just one upper part row will also never result in a solution, since this would result in 3 columns in the left part, where the sum over the rows is one lower than for the other left part columns. In fact, equal column sums in the left part can only be maintained if a multiple of q rows are flopped in the upper part. However, flopping all 3q upper part rows can never lead to a solution for our MFP problem. Indeed, it would increase the sum in each left part column by 3q-6, which can only be compensated by flopping at least 3q-6 middle or lower part rows. This would however set the target column sum to at least 5q+1. In conclusion, a solution for MFP flops exactly 2q or q rows from the upper part of the matrix. Let's now consider both options in more detail, and show how a yes-answer for X3C3 follows.

Option 1: flop 2q rows in the upper part.

In order to ensure that all columns in the left part have an equal sum, the 2q rows must be flopped such that in each column precisely 2 ones are switched to zeros, and hence 2q-2 zeros are switched to ones. This increases the sum in the left part columns by 2q-4. Consequently, at least 2q-4 rows from the middle and lower part will have to be flopped. If we look at the target column, we notice that the sum also increased by 2q-4 (recall that the upper part of the first and the target column are identical). This brings the sum in the target column, and in the other left part columns, to q+3+2q-4=3q-1. Therefore, flopping e.g. the first 2q-4 rows from the middle part accounts for the necessary flops needed to balance the left part, and leaves the sum in the left part columns equal to 3q-1. This solution for MFP translates to a solution for X3C3 by selecting those members of C that correspond to the q rows in M from the upper part that were not flopped. Indeed, since the 2q rows that were flopped have the property that exactly 2 ones occur in each column,

and since each column has exactly 3 ones, the remaining rows together must have precisely 1 one in each column. Consequently, those members of C form an exact cover of X.

Option 2: flop q rows in the upper part.

In order to ensure that all columns in the left part have an equal sum, the q rows must be flopped such that in each column precisely 1 one is switched to zero, and hence q-1 zeros are switched to ones. This increases the sum in the left part columns by q-2, and therefore, at least q-2 rows from the middle or lower part will have to be flopped. The sum in the target column also increases by q-2, such that it now equals 2q+1. Consequently, flopping e.g. the last q-2 rows from the middle part and the first two rows of the lower part will set the sum in all columns to 3q-1. This solution for MFP translates to a solution for X3C3 by selecting those members of C that correspond to the q rows in M from the upper part that were flopped. Indeed, these rows have precisely 1 one in each column, which means that the corresponding members of C form an exact cover of X.

Notice that both options in fact correspond to the same solution for X3C3. Notice also that if MFP has a solution, then its complement will also be a solution for MFP. In other words, given a MFP solution, flopping all rows will result in another MFP solution.

We now show that deciding whether a break-free pattern set exists is NP-complete, even if at most two home-away patterns are break-free with respect to B_i , for each team i. If no break-free home-away pattern exists for the break set of some team i, then obviously no break free pattern set exists. Checking whether a break set B_i has a break-free pattern can be done in polynomial time (see section 5). Therefore, without loss of generality, we assume for the

remainder of this section that each break set B_i allows at least one break-free home-away pattern.

Theorem 4.2 Given a break set B_i for each team i = 1, ..., 2n, deciding whether a break-free pattern set exists is NP-complete, even if at most two homeaway patterns are break-free with respect to B_i , for each team i.

Proof.

Each instance of MFP can be transformed into an instance of the BfPS problem as follows. Given a matrix M with 2k rows and 2k-1 columns, each row corresponds with a team, and each column with a round. Each row i in M can be translated into a break set B_i , with i=1,...,2k by adding to B_i a pair of rounds for each pair of columns for which different entries can be found in row i. Since each row contains at least one '1' and one '0', each B_i will be nonempty, and hence allow at most two break-free home-away patterns.

A yes-answer for the MFP instance corresponds to a feasible solution for the BfPS instance. Indeed, translating the ones into home games and the zeros into away games (or vice versa) produces a home-away pattern that is break-free with respect to B_i . For each round, there will be exactly as many home games as away games, and since the rows of M are pairwise distinct and noncomplementary, each team receives a different home-away pattern. A yes-answer to the BfPS instance in turn corresponds to a yes-answer of the MFP instance. If there exists a break-free pattern set, this means that, using the same translation as before, each row in the matrix can be flopped into the position corresponding to the HAP in the break-free pattern set, such that there are exactly k ones and k zeros in each column.

We point out the following necessary condition for the matrix flopping problem. Compute for each pair of columns in the matrix, the number of rows for which the entries in these columns have the same value. If that number is odd for some pair of columns, then no solution exists for the matrix flopping problem. Indeed, since each row allows exactly two complementary solutions, an equal number k of ones and zeros will never be possible in that case for both columns in that pair. The following matrix E shows that this condition is not sufficient, since it has an even number of entries with the same value for each pair of columns, and yet no solution exists.

$$\mathbf{E} = \begin{pmatrix} 1 & 0 & 1 & 1 & 1 \\ 0 & 1 & 1 & 0 & 0 \\ 1 & 1 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 \\ 1 & 0 & 0 & 0 & 1 \\ 0 & 1 & 1 & 0 & 1 \end{pmatrix}$$

5 A special case: identical break sets

In this section we deal with the complexity of the problem in the special case where the team's break sets are identical, i.e., the case when $B \equiv B_1 = B_2 = \dots = B_{2n}$. We first consider the case of deciding whether there exists a break-free pattern set, and then we discuss the optimization variant where we want to find a pattern set minimizing the number of breaks.

5.1 Deciding

We will reformulate the problem by building a graph, and view our problem as a coloring problem. Construct a graph G_B as follows: there is a node for each round, and two nodes are connected if and only if the corresponding pair of rounds is in the set B. A coloring of G_B is an assignment of one out of two colors to each node. A coloring is called feasible when connected nodes receive different colors. Notice that a HAP for a specific team is a coloring of G_B and vice versa. Observe also that the existence of a feasible coloring amounts to

bipartiteness of G_B . Let k_B be the number of connected components of G_B ; in the sequel we will omit the subscript 'B', and simply use k instead. We will also use the symbol g_B , or g for short, denoting the number of bipartite connected components of G_B .

The decision variant of our problem arises when we ask for the existence of a pattern set with no breaks: given B, does there exist a break-free pattern set? This question can be answered in polynomial time.

Theorem 5.1 Given B, the question whether or not a break-free pattern set exists, can be answered in polynomial time.

Proof. We use the following procedure. Decide whether G_B is bipartite. If so, and if $k \geq 2 \log 2n$, the answer is yes; else the answer is no. This procedure is correct, since

- 1. if G_B contains a component that is not bipartite, no feasible coloring of that component, and hence no break-free pattern set, exists,
- in case each component is bipartite, there are exactly two feasible colorings of that component (by interchanging red and blue).

Thus, given k components, we can exhibit 2^k pairwise distinct colorings such that each node is colored with one color 2^{k-1} times. If $2^k > 2n$, we simply remove $2^k - 2n$ colorings (that form $\frac{2^k - 2n}{2}$ complementary pairs).

5.2 Optimizing

There is an intimate relation between our problem, and MAX-CUT. Indeed, recall that the (unweighted) MAX-CUT problem is to partition the vertex set of a given graph G into two sets such that the number of edges between vertices that are in different sets, is maximized. Clearly, solving a MAX-CUT problem on G_B gives us a *single* HAP minimizing the number of breaks. Thus, our optimization problem is almost equivalent to finding the best n MAX-CUT solutions on G_B . Notice that, since we are allowed to interchange the colors, we can restrict ourselves to finding the best n solutions. Given the above discussion, the following result will come as no surprise.

Theorem 5.2 Given B, finding a pattern set that minimizes the number of breaks is NP-hard.

Proof. We show that our problem is at least as hard as MAX-CUT. An instance of MAX-CUT is specified by a graph G' = (V', E'). Let us assume, without loss of generality, that |V'| is odd. We now build an instance of our problem by adding to G' p pairs of triangles, where p is a number satisfying: $3^{2p} \ge |V'| + 6p + 1$. The righthand side denotes the number of nodes in our graph G_B that we are building (notice that this will be an odd number), while the left hand side denotes the number of different optimal MAX-CUT solutions in the graph G_B , assuming there is a unique optimal MAX-CUT solution of G'. Indeed, each added triangle gives rise to three new MAX-CUT solutions depending upon which of the three edges is not in the cut. Then finding the best $|V_B| + 1$ MAX-CUT solutions in G_B is equivalent to finding a best MAX-CUT solution in G'.

Furthermore, given G_B , if l is a lower bound for MAX-CUT on G_B , then 2nl is a lower bound for the minimum number of breaks. Let us derive a lower bound for the number of breaks in any schedule given a break set B.

Theorem 5.3 Given B, the number of breaks in any feasible schedule is at least $2n(k-g) + max(0, 2n-2^g)$.

Proof. Each component that does not admit a feasible coloring gives rise to at least one break in each of the 2n colorings (MAX-CUT solutions): 2n(k-g).

Each component that admits a feasible coloring, admits exactly two feasible colorings, and hence no more than 2^g colorings are break-free.

Observe that in the traditional setting, where G_B is a path on 2n-1 nodes, the lower bound coincides with De Werra's bound of 2n-2. Also, observe that in case G_B is a tree 2n-2 remains a valid lower bound.

6 Conclusion

Breaks are quite common in sports competitions, and are often one of the most important constraints in sports scheduling. In this paper, we generalized the classic concept of breaks by also considering pairs of nonconsecutive rounds in our break set. We motivated this generalization with examples from football and traveling tournament scheduling. We found that the problem of finding a pattern set that minimizes the number of breaks is NP-hard. The decision version of this problem (i.e., given a break set, is there a pattern set without breaks?) is NP-complete. However, for the special case where all teams have identical break sets, the decision version can be solved in polynomial time; the optimization version remains NP-hard. For this special case, we also provided a lower bound for the number of breaks, and this result is in fact a generalization of De Werra's well known result that any single round robin schedule for 2n teams will have at least 2n-2 (classic) breaks.

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