

Title: **Polynomial filters for camera-based structural intensity analysis on curved plates.**

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Abstract

Structural intensity can be used as a measure to detect energy sources, sinks, and transfer paths in solid structures. It provides a valuable design tool for vibro-acoustic problems next to modal analysis. However, it has been proven very challenging to determine structural intensity experimentally for the general case of curved plates. This is due to the requirement of an accurate measurement of the full 3D vibrational field and the computation of spatial gradients thereof.

The purpose of this paper is to propose a mesh-free, inherently smoothing, polynomial filtering approach and investigate its application for structural intensity analysis on curved plates based on a stereo camera measurement. Numerical studies are conducted to determine reasonable algorithm parameters (discretization, fitting radius, and the number of iterations) and their performance for different levels of uncertainty and various displacement fields. The results show that, depending on the uncertainty in the measurement, optimal values of the algorithm parameters exist. Furthermore, it is essential to achieve a high signal-to-noise ratio in the camera measurement. The computation of structural intensity is validated experimentally on the case of a flat plate and a curved plate (mockup oil pan). For the flat plate, good agreement with a reference finite element simulation is obtained. While it is not possible to derive quantitative results for the mockup oil pan under realistic measurement uncertainty, qualitative conclusions can be still drawn.

Keywords: structural intensity, stereo camera, experimental, polynomial filtering, curved plate

19 Nomenclature

20	$\bullet _o$	\bullet is represented in coordinates o	49	\mathbf{e}	Unit vector
21	\bullet^*	Complex conjugate of \bullet	50	\mathbf{I}	Structural intensity vector
22	\bullet_o	Derivative of \bullet with respect to o	51	\mathbf{P}	Projection matrix
23	$\dot{\bullet}$	Temporal derivative of \bullet	52	\mathbf{R}	Rotation matrix
24	$\hat{\bullet}$	Estimation of \bullet	53	\mathbf{S}	Shape operator
25	j	Imaginary unit	54	\mathbf{s}	Displacement vector
26	$\text{Re}(\bullet)$	Real part of \bullet	55	$\mathbf{s}^{h/2}$	Displacement vector at the outer surface
27	$\tilde{\bullet}$	Projection of \bullet	56	\mathbf{w}	Weights
28	DOF	Degree of freedom	57	\mathbf{x}	Point cloud
29	FE	Finite element	58	C	Extensional stiffness
30	MRE	Mean relative error	59	c	Polynomial coefficients
31	RE	Relative error	60	D	Bending stiffness
32	SI	Structural intensity	61	E	Young's modulus
33	SLDV	Scanning Laser Doppler Vibrometry	62	f	Frequency
34	SNR	Signal-to-noise ratio	63	h	Thickness
35	α, β	Curvilinear coordinates	64	M_i	Bending moment
36	χ_i	Bending curvature	65	M_{12}	Twisting moment
37	χ_{12}	Torsion	66	N_i	Normal force
38	δ	Discretization	67	N_{12}	In-plane shear force
39	ϵ_i	Normal strain	68	n_c	Number of points in the local neighborhood
40	ϵ_{12}	Shear strain	69		
41	η	Structural damping	70	n_p	Number of points
42	γ	Scale	71	O^{123}	Principal coordinates
43	κ_i	Curvature	72	O^{uvw}	Fitting coordinates
44	ν	Poisson number	73	O^{xyz}	World coordinates
45	ρ	Density	74	p	2D polynomial
46	θ_i	Rotation	75	Q_i	Transverse shear force
47	Σ	Covariance matrix	76	r_f	Fitting radius
48	\mathbf{d}	Distance	77	t	Time

1 Introduction

Acoustic intensity is a common measure for wave propagation in fluids. It is applied e.g. to locate acoustic sources and to assess radiated sound power or acoustic transmission loss. Equivalently, structural intensity (SI) can be used to analyze wave propagation in solid structures. In contrast to intensity probes for acoustic intensity, there is no direct measurement system available for SI. Instead, current approaches to measuring SI rely on a combination of full-field measurements, mechanical models, and constitutive equations. Previous studies have used mechanical models for beams [13], flat plates [2, 7, 18, 30], and limited examples of curved plates [11, 21]. Moreover, in practice, a SI analysis is possible even on solid bodies by considering the surface SI only, instead of the SI averaged over a cross section of the body [16, 25].

Amongst others, SI has been applied in the literature for transfer path analysis and source localization [1, 6, 15, 22, 23, 32], active control [27] and structural health monitoring [25]. The main challenge for the evaluation of SI is, apart from measuring the full vibrational field, that spatial gradients need to be computed. Specifically, spatial gradients up to the third order of the vibrational field (or even the fourth order for evaluation of the divergence) need to be computed and the process of numerical differentiation is considered unstable [17, 30]. Furthermore, for curved surfaces, the spatial gradients need to be computed along the surface. This requires knowledge about the geometry of the surface in the form of local, principal coordinate systems and surface derivatives [19].

There are several approaches to spatial gradient computation for SI analysis in the literature. In [7, 15, 20, 23] the spatial Fourier transform is applied for the task of numerical differentiation (*k-space* differentiation). The differentiation in *k-space* allows to efficiently filter high-wavenumber noise from the measurement through spatial filters. However, on the downside, the Fourier transform requires the measurement positions to be located on a regular grid and the vibrational field is implicitly assumed to be spatially periodic. Therefore, this approach is not suitable for the application to cases with increased geometrical complexity and unstructured measurement points.

Applied to flat plates, a global spline fit was used in [30] for the numerical differentiation. The authors note that the spline fit acts as a low-pass filter depending on the choice of knot positions and the degree of the spline. Furthermore, there is no restriction in terms of structured data or boundary conditions. In principle, a global spline can be fitted to complex surface geometries, however, there is a substantial risk of overfitting if knot positions and degree of the spline are not selected carefully. This renders an automation of such a procedure difficult.

Classical finite differences were applied for numerical differentiation in [2] for a flat plate and in [11] for a curved plate. However, since a limited amount of measurement locations is used, the robustness against measurement noise is limited.

Another method for the numerical differentiation is the finite element (FE) approximation [1, 6, 18, 21, 32]. Thereby, the measured vibrational field is mapped onto an FE-mesh and FE-shape functions are used for the numerical differentiation. This approach has the advantage to be applicable for unstructured, 3D measurement data. Furthermore, through the global mapping onto shape functions, the smoothness of the vibrational field is enforced. However, the FE-approximation requires a suitable mesh and might be computationally expensive for large node numbers.

Apart from the method for numerical differentiation, different transducers have been used to measure the vibrational field required for SI analysis. In the following, only approaches resulting in 3D measurement data are considered. 3D Scanning Laser Doppler Vibrometry (SLDV) was used in [11, 32] to acquire the 3D components of the vibrational field. While producing very accurate measurement data, the drawback of 3D SLDV is the sequential scanning of measurement points (long measurement duration and restriction to steady-state phenomena) and its restrictively high costs. As an alternative measurement system, stereo cameras come to the fore. At the cost of increased measurement uncertainty and restrictions in frequency range, cameras offer the advantage of fast, inexpensive, and very

126 dense 3D displacement measurements. They have been successfully applied for SI analysis in [21].

127 In this paper, the focus is on the general case of thin, curved plate-like structures (shells) including
128 flat plates as a simplification. They occupy an important position in various fields of engineering,
129 e.g. as parts of vehicles, machinery casings or containment structures. Assessing the SI flow through
130 shells can provide valuable criteria to design their vibro-acoustic behavior. The analyzed structures
131 are assumed to behave according to the general linear theory of shells, which allows reducing the
132 dynamics of the shell to its 2D midsurface. Thereby, the Kirchhoff-Love assumptions need to be valid
133 [29]. Furthermore, the material is assumed to be homogeneous, isotropic, and elastic.

134 Because of its smoothing abilities, mesh-free nature, and point-wise processing which is potentially
135 suitable for parallelization, in this paper a method based on polynomial filters is proposed for the
136 task of numerical differentiation. Specifically, polynomial filtering is extended from the well-known
137 Savitzky-Golay filter [24] for 2D measurement data to be applicable to general shells and its use for SI
138 analysis is investigated. It was shown in [3] that FE-approximation and polynomial filtering (named
139 diffuse approximation) performed similarly in accuracy for the computation of gradients for stress
140 evaluation based on the displacement field of a flat plate.

141 A stereo camera system is adopted for the measurement of the 3D vibrational field. Moreover, gradient-
142 based Lucas-Kanade optical flow is employed to evaluate the displacement field from the image se-
143 quence. Thereby an equivalent procedure as described in [8] is used and will not be further elaborated
144 on in this paper for compactness.

145 In summary, the contributions of the present paper are:

- 146 • Extension of the polynomial filtering approach to general shells
- 147 • Numerical study of the processing parameters for polynomial filtering
- 148 • Experimental investigation of the proposed approach for SI analysis on flat plates and curved
149 plate-like structures

150 The paper is organized as follows. Section 2 introduces the theory of structural intensity in shells.
151 The following section 3 describes the extension of the polynomial filtering approach and section 4
152 numerically investigates its properties and processing parameters. In section 5 polynomial filtering is
153 applied for structural intensity analysis on a numerical case. Finally, section 6 presents experimental
154 validation cases for a flat plate and a curved plate-like structure. Section 7 concludes the paper by
155 summarizing the main findings.

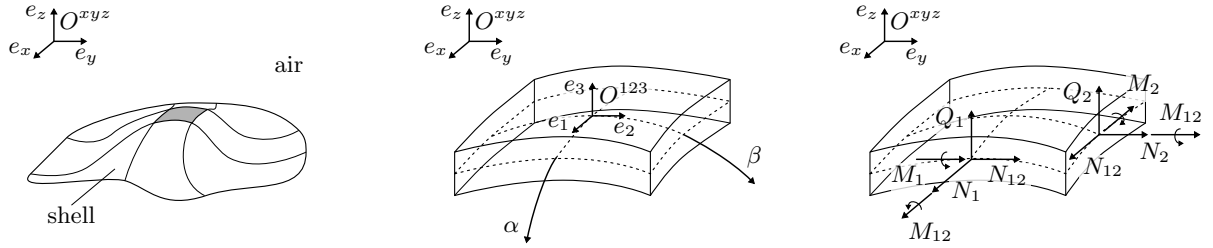
156 2 Structural intensity

157 The theory for the SI computation in general shells is based on the work by Pires, Vanlanduit, and
158 Dirckx in [19] which includes an extensive derivation of analytical equations expanding the required
159 derivatives. For details on the general theory of shells, it is referred to the textbook [29]. In the
160 following, a summary of the main relations involved in the computation of SI is given.

161 Intensity is defined as oriented, transferred power per unit area and can be computed as the product
162 of a potential quantity and a flow quantity. In the structural domain, the potential quantity is the
163 stress tensor and the flow quantity is the velocity vector. Specifically for shell structures surrounded
164 by air as shown in fig. 1a, the component of the intensity in the thickness direction can be neglected
165 since the energy exchange with the surrounding medium is small [17]. This results in an intensity
166 vector constrained within the tangential plane to the midsurface of the shell. In a local coordinate
167 system, aligned with the tangential plane (O^{123} in fig. 1b) the 2D SI vector per unit thickness is:

$$\mathbf{I}(t) = \begin{bmatrix} I_1 \\ I_2 \end{bmatrix} = - \begin{bmatrix} N_1 \dot{s}_1 + N_{12} \dot{s}_2 - M_1 \dot{\theta}_1 + M_{12} \dot{\theta}_2 + Q_1 \dot{s}_3 \\ N_2 \dot{s}_2 + N_{12} \dot{s}_1 + M_2 \dot{\theta}_2 - M_{12} \dot{\theta}_1 + Q_2 \dot{s}_3 \end{bmatrix}. \quad (1)$$

168 Equation (1) is the time domain description of the SI, with the time t . The potential quantities N_1



(a) General shell structure surrounded by air. Structural boundary conditions may apply to the lateral boundaries. O^{xyz} denotes the global coordinate system.

(b) Principal coordinate system in the midsurface of the shell (midsurface indicated by dashed lines).

(c) Internal forces and moments acting on the shell element (reaction forces and moments on the opposite side not indicated).

Figure 1: Shell model.

169 and N_2 are the normal forces, N_{12} is the in-plane shear force, M_1 and M_2 are the bending moments,
 170 M_{12} is the twisting moment and Q_1 and Q_2 are the transverse shear forces. Each potential quantity
 171 is multiplied with a flow quantity, i.e. the time derivative (denoted with the dot symbol) of the
 172 displacement s in the respective direction and the rotation θ around the respective axis. The internal
 173 forces and moments are schematically shown in fig. 1c. It should be noted that the minus sign in eq. (1)
 174 in front of M_1 and M_{12} arises from the definition of the rotation θ_1 in mathematical positive orientation
 175 (counterclockwise) and the overall minus sign is chosen to satisfy established stress conventions [16].
 176 In the frequency domain, the instantaneous SI vector from eq. (1) is replaced by the steady state
 177 equation

$$\mathbf{I}(f) = \frac{1}{2} \text{Re} \left(j2\pi f \begin{bmatrix} N_1 s_1^* + N_{12} s_2^* - M_1 \theta_1^* + M_{12} \theta_2^* + Q_1 s_3^* \\ N_2 s_2^* + N_{12} s_1^* + M_2 \theta_2^* - M_{12} \theta_1^* + Q_2 s_3^* \end{bmatrix} \right). \quad (2)$$

178 The temporal derivative is converted to a multiplication with $j2\pi f$ where j denotes the imaginary
 179 unit, f the frequency, and the star symbol denotes the complex conjugate. Taking the real part in
 180 eq. (2) indicates that active SI (the energy part that flows from source to sink) is considered while the
 181 imaginary part would result in the reactive SI (the energy part that purely oscillates between source
 182 and sink without producing a net flow) [11].

183 According to the Kirchhoff-Love plate theory, the internal forces and moments can be computed based
 184 on material properties (thickness h , Young's modulus E , density ρ , Poisson number ν and structural
 185 damping η) and gradients of the displacement field. Note that the gradients need to be evaluated along
 186 the surface, which results in additional terms as compared to regular volume gradients. A suitable
 187 coordinate frame for this computation are the principal coordinates [29]. They form an orthonormal
 188 coordinate system $[\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3]$ and its first two base vectors are aligned with the direction of maximum
 189 curvature, κ_1 and minimum curvature κ_2 (denoted as α -direction and β -direction respectively, see
 190 fig. 1b) and the third base vector is aligned with the surface normal. Therefore, principal coordinates
 191 are a local property of the surface.

192 A camera measurement results in a displacement field (i.e. the measured vibrational field) at the
 193 surface of the plate-like structure, however, the Kirchhoff-Love plate theory makes use of relations in
 194 the midsurface of the shell. Thus, the measured displacement field at the outer surface $\mathbf{s}^{h/2}$ needs to
 195 be transformed to the midsurface:

$$\mathbf{s} = \begin{bmatrix} s_1 \\ s_2 \\ s_3 \end{bmatrix} = \begin{bmatrix} s_1^{h/2} - \frac{h}{2} s_{3,\alpha}^{h/2} \\ s_2^{h/2} - \frac{h}{2} s_{3,\beta}^{h/2} \\ s_3^{h/2} \end{bmatrix} \quad (3)$$

196 The notation subscripts α and β are used to denote gradients in principal coordinates along the surface.
 197 After transformation to the midsurface, the kinematic relations can be evaluated.

$$\theta_1 = s_1\kappa_1 - s_{3,\alpha} \quad \theta_2 = s_2\kappa_2 - s_{3,\beta} \quad (4)$$

$$\epsilon_1 = s_{1,\alpha} + s_3\kappa_1 \quad \epsilon_2 = s_{2,\beta} + s_3\kappa_2 \quad \epsilon_{12} = s_{2,\alpha} + s_{1,\beta} \quad (5)$$

$$\chi_1 = -\theta_{1,\alpha} \quad \chi_2 = \theta_{2,\beta} \quad \chi_{12} = \theta_{2,\alpha} + s_{1,\beta}\kappa_1 \quad (6)$$

198 θ_1 and θ_2 are the rotations around the respective coordinate axis (in mathematical positive orientation),
 199 ϵ_1 and ϵ_2 are the normal strains, and ϵ_{12} is the shear strain. χ_1 and χ_2 are the bending curvatures
 200 and χ_{12} is the torsion of the surface. The derivatives of the kinematic relations in eqs. (4) to (6)
 201 can be derived analytically from derivatives of the displacement field up to the third order [19]. In
 202 combination with the material properties, the kinematic relations are used to derive the internal forces
 203 and moments acting on the surface:

$$M_1 = D(\chi_1 + \nu\chi_2) \quad M_2 = D(\chi_2 + \nu\chi_1) \quad M_{12} = M_{21} = D(1 - \nu)\chi_{12} \quad (7)$$

$$N_1 = C(\epsilon_1 + \nu\epsilon_2) \quad N_2 = C(\epsilon_2 + \nu\epsilon_1) \quad N_{12} = N_{21} = C(1 - \nu)\epsilon_{12} - M_{12}\kappa_2 \quad (8)$$

$$Q_1 = M_{12,\beta} + M_{1,\alpha} \quad Q_2 = M_{12,\alpha} + M_{2,\beta}. \quad (9)$$

204 In eqs. (7) to (9) the extensional stiffness C and the bending stiffness D are defined as

$$C = \frac{Eh}{1 - \nu^2} \quad D = \frac{Eh^3}{12(1 - \nu^2)}. \quad (10)$$

205 Eventually, the SI can be computed from eq. (1) (time domain) or eq. (2) (frequency domain). In this
 206 paper, the data will be processed in frequency domain to make use of temporal averaging for noise
 207 reduction.

208 3 Methods

209 The proposed polynomial filtering approach consists of two steps. First the geometry of the shell is
 210 approximated from the static point cloud by extending polynomial filtering to general shells. Local
 211 principal coordinate systems are estimated in every measurement point and curvature as well as higher-
 212 order derivatives of the surface are obtained. In a second step the spatial gradients of the displacement
 213 field are estimated by polynomial approximation in principal coordinates. As a result, all terms to
 214 evaluate the SI, eq. (2), are available. An outline of the polynomial filtering method is displayed in
 215 fig. 2.

216 3.1 Geometry approximation

217 The input for the geometry approximation is an unstructured point cloud $\mathbf{x} \in \mathbb{R}^{3 \times n_p}$ with n_p points,
 218 originating from the camera measurement. In a point-wise manner, 2D polynomial functions of third
 219 order are fitted to the point cloud (polynomial filter). Using the polynomial as proxy, surface gradients
 220 can be evaluated and subsequently principal coordinates computed from relations of differential ge-
 221 ometry. Similar polynomial fitting approaches were reported in [14, 26] for the purpose of computing
 222 curvature and crease information from point clouds. However, in this paper fourth order polynomials
 223 are used to obtain all necessary gradients for SI evaluation. Moreover, the resulting surface informa-
 224 tion is further used to derive gradients of the vibrational field.

225 For the fit, the neighborhood consists of all n_c points $\mathbf{x}_c \in \mathbb{R}^{3 \times n_c}$ within the fitting radius r_f to the
 226 current point as visualized in fig. 3a. The computations are equivalent for every point in the point
 227 cloud and for compactness of notation the index i indicating the current point $\mathbf{x}_i \in \mathbb{R}^{3 \times 1}$ is omitted
 228 from now on whenever the local neighborhood is concerned.

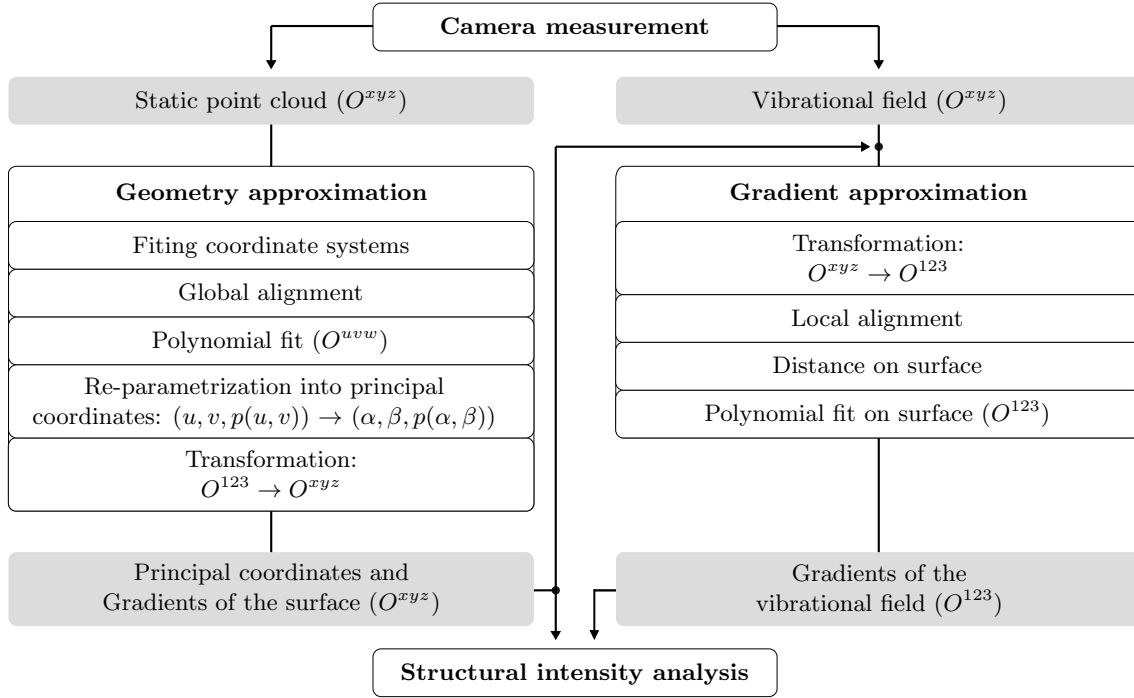


Figure 2: Outline of the polynomial filtering method.

229 **Fitting coordinate system** In the first step, an initial fitting coordinate system for performing
 230 the polynomial approximation is estimated from an implicit plane fit on the 3D point locations. The
 231 result is the tangential plane as indicated in fig. 3b. The purpose of the fitting frame is to provide
 232 a reasonable estimation of the surface-normal direction such that the subsequent polynomial fit is
 233 well-conditioned. For this task, the covariance matrix $\Sigma \in \mathbb{R}^{3 \times 3}$ is computed from the distances of
 234 the local neighborhood to the considered point $\mathbf{d} \in \mathbb{R}^{3 \times n_c} = \mathbf{x}_c - \mathbf{x}_i$:

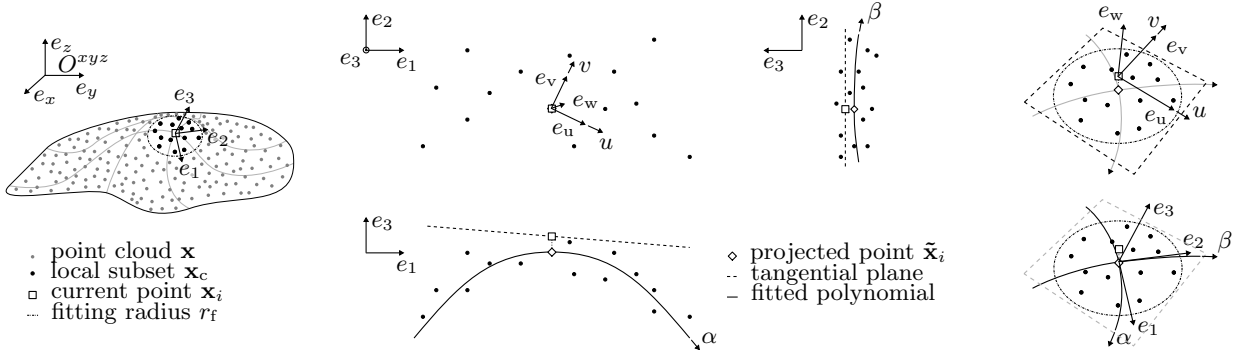
$$\Sigma = \sum_{j=0}^{n_c-1} \mathbf{w}_j \mathbf{d}_j \cdot \mathbf{d}_j^T. \quad (11)$$

235 Thereby, the index j goes over all points in the local neighborhood and the distances are weighted by
 236 a Gaussian weighting function [9]

$$\mathbf{w} \in \mathbb{R}^{1 \times n_c} = (d_{\max} \sqrt{2\pi})^{-1} e^{-\frac{|\mathbf{d}|^2}{2d_{\max}^2}} \quad (12)$$

237 with $d_{\max} = \max(|\mathbf{d}|)$. The rotation matrix for the orthonormal fitting coordinate system (O^{uvw}),
 238 $\mathbf{R}_f \in \mathbb{R}^{3 \times 3} = [\mathbf{e}_u, \mathbf{e}_v, \mathbf{e}_w]$, is subsequently obtained from the eigenvectors of the covariance matrix. The
 239 normal direction, \mathbf{e}_w , is determined by the eigenvalue with the smallest magnitude. For consistency
 240 over the whole surface, the normal directions of the fitting coordinate systems are aligned according
 241 to the third principal direction of the whole point cloud. This works as long as the correct normal
 242 direction is not tilted by more than 90° from the third principal direction of the whole point cloud.

243 **2D polynomial fit, principal coordinates, and surface gradients** In the second step, the local
 244 neighborhood is approximated by a 2D polynomial of order n (fitted polynomial in fig. 3b). To this
 245 extend the local neighborhood is transformed into the fitting coordinate system $\mathbf{x}_c|_f = \mathbf{R}_f^T(\mathbf{x}_c - \mathbf{x}_i)$
 246 (the vertical bar is used to denote "represented in a specific coordinate system"). In this representation



(a) Selection of the local neighborhood through the fitting radius.

(b) Fit of the tangential plane and polynomial approximation from the perspective of the principle coordinates.

(c) Transformation from fitting coordinates (top) to principal coordinates (bottom).

Figure 3: Geometry approximation.

247 the local neighborhood is approximated in a least squares sense by a 2D polynomial $p(u, v)$ with the
 248 base coordinates u and v and coefficients c_{jk} :

$$p(u, v) = \sum_{j=0}^{n_o-1} \sum_{k=0}^{n_o-j-1} c_{jk} u^j v^k. \quad (13)$$

249 A minimization yields the estimation of the polynomial coefficients,

$$\hat{c}_{jk} = \min_{c_{jk}} \sum_{l=0}^{n_c-1} |p(x_{l,1}, x_{l,2}) - x_{l,3}|^2. \quad (14)$$

250 Thereby, $x_{l,1/2/3}$ is the first/second/third coordinate of the l th point in the local neighborhood, in
 251 fitting coordinates, $\mathbf{x}_c|_f$. In 3D space the polynomial is represented as $\mathbf{p}(u, v) \in \mathbb{R}^{3 \times 1} = [u, v, p(u, v)]^T$
 252 and it is parametrized as a function of the fitting coordinates. Since the current point, which in the
 253 fitting coordinate system is located at the origin, does not necessarily lie on the fitted polynomial, it
 254 is projected onto the polynomial along the surface normal, see fig. 3b. Practically, this is achieved by
 255 an iterative *Newton-Raphson* procedure, resulting in the projected point $\tilde{\mathbf{x}}_i|_f = \mathbf{p}(\tilde{u}, \tilde{v})$.

256 The tangential space at the projected point is given by $\mathbf{p}_u(\tilde{u}, \tilde{v})$ and $\mathbf{p}_v(\tilde{u}, \tilde{v})$ with indices u and v
 257 indicating spatial derivatives in the directions of \mathbf{e}_u and \mathbf{e}_v respectively. Additionally, the normal
 258 vector is obtained as

$$\mathbf{e}_3 \in \mathbb{R}^{3 \times 1} = \frac{\mathbf{p}_u(\tilde{u}, \tilde{v}) \times \mathbf{p}_v(\tilde{u}, \tilde{v})}{|\mathbf{p}_u(\tilde{u}, \tilde{v}) \times \mathbf{p}_v(\tilde{u}, \tilde{v})|}. \quad (15)$$

259 The principal directions in the tangential plane, are then computed as the eigenvectors \mathbf{e}_{S1} and \mathbf{e}_{S2} of
 260 the shape operator of the surface [14]:

$$\mathbf{S} \in \mathbb{R}^{2 \times 2} = (EG - F^2)^{-1} \begin{bmatrix} LG - MF & ME - LF \\ ME - LF & NE - MF \end{bmatrix} \quad \text{with} \quad (16)$$

261

$$\begin{aligned} E &= \mathbf{p}_u(\tilde{u}, \tilde{v}) \cdot \mathbf{p}_u(\tilde{u}, \tilde{v}) & F &= \mathbf{p}_u(\tilde{u}, \tilde{v}) \cdot \mathbf{p}_v(\tilde{u}, \tilde{v}) & G &= \mathbf{p}_v(\tilde{u}, \tilde{v}) \cdot \mathbf{p}_v(\tilde{u}, \tilde{v}) \\ L &= \mathbf{e}_3 \cdot \mathbf{p}_{uu}(\tilde{u}, \tilde{v}) & M &= \mathbf{e}_3 \cdot \mathbf{p}_{uv}(\tilde{u}, \tilde{v}) & N &= \mathbf{e}_3 \cdot \mathbf{p}_{vv}(\tilde{u}, \tilde{v}) \end{aligned}$$

262 A projection with $\mathbf{P} \in \mathbb{R}^{3 \times 2} = \left[\frac{\mathbf{p}_u(\tilde{u}, \tilde{v})}{|\mathbf{p}_u(\tilde{u}, \tilde{v})|}, \frac{\mathbf{p}_v(\tilde{u}, \tilde{v})}{|\mathbf{p}_v(\tilde{u}, \tilde{v})|} \right]$ yields the principal directions $\mathbf{e}_1 \in \mathbb{R}^{3 \times 1} = \mathbf{P}\mathbf{e}_{S1}$ and
 263 $\mathbf{e}_2 \in \mathbb{R}^{3 \times 1} = \mathbf{P}\mathbf{e}_{S2}$ in 3D space. The local, orthonormal principal coordinate system is defined through

264 the rotation matrix $\mathbf{R}_p|_f \in \mathbb{R}^{3 \times 3} = [\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3]$, see fig. 3c. For subsequent computations the principal
 265 coordinates are transformed back to the global coordinate system with $\mathbf{R}_p = \mathbf{R}_f \mathbf{R}_p|_f$. Furthermore,
 266 transformation of the projected current point yields a smoothed point cloud: $\hat{\mathbf{x}}_i = \mathbf{R}_f \tilde{\mathbf{x}}_i|_f + \mathbf{x}_i$.
 267 To evaluate the gradients of the surface in principal directions, the fitted polynomial is re-parametrized
 268 as a function of the principal coordinates α and β . Centering the polynomial around the projected
 269 point and applying the principal rotation yields the following transformation:

$$\begin{bmatrix} u(\alpha, \beta) \\ v(\alpha, \beta) \end{bmatrix} = [\mathbf{e}_{S1}, \mathbf{e}_{S2}]^T \begin{bmatrix} \alpha \\ \beta \end{bmatrix} - \begin{bmatrix} \tilde{u} \\ \tilde{v} \end{bmatrix}. \quad (17)$$

270 Insertion into the fitted polynomial, eq. (13), allows to analytically determine the gradients in principal
 271 directions.

272 Since the principal directions lie in the tangential plane to the surface, the first-order gradients vanish,
 273 $p_\alpha = 0$ and $p_\beta = 0$. The second-order single direction gradients are equal to the maximal and minimal
 274 curvature, $p_{\alpha\alpha} = \kappa_1$ and $p_{\beta\beta} = \kappa_2$, and the second-order cross gradients vanish as well, $p_{\alpha\beta} = p_{\beta\alpha} = 0$.
 275 In general, the third- and possible higher-order derivatives are non-zero. Algorithm 1 shows the
 276 complete procedure for the geometry approximation is summarized in pseudocode.

Algorithm 1 Geometry approximation

```

procedure GEOMETRY_APPROXIMATION( $\mathbf{x}$ ,  $r_f$ )
  for  $i$  in range( $n_p$ ) do
     $\mathbf{x}_{c,i} \leftarrow \mathbf{x}_j$  if  $|\mathbf{x}_j - \mathbf{x}_i| < r_f$ 
     $\mathbf{R}_{f,i} \leftarrow$  FITTING_COORDINATE_SYSTEM( $\mathbf{x}_{c,i}$ )
  end for
   $\mathbf{R}_f \leftarrow$  ALIGN_COORDINATE_SYSTEMS( $\mathbf{R}_f$ )
  for  $i$  in range( $n_p$ ) do
     $\mathbf{x}_{c,i}|_f \leftarrow \mathbf{R}_{f,i}^T (\mathbf{x}_{c,i} - \mathbf{x}_i)$ 
     $\mathbf{p}_i \leftarrow$  FIT_POLYNOMIAL( $\mathbf{x}_{c,i}|_f$ ,  $n$ )
     $\tilde{\mathbf{x}}_i|_f \leftarrow$  PROJECT_POINT( $\mathbf{p}_i$ ,  $\mathbf{x}_i|_f$ )
     $\mathbf{n}_i \leftarrow$  NORMAL_DIRECTION( $\mathbf{p}_i$ ,  $\tilde{\mathbf{x}}_i|_f$ )
     $\mathbf{R}_{p,i}|_f \leftarrow$  PRINCIPAL_DIRECTION( $\mathbf{p}_i$ ,  $\tilde{\mathbf{x}}_i|_f$ )
     $\mathbf{R}_{p,i} \leftarrow \mathbf{R}_{f,i} \mathbf{R}_{p,i}|_f$ 
     $\hat{\mathbf{x}}_i \leftarrow \mathbf{R}_{f,i} \tilde{\mathbf{x}}_i|_f + \mathbf{x}_i$ 
     $p_{\alpha,\beta} \leftarrow$  EVALUATE_GRADIENTS_PRINCIPAL( $\mathbf{p}_i$ ,  $\tilde{\mathbf{x}}_i|_f$ ,  $\mathbf{R}_{p,i}|_f$ )
  end for
  return  $\hat{\mathbf{x}}$ ,  $\mathbf{R}_p$ ,  $p_{\alpha,\beta}$ 
end procedure

```

277 3.2 Gradient approximation

278 A strategy similar to the geometry approximation is proposed for the computation of the spatial
 279 gradients of the displacement field. In a point-wise procedure, all three components of the field
 280 are approximated by a 2D polynomial fit in local principal coordinates. Subsequently the fitted
 281 polynomials serve as a proxy for evaluating the required derivatives for SI computation.

282 The displacement field in the local neighborhood around the current point is denoted as $\mathbf{s}_c \in \mathbb{C}^{3 \times n_c}$.
 283 It is transformed into local principal coordinates by

$$\mathbf{s}_c|_p = \tilde{\mathbf{R}}_{p,c}^T \mathbf{s}_c \quad (18)$$

284 Note that the displacement field is transformed according to the principal coordinates corresponding
 285 to its base point, i.e. the transformation is different for each point. For a successful fit, it is essential

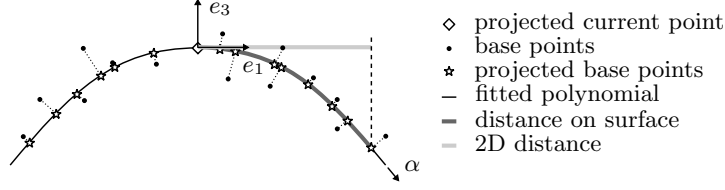


Figure 4: Projection onto the fitted polynomial and comparison of distance on the surface and 2D distance.

286 to avoid inconsistent orientations of the principal coordinates (they are only defined up to sign or
 287 arbitrary in the case of a flat surface). Since a global alignment of the principal directions is not
 288 generally feasible for a complex surface an alignment step constrained to the local neighborhood
 289 is performed: The first principal directions are aligned with the one of the current point through a
 290 rotation around the respective normal direction. This alignment results in aligned principal coordinates
 291 in the local neighborhood, represented through the rotation matrices $\tilde{\mathbf{R}}_{p,c}$.

292 To compute the derivatives along the surface, the distance of the base points for the fit is evaluated
 293 on the surface. This is in contrast to ordinary spatial derivatives in a volume, where the distance of
 294 the base points is equivalent to the distance in global coordinates, see fig. 4. For each point in the
 295 local neighborhood, the distance on the surface to the current point is evaluated from the previously
 296 fitted polynomial of the geometry approximation step. Since the measured points do not lie on the
 297 polynomial, a normal projection is performed to obtain the base points (*Newton-Raphson* procedure).
 298 The distance on the polynomial is evaluated through discretization into 100 line segments and yields
 299 the distance vector $\tilde{\mathbf{d}}$. Taking these distances into account, each component k of the displacement in
 300 the local neighborhood, $\mathbf{s}_{k,c}|_p$ is approximated by a polynomial:

$$\hat{c}_{jk} = \min_{c_{jk}} \sum_{l=0}^{n_c-1} \left| p(\tilde{d}_{l,1}, \tilde{d}_{l,2}) - s_{l,k} \right|^2. \quad (19)$$

301 Thereby, $\tilde{d}_{l,1/2}$ is the first/second component of the distance and $s_{l,k}$ is the k th displacement component
 302 in principal coordinates at the l th point of the local neighborhood.

303 Evaluation of the polynomial at the origin results in a smoothed displacement field $\hat{\mathbf{s}}|_p$ as well as
 304 estimations of the spatial gradients in principal coordinates: $\hat{\mathbf{s}}_\alpha|_p$ and $\hat{\mathbf{s}}_\beta|_p$. Index α and β indicate
 305 derivatives in the respective direction of the local principal coordinates. The maximum order of the
 306 derivatives is dependent on the order of the fitted polynomial. This way, both single direction, as well
 307 as cross derivatives, can be evaluated. In algorithm 2 the procedure for the gradient approximation is
 308 summarized in pseudocode.

Algorithm 2 Gradient approximation

```

procedure GRADIENT_APPROXIMATION( $\mathbf{x}$ ,  $r_f$ ,  $\mathbf{R}_p$ ,  $\hat{c}_{jk}$ )
  for  $i$  in range( $n_p$ ) do
     $\mathbf{x}_c \leftarrow \mathbf{x}_j$  if  $|\mathbf{x}_j - \mathbf{x}_i| < r_f$ 
     $\mathbf{s}_c \leftarrow \mathbf{s}_j$  if  $|\mathbf{x}_j - \mathbf{x}_i| < r_f$ 
     $\mathbf{R}_{p,c} \leftarrow \mathbf{R}_{p,j}$  if  $|\mathbf{x}_j - \mathbf{x}_i| < r_f$ 
     $\tilde{\mathbf{R}}_{p,c} \leftarrow \text{ALIGN\_COORDINATE\_SYSTEMS}(\mathbf{R}_{p,c})$ 
     $\mathbf{s}_c|_p = \tilde{\mathbf{R}}_{p,c}^T \mathbf{s}_c$ 
     $\tilde{\mathbf{d}} \leftarrow \text{EVALUATE\_DISTANCE\_ALONG\_SURFACE}(\hat{c}_{jk}, \mathbf{x}_c)$ 
    for  $k$  in range(3) do
       $\hat{\mathbf{s}}|_p, \hat{\mathbf{s}}_{k,i,\alpha}|_p, \hat{\mathbf{s}}_{k,i,\beta}|_p \leftarrow \text{FIT\_POLYNOMIAL}(\mathbf{s}_{k,c}|_p, \tilde{\mathbf{d}})$ 
    end for
  end for
  return  $\hat{\mathbf{s}}|_p, \hat{\mathbf{s}}_\alpha|_p, \hat{\mathbf{s}}_\beta|_p$ 
end procedure

```

4 Numerical validation of the polynomial filtering method

This section investigates the proposed polynomial filtering method for geometry and gradient approximation numerically. To this extend the case of a cylinder with simulated measurement uncertainty is considered. The cylinder is parametrized with a unit curvature of $\kappa_1 = 1$, its diameter is $\frac{2}{\kappa_1}$ and its height is $\pi \frac{2}{\kappa_1}$. An equidistant grid of n_p points is created on a half of the cylinder surface leading to a discretization (unit distance) of $\delta = \frac{\pi}{\kappa_1(\sqrt{n_p}-1)}$. Together, the curvature and the discretization determine the scale of the problem: $\gamma = \delta\kappa_1$. For constant scale, equivalent fitting problems are obtained. All subsequent results need to be interpreted relative to the scale. This indicates that for surfaces with higher curvature more points are required per length to obtain the same results.

4.1 Geometry approximation

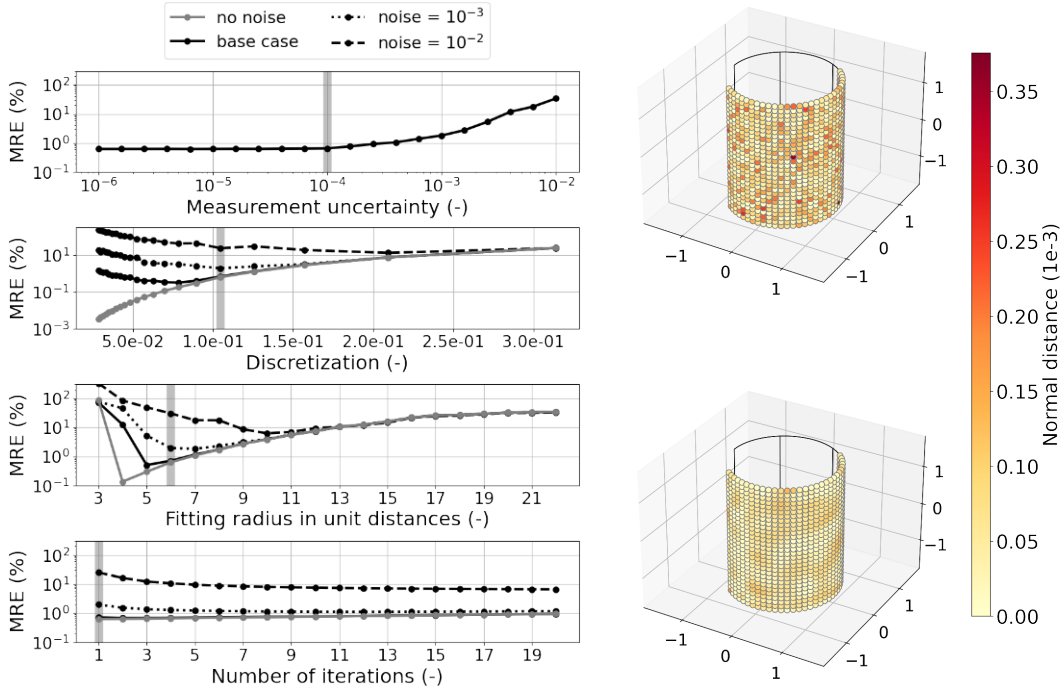
The effects of the parameters measurement uncertainty, discretization, fitting radius, and the number of iterations are tested. Thereby, the measurement uncertainty is assumed to be zero-mean Gaussian noise and is applied to each point in surface normal direction. The fitting radius determines the number of points included in the point-wise approximation and is given in multiples of the discretization size. Since the geometry approximation produces a smoothed point cloud the effects of iterating the approximation procedure is investigated which is indicated by the iterations parameter.

As a single number metric for the algorithm performance the mean relative error of the estimated curvature is employed

$$\text{MRE} = \text{mean} \left(\frac{|\hat{\boldsymbol{\kappa}}_1 - \boldsymbol{\kappa}_1|}{|\boldsymbol{\kappa}_1|} \right) \cdot 100 \%, \quad (20)$$

with $\boldsymbol{\kappa}_1$ the vector of curvature estimates for each point. Other error measures such as the normal distance between true and estimated points or other surface gradients could be used as well but the expectation is that the trend is similar since these quantities are dependent on each other. Generally, the error can be split into two contributions, the approximation error and the random error [3]. The approximation error is the bias of the approximation method and the random error is originating from the noise in the measurement.

The base case consists of a discretization with 900 points, a measurement uncertainty of $1 \cdot 10^{-4}$, a fitting radius of 6 multiples of unit distance and a single iteration. Since often the measurement uncertainty is not precisely known and is influenced by many factors it was chosen to overestimate this parameter for the base case (see [4] for detailed bias and uncertainty derivations and dependencies).



(a) Mean relative error of the curvature estimation for different parameter settings. The parameters for the base case are highlighted by the vertical gray bar.

(b) Spatial distribution of the normal distance for the base case. Points before approximation (top) and after approximation (bottom).

Figure 5: Numerical case: cylinder with uncertainty.

337 The results show that the MRE of the curvature is strongly dependent on the measurement uncertainty
338 with an increased measurement uncertainty leading to an increased MRE (fig. 5a, top plot).
339 However, the base case results in a MRE below 1%. The approximation error (plotted in gray, no
340 noise) decreases steadily with smaller discretization size (fig. 5a, second plot). However, for the cases
341 with noise, an optimal discretization and subsequently an optimal scale exists from where a finer
342 discretization again leads to a higher MRE. For example in the base case, a discretization $\delta = 0.08$
343 is optimal. The increase in MRE with finer discretization is attributed to the random error and is
344 dependent on the amount of noise. With increasing noise levels the optimal scale increases, i.e. a
345 larger part of the surface needs to be covered by the fitting radius.
346 Furthermore, there exists an optimal value of the fitting radius depending on the amount of noise
347 (fig. 5a, third plot). This is a typical trade-off between underfitting (smoothing the data too severely,
348 i.e. a large fitting radius) and overfitting (fitting the noise, i.e. a small fitting radius). While in the
349 base case a fitting radius of 5 times the discretization size performs best, the optimal fitting radius
350 increases with the amount of noise. The result is that a larger part of the surface and thus more
351 points are covered by the fitting radius. Finally, performing multiple iterations reduces the MRE in
352 case noise is present in the data (fig. 5a, bottom plot). Contrarily, multiple iterations are not beneficial
353 in the case without noise which leads to the conclusion that iterating the approximation procedure
354 reduces the random error only.
355 For the base case, the spatial distribution of the normal distance to the true points is shown in fig. 5b
356 before and after approximation. It can be observed that the normal distance is reduced and the distribution
357 is smoothed as a better approximation of the measurement data is obtained. This is true
358 equivalently in the center as well as at the borders of the cylinder domain.
359 For the practical application of polynomial filtering, it is essential to select proper algorithm parameters

ters. Therefore, the measurement uncertainty needs to be determined. Based on this, optimal settings for discretization, fitting radius and number of iterations can be selected from the results of the numerical study and the curvature (which determines the scale). Instead of adapting the parameters locally according to the local curvature, it is suggested to base the selection on the maximum curvature of the component for simplicity.

4.2 Gradient approximation

To study the properties of polynomial filtering for gradient approximation a harmonic displacement field is chosen as representative of a typical measurement of a vibrating surface. The displacement field is applied to the cylinder geometry and it is defined as:

$$s(\alpha, \beta) = A \sin(k^\alpha \pi \alpha) \sin(k^\beta \pi \beta). \quad (21)$$

The displacement field is parametrized by the principal coordinates (circumferential: α , vertical: β), the amplitude A , and the wavenumber k in the respective coordinate direction. In addition to the parameters of the displacement field, the same geometry parameters as in section 4.1 are varied in the parametric study. Instead of applying measurement uncertainty to the point location, the noise is applied to the displacement field. As an error measure, the relative error of the estimated field is applied

$$\text{RE} = \frac{|\hat{\mathbf{s}} - \mathbf{s}|}{\max(|\mathbf{s}|)} \cdot 100 \%, \quad (22)$$

where \mathbf{s} can be the displacement field or the respective gradients. Because the gradients are expected to have zeros crossings the RE is computed relative to the maximum amplitude of the respective field instead of relative to the local amplitude at each point.

The base case parameters for the displacement field are a signal-to-noise ratio (SNR) of 40 dB and wavenumbers of 0.5 in both directions. The other base case parameters are equivalent to the study in section 4.1.

The true and the approximated gradients up to third order are shown in fig. 6 for the base case. For the visualization the fields were evaluated along the vertical coordinate in the middle of the cylinder. A qualitatively consistent approximation is visible for all gradient fields. It can be observed that the RE tends to be increased at the border of the domain (especially for second and third-order gradients). The reason is that at the border fewer and additionally non-symmetric points are available for the computation [31]. Well-known techniques like the usage of ghost points could be applied to reduce the error at the border but this is out of scope in the current study. Furthermore, the RE is increasing with increasing gradient order. This is also visible in fig. 7 where the RE was aggregated into the MRE by averaging over all points. For the spatial averaging, the borders of the domain were excluded up to the fitting radius to discard the influence of the missing points. Figure 7 shows that the MRE is approximately equal for gradients in both coordinate directions, there is no clear influence of the curvature. The MRE for first order gradients is below 0.1 %, for second order gradients below 3 % and for third order gradients below 6.5 %. While the second-order cross gradient ($s_{\alpha\beta}$) shows a slightly larger error than the second order single direction gradients ($s_{\alpha\alpha}$, $s_{\beta\beta}$), the error of the third-order cross gradients ($s_{\alpha\alpha\beta}$, $s_{\alpha\beta\beta}$) is lower than the third-order single direction gradients ($s_{\alpha\alpha\alpha}$, $s_{\beta\beta\beta}$). There is no clear dependency of the MRE on the gradient type but rather it is dependent on the order of the gradient.

For the parametric study, the spatial MRE was further aggregated by averaging over the gradient order to arrive at a single number metric suitable for comparison. The following results are therefore indicating a trend but the magnitude of the error will depend on the order of the gradients. As shown in fig. 8 the results are similar to the findings in section 4.1 for the geometry approximation. Again, the MRE is strongly related to the SNR (fig. 8a, top plot). A finer discretization decreases the

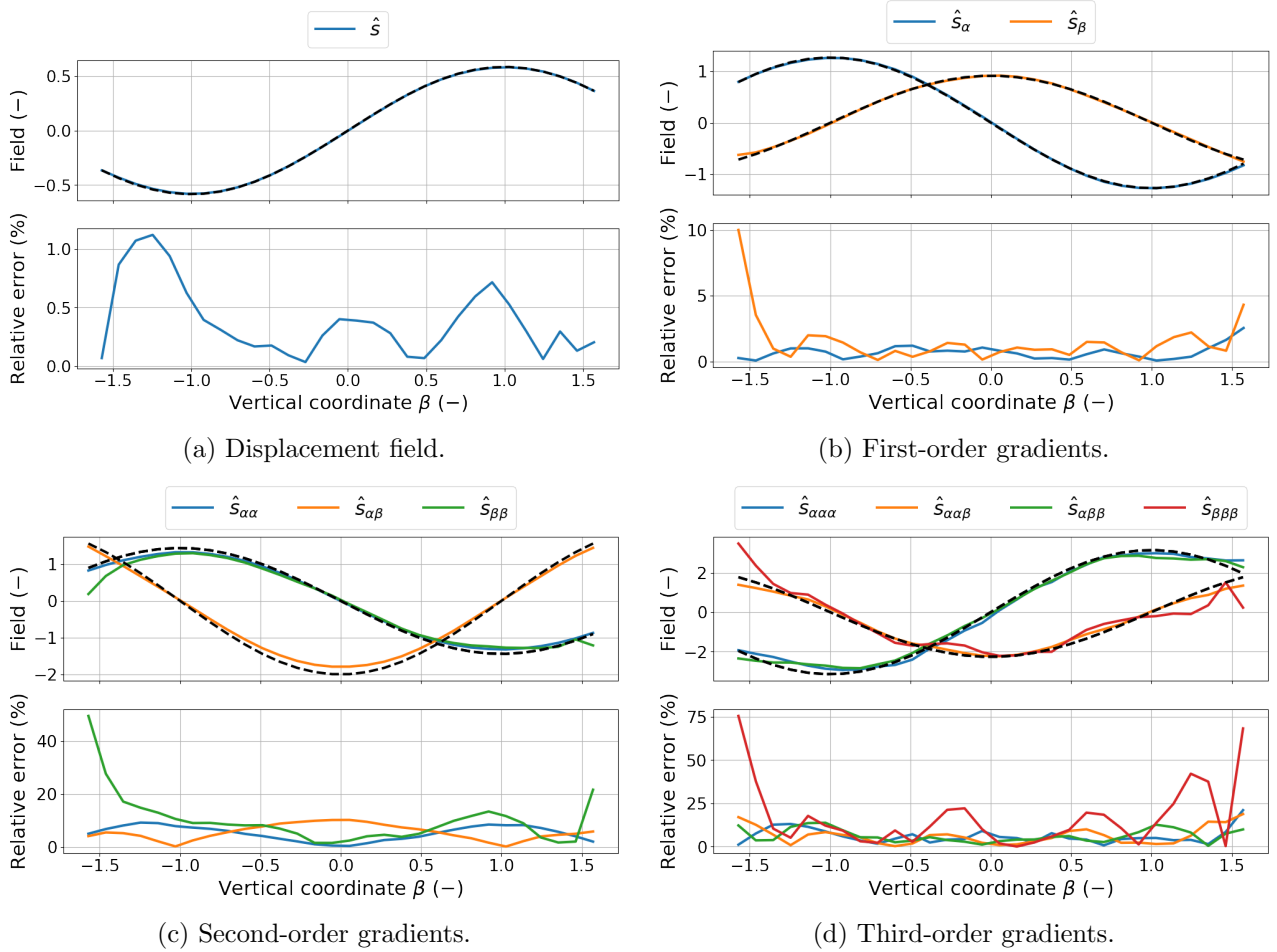


Figure 6: Comparison of true (dashed black lines) and approximated (colored solid lines) displacement and gradient fields. The fields were evaluated in the middle of the cylinder geometry, along the vertical coordinate.

403 approximation error but when a random error is introduced through the noise in the measurement an
 404 optimal discretization and subsequently optimal scale exists (fig. 8a, second plot). Equivalent to the
 405 geometry approximation study a discretization of $\delta = 0.08$ is optimal in the base case. Depending
 406 on the noise level a larger fitting radius, as well as multiple iterations, are beneficial (fig. 8a, third
 407 and bottom plot). For the gradient approximation the minimal MRE is found with 6 times the
 408 discretization size at larger fitting radii than for the geometry approximation. The amplitude of the
 409 displacement field is irrelevant for the approximation error (no noise) but inversely correlates to the
 410 random error for constant noise levels (fig. 8b, top plot). Finally, the approximation error tends to
 411 increase with increasing wavenumber (fig. 8b, middle and bottom plot). The behavior is very similar
 412 for wavenumbers in both directions. It can be interpreted in the sense that the more complex the
 413 displacement field to approximate, the higher the MRE.

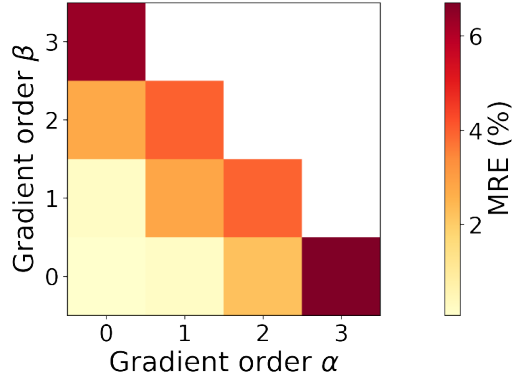
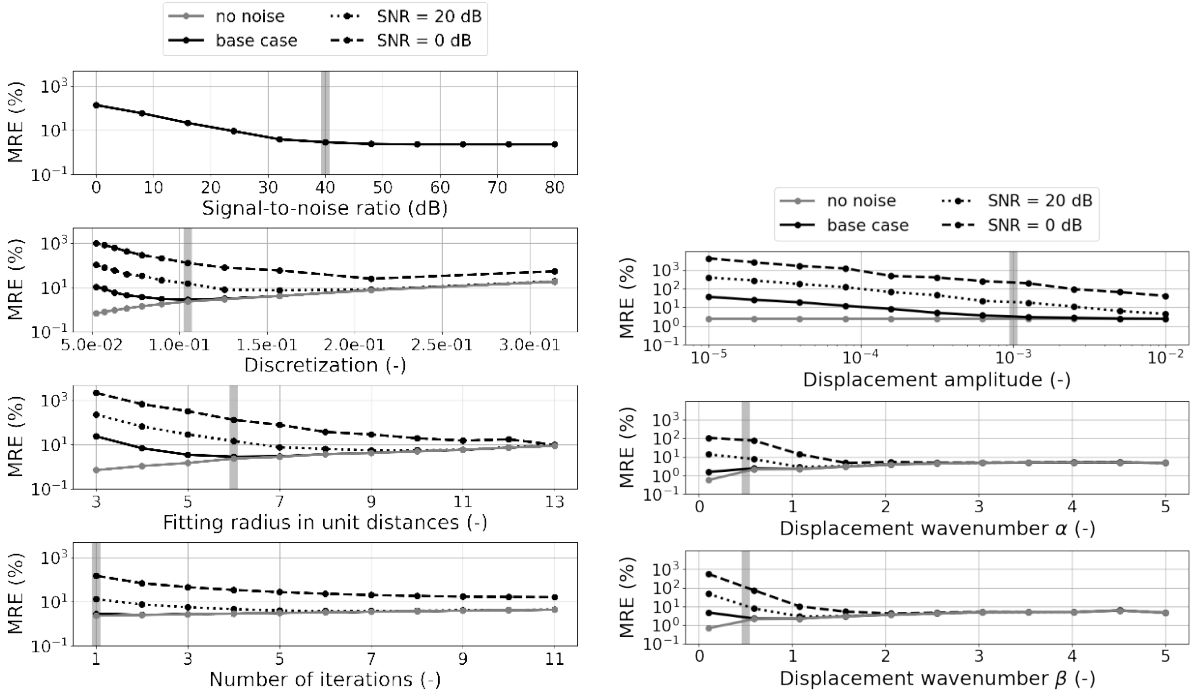


Figure 7: Comparison of spatial mean MRE for all gradient orders.



(a) Mean relative error of the gradient estimation for different parameter settings related to the geometry.

(b) Mean relative error of the gradient estimation for different parameter settings related to the displacement field.

Figure 8: Numerical case: harmonic displacement field with uncertainty on cylinder geometry. The parameters for the base case are highlighted by the vertical gray bar.

414 5 Numerical validation of the structural intensity analysis

415 This section numerically investigates polynomial filtering in combination with camera measurements
 416 for SI analysis of curved plate-like structures. The case of a curved plate-like structure (mockup
 417 oil pan), excited by a point force, is considered. First, the component is analyzed without taking
 418 measurement noise into account to validate the algorithm. Subsequently, the effect of measurement
 419 noise is studied.

420 5.1 Mockup oil pan excited by point force

421 The oil pan has a complex-shaped surface with various regions of different curvatures as well as flat
 422 panels. In industrial applications, the oil pan is known to be a source of acoustic radiation through its
 423 large flat panels [5, 10, 12]. In practice, SI analysis could be used to design the energy flow to avoid
 424 the vibration of these panels.

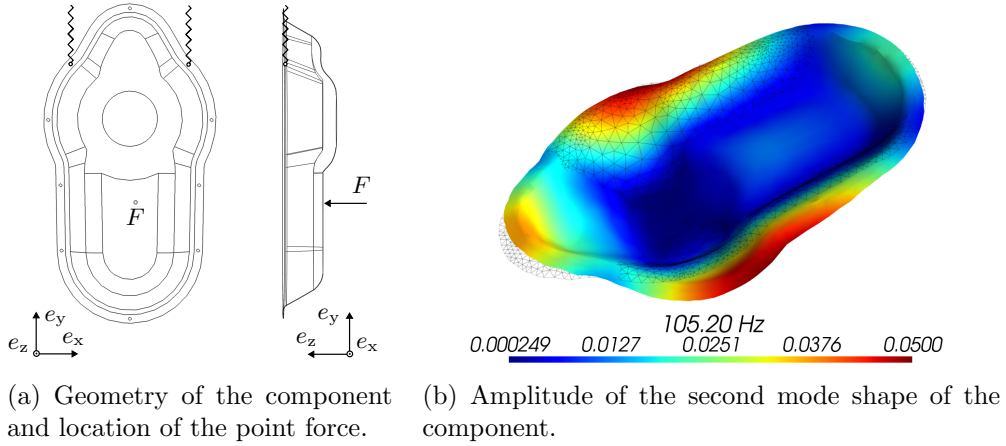


Figure 9: Oil pan example case.

425 The oil pan has dimensions of 0.85 m (length) by 0.46 m (width) by 0.14 m (height). It is subject
 426 to free-free boundary conditions and excited by a point force, see fig. 9. The excitation frequency is
 427 selected to be close to the second mode shape of the component, where large vibration amplitudes are
 428 expected. Since there is no energy sink present, the expectation is to observe circular SI flows within
 429 the structure and energy dissipation through internal losses.

430 For the analysis the component's parameters are assumed to be homogeneous (thickness of 1.7 mm,
 431 Young's modulus of $217 \cdot 10^9$ Pa, density of 7850 kg/m^3 , Poisson number of 0.3 and structural damping
 432 of 0.001.). The point cloud is generated by a reference FE-simulation which is also used as a bench-
 433 mark for the SI evaluation. Shell elements with 6 degrees of freedom (DOF) per node (3 translation
 434 and 3 rotation) are used to model the component. The discretization size is 3 mm leading to a total
 435 of 305 466 DOFs or 50 911 nodes forming the point cloud.

436 With a maximum curvature of $|\kappa_1| = 75$ the maximum scale of the example case is $\gamma = 0.225$. Since
 437 no measurement uncertainty is applied, the scale is larger than the optimal value from section 4.1 and
 438 section 4.2. This is chosen deliberately to examine the robustness of the overall SI computation with
 439 respect to the discretization.

440 For the geometry approximation and the gradient approximation a fitting radius of 4 times the dis-
 441 cretization size is selected. The resulting principal coordinates, as well as principal curvatures, are
 442 visualized in fig. 10 and fig. 11. It is observed that the first principal direction (red arrow in fig. 10) is
 443 consistently aligned with the direction of maximum curvature and regions of constant curvature are
 444 visible as such. Regarding the first principal curvature, fig. 11a, slight deviations are visible as wavy

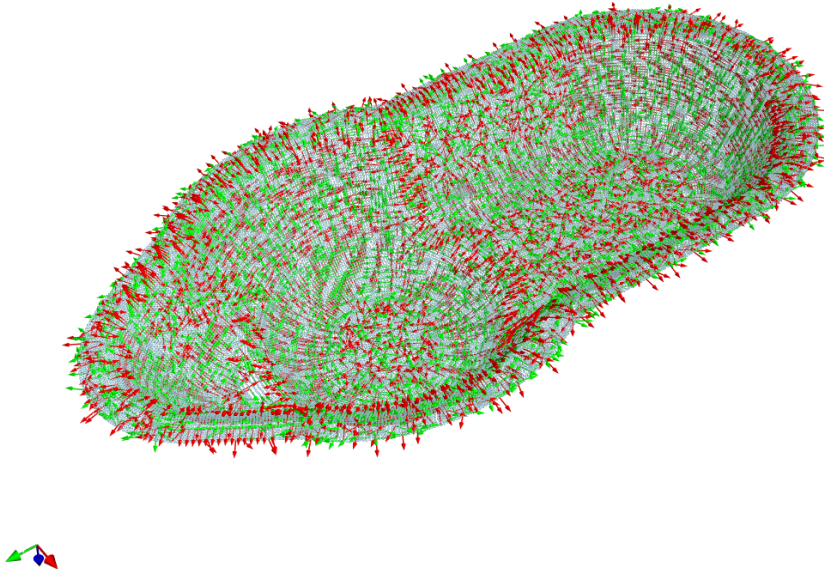


Figure 10: Estimated principal coordinates of the oil pan, numerical case. For clarity only every tenth principal coordinate system is visualized (red: α -direction, green: β -direction).

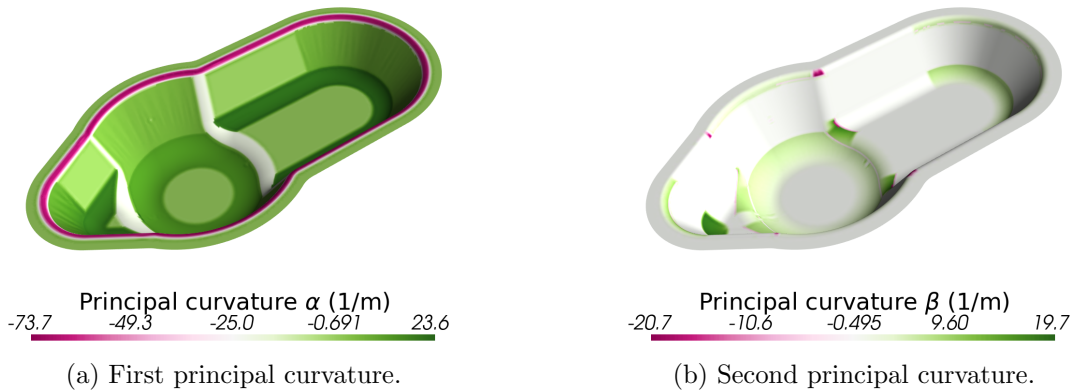


Figure 11: Estimated principal curvatures of the oil pan, numerical case.

445 patterns in some regions. These are artifacts of the polynomial approximation, however, as the SI
 446 results below show, there is no substantial effect on the evaluated SI field.

447 Figure 12 shows the SI evaluation with polynomial filtering in comparison to an evaluation directly
 448 based on the forces and moments from the FE-simulation. Overall, there is a good agreement between
 449 the SI fields both qualitatively and quantitatively. From the source location, the main energy flow
 450 goes towards the rim of the component (area of the largest displacement) and circulates. Discrepancies
 451 between the FE-result and the proposed processing can be noted in areas of curvature discontinuities
 452 (e.g. at the rim or the bottom of the component). Since curvature discontinuities cannot be repre-
 453 sented by the fitted polynomial the approximated quantities in these areas are smoothed out. As a
 454 result, the discrepancies enter the SI equations, eq. (2), and lead to unreasonably high SI amplitudes.
 455 However, the effect is reduced the smaller the curvature, which due to the constant discretization size
 456 is equivalent to a smaller local scale.

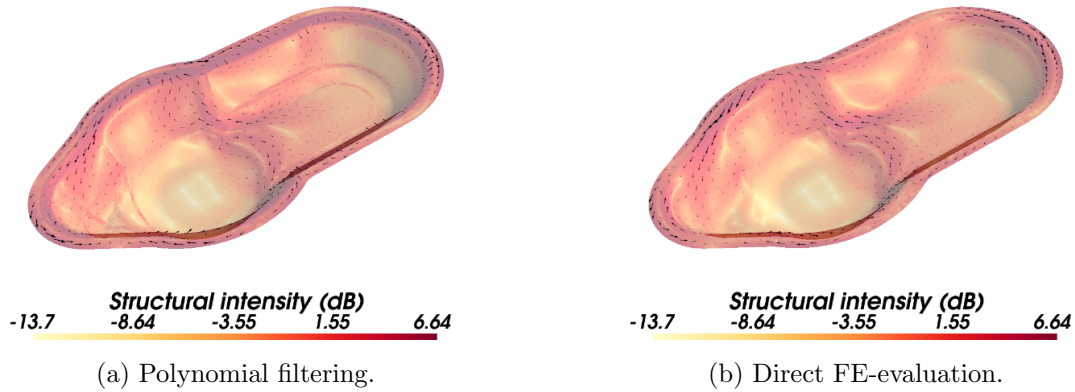


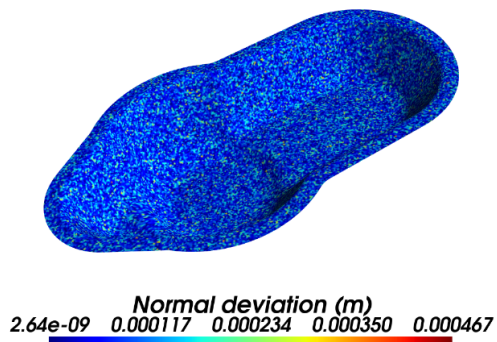
Figure 12: Comparison of SI for the mockup oil pan, numerical case.

457 5.2 The effect of measurement noise

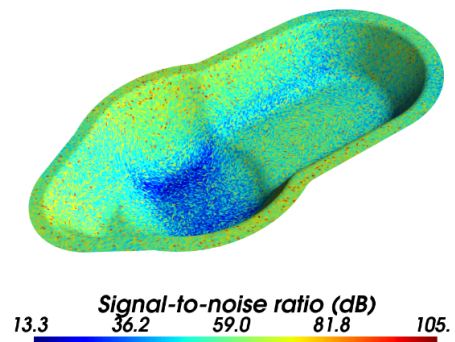
458 Three numerical test cases are evaluated to showcase the proposed approach under more realistic
 459 measurement conditions. Therefore, data from section 5.1 is used and noise is applied before the
 460 processing step. In the first case Gaussian noise with a standard deviation of 0.1 mm was applied to
 461 the geometry in the surface normal direction (cf. base case in section 4.1, see fig. 13a). In the second
 462 case, Gaussian noise is applied to the displacement field such that a nominal SNR of 44 dB is obtained.
 463 Since the noise is homogeneously applied to the whole component, regions with lower displacement
 464 amplitudes will be affected by a lower SNR, which is shown in fig. 13b. In the third case, both noise
 465 sources were included.

466 The result of the SI evaluation, fig. 13, shows significant impairment of the SI field for all three cases.
 467 Qualitatively, the impairment is greater if the noise is applied to the displacement field (or if both
 468 noise sources are present). The appearance of a noise floor can be observed, which is predominantly
 469 visible in regions of low SI magnitude. While the SI magnitude can hardly be used to deduct design
 470 decisions, the orientation of the vector field still is plausible except at the large curvature region of
 471 the rim.

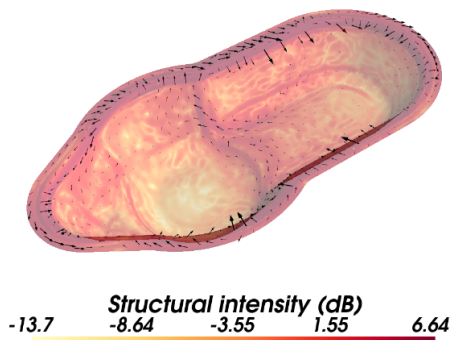
472 The results indicate that a high accuracy of the geometry measurement, but predominantly a high
 473 SNR of the displacement field are required for a successful SI analysis. Further research is necessary
 474 to quantify the acceptable noise level and develop a suitable error metric for the comparison of the SI
 475 fields. In combination with the requirement of a sufficiently fine scale, this renders widely applicable
 476 SI measurements on curved plate-like structures with polynomial filtering a challenging endeavor.



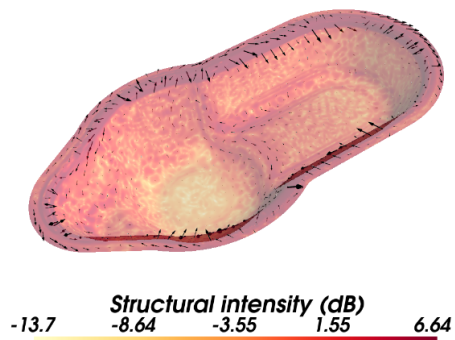
(a) Geometry deviation in surface-normal direction.



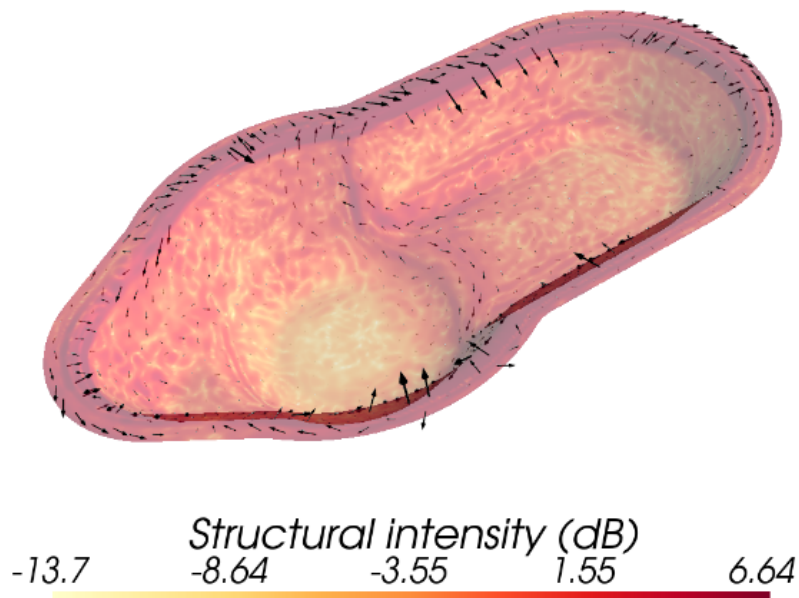
(b) SNR of the displacement field.



(c) SI evaluated based on noisy geometry.



(d) SI evaluated based on noisy displacement field.



(e) SI evaluated based on noisy geometry and noisy displacement field.

Figure 13: Comparison of numerical SI under the influence of noise.

6 Experimental validation of the structural intensity analysis

Based on the results of the previous section, this section investigates camera-based SI analysis through polynomial filtering experimentally. First, the simplified case of a flat plate is considered, and second, the SI of the mockup oil pan as a curved plate-like structure is evaluated.

6.1 Structural intensity of a clamped, flat plate

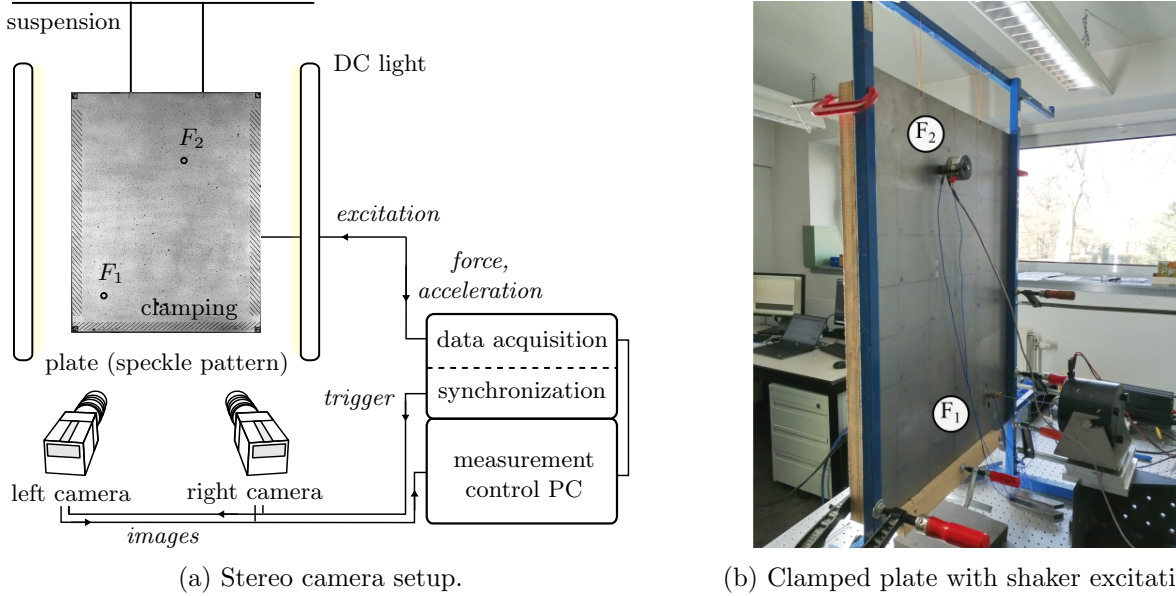


Figure 14: Flat plate experimental setup.

The SI flow through a rectangular, flat plate is considered. The plate has dimensions of 0.66 m by 0.86 m, thickness 1 mm and is made of steel with the homogeneous material parameters: Young's modulus of $210 \cdot 10^9$ Pa, density of 7893 kg/m^3 , Poisson number of 0.3 and structural damping of 0.01. For the camera measurement, a speckle pattern is applied with spray paint (1 mm average speckle size). In the setup the plate is clamped along three edges and suspended by ropes at two points. The ropes restrain the vibration of the top edge, creating complex boundary conditions (see fig. 14). The excitation is applied in parallel with a stationary (F_1) and an inertial shaker (F_2). Depending on the phase relation of the shakers, the excitation frequency, and the deformation of the plate one of the shakers will inject energy into the system and the other one will remove energy out of the system. Two arbitrary frequencies were selected for the evaluation: 21.5 Hz (measured relative phase of the shakers: 0.39π) and 41.5 Hz (measured relative phase of the shakers: 0.52π).

A stereo camera system consisting of a *Ximea xiB-64 CB120CG-CM-X8G3* and a *JAI SP-12000-CXP4* camera is employed to measure the 3D displacement field (see fig. 14a). Both cameras operate on alike sensors with 12 Mpx resolution and 8 bit bit-depth. The maximum spatial resolution of 4096×3072 px with an average conversion factor of 3.98 px/mm (3.98 px per speckle) was selected. For this study a frame-rate of 100 fps was used and the displacement was evaluated with a window size of 25 px and on a uniform grid of 66×86 points leading to a discretization size of 0.01 m.

The measurement uncertainty was evaluated from a recording of the resting plate to approximately $1 \mu\text{m}$. In accordance with the results of the numerical studies a slightly over-smoothing kernel radius of 7 times the discretization size is selected for the processing. First, principal coordinates and surface derivatives are obtained from polynomial filtering for geometry approximation, see fig. 15. The processing reveals that the plate is not perfectly flat but slightly curved with maximum curvature of 0.02. However, the curvature is sufficiently small to be practically neglected.

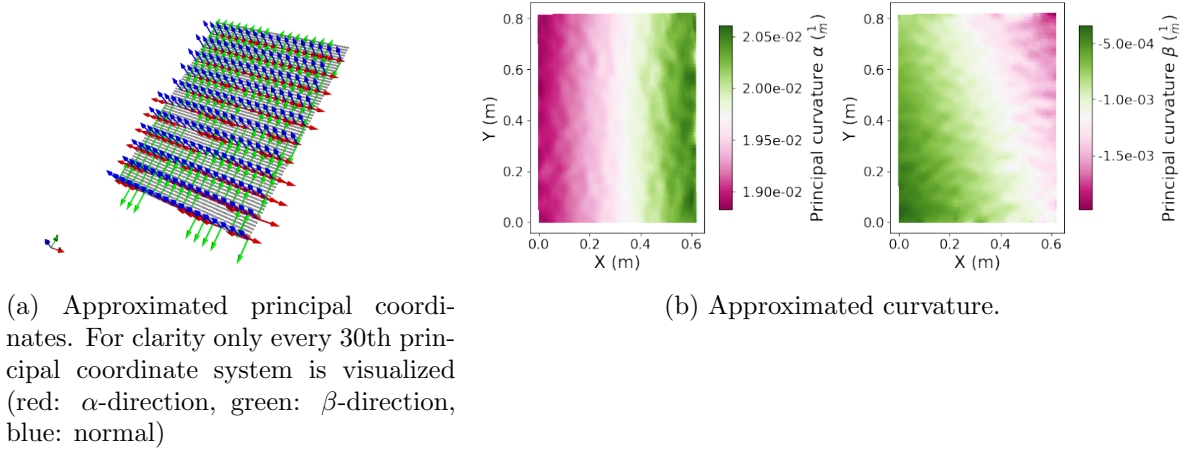


Figure 15: Geometry approximation of the flat plate.

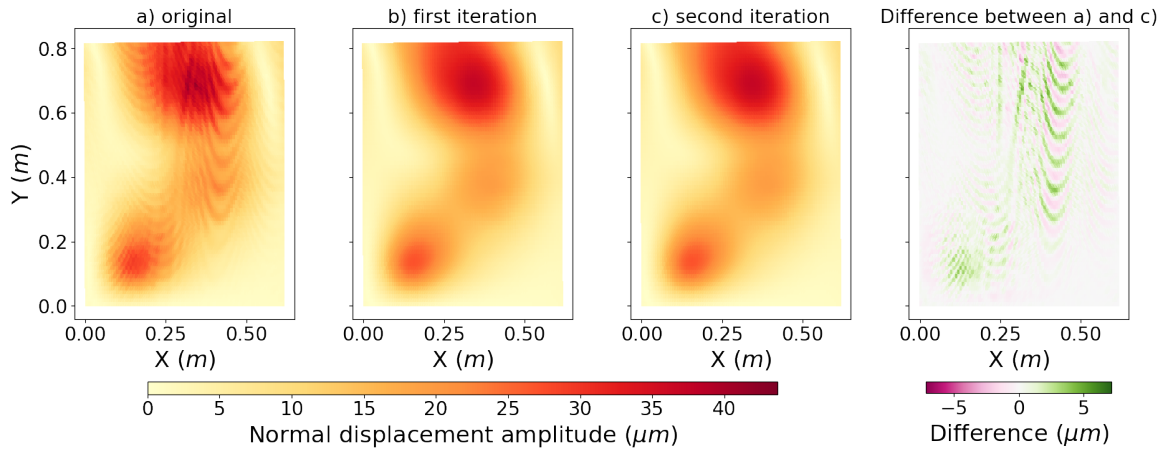


Figure 16: Normal displacement, original and smoothed fields at 21.5 Hz.

505 Next, the gradients of the displacement field are approximated by polynomial filtering with the same
 506 fitting radius. To reduce noise as well as artifacts originating from spatial aliasing of the optical
 507 flow method the processing was applied two times. The original normal displacement field, as well
 508 as the approximated versions are visualized in fig. 16 for 21.5 Hz. Aliasing is visible in the original
 509 displacement field in form of high-wavenumber fringe patterns but already after the first polynomial
 510 filtering iteration, this effect has vanished. While the amplitude of the normal displacement is in
 511 the range of $40 \mu\text{m}$ (SNR of 32 dB) both in-plane components are with $1 \mu\text{m}$ in the range of the
 512 measurement uncertainty and are therefore neglected in the further processing.

513 Finally, the SI field is evaluated. The results, along with a reference FE-simulation are depicted in
 514 fig. 17 for 21.5 Hz and 41.5 Hz. For the visualization of the vector field the arrows are clustered together
 515 for readability. In the FE-simulation, the boundary conditions of the plate were mimicked and the
 516 measured values of the shaker forces were applied as input. Similar to the oil pan in section 5, the
 517 FE-model of the plate consists of shell elements. It is discretized equivalently to the measurement,
 518 leading to 34 056 DOFs. Qualitatively and quantitatively the measured SI fields agree reasonably well
 519 with the FE-prediction. At 21.5 Hz the top shaker acts as an energy source and a direct transfer path
 520 to the bottom shaker, which acts as an energy sink, is visible. At 41.5 Hz the situation is reversed
 521 and the transfer path is influenced by vortices emerging at the top right and bottom left of the plate.
 522 While the FE-prediction shows no SI flow at the top border of the plate in the experiment small flows
 523 can be observed. These flows originate from the imperfect boundary conditions which could not be

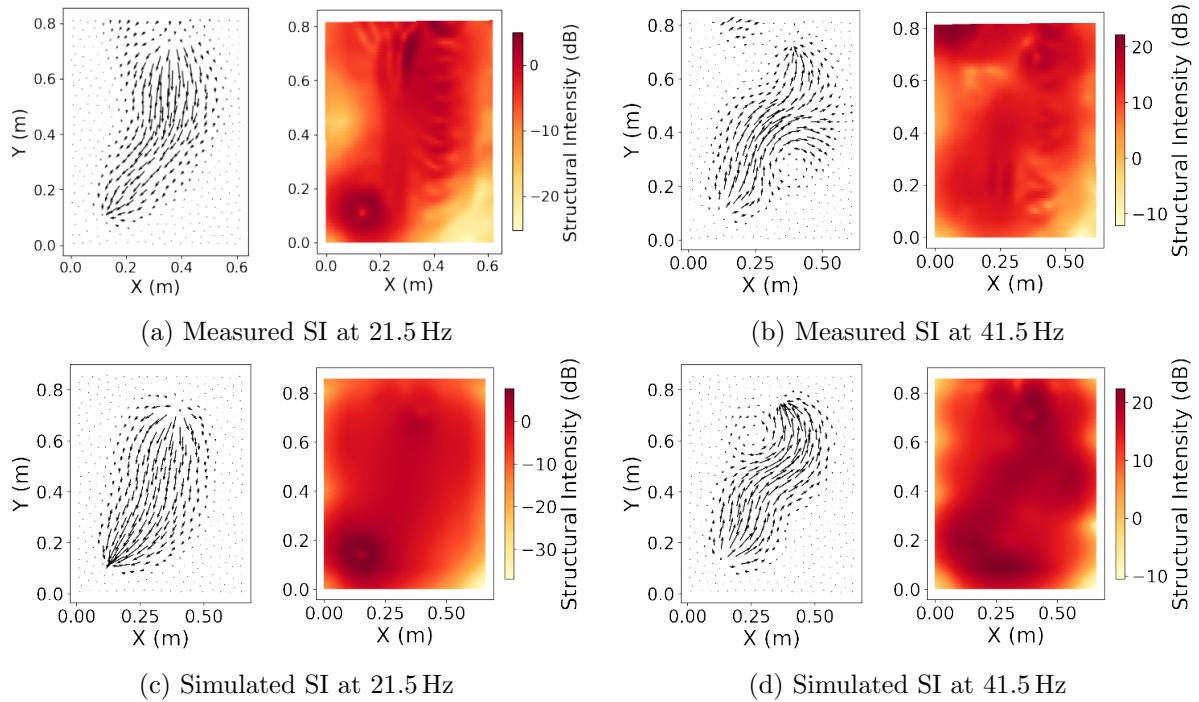


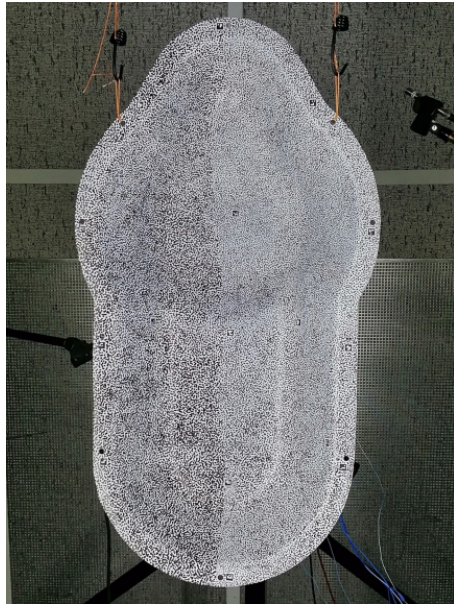
Figure 17: Comparison of measured and simulated SI. Each subfigure contains a visualization of the orientation of the SI vector (left) next to a plot of the magnitude of the SI field (right).

524 modeled in the FE-simulation. Also, due to the amplification through taking derivatives, there are
 525 still effects of the spatial aliasing visible in the experimental results.

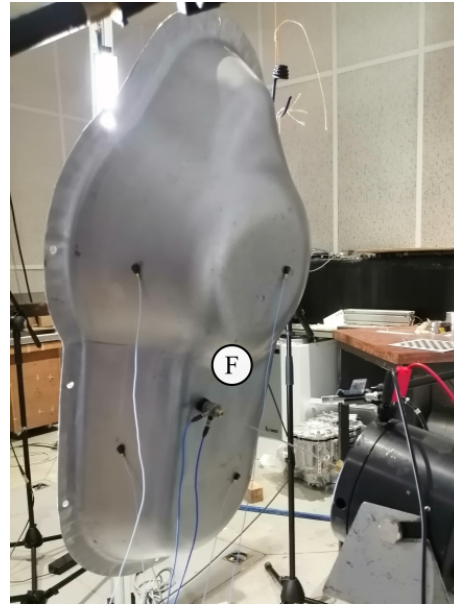
526 6.2 Structural intensity of a freely suspended oil pan

527 An experimental SI analysis is conducted on the mockup oil pan component, which was introduced in
 528 section 5.1. Thereby, an equivalent camera measurement setup as for the flat plate in section 6.1 is
 529 used. The oil pan is suspended with two elastic cords to approximate free-free boundary conditions,
 530 see fig. 18a. A shaker, attached through a stinger, is used to excite the component as shown in fig. 18b.
 531 To increase the SNR, single frequency harmonic excitation is applied. As a measurement preparation,
 532 the inner side of the oil pan is coated with white spray paint in order to avoid reflections from the silver
 533 material and improve the contrast. In a second step, a speckle pattern is generated manually with a
 534 black marker, see fig. 18a. The speckle size is approximately 3 mm. The spatial resolution of the stereo
 535 camera system is set to 2016 x 1220 px with an average conversion factor of 1.9 px/mm (5.7 px per
 536 speckle). The spatial resolution is reduced compared to the nominal values of the cameras in favor of
 537 an increased frame- rate of 260 fps, which allowed to cover the first two eigenfrequencies of the system.
 538 The displacement field is evaluated with a window size of 25 px at 12 541 dense, evenly distributed
 539 points on the oil pan surface. Thereby, an average discretization size of 4.52 mm is obtained, leading
 540 to a maximum scale of $\gamma = 0.339$ (maximum curvature $|\kappa_1| = 75$).

541 For the processing, a fitting radius of 7 times the discretization size is used. Moreover, polynomial
 542 filtering was applied in three iterations to reduce the random error for each geometry and displacement
 543 processing. The resulting principal coordinates are visualized in fig. 19. Similar to the numerical
 544 case, the first principal direction is consistently oriented in the direction of the maximum curvature.
 545 In fig. 20, the main curved regions are clearly visible. However, overall the curvature is spatially
 546 smoothed due to the coarser discretization of the point cloud, the increased fitting radius and multiple
 547 iterations. The discontinuities in curvature in fig. 20a and fig. 20b can be explained by a change in
 548 the order of the magnitude of the principal curvatures.



(a) Oil pan front side with speckle pattern.



(b) Oil pan backside with shaker excitation.

Figure 18: Oil pan experimental setup.

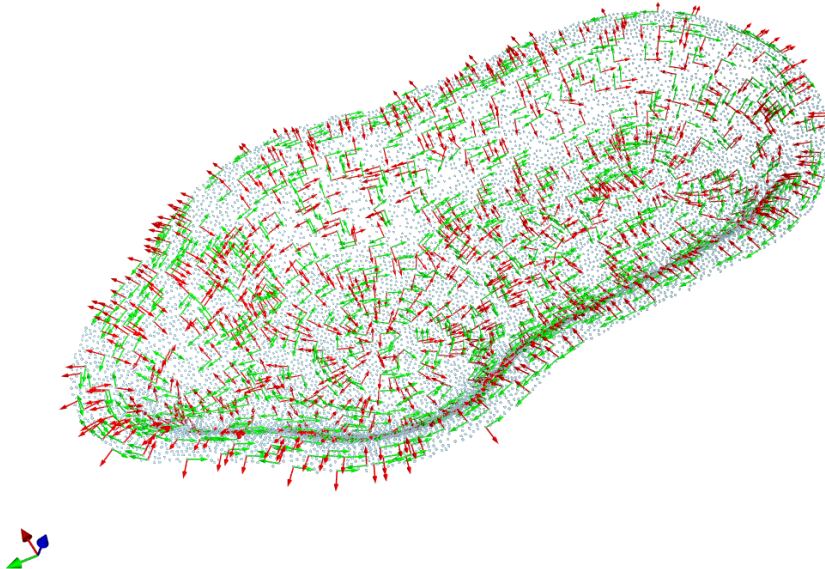


Figure 19: Estimated principal coordinates of the oil pan, experimental case. For clarity only every tenth principal coordinate system is visualized (red: α -direction, green: β -direction).

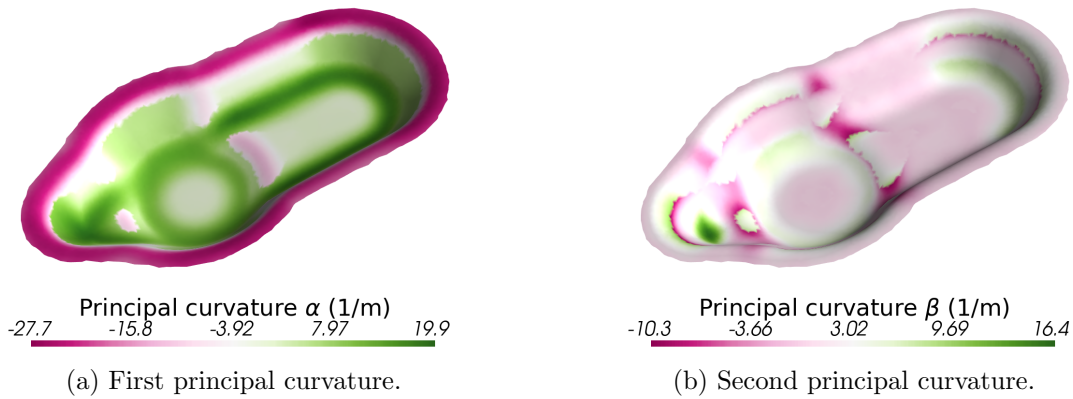


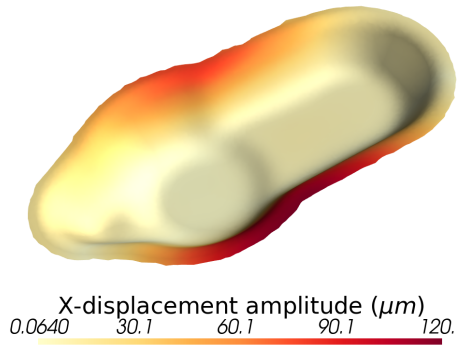
Figure 20: Estimated principal curvatures of the oil pan, experimental case.

549 In accordance with the previous numerical studies, the displacement field is evaluated at 105 Hz, close
550 to the second eigenfrequency of the system. All three components of the global displacement field after
551 polynomial filtering and their difference to the original measurement data is shown in fig. 21. The
552 maximum amplitude of the vibration is 0.14 mm, predominantly oriented in global x- and z-direction.
553 The measurement uncertainty is estimated from a recording of the resting structure, leading to a mean
554 SNR of 43 dB, 46 dB and 32 dB for the x-, y-, and z-displacement component. Through the gradient
555 approximation, a notable improvement in measurement quality can be observed. As the difference
556 field in fig. 21 indicates, the z-direction is mostly affected by noise which is in accordance with the
557 expectation of increased measurement uncertainty in the camera out-of-plane direction [4]. Also, the
558 noise is increased in the inclined regions of the surface which were viewed by the camera at an angle.
559 Locally, the difference reaches nearly 0.1 times amplitude of the displacement field.

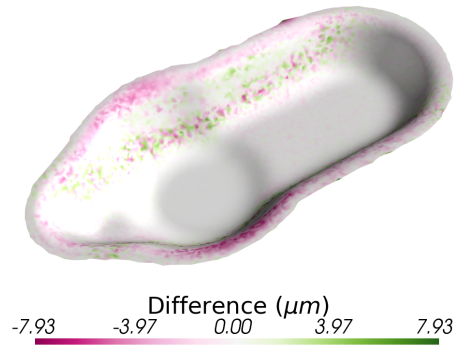
560 Based on the complete smoothed displacement field and the approximated gradients, fig. 22a shows
561 the resulting SI. It is observed that the highest SI magnitude is located at rim of the component where
562 the displacements are largest. This is similar to the numerical study, fig. 12. There is a consistent SI
563 flow to and away from the rim, however, the orientation pattern is clearly different from the numerical
564 study and the location of the source is not visible.
565 Several influence factors could be responsible for the deviation from the numerical study: processing
566 parameters, measurement noise and modeling assumptions.

567 **Processing parameters** As discussed for the geometry approximation in the experimental case,
568 the selected polynomial filtering parameters, which were chosen to reduce measurement noise, resulted
569 in bias. Both the estimated surface gradients and the estimated gradients of the displacement field
570 are smoothed. This is due to the coarser discretization, the increased fitting radius and the use of
571 more iterations as compared to the numerical study, see section 5.1. The minimum discretization size
572 is imposed by the measurement and depends in the first place on the spatial resolution of the camera
573 system. Clearly, the scale is with $\gamma = 0.339$ not sufficiently small to resolve the curved areas accurately
574 (the scale in the numerical case was $\gamma = 0.225$ and in the cylinder base case $\gamma = 0.08$).

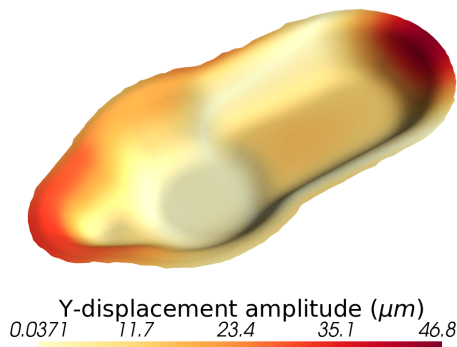
575 **Measurement noise** Even though polynomial filtering reduces the measurement noise, the numer-
576 ical differentiation is still amplifying the uncertainty. Therefore, the noise propagation through the
577 required spatial gradients can have a severe effect on the evaluated SI. While filtering is one aspect for
578 noise reduction, the source lies in the accuracy of the measurement system. Especially, the inclined re-
579 gions of the oil pan, where increased noise was observed, show an unreasonably high SI amplitude. the
580 influence of measurement noise also depends on the vibration amplitude, which is problem-dependent.



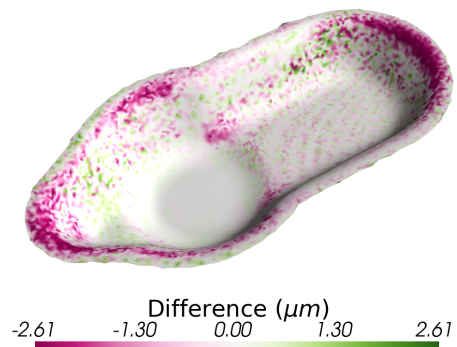
(a) Noise-reduced X-displacement.



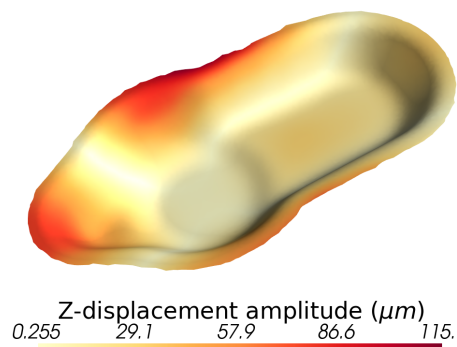
(b) Difference of noise-reduced and raw X-displacement.



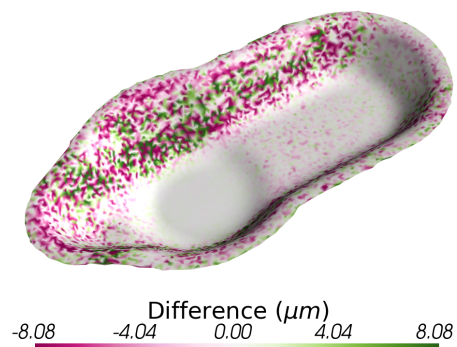
(c) Noise-reduced Y-displacement.



(d) Difference of noise-reduced and raw Y-displacement.



(e) Noise-reduced Z-displacement.



(f) Difference of noise-reduced and raw Z-displacement.

Figure 21: Displacement field of the oil pan field at 105 Hz.

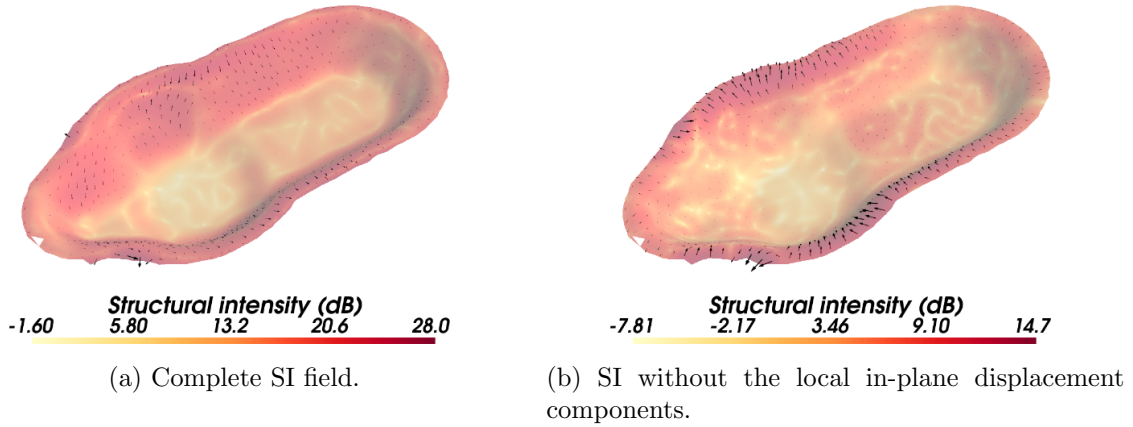


Figure 22: Experimental SI analysis.

581 **Modeling assumptions** Another reason for the deviation of the experimental SI field from the
 582 numerical results could be that the assumption of homogeneous material properties is invalid. It is
 583 well-known that deep-drawn components like the mockup oil pan are subject to local hardening and
 584 thickness variations [5]. Specifically, for the mockup oil pan it was shown that an updated patch-
 585 wise distribution of thickness and Young's modulus significantly improves the match between FE-
 586 simulations and reference measurements [28]. In the present paper, homogeneous material parameters
 587 were assumed as a simplification to avoid incorporating spatial derivatives of the material parameter
 588 distribution in the SI computation. Moreover, in practice for a SI analysis on an unknown component
 589 it is difficult to determine the material parameter distribution experimentally.

590 In contrast to evaluating the full SI field, neglecting the local in-plane displacement components (i.e.
 591 neglecting the normal and in-plane shear forces) reveals the source location, see fig. 22b. A possible
 592 reason is that the local in-plane displacement components are much smaller than the out-of-plane
 593 component and therefore more susceptible to noise. This renders the evaluation of the normal forces
 594 more difficult than the moments and transverse shear forces.

595 **7 Conclusion**

596 In the present paper, a mesh-free, polynomial filtering approach for the computation of structural
597 intensity based on camera measurements for curved surfaces is proposed. The approach consists of
598 a geometry and a gradient approximation step and relies on the Kirchhoff-Love plate theory. From
599 numerical studies, it was shown that the optimal processing parameters, spatial discretization and
600 fitting radius are dependent on the amount of noise in the measurement. A scale was introduced to
601 apply the results independent of the curvature. Furthermore, the approach can be used in multiple
602 iterations as a smoothing filter for the geometry as well as for the displacement field.

603 Polynomial filtering was validated experimentally in the case of a flat plate under shaker excitation
604 and the results agree well with reference finite element simulations. Source, sink, and energy transfer
605 paths could be identified at the two analyzed frequencies.

606 For generalization to curved surfaces, numerical and experimental studies on a mockup oil pan com-
607 ponent under point force excitation were conducted. In the numerical case, valid results were obtained
608 when no noise was applied. Through the introduction of noise on the geometry or the displacement
609 field, impairment of the structural intensity field was observed. Nevertheless, it was shown that
610 qualitative indications remain possible. An experimental campaign on the mockup oil pan produced
611 realistic displacement fields and the smoothing abilities of the proposed approach could be demon-
612 strated. However, the resulting structural intensity field is difficult to interpret and both, measurement
613 noise and material inhomogeneities lead to a deviation from the numerical results.

614 The present paper presents an initial study on the development of a "structural intensity camera" for
615 observation of the structural intensity flow in an equivalent manner as an acoustic camera allows the
616 localization of acoustic sources. Several challenges remain to be solved in future research to realize such
617 a device for general industrial components: sufficient signal-to-noise ratio (technological improvement,
618 advanced noise reduction), treatment of inhomogeneous material properties or thickness, transition to
619 time domain structural intensity analysis, and real-time capable processing algorithms.

620 **8 CRediT authorship contribution statement**

621 Felix Simeon Egner: Conceptualization, Methodology, Software, Investigation, Writing - Original
622 Draft. Luca Sangiuliano: Conceptualization, Methodology, Writing - Review & Editing. Régis Fabien
623 Boukadia: Methodology, Writing - Review & Editing. Sjoerd van Ophem: Conceptualization, Su-
624 pervision, Methodology, Writing - Review & Editing. Wim Desmet: Conceptualization, Supervision.
625 Elke Deckers: Conceptualization, Supervision, Methodology, Writing - Review & Editing.

626 **9 Declaration of competing interest**

627 The authors declare that they have no known competing financial interests or personal relationships
628 that could have appeared to influence the work reported in this paper.

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