- 1 TITLE
- 2 Rodent models based on endolysosomal genes involved in Parkinson's Disease
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- 15 Abstract
- Genes associated with endolysosomal function have been recently associated with familial Parkinson's disease and described as risk factors for sporadic cases. This indicates that deficits in this pathway
- predispose to parkinsonism. In order to better understand the role of these genes in disease development, rodent models have been created by targeting genes playing a role in endolysosomal
- function, such as LRRK2, DNAJC6, SYNJ1, VPS35, GBA1, ATP13A2 and TMEM175. Here we review the
- 21 latest findings describing parkinsonian features in these animal models secondary to endolysosomal
- dysfunction. Also, we provide suggestions for further development and application of these animal
- 23 models to better understand the contribution of endolysosomal dysfunction in Parkinson's disease and
- 24 provide novel models for testing therapeutic approaches.
- 25

26 HIGHLIGHTS

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- Genes involved in the endolysosomal system confer risk for developing PD
 - Animal models based on PD endolysosomal genes replicate relevant PD phenotypes
- Gene- and environment- interaction studies using these models are emerging
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New endolysosomal based models will help for a better understanding of PD pathology

37 INTRODUCTION

The pathogenesis of Parkinson's disease (PD) is multifactorial, with multiple pathways converging to cause a progressive and age-dependent loss of nigral dopaminergic (DA) neurons. The etiology is complex and involves an interplay between genetic and environmental factors that creates a context permissive for neurodegeneration [1]. Familial PD with Mendelian inheritance of genetic mutations represents 5-10% of cases, while genome-wide association studies (GWAS) have revealed multiple genetic risk factors that contribute to risk of sporadic PD [2]. These findings highlight genetic susceptibility as an important factor for the development of the disease.

Interestingly, many of the genes associated with risk for developing PD converge on the endolysosomal system. In particular, genes associated with familial cases of PD have been associated with various functions across the endolysosomal pathway, including clathrin-mediated trafficking and synaptic vesicle endocytosis, endolysosomal trafficking, and lysosomal function (Figure 1). In addition, recent meta-analysis of GWAS confirm that autophagy-lysosomal genes confer increased risk for sporadic PD [3]. Furthermore, a separate study found an important burden of lysosomal storage disorder gene variants in relation with risk of developing PD [4].

These findings suggest that endolysosomal dysfunction plays an important role in PD-related neurodegeneration. With this understanding, new animal models have been generated trying to replicate parkinsonism secondary to endolysosomal dysfunction. In this review, we will provide an overview of the genetic rodent models based on endolysosomal genes that are available till date (genes highlighted in Figure 1), focusing on the most recent findings. Also, we will discuss the successes and limitations of these approaches, as well critically remark directions that can be further undertaken to provide a better understanding of these genes in PD pathology.

59 CLATHRIN-MEDIATED ENDOCYTOSIS

Defects in clathrin-mediated trafficking and synaptic vesicle endocytosis have been associated with
 the pathogenesis of PD via mutations in the genes DNAJC6 and SYNJ1 (Figure 2).

62 DNAJC6 encodes auxilin, that functions in coordination with Hsc70 to mediate uncoating of clathrin-63 coated vesicles. Mutations in auxilin, including R927G and T741T, have been associated with early-64 onset autosomal recessive PD, and are predicted to contribute to disease pathogenesis via loss of 65 function mechanisms [5]. Auxilin-dependent neurodegeneration may involve deficits in uncoating of 66 clathrin-coated vesicles and the endocytic pathway at the soma or synaptic terminals. A recent 67 preprint describes the phenotype of mice carrying a knock-in (KI) mutation in auxilin (R857G) at a site 68 mimicking the human pathogenic R927G mutation. Interestingly, these mice develop age-dependent 69 motor dysfunction in association with seizures, replicating the behavioral spectrum found in patients 70 [6]. In addition, the mice develop lipofuscin-like accumulations in the nigrostriatal pathway and a 71 decreased number of presynaptic synaptic vesicles, although no nigral DA cell loss nor loss of striatal 72 DA terminals was present until 12 months of age.

Synaptojanin-1 (SYNJ1), similar to auxilin, plays a role in the uncoating of clathrin-coated vesicles. As is the case of auxilin mutations, synaptojanin-1 mutations (R258Q, R459P) have been associated with early-onset autosomal recessive PD [7]. Knock-in (KI) mice carrying the R258Q mutation in synaptojanin-1 at the corresponding position in the mouse protein, develop behavioral features reminiscent of synaptojanin-1 mutations in patients, including motor coordination defects and seizures [8]. The mice developed accumulation of clathrin-coated vesicles at synapses and reduction of synaptic

- 79 vesicles. While no DA neurodegeneration could be observed in the substantia nigra (SN) pars compacta
- 80 (SNpc) at 8 months of age, the authors document the presence of dystrophic changes in DA-ergic nerve
- 81 terminals in the dorsal striatum [8]. Although this model is interesting to study the impact of the R258Q
- 82 mutation, its applicability is limited due to the lack of nigral cell loss and the high mortality which is 83 possibly linked to the development of early seizures (only 60% of mice survived until adulthood). More
- recently, the phenotype of SYNJ1 +/- mice has been described as a model of synaptojanin-1
- 85 haploinsufficiency. These mice were found to develop PD-related changes including age-dependent
- 86 loss of DA-ergic terminals, accumulation of α -synuclein phosphorylated at serine 129 (Ser129-P- α -
- 87 synuclein) in aged (18 month old) mice in various regions of the brain, including SN, and development
- 88 of age-dependent motor dysfunction [9]. These changes occurred again in the absence of nigral DA cell
- 89 loss, as evaluated at 18 months of age.

90 RETROMER FUNCTION

- 91 VPS35 is a subunit of the retromer complex, and as such regulates the recycling of cargo proteins from
- 92 early endosomes to the trans-Golgi network or the plasma membrane (Figure 2). Several mutations in
- 93 VPS35 have been associated with autosomal dominant familial forms of PD implicating retromer
- 94 dysfunction in parkinsonism, with D620N being the most common identified mutation that has been
- 95 confirmed as pathogenic [10].
- 96 Various rodent models have been developed to explore the pathogenicity and mechanisms of the 97 VPS35 D620N mutation. Viral vector-mediated overexpression of human VPS35 D620N to the SN of 98 rats was found to lead to nigrostriatal DA-ergic neurodegeneration [11]. Interestingly, in mice VPS35 99 D620N KI induced age-dependent loss of nigral DA neurons [12, 13], with degeneration of striatal DA-100 ergic terminals, appearance of progressive motor deficits and accumulation of α -synuclein [13], 101 although none of these features were observed in a different VPS35 D620N KI model [12]. 102 Furthermore, VPS35 +/- mice, as well as mice with a conditional homozygous deletion of VPS35 in DA 103 neurons, showed loss of nigral DA neurons and axon terminals, and α -synuclein accumulation [14], 104 suggesting that VPS35 haploinsufficiency can replicate features of PD pathology.
- 105 Mutations in VPS35 may also interact with α -synuclein-induced pathology. Using a viral vector 106 mediated approach, Dhungel et al. found that overexpression of WT VPS35 was able to reduce α -107 synuclein pathology and neurodegeneration in the hippocampus of Thy1 α -synuclein transgenic mice, 108 while overexpression of the D620N mutant exacerbated these effects [15]. On the other hand, Chen 109 et al. failed to observe an aggravation of the lethal phenotype of A53T α -synuclein transgenic mice 110 when crossed with VPS35 D620N KI mice [12]. Besides, preliminary findings suggest that the D620N 111 mutation does not influence the initial progression of Ser129-P-a-synuclein pathology following 112 intrastriatal injection of α -synuclein pre-formed fibrils (PFFs) [12]. Further studies are necessary to clarify the whether VPS35 pathogenic mutants interact with α -synuclein pathology in nigral DA 113 114 neurons in vivo.
- 115 LRRK2
- 116 Leucine-rich repeat kinase 2 (LRRK2) is a large multidomain protein with multiple proposed functions,
- 117 including synaptic vesicle recycling, retrograde trafficking, vesicle sorting via Golgi, and regulation of
- 118 lysosomal function [16] (Figure 2). Mutations in LRRK2 are associated with autosomal dominant
- 119 familial PD, and act to enhance its kinase activity [17], most likely disturbing its normal function within
- 120 the endolysosomal system.

- 121 Various animal models have been generated in order to study the consequence of overexpression of 122 the different LRRK2 pathogenic mutations, such as G2019S or R1441C. Most transgenic approaches in 123 mice have been able to reproduce certain PD-related phenotypes, such as changes in DA transmission 124 and behavioral deficits, although nigral cell loss and α -synuclein accumulation has been reported in a 125 limited number of cases [18]. A recent successful approach in modeling LRRK2-induced neurotoxicity 126 involved the expression of the LRRK2 G2019S mutant in a tetracycline-inducible conditional system 127 using the TH promoter to control expression in catecholaminergic neurons. When expression of the 128 transgene was triggered post-weaning, the mice developed age-dependent loss of nigral DA neurons 129 and α -synuclein accumulation [19]. This model can be useful to further investigate the contribution of 130 α -synuclein to LRRK2-induced pathology, as well as to study cell-autonomous mechanisms of LRRK2 131 toxicity.
- 132 On the other hand, expression of LRRK2 pathogenic mutants using more physiological expression 133 systems, such as BAC transgenesis or KI mouse models was generally found to lead to more subtle 134 effects: modifications of nigrostriatal DA-ergic neurotransmission and modest behavioral deficits, in 135 absence of nigral cell loss or α -synuclein pathology [18]. This may be the consequence of lower 136 expression levels of the LRRK2 mutants in nigral DA neurons, in comparison to the overexpression-137 based models.
- 138 In order to investigate the mechanisms of LRRK2-associated neurotoxicity, recent models have also 139 been generated using large capacity viral vectors to deliver the pathogenic LRRK2 mutants to the 140 striatum or SN of rodents. In these models, intrastriatal delivery of LRRK2 G2019S via herpes simplex 141 virus (HSV) vectors or human adenoviral (Ad5) vectors leads to robust loss of nigral DA neurons [20] 142 and presence of degenerative neuritic changes in the striatum, although in absence of α -synuclein 143 pathology [21]. A caveat here is that these viral vector systems are known to induce considerable 144 inflammatory responses, which can potentially complicate interpretation of the results.
- 145 Given the incomplete penetrance of the G2019S LRRK2 mutation in PD patients, animal models have 146 been generated to investigate gene- or environment interactions. A recent study described increased 147 susceptibility of mutant G2019S LRRK2 transgenic mice to sub-toxic concentrations of MPTP, providing 148 an animal model suitable to study LRRK2 gene – MPTP interactions with robust nigral DA degeneration 149 and motor impairment [22]. Interestingly, the expression of VPS35 was decreased in LRRK2 mutation 150 carriers [23], while the VPS35 D620N mutant was found to enhance the LRRK2 kinase activity [24]. This 151 suggests a possible role of retromer disruption in the pathogenic effects induced by the LRRK2 G2019S 152 mutation..
- 153 LRRK2 knock-out (KO) models fail to replicate pathological changes in the nigrostriatal pathway [18]. 154 On the other hand, LRRK2 deficient models have been instrumental to better define the contribution 155 of LRRK2 to mechanisms associated with α -synuclein toxicity. The findings in this case have been 156 contrasting, with some studies reporting protection from α -synuclein-related pathology, while others 157 failing to establish this effect (reviewed in [25]). Utilizing an animal model based on adeno-associated 158 viral vector (AAV)-mediated overexpression of human A53T α -synuclein in the SN, we recently 159 uncovered a role for LRRK2 in modulating α -synuclein induced neuroinflammation. While LRRK2 KO 160 rats challenged with α -synuclein vector showed a similar degree of nigral cell loss as WT littermates, 161 we could show decreased microglial activation and immune cell infiltration in the SN [26]. This finding 162 is in line with a possible role of LRRK2 in immune cells [27] and in modulating central and peripheral 163 inflammation [28] which may be of interest to further investigate in animal models in the future.

- 164 LYSOSOMAL FUNCTION
- 165 GBA1

166 GBA1 encodes the lysosomal sphingolipid degrading enzyme, glucocerebrosidase (GCase) (EC 3.2.1.45;

167 glucosylceramidase beta) (Figure 2). Loss of function mutations in GBA1 cause Gaucher disease (GD),

a lysosomal storage disorder. These mutations impair GCase activity leading to accumulation of its lipid

substrates glucocerebroside (glucosylceramide, GlcCer) and glucosylsphingosine (GlcSph) in the cells

- 170 [29] (Figure 2).
- 171 In the last years, GBA1 was discovered as the main genetic risk factor for idiopathic PD since around 172 10% of PD patients present severe and mild GBA1 mutations with decreased GCase activity [30]. 173 Different genetic in vivo models were generated in order to understand the importance of GBA1 in PD 174 pathology. However none of them have managed to fully mimic the main pathological hallmarks 175 observed in PD patients: α -synuclein aggregation, nigrostriatal degeneration and motor function 176 impairment. Furthermore, a subpopulation of GBA1 mutations carriers do not develop PD, suggesting 177 that other genetic, epigenetic or non-genetic factors are required to generate a more reliable GBA1 178 related PD model (for more information [31]).
- 179 Recently, novel and more relevant GBA1 models have been developed in combination with α -synuclein 180 overexpression. GBA1 L444P +/- KI mice, mimicking a severe GD mutation also found in PD patients, 181 display 40% less GCase activity in the brain. Increased levels of proteinase K-resistant α -synuclein were 182 observed in the striatum and SNpc of these mice, although this model failed to induce advanced-PD 183 like phenotype [32]. Interestingly, injection of AAV encoding human α -synuclein in the SN of GBA1 184 L444P +/- mice significantly enhanced DA neuron loss in the SN [32]. In a more recent paper of the 185 same group, GBA1 L444P +/- mice were inoculated with α -synuclein PFFs (aSyn-PFF) in the right 186 striatum. Compared to WT α -synuclein-PFF injected mice, a higher density of Ser129-P- α -synuclein as 187 well as LB- and LN-like aggregates were detected in different brain regions, including SNpc and striatum 188 of GBA1 L444P+/- mice [33].
- Homozygous or heterozygous GBA1 KO mice do not reproduce the full spectrum of a PD phenotype (for more information [31]). Interestingly, GBA1 +/- mice enhanced α -synuclein-induced pathology when crossed with α -synuclein BAC transgenic mice (SNCA tg/tg). Total Ser129-P- α -synuclein levels were increased compared to SNCA tg/tg mice and colocalized with the lysosomal marker LAMP2. TH positive cells in SNpc were decreased and GlcSph levels increased in GBA1 +/- SNCA tg/tg mice compared to wildtype [34].
- These results in α-synuclein overexpression models and other studies point to a therapeutic potential of increasing GBA1 levels in PD. Indeed, AAV-mediated overexpression of hGBA1 in SN [35] and striatum [36] was able to prevent the pathological phenotype upon MPTP administration, or in Thy-SNCA mice respectively. Remarkably, PD patients without GBA1 mutations also present decreased GBA1 mRNA levels and enzyme activity [37] which makes this therapeutic strategy potentially beneficial for sporadic PD as well.
- 201 ATP13A2 AND ATP10B

202 ATP13A2 (PARK9) is a P-type ATPase recently described as a lysosomal exporter of polyamines (Figure

203 2) [38], and has been genetically associated with neurodegenerative disorders including early onset PD

204 [39] and Kufor-Rakeb syndrome [40].

205 ATP13A2 KO cells present a decrease in lysosomal degradation together with an impairment in 206 lysosomal membrane integrity [38]. Two mouse models of full-body ATP13A2 KO were generated 207 independently. Both models show lipofuscinosis in the hippocampus [41, 42], cerebellum and cortex 208 [42] at 18 months old. Besides, lysosomal markers accumulation (LAMP1/2) together with defects in 209 autophagy function were detected [42]. In addition, specific motor deficits were observed in the 210 absence of DA-ergic neuron loss. However, reports on α -synuclein pathology were contradictory and 211 inconsistent. While one model displayed increased insoluble α -synuclein in the hippocampus [41], in 212 the second model no changes in α -synuclein accumulation were detected [42].

213 ATP13A2 overexpression has also been combined with α -synuclein overexpression models using viral 214 vector technology. While AAV-ATP13A2 WT overexpression in SN in rats was not able to rescue α -215 synuclein-induced dopaminergic neurodegeneration, AAV-ATP13A2 D513N, an ATPase deficient 216 mutation, caused on its own significant dopaminergic neuron loss in the SNpc at 4 weeks [43]. 217 Strikingly, autophagy pathway and lysosomal activity were not affected [43]. It appears that further 218 and more extensive research is needed to get a better comprehension of the relation between 219 ATP13A2 and α -synuclein pathology in vivo, since in cell culture several interactions between ATP13A2 220 have been reported [44-46]. In particular, assessment of polyamines levels in these models and of 221 ATP13A2 polyamine transporter function will help to define if these substrates modulate the observed 222 effects and/or can be used as a biomarker for therapeutic approach. As previous studies mention, 223 polyamines have a cell-protective role [47] and are significantly downregulated in the plasma of PD 224 patients [48].

225 A recent paper highlights the relevance of another P-type ATPase protein in PD pathology, ATP10B. 226 Mutations in this gene have been found in families with PD [49] and in a cohort of early onset PD 227 patients [50]. Moreover, mRNA levels were reduced in the SN and medulla oblongata of idiopathic PD 228 patients compared to controls [50]. ATP10B was found to function as a late-endolysosomal lipid 229 flippase that translocates GluCer and phosphatidylcholine to the cytoplasm (Figure 2). Knock-down of 230 ATP10B in isolated mouse cortical neurons sensitized to cell death in basal conditions and decreased 231 the degradation activity of lysosomes [50]. Although currently unexplored, the generation of an in vivo 232 model will help to better understand how this ATPase influences PD pathology as well as to uncover 233 the importance of its lysosomal function in α-synuclein dynamics. As both ATP10B and GBA1 modulate 234 lysosomal GluCer homeostasis, a common pathway between these two proteins could open a highly 235 interesting research line to explore in the future.

236 TMEM175

237 One of the most recently described PD in vivo models was generated by modulation of the lysosomal 238 gene TMEM175. TMEM175 is a pore-forming protein that, together with AKT, is part of a K+ channel 239 in lysosomes. Two uncommon loss of function variants of this protein (M390T and Q65P) are 240 associated with an increased risk of PD. Homozygous KO TMEM175 mice displayed significant 241 dopaminergic loss in SNpc at 18-22 months of age [51]. Remarkably, heterozygous mice also showed 242 a small but significant reduction in the number and size of dopaminergic neurons in SNpc as well as a 243 reduction in the density of axons that are projecting from SN to the striatum. Cultured hippocampal 244 neurons of the TMEM175 KO mice also displayed enhanced aSYN pathology after seeding with PFFs. 245 In addition, these mice exhibited a significant impairment in the rotarod and wire-hang test [51]. 246 Further studies are needed to further characterize this promising mouse model and test its interaction 247 with PD related pathways.

- 248 CONCLUSION AND PERSPECTIVES
- 249 In conclusion, endolysosomal impairment is emerging as an important contributor to PD pathogenesis
- and animal models have been recently created in order to study nigrostriatal degeneration and other
- 251 PD-related phenotypic changes. As mentioned in this review, some of the models have succeeded to
- 252 recapitulate relevant PD hallmarks, but further research is needed to better define the contribution of

endolysosomal dysfunction in PD.

From our perspective, several outstanding questions remain unsolved (Table 1). In addition, future studies are necessary to investigate the relevance of other endolysosomal genes that have been implicated in PD, but not yet modeled in vivo. Since overall the absence of robust dopaminergic cell loss remains a limitation of these animal models, it will be important in the future to better characterize the neurotoxicity associated with endolysosomal dysfunction in PD.

- 259
- 260 CONFLICT OF INTEREST STATEMENT
- 261 Nothing declared.
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- 263 ACKNOWLEDGEMENTS

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- 269
- 270 FIGURE LEGENDS

FIGURE 1. Genes conferring increased risk for PD associated with distinct functions across the
endolysosomal system. Depicted in bold are the genes which have been modeled in rodents leading
to PD-related phenotypes, and which are covered in the current review.

274 FIGURE 2. Genes associated with PD phenotypes in animal models play distinct roles within the 275 endolysosomal system. These include (1) modulation of clathrin-mediated endocytosis and synaptic 276 vesicle endocytosis/recycling (insert), (2) endolysosomal trafficking and sorting, and recycling of cargo 277 to the trans-Golgi network and plasma membrane via the retromer complex, and (3) regulation of 278 lysosomal function and homeostasis, including regulation of lipid, polyamine and potassium 279 concentration and export. Disturbance of these pathways leads to defects in the endocytic pathway, 280 synaptic vesicle recycling, trafficking through the endolysosomal system, retromer function, and 281 lysosomal degradation, and predisposes to parkinsonism in patients and animal models.

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- 294 TABLES
- 295 Table 1. Key questions to address in rodent models based on PD endolysosomal genes

EXPLORE EFFECTS IN DIFFERENT CELL TYPES AND BRAIN CIRCUITS

What is the role played by the PD endolysosomal genes in different cell types?

What are the mechanisms of neurotoxicity (cell-autonomous and/or non-cell-autonomous)?

What is the role played by PD endolysosomal genes in other synaptic circuits or regions of the brain?

What is the impact of PD endolysosomal genes in non-motor behavior?

EXPLORE INTERACTIONS WITH PD PATHOGENIC PATHWAYS

What is the role of PD endolysosomal genes in α -synuclein aggregation and pathology spread?

Is there a requirement for α -synuclein in the neurotoxic effects of mutations in PD endolysosomal genes?

What is the interaction between PD endolysosomal genes and mitochondrial health/function?

EXPLORE GENE-GENE AND GENE-ENVIRONMENT INTERACTIONS

Is there an interaction between the different PD endolysosomal genes/ pathways?

What are the effects of modeling gene-environment interactions in the context of aging?

296 297 298 299 300 301 302 303 304 305 306 307 308 309 310 311 312 313 314 ANNOTATED REFERENCES

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321 (*) 9. Pan, P.Y., et al., Synj1 haploinsufficiency causes dopamine neuron vulnerability and alpha 322 synuclein accumulation in mice. Hum Mol Genet, 2020. 29(14): p. 2300-2312.

- 323SYNJ1 haploinsufficiency animal model replicating mechanisms of SYNJ1 loss of function in PD.324The SYNJ1 +/- mice develop age-dependent motor function abnormalities, as well as Ser129-325P- α -synuclein accumulation and loss of striatal DA terminals, however in absence of nigral DA326cell loss at 18 months of age.
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- 338This study provides a description of a new VPS35 D620N KI model replicating the main features339of PD. The KI mice develop age-dependent progressive motor deficits, degeneration of nigral340DA neurons, loss of DA terminals in the striatum, decreases in striatal DA levels and341accumulation and aggregation of α -synuclein. In addition, in a combination model, adult VPS35342D620N KI mice showed increased susceptibility to MPTP-induced loss of nigral DA neurons343and striatal DA fibers compared to WT littermates.
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- 346LRRK2-MPTP combination model that reflects gene-environment interactions in the context of347the LRRK2 G2019S mutation. Exposure of G2019S LRRK2 mice to a sub-toxic protocol of MPTP348leads to motor impairment, robust nigral DA neurodegeneration and loss of striatal DA fibers.349The effect in WT LRRK2 mice challenged with MPTP is also significant, but milder than with the350G2019S LRRK2 mutation, whereas non transgenic animals were unaffected. In addition,351administration of an LRRK2 kinase inhibitor reduced nigral DA cell loss and motor deficits in352this combination model.

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