

1                   **The test-retest reliability of the respiratory-related evoked potential**

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15   **Running title:** Test-retest reliability of the RREP

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1 **Abstract**

2

3 The respiratory-related evoked potential (RREP) is an established technique to study the neural  
4 processing of respiratory sensations. We examined the test-retest reliability of the RREP during  
5 an unloaded baseline condition (no dyspnea) and an inspiratory resistive loaded breathing  
6 condition (dyspnea) over a one-week period. RREPs were evoked by short inspiratory  
7 occlusions (150 ms) while EEG was continuously measured. The mean amplitudes of the RREP  
8 components Nf, P1, N1, P2, and P3 were studied. For the no dyspnea condition, moderate test-  
9 retest reliability for Nf (intraclass correlation coefficient ICC: 0.73) and P1 (ICC: 0.74), good  
10 test-retest reliability for N1 (ICC: 0.89) and P3 (ICC: 0.76), and excellent test-retest reliability  
11 for P2 (ICC: 0.92) was demonstrated. For the dyspnea condition, moderate test-retest reliability  
12 was found for Nf (ICC: 0.69) and P1 (ICC: 0.57) and good test-retest reliability for N1 (ICC:  
13 0.77), P2 (ICC: 0.84), and P3 (ICC: 0.77). This indicates that the RREP components Nf, P1,  
14 N1, P2, and P3, elicited by inspiratory occlusions, show adequate reliability in a test-retest study  
15 design with or without parallel sustained resistive load-induced dyspnea.

16

17 **Keywords:** Test-retest reliability; Respiratory-related evoked potentials; Dyspnea; EEG;  
18 Breathlessness

19

## 1 **Introduction**

2

3 The respiratory-related evoked potential (RREP) is an established non-invasive technique used  
4 to study the neural processing of respiratory sensations in the EEG (Chan & Davenport, 2010;  
5 Chou & Davenport, 2007; Hammond, Gaeta, Sapienza, & Davenport, 1999; Redolfi et al., 2005;  
6 Strobel & Daubenspeck, 1993; Webster & Colrain, 2000a). It has been successfully used in  
7 studying pediatric and adult healthy individuals (Davenport, Colrain, & Hill, 1996; Harver,  
8 Squires, Bloch-Salisbury, & Katkin, 1995) as well as in patient groups including those with  
9 asthma (Davenport, Cruz, Stecenko, & Kifle, 2000; Webster & Colrain, 2002), COPD  
10 (Reijnders et al., 2020), obstructive sleep apnea (Eckert et al., 2011; Ruehland et al., 2017),  
11 cystic fibrosis, and neuromuscular disease (Fauroux et al., 2007). Commonly, the RREP is  
12 evoked by applying occlusions to the inspiration (Afifi, Guilleminault, & Colrain, 2003; Chan  
13 & Davenport, 2010; Davenport, Friedman, Thompson, & Franzen, 1986; Donzel-Raynaud et  
14 al., 2004; Redolfi et al., 2005; Webster & Colrain, 2000b). Expiratory occlusions (Hammond  
15 et al., 1999), resistive loads (Knafelc & Davenport, 1999), and negative airway pressure (Akay  
16 & Daubenspeck, 2000) have also been used to elicit an RREP. The RREP quantifies the initial  
17 arrival and further processing of afferent respiratory sensory information in the cortex (Chan &  
18 Davenport, 2010). This temporal sequence in neural processing of respiratory information is  
19 mirrored by the different RREP components including the Nf, P1, and partly N1 which are  
20 considered early components (< 130 ms) and to represent mostly sensory first-order processing.  
21 The subsequent components P2 and P3 are considered later components (> 150 ms)  
22 representing second-order cognitive processing (Chan & Davenport, 2010).

23

24 An increasing number of studies has used the RREP to examine interactions between  
25 psychological factors (i.e., emotion, attention) and the neural processing of respiratory  
26 sensations (Chan et al., 2015; Chan, Cheng, Jhu, Chen, & von Leupoldt, 2016; Chan, von  
27 Leupoldt, Bradley, Lang, & Davenport, 2012; Chenivesse et al., 2014; Harver et al., 1995; von  
28 Leupoldt, Chan, Bradley, Lang, & Davenport, 2011a; von Leupoldt, Chan, Esser, & Davenport,  
29 2013; Webster & Colrain, 2000b). Some of these studies also combined inspiratory occlusions  
30 that evoke the RREP with the parallel induction of dyspnea by resistive loaded breathing (Chou  
31 & Davenport, 2007; Herzog, Sucec, Van Diest, Van den Bergh, Chan, et al., 2018a; Herzog,  
32 Sucec, Van Diest, Van den Bergh, & von Leupoldt, 2019a; Herzog, Sucec, Vucovic, Van Diest,  
33 Van den Bergh, et al., 2019b). Breathing through externally applied resistive loads alters  
34 breathing parameters by increasing the resistance to airflow and leads to an increase in work

1 and effort of breathing, which mimics airflow limitations and dyspnea of patients with  
2 obstructive lung disease (Ritz et al., 2002). Despite the wide application of the RREP in  
3 respiratory research, nothing is known about the reliability of the RREP, which holds for  
4 conditions with and without parallel induction of dyspnea. Confirming the reliability of RREP  
5 measurements could support its intensified future use in experimental and/or clinical contexts,  
6 for example in longitudinal studies, for individual difference assessments, or even as potential  
7 diagnostic tool in clinical settings.

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9 Therefore, the present study investigated the test-retest reliability of the RREP components Nf,  
10 P1, N1, P2, and P3, elicited by inspiratory occlusions, over a one-week period in healthy  
11 participants during conditions with and without parallel sustained inspiratory resistive load-  
12 induced dyspnea. Additionally, in an exploratory analysis the test-rest reliability of the  
13 respiratory variables was investigated.

## 14 15 16 **Methods**

### 17 18 **Participants**

19 Twenty healthy participants (16 females) took part in this study after providing written  
20 informed consent, which is in accordance with common sample sizes of previous RREP studies  
21 (e.g., Chan et al., 2014; Chou & Davenport, 2007; Eckert et al., 2011; Reijnders et al., 2020;  
22 Ruehland et al., 2017; von Leupoldt et al., 2011b; Webster & Colrain, 2000b). Participants  
23 reported being non-smokers with no acute or chronic respiratory, cardiovascular, neurological,  
24 or psychiatric disorder. Additionally, participants were excluded if medication usage,  
25 pregnancy, or alcohol consumption in the preceding 24 hours was reported. Furthermore,  
26 pulmonary function within normal values was confirmed prior to testing by spirometry  
27 performed in accordance with international guidelines (Miller et al., 2005). The study was  
28 approved by the ethics committee of the University of Leuven (S58400). Participants received  
29 course credits for their participation.

### 30 31 **Breathing circuit**

32 Participants wore a nose clip while breathing through a mouthpiece that was connected to a  
33 breathing circuit (Figure 1). The breathing circuit contained a two-way non-rebreathing valve  
34 (Series 2700, Hans Rudolph Inc., Shawnee, USA). At the center of this non-rebreathing valve,

1 mouth pressure was recorded continuously (Flow-Pressure-Amplifier Series 1110B, Hans  
2 Rudolph Inc., Shawnee, USA). The expiratory port of the non-rebreathing valve was left open  
3 while the inspiratory port was connected via tubing (274 cm length, 3.5 cm inner diameter) to  
4 a pneumotachograph sampling airflow (Series 4830A, Flow-Pressure-Amplifier Series 1110B,  
5 Hans Rudolph Inc., Shawnee, USA), a loading manifold to administer inspiratory resistive loads  
6 (Series 7100, Hans Rudolph Inc., Shawnee, USA), and an occlusion valve that was connected  
7 to an occlusion controller device (Aspire Products, Gainesville, USA). The background  
8 resistance of the breathing circuit was approximately 1.6 cmH<sub>2</sub>O/L/s. The occlusion controller  
9 device was used to apply the short occlusions for eliciting the RREP after the onset of  
10 inspirations (i.e., early inspiration) as indicated by the monitored mouth pressure signal.  
11 Occlusions were manually triggered with a joystick which activated a solenoid that closed the  
12 occlusion valve with pressurized room air resulting in a transient occlusion of the inspiration.  
13 Comparable set-ups have been used in previous studies (Herzog et al., 2019a, 2019b; von  
14 Leupoldt et al., 2010). During each condition, airflow and mouth pressure were measured  
15 continuously. Afterwards, AcqKnowledge 4.2 (Biopac, Goleta, USA) was used to calculate the  
16 respiratory variables including breathing frequency ( $f$ ), inspiratory time ( $T_I$ ), tidal volume ( $V_T$ ),  
17 mean airflow ( $\dot{V}$ ) and peak inspiratory mouth pressure ( $P_{I_{max}}$ ). Occluded breaths were excluded  
18 from the calculation of  $T_I$ ,  $V_T$ ,  $\dot{V}$  and  $P_{I_{max}}$  during tidal breathing. In addition,  $P_{I_{max}}$ , elicited by  
19 the inspiratory occlusions (i.e., occlusion peak inspiratory mouth pressure), was calculated for  
20 both unloaded and loaded conditions to examine the reliability of the stimulus characteristics.

21

22

###Figure 1###

23

#### 24 **Dyspnea calibration phase**

25 In the dyspnea calibration phase, an individual level of ‘strong’ dyspnea intensity corresponding  
26 to a rating of ‘5’ on a modified Borg Scale (Borg, 1982) was determined. This was achieved by  
27 presenting stepwise increasing magnitudes of inspiratory resistive loads for twenty seconds  
28 each while participants were respiring through the breathing circuit. For each inspiratory  
29 resistive load, participants indicated their experienced level of dyspnea intensity on the Borg  
30 Scale ranging from 0 (‘not noticeable’) to 10 (‘maximum that can be tolerated’). Inspiratory  
31 resistive loads rated around ‘5’ on the Borg Scale were again presented twice in a random order  
32 and rated by the participants. Finally, the lowest inspiratory resistive load corresponding to a  
33 ‘strong’ dyspnea intensity rating during the dyspnea calibration phase of the first testing session  
34 was selected to induce dyspnea during the experimental phase in both testing sessions ( $M =$

1 24.4 cmH<sub>2</sub>O/L/s, *SD* = 15.2). To keep the respiratory strain for both testing sessions identical,  
2 the same dyspnea calibration phase was performed during the second testing session. An  
3 identical dyspnea calibration procedure was used in previous studies (Herzog et al., 2019a;  
4 Sucec, Herzog, Van Diest, Van den Bergh, & von Leupoldt, 2018a, 2018b; Sucec, Herzog, Van  
5 den Bergh, Van Diest, & von Leupoldt, 2019).

## 6 7 **Experimental phase**

8 While respiring through the breathing circuit, participants underwent an experimental phase  
9 consisting of an unloaded baseline condition (no dyspnea) and a sustained resistive loaded  
10 breathing condition (dyspnea). During the dyspnea condition, the predetermined inspiratory  
11 resistive load from the first testing session was applied to the breathing circuit while in the no  
12 dyspnea condition no inspiratory resistive load was applied. Each condition contained two  
13 blocks which lasted four minutes each resulting in a total recording time of eight minutes per  
14 condition. To reduce strain on participants, dyspnea and no dyspnea blocks were alternated with  
15 the order being counterbalanced across participants. The block order for each participant was  
16 identical for both testing sessions. During each block, a fixation cross was presented on a  
17 monitor in front of the participants while EEG was continuously recorded. RREPs were evoked  
18 by short, transient inspiratory occlusion (150 ms) applied randomly to every second to fifth  
19 inspiration. After each block participants gave ratings on their perceived dyspnea and affective  
20 state during the preceding block and underwent a rest period.

## 21 22 **Ratings of dyspnea and affective state**

23 Dyspnea was explained to participants as ‘difficult and uncomfortable breathing’. After each  
24 block, ratings of dyspnea intensity and dyspnea unpleasantness during the preceding block were  
25 obtained using a visual analog scale ranging from 0 (= ‘not noticeable/not unpleasant’) to 100  
26 (= ‘maximally imaginable intensity/unpleasantness’) (Aitken, 1969; Wilson & Jones, 1989).  
27 Furthermore, after each block, participants indicated their affective state during the preceding  
28 block on a valence (1 = ‘unpleasant’ to 9 = ‘pleasantness’) and arousal dimension (1 = ‘calm’  
29 to 9 = ‘aroused’) using the 9-point Self-Assessment Manikin (Bradley & Lang, 1994).

## 30 31 **EEG data recording and reduction**

32 RREPs were recorded continuously in both conditions using a 129-channel EEG system  
33 (Philips EGI, Eugene, USA) with a sampling rate of 250 Hz and the vertex electrode (Cz) as  
34 the reference electrode (for electrode location see Figure 1). Electrode impedance was kept

1 below 50 k $\Omega$ . Data analyses were performed offline using BESA Research 6.0 (BESA GmbH,  
2 Gräfelfing, Germany). After visual inspection of the mouth pressure signal, occlusions outside  
3 of the inspiratory cycle were excluded from analysis. This resulted in an average of 28.8  
4 occlusions ( $SD = 7.0$ , minimum number of occlusions= 17, maximum number of occlusions =  
5 48) for the no dyspnea and 28.8 occlusions ( $SD = 7.2$ , minimum number of occlusions= 20,  
6 maximum number of occlusions = 47) for the dyspnea condition for the further analyses. This  
7 resembles occlusion numbers which are commonly used in RREP studies using high-density  
8 EEG (e.g., Herzog et al., 2019a, 2019b; 2018b; Reijnders et al., 2020; von Leupoldt, Bradley,  
9 Lang, & Davenport, 2010) and which also converges with minimum signal-to-noise criteria for  
10 obtaining acceptable RREPs using high-density EEG (von Leupoldt, Keil, & Davenport,  
11 2011b). Data were filtered by applying a high-pass filter of 0.1 Hz (forward-phase Butterworth  
12 filter, 6-dB/octave roll-off) and a low-pass filter of 30 Hz (zero-phase Butterworth filter, 24-  
13 dB/octave roll-off) with an additional notch filter of 50 Hz (2 Hz width). This was followed by  
14 an adaptive artifact correction (i.e., eye blinks) (Ille, Berg, & Scherg, 2002). Then, the signal  
15 was re-referenced to the average reference (i.e., calculated across all electrodes) (Dien, 1998;  
16 Luck, 2014) and epochs of 200 ms pre- and 1000 ms post-occlusion onset were extracted and  
17 averaged with a maximal cut-off amplitude of 200  $\mu$ V. The 100 ms prior to occlusion onset  
18 served as a baseline.

19 #####Figure 2####

20  
21 Consistent with previous literature (Chan & Davenport, 2010; von Leupoldt et al., 2010; von  
22 Leupoldt, Keil, & Davenport, 2011b; Webster & Colrain, 2000b), the RREP components were  
23 identified as follows: Nf in the frontal region (latency: 20-45 ms, electrodes: 20, 24, 28, 117,  
24 118, 124); P1 in the centro-parietal region (latency: 30-60 ms, electrodes: 61, 62, 78); N1 in the  
25 centro-lateral region (latency: 75-120 ms, electrodes: 6, 7, 13, 106, 112, Cz); P2 in the central  
26 region (latency: 160-230 ms, electrodes: 6, 7, 106, Cz); P3 in the centro-parietal region (latency:  
27 240-310 ms, electrodes: 54, 55, 61, 62, 78, 79) (Figure 2). Mean, baseline-corrected amplitudes  
28 were calculated for each RREP component by averaging activity over the respective latency  
29 window and electrode cluster. Electrode selection and latency windows were identical for all  
30 participants, conditions, and testing sessions.

### 31 32 33 **Procedure**

34 After arriving in the laboratory, participants signed an informed consent form, filled out the

1 exclusion criteria form and performed a spirometric lung function test. Next, participants  
2 received standardized instructions regarding the experimental procedure and underwent the  
3 dyspnea calibration phase. Then, the EEG net was applied, and participants were seated in a  
4 comfortable armchair with their upper body and feet supported. This was followed by a practice  
5 phase where participants were familiarized with the inspiratory occlusions. Next, the  
6 experimental phase consisting of the four blocks followed. After each block, ratings for  
7 perceived dyspnea and affective state were obtained. Finally, participants were debriefed and  
8 thanked. The procedure for both testing sessions was identical. All participants took part in two  
9 testing sessions separated by seven days. Both testing sessions took place at the same time of  
10 the day (time between testing sessions: mean = 6 days 23.34 hours,  $SD = 1.32$  hours).

11

## 12 **Statistical analysis**

13 Due to excessive (muscle) artifacts in the EEG recording, four participants of the no dyspnea  
14 condition and eight participants of the dyspnea condition had to be excluded from the analysis.  
15 Therefore, the final sample for the no dyspnea condition consisted of 16 participants and 12  
16 participants for the dyspnea condition (Table 1). Statistical analyses were performed using  
17 SPSS 24 (IBM Corp., Armonk, USA). Ratings, respiratory variables, and RREP mean  
18 amplitudes of the two blocks per condition were averaged for each testing session separately.  
19 To examine whether ratings and respiratory variables were comparable between testing  
20 sessions, dependent t-tests were calculated. If the assumptions of the dependent t-tests were  
21 violated, Wilcoxon signed-rank tests were performed. As an effect size,  $r$  was used (Field, 2009;  
22 Rosenthal, 1994). The test-retest reliability of the mean amplitude of the RREP components  
23 and the exploratory analysis of the respiratory variables was investigated using two different  
24 and independent measures of the relationship between two measurements, namely Pearson's  $r$   
25 and intraclass correlation coefficients (ICC) (Liu et al., 2016). Pearson's  $r$  was used to examine  
26 the intersubject stability of the mean amplitudes, whereas the ICC was used to investigate the  
27 score agreement between measurements (i.e., reproducibility) calculated by the ratio of true  
28 variance over true variance plus error variance (Shrout & Fleiss, 1979). The ICC was based on  
29 a two-way mixed-effects model, a mean rating ( $k = 2$ ), and an absolute agreement (Koo & Li,  
30 2016). It should be noted that findings with this specific ICC cannot be generalized to other  
31 "raters". ICC values  $< .50$ , between  $.50$ -.75, between  $.75$ -.90, and  $> .90$  indicate poor, moderate,  
32 good, and excellent reliability, respectively (Koo & Li, 2016). The level of significance was  $p$   
33  $< .05$ .

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**Results**

###Table 1###

**Ratings of dyspnea and affective state**

The ratings of dyspnea intensity and unpleasantness for the no dyspnea (intensity:  $t(15) = 1.67, p = .116, r = .40$ ; unpleasantness:  $z = -1.20, p = .230, r = -.21$ ) and dyspnea condition (intensity:  $t(11) = 0.79, p = .448, r = .05$ , unpleasantness:  $t(11) = 0.38, p = .711, r = .01$ ) did not differ between testing sessions. Furthermore, the ratings of affective valence and arousal for the no dyspnea (valence:  $z = -0.06, p = .952, r = -.01$ ; arousal:  $t(15) = 1.23, p = .240, r = .30$ ) and dyspnea condition (valence:  $t(11) = -0.43, p = .674, r = .02$ , arousal:  $t(11) = 2.11, p = .059, r = .29$ ) did not differ between testing sessions (Figure 3).

###Figure 3###

**Respiratory variables**

The respiratory variables for the no dyspnea (f:  $t(15) = -0.54, p = .599, r = .02$ ; T<sub>I</sub>:  $t(15) = 2.03, p = .061, r = .22$ ; P<sub>I</sub><sub>max</sub>:  $z = -0.71, p = .478, r = -.13$ ; V<sub>T</sub>:  $t(15) = -0.21, p = .838, r = .002$ ; occlusion P<sub>I</sub><sub>max</sub>:  $t(15) = 1.85, p = .084, r = .17$ ) and dyspnea condition (f:  $z = -1.49, p = .136, r = -.30$ ; T<sub>I</sub>:  $t(11) = -0.96, p = .360, r = .08$ ; P<sub>I</sub><sub>max</sub>:  $t(11) = 0.42, p = .686, r = .02$ ; V<sub>T</sub>:  $t(11) = -2.16, p = .054, r = .30$ ; V':  $t(11) = -0.50, p = .624, r = .02$ ; occlusion P<sub>I</sub><sub>max</sub>:  $t(11) = 0.36, p = .725, r = .04$ ) did not differ between testing sessions except for V' in the no dyspnea condition ( $t(15) = -2.38, p = .031, r = .27$ ) (Table 2).

###Table 2###

**Test-retest reliability of RREPs**

Group means of the RREP, and the corresponding scalp topographies for the no dyspnea and dyspnea condition are presented in Figure 4, 5 and 6, respectively. For the no dyspnea condition, the intersubject stability and score agreement demonstrated moderate test-retest reliability for the RREP components Nf and P1. Good test-retest reliability was shown for the RREP components N1 and P3 and excellent test-retest reliability for the component P2 (Table 3). For the dyspnea condition the intersubject stability and score agreement demonstrated moderate

1 test-retest reliability for the RREP components Nf and P1, with the ICC of Nf being statistically  
2 significant. Furthermore, for the dyspnea condition, good test-retest reliability was found for  
3 the components N1, P2, and P3 (Table 3).

4  
5 #####Figure 4, 5 and 6####

6  
7 #####Table 3####

### 9 **Test-retest reliability of respiratory variables**

10 For the no dyspnea condition, the intersubject stability and score agreement demonstrated poor  
11 test-retest reliability for  $P_{I_{max}}$  and moderate test-retest reliability for  $V'$ . Good test-retest  
12 reliability was shown for  $f$ ,  $V_T$ , and occlusion  $P_{I_{max}}$ , and excellent test-retest reliability was  
13 found for  $T_I$  (Table 2).

14 For the dyspnea condition, the intersubject stability and score agreement demonstrated good  
15 test-retest reliability for  $P_{I_{max}}$  and occlusion  $P_{I_{max}}$ , while excellent test-retest reliability was  
16 found for  $f$ ,  $T_I$ ,  $V_T$ , and  $V'$  (Table 2).

### 19 **Discussion**

20  
21 This study investigated the one-week test-retest reliability of the RREP components Nf, P1, N1,  
22 P2, and P3, elicited by inspiratory occlusions, with and without parallel experience of dyspnea  
23 induced by sustained inspiratory resistive loaded breathing. The results showed that ratings of  
24 perceived dyspnea and affective state, respiratory variables, as well as occlusion stimulus  
25 characteristics were comparable between testing sessions. Most importantly, for the no dyspnea  
26 condition moderate to excellent test-retest reliability was demonstrated for all RREP  
27 components. Furthermore, for the dyspnea condition moderate to good test-retest reliability for  
28 all RREP components was observed. Notably, these test-retest reliability results for the RREP  
29 components could be demonstrated using two different and independent measures for the  
30 strength of relationships between two measurements, namely Pearson's  $r$  (= intersubject  
31 stability) and intraclass correlation coefficients (= reproducibility) (Liu et al., 2016). Taken  
32 together, the present findings suggest that the RREP as elicited by inspiratory occlusions shows  
33 adequate reliability in a test-retest study design with or without parallel sustained resistive load-  
34 induced dyspnea.

1  
2 The present results on the test-retest reliability of the RREP components converge with previous  
3 findings regarding the reliability of other event-related potentials. These include event-related  
4 potentials such as the error-related negativity and error-related positivity used to investigate  
5 error processing, the P3a or P3b evoked in Oddball paradigms, or the P400 during the Sternberg  
6 working memory task (Cassidy, Robertson, & O'Connell, 2012; Olvet & Hajcak, 2009). For  
7 example, for the mean amplitudes of the error-related negativity and the error-related positivity,  
8 an ICC of .70 - .74 and .62 - .75, respectively, was reported for test-retest periods of 4 weeks  
9 (Cassidy et al., 2012) and 2 weeks (Olvet & Hajcak, 2009). Furthermore, the ICCs for the P3a  
10 and P3b mean amplitudes during an Oddball paradigm, tested twice 4 weeks apart, ranged from  
11 .78-.80 (Cassidy et al., 2012). Similarly, for the mean amplitude of the P400 during the  
12 Sternberg working memory task an ICC of .85 was found for a test-retest period of 4 weeks  
13 (Cassidy et al., 2012). These findings are highly comparable to the current findings of the test-  
14 retest reliability of the RREP with ICCs ranging from .57 - .92.

15  
16 In the present study, we observed slightly better test-retest reliability for the N1 and the later  
17 RREP components P2 and P3 compared to the earlier RREP components Nf and P1, especially  
18 during dyspnea conditions. This might be related to the circumstance that more occlusions could  
19 be necessary to obtain a reliable signal-to-noise ratio for the earlier and typically smaller  
20 components Nf and P1 compared to the subsequent and typically larger components N1, P2,  
21 and P3, as suggested by von Leupoldt and colleagues (2011b). This might pose a potential  
22 limitation of the present study and calls for more systematic exploration in future studies using  
23 a higher number of occlusion stimuli.

24  
25 Moreover, the present study observed that the test-retest reliability was somewhat lower during  
26 the dyspnea condition compared to the no dyspnea condition. This observation is in line with  
27 previous findings demonstrating potentially reduced signal-to-noise ratio under increased  
28 background resistive loaded breathing (Chou & Davenport, 2007; Herzog et al., 2019a). This  
29 potentially reduced signal-to-noise ratio might contribute to a slightly lower test-retest  
30 reliability under sustained background loaded breathing. Together, these observations suggest  
31 that slightly more occlusion presentations might be needed during conditions of increased and  
32 sustained background resistive loaded breathing in order to achieve comparable signal-to-noise-  
33 ratios and subsequently comparable test-retest reliability as during unloaded breathing.

34

1 In an additional exploratory analysis, the test-retest reliability of the respiratory variables was  
2 investigated. For the no dyspnea condition, poor test-retest reliability was found for  $P_{I_{max}}$ ,  
3 moderate test-retest reliability for  $V'$ , good test-retest reliability for  $f$  and  $V_T$  as well as excellent  
4 test-retest reliability for  $T_I$ . Overall, for the dyspnea condition the test-retest reliability was  
5 higher with good test-retest reliability for  $P_{I_{max}}$  and excellent test-retest reliability for  $f$ ,  $T_I$ ,  $V_T$ ,  
6 and  $V'$ . These results show that all respiratory variables except  $P_{I_{max}}$  during the unloaded no  
7 dyspnea condition demonstrate acceptable test-retest reliability. These findings are in line with  
8 earlier studies showing good test-retest reliability of laboratory and ambulatory measurements  
9 of several respiratory variables including  $f$ ,  $T_I$ ,  $V_T$ , and  $V'$  (Benchetrit 2000; Benchetrit et al.,  
10 1989; Grossman, Spoerle, & Wilhelm, 2006; Tobin et al., 1988). Notably, the values for  $P_{I_{max}}$   
11 evoked by the inspiratory occlusions (i.e., not  $P_{I_{max}}$  during tidal breathing) were comparable  
12 between both test sessions and showed good test-retest reliability for the no dyspnea (ICC: .82)  
13 and dyspnea condition (ICC: .83). This demonstrates that the characteristics of the occlusion  
14 stimuli used to elicit the RREP were comparable between both measurements with adequate  
15 test-retest reliability. Moreover, this converges with previous findings demonstrating good test-  
16 retest reliability of other inspiratory pressure measurements including maximum inspiratory  
17 pressure and airway occlusion pressure  $P_{0.1}$  (Dimitriadis, Kapreli, Konstantinidou, Oldham, &  
18 Strimpakos, 2011; Kera, Aihara, & Inomata, 2013).

19

20 When interpreting the present results, certain limitations should be noted. Sustained inspiratory  
21 resistive loaded breathing increases the mechanical strain on participants' respiratory system.  
22 This resulted in excessive artifacts in the EEG in some participants and increased loss of  
23 participants for analyses. This should be considered when calculating the sample size for future  
24 studies using similar methodologies, which might profit from including larger participant  
25 numbers. In addition, it will be important to investigate the use of different background dyspnea  
26 induction techniques such as  $CO_2$  inhalation, hyperinflation, or vicarious dyspnea (Herzog,  
27 Sucec, Van Diest, Van den Bergh, Chenivresse, et al., 2018a) to assess the RREP. Moreover,  
28 our sample consisted of healthy and predominantly female students which limits the  
29 generalizability of the present results to other populations. Therefore, the RREP test-retest  
30 reliability should further be investigated in other populations including different age groups and  
31 clinical populations, e.g., patients with asthma, chronic obstructive pulmonary disease, or  
32 anxiety disorders who suffer from frequent episodes of dyspnea. Finally, future studies need to  
33 investigate the test-retest reliability of the RREP over longer time periods. These would benefit  
34 from including further measures of airway pressure (e.g., epiglottic pressure) in order to control

1 for potential contributions of changes in airway resistance and/or compliance, which may  
2 impact stimulus transmission as well as stimulus and RREP variability.

3

4 In summary, the present results indicate that the RREP components Nf, P1, N1, P2, and P3,  
5 elicited by inspiratory occlusions, show adequate reliability over a one-week period with or  
6 without parallel sustained resistive load-induced dyspnea.

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29

1 **Conflict of Interest Statement**

2 The authors declare that there is no conflict of interest related to the present manuscript.

3

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1 **Table 1**

2 Mean (*SD*) characteristics of participants for the no dyspnea and dyspnea condition.

Characteristics	No dyspnea ( <i>n</i> = 16)*	Dyspnea ( <i>n</i> = 12)
Gender (female/male, No.)	(12/4)	(9/3)
Age (years)	20.88 (2.60)	20.33 (2.06)
Height (cm)	170.88 (7.63)	169.00 (7.95)
Weight (kg)	66.13 (17.52)	65.67 (19.92)
FEV <sub>1</sub> (L)	3.71 (0.68)	3.71 (0.78)
FEV <sub>1</sub> (% predicted)	101.19 (6.64)	100.83 (7.15)
FVC (L)	4.39 (0.87)	4.40 (0.98)
FVC (% predicted)	103.50 (8.48)	105.58 (8.66)

3 Note: FEV<sub>1</sub> = forced expiratory volume in 1s; FEV<sub>1</sub> (% predicted) = forced expiratory volume  
4 in 1s in % predicted; FVC = forced vital capacity; FVC (% predicted) = forced vital capacity in  
5 % predicted. \* All participants (*n*=12) of the dyspnea condition were included in the no dyspnea  
6 condition.

1 **Table 2**

2 Mean (*SD*), Pearson’s correlation coefficient, and intraclass correlation coefficients of the  
 3 respiratory variables for the no dyspnea and dyspnea conditions for both testing sessions.

4

Condition	Respiratory variable	T1	T2	<i>r</i>	ICC (95% CI)
No dyspnea	Breathing frequency (breaths/min)	13.63 (4.28)	13.98 (3.87)	.80***	.89*** (0.69 – 0.96)
	Inspiratory time (s)	2.06 (0.72)	1.88 (0.59)	.88**	.91*** (0.72 – 0.97)
	Tidal volume (L)	0.73 (0.22)	0.74 (0.20)	.77***	.87*** (0.63 – 0.96)
	Mean airflow (L/s)	0.37 (0.06)	0.40 (0.09)	.69**	.72** (0.21 – 0.90)
	Peak inspiratory mouth pressure (cmH <sub>2</sub> O)	-1.17 (0.33)	-1.30 (0.29)	.07	.13 (-1.38 – 0.69)
	Occlusion Peak inspiratory mouth pressure (cmH <sub>2</sub> O)	-4.13 (1.10)	-4.60 (1.48)	.73**	.82*** (.49 - .94)
Dyspnea	Breathing frequency (breaths/min)	12.95 (4.03)	12.35 (4.01)	.85***	.92*** (0.73 – 0.98)
	Inspiratory time (s)	2.88 (1.46)	3.00 (1.39)	.96***	.98*** (0.92 – 0.99)
	Tidal volume (L)	0.76 (0.26)	0.81 (0.30)	.97***	.97*** (0.88 – 0.99)
	Mean airflow (L/s)	0.29 (0.09)	0.30 (0.11)	.90***	.94*** (0.78 – 0.98)
	Peak inspiratory mouth pressure (cmH <sub>2</sub> O)	-8.89 (3.27)	-9.17 (3.05)	.72**	.84** (0.44 – 0.96)
	Occlusion Peak inspiratory mouth pressure (cmH <sub>2</sub> O)	-9.88 (3.76)	-10.19 (3.90)	.70*	.83** (.40 - .95)

5 Note: T1 = first testing session; T2 = second testing session; *r* = Pearson’s correlation  
 6 coefficient; ICC = intraclass correlation coefficient; CI = confidence interval; \* *p* < .05; \*\* *p* <  
 7 .01; \*\*\* *p* < .001, No significant differences between both testing sessions were found for  
 8 respiratory variables with the exception of mean airflow in the no dyspnea condition, which  
 9 was higher at T2 compared to T1 (*p* < 0.05).

1 **Table 3**

2 Mean amplitudes (*SD*) in microvolt ( $\mu\text{V}$ ), Pearson's correlation coefficient, and intraclass  
 3 correlation coefficients for the RREP components for the no dyspnea and dyspnea condition for  
 4 both testing sessions.

Condition	Component	Amplitude T1	Amplitude T2	<i>r</i>	ICC (95% CI)
No dyspnea	Nf	-2.03 (1.03)	-1.70 (1.18)	.59*	.73 (0.26 – 0.90)**
	P1	1.00 (1.50)	1.10 (0.89)	.65**	.74 (0.24 – 0.91)**
	N1	-3.49 (1.83)	-3.66 (2.35)	.81***	.89 (0.68 – 0.96)***
	P2	5.09 (3.73)	5.14 (3.52)	.85***	.92 (0.77 – 0.97)***
	P3	3.08 (2.06)	2.25 (1.87)	.65**	.76 (0.32 – 0.91)**
Dyspnea	Nf	-1.68 (1.20)	-1.18 (1.00)	.57 <sup>#</sup>	.69 (0.03 – 0.91)*
	P1	1.15 (1.46)	1.24 (1.28)	.39	.57 (-0.63 – 0.88)
	N1	-1.17 (1.39)	-0.58 (1.78)	.67*	.77 (0.26 – 0.93)**
	P2	2.22 (2.45)	2.74 (3.22)	.74**	.84 (0.45 – 0.95)**
	P3	3.27 (2.07)	2.79 (3.48)	.70*	.77 (0.20 – 0.93)*

5 Note: T1 = first testing session; T2 = second testing session; *r* = Pearson's correlation  
 6 coefficient; ICC = intraclass correlation coefficient; CI = confidence interval; <sup>#</sup>  $p < .06$ ; \*  $p <$   
 7  $.05$ ; \*\*  $p < .01$ ; \*\*\*  $p < .001$ .

1 **Figure legends**

2

3 **Figure 1.** Schematic illustration of the experimental set-up.

4

5 **Figure 2.** Illustration of the 129-channel HydroCel Geodesic Sensor Net used with permission  
6 from Electrical Geodesics, Inc.

7

8 **Figure 3.** Mean of ratings for dyspnea intensity and unpleasantness on a visual analog scale (0-  
9 100) as well as affective valence and arousal on a Self-Assessment Manikin scale (1-9) for the  
10 no dyspnea (upper panel) and dyspnea condition (lower panel) for both testing sessions. Error  
11 bars represent *SD*.

12

13 **Figure 4.** Grand averages for the respiratory-related evoked potential in microvolt ( $\mu\text{V}$ ) for the  
14 no dyspnea (A-C) and dyspnea condition (D-F) for both testing sessions over the frontal (A =  
15 No dyspnea condition with used electrodes for illustration: 20, 24, 28, 117, 118, 124; D =  
16 Dyspnea condition with used electrodes for illustration: 20, 24, 28, 117, 118, 124), central (B  
17 = No dyspnea condition with used electrodes for illustration: 6, 7, 106, Cz; E = Dyspnea  
18 condition with used electrodes for illustration: 106, 112), and parietal region (C = No dyspnea  
19 condition with used electrodes for illustration: 54, 61, 62; F = Dyspnea condition with used  
20 electrodes for illustration: 54, 61, 62).

21

22 **Figure 5.** Grand averages for the scalp topographies for the respiratory-related evoked potential  
23 in microvolts ( $\mu\text{V}$ ) for the no dyspnea (A) and dyspnea condition (B) for both testing sessions.

24

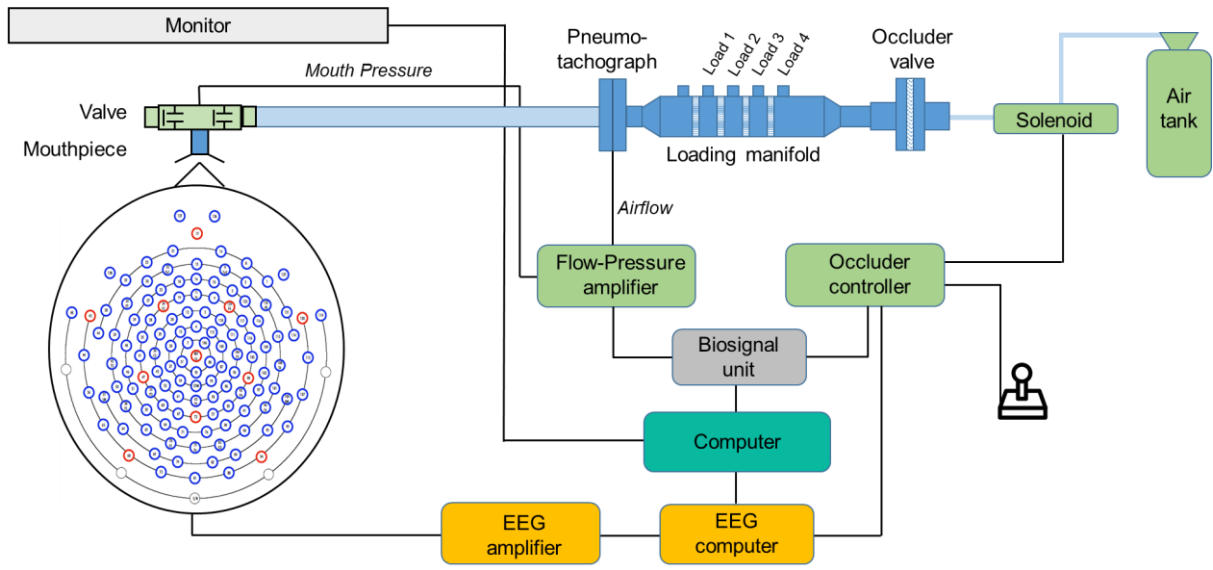
25 **Figure 6.** Scatter plots for the respiratory-related evoked potential components during the no  
26 dyspnea (A) and dyspnea condition (B) for both testing sessions.

27



1 **Figure 1**

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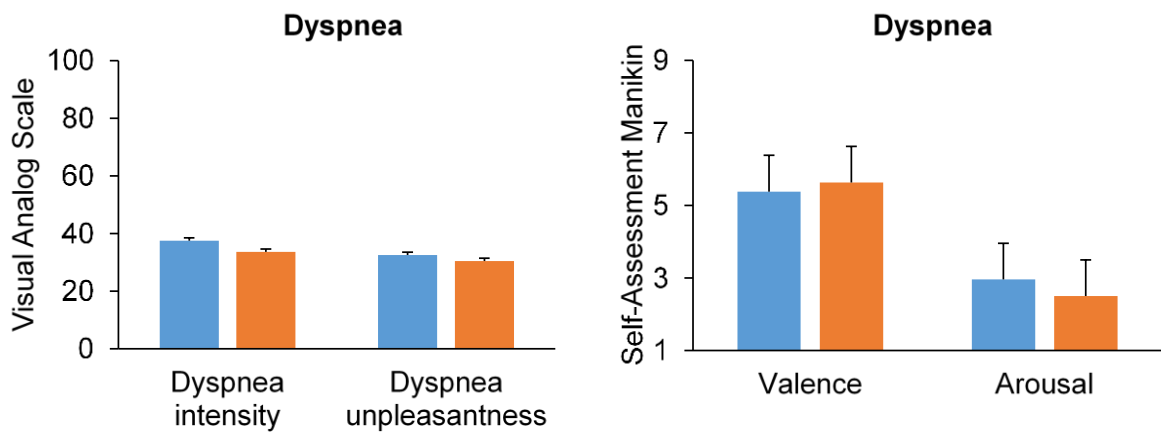
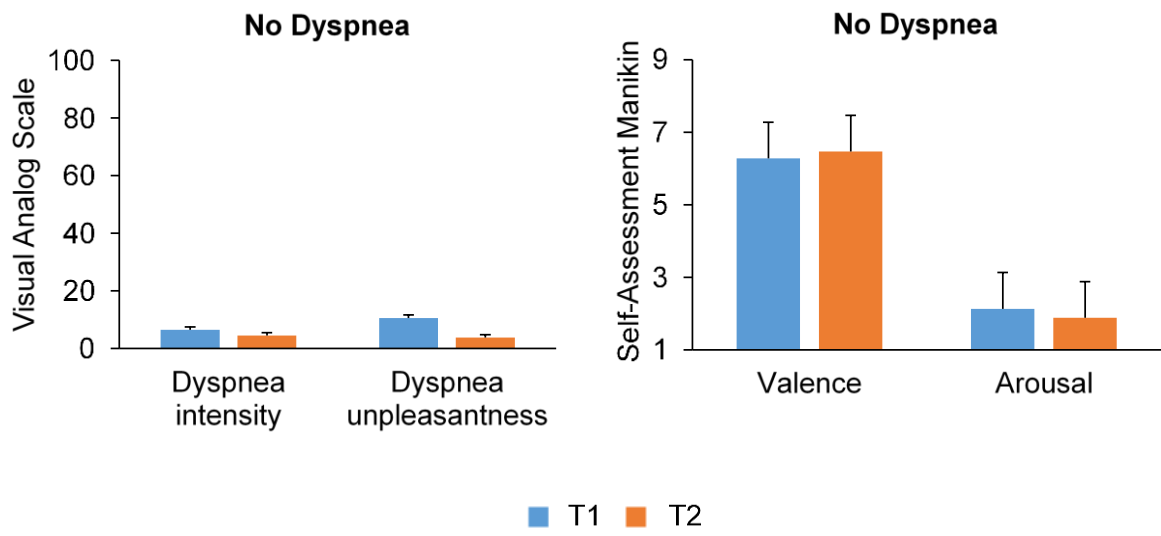
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1 **Figure 3**

2



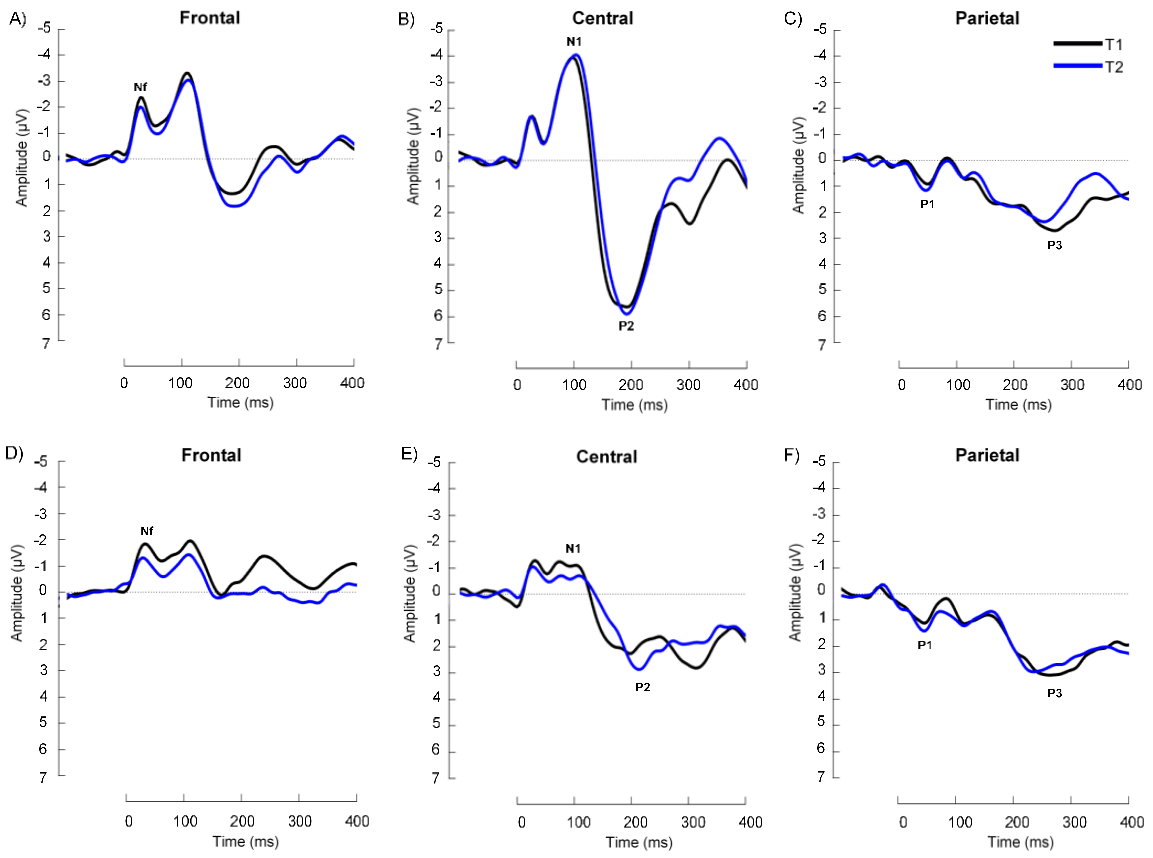
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1 **Figure 4**

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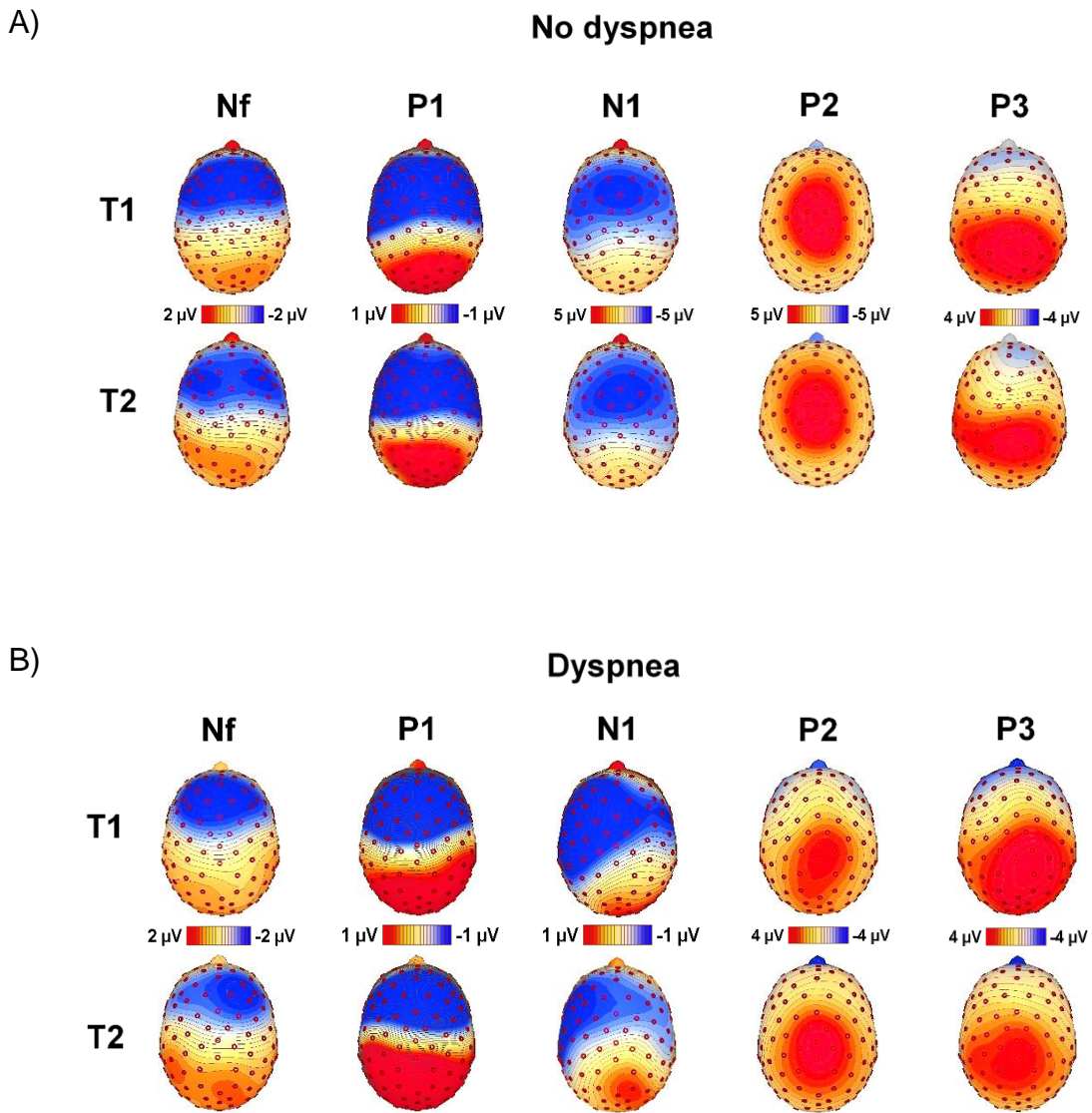


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1 **Figure 5**

2

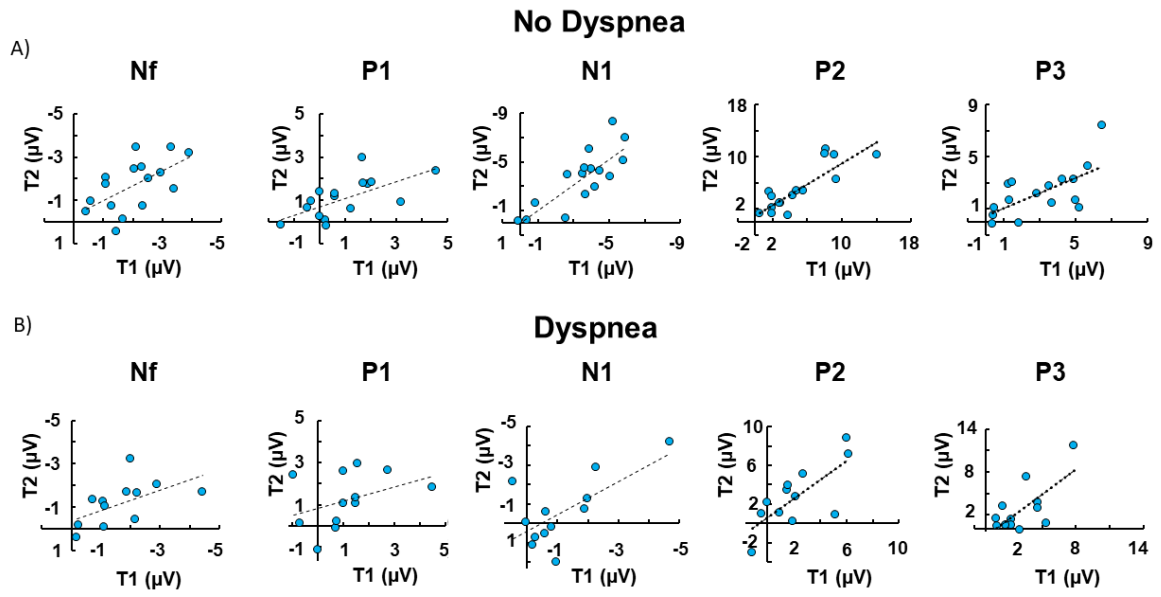


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1 **Figure 6**

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