Effect of poly(thiophene)s topology on their third-order nonlinear optical response

Stien Vertommen^a, Olivier Deschaume^b, Carmen Bartic^b, Julien De Winter^c, Pascal Gerbaux^c, Thierry Verbiest^d, Guy Koeckelberghs^{*a}

^aDepartment of Chemistry, Laboratory for Polymer Synthesis, KU Leuven, Celestijnenlaan 200F, box 2404, 3001 Heverlee, Belgium.

^bDepartment of Physics and Astronomy, Soft-Matter Physics and Biophysics Section, KU Leuven, Celestijnenlaan 200D, box 2416, 3001 Heverlee, Belgium

^cOrganic Synthesis and Mass Spectrometry Laboratory, Interdisciplinary Center for Mass Spectrometry, University of Mons-UMons, 23 Place du Parc, 7000 Mons, Belgium

^dDepartment of Chemistry, Molecular Imaging and Photonics, KU Leuven, Celestijnenlaan 200D, box 2425, 3001 Heverlee, Belgium

* Email address: guy.koeckelberghs@kuleuven.be

Abstract

The research area of nonlinear topologies of conjugated polymers (CPs) became a topic of growing interest due to their unique optoelectronic properties compared to CPs with linear topologies. Nonetheless, the field of the third-order nonlinear optical response (TONO) is still largely unexplored, although, theoretically, nonlinear CPs should give a higher TONO response. Therefore, linear CPs and a trigonal nonlinear CP were synthesized via a combination of a controlled chain-growth polymerization, post-polymerization reactions and a click reaction. Afterward, the TONO response of the CPs was investigated with the highly sensitive third-harmonic scattering technique using solvatochromism experiments. In contrast to what was expected, trigonal nonlinear CPs do not possess a higher TONO response compared to their linear counterparts.

Keywords: *Conjugated polymers, Kumada catalyst transfer condensative polymerization, third-order nonlinear optical response*

1. Introduction

During the last decades, CPs have gained a great deal of attention as a potential source for organic optoelectronics.¹⁻⁷ Due to their unique optical and electronic properties, their easy processability and the possibility to control and design their molecular structure, CPs are ideal candidates to be used in optoelectronic applications. Even after decades of research, poly(thiophene)s (PTs) remain the benchmark CPs as a consequence of their impressive properties. Because of their effective conjugation length, synthetic versatility and stability, the study of the optoelectronic properties of PTs is still central to the research on CPs.^{8,9,18-20,10-17} The numerous studies already accomplished, demonstrate that most of the research is performed on linear CPs. However, the morphology of the polymer is important as well and nonlinear CPs can have a positive influence on the optoelectronic properties, which can offer a whole new range of opportunities for applications.²¹⁻²⁸ Recently, the research on nonlinear CPs became a topic of growing current interest. Nonetheless, one specific important area is still largely unexplored. The research on the influence of a nonlinear topology on the third-order nonlinear optical response (TONO) response of CPs is uncharted, although theoretically, a nonlinear topology offers a lot of advantages. CPs –and certainly PTs– possess an extended π -system with a high density of very polarizable π -electrons which features inherently a large TONO response.^{29,30} Besides, the TONO response intrinsically relies on the molecular second hyperpolarizability γ , which is a fourth rank tensor with 81 tensor components.³¹ The number of non-zero tensor components determines the TONO response and depends, among other things, on the topology. Linear CPs only have a contribution from polarizable π -electrons in one dimension along their conjugated polymer backbone. In contrast, nonlinear CPs possess contributions in two or even three dimensions, leading to more non-zero tensor components which would theoretically imply a higher γ and hence a larger TONO response. In this regard, research on the TONO response of nonlinear CPs can offer a material with a large TONO response, suitable for application in optical network components allowing for fast communication and larger bandwidths.³²⁻³⁶ These materials are in high demand in the telecommunication industry, but yet not

straightforward due to an unoptimized TONO response. The incorporation of the optimal topology is a first step in realizing a breakthrough.

65 In order to investigate the influence of the topology on the TONO response, three different CPs were synthesized: two linear CPs (**P1** and **P2**) and one nonlinear CP with a trigonal topology (**P3**). By combining a controlled chain-growth polymerization with post-polymerization reactions and an efficient click-reaction, maximal control over the polymer structure was obtained. An overview of the synthesized polymers is
70 represented in Chart 1. The linear polymer **P1** only contains the azide end-functionalized polymer arm, while the linear polymer **P2** and the trigonal nonlinear polymer **P3** contain end-functionalized polymer arms coupled to a central core via the Copper(I)-catalyzed Azide Alkyne Cycloaddition (Cu(I)AAC) click reaction. Note that **P2** forms an intermediate between **P1** and **P3**. **P2** possesses a linear topology like **P1**,
75 but just as **P3**, it contains end-functionalized polymer arms coupled to a central core. In addition **P1** is used as a reference polymer since both **P2** and **P3** are built from this polymer. By varying the amount of end-functionalized polymer arms, the topology can be altered from linear (**P2**) to trigonal (**P3**). Since the presence of the central core, the methylene and the triazole unit interrupts the conjugated backbone in the polymer
80 structure of **P2** and **P3**, the same conjugation length is maintained in **P1-P3**. Therefore, the influence of the conjugation length on the TONO response is excluded and differences in TONO response exclusively originate from the topology present in the polymer structure.

Finally, the TONO response of the CPs was measured in solution using the third-
85 harmonic scattering (THS) technique.^{37,38} This technique is very convenient and allows for an efficient, sensitive and fast measurement of the TONO response, leading to very trustworthy results.

2. Results and discussion

In order to synthesize **P1-P3**, three main synthetic steps were performed: (i) a controlled chain-growth polymerization combined with (ii) post-polymerization reactions to prepare **P1** and finally (iii) a click reaction to synthesize **P2** and **P3**, where azide end-functionalized polymer arms (**P1**) were coupled to a central core containing alkyne functions. This strategy is the “coupling-onto” method and is preferred over the “core-first” strategy and the “arm-first” strategy since the “coupling-onto” method is the most convenient strategy when a controlled polymerization is desired.²⁵ An overview of the synthetic pathway is represented in Scheme 1. The precursor polymer **P1a** was synthesized using an externally initiated Ni-mediated Kumada catalyst transfer condensative polymerization (KCTCP).^{39,40} The external functionalized initiator **1**, which was responsible for implementing the functionalities on the polymer, underwent

in situ a ligand exchange with 1,3-bis(diphenylphosphino)propane (dppp) in order to obtain initiator **2**. Prior to polymerization, precursor monomer **3** was converted into monomer **4** using a Grignard metathesis reaction. The polymerization was terminated with acidified tetrahydrofuran whereafter precursor polymer **P1a** was obtained. Subsequently, two post-polymerization steps were performed, since it was not possible to introduce the azide function directly on the external initiator due to safety and incompatibility reasons.²⁵ In a first reaction, the silyl-protected end group on the initiator was transformed to an alcohol group using an excess of tetrabutylammonium fluoride trihydrate (TBAF·3H₂O) to achieve **P1b**. The azide end-functionalized polymer arm **P1** was obtained in a second reaction where the alcohol group was converted to an azide function using diphenylphosphoryl azide (DPPA) and 1,8-diazabicycloundec-7-ene (DBU).

Scheme 1: Representation of the synthetic pathway to obtain P1-P3.

After every polymerization and post-polymerization step, the controlled character of KCTCP and the quantitative conversion, respectively, was verified by a combination of gel permeation chromatography (GPC) and proton nuclear magnetic resonance (¹H

NMR). GPC measures the number average molar mass ($\bar{M}_n$) and the molar mass
dispersity ($\mathcal{D}_M$), while the degree of polymerization (DP) was estimated using ^1H NMR.
An overview of the obtained results is represented in Table 1. According to Figure 1,
the DP was obtained by determining the ratio between the integrated signal of the α -
methylene protons of the thiophene units over the integrated signal of the methyl
protons of the external initiator. In addition, ^1H NMR was used as well to monitor the
conversion of the post-polymerization reactions. The ^1H NMR signal of the CH_2 -group
next to the functional group of the initiator shifts depending on the functional group.²⁵
Therefore, the post-polymerization reactions were monitored with ^1H NMR until full
conversion was reached. Finally, the ^1H NMR spectra reveal that the polymers are H-
terminated.⁴¹ The triplet at 2.6 ppm indicates an H-terminated polymer chain. Since no
other peaks are present in this region and since the ratio of 3 protons for the methyl
protons of the initiator and 2 protons for the α -methylene protons of the last thiophene
unit was present, a controlled polymerization was obtained with optimal control over
the polymer structure. In order to get one more confirmation about the polymer
structures, MALDI-ToF measurements were performed. Figure 2 reports the mass
spectrum recorded for **P1**. As expected, one main distribution is present and
corresponds to **P1** H-terminated in one side and the desired functional end groups at the
other side. Note that a small subset of peaks at low molar mass (centered around 11)
seems to be present. The masses of the MALDI-ToF spectrum of the subset corresponds
to a P3HT with the same end-groups as the main set and the separation between the
peaks corresponds with the mass of one repeating unit. This shows that the nature of
the polymers of both sets are the same, but that the subset has a lower molar mass. Note
that MALDI-ToF overestimates lower molar masses. On GPC, only one set is visible.
So, either it concerns a very minor fraction that is not visible by GPC and negligible, or
it is simply due to the MALDI process. In the supporting information (SI) a comparison
with the theoretical model is represented in Figure S9. The corresponding GPC
chromatograms (Figure S1-S3) and ^1H NMR spectra (Figure S4-S6) are found in the
SI.

Figure 1: Determination of DP using ^1H NMR.

150 Table 1: Overview of the GPC results ($\bar{M}_n$ and $\bar{D}_M$) and ^1H NMR results (DP) for P1a-P1.

	$\bar{M}_n$ (kg/mol)	$\bar{D}_M$	DP
P1a	5.58	1.2	25
P1b	5.60	1.2	25
P1	6.03	1.2	25

Figure 2: MALDI-ToF spectra of P1. The number on the top of each signal corresponds to the number of monomer units, * corresponds to adducts of the polymer with matrix molecules (DCTB).

To synthesize **P2** and **P3**, the azide end-functionalized polymer arm (**P1**) was coupled to a central core containing alkyne functions using the Cu(I)AAC click reaction with Cu(I)Br as catalyst and N,N,N',N'',N''-pentamethyldiethylenetriamine (PMDTA) as ligand. For **P2**, synthetic route A (Scheme 1) was followed, while synthetic route B (Scheme 1) was followed for **P3**. In both cases, an excess of azide end-functionalized polymer arm **P1** was used with respect to the central core to ensure complete conversion. Therefore, after completion of the Cu(I)AAC click reaction, both polymers were purified by preparative GPC in order to remove the non-reacted azide end-functionalized polymers. The obtained GPC chromatograms before and after preparative GPC are represented in Figure 3.

Figure 3: GPC chromatograms of P2 and P3 before and after preparative GPC

After purification, GPC analysis was performed to estimate the $\bar{M}_n$, the $\bar{D}_M$ and ^1H NMR was measured to indicate that the click reaction and the removal of the free polymer were successful.²⁵ The ^1H NMR spectra of **P2** and **P3** are found in the SI (Figure S7-S8), while the obtained GPC results are represented in Table 2. Note that the $\bar{M}_n$ is approximately two (for **P2**) and three (for **P3**) times higher compared to **P1**, indicating the success of the click reaction as well. ^1H NMR reveals a DP of 32 and 27 for **P2** and **P3**, respectively. Furthermore, MALDI-ToF measurements were performed for **P2** and **P3** confirming that the expected structure was obtained. The spectra were represented in Figure 4A (for **P2**) and Figure 4B (for **P3**). Comparison with the theoretical model is presented in the SI (Figure S10-S11).

Table 2: The obtained GPC results of P2 and P3

	$\bar{M}_n$ (kg/mol)	$\bar{D}_M$
P2	14.7	1.05
P3	17.6	1.06

Figure 4: A: MALDI-ToF spectra of P2. The number on the top of each signal corresponds to the total number of monomer units ($n+m$). B : MALDI-ToF spectra of P3. The number on the top of each signal corresponds to the total number of monomer units ($n+m+o$).

After the successful synthesis of **P1-P3**, UV-vis spectroscopy and THS measurements were conducted by performing solvatochromism experiments. CPs dissolved in a good solvent, chloroform, behave like a random coil, which means that they are not in their extended conjugated state. As a consequence, no THS signal is detected.³⁸ Upon the incremental addition of nonsolvent, methanol, under continuous stirring and at a constant speed, supramolecular organization is induced in the CPs. This is evidenced by the appearance of a THS signal due to an increase in effective

200 conjugation length resulting from a planarization and upcoming π - π interactions, and
by the appearance of a redshift and a vibronic finestructure in the UV-vis spectrum.
Since supramolecular organization is required to measure a THS signal, the obtained
TONO response originates from the polymer aggregates. The molecular response of the
polymer aggregates can be obtained by measuring the second hyperpolarizability γ
205 using the THS technique.^{37,38,42,43}

UV-vis spectroscopy was conducted to confirm that the same conjugation length is
maintained for **P1-P3**. **P2** and **P3** contain more polymer arms attached to a central core,
but since the central core, the methylene and the triazole unit interrupt the conjugated
backbone, the conjugation length should be the same. The obtained UV-vis spectra are
210 represented in Figure 5. This reveals that λ_{max} in chloroform is within experimental error
similar, showing that the conjugation length is indeed not affected. However, an
intriguing trend is visible in the extinction coefficient, as it increases from **P1** to **P3**.
The vibronic peaks resulting from π - π interactions (548 nm and 600 nm) are situated at
the same wavelength for **P1-P3**, indicating that the conjugation length is maintained,
215 meaning there would be no influence of the conjugation length on the TONO response.
Note that **P1-P3** differ in the amount of π - π interactions in their polymer aggregates.
The Spano model is used to evaluate the strength of the π - π interactions present,
determined by the ratio between the line strength of the 0-0 transition over the 0-1
transition in the absorption spectrum.^{44,45} The deconvolution of the UV-vis spectra and
220 the related calculations are found in the SI (Figure S12-S14). The deconvolution of the
UV-vis spectra was performed at the percentage of methanol just before precipitation
took place (50% of methanol for **P1**; 33% of methanol for **P2** and 29% of methanol for
P3). The obtained ratio for **P1** is 2.82 ± 0.74 , while the strength of π - π interactions for
P2 and **P3** are respectively 4.34 ± 0.61 and 4.14 ± 0.19 . This indicates enhanced π - π
225 interactions and hence a stronger tendency to aggregate in contrast to **P1**. This is in line
with previous findings where star-shaped polymers show a stronger supramolecular
organization compared to single polymer arms.²⁵

Figure 5: UV-vis spectra of P1-P3. The different vibronic peaks are marked.

THS, which is a non-invasive and sensitive nonlinear scattering technique, was used to evaluate the TONO response. The polymer solution was illuminated with high intensity polarized light I_{ω} , originating from a high-power pulsed laser beam at an incident wavelength of 1300 nm, which is the most favorable excitation laser wavelength for conjugated polymers.⁴⁶ Since third-order nonlinear scattering implies that scattering of the output photons occurs at the triple frequency 3ω compared to the incident frequency ω , the intensity of the scattered light $I_{3\omega}$ that contributes to the THS signal is detected at one-third of the incident wavelength, implying detection at 433 nm.^{42,43} In addition, the measured intensity $I_{3\omega}$ originates completely from the polymer aggregates, since the signal coming from the solvents, chloroform and methanol, is negligible.³⁸

At first, THS measurements were performed using a static solvatochromism approach. Methanol was added stepwise to the polymer solution under continuous stirring (500 rpm) and at a constant speed (0.25 mL/min) using an automatic syringe pump. THS spectra were recorded after each methanol addition. The obtained spectra are represented in Figure 6. The THS signal, in which we are interested, is the small peak located at 433 nm. The broad band located at longer wavelengths corresponds to multiphoton fluorescence and the small peak at 650 nm originates from the second harmonic scattering signal.

Figure 6: THS spectra of P1-P3 according to the static solvatochromism experiments. The THS signal, in which we are interested, is the small peak located at 433 nm. The broad band located at longer wavelengths corresponds to multiphoton fluorescence and the small peak at 650 nm originates from the second harmonic scattering signal.

The obtained intensity $I_{3\omega}$ of the TONO response for **P1-P3** is situated around the same number of counts on the detector (between 2000 and 3000 counts), with a slightly lower detectable response for **P3**, meaning that there is only a small influence of the topology on the TONO response of the polymer aggregates. Although **P3** shows a slightly lower TONO response, the difference is not significant. In order to refine the observations, another THS measurement was conducted. Again, a solvatochromism experiment was performed, but in contrast to the previous measurement where the nonsolvent was added stepwise, methanol was continuously added at a constant speed (0.07 mL/min) until a percentage of 60% methanol was reached, while the polymer solution, which was placed in the THS set-up, was stirred and THS spectra were recorded simultaneously. The intensity $I_{3\omega}$ was recorded continuously as a function of the percentage of methanol added, whereafter the area of the THS peak A_{THS} was determined using a Gaussian fit function. The concentration corrected obtained area A_{THS} was plotted against the percentage of methanol added and the resulting plot is represented in Figure 7.

Figure 7: Area A_{THS} as a function of the percentage of methanol added for **P1-P3.**

The obtained area under the THS peak, which is a measure for the magnitude of the TONO response, is almost twice as high for **P2** compared to **P1**. This difference is attributed to the strength of π - π interactions since both polymers have a linear topology. According to the Spano model, **P2** possesses stronger π - π interactions. Since previous research demonstrated that excellent π - π interactions give rise to a large TONO response,⁴⁶ the larger TONO response of **P2** compared to **P1** can be explained by the stronger π - π interactions present. However, since the strength of the π - π interactions between **P2** and **P3** is the same, they cannot be responsible for the difference in TONO response, meaning that the topology is responsible as it is the only varying parameter between **P2** and **P3**. Surprisingly, trigonal nonlinear topologies do not lead to a higher TONO response of the polymer aggregates compared to their corresponding linear counterparts.

As already been stated, CPs have to be in their supramolecular organized state to detect a THS signal, meaning that the measured TONO response originates from the polymer aggregates. In order to evaluate the TONO response at the molecular level of the CPs, the second hyperpolarizability γ was calculated from the polymer aggregates using Equations (1) and (2):^{37,38}

$$\langle \gamma^2 \rangle \sim \frac{I_{3\omega}}{NI_{\omega}^3} \quad (1)$$

$$\langle \gamma \rangle \sim \sqrt{\frac{I_{3\omega}}{NI_{\omega}^3}} \quad (2)$$

Where the brackets denote oriental averaging over all possible molecular orientations, $I_{3\omega}$ the scattered intensity at the triple frequency, I_{ω} the intensity of the incident wavelength and N the concentration of the polymer solution, where dilution with methanol was taken into account. The obtained $\langle \gamma \rangle$ was plotted in function of the added percentage of methanol and is represented in Figure 8.

Figure 8: Obtained $\langle \gamma \rangle$ as a function of the percentage methanol for P1-P3.

The same conclusion as from Figure 8 can be concluded, with the exception that the differences on the molecular level are smaller and the obtained $\langle \gamma \rangle$ lie closer to each other. Note that the obtained $\langle \gamma \rangle$ are in the same range with $\langle \gamma \rangle$ obtained from previous research on poly(thiophene)s. This confirms that poly(thiophene)s lead to a high TONO response.⁴⁶ For the linear polymers, **P1** and **P2**, **P2** shows a higher $\langle \gamma \rangle$ as a result of enhanced π - π interactions. While, when the trigonal nonlinear polymer is compared to its linear counterpart **P2**, the trigonal nonlinear topology does not give a higher $\langle \gamma \rangle$. Although in theory, nonlinear topologies should give rise to a higher TONO response, this effect is not experimentally verified. Modifying a linear topology to a trigonal nonlinear topology with the same amount of π - π interactions does not lead to a significant enhancement of the TONO response.

Finally, dynamic light scattering (DLS) measurements were performed to evaluate whether the TONO response was related to the size of the polymer aggregates. The size of the formed polymer aggregates was measured at several percentages of methanol added under solvatochromism experimental conditions. The diameter and the polydispersity index (PDI) are represented in Figure 9.

Figure 9: Diameter and PDI of the formed polymer aggregates for P1-P3.

Before the start of the supramolecular organization (the region between 0 and 20% methanol for **P2** and **P3**, the region between 0 and 25% methanol for **P1**), large diameter sizes are present as a result of the formation of solvent clusters.³⁸ However, with the start of the supramolecular organization, the diameter decreases upon expelling the solvent out of the cluster and stacks of polymer aggregates are formed. Upon adding

more methanol, the diameter of the polymer aggregates slightly increases with a larger
325 detectable diameter for **P3** compared to **P2** and **P1**. The results of the DLS
measurements are given up to 35% methanol, since flocculation occurred for higher
methanol percentages. Although, trigonal nonlinear CPs maintain a larger
hydrodynamic volume compared to their linear counterparts, the results cannot be
correlated to the obtained TONO response and absorption spectra. The diameters
330 observed for **P1** and **P2** are almost comparable, while the two polymers show a clear
difference in vibrational finestructure in the UV-vis spectrum and a difference in TONO
response. In contrast, **P2** and **P3** have the same amount of π - π interactions, but the size
of the polymer aggregates are slightly different and there is as well no apparent
correlation with the TONO response for **P1**, **P2** and **P3**.

335 It is clear that the trigonal topology does not increase the overall TONO response.
One possible explanation could be that also a contribution of quadrupole interactions is
present that lowers the overall TONO response. Indeed, a trigonal geometric leads to
quadrupole interactions that result in a strong TONO response. Second, if the
orientation of the arms towards each other is not random, cooperative and anti-
340 cooperative effects can be present and the TONO response does not equal the sum of
the individual arms.⁴⁷ This can therefore explain the observed effects.

3. Conclusions

Two linear CPs and one trigonal nonlinear CP were successfully synthesized using
KCTCP, post-polymerization reactions and the Cu(I)AAC click reaction, whereafter
345 they were measured with THS in order to evaluate the TONO response using
solvatochromism experiments. The three CPs have the same conjugation length, so this
parameter cannot explain differences in TONO response. The two linear CPs have a
different amount of π - π interactions, which can explain their different TONO response.
However, since the trigonal nonlinear CP has the same strength of π - π interactions as
350 its linear counterpart, a difference in TONO response between these two polymers must
be of topological origin. Although trigonal nonlinear topologies could have a higher
TONO response compared to linear ones, as more contribution can be present, this

effect was not experimentally found. The measured TONO response is lower compared to its linear counterpart with the same amount of π - π interactions, meaning that trigonal
355 nonlinear CPs do not enhance the TONO response. The difference in TONO response must therefore be attributed to cooperative anti-cooperative effects between conjugated systems that are electronically uncoupled and oriented towards each other by the coupling to a central core in a given geometry.

Conflicts of interest

360 “There are no conflicts to declare”.

Abbreviations

CPs, conjugated polymers; Cu(I)AAC, Copper(I)-catalyzed Azide Alkyne Cycloaddition; Đ, dispersity; DBU, 1,8-diazabicycloundec-7-ene; DP, degree of polymerization; DPPA, diphenylphosphoryl azide; dppp, 1,3-
365 bis(diphenylphosphino)propane; DLS, dynamic light scattering; γ , second hyperpolarizability; GPC, gel permeation chromatography; KCTCP, Kumada catalyst transfer condensative polymerization; $\bar{M}_n$, number average molar mass; PDI, polydispersity index; PMDTA, N,N,N',N'',N''-pentamethyldiethylenetriamine; PT, poly(thiophene); SI, supporting information; THS, third-harmonic scattering; TONO,
370 third-order nonlinear optical.

Notes

Supporting Information is available free of charge: Materials, Instrumentation, experimental details, Additional GPC chromatograms, ^1H NMR spectra, MALDI-ToF spectra, Deconvoluted UV-vis spectra.

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