

## Enhanced methanol electro-oxidation activity of nanoclustered gold

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### Abstract

Size-selected 3 nm gas phase Au clusters dispersed by cluster beam deposition on a conducting fluorine-doped tin oxide template show strong enhancement in mass activity for the methanol electro-oxidation reaction compared to previously reported nanostructured gold electrodes. Density functional theory based modelling on the corresponding Au clusters guided by experiments attributes this high methanol electro-oxidation activity to the high density of exposed under-coordinated Au atoms at their faceted surface. In the description of the activity trends, vertices and edges are the most active sites due to their favorable CO and OH adsorption energies. The faceted structures occurring in this size range, partly preserved upon deposition, may also prevent destructive restructuring during the oxidation-reduction cycle. These results

45 highlight the benefits of using cluster beam deposition in fine-tuning material properties on the  
46 nanoscale and designing high-performance fuel cell electrodes with less material usage.

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## 50 **Introduction**

51  
52 Direct Methanol Fuel Cell (DMFC)<sup>[1]</sup> is a rapidly emerging clean energy technology that  
53 enables the direct conversion of the chemical energy stored in methanol fuel to electricity.<sup>[2]</sup>  
54 However, its widespread commercialization is currently hindered by an extensive usage of  
55 precious noble metals as catalyst in order to drive high methanol consumption and energy  
56 conversion efficiency. Therefore, reducing their usage yet maintaining favorable  
57 electrochemical activities becomes necessary for any practical energy harvesting application of  
58 DMFCs.<sup>[3]</sup>

59 Another challenge is to maintain good catalyst stability at low loadings under DMFC operating  
60 conditions.<sup>[4]</sup> For example, Pt is strongly poisoned by the accumulation of the methanol electro-  
61 oxidation (MEO) reaction residues such as COH and CO on its surface, compromising the  
62 device durability.<sup>[5]</sup> Switching from an acid electrolyte to an alkaline one and/or making  
63 combinations with oxophilic components enhances Pt activity, given that the presence of  
64 adsorbed OH accelerates the CO oxidation step.<sup>[6]</sup> However, such aqueous conditions corrode  
65 Pt considerably over time and potentially deteriorate its overall performance.<sup>[7, 8]</sup>

66 Developing a non-Pt electrocatalyst based on Au that features good activity, tolerance to  
67 intermediate carbon residue poisoning, and stability under alkaline conditions is also a  
68 promising strategy.<sup>[9, 10, 11]</sup> However, the inefficient kinetics of bulk Au MEO catalysts hinders  
69 their practical applications in DMFCs.<sup>[12-14]</sup> In contrast, gold nanoparticles (NPs) supported on  
70 polyaniline (PANI)<sup>[15]</sup> and activated carbon (Au/C) have demonstrated a remarkable activity in  
71 MEO reaction,<sup>[10, 16]</sup> as well as in reduction of carbon dioxide and oxygen evolution reaction  
72 (OER).<sup>[17, 18]</sup> Poorly active in its bulk form, Au in the form of NPs and clusters below 6 nm in

73 diameter exhibit indeed an extraordinary high catalytic activity for numerous oxidation and  
74 reduction (electro)catalytic reactions.<sup>[19, 20, 21]</sup> CO oxidation reaction over TiO<sub>2</sub> supported Au  
75 clusters was found to be highly dependent on size of the Au clusters with a maximum activity  
76 occurring at 3.2 nm.<sup>[22]</sup> Similar size effects have been demonstrated for Au NPs used as  
77 electrocatalyst for the oxygen reduction reaction (ORR), where the activity also reaches a  
78 maximum for a particle size around 3 nm.<sup>[23, 24]</sup>

79 Among the numerous effects at the origin of the unusual catalytic properties of nanosized Au  
80 such as the preparation method and activation procedure, the Au layer thickness, the interaction  
81 with the support material and the intrinsic cluster strain, the availability of many under-  
82 coordinated Au atoms on the small particles is by far the dominant one.<sup>[19, 25]</sup> Most of the surface  
83 Au atoms in small particles are likely to participate in chemisorption of the reactants, the  
84 propensity of which depends on the extent of coordination of the Au atom(s) acting as  
85 adsorption site.<sup>[26]</sup> Both O and CO binding energies are lowered (stronger bonding) by up to ca.  
86 1 eV going from 9-fold coordinated Au atoms on Au(111) faces to 4-fold coordinated Au atoms  
87 in Au<sub>10</sub> clusters.<sup>[19]</sup> This directly results from an upshift towards the Fermi level of the d states  
88 of the low coordinated Au atoms enabling strong interaction of those d states with the O 2p  
89 valence states.<sup>[19, 27]</sup>

90 Designing higher performance Au catalysts requires increasing the concentration of under-  
91 coordinated sites of relatively low coordination number at the surface of gold. This could be  
92 achieved by decreasing the Au particle size,<sup>[19]</sup> but also by controlling the particle shape and  
93 crystalline structure.<sup>[28]</sup> Conventional chemical preparation methods have demonstrated only  
94 modest abilities to control the structural properties of Au NPs. Highly dispersed Au catalysts  
95 prepared by dc sputtering, deposition-precipitation, gas-phase, and liquid-phase grafting of  
96 organo-gold complexes using an Al<sub>2</sub>O<sub>3</sub>, SiO<sub>2</sub>, or TiO<sub>2</sub> support with relative control over Au  
97 NPs mean diameter and shape exhibit higher overall catalytic activities compared with those  
98 prepared by impregnation.<sup>[29]</sup> Although preformed size-selected Au colloids allow for a better

99 definition of the particle size, the presence of protecting ligands is generally limiting their  
100 catalytic activity and preventing the formation of a crystalline faceted surface.<sup>[30]</sup> Moreover,  
101 removal of the ligands to obtain catalytically active “naked particles” requires additional,  
102 possibly destructive, activation treatments.<sup>[21]</sup> Direct electrodeposition of large Au NPs on the  
103 electrode surface<sup>[15]</sup> was also reported but only limited size selection was obtained.

104 Cluster beam deposition (CBD) combined with mass selection is a physical method that allows  
105 production of naked Au clusters highly controlled in terms of particle size, deposition energy,  
106 and coverage (average density) on any type of substrate surface.<sup>[31, 32]</sup> Such Au clusters as  
107 produced and deposited by CBD are free of surfactant molecules and generally possess well-  
108 defined atomic configurations specific to the cluster size and that can differ significantly from  
109 the bulk,<sup>[32]</sup> as demonstrated for size-selected Au<sub>20</sub>,<sup>[33]</sup> Au<sub>55</sub>,<sup>[34]</sup> Au<sub>309</sub>,<sup>[35]</sup> and Au<sub>923</sub>.<sup>[36]</sup> Besides,  
110 CBD synthesis can be adjusted in a way that most of these clusters possess highly faceted  
111 structures with a high concentration of edge and vertex surface atom sites and a limited fraction  
112 of amorphous structures (below 22% in a study of Au<sub>923</sub>).<sup>[32]</sup> The use of these well-defined Au  
113 clusters, produced in the gas phase and beam-deposited subsequently, as catalysts may  
114 contribute to obtaining a clear understanding of nanocatalysis at atomic or molecular scale that  
115 still remains elusive.<sup>[30, 37]</sup> In the remainder of this paper we refer to these clusters as NC. NC  
116 stands for nanoclusters, where nano refers to the size of the particles and cluster refers to the  
117 gas phase cluster beam production methodology used.

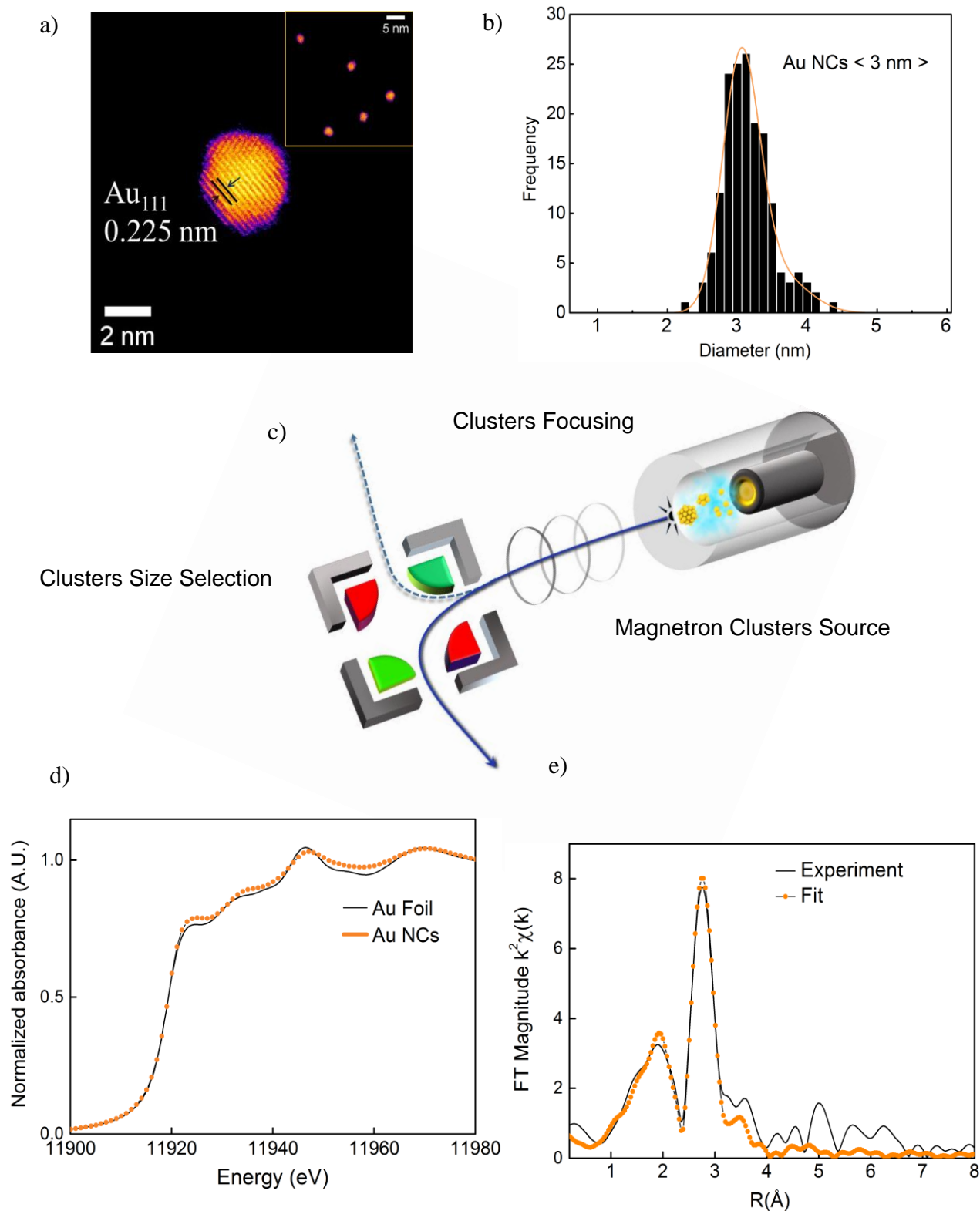
118 In this paper, we describe a highly active DMFC anode for the MEO reaction based on dispersed  
119 3 nm diameter Au NCs, corresponding to the optimal activity size reported for (electro)catalytic  
120 reactions. We demonstrate that CBD synthesis of size-selected Au clusters offers efficient and  
121 stable utilization of active metal.<sup>[23] [38]</sup> The structural and electrochemical properties of  
122 deposited Au NCs were evaluated by a combination of aberration-corrected Scanning  
123 Transmission Electron Microscopy (STEM) employing High Angle Annular Dark Field  
124 (HAADF) detectors, X-ray Absorption Fine Structure (XAFS) spectroscopy, Scanning Electron

125 Microscopy (SEM) and Cyclic Voltammetry (CV) techniques. Au NCs on fluorine-doped tin  
126 oxide (FTO) demonstrate a strongly enhanced MEO activity in comparison to Au colloids  
127 synthesized by wet chemistry and drop-casted on FTO supports. The intrinsic activity of the  
128 naked Au cluster is rationalized using Density Functional Theory (DFT). Calculations based on  
129 model clusters of this size allow achieving a comprehensive understanding of the role of  
130 different Au NCs surface sites in the MEO process.

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### 132 **Main Text**

133 A home built magnetron sputtering CBD setup was used for producing Au clusters. Following  
134 deposition their structural properties were characterized in detail by various methods (**Figure**  
135 **1**). In brief, Au clusters were synthesized by condensation of Ar sputtered Au atoms from a  
136 bulk Au target in a controlled atmosphere of Ar and He, while the condensation chamber was  
137 cooled to liquid nitrogen temperatures. Preformed cationic Au clusters were size-filtered during  
138 flight using an orthogonal ion bender and subsequently deposited on either carbon TEM grids,  
139 boron doped amorphous SiO<sub>2</sub>/Si (100) wafers, or FTO supports held at room temperature under  
140 UHV conditions. Precise tuning of the deposition energy and the particle density on the surface  
141 was achieved by adjusting the substrate bias voltage, ensuring soft landing of isolated clusters  
142 with a kinetic energy of less than 0.2 eV per atom, and monitoring the current from the beam  
143 of charged clusters, respectively. The surface coverage was kept below 20% of a monolayer of  
144 size-selected Au NCs. As will be discussed further on, under these conditions cluster  
145 fragmentation and agglomeration is limited. Unlike wet chemistry, no further calcination or  
146 activation steps are required after deposition.



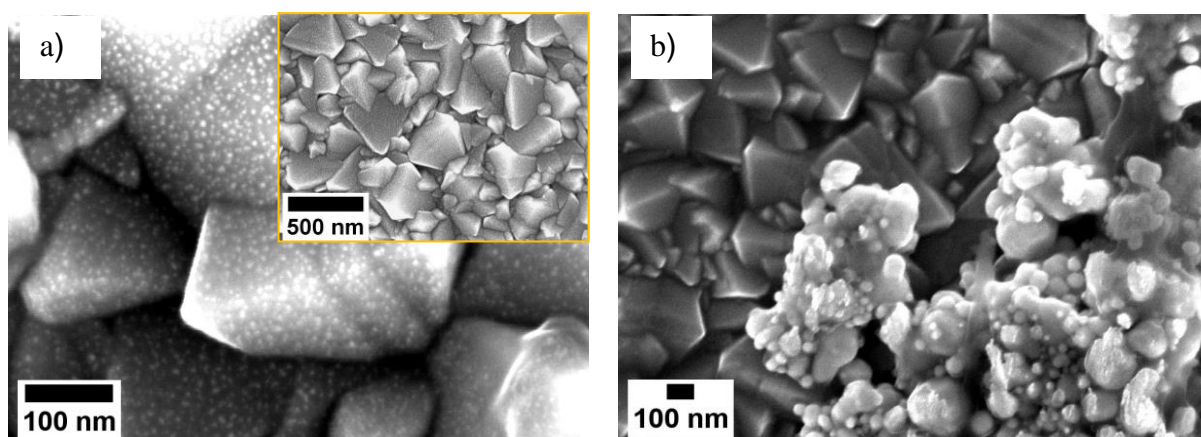
**Figure 1:** Synthesis and atomic scale structural properties of Au NCs. (a) Sub-Ångström resolution STEM-HAADF images of Au NCs. (b) Histogram distribution of Au NCs diameter. (c) Schematic illustration of magnetron CBD technology. (d) Fluorescence detected Au L<sub>3</sub> XANES of Au NCs on a SiO<sub>2</sub>/Si(100) wafer compared to a reference Au foil. (e) Best fit of Au NCs Fourier transform of k<sup>2</sup> weighted EXAFS.

148 A representative STEM-HAADF image of a surfactant-free Au NC with sub-Ångström  
149 resolution is presented together with the histogram of Au NCs size distribution in Figures 1a  
150 and 1b, respectively. The STEM image shows that the preformed Au clusters remain intact  
151 upon deposition, presenting a sharp narrow particle size distribution on the surface. A mean  
152 diameter of Au NCs of 3.0 nm with a standard deviation of 0.3 nm was obtained by measuring  
153 over 160 NCs. The shoulder in the distribution at 4 nm corresponds to a fraction of about 10%  
154 of doubly charged clusters of twice the mass of the main peak in the distribution that are selected  
155 together with the singly charged smaller clusters by the quadrupole bender. Detailed STEM  
156 analysis indicates that individual Au NCs have ordered faceted geometries, with identifiable  
157 lattice fringes matching the Au(111) atomic plane configuration (Figure 1a and S1). This is in  
158 line with an earlier study of well-defined Au<sub>923</sub> prepared by CBD, whose population is  
159 composed of a mixture of icosahedral (Ih), decahedral (Dh), and face-centered cubic (FCC)  
160 structural motifs.<sup>[32]</sup> The individual fractions of aforementioned structures depend on the  
161 synthesis parameters.<sup>[32]</sup>

162 Electron microscopy characterization was complemented by the determination of the average  
163 structural and electronic properties of Au NCs deposited on a silicon wafer using XAFS  
164 spectroscopy. The Au L<sub>3</sub>-edge XANES (X-ray Absorption Near Edge Structure) of the Au NCs  
165 shows damped oscillations compared to reference Au metal foil, confirming their nanosize  
166 (Figure 1d). The edge position overlaps with that of Au foil confirming the absence of isolated  
167 cationic Au species on the support. The modest white line intensity observed has been ascribed  
168 to the completely filled d-orbitals of metallic Au.<sup>[39]</sup> The combined XANES edge position and  
169 white-line intensity thereby provides direct evidence that the Au NCs are predominantly  
170 composed of Au species in zero-valence state. The best fit of the Fourier transform of the k<sup>2</sup>-  
171 weighted Au L<sub>3</sub> edge EXAFS (Extended X-ray Absorption Fine Structure) (Figure S2) and the  
172 corresponding structural results are presented in Figure 1e and Table S1, respectively. The first  
173 shell coordination number (CN) is reduced to 10.3 versus 12 in a bulk Au foil, highlighting the

174 large fraction of surface atoms in the Au NCs. This value gives a theoretical diameter of  $(2.8 \pm$   
175  $0.8)$  nm fully in agreement with the STEM results.<sup>[40]</sup> The Au-Au bond distance of  $2.85 \text{ \AA}$  of  
176 the first coordination shell corresponds to a contraction of about 1% compared to a bulk Au foil  
177 ( $2.88 \text{ \AA}$ ), an effect that is generally observed in nanosize gold.<sup>[41]</sup> EXAFS analysis confirms  
178 that the gaseous Au clusters retain their three dimensional shape upon deposition resulting from  
179 soft-landing the clusters on the support and limited interaction with the surface.

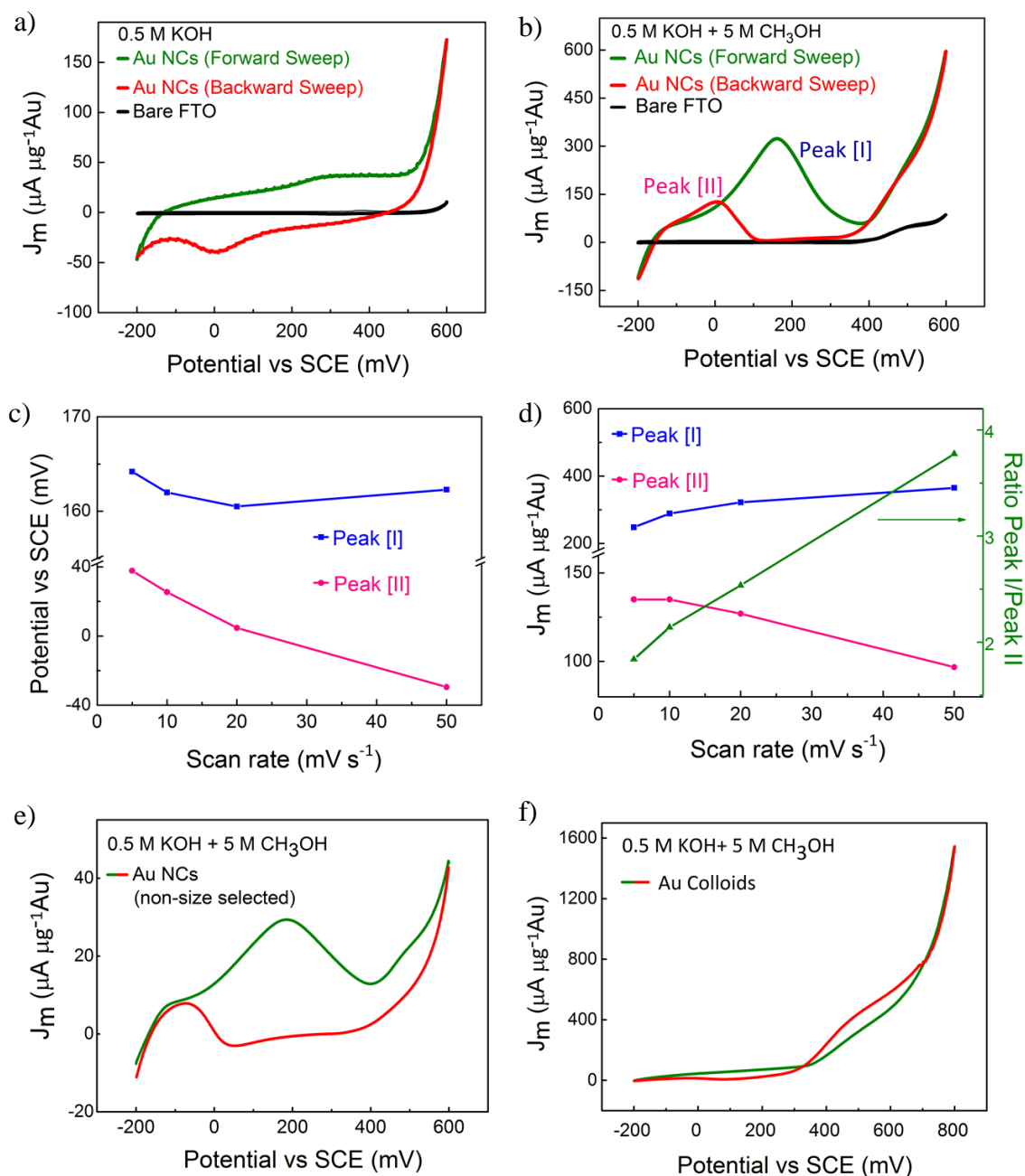
180 Finally, the nanotextured surface of bare FTO (Figure S3) and that modified by Au depositions  
181 was characterized by high resolution SEM. Images of FTO electrodes modified by deposition  
182 of gaseous Au clusters using CBD and by colloidal Au NPs of similar size (Figure S4) prepared  
183 via a wet chemistry route, used as control sample, are presented in **Figure 2**. The Au NCs,  
184 identified as bright speckles, are deposited homogeneously and highly dispersed on the  
185 electrode surface (Figure 2a). The global gold metal loading at the surface of the Au NCs  
186 modified FTO electrode is  $425 \text{ ng cm}^{-2}$ , as determined by Rutherford Backscattering  
187 Spectroscopy (RBS). It is matching the coverage estimate of 20% of a close packed monolayer  
188 of Au NCs on the FTO surface derived from monitoring the NCs current during deposition.  
189 Unlike Au NCs, drop-casted Au colloids were not stable against agglomeration. The sample  
190 prepared from Au colloids has a metal loading of  $21 \text{ \mu g cm}^{-2}$ (Figure 2b).



**Figure 2:** High Resolution SEM images of (a) Au NCs modified FTO and (b) colloidal Au modified FTO. The inset image in (a) shows the global view of deposited Au NCs.



191 The catalytic activity of the as-synthesized Au NCs modified FTO towards MEO was evaluated  
 192 by measuring their CV curves in a 0.5 M KOH solution at a scan rate of  $20 \text{ mV s}^{-1}$ , as shown  
 193 in **Figure 3**. In the methanol-free KOH solution the bare FTO electrode is inert at potentials  
 194 below 500 mV, in line with the requirement of minimum capacitive currents from the support



**Figure 3:** MEO activity of 3nm Au NCs at scanning rate of  $20 \text{ mV s}^{-1}$ . Cyclic scans of bare FTO and Au NCs modified FTO under (a) 0.5 M KOH and (b) 0.5 M KOH and 5 M CH<sub>3</sub>OH. c) MEO peak potentials versus scan rate; (d) MEO peak current densities and their ratio versus scan rate. MEO activity of control Au samples (e) non size-selected gaseous Au NCs and (f) colloidal Au nanoparticles.

195 (Figure 3a). CV of Au NCs modified FTO in the methanol-free KOH solution consists of four  
 196 distinctive regions (Figure 3a). From 70 to 130 mV  $\text{Au-OH}_{\text{ads}}^{(1-\lambda)-}$  is formed at the surface of  
 197 Au NCs<sup>[10, 42]</sup> according to  $\text{Au} + \text{OH}^- \rightarrow \text{Au-OH}_{\text{ads}}^{(1-\lambda)-} + \lambda e^-$ , where ads denotes chemical  
 198 adsorbed species on the clusters. The charge-transfer coefficient  $\lambda$  varies between 0 and 1.<sup>[14, 43]</sup>  
 199 In the second region (130-400 mV) the surface of the Au NCs is oxidized to form gold  
 200 (hydro)oxide species with Faradaic current flowing through the interface.<sup>[44]</sup> From 400 to 600  
 201 mV, a compact gold (hydro)oxide monolayer is generally formed<sup>[11]</sup> that is electrochemically  
 202 reduced to form a cathodic current peak at ca. 0 mV during the negative scan.<sup>[11, 14, 45]</sup> In the last  
 203 region around 600 mV the large current increase is likely due to the decomposition of the  
 204 electrolyte at high potentials resulting in the oxygen evolution reaction (OER).<sup>[46]</sup> The much  
 205 more limited current increase observed in the same region for bare FTO highlights the high  
 206 catalytic activity of Au NCs towards OER.

207 Upon addition of methanol (5M), the CV of Au NCs modified FTO in the KOH solution  
 208 exhibits two clear peaks related to the MEO process assigned as Peak I and II in the forward  
 209 and backward potential scan, respectively (Figure 3b). The low curve region of Peak I at 0.0  
 210 mV with an amplitude of  $128 \mu\text{A} \mu\text{g}^{-1}$  corresponds to the onset of the oxidation of methanol  
 211 into formate via a four-electron transfer according to reaction (1):<sup>[14, 47]</sup>  $\text{CH}_3\text{OH} + 5\text{OH}^- \rightarrow$   
 212  $\text{HCOO}^- + 4\text{H}_2\text{O} + 4e^-$ , whose intensity is limited by the low concentration of  $\text{Au-OH}_{\text{ads}}^{(1-\lambda)-}$   
 213 formed on the gold surface atoms. As the potential increases the more concentrated  $\text{Au-OH}_{\text{ads}}^{(1-$   
 214  $\lambda)-}$  oxidize methanol into formate with enhanced efficiency forming the intense Peak I at 160  
 215 mV.<sup>[11, 12, 14, 47, 48]</sup> This peak that appears before the formation of a gold (hydro)oxide represents  
 216 an exceptional mass normalized current density of  $329 \mu\text{A} \mu\text{g}^{-1} \text{Au}$  and an electrochemically  
 217 active surface area (ECSA) normalized current density of  $1.85 \text{mA cm}^{-2}$  despite Au loading in  
 218 the nanogram range. The current density then decreases sharply due to the formation of a  
 219 (hydro)gold oxide monolayer on the surface of Au NCs that restrains the MEO by decreasing

220 the number of available  $\text{OH}_{\text{ads}}$ .<sup>[16]</sup> Peak I is followed, after the exchange between  $\text{OH}^-$  and O  
221 species (“turnover”) by an exponential burst in the current output from 400 mV onwards. It  
222 corresponds to the methanol oxidation into carbonates by a compact gold (hydro)oxide  
223 monolayer formed at these high potentials via a six-electron transfer reaction (2):<sup>[12, 14, 48]</sup>  
224  $\text{CH}_3\text{OH} + 8\text{OH}^- \rightarrow \text{CO}_3^{2-} + 6\text{H}_2\text{O} + 6\text{e}^-$ . In the backward scan, no hysteresis is observed down  
225 to 400 mV suggesting that the extent of the gold (hydro)oxide formed is limited in this high  
226 potential range. This is further confirmed by the absence of a electrochemical reduction peak  
227 just above 0 V and the early onset of Peak II at 100 mV once the Au NC surface is free of  $\text{OH}_{\text{ads}}$ .  
228 Similarly a slightly positive hysteresis is observed between 0 and -200 mV suggesting that the  
229 Au NCs surfaces are not degraded compared to those observed in the first forward scan. This  
230 indicates a fully reversible oxidation-reduction of the Au NC surfaces during the CV.  
231 The dynamics of the methanol oxidation reaction on Au NCs estimated by adjusting the scan  
232 rate (Figure S5) is summarized in Figures 3c and d. Upon increasing scan rates the potential of  
233 Peak I remains constant, while Peak II shifts towards lower potentials. Peak I current increases  
234 and is proportional to the square root of the scan rate, suggesting that the oxidation of methanol  
235 is a Faradic process.<sup>[49]</sup> The Peak II current is higher at low scan rates, in stark contrast to the  
236 typical trend seen in surface confined or diffusion controlled voltammetry.<sup>[50, 51]</sup> This is due to  
237 the significant removal of  $\text{OH}^-$  by methanol from the Au NCs surface for the low scan rates.  
238 This is further manifested by the shape of the CV experiment at  $50 \text{ mV s}^{-1}$  in which the  
239 hysteresis builds up between the forward and backward scans above 400 mV, and the small  
240 reduction peak is observed at 100 mV, causing the late onset of Peak II.  
241 The MEO activity of Au NCs was compared to two similar control electrodes: i) FTO  
242 supporting sub 5 nm non size-selected gaseous Au clusters prepared by an alternative laser  
243 ablation CBD source (Figure S6 and 3e) and ii) FTO supporting drop-casted Au colloids  
244 prepared by wet chemistry (Figure S4 and 3f). Size-selected 3 nm Au NCs show by far the best

245 electrochemical properties with a much higher activity towards MEO than both the non size-  
246 selected CBD Au NCs and the chemically prepared drop-casted Au colloids.

247 Mass activity of sub 5 nm non size-selected Au NCs is 11 times lower than that of the size-  
248 selected 3 nm Au NCs. Moreover a five-time increase of the non-size selected Au NCs loading  
249 produces only less than a doubling of the mass activity ( $29 \mu\text{A} \mu\text{g}^{-1} \text{Au}$  to  $52 \mu\text{A} \mu\text{g}^{-1} \text{Au}$ , Figure  
250 S7). In contrast with size-selected Au NCs, the strong hysteresis between forward and backward  
251 scans occurring above 400 mV indicates a marked oxidation of the NC surface that is  
252 accompanied with a marked reduction peak at 0 V. At the highest scan rate of  $50 \text{ mVs}^{-1}$ , the  
253 hysteresis above 400 mV is found to be more pronounced indicating stronger oxidation of the  
254 Au NCs surface. Unlike low scan rates, a further drop in the Faradic current is observed below  
255 -100 mV during the backward scan demonstrating that the original activity in the onset of four-  
256 electron oxidation has decreased. This suggests that a substantial number of under-coordinated  
257 active sites has been lost in the CV, either by an incomplete reduction and/or a restructuring of  
258 the surface upon reduction highlighting the instability of the non size-selected NCs towards  
259 oxidation-reduction cycle. Surface restructuring<sup>[44, 52]</sup> of monocrystalline Au surfaces upon Au  
260 electro-oxidation and subsequent reduction generally promotes a roughening of smooth bulk  
261 electrode surfaces due to the development of monoatomic pits during the oxide reduction.<sup>[53]</sup>  
262 At high applied potentials it may even become destructive if a significant ‘turnover process’  
263 occurs.<sup>[52, 54]</sup>

264 Finally, chemically prepared Au colloid electrodes (Figure 3f) did not show any MEO activity  
265 peaks (Peak I and II) despite the Au loading being 50 times higher ( $21 \mu\text{g} \text{cm}^{-2}$ ) than that of Au  
266 NCs samples. This may be attributed to a significant decrease in the ECSA caused by  
267 agglomeration of NPs on the FTO surface (Figure 2b) as well as to the presence of  
268 cetyltrimethylammonium bromide (CTAB) surfactant remaining at the Au surface that may  
269 both limit the access of the reactants to the active sites and hinder their formation at the Au  
270 metal surfaces. The detrimental role of surfactant passivating the surface of Au NPs on their

271 catalytic activity is supported by the absence of MEO activity (Figure S9) on a more dispersed  
272 test sample of drop-casted colloidal Au NPs intentionally left unwashed on the FTO surface  
273 (SEM image presented in Figure S8).

274 The stability and electrochemical activity of FTO modified with Au NCs and colloidal Au NPs  
275 were measured by chronoamperometry. The electrodes were held at a potential of 0.158 V for  
276 400 s in stationary electrolytes (0.5 M KOH+ 5 M CH<sub>3</sub>OH). The temporal evolution of the Au  
277 mass normalized current (Figure S10) demonstrates, in both systems, a drop in the initial  
278 currents followed by a tendency to approach a limiting value. The relatively longer time taken  
279 by Au NCs to reach stable currents reflects the higher stability of Au NCs compared to Au  
280 colloids. At 400s, the Au mass normalized currents measured for Au NCs and colloidal Au NPs  
281 are 116.5  $\mu\text{A } \mu\text{g}^{-1}\text{Au}$  and 0.6  $\mu\text{A } \mu\text{g}^{-1}\text{Au}$ , respectively. This high rate further confirms the  
282 outstanding catalytic performance and relative stability over this timescale of Au NCs towards  
283 methanol oxidation. Compared to the mass activity of chemically prepared nanostructured Au  
284 catalysts reported in literature, for example, Au NPs supported on carbon (48.6  $\text{mA}\cdot\text{mg}^{-1}$   
285 Au),<sup>[16]</sup> AuNi/C (52.9  $\text{mA } \text{mg}^{-1}$  Au),<sup>[55]</sup> and hollow nanoporous gold and solid Au (equivalent  
286 to 42.5  $\text{mA } \text{mg}^{-1}$  Au at 5M MeOH),<sup>[56]</sup> the Au NCs catalyst presents a 6 to 7 times enhanced  
287 activity under identical methanol electro-oxidation conditions.<sup>[51]</sup> Pairing Au with other  
288 elements and/or active supports<sup>[57]</sup> may improve the mass activity of Au-based NCs further to  
289 match that of state-of-the-art Pt/C catalyst that was found 4 times more active under the test  
290 conditions used in this work (Figure S11).

291

## 292 **Origin of nanoclustered gold catalytic activity enhancement**

293 To unravel the origin of the superior electrocatalytic properties of Au NCs, the relationship  
294 between their physical characteristics and their MEO activity was further investigated by first-  
295 principles calculations. We have computationally synthesized an Au cluster with  
296 experimentally known 3 nm size. The geometric structure of the Au cluster obtained using

297 simulated annealing (starting from a Wulff constructed 3 nm particle consisting of 807 atoms)  
298 closely resembles that of the deposited catalyst (see Figure S12 and SI methods section for  
299 details). Before characterizing the surface Au sites, the simulated Au<sub>807</sub> structure was refined  
300 by the ReaxFF force field method. 100 to 200-atom models centered on the adsorption site  
301 within a cut-off distance probed by the OH binding energy on reference Au(111) surface (Figure  
302 S13) were extracted from the Au<sub>807</sub> cluster for further DFT computations.<sup>[58]</sup> A cut-off distance  
303 of 11 Å is selected, large enough to separately describe the on-top and the bridge site with a  
304 computational accuracy of 0.06 eV.

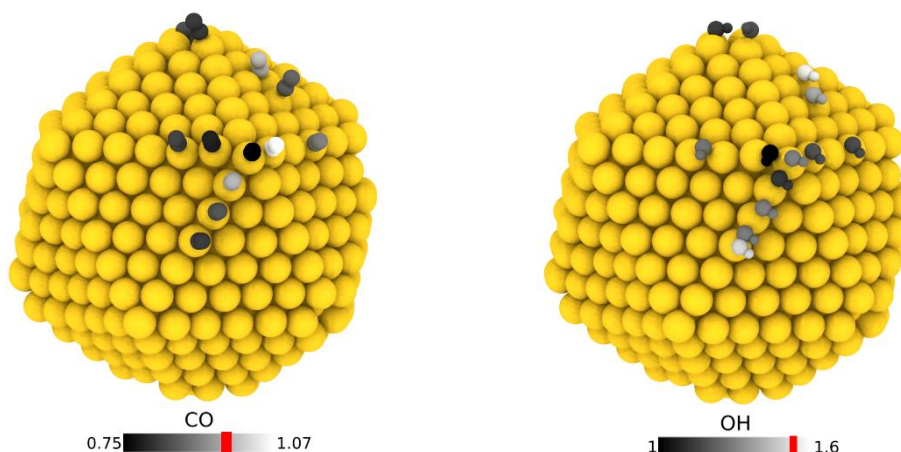
305 The computationally synthesized Au<sub>807</sub> has a quasi-icosahedral shape with an average  
306 coordination of 10.4 in excellent agreement with the EXAFS value (illustrated in Figure S14  
307 and Table S2). The stability of the simulated Au<sub>807</sub> quasi-icosahedral structure is in line with  
308 previous theoretical simulations,<sup>[18, 59]</sup> and experimental investigations highlighting the  
309 occurrence of various structures for this cluster size<sup>[32]</sup> (Figure S1).

310 Previous studies have demonstrated that the adsorption free energies of CO ( $\Delta G_{\text{CO}}$ ) and OH  
311 ( $\Delta G_{\text{OH}}$ ) are good descriptors of MEO reaction.<sup>[60, 61]</sup> Adsorption free energies of all methanol  
312 decomposition intermediates binding through carbon or oxygen atoms with various catalytic  
313 metal surfaces were shown to scale linearly with  $\Delta G_{\text{CO}}$  or  $\Delta G_{\text{OH}}$ , respectively. Moreover, the  
314 limiting potential for methanol activation following a clear volcano plot with respect to these  
315 variables was reported.<sup>[60, 61]</sup> In line with references<sup>[60, 61]</sup>, we based the adsorption free energies  
316 of CO and OH to those of CO<sub>2(g)</sub> and H<sub>2</sub>O<sub>(l)</sub> respectively at standard conditions, and apply the  
317 Computational Hydrogen Electrode (CHE) model,<sup>[62]</sup> given that the anodic reaction of the  
318 complete methanol oxidation is  $\text{CH}_3\text{OH}(\text{g}) + \text{H}_2\text{O}(\text{l}) \rightarrow \text{CO}_2(\text{g}) + 6\text{H}^+ + 6\text{e}^-$  (see SI methods  
319 section for the details). Although the exact values depend slightly on the computational details,  
320 the consistent choice of the descriptors makes it possible to compare the computed trends to  
321 that in references.<sup>[60, 61]</sup>

322 This shows that the low methanol oxidation activity of Au(111) surface is due to the highly  
323 positive  $\Delta G_{\text{CO}}$  and  $\Delta G_{\text{OH}}$  values and their decrease is desirable to reduce the MEO efficiency.<sup>[61]</sup>  
324 We directly modelled the interaction of CO and OH molecules with a quasi-icosahedral Au<sub>807</sub>  
325 and a reference octahedral Au<sub>891</sub> cluster (Figure S15 and Table S3). The adsorption energies  
326 and adsorption free energies of OH and CO are computed for several possible adsorption sites  
327 and further compared with those on an Au(111) extended surface (**Figure 4**).

328 CO and OH adsorption free energies computed at different adsorption sites of the quasi-  
329 icosahedron Au<sub>807</sub> and the octahedron Au<sub>891</sub> are generally less positive than those obtained on  
330 at the Au(111) surface (Figure S15). More specifically we find that the CO and OH adsorption  
331 free energies at the vertices of the Au<sub>807</sub> quasi-icosahedron cluster are even less positive than  
332 those on the Au<sub>891</sub> octahedron cluster with the lowest values close to those of the stepped  
333 Au(221) surface exposing under-coordinated atoms.<sup>[61]</sup> As the ability of under-coordinated Au  
334 atoms to bind adsorbates is closely related to the barriers for surface reactions,<sup>[19]</sup> the edges and  
335 especially the vertices of Au clusters are expected to be the most active catalytic sites.

336 In the icosahedral clusters the number of vertex sites is 12, thus the fraction of under-  
337 coordinated surface atoms scales with the decreasing cluster size with respectively 2% vertex,  
338 21% edge and 21% face atoms for 2.5 nm Au<sub>561</sub> and 1% vertex, 16% edge and 22% face atoms  
339 for 3 nm Au<sub>807</sub> (Figure S16). Thus, due to a simple geometric scaling the smaller clusters are  
340 expected to have larger activity than much larger clusters such as 4.8 nm Au<sub>3871</sub> that features  
341 0.3% vertex, 7 % edge and 19% face atoms. Similarly, the fraction of catalytically inactive core  
342 atoms is 56% in Au<sub>561</sub>, 61% in Au<sub>807</sub>, and reaches 74% in Au<sub>3871</sub>. However, the higher surface  
343 to volume ratio of the very small clusters in sub nanometer range leads to higher surface energy,  
344 thus a decreased stability, what is demonstrated in Figure S17. Thus, the activity of  
345 nanoclustered Au is determined by the balance between these two effects and the 2-4 nm size  
346 range exhibits a good balance between the relatively high surface site density and the stability.



**Figure 4:** Adsorption sites and the corresponding adsorption free energies of CO and OH<sup>-</sup> in eV at various sites of the Au<sub>807</sub> cluster. Each adsorbant represents a separate computation. Reference values, computed for the Au(111) surface, are marked in red on the scale bars.

347 We then attribute the exceptional catalytic performance of size-selected 3nm Au NCs deposited  
 348 on FTO electrodes to the high density of highly active under-coordinated surface atoms  
 349 compared to the total number of atoms optimal at this cluster size combined to an improved  
 350 stability towards oxidation of the cluster's faceted structure. The decrease of the adsorption free  
 351 energy at neutral potential at the vertex sites of Au<sub>807</sub> compared to that on Au(111) surface is  
 352 even more remarkable for OH (0.6 eV from 1 eV at cluster vertices to 1.6 eV at Au(111)  
 353 surface) than for CO. Similar but weaker effects are also obtained for the cluster edge atoms  
 354 that separate two facets. Decrease in adsorption free energy of OH is expected to increase the  
 355 amount of fractional charge transferred ( $\lambda e^-$ ), giving rise to a more polarized Au-OH<sub>ads</sub><sup>(1- $\lambda$ )-</sup>  
 356 surface. As a result, the interaction between Au-OH<sub>ads</sub><sup>(1- $\lambda$ )-</sup> and methanol is expected to  
 357 strengthen, leading to a high MEO activity.<sup>[63]</sup> This enhanced interaction of methanol with the  
 358 under-coordinated sites of Au NCs is unhampered by the water molecules, as the surface charge  
 359 of Au is negative in the potential region of -150-400 mV.<sup>[10]</sup>

360 The importance of selecting the optimal Au cluster size is demonstrated by the substantial lower  
 361 activity of the control electrode produced by depositing non size-selected sub 5 nm Au clusters



362 that is comparable to that reported for other Au NPs.. This is attributed to a lower density of  
363 under-coordinated atoms resulting from the important atomic fraction forming the large clusters  
364 (4 to 5 nm) as seen on the atom-weighted distribution (Figure S6c). The mixture of cluster sizes  
365 ranging from few atom clusters to larger 5 nm structures is also expected to favour a loss of  
366 ECSA through Ostwald ripening, agglomeration and/or more severe corrosion of the oligomeric  
367 clusters.<sup>[8, 64]</sup> On the other hand, remarkable stability of size-selected Au NCs faceted structures  
368 versus non size-selected NCs upon oxidation-reduction at 20 mVs<sup>-1</sup> scan rate is highlighted, as  
369 discussed above, both by the absence of hysteresis above 400 mV and of a electrochemical  
370 reduction peak in the backward scan. The absence of activity of chemical Au colloids is likely  
371 the consequence of the presence of ligands, which are (1) hindering the crystallization of a  
372 faceted Au structure (2) preventing access for the reactants to the Au surface sites. This clearly  
373 demonstrates the benefit of using CBD technology over chemical preparations. Ligand removal  
374 by high temperature annealing that favours their sintering is likely inadequate to reproduce the  
375 highly symmetrical crystal structures of deposited Au clusters.

376 The production of this novel highly active Au electrocatalyst for MEO has been made possible  
377 by the gas-phase CBD technology that allows a precise selection of cluster sizes not always  
378 accessible by conventional chemistry yielding a majority of low energy highly ordered  
379 structures featuring a large fraction of vertices and edges surface atoms. Moreover the  
380 crystallization of the Au NCs structures likely occurs more easily in the gas phase where Au  
381 clusters are free from any gas or support interaction.<sup>[65]</sup> The dispersion of these naked clusters  
382 is preserved upon soft landing on the FTO surface. The high stability of these (quasi)crystalline  
383 structures make them resistant to oxidation during the CV scans avoiding their deactivation by  
384 surface restructuring.

385

386 **Conclusion**

387 In this work, we have used the CBD approach to design a novel MEO catalyst based on highly  
388 dispersed 3 nm zero valence deposited Au clusters that exhibit exceptional mass and specific  
389 activity of  $329 \mu\text{A} \mu\text{g}^{-1} \text{Au}$  and  $2 \text{mA cm}^{-2}$  respectively, at MEO potential of 160 mV (vs SCE  
390 at  $20 \text{mV s}^{-1}$ ). The enhanced activity of nanoclustered Au is tied by DFT to the high density of  
391 highly active under-coordinated edge and vertex surface Au atoms resulting from the faceted  
392 geometries of the Au clusters deposited on FTO by CBD. These Au atoms strongly bind CO  
393 and OH enhancing the interaction with methanol, while high dispersion and stability of the  
394 cluster (quasi)crystalline structure prevents their destructive oxidation. We anticipate that this  
395 work further motivates the rational design of high performance Au based electrocatalysts that  
396 could replace Pt as fuel cell catalyst.

397

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### 399 **Supporting Information**

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401 Supporting Information is available from the Wiley Online Library or from the authors.

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404

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406

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418 synthesized the colloidal Au samples and performed the electrochemical study. K.J.H. and A.Y.  
419 performed STEM measurements. O.V.S, D.G., A.Y., and T.W.L performed the XAFS  
420 measurement. J.E.S. performed SEM imaging. T.H. performed the DFT calculations. P.L. and  
421 E.J. managed the study. A.Y. and D.G. coordinated the writing of the paper with contributions  
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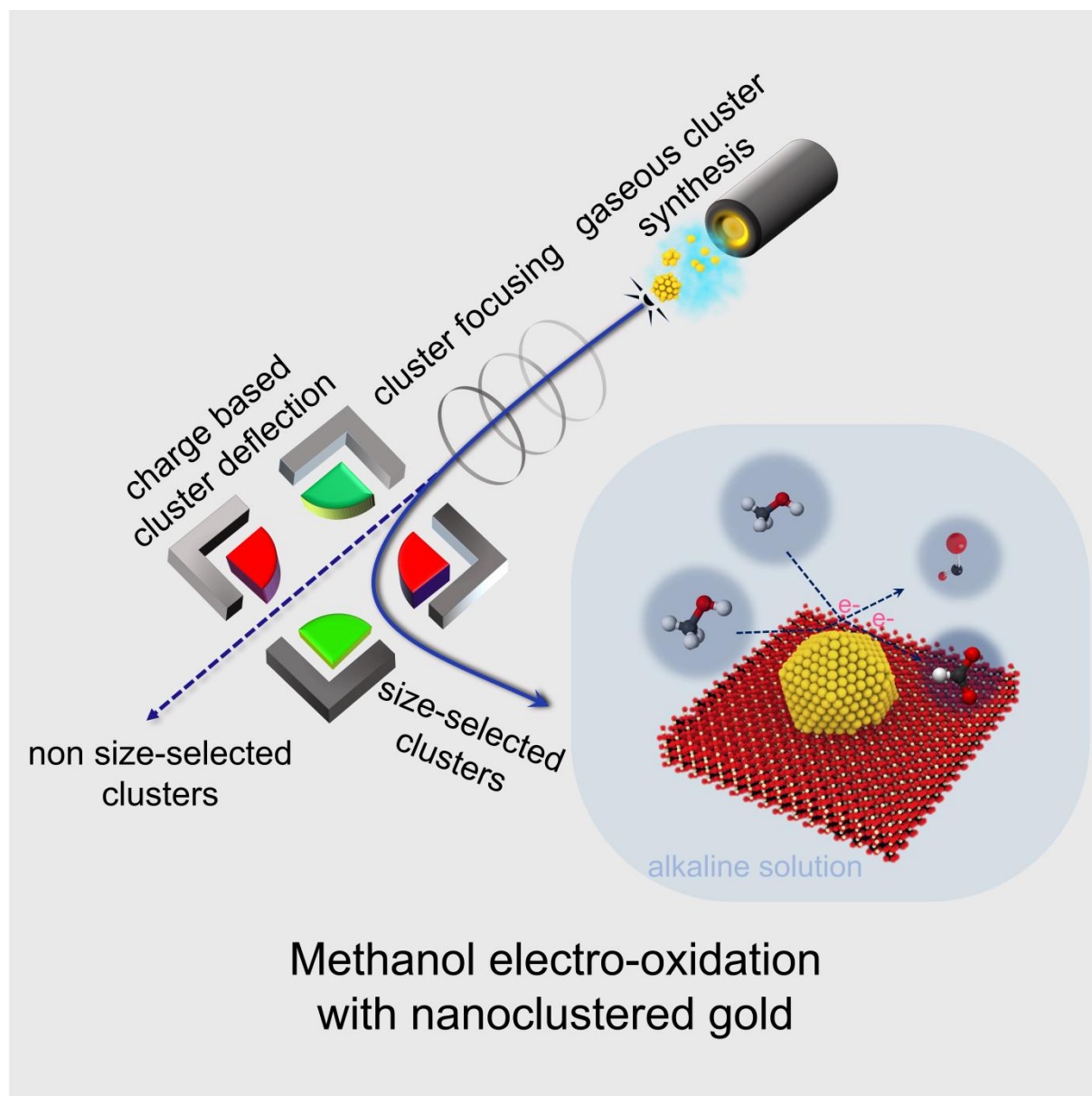
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## Abstract Graphics



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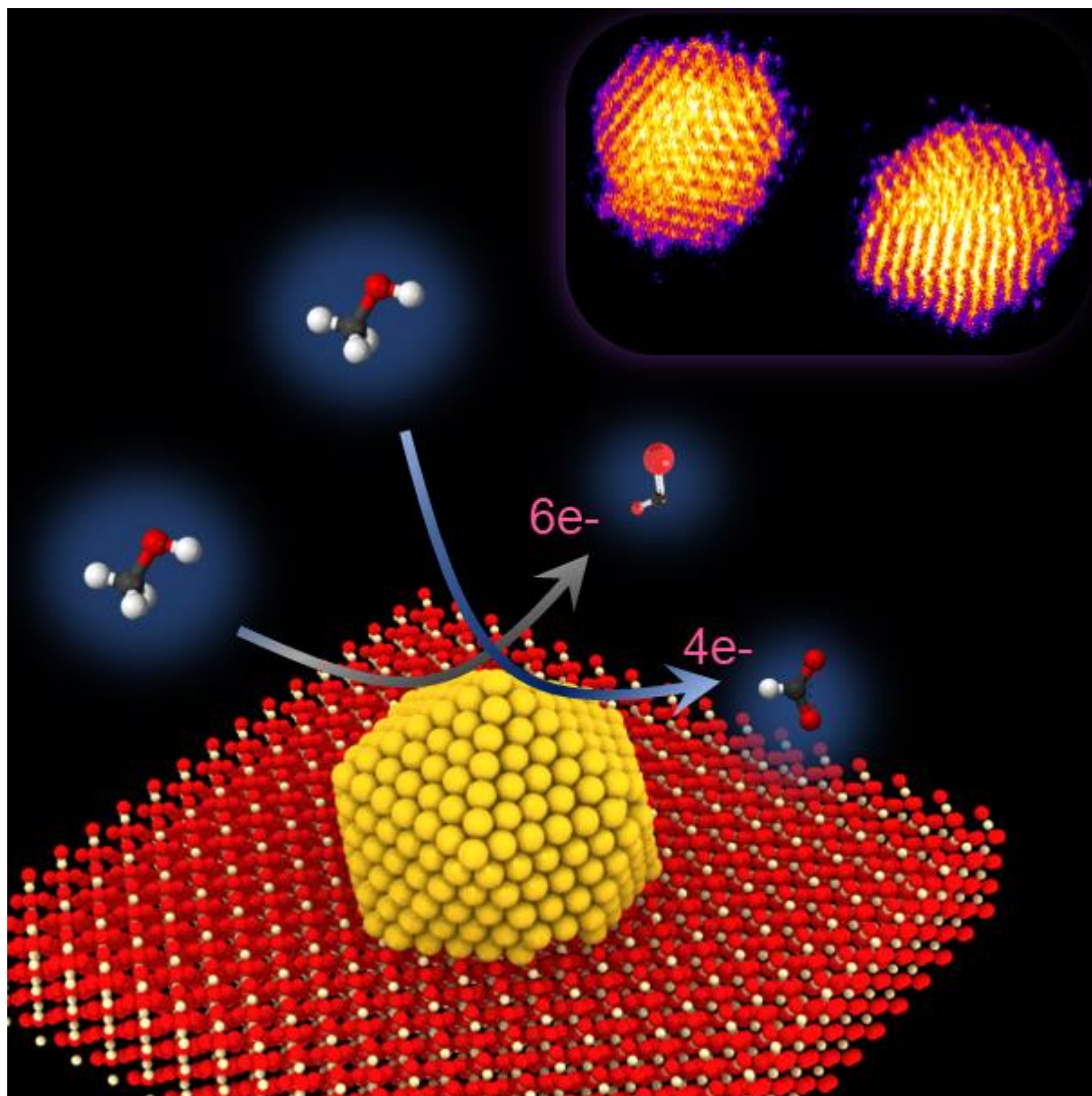
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Cover Art

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Enhanced methanol electro oxidation by nanoclustered gold

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