1 Labile fractions of metals and As in mining impacted soils.

2	Core ideas:
3	Weathering processes increase lability of metals in mining wastes.
4	Soil properties rather than ore lithology explained the labile fractions of Pb, Cd, Cu, and Ni in
5	mining contaminated soils.
6	Ore lithology was the dominant factor explaining labile fractions of Zn and As.
7	Earlier generic models adequately explain metal lability in mining waste impacted soils.
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9	The Labile Fractions of Metals and Arsenic in Mining Impacted Soils are Explained by Soil
10	Properties and Metal Source Characteristics
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17	Abbreviations: C, contaminated soil samples; DOM, dissolved organic matter; E, labile metal
18	concentration; %E, labile metal fraction; eCEC, effective cation exchange capacity; (Fe/Al) _{ox} ,
19	oxalate extractable Fe and Al; Feox; oxalate extractable Fe; FeOx, iron oxy-hydroxides; GMS,
20	Mexican Geological Survey (in Spanish); IA, isotopic abundance, ID, isotopic dilution; Mtot, total
21	metal concentration; MBC, mass bias correction; OC, organic carbon; TOC, total organic carbon;
22	U, uncontaminated soil samples; W, waste samples.

ABSTRACT

Isotopically exchangeable metals in soil, also termed labile metals, are reversibly bound to soil 24 surface and are a better index of the environmental risk of the metals than are their total 25 26 concentrations. In this study, labile fractions of potentially toxic elements were surveyed in metal 27 mining impacted soils of Mexico to test the relative importance of either soil properties (pH, eCEC, OM, etc.,) or attributes of the mines (ore type and lithology, metal mineralogy, etc.,) on the 28 29 fractions of labile elements. Mining waste impacted soils, corresponding uncontaminated soils and mining waste were collected around 11 metal mines in Mexico presenting contrasting ore types. 30 31 Pseudo-total concentrations and labile fractions of Cd, Ni, Zn, Pb, Cu and As were determined by aqua regia digestion and isotope dilution, respectively. Pseudo-total concentrations of these 32 elements ranked: waste>contaminated soil>uncontaminated soils, and Zn and As dominated the 33 34 concentrations of toxic elements. The labile fractions (% of total) in the soils ranked, with median values in brackets, Pb (22)>Cd (18)>Cu(15)>Ni~Zn(13)>As(9). The labile fractions of waste 35 samples were slightly higher than those of soil samples suggesting either a high weathering of 36 mining wastes or the stabilization of heavy metals by soil. Stepwise multiple regression showed 37 38 that soil properties rather than source attributes primarily explained the %E of most elements, 39 except for Zn and As for which the ore lithology was the dominant factor. This study showed that 40 earlier generic models explain metal lability adequately in mining waste impacted soils.

Introduction

The dispersion of toxic metals and metalloids by wind and water is of major environmental concern 43 in regions with intense metal mining. This dispersion process is accelerated where the sites are 44 devoid of plant cover due to metal toxicity. Therefore, a realistic estimation of phytotoxic doses 45 of these elements in soil is required as a first step towards effective site remediation. It is well 46 47 established that total concentrations of metals in soil are poor indices for toxicity to plants, since a significant fraction of the toxic trace metals might be present as unweathered, poorly soluble 48 minerals (Hamels et al. 2014). The bioavailability and, hence, environmental risk, partially 49 50 depends on their solubility in soils (Allen 1993; Plette et al. 1999). However, soil solution concentrations of metals are not unequivocal toxicity indices, since metal toxicity is also affected 51 by the presence of competing ions such as protons and Ca²⁺ during their uptake by plant roots, this 52 53 concept is the basis of the terrestrial Biotic Ligand Model for toxicity (Thakali et al. 2006). A systematic assessment of toxic thresholds of cationic metals revealed that metal toxicity to plants 54 is primarily mitigated by soil cation exchange capacity and secondly ageing reactions that take 55 place after soil spiking and before the toxic threshold is derived (Smolders et al. 2009). The metal 56 ageing process can be addressed by the metal(loid) concentration that is isotopically exchangeable 57 58 in soil (the labile metal concentration, also termed E-value or %E when it is referred to total 59 concentrations). The labile metal is conceptually equivalent to the metal concentration involved in the solid-liquid partitioning of a freshly spiked soil with a soluble metal salt. It has been 60 61 demonstrated that ageing reduces the %E of Cu, Zn, Cd and As added to soils as soluble salts from initially 100% to lower fractions in the subsequent months (Crout et al. 2006; Smolders et al. 2012; 62 Tye et al. 2002). After ageing, %E values strongly relate to soil properties, likely due to pH 63 64 dependent fixation of metals in amorphous Fe&Al oxyhydroxides and/or organic matter

^{[14],[15]}, (Buekers et al. 2007). Metal toxicity in mining impacted soils to plants revealed that the
labile metal concentration indicates the toxicity rather well, pointing at the E-value as an
acceptable index of the available metal in soils that can be used in risk assessment (Hamels et al.
2014) (Smolders et al. 2009).

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70 Isotopic dilution (ID) is well recognized as a reference method to determine metal and metalloid E-values in soils using whether radioactive or stable isotopes, the latter allowing multi-element 71 determination in single-step extractions (Garforth et al. 2016). In contrast to other labile metals 72 single extractions, the ID is robust across different types of electrolytes, solid:liquid ratios, pre-73 74equilibration times, separation methods, and it is measured at native soil pH and ionic strength 75 with minimum solid phase disturbance (Hamon, Parker, and Lombi 2008; Young et al. 2006). The E-value is, however, operationally defined by the time-specific exchange in a soil suspension 76 77 (Rodriguez et al. 2005; Young et al. 2000).

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79 In environmental samples, trace elements are not added as soluble forms in most situations. For 80 example, mining impacted soils have been contaminated with poorly soluble and non-labile ore minerals. Conceptually, the %E might first increase by weathering followed by a decrease due to 81 gradual metal immobilization during soil ageing, however that concept has not been demonstrated 82 83 in a longitudinal study. Surveys of environmentally contaminated soils have shown that the role 84 of soil properties, such as pH, relate only poorly, to the %E values of Zn, Cd, Pb and Cu and that %E is generally below that of the pH dependent labile fractions in soils that had been spiked with 85 86 metal salts (Degryse, Buekers, and Smolders 2004; E. Marzouk et al. 2013b; Smolders et al. 2012). Multivariate models were fitted to data of %E of Cd, Cu, Ni, Pb and Zn of a set of 3 year aged 87

88 metal salt amended soils (n=23) and a second set of urban contaminated soils (n=50)(L). Mao et al. 89 2017). In general, the contaminated soil samples exhibited similar trends between %E-and soil 90 properties as in metal salt spiked soils, but %E were lower than those in the metal salt amended 91 soils illustrating the role of the contamination source on metal lability. Marzouk et al. (2013) (E. 92 Marzouk et al. 2013b) studied %E of Cd, Pb and Zn in a Pb mining affected catchment in England 93 and found that soil pH, organic matter and total metal content strongly affected the %E values. The relationship between %E and total metal concentrations showed a binary trend, increasing at 94 low doses followed by a decrease at larger doses. The former trend was interpreted from saturation 95 96 of high affinity fixation sites and the latter trend indicating the presence of non-labile ore minerals in soil. Surprisingly limited data exist on labile fractions of As in mining-impacted soils despite 97 the fact that it is the primary suspected toxic element in several metal mines worldwide. As far as 98 99 known, no study has yet surveyed a wide range of mining-impacted soils around mines to test 100 variability in labile fractions due to contrasting characteristics of the metal source.

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102 At a world scale, Mexico is the first producer of silver, the second place in mercury production, 103 is among the top five producers of cadmium, molybdenum, bismuth, lead and zinc, and among the 104top 10 producers of gold and copper (Reichl C. et al. 2018). This study was primed by a survey of 105 mining impacted soils in Mexico where As is the suspected primary toxic agent as judged from 106 the total concentrations. The objective of this study was to survey the labile fractions of potentially 107 toxic elements in soils of different mining impacted regions. The sampling was designed to better 108 identify the role of the ore type, ore lithology, main extracted metals and metals mineralogy, 109 relative to that of the properties of the receiving soils in determining the labile fractions in soil. 110 The premise was that sampling a wide range of metal mines with contrasting mineralogical

characteristics will help to untangle effects of source versus soil properties. Hence, labile fractions of five metals (Ni, Cu, Zn, Cd and Pb) and As were determined by isotopic dilution in soils and wastes samples from several Mexican mining sites and these fractions were statistically related to soil properties and metal-contamination source characteristics.

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MATERIALS AND METHODS

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Sampling

119 Eleven locations with intense actual or former metal mining activity were selected within the 120 central region of Mexico (Table 1 and Figure SI1). Each location had a different mine. Minespecific characteristics of metal source were obtained from the Mexican Geological Survey (SGM) 121 122 (Servicio Geologico Mexicano (SGM) 2003) or from interviews with mine managers and included: 123 the main extracted metals (those mined with economical interest), the ore type (according to SGM classification, verified on geological maps), the mineralogy of the metals (main chemical form of 124 125 metals in the original ore), the ore lithology (type of material enclosing the ore), the treatment or 126 not of the mining waste and the oxidation of the waste (scored by apparent color at the moment of 127 collection). At each location, one or two pairs of uncontaminated and contaminated soil samples were collected (Table 1). Where possible, mining wastes were collected as well. A total number 128 of 32 soil and 10 waste samples were collected between February and May 2014 (Table 1). 129 130 Additional relevant information on the sampling locations is shown in (Table SI1). The 131 uncontaminated soil samples were consistently collected at a minimal uphill distance of 100 m 132 from the mining dam edge, preferably at undisturbed places, while contaminated soil samples were 133 collected at the border of waste dams. The uncontaminated and contaminated soil samples

134 consisted of about 20 kg of top soil (0–20 cm depth) taken after cleaning the shallow litter. Mining 135 waste, about 5 kg, was collected directly inside the dams at a minimal distance of 5 m from the 136 dam border. All samples (n=42) were air dried and sieved at 4 mm. Each sample was mixed to 137 ensure homogeneity. The soils were stored at room temperature, in dry and dark conditions until 138 further analyses.

Samples		Mining	Economic interest	O	M:		Soil pH	Soil OC	
Location Number		district	metals ^a	Ore type ^a	Mineralogy ^{a,b}	Lithology ^a	range ^c	range (%) ^c	
Ι	1 and 2	Zimapan	Cu, Pb, Zn	Mesothermal	S, Ox, CO_3 ,	Limestone-	6.6-6.9	2.0-4.5	
II	3 and 4	Taxco	Ag, Au, Cd, Cu, Pb, Zn	Epithermal	SO4, AsO4 S	quartz Limestone	6.7-7.1	1.5-2.5	
III	5	Zacualpan	Ag, Cu, Pb, Zn	Mesothermal	S	Quartz	6.1-6.2	0.5-2.3	
IV	6 and 11	Pozos	Ag, Au, Cu, Pb, Zn	Epithermal	S	Quartz	6.7-7.7	1.0-4.7	
V	7 and 8	Guanajuato	Ag, Au, Pb, Zn	Epithermal	S	Sericite	6.9-8.6	0.3-0.9	
VI	9	Guanajuato	Ag, Au, Pb, Zn	Hipothermal	S	Limestone	6.1-7.2	0.8-1.2	
VII	10	Guanajuato	Ag, Au	Hipothermal	S	Quartz	7.2-7.3	1.2-2.1	
VIII	12	Guanajuato	Au, Ag, Cu	Epithermal	S	Sericite (colorless mica)	7.0-7.3	0.1-0.7	
IX	13 and 14	Molango	Mn	Oxic- epithermal	S, Ox	Limestone	7.5-7.6	0.1-3.0	
Х	15	Maconi	As, Au, Cu, Pb, Zn	Metasomatic	S	Grossular (Ca ₃ Al ₂ (SiO ₄))	7.4-8.2	0.2	
XI	16	Bernal	Ag, Au	Epithermal	S, Ox, CO ₃	Black limestone	6.7-7.4	2.0-2.8	

Table 1. Selected properties of the 11 locations where soils and mining wastes were collected. The sampling locations, sample numbers
 and sample types are given in Figure S1.

^a Source: SGM, <u>https://www.gob.mx/sgm</u>

^bS=sulphidic; Ox: oxides; CO₃: carbonates, AsO₄: arsenates; SO₄: sulphates. ^cExperimental data, obtained from soils samples (wastes excluded) < 2 mm.

Soil characterization

Selected soil properties were determined on 2 mm sieved subsamples, including the soil pH, effective cation exchange capacity (eCEC), oxalate extractable iron oxy-hydroxides (FeOx), organic carbon (OC), calcium carbonate content (CaCO₃) and aqua regia soluble metal concentrations. The analytical details are specified in Table SI2. Reference soil materials were included for quality assurance.

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Determination of cationic metal E-values

The E values of Cd, Zn, Ni, Pb and Cu were measured with a single step (multi-element) stable
isotope dilution (ID) method following procedures described by Garforth and Marzouk et al.
(Garforth 2015; E. Marzouk et al. 2013b).

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The stable isotope dilution was based on quadrupole ICP-MS analysis of the isotope ratios of 155 ⁶⁰Ni/⁶²Ni, ⁶³Cu/⁶⁵Cu, ⁶⁶Zn/⁷⁰Zn, ¹⁰⁸Cd/¹¹¹Cd and ²⁰⁴Pb/²⁰⁸Pb. The first isotope of each pair (e.g. 156 60 Ni) is termed here the reference isotope, the second (e.g. 62 Ni) is the spike isotope, except for 157 Cd and Pb where spike isotopes are ¹⁰⁸Cd and ²⁰⁴Pb. Enriched stable isotopes of ⁶²Ni, ⁷⁰Zn and 158 ¹⁰⁸Cd were purchased from TRACE-Sciences International. The ⁶⁵Cu and ²⁰⁴Pb isotopes were 159 gratefully supplied by the School of Biosciences (The University of Nottingham, UK, 2013). All 160 metallic isotopes were dissolved in ultrapure 5% HNO₃. The specified isotopic abundance (IA) of 161 162 each enriched isotope was verified under the experimental conditions of each measurement (Table 163 SI3).

165 The total metal concentrations among the samples spanned >2 orders of magnitude and required that the ID method used different doses of the added isotopes. The doses to be added need to be 166 167 sufficiently large to obtain a significant shift in the natural abundance but need to be sufficiently 168 low to avoid that the soil equilibrium is not disturbed and that soils are not acidified from the acid 169 spike solutions. Soils were grouped in two categories based on total Zn concentrations since this 170 metal exhibited the highest total concentration compared to the other metals in a molar basis. For samples with total Zn concentrations below 300 mg Zn kg⁻¹, samples were spiked with a low spike 171 172 solution, above that threshold, spiking was with a high spike solution (Table SI4). The spiking 173 solutions at two concentration levels were initially prepared from original individual solutions of enriched isotopes with nominal concentrations of 120 mg ⁶²Ni L⁻¹, 200 mg ⁶⁵Cu L⁻¹, 600 mg ⁷⁰Zn 174 L⁻¹, 20 mg ¹⁰⁸Cd L⁻¹ and 250 mg ²⁰⁴Pb L⁻¹ in a background solution of 5 % HNO₃ (65% ultrapure 175 176 acid, EDM Millipore Corporation, Germany) to prevent isotope precipitation. With this background, the total acidity added by spiking was about 34 μ mol H⁺ g⁻¹ soil, which is well below 177 the recommendation made by Garforth (2015); with maximal acidity addition of 54 μ mol H⁺ g⁻¹ 178 179 soil.

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Four replicates of 1.0 (± 0.05) g soil or waste were weighed in 40 mL polypropylene tubes to which 30 mL of 10 mmol L⁻¹ Ca(NO₃)₂ was added. Then, samples were shaken end-over-end (28 rpm) for 24 hours. Next, two out of four replicates of each sample were spiked with 200 µL of spike enriched isotope solution. The last two replicates were left unspiked to determine the natural abundance of the metal isotopes. The suspensions were shaken 24 hours in the end-over-end shaker, centrifuged for 15 minutes at 1830 g and supernatants were filtered using a 0.45 µm disposable filter. Supernatants were then diluted 1:50 in 1%HNO₃, and isotopes were measured 188 using a quadrupole Inductively Coupled Plasma Mass Spectrometry (ICP-MS, Agilent 7700x) using ⁴⁵Sc as internal standard for Ni, Cu, Zn and Cd isotopes in the He mode with quadrupole 189 dwell times of 3.0 ms. For Pb isotopes, ¹⁹³Ir was used as an internal standard in the No Gas mode 190 with quadrupole dwell time of 1.0 ms. Additionally, ¹⁰³Rh was used as internal standard in the He 191 and the No Gas modes and isotopes ¹⁰⁷Ag and ²⁰² Hg were measured just below the lightest Cd 192 and Pb isotopes, respectively, to give the quadrupole sufficient time to locally stabilize. External 193 194 multi-element standards were used for instrument calibration. Mass bias correction (MBC) factors of isotope pairs were determined from the ratio of isotope signals and that of the natural ratio using 195 not enriched calibration standard solutions (10 and 50 µg elements L⁻¹, Certipur®Merk) and 196 197 certified reference solutions (NIST 1640a diluted and SPS-SW2). The MBC-factor varied <5% of the average values along different measurement and concentrations. 198

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The isotopically exchangeable metal concentration (mg kg⁻¹), also called E-value (E, mg/kg soil), was determined in soil suspension applying Eq. 1 adapted from Gabler et al. to each individual metal (Gabler et al. 1999).

$$E = \left(\frac{AM_{ref}}{AM^*}\right) \cdot \left(\frac{C_{sp}V_{sp}}{W_{samp}}\right) \cdot \left(\frac{IA_{sp}^* - \left(\frac{IA_{sp_soil}^*}{IA_{sp_soil}^{ref}}\right)IA_{sp}^{ref}}{\left(\frac{IA_{sp_soil}^*}{IA_{sp_soil}^{ref}}\right)IA_{soil}^{ref} - IA_{soil}^*}\right)$$
[1]

where:

AM_{ref} and AM^{*}are the average atomic masses of the reference and spiked isotopes,
 respectively,

206 \cdot C_{sp} is the concentration (mg L⁻¹) of the multielement spike solution

207 \cdot V_{sp} is the spiking volume (L),

- 208 · W_{samp} is the dry mass of soil sample (kg),
- 209 $\cdot IA_{sp}^*, IA_{sp_soil}^*$ and IA_{soil}^* are the isotopic abundances of the spike isotope in the spike 210 solution (sp), the spiked soil solution (sp_soil) and the un-spiked soil solution (soil),
- IA^{ref}_{sp_soil} and IA^{ref}_{soil} are the isotopic abundances of the reference isotope at the tree
 former solutions.
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The IA*values were derived from the measured isotopes (spike and reference isotope) and assuming that the unmeasured isotopes have an identical ratio to the references isotopes as the natural ratio.

For testing accuracy and reproducibility of the E-values determined by this method, several steps were taken. First, two internal soil samples (low and high Zn soils; Table SI5) were included in each batch of samples. Second, an external reference soil sample was obtained from the School of Biosciences, The University of Nottingham, UK where the E-values of the five studied metals had been determined previously by Garforth, (Garforth, unpublished data, 2015). Finally, a standard addition test was made in which soils were amended with metal salts and the E value subsequently determined in amended and not amended samples (see SI for more details).

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Determination of As E-values

The As lability was determine on a subset of samples with total As concentrations above 500 mg kg^{-1} (10 soils and 6 wastes, see Table SI9) using the ⁷³As radio isotope (as As(V), Oak Ridge National Laboratory, U.S.) and following De Brouwere et al. (De Brouwere et al. 2004).

230 About 2.5 g of air dried sample were weighed into a centrifuge tube. Then, 24 mL of background electrolyte solution (0.005 M Ca(NO₃)₂ was added, followed by 1 mL of carrier-free ⁷³As spike. 231 Initial concentrations of ⁷³As in the spiking solution varied from 1.7 to 17 kBq mL⁻¹, depending 232 233 on expected solid-liquid concentration ratio K_D. After 7 days of equilibration in an end-over-end shaker, the samples were centrifuged at 3200 g for 30 minutes. Next, 2 mL of the supernatant were 234 analysed for y activity (Packard, COBRA Auto-gamma A5003; 15-70 keV). For the same sample, 235 dissolved As concentration ([As]_l, mg As L⁻¹ solution) was measured with ICP-MS. All 236 237 measurements were made by duplicate.

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239 The radio labile E-values (mg As kg⁻¹ soil) were calculated as:

$$\mathbf{E} = [\mathbf{A}\mathbf{s}]_{\mathrm{I}} \{\mathbf{K}_{\mathrm{D}} + \mathbf{L}/\mathbf{S}\}$$
^[2]

240 where L/S is the liquid solid ratio and K_D is obtained by:

$$K_{\rm D} = \frac{(\gamma_{\rm i} - \gamma_{\rm f})/W}{\gamma_{\rm f}/V}$$
[3]

241 with γ_i and γ_f , the total initial and final activity of the added ⁷³As in solution, W the weight of the 242 air-dry soil and V the final volume of the solution.

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Data analysis

Statistical analyses were carried out with JMP® Pro 13.1 (Copyright© 2016, SAS Institute). Chemical properties, total metal(loid) concentrations and labile fractions in contaminated soil and mining waste were compared relative to the uncontaminated soils by the Dunnett test, thereby using mine location as a random effect factor. Metal(loid) lability was expressed as the fraction of the total metal concentration that is labile (%E, i.e. E divided by total metal concentrations in %). Using the %E rather than E values allows to compare metal lability, i.e. speciation, among soils 251 with different total concentrations. The %E indicates the fraction of the total concentrations that 252 contributes to the solid-liquid distribution and is an improvement over total concentration to 253 indicate metal mobility (Degryse et al. 2009), the %E values have also been used to estimate the 254 fraction of total metal concentrations that are toxic and, therefore, have been used in risk 255 assessment (Smolders et al. 2009). The %E is typically used to develop mathematical models 256 relating soil properties to the fractions of total metal concentrations that are labile (Marzouk et al. 2013; Mao et al. 2017). The effects of soil properties and metal contamination source 257 characteristics on the metal(loid) labile fractions (%E) were evaluated through consecutive 258 259 analyses. First, the metal(loid) labile fractions (%E) in the soils samples (mean of duplicate analytical data for each sample, n=32) were related to single soil properties at a time (pH, total 260 organic carbon, carbonate content, eCEC and total metal concentration) by standard least squares 261 262 regression to obtain linear models. Second, an ANOVA was performed to relate the metal(loid) %E to factors that indicate the characteristics of the metal contamination source. The source 263 characteristics are those given in Table 1 and were: the group of extracted metals, the dominant 264 mineralogy, the ore type and the lithology of the ores. In both analyses samples from different 265 locations were considered as independent observations. Third, a combination of the first two 266 267 analyses was made with stepwise regression. For that analysis, two steps were made: first, %E values were related to several important soil properties (continuous variable), to identify the 268 significant soil parameters in a multivariable regression. Second, these soil parameters were 269 270 combined with the contamination source characteristic (categorical variables: only the type of 271 extracted metals and lithology as these were the only significant ones). The selection method to 272 enter or leave the regression model was based on statistical significance set at p<0.05. No 273 interactions between soil properties and contamination source characteristics were tested, i.e. the

models effectively linearly related the %E to soil parameters allowing for different intercepts, not
different slopes, depending on source characteristics. This was selected due to the limited number
of different samples and to allow testing effects and interactions. Selected tests for interactions
showed only very few and inconsistent significant effects (details not shown).

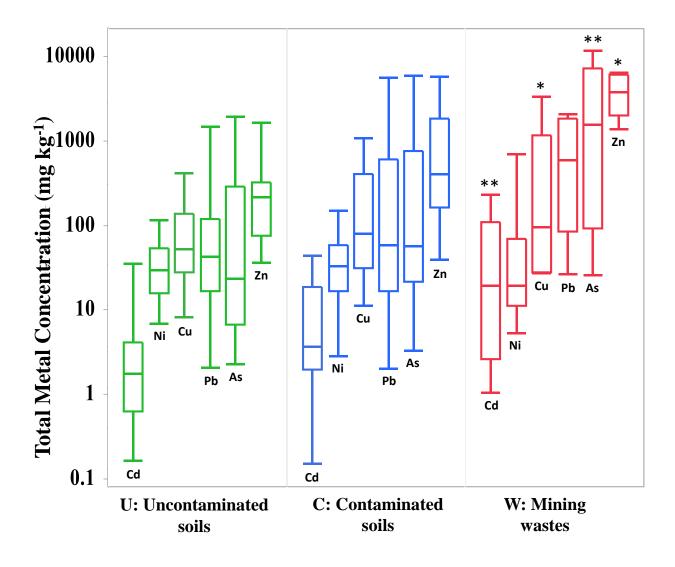
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RESULTS

The 11 sites covered a wide range in type of extracted metals and ore types (Table 1). Plant cover 280 was present on all the uncontaminated soils and in 12 (>50%) of the contaminated soils. Selected 281 282 properties of soil and waste samples are given in Table SI8. Almost all soils and treated mining wastes were pH neutral, ranging between pH 6-8, while only two sulfidic ore derived wastes were 283 acid (pH 2.5 and 5.1), corresponding to locations where waste was reported to be not treated 284 285 (Figure SI1 and Table SI8). All soils contained detectable CaCO₃ and average % CaCO₃ concentrations were about 10%. In general, uncontaminated soils had higher organic C content 286 287 and lower % CaCO₃ content than the contaminated ones.

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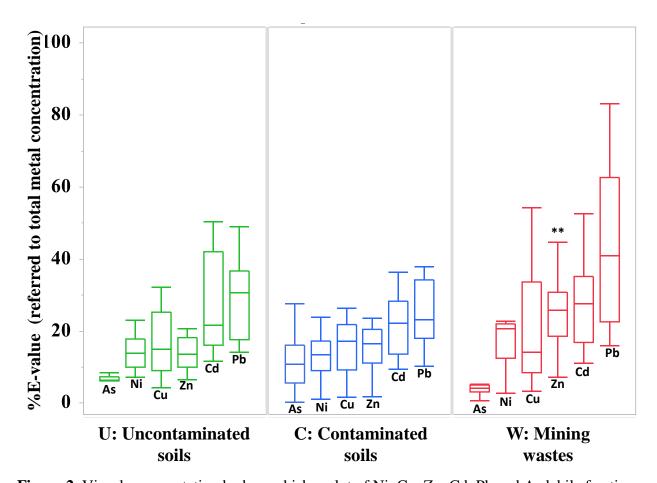
Arsenic, zinc and lead showed the highest elemental total concentrations, with As concentrations reaching up to 15 g As kg-1 in contaminated soils (Figure 1, Table SI8). Total metal concentrations ranked uncontaminated < contaminated < waste, with statistically significant differences only present between soil and waste samples (p<0.05 for Cu and Zn, p<0.01 for Cd and As), except for Pb probably due to the presence of a highly contaminated soil sample (4C) and for Ni that was present in relatively low concentrations in all sample types, most likely because no Ni is mined in any of the mines (**Table SI 8**).



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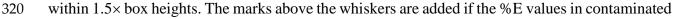
Figure 1. Visual representation by box-whisker plot of Ni, Cu, Zn, Cd, Pb and As total concentration of (mg, kg⁻¹ dry mass) in different Mexican sample types. Horizontal lines show the median values. Box edges correspond to the upper boundaries of the first and third quartile. Whiskers extend to the furthest data point within $1.5 \times$ box heights. The marks above the whiskers are added if the concentrations in contaminated soils or mining wastes were different from the corresponding uncontaminated soils (Dunnett's test, with * for p<0.05 and **for p<0.01).

The labile fractions (E as % of total) in the soils ranked, (with median values in brackets, considering uncontaminated and contaminated soils): Pb (22) > Cd (18) > Cu (15) > Ni~Zn (13) As (9). The mean %E of the studied elements were unaffected by the sample type (uncontaminated soil, contaminated soil or wastes), except for %E of Zn, which was larger for 308 wastes than for soils (Figure 2, full data in Table SI9). The coefficient of variation of E-values 309 among different analytical replicates was about 13% with highest mean values for Pb (16%) and lowest for Cu (12%). The % E values of Pb, Zn, Ni and Cu in the external reference sample 310 311 deviated less than 10% from the corresponding values determined by Garforth (School of Biosciences, The University of Nottingham, UK, unpublished data, 2015). For Cd deviation was 312 of 28% (Table SI 7) from the reference value. Finally, the % recovery of the amended metals in 313 the labile pools in a standard additions test ranged 100-121% depending on soil and was deemed 314 acceptable (Table SI 6). 315



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Figure 2. Visual representation by box-whisker plot of Ni, Cu, Zn, Cd, Pb and As labile fractions
(% E) in different Mexican sample types. Horizontal lines show the median. Box edges correspond
to the upper boundaries of the first and third quartile. Whiskers extend to the furthest data point



soils or mining wastes were different from the corresponding uncontaminated soils (Dunnett's test,
with * for p<0.05 and **for p<0.01).

The labile Ni and Zn fractions decrease with increasing pH. Cu and Cd increase with increasing 324 %OC and those of Pb increase with increasing total Pb concentrations (Table 2). The %E of Zn 325 related to total soil Zn in a biphasic pattern, increasing with total concentration up to about 2000 326 mg Zn kg⁻¹ followed by a negative variation of %E with the increase of total concentration (Figure 327 SI2), a pattern also found by Marzouk et al. 2013 (E. R. Marzouk, Chenery, and Young 2013). No 328 329 such biphasic patterns are found for the other elements. The %E value of As increased with soil 330 Fe content, however the limited sample number and high leverage effect of a single observation (sample 4C with As %E = 30 and Fe=140mmol kg⁻¹) suggest that this trend should be treated with 331 332 caution.

Table 2. Model R² values for the linear regression between labile fractions (%E) of Ni, Cu, Zn, 334 Cd, Pb, and As and soil chemical properties or for the ANOVA of %E related to contamination 335 source attributes. Bold values indicate that the corresponding regression variables or factors are 336 337 statistically significant (p<0.05). Italic values denote that the effects (slope) are negative. M_{tot}: total metal concentration (mg kg⁻¹); OC: organic carbon concentration (%, w/w); eCEC: effective 338 cation exchange capacity (cmol_c kg⁻¹); CaCO₃ carbonates concentration (g kg⁻¹); (Fe/Al)_{ox}: oxalate 339 extractable Fe or Al (mg kg⁻¹). For source attributes see Table 1.For the parameters and RMSE of 340 341 the significant univariate regression models see Table SI10.

	Ni	Cu	Zn	Cd	Pb	As
Regressor	n=32	n=32	n=32	n=32	n=32	n=10
pН	0.44	0.30	0.38	0.05	0.09	0.08
M _{tot}	0.19	0.09	0.08	0.15	0.63	<0.01
OC	0.08	0.63	0.17	0.62	0.18	< 0.01
eCEC	0.02	0.1	0.06	0.12	0.01	0.21
CaCO ₃	< 0.01	0.04	0.03	0.02	0.1	0.11
Fe _{ox}	<0.01	0.05	0.09	< 0.01	0.14	0.83
Al _{ox}	0.25	0.22	0.05	0.26	0.14	0.48
Extracted metals ^a	0.57	0.68	0.49	0.57	0.59	0.25
Mineralogy ^a	0.08	0.26	0.18	0.29	0.11	0.11

Ore type ^a	0.25	0.39	0.21	0.26	0.10	0.25
Ore structure ^a	0.12	0.36	0.25	0.16	0.14	0.24
Ore lithology ^a	0.39	0.58	0.50	0.39	0.26	0.25

^a Source: Mexican Geological Survey <u>https://www.gob.mx/sgm</u>

342

When labile fractions were related to individual metal source characteristics the %E values were strongly affected by the type of extracted metals (Table 2), a term referring to the type of metals mined at each site, with 9 different defined groups (Table 1), suggesting a large effect of the sample location since only 11 mine sites were surveyed. However, when soil properties and metal contamination source characteristics were included together in the analysis, the effects of the ore lithology (the ore enclosing material) were more relevant than the other metal-source characteristics. Stepwise multiple regression yielded a multivariate linear model in the form:

$$\% E = b + m_1 p H + m_2 M_{tot} + m_3 \% OC + m_4 Fe$$
[4]

350

where b is the intercept and m_1 to m_4 are the corresponding slopes for different soil properties: p_{CaCl2} ; total metal concentration (M_{tot} , mg kg⁻¹); organic carbon content (OC, %); oxalate extractable Fe (Fe_{ox}, mg kg⁻¹).

354

The obtained model parameters (Table 3) showed that soil properties rather than source attributes 355 primarily explained the %E of most metals, except for Zn and As for which the ore lithology (6 356 357 types) was the dominant factor. The %E of Zn was lowest in locations where the ore lithology was sericite (a fined grained mica) and highest where it was black limestone. For As, the ore lithology 358 359 also dominated the %E values but, again, such data need to be taken with caution as only 10 samples were analyzed. The relative roles of soil pH, total metal concentration and organic carbon 360 explaining %E variation are given graphically in Figures 3, SI2 and SI3, including the related ore 361 362 lithology.

Table 3. Significant (p<0.01) linear regression parameters (m_1 to m_4) from stepwise multivariate analysis of labile fractions (%E) of Ni, Cu, Zn, Cd, Pb, and As as a function of soil chemical properties and contamination source attributes. Parameters in bold explain the most of the variance, according to the model in equation [4]. Standard errors of regression parameters are shown between brackets.

368

							Madal		Additional	Immund		r
Element	n	b	m_1^*	m ₂	m 3	m 4	Model R ²	RMSE	metal source characteristic	Improved Model R ²		(pred to obs)
Ni	32	66 (9)	-7.9 (1)	0.08 (<0.01)			0.67	3.5	Ore lithology ¹	0.73	2.9	0.89
Cu	32	49 (10)	-6 (1.4)	0.01 (0.003)	4 (0.6)		0.81	3.85				0.9
Zn	32	50 (10)	-5.5 (1.4)				0.48	4.08	Ore lithology	0.62	3.35	0.62
Cd	32	11 (2)		0.15 (0.1)	5.5 (0.8)		0.69	5.78				0.83
Pb	32	17 (2)		0.01 (0.001)	3.3 (0.9)		0.75	6.37				0.87
As	10	2 (2)				0.004 (0.001)	0.83	3.83	Ore lithology	0.99	3.1	0.91

* Corresponding slopes for different soil properties: m₁-pHCaCl2; m₂-total metal concentration (Mtot, mg kg-1); m₃organic carbon content (OC, %); m₄oxalate extractable Fe (Feox, mg kg-1).

¹ See Table 1.

DISCUSSION

370

Total and labile metal concentrations. Total metal concentration in uncontaminated soils were most often larger than estimated median values of geological background values in large regional surveys (Gutiérrez-Ruíz et al. 2009; Holmgren et al. 1993). For example, the median concentrations of aqua regia soluble metals in the uncontaminated soils were (in mg kg⁻¹): 1.5 (Cd), 27 (Ni), 51 (Cu), 40 (Pb) and 223 (Zn) whereas corresponding median concentrations incropland soils from the USA are 0.2, 18, 19, 11 and 53, respectively (Holmgren et al. 1993).

377

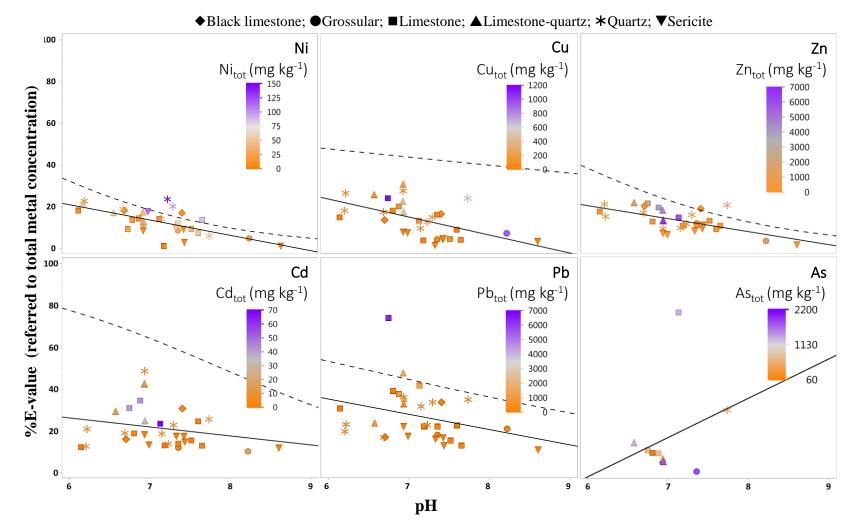
378 At four locations (I, II, IV and X), the concentrations in uncontaminated soils even exceeded such baseline values, e.g. 1100 mg Zn kg⁻¹ and 890 mg As kg⁻¹, respectively, with values largely higher 379 than median background concentrations for Mexican soils (47 mg Zn kg⁻¹ and 4.8 mg As kg⁻¹, 380 381 (Gutiérrez-Ruíz et al. 2009)). This illustrates that the soils located within about 100 m from the 382 mining dam edge still contained the signature of a metal enrichment and, therefore, that the 383 uncontaminated samples do not truly represent the background soils conditions at a large scale. Total Zn and As concentrations in contaminated soils peak to about 7000 mg kg⁻¹ for both 384 385 elements, in agreement with reported values for those locations (Duarte-Zaragoza et al. 2015; 386 Morton-Bermea et al. 2013; Santos-Jallath et al. 2013).

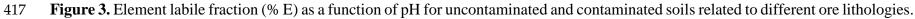
387

The element lability in contaminated soils and mining wastes is a more relevant indicator for environmental risk than total concentrations (Hamon et al. 2008). The median %E of Cu, Zn and Cd for the soil samples were 10 to 20 percent lower than the median of previously reported values for Cu (17%, n=87), Zn (23%, n=101) and Cd (30%, n=105) obtained using the same protocol (Buekers et al. 2007; Garforth et al. 2016; Hamon et al. 2008; Marzouk et al. 2013b). Ni and Pb 393 labile fractions were very comparable with the same set of reported values. A main reason for the 394 discrepancies is, of course, the type of sampled analyzed, as in the case of Buekers et al. 2007, 395 were fractions high fractions of labile Cu and Cd correspond to control-uncontaminated soils. 396 When field contaminated soils are analyzed (as in Garforth et al. 2016 and Marzouk et al. 2013b) 397 the discrepancies are more related to soil chemical properties mainly to lower pH values and Feox 398 contents compared to the values of the present work. Median As %E values are in good agreement with the median of reported values in contaminated soils (8.2, n=27) determined with similar 399 protocols (De Brouwere et al. 2004). However, the median %E of As obtained using a different 400 401 extracting solution (mainly H_2PO_4) is about 4 times higher than the one in this study, probably due to the dissolution of the non-labile fraction to some extent, as it has been observed for some 402 cationic metals with strong extractant solutions (Hamon et al. 2004; Stroud et al. 2011; Tye et al. 403 404 2002). The higher metal lability in mining wastes (W) compared to that in soil samples is in 405 contrast with earlier findings (Degryse et al. 2004; E. Marzouk et al. 2013b; Rahman et al. 2017), and may be the result of the prolonged weathering time of the collected mining wastes, generating 406 407 acid drainage after oxidation of remaining mineral sulphides in the wastes.

408

Single and multiple variable regressions using soil properties. The negative correlation between %E and soil pH was found for all metals (Table 2), and is attributed to the effect of increased pH on increasing the negatively charged sorption sites at the soil surface, the preferential adsorption of metal on hydroxide groups, the metal-hydroxide complex formation or different interactions (surface precipitation or diffusion) with carbonate minerals. For Pb and Cd, such trend was weaker compared to that for Ni or Zn, in agreement with the results obtained by Gabler et al. 1999, Degryse et al. 2004 and Mao et al. 2017 (Degryse et al. 2004; Gabler et al. 1999; L. C. Mao et al. 2017).





418 The dashed lines are previously established relationships of labile fractions in well equilibrated metal salt amended soils derived from

⁴¹⁹ Mao et al., 2017 (L. Mao et al. 2017).

420 In the data here, the %E of Cd increases with increasing %OC in soil, this contrasts observation 421 of Degryse et al. in a study where Cd lability decreased with increasing organic carbon associated 422 to progressive sludge loadings to soil (Degryse et al. 2004). However, in that case it was assumed 423 that the negative trend was more related to the dilution of the most labile Cd fraction in the original soil with less labile Cd in the amendment. Here, it is possible that increased %OC in soil enhances 424 Cd mobility through complexation of Cd²⁺ in soil solution with dissolved organic matter, thereby 425 limiting Cd fixation. Indeed, it is well known that Cd²⁺ has a high affinity to form complexes with 426 dissolved organic matter (DOM). The labile Pb fraction increased here with total Pb concentration, 427 suggesting that there is only a minor presence of non-labile Pb mineral forms (like galena or 428 pyromorphite) that normally restrict Pb lability (Atkinson et al. 2011). The high affinity of Pb for 429 organic functional groups in DOM may explain the sensitivity of Pb labile fraction to changes in 430 431 soil organic content. At high TOC contents such higher affinity could obscure the effects of pH on 432 Pb lability more than on Zn resulting in different variables explaining the %E of Zn and Pb. Marzouk (2013) found a strong effect of total Pb concentration on labile Pb fractions: increasing 433 %E with total Pb at total concentrations $<2000 \text{ mg kg}^{-1}$ and decreasing %E with total Pb at total 434 concentrations $>2000 \text{ mg kg}^{-1}$. The first trend is the one observed in our data set in which total Pb 435 concentration range of 1.7 to 2100 mg kg⁻¹ excluding one outlier at 6400 mg kg⁻¹. 436

437

Effect of metal source characteristics in the models. Among the metal-source characteristics, only ore lithology explained the labile fractions of Zn in the multivariate models, while the model improvement for Ni labile fractions was only marginal and that for As labile fraction needs to be treated with caution due to a lack of large data number (Table 3). Differently from the other metal source characteristics, the ore lithology is not exclusively related with the ore but it is extensive to the original geological material. It is probable that the effect of the ore lithology (the main material enclosing the ore) on %E in the soil samples reflects the influence of the parent rock on the soil formation process for the uncontaminated soils, while for the contaminated soils it could reflect the influence of the mining waste as a source of contamination.

447

For Zn it was observed that the lowest %E-values was about 9% (at pH=7 and eCEC=20 cmol_c kg⁻ 448 ¹) in mines where sericite (mica group) and grossular (garnet group) ore lithologies occur, both 449 mainly composed of silicates, whereas highest %E-values were about 15% (at equal soil properties 450 451 as above) in mines dominated by the black-limestone ore lithology. The sericite and grossular silicate ore lithologies are here associated with polymetallic extraction mines, including Au, Ag, 452 Cu, Pb and As in the form of sulphides in the mineral. The silicate ore lithology may explain the 453 454 lower end of labile Zn fractions in such mines: Zn speciation in mining impacted soils showed that kerolite, a trioctahedral phyllosilicate, was a major host of Zn in soils with high Zn contamination 455 from either smithsonite (ZnCO₃), hemimorphite (Zn₄Si₂O₇(OH)₂H₂O) and willemite (Zn₂SiO₄) 456 (Van Damme et al. 2010) and the labile fraction of Zn in such soils is very low (Van Damme 457 458 2010). The labile fractions of Zn were slightly higher where the black-limestone occurs, 459 exclusively in areas used for gold and silver extraction from sulfidic and oxide ores, but the reasons 460 behind such larger %E associated to those samples are unclear.

461

Earlier studies in well equilibrated metal salt amended soils have revealed empirical trend lines between metal labile fractions and soil pH. Such models have been taken forward to regulations (Smolders et al. 2009). Selected empirical trend lines are added in Figure 3 (L. Mao et al. 2017). In general, these lines are on the higher end of labile fractions observed here, pointing that the 466 labile fractions in the mine impacted soils are lower than in the metal salt impacted soils and that some non-labile metal may originate from the ore lithology. However, the differences in average 467 labile fractions at equivalent pH is less than factor 2, except for Cd and Cu (maximally factor 4) 468 469 but these metals are unlikely be of risk concern due to their low concentrations. These lability data 470 is suggesting that the mineral weathering/alteration in these mining impacted soils has already 471 proceeded rather far, especially for Ni, Zn and Pb. Such also suggests that, in a risk assessment context, the differences with equilibrated metal salt amended soils are not very large and that the 472 earlier generic trends of major soil properties explaining labile fraction adequately describe the 473 474 data in such mining waste impacted soils, with some bias to the conservative (protective) prediction of metal(loid) lability. 475

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- 477

CONCLUSIONS

Labile fractions were determined in a set of soils unaffected/affected by mining activities, 478 479 including mining wastes samples with different underlying source characteristics. Most of the 480 labile fraction variation can be sufficiently described-predicted by multivariate regression models 481 based on routinely determined soil chemical characteristics, across a wide range of samples with 482 a common contamination source. The role of metal source attributes determining metals lability 483 was limited and only provided consistent explanation for labile fractions of Zn where silicate minerals are the main matrices. Such suggests that the earlier generic trends of major soil properties 484 485 explaining labile fraction adequately describe the data in such mining waste impacted soils.

486

The necessity to ensure the sustainability of the mining production also involves the control of soil
contamination derived from the mining activities, not only in México but at many other important

mining regions in developed and developing countries as China, Spain, Australia, Papua New
Guinea, Canada, Botswana, Mozambique, South Africa, Guinea, Chile, Peru, Argentina,
Kazakhstan or India. Many of these countries share climatic, geological and edaphic characteristics
and production practices with mining places in Mexico.

493

In this sense, the present research contributes to the development of models that allow the environmental risk assessment associated to the mining activities, the planification of waste treatment and management and monitored control of the treated wastes and recovered soils"

- 497
- 498

SUPPLEMENTAL MATERIAL

499 500 samples and chemical characterization. Figure SI1: The 11 visited locations and associated sample numbers and types. Table SI2: Analytical methods used to determine selected 501 characteristics of the soil samples. Experimental remarks on stable isotopic dilution. Table SI3: 502 503 Isotopic Abundance data of enriched isotopes in the multi-element spike. Table SI4: Concentration of enriched stable isotopes at different spike solutions. Table SI5: Aqua-regia metal concentrations 504 505 of reference soils. Table SI6: Added and recovered metal concentrations in the standard addition 506 test. Table SI7: Metal lability in reference soils samples. Isotopic dilution: Mexican soil samples 507 results. Table SI8: Selected properties of Mexican soil samples. Table SI9: Labile fraction of 508 metals and As in Mexican samples. Metal lability affected by soil properties and metal source 509 characteristics. Figure SI1: Variation of labile fraction with organic carbon for different total 510 metal and As concentrations and ore lithology source. Figure SI2: Variation of labile fraction with 511 total concentration for different ore lithology source.

512

514	ADDITIONAL INFORMATION
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Tables

(Next page)

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Sar	Samples		Economic interest	One type	Mineralogy ^{a,b}	Lithologya	Soil pH	Soil OC	
Location	Number	district	metals ^a	Ore type ^a	Mineralogy	Lithology ^a	range ^c	range (%) ^c	
Ι	1 and 2	Zimapan	Cu, Pb, Zn	Mesothermal	S, Ox, CO ₃ , SO ₄ , AsO ₄	Limestone- quartz	6.6-6.9	2.0-4.5	
II	3 and 4	Taxco	Ag, Au, Cd, Cu, Pb, Zn	Epithermal	S	Limestone	6.7-7.1	1.5-2.5	
III	5	Zacualpan	Ag, Cu, Pb, Zn	Mesothermal	S	Quartz	6.1-6.2	0.5-2.3	
IV	6 and 11	Pozos	Ag, Au, Cu, Pb, Zn	Epithermal	S	Quartz	6.7-7.7	1.0-4.7	
V	7 and 8	Guanajuato	Ag, Au, Pb, Zn	Epithermal	S	Sericite	6.9-8.6	0.3-0.9	
VI	9	Guanajuato	Ag, Au, Pb, Zn	Hipothermal	S	Limestone	6.1-7.2	0.8-1.2	
VII	10	Guanajuato	Ag, Au	Hipothermal	S	Quartz	7.2-7.3	1.2-2.1	
VIII	12	Guanajuato	Au, Ag, Cu	Epithermal	S	Sericite (colorless mica)	7.0-7.3	0.1-0.7	
IX	13 and 14	Molango	Mn	Oxic- epithermal	S, Ox	Limestone	7.5-7.6	0.1-3.0	
Х	15	Maconi	As, Au, Cu, Pb, Zn	Metasomatic	S	Grossular (Ca ₃ Al ₂ (SiO ₄))	7.4-8.2	0.2	
XI	16	Bernal	Ag, Au	Epithermal	S, Ox, CO ₃	Black limestone	6.7-7.4	2.0-2.8	

Table 1. Selected properties of the 11 locations where soils and mining wastes were collected. The sampling locations, sample numbers641 and sample types are given in Figure S1.

^a Source: SGM, <u>https://www.gob.mx/sgm</u>

^bS=sulphidic; Ox: oxides; CO₃: carbonates, AsO₄: arsenates; SO₄: sulphates.

^cExperimental data, obtained from soils samples (wastes excluded) < 2 mm.

Table 2. Model \mathbb{R}^2 values for the linear regression between labile fractions (%E) of Ni, Cu, Zn, Cd, Pb, and As and soil chemical properties or for the ANOVA of %E related to contamination source attributes. Bold values indicate that the corresponding regression parameters or factors are statistically significant (p<0.05). Italic values denote that the effects (slope) are negative. M_{tot}: total metal concentration (mg kg⁻¹); OC: organic carbon concentration (%, w/w); eCEC: effective cation exchange capacity (cmol_c kg⁻¹); CaCO₃ carbonates concentration (g kg⁻¹); (Fe/Al)_{ox}: oxalate extractable Fe or Al. For source attributes see Table 1.

	Ni	Cu	Zn	Cd	Pb	As
Regressor	n=32	n=32	n=32	n=32	n=32	n=10
pН	0.44	0.30	0.38	0.05	0.09	0.08
M _{tot}	0.19	0.09	0.08	0.15	0.63	<0.01
OC	0.08	0.63	0.17	0.62	0.18	< 0.01
eCEC	0.02	0.1	0.06	0.12	0.01	0.21
CaCO ₃	< 0.01	0.04	0.03	0.02	0.1	0.11
Feox	<0.01	0.05	0.09	< 0.01	0.14	0.83
Al _{ox}	0.25	0.22	0.05	0.26	0.14	0.48
Extracted metals ^a	0.57	0.68	0.49	0.57	0.59	0.25
Mineralogy ^a	0.08	0.26	0.18	0.29	0.11	0.11
Ore type ^a	0.25	0.39	0.21	0.26	0.10	0.25
Ore structure ^a	0.12	0.36	0.25	0.16	0.14	0.24
Ore lithology ^a	0.39	0.58	0.50	0.39	0.26	0.25

^a Source: Mexican Geological Survey <u>https://www.gob.mx/sgm</u>

- 669 **Table 3.** Determination coefficients (R^2) of stepwise multivariate analysis of labile fractions (%E)
- 670 of Ni, Cu, Zn, Cd, Pb, and As in soils as a function of soil chemical properties and contamination
- 671 source attributes. Variables in bold explain the most of the variance. M_{tot}: total metal concentration;
- 672 OC: organic carbon concentration; eCEC: effective cation exchange capacity; Fe_{ox}: oxalate
- 673 extractable Fe; ore lithology: see Table 1.

		Soil properties of	only	ly Soil properties and metal source characteristics			
Metal	n	Significant variables (p<0.01) ^a	Model R ²	Significant variables (p<0.01) ^a	Model R ²		
Ni	32	pH; M _{tot}	0.67	pH; M _{tot} ; Ore lithology	0.73		
Cu	32	OC; pH; M _{tot}	0.81	OC; pH; M _{tot}	0.81		
Zn	32	рН	0.38	Ore lithology ; pH; eCEC	0.62		
Cd	32	OC; M _{tot}	0.69	OC; M _{tot}	0.68		
Pb	32	Mtot; OC	0.75	M _{tot} ; OC	0.75		
As	10	Feox	0.83	Ore lithology ; pH	0.99		