#### Roadmap toward the 10 ps time-of-flight PET challenge

Paul Lecoq<sup>1,\*</sup>, Christian Morel<sup>2,\*</sup>, John O Prior<sup>3</sup>, Dimitris Visvikis<sup>4</sup>, Stefan Gundacker<sup>1,5</sup>, Etiennette Auffray<sup>1</sup>, Peter Križan<sup>6</sup>, Rosana Martinez Turtos<sup>1‡</sup>, Dominique Thers<sup>7</sup>, Edoardo Charbon<sup>8</sup>, Joao Varela<sup>9</sup>, Christophe de La Taille<sup>10</sup>, Angelo Rivetti<sup>11</sup>, Dominique Breton<sup>12</sup>, Jean-François Pratte<sup>13</sup>, Johan Nuyts<sup>14</sup>, Suleman Surti<sup>15</sup>, Stefaan Vandenberghe<sup>16</sup>, Paul Marsden<sup>17</sup>, Katia Parodi<sup>18</sup>, Jose Maria Benlloch<sup>19</sup>, Mathieu Benoit<sup>20</sup>

<sup>1</sup> CERN, department EP, Geneva, Switzerland

<sup>2</sup> Aix-Marseille Univ, CNRS/IN2P3, CPPM, Marseille France

<sup>3</sup> Department of Nuclear Medicine and Molecular Imaging, CHUV, Lausanne, Switzerland

<sup>4</sup> LaTIM, INSERM, UMR 1101, University of Brest, Brest, France

<sup>5</sup> UniMIB, Milano, Italy

<sup>6</sup> Faculty of mathematics and physics, University of Ljubljana, and J. Stefan Institute, Ljubljana, Slovenia

<sup>7</sup> SUBATECH, IMT Atlantique, CNRS/IN2P3, Université de Nantes, Nantes 44307, France
 <sup>8</sup> Advanced Quantum Architecture Lab (AQUA), EPFL, Rue de la Maladière 71b, 2002
 Neuchâtel, Switzerland

<sup>9</sup> LIP, University of Lisbon, Lisbon, Portugal

<sup>10</sup> Ecole Polytechnique, CNRS/IN2P3, Omega, Palaiseau, France

<sup>11</sup> INFN, Torino, Italy

<sup>12</sup> Laboratoire de l'Accélérateur Linéaire, CNRS/IN2P3, Orsay, France

<sup>13</sup> Interdisciplinary Institute for Technological Innovation – 3IT, Université de Sherbrooke, Canada

<sup>14</sup> KU Leuven, Belgium

<sup>15</sup> University of Pennsylvania, Philadelphia, PA, USA

<sup>16</sup> University of Ghent, Belgium

<sup>17</sup> School of Biomedical Engineering and Imaging Sciences, King's College, London, UK

<sup>18</sup> Ludwig-Maximilians-Universität München, Department of Experimental Physics – Medical

Physics, Munich, Germany

<sup>19</sup> UPV, Valencia, Spain

<sup>20</sup> Département de Physique Nucléaire et Corpusculaire (DPNC), Université de Genève, 24 Quai Ernest Ansermet, 1211 Genève 4, Switzerland

\* E-mail: paul.lecoq@cern.ch and morel@cppm.in2p3.fr

<sup>‡</sup> Present address: Institute of Physics and Astronomy, Aarhus University, 120 Ny

Munkegade, 8000 Aarhus, Denmark

#### Abstract

Since the seventies, positron emission tomography (PET) has become an invaluable medical molecular imaging modality with an unprecedented sensitivity at the picomolar level, especially for cancer diagnosis and the monitoring of its response to therapy. More recently, its combination with X-ray computed tomography (CT) or magnetic resonance (MR) has added high precision anatomic information in fused PET/CT and PET/MR images, thus compensating for the modest intrinsic spatial resolution of PET. Nevertheless, a number of medical challenges call for further improvements in PET sensitivity. These concern in particular new treatment opportunities in the context personalized (also called precision) medicine, such as the need to dynamically track a small number of cells in cancer immunotherapy or stem cells for tissue repair procedures. A better signal-to-noise ratio (SNR) in the image would allow detecting smaller size tumours together with a better staging of the patients, thus increasing the chances of putting cancer in complete remission. Moreover, there is an increasing demand for reducing the radioactive doses injected to the patients without impairing image quality.

There are three ways to improve PET scanner sensitivity: improving detector efficiency, increasing geometrical acceptance of the imaging device and pushing the timing performance of the detectors. Currently, some pre-localization of the electron-positron annihilation along a line-of-response (LOR) given by the detection of a pair of annihilation photons is provided by the detection of the time difference between the two photons, also known as the time-of-flight (TOF) difference of the photons, whose accuracy is given by the coincidence time resolution (CTR). A CTR of about 10 picoseconds FWHM will ultimately allow to obtain a direct 3D volume representation of the activity distribution of a positron emitting radiopharmaceutical, at the millimetre level, thus introducing a quantum leap in PET imaging and quantification and fostering more frequent use of <sup>11</sup>C radiopharmaceuticals.

The present roadmap article toward the advent of 10 ps TOF-PET addresses the status and current/future challenges along the development of TOF-PET with the objective to reach this mythic 10 ps frontier that will open the door to real-time volume imaging virtually without tomographic inversion. The medical impact and prospects to achieve this technological revolution from the detection and image reconstruction point-of-views, together with a few perspectives beyond the TOF-PET application are discussed.

## Contents

## A. Introduction

#### **B.** Medical impact

- B1. Clinical and research impact of 10 ps TOF-PET
- B2. Innovation for dynamic imaging

#### C. Detection of annihilation photons

- C1. Design criteria for the detection chain for 10 ps TOF-PET
- C2. Perspectives on using inorganic scintillators for fast timing application
- C3. Perspectives on using Cherenkov light for fast timing applications
- C4. Perspectives on using nano-scintillators for fast timing applications
- C5. Perspectives on LAr and/or LXe scintillators for fast timing applications

## **D.** Photo-detectors and readout electronics

- D1. Perspectives on photo-detectors
- D2. Design criteria for fast timing readout electronics
- D3. Perspectives on readout ASIC for SiPM arrays
- D4. Perspectives on wave sampling electronics for fast timing applications
- D5. Perspectives on 3D electronics for fast timing applications

#### **E.** Image reconstruction

#### F. 10 ps TOF-PET and beyond

- F1. Perspectives of 10 ps TOF-PET for simultaneous PET/MRI multimodality
- F2. Perspectives of 10 ps TOF-PET for range monitoring in hadron-therapy
- F3. Perspectives for including Compton events in 10 ps TOF-PET
- F4. Perspectives on using silicon pixel detectors for fast timing applications

#### **A. Introduction**

Paul Lecoq and Christian Morel

Since the seventies, positron emission tomography (PET) has become an invaluable medical molecular imaging modality, especially for cancer diagnosis and the monitoring of its response to therapy and more recently in direct combination with X-ray computed tomography (CT) or magnetic resonance (MR). With a sensitivity at the pico-molar  $(10^{-12})$  level, PET is presently the most sensitive molecular imaging modality, allowing dynamic, quantitative studies of the contribution of different molecular pathways to metabolic processes involved in a patient and the way they are affected by diseases. This makes PET an invaluable tool for diagnostic of diseases, monitoring of patient treatment and drug development.

However, a number of medical challenges call for further improvements in PET sensitivity. These concern in particular new treatment opportunities in the context personalized (also called precision) medicine, the rapid development of immunotherapy and stem cell-based tissue repair procedures, which require in vivo tracking of a small number of cells to follow quantitatively and dynamically their bio-distribution, differentiation and activity in the patient. A better signal-to-noise ratio (SNR) in the image would allow detecting smaller size tumours together with a better staging of the patients, thus increasing the chances of putting cancer in complete remission. The corresponding gain in effective sensitivity would also allow extending the scan duration over more radiotracer half-lives for the same injected dose, which would be particularly relevant for slow tracer kinetics studies, such as brain examinations with <sup>11</sup>C and immunotherapy studies with <sup>89</sup>Zr. Moreover, there is an increasing demand for reducing the radioactive doses injected to the patients without impairing image quality. A dose reduction by one order of magnitude would allow extending PET protocols much beyond cancer diagnosis, such as inflammation, cardio-vascular diseases, sepsis and infectious diseases, just to cite a few. Scans over more radiotracer half-lives would improve the potential of pharmaco-dynamic and bio-distribution studies. Most importantly, a significant dose reduction would potentiate PET protocols for new categories of patients in the paediatric, neonatal and even prenatal domains.

Actually, there are three ways to improve the sensitivity of PET scanners, each of them generating an intense R&D effort by a number of research groups all over the world:

#### 1. Improving detector efficiency

The working principle of PET is based on the detection in coincidence of two 511 keV  $\gamma$ -rays resulting from the annihilation of an atomic electron with the positron that is emitted by the radioactive isotope labelling the biomarker injected to the patient. The PET sensitivity is directly related to the detection efficiency of these  $\gamma$ -rays. The detection chain comprises generally a scintillator crystal, which converts the energy of the  $\gamma$ -rays into scintillation light, a photo-detector, which transforms the scintillation light into an electronic signal, and a readout electronic circuit. The rapid development of solid-state photodetectors, such as avalanche photodiodes (APDs) and silicon photomultipliers (SiPMs), customised in different sizes, packaging and large size matrices opens new ways to design more compact detector modules, minimizing the gaps between the crystals, or even suppressing those with the monolithic block detector concept.

## 2. Increasing geometrical acceptance

For obvious economic reasons, the axial length of commercial PET scanners is presently limited to 20-30 cm, which severely limits the sensitivity (number of recorded  $\gamma$ -rays coincidences relative to the activity in the patient) because of the limited geometrical

acceptance relative to the isotropic emission of electronically collimated annihilation  $\gamma$ -ray pairs.

Extending the axial length of the scanner to 2 m is the goal of the EXPLORER project, proposed and led by UC Davis [1], [2] and funded by the NIH in the USA. This "total-body" PET scanner records 40 times more events than a state-of-the-art scanner, which translates to an increase in sensitivity by a factor of 4-5 for a single organ, but up to 40 for a total body scan, with all the potentialities in terms of SNR improvement and dose reduction previously described. Of note, 1-m long PET scanner would already significantly increase sensitivity as compared to today's PET and this road might be followed by the industry as an alternative to the very expensive total-body PET scanners.

## 3. Pushing time-of-flight performance

The third approach to improve PET performance makes use of time-of-flight techniques. It is not competing with the two other approaches and can, on the contrary, be combined to them in order to further improve PET effective sensitivity.

The localization of the emission point of an annihilation pair along the line-of-response (LOR) defined by the nearly coincident detection of a pair of annihilation  $\gamma$ -rays depends on the detection time difference between the two annihilation photons, also known as the time-of-flight (TOF) difference of the photons, whose accuracy is given by the coincidence time resolution (CTR) of the detection chain. It can be shown that this additional information allows reducing the noise variance associated to the 3D-PET ill-posed tomographic inversion problem by a factor proportional to the CTR reduction [3], [4]:

$$\left(\frac{SNR_{TOF}}{SNR_{nonTOF}}\right)^2 = \frac{2D}{c \times CTR}$$

where D is the diameter of the object to be imaged and c is the speed of light.

Modern commercial PET scanners have introduced TOF techniques at a CTR performance level of 500 ps, and very recently 214 ps with the Biograph Vision scanner from Siemens [5]. This translates to an improvement in the image SNR of 2.3 and 3.5 respectively.

Recently it has been proposed to study if the 10 ps CTR limit could be considered as an ambitious, but realistic target for the future [6]. With a gain by more than an order of magnitude ( $\times$ 16) in SNR as compared to non TOF PET, the overall performance gain would be similar to the EXPLORER one. Moreover, taking-into-account the speed of light, which is approximately 30 cm/ns, a CTR better than 10 ps (FWHM), corresponding to a spatial resolution on the annihilation position better than 1.5 mm along the LOR, will ultimately allow to get a direct 3D volume representation of the estimated activity distribution of a positron emitting radiopharmaceutical, at the 1.5 mm level and virtually without the need for tomographic inversion, thus introducing a quantum leap in PET imaging and quantification.

Preliminary studies [6] have not permitted to identify physical showstoppers, which could compromise this very ambitious objective. Moreover, a number of disruptive technologies are presently being developed in other scientific and application areas, having the potential to realise breakthroughs in the performance of all the components of the detection chain.

On the basis of these observations, the Crystal Clear collaboration [7] has proposed to launch a challenge on 10 ps CTR TOF-PET [8] while creating incentives to motivate the largest number of researchers and to shorten the path towards this very challenging, but very rewarding goal.

The present paper aims at establishing a roadmap towards this objective, through the opinion of renowned experts in the different scientific and technical fields that will require a major

#### step in performance to reach a 10 ps (FWHM) CTR resolution for TOF-PET.

- [1] https://explorer.ucdavis.edu/.
- [2] SR Cherry et al., "Total-body imaging: transforming the role of positron emission tomography," Sci Transl Med, vol. 9, eaaf6169, 2017. doi: 10.1126/scitranslmed.aaf6169.
- [3] DL Snyder, LJ Thomas and MM Terpogossian, "A mathematical-model for positron-emission tomography systems having time-of-flight measurements," IEEE Trans Nucl Sci, vol. 28, no. 3, pp. 3575-3583, 1981.
- [4] TF Budinger, "Time-of-flight positron emission tomography status relative to conventional PET," J Nucl Med, vol. 24, no. 1, pp. 73-76, 1983.
- [5] JJ van Sluis, J de Jong, J Schaar, W Noordzij, P van Snick, R Dierckx, R Borra, A Willemsen, and R Boellaard, "Performance characteristics of the Digital Biograph Vision PET/CT system," J Nucl Med, vol. 60, no. 7, pp. 1031-1036, 2019. doi: 10.2967/jnumed.118.215418.
- [6] P Lecoq, "Pushing the limits in time-of-flight PET imaging," IEEE T Radiat Plasma Med Sci, vol. 1, no. 6, pp. 473-485, 2017. doi: 10.1109/trpms.2017.2756674.
- [7] Crystal Clear Collaboration, RD18, CERN/DRDC/P27/91-15.
- [8] https://the10ps-challenge.org/.

# **B. Medical impact B1. Clinical and research impact of 10 ps time-of-flight (TOF)-PET**

John O Prior

## I. Status

1. The road from the current state-of-the-art TOF of 214 ps down to 10 ps

Recently, faster PET scanners with 214 ps TOF resolution have been commercially available [1] and the clinical translation of this innovation only starts to be seized. As in the transition in PET detectors from conventional, analogic photomultipliers to fully-digital detectors (silicon photomultipliers or SiPM), the higher contrast lesion or normal FDG-positive structures is much enhanced [2]. The same contrast improvement phenomenon was also observed when reducing the TOF resolution from 350-500 ps towards 200 ps. In fact, the structures with less FDG uptake (*e.g.* the white matter in the brain or the normal bone matrix) appear considerably "whiter" than before. This opens new detection possibilities of previously not measurable low-contrast biological phenomenon. To seize the improvement in image quality and lesion detectability brought about by a change in technology such as switching from conventional PET/CT to digital PET/CT, it was recently shown at the Hospital de la Santa Creu i Sant Pau in Barcelona that 54 % of the 83 patients benefited from this change. Furthermore, the digital system detected more lesions in 27 % of the patients with all additional lesions measuring <10 mm [3]. Thus, it is expected that a 10 ps TOF PET/CT scanner would have commensurate further improvements for lesion detectability in clinical practice, especially for small-size disease, which is very likely to change patient management, if it shows disease extension that would have been missed with regular PET/CT scanners. It would also improve therapy response, for instance in head and neck tumours, even shortly after therapy initiation [4].

# 2. Medical benefits of an improved 10 ps TOF PET

The improved spatial resolution along the line-of-response would bring reconstruction-less imaging, allowing totally new applications (see below B1.f.), as well as corresponding improvements in overall lesion detectability, resolution and effective sensitivity. The gain in performance has already been described before [5-7] and can be used for: (1) reducing the injected activity with many advantages, such as decreasing not only the patient dose, but also the dose to medical professionals and the public (co-workers of family of the patients returning to work or home after their PET examination); or for (2) decreasing scan time.

## **II.** Current and future challenges

## 1. New frontier in the detection of low-contrast phenomenon

The improved TOF resolution would allow making more precisely the difference between no activity vs. minimal pathological activity. This opens novel applications for molecular imaging of very early phenomenon with greater detection sensitivity. Such phenomenon of interest could be early apoptosis (an energy-dependent, genetically controlled process, also called programmed cell-death). It is implied in many physiological process such as organ development, tissue homeostasis and immune system regulation [8]. Diseases can also be caused when excessive apoptosis is triggered, such as in acute myocardial infarct, chronic heart failure, stroke, neuro-degeneration (Alzheimer or Parkinson's disease) [8]. Lack of apoptosis can also lead to pathological states such excessive inflammation in autoimmune diseases (such as systemic lupus erythematosus or rheumatoid arthritis) or to tumour development [8]. Thus, improved detection of minimal apoptosis or lack thereof would allow scientists and clinicians with relevant information to develop new drugs or better follow and treat disease activity.

2. Image much longer with same injected activity

With a much more sensitive scanner, it would become possible to image injected activities for a much longer time than ever before. For instance with the EXPLORER total-body PET/CT scanner, good quality images have been imaged up to 5 times the physical half-life of the radionuclide (>10 hours for F-18-FDG for instance) [6]. This would translate most likely in observation times up to a month with Zr-89 (physical half-life 3.27 days). Such application would require using strategies to enhance Zr-89-antibody stability without loss of antigen reactivity, such as using L-methionine buffer [9], otherwise the Zr-89 signal would not represent the expected target distribution. Also, in oncology, the patient will not go for a month without therapy. Thus, potentially interesting information could be obtained when therapy would be started a few days after the administration of radiopharmaceuticals, depending of the therapy effect and the elimination pathways. This has not been attempted so far and novel knowledge might result for measuring response and optimizing therapy.

Also, the latest immunotherapies such as immune checkpoint inhibitors and cell-based therapies provide spectacular results and presently dominate the oncologic clinical development. For optimizing and developing such effective targeting, the capability to image over long period of time with longer-life isotopes would be helpful [10]. Likewise, as we deepen our understanding of tumour metabolism and microenvironment, there would be a need for observing these fundamental interaction longer with the wealth of existing and upcoming radiopharmaceuticals [11].

Another application of interest could be the use of dual-PET radioisotopes to perform only one acquisition to study two metabolic functions with two radiopharmaceuticals, for instance F-18-FDG metabolism co-injected with Cu-60-diacetyl-di(N4-methylthiosemicarbazone) (Cu-60-ATSM) for hypoxia [12].

3. Extended axial coverage with sparse detector design and reconstruction-less PET

The 10 ps TOF PET could also participate to the design of extend axial field-of-view PET scanners without increasing the cost of scanner with the concept of sparse detectors [13]. It was already demonstrated in a study with digital PET/CT that reducing the number of detectors by 2 in the axial field-of-view without comprising image quality with a corresponding sensitivity reduction of  $1/4^{\text{th}}$  [13].

With the expected improved spatial resolution along the line-of-response, one could even imaging covering half-body or whole-body size (1 or 2 m, respectively) with the same number of detectors nowadays attributed to a regular 20 or 26 cm PET scanner using a sparse-detector geometry. Thus, the price in crystals could substantially stay identical even though axial coverage would be tremendously extended ( $\times 2-5$ ). The main advantage of such a total-body detector geometry would be the simplification of the patient bed and cost diminution with the advantage of having access to the whole patient at once, which would be ideal for dynamic parametric imaging, drug or biomarker development. Such applications have been already envisioned with the design and the first clinical images of the total-body PET (EXPLORER) scanner recently reported [6]. It would also make "total-body" applications possible, such as global atherosclerotic burden [14]. Another design benefiting from the better reconstructions even in case of partial ring geometry would be an "open" (2-plate) geometry for claustrophobic patients.

The fact that reconstruction-less PET would be available would also render the reconstruction process much faster, allowing new applications such as stopping acquisition when the structure/organ/region of interest would have been imaged with enough accuracy by defining precise criteria (such as number of counts or noise variance in a given organ). This could open the way to new, speed-adaptive acquisitions in case of partial-body PET/CT coverage, where

the observed annihilation events could be accumulated in real-time to a specific region and thus decide when to move to the next body region.

# III. Concluding remarks

Table 1 Summarises medical applications for an ultra-fast (10 ps) time-of-flight PET. With a several-orders-of-magnitude more sensitive PET/CT, novel medical applications can be envisioned. For instance, lung cancer screening, currently affected by a 96 % false-positive rate, could benefit from adding an ultra-low-dose PET/CT with only a fraction of a regular PET delivered dose.

Other, non-fatal disease such as infectious disease detection and monitoring could be developed, for instance for tuberculosis. This infectious disease is highly prevalent in India, China, and South Africa, and is responsible for ten million new cases and about 1.8 million deaths in 2015 [15]. A promising antibody (3d29) labelled with I-124 could theoretically be used in humans for PET/CT imaging of chronic *Mycobacterium tuberculosis* infections, thus helping making the correct diagnosis and extent of the disease, as well as when it is safe to stop therapy [16]. The availability of similar radiopharmaceutical probes injected in a fraction of today's activities would be useful for characterizing other infectious diseases such as HIV [17]. Likewise, psychiatric diseases could also benefit from ultra-low-dose imaging, such as monitoring response to therapy from major depressive or bi-polar disorders, or even screening for schizophrenia or neurodegenerative diseases using upcoming specific probes [18-20]. Also, there could be renewed interest into <sup>11</sup>C-based radiopharmaceuticals, as they could lead to much increased observation times over many hours, which might be useful to overcome the present short 20 min half-life limitation.

Finally, although paediatric nuclear medicine would benefit from dose reduction [21], earlylife PET could be of value if the radiation dose delivered by a PET/CT would be the equivalent of a few weeks of exposition to natural radioactivity. Many clinical research questions could be of value in the field of foetal growth and placental pathology, as well as obstructive uropathy, brain development, hypoxic insult, abnormal foetal motor behaviour and epilepsy, which could benefit from the latest development in molecular imaging, especially that percutaneous or minimal invasive foetal surgery has entered the clinical arena [22, 23].

Properties	Medical Field	Advantages	Medical applications examples
Better image characteristics	All	Increased confidence in clinical diagnosis	Better lesions detectability in small lesions Better image quality in large patients Better response detection during therapy
Faster image reconstructions	All	Decide when the image contains enough counts before moving to the next	Image count adaptive table speed for given image noise level
Higher effective	All	Injected activity reduction	Allows to target new populations and non-fatal diseases
sensitivity		Scan time reduction	Ultra-fast imaging (reduce motions artefacts, such as breathing, gastrointestinal and cardiac motion)
		Longer observation time after injection	Immuno-PET or image cell- based therapy (newer radionuclides like <sup>152</sup> Tb, <sup>52</sup> Mn,

Table 1. Summary of medical applications for an ultra-fast (10 ps) time-of-flight PET.

			etc.) Renewed interest in <sup>11</sup> C-based radiotracer because of increased observation time
Total-body PET design	All	Whole-body fast pharmacokinetic measurement	Pharmacokinetic studies for drug or novel biomarker development
		Reduction in cost for whole-body scanners	Novel detector geometry (sparse total-body or "open" geometry for claustrophobic patients
Novel Clinical Applications	Immuno-PET (antibodies imaging)	Imaging processes over longer time period	Antibody targeting over longer period of time
	Cell-based therapy imaging	Imaging processes over longer time period	Cell homing and viability
	<sup>90</sup> Y therapies (radio- embolization, peptide receptor radiation therapy)	Faster <sup>90</sup> Y post-therapy scanning	Deliver an accurate dosimetry after <sup>90</sup> Y therapy such as radio- embolization or peptide receptor radiation therapy
	Lung cancer screening	Decrease the high false- positive rate from CT	Faster response for suspicious lesion by probing metabolism
	Infectious disease imaging	Low-dose imaging of chronic infection with high specificity	Imaging chronic tuberculosis reactivation with high specificity
	Foetal imaging research	Ultra-low-dose imaging	Investigation of foetal and placental pathologies
	Psychiatric disease imaging and screening	Low-dose imaging usually not achievable for non-oncologic diseases	Schizophrenia screening, depressive disorders therapy response
	Dual-tracer PET acquisitions	Reduced acquisition time and better colocalization	Co-imaging of <sup>18</sup> F-FDG and hypoxia with <sup>60</sup> Cu in oncology or myocardial perfusion and hypoxia [12]

- JJ van Sluis, J de Jong, J Schaar, W Noordzij, P van Snick, R Dierckx, R Borra, A Willemsen, and R Boellaard, "Performance Characteristics of the Digital Biograph Vision PET/CT System," J. Nucl. Med., vol. 60, no. 7, pp. 1031-1036, 2019. doi: 10.2967/jnumed.118.215418.
- [2] J Salvadori, M Perrin, PY Marie, L Imbert and A Verger, "High-resolution brain 18F-FDG images provided by fully digital PET," Clin Nucl Med, vol. 44, no. 4, pp. 301-302, 2019. doi: 10.1097/RLU.0000000002483.
- [3] DA Lopez-Mora et al., "Comparison of image quality and lesion detection between digital and analog PET/CT," Eur J Nucl Med Mol Imaging, vol. 46, no. 6, pp.1383-1390, 2019. doi: 10.1007/s00259-019-4260-z.
- [4] CL Wright, IR Washington, AD Bhatt and MV Knopp, "Emerging opportunities for digital PET/CT to advance locoregional therapy in head and neck cancer," Semin Radiat Oncol, vol. 29, no. 2, pp. 93-101, 2019. doi: 10.1016/j.semradonc.2018.11.005.
- [5] M Conti and B Bendriem, "The new opportunities for high time resolution clinical TOF PET," Clin and Transl Imaging, vol. 7, no. 2, pp. 139-147, 2019. doi: 10.1007/s40336-019-00316-5.
- [6] RD Badawi et al., "First human imaging studies with the EXPLORER total-body PET scanner," J Nucl Med, vol. 60, no. 3 pp. 299-303, 2019. doi: 10.2967/jnumed.119.226498.
- [7] P Lecoq, "Pushing the limits in time-of-flight PET imaging," IEEE T Radiat Plasma Med Sci, vol. 1, no. 6, pp. 473-485, 2017. doi: 10.1109/trpms.2017.2756674.
- [8] CM Lahorte, JL Vanderheyden, N Steinmetz, C Van de Wiele, RA Dierckx and G Slegers, "Apoptosis-detecting radioligands: current state of the art and future perspectives," Eur J Nucl Med Mol Imaging, vol. 31, no. 6, pp. 887-919, 2004. doi: 10.1007/s00259-004-1555-4.
- [9] DN Pandya, NB Bhatt, F Almaguel, S Rideout-Danner, HD Gage, KK Solingapuram Sai and TJ Wadas, "89Zrchloride can be used for immuno-PET radiochemistry without loss of antigen reactivity in vivo," J Nucl Med, vol. 60, no. 5, pp. 696-701. 2019. doi: 10.2967/jnumed.118.216457.
- [10] O Martinez, J Sosabowski, J Maher and S Papa, "New developments in imaging cell-based therapy," J Nucl Med, vol. 60, no. 6, pp. 730-735, 2019. doi: 10.2967/jnumed.118.213348.

- [11] O Jacobson and X Chen, "Interrogating tumor metabolism and tumor microenvironments using molecular positron emission tomography imaging. Theranostic approaches to improve therapeutics," Pharmacol Rev, vol. 65, no. 4, pp. 1214-1256, 2013. doi: 10.1124/pr.113.007625.
- [12] S Walrand, M Hesse and F Jamar, "Update on novel trends in PET/CT technology and its clinical applications," Br J Radiol, vol. 91, no. 1081, 20160534, 2018. doi: 10.1259/bjr.20160534.
- [13] J Zhang, MI Knopp and MV Knopp, "Sparse detector configuration in SiPM digital photon counting PET: a feasibility study," Mol Imaging Biol, vol. 21, no. 3, pp. 447-453, 2019. doi: 10.1007/s11307-018-1250-7.
- [14] JP Schmall, JS Karp and A Alavi, "The potential role of total body PET imaging in assessment of atherosclerosis, "PET Clin, vol. 14, no. 2, pp. 245-250, 2019. doi: 10.1016/j.cpet.2018.12.007.
- [15] SK Jain, "The promise of molecular imaging in the study and treatment of infectious diseases," Mol Imaging Biol, vol. 19, no. 3, pp. 341-347, 2017. doi: 10.1007/s11307-017-1055-0.
- [16] CA Foss, L Kulik, AA Ordonez, SK Jain, V Michael Holers, JM Thurman and MG Pomper, "SPECT/CT imaging of mycobacterium tuberculosis infection with [125I]anti-C3d mAb," Mol Imaging Biol, vol. 21, no. 3, pp. 473-481, 2019. doi: 10.1007/s11307-018-1228-5.
- [17] J Niessl, AE Baxter and DE Kaufmann, "Tools for visualizing HIV in cure research," Curr HIV/AIDS Rep, vol. 15, no. 1, pp. 39-48, 2018. doi: 10.1007/s11904-018-0376-1.
- [18] ME Sloan, CW Grant, JL Gowin, VA Ramchandani and B Le Foll, "Endocannabinoid signaling in psychiatric disorders: a review of positron emission tomography studies," Acta Pharmacol Sin, vol. 40, no. 3, pp. 342-350, 2019. doi: 10.1038/s41401-018-0081-z.
- [19] EA Hazlett, DH Vaccaro, MM Haznedar and KE Goldstein, "F-18Fluorodeoxyglucose positron emission tomography studies of the schizophrenia spectrum: the legacy of Monte S. Buchsbaum, M.D.," Psychiatry Res, vol. 271, pp. 535-540, 2019. doi: 10.1016/j.psychres.2018.12.030.
- [20] Z Cai, S Li, D Matuskey, N Nabulsi and Y Huang, "PET imaging of synaptic density: a new tool for investigation of neuropsychiatric diseases," Neurosci Lett, vol. 691, pp. 44-50, 2019. doi: 10.1016/j.neulet.2018.07.038.
- [21] L Biassoni and M Easty, "Paediatric nuclear medicine imaging," Br Med Bull, vol. 123, no. 1, pp. 127-148, 2017. doi: 10.1093/bmb/ldx025.
- [22] HD Baumgarten and AW Flake, "Fetal surgery," Pediatr Clin North Am, vol. 66, no. 2, pp. 295-308, 2019. doi: 10.1016/j.pcl.2018.12.001.
- [23] CE Graves, MR Harrison and BE Padilla, "Minimally invasive fetal surgery,"Clin Perinatol, vol. 44, no. 4 729-751, 2017. doi: 10.1016/j.clp.2017.08.001.

# B. Medical impactB2. Innovation for dynamic imaging

Dimitris Visvikis

#### I. Status

Dynamic imaging concerns the acquisition of data and/or corresponding image time series that can be used in combination with a kinetic model for the extraction of region of interest (ROI) based quantitative physiological parameters of interest. An alternative to the extraction of parameters based on ROI analysis and corresponding time activity curves (TACs) is the application of the kinetic model to all image voxels, a process known as parametric imaging [1]. Moreover, dynamic imaging can be also used within the context of reducing the errors associated with physiological (cardiac, respiratory) and/or involuntary patient motion during data acquisition [2]. Irrespective of the final objectives targeted, one of the major issues associated with dynamic imaging concerns the high noise levels present in the data or the corresponding reconstructed images. This is particularly the case for early time frames used in image-based input function recovery as well as in the case of single voxel TACs involved in parametric imaging. As a result, almost systematically the use of dynamic imaging for tracer kinetic studies involves some sort of noise reduction techniques, which in turn are always associated with variable levels of resolution loss and quantitative accuracy biases [1].

#### II. Current and future challenges

In emission tomography dynamic imaging has for a long time being the playground of human brain research associated with specialized brain imaging devices [3], [4] and protocols [5], [6]. However, the limited clinical market for PET brain imaging relative to whole-body imaging has meant that such dedicated brain imaging devices have found limited clinical uptake. The improved effective sensitivity and associated contrast recovery provided by a 10 ps based PET imaging device will have an enormous impact in bringing dynamic imaging to the forefront of clinical innovation for both brain and whole-body imaging using similar detection system architectures. This increase in sensitivity will in turn reduce the data/image noise levels and therefore the bias associated with kinetic model-based fitting of noisy datasets. This impact will be real for both ROI based kinetic modelling and voxel-wise parametric imaging. It should allow an associated improvement in the recovery of physiological parameters not previously recoverable due to the low statistical quality of the acquired datasets and the associated need to simplify kinetic models. The impact will be even larger in the case of whole-body imaging where the improved time resolution will allow a higher impact in contrast recovery and subsequent quantitative analysis.

For the same injected dose, it should be feasible to extend the acquisition times beyond the "standard 60 minutes" acquisitions for short half-life isotopes such as <sup>11</sup>C mostly used in brain radiotracer developments. The significance of this will be for the study of regions where tracer kinetics are slower and therefore binding equilibrium is reached much later than in regions characterized by faster tracer kinetics. Finally, the improved effective sensitivity derived by a temporal resolution of 10 ps can profoundly modify the current whole-body dynamic imaging protocols considering current axial field of view (20-26 cm) imaging devices. These protocols are currently hampered by long acquisition times [7]. These changes should potentially lead to clinically acceptable whole-body dynamic protocols. Such improvements will in turn enable the reconstruction of whole-body parametric images, which will allow access to new image derived biomarkers within the context of patient specific multi-parametric predictive and prognostic modelling. It is impossible to assess the potential clinical impact of using such new imaging biomarkers until they are fully exploited in appropriate patient populations. However, another exciting prospect is associated with the

potential of performing serial evaluations of the same patient given the potential of low dose dynamic whole-body imaging. This will allow to further investigate the temporal evolution of such image-derived biomarkers in clinical practice [8].

As already mentioned above, dynamic motion synchronized PET acquisitions are also used in order to reduce the impact of organ physiological motion both in terms of qualitative and quantitative image accuracy. The use of these acquisitions leads to reduced count statistics in the reconstructed images hampering their clinical acceptability. As a result, it is necessary to subsequently perform some sort of motion modelling that in turn enables the usability of the whole dataset available throughout a motion average patient acquisition. These motion models are associated with variable levels of accuracy and recovery of patient specific features [9]. Within this context, the improved effective sensitivity resulting from the enhanced temporal resolution at 10 ps may be used in different fashions. On the one hand, sufficient signal to noise ratio improvements may lead to the introduction in clinical practice of breath-holding during PET acquisitions, a previously proposed concept which cannot be reliably implemented for all patients under current clinical acquisitions times [10]. On the other hand, given the expected enhancement in the reconstructed images, the individual reconstructed image frames may be of sufficiently high quality to allow their clinical exploitation without the need for complex motion modelling during the reconstruction process.

#### III. Concluding remarks

In all of the cases mentioned it will be necessary to consider the dynamic acquisitions within the new paradigm of "reconstruction-less" PET imaging given the fact that the uncertainty in positioning the annihilation event along a line of response will be < 2 mm. In other words, with such improved precision the physiological motion related impact in the overall image quality may be significant and as such the precision of the implemented dynamic motion synchronized acquisition protocols will most probably need to be revisited. Similarly, the incorporation of a kinetic model within the image reconstruction process as part of the parametric imaging paradigm will have to be reconsidered.

- [1] JD Gallezot, Y Lu, M Naganawa and RE Carson, "Parametric Imaging with PET and SPECT," IEEE T Radiat Plasma Med Sci, 2019. doi: 10.1109/trpms.2019.2908633.
- [2] H Fayad, F Lamare, T Merlin and D Visvikis, "Motion correction using anatomical information in PET/CT and PET/MR hybrid imaging," Q J Nucl Med Mol Imaging, vol. 60, no. 1, pp. 12-24, 2016.
- [3] AJ González, F Sánchez and JM Benlloch, "Organ-dedicated molecular imaging systems," IEEE T Radiat Plasma Med Sci, vol. 2, no. 5, pp. 388-403, 2018. doi: 10.1109/trpms.2018.2846745.
- [4] BF Hutton, T Yamaya and LR Furenlid, "Dedicated Molecular Imaging Systems for Human Neurological Studies," IEEE T Radiat Plasma Med Sci, vol. 3, no. 3, pp. 252-253, 2019. doi: 10.1109/trpms.2019.2912128.
- [5] RE Carson and PH Kuo, "Brain-dedicated emission tomography systems: a perspective on requirements for clinical research and clinical needs in brain imaging," IEEE T Radiat Plasma Med Sci, vol. 3, no. 3, pp. 254-261, 2019. doi: 10.1109/trpms.2019.2912129.
- [6] V Sossi, JC Cheng and IS Klyuzhin, "Imaging in neurodegeneration: movement disorders," IEEE T Radiat Plasma Med Sci, vol. 3, no. 3, pp. 262-274, 2019. doi: 10.1109/trpms.2018.2871760.
- [7] A Rahmim, MA Lodge, NA Karakatsanis, VY Panin, Y Zhou, A McMillan, S Cho, H Zaidi, ME Casey and RL Wahl, "Dynamic whole-body PET imaging: principles, potentials and applications," Eur J Nucl Med Mol Imaging, vol. 46, no. 2, pp. 501-518, 2019. doi: 10.1007/s00259-018-4153-6.
- [8] M Hatt, F Tixier, L Pierce, PE Kinahan, CC Le Rest and D Visvikis, "Characterization of PET/CT images using texture analysis: the past, the present... any future?," Eur J Nucl Med Mol Imaging, vol. 44, no. 1, pp. 151-165, 2017. doi: 10.1007/s00259-016-3427-0.
- [9] H Fayad, M Gilles, T Pan and D Visvikis, "A 4D global respiratory motion model of the thorax based on CT images: a proof of concept," Med Phys, vol. 45, no. 7, pp. 3043-3051, 2018. doi: 10.1002/mp.12982.
- [10] GS Meirelles, YE Erdi, SA Nehmeh, OD Squire, SM Larson, JL Humm and H Schöder, "Deep-inspiration breath-hold PET/CT: clinical findings with a new technique for detection and characterization of thoracic lesions," J Nucl Med, vol. 48, no. 5, pp. 712-719, 2007. doi: 10.2967/jnumed.106.038034.

# C. Detection of annihilation photons C1. Design criteria of the detection chain for 10 ps TOF-PET

Stefan Gundacker

#### I. Status

This chapter defines the limits on timing resolution obtained in optimum laboratory conditions with the best scintillators and photodetectors currently used within PET scanners. It sets the design criteria for the different components of the detection chain to achieving prospectively a CTR of 10 ps FWHM and is based on a detailed simulation of the whole detection chain, as described in [Gundacker, 2013], as well as on a number of accurate laboratory measurements. The other Sections of Chapter C describe in more details some of the ongoing R&D efforts to introducing a quantum leap in the performance of the crystals, photodetectors and readout electronics, with the aim to pave the way towards 10 ps TOF-PET.

One of the key parameters in positron emission tomography (PET) is the detector and scanner sensitivity, which has to be as high as possible. This calls for detectors using dense, high Z materials with a high interaction probability of 511 keV gammas. A sketch of the PET detector is depicted in figure 1, consisting of three main blocks: scintillator, photo-detector in form of a silicon photomultiplier (SiPM) and front-end readout electronics. The main part is the heavy inorganic scintillator providing high interaction probability and photo-fraction, *e.g.* L(Y)SO, BGO, etc., which transforms the 511 keV gamma energy into optical photons, able to traverse the crystal due to a pronounced stokes-shift. The several steps of information transformation from gamma to optical photons and electrical signals inevitably introduces noise and time jitter. TOF-PET achieving 10 ps only can reveal its full potential if ways are found to integrate the  $\gamma$  detector in large systems consisting of thousands of channels. A standard detector approach is to use long aspect ratio crystals with about  $2 \times 2 \times 20$  mm<sup>3</sup> up to  $6 \times 6 \times 20$  mm<sup>3</sup> size directly coupled to only one SiPM at the small face, which is considered in the following discussions. Nevertheless, in order to gauge the timing limits of the system, values with 3 mm long detectors will be presented as well. In PET applications, such short detectors could even be stacked to reach the needed PET sensitivity.

## 1. Scintillator

The long aspect ratio crystal dimension imposes inefficiencies in the light transfer of the generated optical photons and time smearing caused by the different photon paths, as the scintillation emission is isotropic. Additionally, the point of gamma conversion in the crystal, the depth-of-interaction (DOI), adds time smearing, because the scintillation photons travel with the speed of light divided by the crystal's refractive index, and hence, are slower than the 511 keV gammas. For 20 mm long crystals this mismatch equals a minimum time jitter of about 40 ps FWHM in PET and has to be corrected for, if 10 ps should be achieved. Further, the optical photons generated by the scintillation process have a certain time profile, with certain scintillation rise-times  $\tau_r$ , decay times  $\tau_d$  and intrinsic light yield (ILY). This imposes a theoretical best CTR possible, given by pure photo-statistics  $CTR^2 \propto \tau_r \times \tau_d/ILY$  [Gundacker et al., 2016]. There are limits for improvements in the ILY given by energy conservation and in  $\tau_d$ , by the transition matrix strength of the allowed transitions. Nowadays best inorganic scintillators emitting light in the visible range are not far to reach these limits and improvements over a factor of 10, necessary for 10 ps TOF-PET, are virtually impossible. In figure 2, a summary of the state-of-the-art timing measured with different scintillator materials is shown, readout by the best available SiPMs and high-frequency electronics. It can be seen that with nowadays technology CTRs of 30 ps FWHM are only achievable with fast plastic scintillators (unfortunately not suitable for PET because of their low 511 keV gamma interaction probability), whereas inorganic scintillators perform worse with best values measured of 58 ps FWHM for 3 mm long crystals [Gundacker *et al.*, 2019]. Even the promising fast cross-luminescence in  $BaF_2$  is not sufficient to achieve CTRs below 30 ps, neither if the vacuum ultraviolet (VUV) light could be detected with 59 % SiPM photon detection efficiency (PDE). It can as well be seen, that scintillation rise time and SiPM single photon time resolution (SPTR) affect the CTR equally and both have to be improved simultaneously.

#### 2. Photo-detector

The emergence of solid state photo-detectors (SiPMs) was amongst others an important development which made it possible to build commercial PET machines with a CTR of 214 ps (Siemens Biograph Vision) and in laboratory to even reach values of lower 100 ps FWHM with LSO:Ce:Ca of 20 mm length [Gundacker *et al.*, 2019]. The two most significant parameters of SiPMs to be improved for achieving highest time resolution in PET are the SiPM single photon time resolution (SPTR) and photon detection efficiency (PDE). The PDE of modern devices is reaching values up to 60 % [Gundacker *et al.*, 2020] for SiPMs with large single photon avalanche diode (SPAD) sizes and, hence, improvements are limited. The ability of the SiPM to time-tag single photons is the one parameter which still can reveal significant progress. This is underlined in figure 3, depicting the CTR performance of different analog SiPMs available on the market, as a function of the SiPM SPTR. Nowadays, the best intrinsic SPTR measured with modern analog SiPMs is 70 ps FWHM (NUV-HD SiPM from Fondazione Bruno Kessler), illuminating the whole  $4 \times 4 \text{ mm}^2$  device [Cates *et al.*, 2018, Gundacker *et al.*, 2019], still leaving quite some room for improvements, especially in view of prompt photon detection (Cherenkov emission).

#### 3. Readout electronics

The front-end has to keep up with the fast signals provided by the SiPM coupled to the scintillator. In analog systems this means low electronic noise and a very high bandwidth not to lose temporal information of the signal. Recently it has been shown that bandwidths of 1.5 GHz are necessary to benefit of a very high SPTR and fast scintillation emission to achieve best CTRs applying leading edge time discrimination of the amplified signal [Gundacker et al., 2019]. It further was shown that the electronic noise has to be smaller 1/20 of the single photon signal of the SiPM. These points are especially important for 10 ps systems in the analog case. Here, the digital SiPM, which can time stamp each single photon detected, would give advantages [Fishburn and Charbon, 2010, Gundacker et al., 2015]. The electronic bandwidth would be less critical, only being another parameter defining the SPTR, and not spoiling the time structure of the cascade of scintillation photons detected, which makes any statistical treatment much easier. The vast amount of time stamps could then be combined via maximum likelihood estimation or neural networks to give best possible performance, as was shown in comprehensive simulations [Gundacker et al., 2015]. In figure 3 the advantages of a digital SiPM and maximum likelihood time estimation over the analog SiPM, for the case of LSO:Ce:Ca can be seen, which underlines the importance of very low SPTR values in the range of 10 ps, which in fact is possible to accomplish with digital SiPMs [Nolet et al., 2018]. These simulations also include 7 Cherenkov photons produced on average upon a photo-absorption event in LSO [Gundacker et al., 2020].

## **II.** Current and future challenges

Improvements in the CTR, especially to the limit of 10 ps FWHM will only be possible if new ways of scintillation emission can be found, and used properly together with high performance photo-detectors, including the readout electronics.

One possible option could be prompt Cherenkov emission (see also C3) in e.g. BGO with about 17 photons produced on average in the 310-850 nm range upon 511 keV interaction [Gundacker et al., 2020]. These photons are generated in a time window smaller than 10 ps and could be used as ultrafast time tagger. Recent measurements have shown, that using highfrequency readout and state-of-the-art SiPMs from Fondazione Bruno Kessler (FBK) can achieve a CTR of 159 ps and 277 ps FWHM for  $2 \times 2 \times 3$  mm<sup>3</sup> and  $2 \times 2 \times 20$  mm<sup>3</sup> BGO, respectively [Gundacker et al., 2020]. However, the BGO timing distribution shows long tails, which to a good approximation can be described by a second broader Gaussian from the BGO scintillation, overlapping a thinner Gaussian caused by Cherenkov photons, *i.e.* the whole CTR distribution can be defined by the sum of two Gaussians  $G_1$  and  $G_2$ :  $CTR = I_1G_1 + I_2G_2$ , with  $I_1$  and  $I_2$  being the relative area normalized intensities. For 3 mm long crystals,  $G_1$  has 133 ps FWHM containing 52 % of the total events ( $I_1$ ) and  $G_2$  shows 264 ps FWHM containing 48 % of all events ( $I_2$ ). For 20 mm long crystals  $G_1$  shows 227 ps FWHM with 45 % and G<sub>2</sub> shows 795 ps FWHM with 55 % of the events. It should be further noted, that by monitoring the detection rate of the incoming photons (signal slew rate in the analog case) it is possible to estimate if the recorded event had good or bad timing, *i.e.* being part of  $G_1$  or  $G_2$ . Nevertheless, it still has to be proven if in the image reconstruction such complex timing kernels give an advantage in the final SNR improvement. Furthermore, in order to benefit from the prompt Cherenkov radiation in the best possible way, the SPTR of the photo-detector has to be lower than 10 ps FWHM and enough photons have to be detected. However, from simulations it appears that CTRs better 30 ps FWHM are not possible in standard detector arrangements commonly used in TOF-PET, due to the loss of photons and additional time smearing [Gundacker et al., 2020]. One idea to achieve better timing with Cherenkov photons could be to put a digital SiPM with highest PDE and SPTR on each side of a block detector. However, in order to achieve 10 ps CTR, an exact determination of 511 keV photo-absorption point is indispensable, which might be unfeasible if only the Cherenkov signal is used. Indeed, the triangulation algorithms employed to determine the gamma interaction point are likely to fail, simply because of the too low number of photons detected. Nevertheless, a viable solution to this problem could be the use of semiconductor detectors combined with Cherenkov detection [Ariño-Estrada et al., 2018]. Another possibility is to use the promising properties of ultrafast emitting quantum confined systems in form of e.g. CdSe, CsPbBr3 nano-crystals or nano-platelets [Turtos et al., 2016, Tomanová et al., 2019] (see C5). Indeed, these systems have shown to provide sub-100 ps decay times with theoretically good light yield. Because of the low effective density, these nano-crystals have to be combined in meta-materials with a standard scintillator to form a meta-material. Here, the heavy inorganic scintillator provides the needed energy resolution and gamma stopping power, and the nano-crystals give the ultrafast time tagging. Lower bound calculations presented in figure 4 indeed show that with SiPMs achieving a SPTR of 10 ps FWHM and a PDE of 59 % such CdSe nano-platelets "only" have to provide a light yield of 1200 photons/MeV in order to break the 10 ps barrier in TOF-PET. If the system can be produced with sufficient transparency the depth-of-interaction could even be determined solely by the sequence of detected time stamps, using a digital SiPM, which would make it fairly easy to increase the shown 3 mm long crystal case to 20 mm.

#### **III.** Concluding remarks

New developments in the direction of fully integrated 3D assembled digital SiPMs can push the best achievable time resolution of standard inorganic scintillation processes to values of 40 ps FWHM for 3 mm long crystals. Using the photon arrival time structure (direct and

back-reflected photons) the digital SiPM makes it as well possible to reconstruct the DOI out of the detected time stamps only, which is indispensable in order to reach such CTRs with longer crystals of *e.g.* 20 mm. Although standard inorganic scintillation processes are likely not be able to provide a TOF resolution of 10 ps FWHM, they may provide time resolutions of ~ 40 ps FWHM, which would be the starting point of recording true space points in full body PET. The only way possible with nowadays technology to achieve 10 ps seems to be the use of ultrafast nano-crystals assembled in efficient meta-materials, together with photodetectors delivering SPTRs of 10 ps FWHM and high PDE (> 60 %). In the future, an additional integration of the photo-detector in the meta-material would form a new class of functional and active super-material for gamma detection and far beyond.

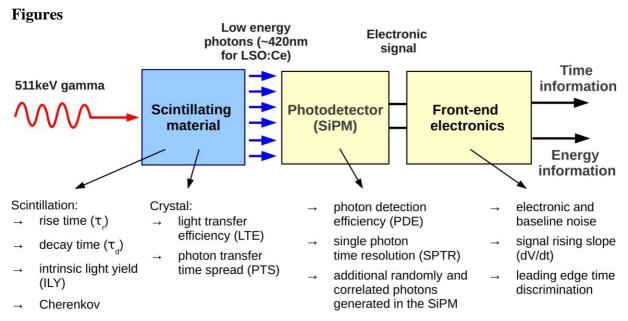


Figure 1: The three main building blocks of a typical TOF-PET detector. [Acerbi and Gundacker, 2019].

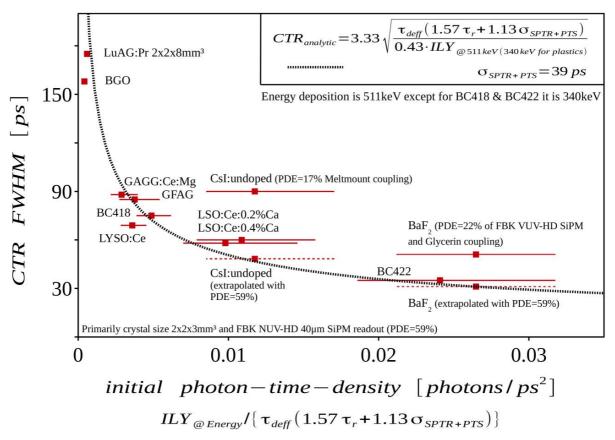


Figure 2: Measured CTR (y-axis) as a function of the scintillator intrinsic timing capability, given by the scintillation emission initial photon-time-density, on the x-axis. The black dashed line shows an analytic CTR model [Vinogradov, 2018] only dependent on the scintillation kinetics and intrinsic light yield (ILY), taking into account the light transfer in the crystal and the SiPM SPTR and PDE. [Gundacker *et al.*, 2020].

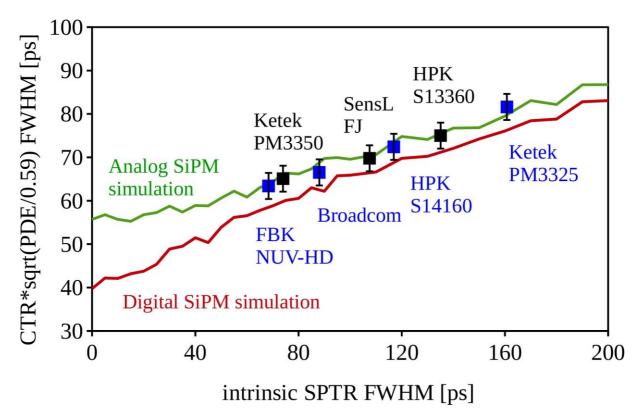


Figure 3: CTR shown as a function of the SPTR. CTR was measured with  $2 \times 2 \times 3 \text{ mm}^3$  LSO:Ce co-doped with 0.4 % Ca crystals wrapped in Teflon coupled with Meltmount and normalized, as if all the SiPMs would have a PDE of 59 %. Monte Carlo simulations of the CTR were performed for an analog SiPM with high-frequency readout and a hypothetical digital SiPM combining all timestamps via maximum likelihood estimation. The simulations include 7 Cherenkov photons produced. [Gundacker *et al.*, 2020].

 $2x2x3mm^{3}$  (LSO:Ce:Ca + CdSe) meta-scintillator

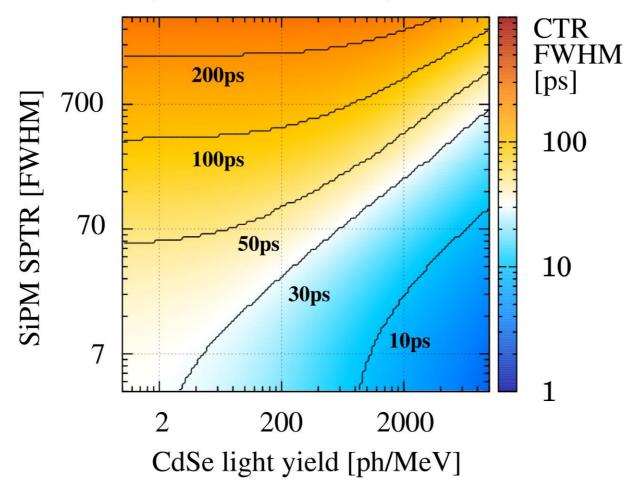


Figure 4: CTR lower bound of a hypothetical  $2 \times 2 \times 3 \text{ mm}^3$  meta-scintillator, if besides the LSO:Ce:Ca scintillation ( $\tau_{d1} = 32.4 \text{ ns} (95 \%)$ ),  $\tau_{d2} = 7.5 \text{ ns} (5 \%)$ ,  $\tau_r = 10 \text{ ps}$  and ILY = 32 kph/MeV) fast emitted photons from CdSe nano-platelets ( $\tau_{d1} = 25 \text{ ps} (25 \%)$ ),  $\tau_{d2} = 300 \text{ ps} (75 \%)$ ,  $\tau_r = 0 \text{ ps}$  and ILY=variable on the *x*-axis) are present. PDE of the SiPM is 59 % for all light sources and number of total photons produced (LSO:Ce:Ca + CdSe) is conserved to 32 kph/MeV.

- [Acerbi and Gundacker, 2019] F Acerbi and S Gundacker, "Understanding and simulating SiPMs," *Nucl Instrum Methods Phys Res A*, vol. 926, pp. 16–35, 2019. doi: 10.1016/j.nima.2018.11.118.
- [Ariño-Estrada et al., 2018] G Ariño-Estrada, GS Mitchell, SI Kwon, J Du, H Kim, LJ Cirignano, KS Shah and SR Cherry, "Towards time-of-flight PET with a semiconductor detector," *Phys Med Biol*, vol. 63, no. 4, 04LT01, 2018. doi: 10.1088/1361-6560/aaaa4e.
- [Cates et al., 2018] JW Cates, S Gundacker, E Auffray, P Lecoq and CS Levin, "Improved single photon time resolution for analog SiPMs with front end readout that reduces influence of electronic noise," *Phys Med Biol*, vol. 63, no. 18, 185022, 2018. doi: 10.1088/1361-6560/aadbcd.
- [Gundacker *et al.*, 2013] S Gundacker *et al.*, "Time of flight positron emission tomography towards 100 ps resolution with L(Y)SO: An experimental and theoretical analysis," *J Instrum*, vol. 8, no. 7, P07014, 2013. doi: 10.1088/1748-0221/8/07/P07014.
- [Gundacker *et al.*, 2015] S Gundacker, E Auffray, P Jarron, T Meyer and P Lecoq, "On the comparison of analog and digital SiPM readout in terms of expected timing performance," *Nucl Instrum Methods Phys Res A*, vol. 787, pp. 6–11, 2015. doi: 10.1016/j.nima.2014.10.020.
- [Gundacker *et al.*, 2016] S Gundacker, E Auffray, K Pauwels and P Lecoq, "Measurement of intrinsic rise times for various L(Y)SO and LuAG scintillators with a general study of prompt photons to achieve 10 ps in TOF-PET", *Phys Med Biol*, vol. 61, no. 7, pp 2802-2837, 2016. doi: 10.1088/0031-9155/61/7/2802.

- [Gundacker *et al.*, 2019] S Gundacker, RM Turtos, E Auffray, M Paganoni and P Lecoq, "High-frequency SiPM readout advances measured coincidence time resolution limits in TOF-PET," *Phys Med Biol*, vol. 64, no. 5, 055012, 2019. doi: 10.1088/1361-6560/aafd52.
- [Gundacker *et al.*, 2020] S Gundacker, RM Turtos, N Kratochwil, RH Pots, M Paganoni, P Lecoq, and E Auffray, "Experimental time resolution limits of modern SiPMs and TOF-PET detectors exploring different scintillators and Cherenkov emission," *Phys Med Biol*, vol. 65, no. 2, 025001, 2020. doi: 10.1088/1361-6560/ab63b4
- [Fishburn and Charbon, 2010] MW Fishburn and E Charbon, "System tradeoffs in gamma-ray detection utilizing SPAD arrays and scintillator. *IEEE Trans Nucl Sci*, vol. 57, no.5, pp. 2549-2557, 2010. doi: 10.1109/TNS.2010.2064788.
- [Nolet *et al.*, 2018] F Nolet, F Dubois, N Roy, S Parent, W Lemaire, A Massie-Godon, SA Charlebois, R Fontaine and J-F Pratte, "Digital SiPM channel integrated in CMOS 65 nm with 17.5 ps FWHM single photon timing resolution," *Nucl Instrum Methods Phys Res A*, vol. 912, pp. 29-32, 2018.doi: 10.1016/j.nima.2017.10.022.
- [Tomanová *et al.*, 2019] K Tomanová, V Čuba, MG Brik, E Mihóková, R Martinez Turtos, P Lecoq, E Auffray and M Nikl, "On the structure, synthesis, and characterization of ultrafast blue-emitting CsPbBr<sub>3</sub> nanoplatelets,". *APL Materials*, vol. 7, no. 1, 011104, 2019. doi: 10.1063/1.5079300.
- [Turtos et al., 2016] RM Turtos, S Gundacker, A Polovitsyn, S Christodoulou, M Salomoni, E Auffray, I. Moreels, P Lecoq and JQ Grim, "Ultrafast emission from colloidal nanocrystals under pulsed X-ray excitation,". J Instrum, vol. 11, P10015, 2016. doi: 10.1088/1748-0221/11/10/P10015.
- [Vinogradov, 2018] S Vinogradov, "Approximations of coincidence time resolution models of scintillator detectors with leading edge discriminator," *Nucl Instrum Methods Phys Res A*, vol. 912, pp. 149–153, 2018. doi: 10.1016/j.nima.2017.11.009.

# C. Detection of annihilation photonsC2. Perspectives on using inorganic scintillators for fast timing applications

Etiennette Auffray

#### I. Status

The time resolution of a detector based on inorganic scintillators is a measure for the precision of the time stamp that can be attributed to the detection of a particle. The time resolution depends on the shape and the amplitude of the light signal produced by a particle in an inorganic scintillator. The intensity of the scintillation light as a function of time is in general described using a bi-exponential expression [1]:

$$I(t) = I(0)e^{-t/\tau_d} (1 - e^{-t/\tau_r})$$

(1)

with  $\tau_r$  being the scintillation rise time and  $\tau_d$  the scintillation decay time. I(0) is proportional to the total amount of light produced in the scintillator. Convoluted with the time between the generation of each photon and its conversion into a photoelectron in the photo-detector, equation (1) can also approximately describe the rate of photoelectrons above the detection threshold as a function of time.

The stochastic nature of the photon generation and conversion processes leads to fluctuations impacting the time resolution of the detector system and the initial photon rate is directly correlated by:

$$CTR \propto \sqrt{\frac{\tau_r \, \tau_d}{N_{Php}}}$$
 (2)

with  $N_{PhP}$  being the number of detected photo electrons. An accurate timing resolution hence requires inorganic scintillators with a high light yield and a short fall-off time  $(\tau_d)$ , as in first approximation the photon density is given by  $LY/\tau_d$ . The rise time  $\tau_r$  in addition delays the emission and the conversion of the first produced photons, increases their time jitter and consequently reduces the time resolution of the scintillator. This is a result of the cascade relaxation mechanism of the hot electron-hole pairs produced by the interacting ionizing radiation with the crystal material, before the transfer to the luminescent centres of the scintillator. The entire relaxation process is stochastic and hence leads to statistical fluctuations in the generation of the first scintillation photons.

Typical CTR values for widely used standard scintillators with a dimension of  $2 \times 2 \times 20$  mm<sup>3</sup> are currently in the order of 100 ps or higher. The best CTR value obtained so far for a  $2 \times 2 \times 20$  mm<sup>3</sup> is 98 ps for LSO co-doped Ce, Ca [2].

Over the last years, a significant R&D effort has been carried out within Crystal clear Collaboration (CCC) and several European projects initiated by CCC such as TICAL, COST ASCIMAT, Intelum [3] and has shown that standard scintillators are unlikely to reach the target of 10ps coincidence time resolution at 511 keV. Indeed, today's bests scintillators in terms of light yield do not exceed 100,000 ph/Mev [4] and the fastest decay time observed is of the order of 10-20 ns, so the density of photons per ps for 511 keV cannot be higher than 5 combined with the delay introduce by rise time. This sets an intrinsic limit to the achievable coincidence time resolution of a scintillator to  $\geq$  50 ps FWHM.

A few approaches have been identified to provide a photon time density in the leading edge of the scintillation pulse compatible with a 10 ps CTR target. A strong effort must therefore be carried out along the following lines:

- Improvement of the timing performance of existing scintillators to get highest light yield, and/or shortest rise and decay time.
- Study of materials with fast emission process such as cross-luminescence, hot intra-band luminescence, Cerenkov

#### II. Current and future challenges

1. Enhanced understanding of the charge carrier relaxation to luminescent centres and identification of possible ways to improve the efficiency of the energy transfer to luminescence centres

The scintillation process itself consists of a set of complex steps from hot electron-hole pair creation to the energy transfer to the luminescence centre [5]. The latter step is characterized by an increased probability for electrons or holes to be trapped due to crystal defects (ions vacancies, dislocations, local lattice disorder, etc.) even for high purity material, which can impact the optical and timing properties of the crystal.

Decreasing the rise time requires research activities in two directions:

- maximal suppression of shallow traps, which are responsible for delaying the capture of electron-hole pairs by the luminescent centre in the crystal
- fastest possible exciton direct relaxation or trapping by the luminescent centres.

Attempts to overcome this limitation are to use specific doping in intrinsic scintillators or codoping activated scintillators is a promising way to increase the photon time density in the leading edge of the scintillation pulse. The objective of specific doping/co-doping is to compete with charge carrier traps allowing in some cases the suppression of afterglow, in other cases the improvement of radiation hardness or a faster energy transfer to the emission centre and therefore reducing the rise and decay time. This has been successfully achieved in the last years by the use of co-doping with aliovalent ions for cerium doped oxide based scintillators such oxy-orthosilicates (LSO, LYSO, YSO) with calcium co-doping [6] or garnet material such LuAG, YAG and GAGG with Magnesium co-doping [7]. Co-doping reduces the rise time down to a few tens of picoseconds [8] and the decay time to a few tens of ns without significantly impacting the LY. Both for oxy-orthosilicates and garnet material a significant improvement for the coincidence time resolution has been observed from only Ce doped to co-doped Ca/Mg [8], [9]. This strategy should be extended to a larger number of scintillators.

2. Identification and characterization of materials with a fast emission process such as hot intra-band luminescence, cross-luminescence and Cerenkov (see section C1,C3), nanomaterial (see section C1, C4)

In view of the intrinsic difficulties in improving the time resolution of standard scintillator materials below 100 ps, further strategies need to be investigated.

The complexity of scintillating process from the creation of hot electron pairs to scintillation is one of main reason for the limitations of time resolution in standard scintillators. The optimal way to overcome this limitation would be to have a radiative relaxation mechanism for non-thermalized charge carriers, *i.e.* in the first picosecond following gamma excitation of scintillating materials. In some materials, this mechanism (hot intra-band luminescence) can occur when the density of states has some specificities, like a gap in the bottom of the conduction band or top of valence band. Intra-band luminescence is due to radiative transitions of hot electrons or holes between the sub-levels of the conduction or valence band respectively. It has characteristic decay time of about 1 ps with a relatively flat emission spectrum in the visible region [10].

Hot intra-band luminescence has been studied on a large number of materials from the group of complex halides and oxides. The light yield was found to be rather small, the best yield obtained for CsI was 33 ph/MeV [10]. Though the exclusive use of intra-band luminescence materials with such a low light yield is not suitable to get time resolution close to 10 ps, combining such scintillators with very fast emission providing prompt photons with other dense materials with high light yield can improve the CTR (see section C1, C4). Materials with low phonon energy should be investigated due to the competition between phonon

relaxation and fast radiative processes within an electronic energy band. It is assumed that the specific band structure and in particular the presence of energy gaps in the density of states in the valence and conduction bands, can reduce the probability of electron-phonon relaxation, leading to an increase of the hot intra-band luminescence yield at the expense of a slight increase of the emission decay time. Studies have to be carried out using theoretical tools including electronic band structure calculations to identify material parameters to obtain a higher yield of intra-band luminescence emission.

Another strategy to follow is to search for materials with a high quantity of delocalized electrons able to radiatively recombine quickly with holes, as it is the case in cross luminescence material or wide band gap, direct heavy semiconductors. In this case a fast emission process with relatively high number can occur.

Cross-luminescence is due to radiative recombination of electrons from the valence band with the holes in the uppermost core band [11]. It has a slower emission than intra-band luminescence with a sub nanosecond decay time. On the other hand, the light yield can be relatively high (*e.g.* 1400 ph/MeV for BaF<sub>2</sub> [11]). The maximum of the emission spectrum is in general in the deep UV region at around 150 nm-250 nm [11]. For this part of the spectrum the small quantum efficiency of photo detectors puts severe limitations for exploiting these photons for timing purposes. However, with the recent development of deep UV sensitive photo-detector and in particular SiPM for noble gas liquid scintillator for dark matter, cross luminescence materials became again more interesting. Using current state-of-the-art VUV SIPM, a CTR of 68 ps was obtained using a  $2 \times 2 \times 3$  mm<sup>3</sup> BaF<sub>2</sub> sample, compared to a CTR of 60 ps with a co-doped (Ce, Ca) LSO of the same size LSO (see figure 2 in section C1). The todays state-of-the-art PDE of 25 % in the deep UV region leads to the assumption that this CTR can be improved in the future with improvement of photo-detectors' PDE.

Cross-luminescence has been observed mainly in halide materials with Ba, Cs, K, Rb cations in binary and complex composition [11]. For some materials a near-UV Cross-luminescence emission (205-300 nm) has been observed [11]. As for intra-band luminescence band structure calculations is needed to identify material with complex valence bands, which could exhibit cross luminescence transitions not only in UV-VUV but also in the visible region.

Therefore, the investigation of long-wavelength cross-luminescence emission together with the optimization of the PDE in NUV photo-detectors and optical gluing are a route forward to a time resolution of 10 ps.

## III. Concluding remarks

Although standard scintillators are unlikely to reach the target of 10 ps coincidence time resolution at 511 keV, several ways to improve the time resolution towards 10 ps are available through engineering the band structures of existing materials and further investigations, thanks to novel characterization techniques now available, of fast emission process existing in several materials.

#### Acknowledgments

The author wish to thank all her colleagues from Crystal Clear Collaboration and acknowledge the support of several European projects: ERC grant (TICAL, agreement 338953),the COST Action TD1401 (FAST), the European TWIN project (ASCIMAT grant agreement 690599), European RISE project (Intelum, grant agreement 644260).

<sup>[1]</sup> Y Shao, "A new timing model for calculating the intrinsic timing resolution of a scintillator detector," Phys Med Biol, vol. 52, no. 4, pp. 1103-117, 2007. doi: 10.1088/0031-9155/52/4/016.

- [2] S Gundacker et al., "High-frequency SiPM readout advances measured coincidence time resolution limits in TOF-PET," Phys Med Biol, vol. 64, 055012, 2019. doi: 10.1088/1361-6560/aafd52.
- [3] TICAL ERC grant agreement 338953: https://cordis.europa.eu/project/rcn/110957/factsheet/en COST Action TD1401 (FAST) : http://fast-cost.web.cern.ch/fast-cost/ ASCIMAT, European TWIN project grant agreement 690599: http://www.h2020-ascimat.com INTELUM European RISE project grant agreement 644260: https://intelum.web.cern.ch.
- [4] P Dorenbos et al., "Fundamental limitations in the performance of Ce3+-, Pr3+-, and Eu2+-activated scintillators," IEEE Trans Nucl Sci, vol. 57, no. 3, pp. 1162-1167, 2010. doi: 10.1109/TNS.2009.2031140.
- [5] A Vasil'ev, "Relaxation of hot electronic excitations in scintillators: account for scattering, track effects, complicated electronic structure," in Proc. Scint99, Moscow State University, pp 43-52. 1999.
- [6] MA Spurrier, P Szupryczynski, K Yang, AA Carey, CL Melcher, "Effects of Ca2+ Co-doping on the scintillation properties of LSO:Ce," IEEE Trans Nucl Sci, vol. 55, no. 3, pp.1178-1182, 2008. doi: 10.1109/TNS.2007.913486.
- [7] M Nikl et al., "Defect Engineering in Ce-doped aluminum garnet single crystal scintillators," Crystal Growth & Design, vol. 14, no. 9, pp. 4827-4833, 2014. doi: 10.1021/cg501005s.
- [8] S Gundacker, RM Turtos, E Auffray and P Lecoq, "Precise rise and decay time measurements of inorganic scintillators bymeans of X-ray and 511 keV excitation," Nucl Instrum Methods Phys Res A, vol. 891 pp. 42-52, 2018. doi: 10.1016/j.nima.2018.02.074.
- [9] M Lucchini et al., "Effect of Mg2+ ions co-doping on timing performance and radiation tolerance of Cerium doped Gd3Al2Ga3O12 crystals", Nucl Instrum Methods Phys Res A, vol. 816, pp 176-183, 2016. doi: 10.1016/j.nima.2016.02.004.
- [10] S Omelkov, V Nagirnyi, S Gundacker, D Spassky, E Auffray P. Lecoq and M. Kirm, "Scintillation yield of hot intraband luminescence," J Lumin, vol. 198, pp. 260-271, 2018. doi: 10.1016/j.jlumin.2018.02.027.
- [11] CWE Van Eijk, "Cross-luminescence", J Lumin, vol. 60, pp. 936-941, 1994. doi: 10.1016/0022-2313(94)90316-6.

# C. Detection of annihilation photons C3. Perspectives on using Cherenkov light for fast timing applications

Peter Križan

#### I. Status

1. Introduction

The annihilation gamma rays, used in PET, interact with the crystals serving as gamma stopping material via the photoelectric effect or Compton scattering. In both processes, a fast electron is emitted, the energy of which is in part converted to scintillation light. Traditionally, all information in PET is subsequently derived from the detection of this light. However, even before the electrons are slowed down (on a time scale of 1 ps) and scintillation photons begin to be emitted (on a time scale of 100 ps), another source of information is available. This is the Cherenkov light, produced while the electron velocity is greater than the speed of light in the gamma stopping material. In effect, the Cherenkov photons are produced promptly, and although only about 10-17 photons are expected from a 511 keV gamma in materials, suitable for PET detectors, their potential for providing an excellent timing looks very promising.

Using Cherenkov light in PET was first discussed in [ChPET1], where silica aerogel was considered as the radiator of Cherenkov light, but due to the very low density of such material the detection efficiency for 511 keV gammas would be very low. When lead glass was employed as the radiator material in combination with micro-channel plate photomultiplier tubes (MCP PMTs) as photo-detectors, a 170 ps FWHM coincidence time resolution was reported [ChPET2]. Another early experimental demonstration was the comparison of the properties of light produced by 511 keV gammas in doped (scintillating) and un-doped (non-scintillating) LuAG crystals [ChPET3], where a 250 ps FWHM time resolution was measured between two small, un-doped crystals in coincidence.

#### 2. Proof-of-principle

One material, already used as Cherenkov radiator in calorimetry in particle physics experiments [PbF2cal], is the lead fluoride (PbF<sub>2</sub>) crystal. Its gamma stopping power is higher than that of scintillators commonly used in PET (1.06 cm<sup>-1</sup> compared to 0.87 cm<sup>-1</sup> for LSO and 0.96 cm<sup>-1</sup> for BGO), has excellent optical properties for transmission of Cherenkov light (good transparency down to about 250 nm), does not scintillate, and has a low price (1/3 of the BGO scintillator [PbF2cal]). Using 15 mm thick PbF<sub>2</sub> crystals, which are comparable in gamma stopping power to 20 mm of LSO, and MCP PMTs, a coincidence time resolution of 95 ps FWHM was demonstrated (figure 1) [ChPET4, ChPET4a]. With a thinner, 5 mm thick crystal, a considerably faster response can be achieved: 71 ps FWHM in the same set-up (out of which 50 ps are attributed to the MCP-PMT response), and 47 ps FWHM with a faster MCP-PMT by Ota *et al.* [ChPET5]. A further improvement to 30 ps FWHM was obtained by integrating a 3.2 mm thin lead glass gamma converter into the MCP-PMT instead of the MCP-MPT window [ChPET6].

## 3. Cherenkov light detection with SiPMs

However, using MCP PMTs as photo-detectors has the drawbacks of high cost and limited efficiency. Only 6 % single side detection efficiency was reported [ChPET7]. For this reason, silicon photomultiplier (SiPM) photo-detectors were considered as light sensors, as they have very high photon detection efficiencies. In combination with a  $5 \times 5 \times 15$  mm<sup>3</sup> PbF<sub>2</sub> crystal, a coincidence time resolution of 297 ps FWHM was measured, while a single side detection efficiency of over 25 % was reached [ChPET7]. Recently, a Cherenkov PET module, composed of  $4 \times 4$  arrays of  $3 \times 3 \times 15$  mm<sup>3</sup> PbF<sub>2</sub> crystals and SiPMs, was assembled, and a very good uniformity and an average detection efficiency of 35 % was experimentally

demonstrated (figure 2) [ChPET8].

# **II.** Current and future challenges

An interesting way to maximise light extraction efficiency, and therefore reduce multiple bouncing, for photons touching for the first time the light extraction surface could be achieved by using photonic crystals [PhotCry].

A possible approach to achieve fast timing without sacrificing gamma stopping power or Cherenkov photon detection efficiency is to use a multi-layered detector approach. Simulations of a three-layer PbF<sub>2</sub> Cherenkov PET detector with a total thickness of 15 mm, comprised of 5 mm thick individually read-out crystals, indicate that with an ideal photodetector time response a 22 ps FWHM coincidence time resolution is possible; even with 100 ps of photo-detector time response included, the reconstructed image quality is comparable to that of a standard LSO scanner [ChPET9]. Note also that while 100 ps is the present state-of-the-art for the most recent SiPM generation, a large effort is under way to improve this value with a 10 ps target; this is in particular the subject of the EU funded project PHOTOQUANT [PhotoQuant].

Another interesting approach is to combine scintillations with Cherenkov light to improve the timing performance. This hybrid method has been investigated with LSO and BGO crystals [ChPET3, scCherPET1, scCherPET2].

# III. Concluding remarks

The Cherenkov radiation is currently the first prompt light production mechanism, for which realistic and practical PET detectors have been experimentally demonstrated. Due to the limitations of current photo-detector technology, it has proven difficult to achieve both a good detection efficiency and TOF resolution at the same time. However, initial simulation studies suggest that the reconstructed image quality for a PbF<sub>2</sub> Cherenkov TOF PET scanner can be at least comparable to current clinical systems. The significantly lower material price of the PbF<sub>2</sub> radiator compared to that of LSO or BGO scintillators therefore opens a possibility to reduce the cost of PET scanners and make this modality more widely available. The low price, the excellent TOF resolution and the possibility to reduce the parallax error with a multilayer detector makes the Cherenkov detectors especially suited for the recently developed total-body scanners [totalBodyPET], where the price of materials needed to cover the whole length of human body can be prohibitive.

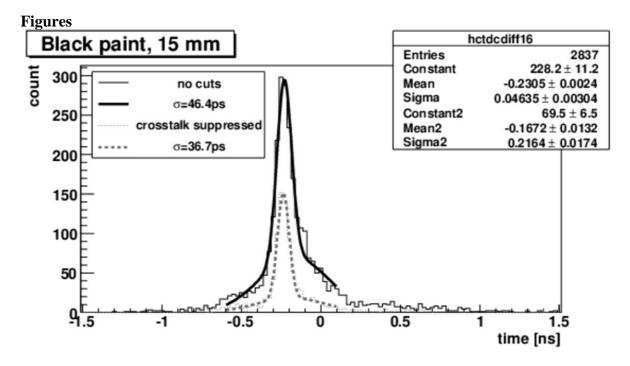


Figure 1. The coincidence timing distributions obtained with 15 mm thick PbF<sub>2</sub> crystals with black painted surfaces [ChPET4].

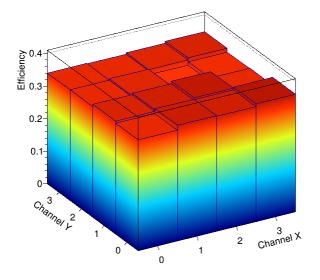


Figure 2. The gamma detection efficiencies measured by all channels of the Cherenkov detector module [ChPET8].

- [ChPET1] T Ooba, T Fukushima, H Kawai, M Konishi, H Nakayama, M Tabata, I Adachi, S Nishida, H Kishimoto and H Yokogawa, "Proposal of Cherenkov TOFPET with silica aerogel," in *Conf Rec IEEE Nucl Sci Symp*, vol. 6, pp. 3781-3784, 2004. doi: 10.1109/nssmic.2004.1466703.
- [ChPET2] M Miyata, H Tomita, K Watanabe, J Kawarabayashi and T Iguachi, "Development of TOF-PET using Cherenkov radiation," *J Nucl Sci Technol*, vol. 43, no. 4, 339-343, 2006. doi: 10.1080/18811248.2006.9711101.
- [ChPET3] P Lecoq, E Auffray, S Brunner, H Hillemanns, P Jarron, A Knapitsch, T Meyer and F Powolny, "Factors influencing time resolution of scintillators and ways to improve them," *IEEE Trans Nucl Sci*, vol. 57, no. 5, pp. 2411-2416, 2010. doi: 10.1109/tns.2010.2049860.
- [PbF2cal] R Mao and L Zhang, "Search for scintillation in doped cubic lead fluoride crystals," *IEEE Trans Nucl Sci*, vol. 57, no. 6, pp. 3841-3845, 2010. doi: 10.1109/tns.2010.2076372.

- [ChPET4] S Korpar, R Dolenec, P Križan, R Pestotnik and A Stanovnik, "Study of TOF PET using Cherenkov light," Nucl Instrum Methods Phys Res A, vol. 654, pp. 532-538, 2011. doi: 10.1016/j.nima.2011.06.035.
- [ChPET4a] S Korpar, R Dolenec, P Križan, R Pestotnik and A Stanovnik, "Study of TOF PET using Cherenkov light," *Physics Procedia*, vol. 37, pp.1531-1536, 2012.
- [ChPET5] R Ota, K Nakajima, T Hasegawa, I Ogawa and Y Tamagawa, "Timing-performance evaluation of Cherenkovbased radiation detectors," *Nucl Instrum Methods Phys Res A*, vol. 923, pp. 1-4, 2019. doi: 10.1016/j.nima.2019.01.034.
- [ChPET6] R Ota, K Nakajima, I Ogawa, Y Tamagawa, H Shimoi, M Suyama and T Hasegawa, "Coincidence time resolution of 30 ps FWHM using a pair of Cherenkov-radiator-integrated MCP-PMTs", *Phys. Med. Biol.*, vol. 64, 07LT01, 2019. doi: 10.1088/1361-6560/ab0fce.
- [ChPET7] R Dolenec, S Korpar, P Križan, R Pestotnik and N Verdel, "The performance of silicon photomultipliers in Cherenkov TOF PET," *IEEE Trans Nucl Sci*, vol. 63, no. 5, pp. 2478-2481, 2016. doi: 10.1109/tns.2015.2512564.
- [ChPET8] R Dolenec, S Korpar, P Križan and R Pestotnik, "Efficiency of a Cherenkov based PET module with an array of SiPMs," *Nucl Instrum Methods Phys Res A*, 2019 (in press). doi: 10.1016/j.nima.2019.06.068.
- [PhotCry] M Salomoni, R Pots, E Auffray and P. Lecoq, "Enhancing light extraction of inorganic scintillators using photonic crystals," *Crystals*, vol. 8, 78, 2018. doi: 10.3390/cryst8020078.
- [PhotoQuant] PHOTOQUANT https://attract-eu.com/selected-projects/nano-photonics-applied-to-ultrafast-single-photonquantum-sensors-photoquant/.
- [ChPET9] D Consuegra, R Dolenec, S Korpar, P Križan, R Pestotnik and G Razdevšek, "Simulation study to improve the performance of a whole-body PbF<sub>2</sub> Cherenkov TOF-PET scanner," *Phys Med Biol*, 2019 (in press). doi: 10.1088/1361-6560/ab6f97.
- [scCherPET1] SI Kwon, A Gola, A Ferri, C Piemonte and SR Cherry, "Bismuth germanate coupled to near ultraviolet silicon photomultipliers for time-of-flight PET," *Phys Med Biol*, vol. 21, pp. L38–L47, 2016. doi: 10.1088/0031-9155/61/18/L38.
- [scCherPET2] S Brunner and D Schaart, "Enabling cost-effective TOF-PET by exploiting the Cherenkov emission in BGO," *J Nucl Med*, vol. 58, no. suppl. 1 150, 2017.
- [totalBodyPET] SR Cherry, T Jones, JS Karp, J Qi, WiW Moses and RD Badawi, "Total-body PET: maximizing sensitivity to create new opportunities for clinical research and patient care," *J Nucl Med*, vol. 59, no. 1, pp. 3-12, 2018. doi: 10.2967/jnumed.116.184028.

# C. Detection of annihilation photons C4. Perspectives on using nano-scintillators for fast timing applications

Rosana Martinez Turtos

#### I. Status

The emergence of new scintillating materials driven by the development of nanotechnology and advanced nanofabrication techniques has opened the doors to consider fast emitting nanosemiconductors as effective time taggers for 511 keV gamma detection [1]. Nano-crystals semiconductors having a size dependent band-gap and therefore tunable opto-electronic properties exhibit a very peculiar excitonic-multiexcitonic dynamic able to combine sub-1 ns radiative recombination times with an exceptional suppression of nonlinear luminescence quenching [2], which is critical for fast timing applications.

Among all the different nano-crystal geometries going from quantum dots (3D) to nanowires (2D), nano-platelets (NPIs) with 1D quantum confinement of charge carriers present one of the most interesting scintillating features at room temperature: red-shifted bi-excitonic emission with high binding energies. This ultrafast emission, while not instantaneous, present characteristic radiative lifetimes of around 4 times smaller than the exciton's decay time and could offer a higher photo-emission yield in comparison to Cherenkov or hot intra-band emission with a maximum number of around 20 photons per gammas interaction. First spectroscopy studies of the bi-excitonic emission have been previously conducted using CdSe-based NPIs of 4 monolayers (4ML) under amplified laser and X-ray excitation [3], [1]. Furthermore, the one-dimensional quantum well potential allows for a considerable suppression of Auger recombination processes, a collective phasing of dipoles over many unit cells leading to a giant oscillator strength transition [4] and Förster resonance energy transfer (FRET) inter-plate mechanisms with picosecond lifetimes [5].

In respect to the different fabrication and synthesis methods, wet-chemistry has outstanding merits due to its relatively easy, cheap and scalable production techniques, reaching very narrow size distribution and highly controllable shape, aspect ratio and core/shell hetero-structures. Another interesting fact of solution-processed nano-crystal is the presence of organic ligands guaranteeing surface passivation and forming a hybrid organic-inorganic nanoparticle compound suitable for surface chemistry manipulation. In this regard, a very interesting family of lead halide XPbBr<sub>3</sub> perovskites colloidal nano-crystals has emerged due to their high defect tolerance [6], usually above band gap, and therefore bright luminescence. Their application has proven to be disruptive in the field of photovoltaic cells and the possibility of synthesizing CsPbBr<sub>3</sub> in the shape of NPls offers exciting and new opportunities in the field of fast timing research [7].

All the features presented above are in well alignment with the implementation of NPIs as a fast radiation detector able to break the time resolution limit in particle detection. However, their nano-scale sizes impose several limitations in terms of energy deposition per platelet and their small Stokes shift introduces self and re-absorption issues that are to be minimized when building large scale sensors. In view of this, a new concept for particle detection using hybrid pixels have been implemented, in which a high-Z inorganic scintillator is efficiently combined with nano-crystal-based built-up layers able to perform as time taggers by increasing the number of fast photons emitted per 511 keV excitation [8]. In this sampling approach an energy sharing scheme allows to add prompt photons to the standard scintillating signal, a solution suggested in one of the first studies on how to reach 10 ps time resolution [9]. A depiction of the sampling pixel approach that allows for the energy sharing scheme between bulk and nano-scintillators is shown in figure 1.

The CTR achieved with the first generation of sampling pixel detectors is shown in figure 2 together with state-of-the-art bulk materials used as the gamma stopper.

So far, CdSe-based NPIs has been used in the form of drop-casted films as a direct coating on the surface of high-Z materials such as LYSO. This primitive deposition technique enabled the first assembly of several sampling pixels for a first-time characterization using 511 keV gammas. However, it relies on highly quenched-ligand's washed nanoparticles with no hosting medium able to properly transfer energy among emitting centres. Therefore, modest light yield values at the level of 200 ph/MeV have been measured with few micrometres thick CdSe/CdS core-crown films [1] and low weight concentration CdSe/CdS in polystyrene nano-composite layers up to 0.5 mm thick. Despite the low light output and the loss of index light guiding within the pixel, the timing obtained is at the level of state-of-the-art values, leaving a rather large room for optimization.

## **II.** Current and future challenges

Enhancing the number of prompt photons available for 511 keV gamma time-tagging constitutes one of the corner stones in the implementation of nano-semiconductors as fast radiation detectors. A clear example is seen when using BGO, a Cherenkov emitter, in combination with CdSe/CdS NPIs as shown in figure 3. A prompt peak with a yield four times higher than standard Cherenkov emission can be seen when measuring the rise time of a BGO + CdSe sampling scintillating pixel in a time correlated single photon counting (TCSPC) setup that uses 511 keV gammas as excitation source.

Optically separating the standard and fast photon-emitting phase would preserve the high-Z scintillator performance meanwhile adding the prompt photons to the standard signal. This will avoid the loss of index-light-guiding when coating high-Z inorganic scintillators with semiconductor NPIs, which in general present locally higher index of refraction. In this direction, we would need to advance in the fabrication of at least 100  $\mu$ m thick built-up fast nano-crystal based layer able to perform as time tagger for 511 keV gamma detection. The critical parameters for the performance of the nano-scintillating layer can be described as follows:

- have the minimum thickness allowing the emission of at least several hundreds of prompt photons from the energy deposited by the photoelectric recoil electron traversing this layer.
- be as transparent as possible to allow these prompt photons to propagate to the photodetector.
- have an overall density as high as possible to also allow direct gamma ray conversion in this layer and to keep the stopping power and the photo-fraction of the meta-pixel as close as possible to a bulk scintillator of the same size.

In addition, research needs to be developed in order to move towards larger size pixel sensors where a DOI correction is to be integrated with the event identification algorithm. A feasibility study for the production of nano-scintillator based hollow core photonic fibres, where light emission and transport occur in different mediums is also at the top of the solutions for the light transport bottleneck.

## 1. Enabling technologies

The chemical path allowing highly loaded nano-composites up to 60 % in weight concentration is already in place for CdZnS/ZnS quantum dots embedded in polyvinyl-toluene [10], reaching transmission values up to 80 %. In this direction, a scale-up in weight concentrations of CdSe-based NPIs up to 10 % is needed in order to harvest close to 100 % of the energy deposited in the host matrix via FRET or exciton diffusion. In this regard, the ligand surface modification of NPIs allowing for a mono-disperse distribution of covalently bound nano-emitters in polystyrene or polyvinyl-toluene needs to be developed.

A FRET mechanism for a non-radiative wavelength shifting processes yielding a sharp rise time and a transmission improvement is available in NPIs. For this, 4 and 5 monolayers CdSe

NPIs [5] are potential candidates with FRET in the 6-23 picosecond range for inter-plate transfer.

Core/shell CdSe/CdS hetero-structures offering robust surface passivation and allowing to shift the emission from 515 nm towards 550 nm could provide a perfect spectral match with high-Z inorganic oscillators such as the family of aluminium garnets. Emission spectral match between bulk and nano-scintillators contributes to the overall transparency of the sampling pixel. Among these, GAGG:Ce co-doped with  $Mg^{2+}$  presents very good timing characteristics [11] and could be a potential material to replace LYSO in the hybrid approach. Garnets ceramics are also manufactured through an innovative 3D printing process, in which complicated structures with 100-200 µm features are built-up [12].

Advanced 3D printing techniques using costumed-made nanoparticles-based ink would allow to cover a relatively large area with a 4-5 monolayers of a fast scintillating material.

Another technique suitable for the nanofabrication of one dimensional semiconductors is metal-organic vapour-phase epitaxy (MOVPE), which allows for a layer by layer deposition of multiple quantum wells. Multiple hetero-structures composed of InGaN/GaN can be grown on a sapphire substrate [13], which contributes to the mechanical stability of the scintillating layer. The replacement of the dead sapphire layer by state-of-the-art active materials such as LYSO or BGO could lead to a fully integrated nano-scintillator with a standard and fast scintillating phase. However, finding a technological efficient way to overcome the crystalline lattice mismatch between GaN and state-of-the-art scintillators is still a challenge. Currently, the maximum thickness obtained for this type of samples is limited to 10  $\mu$ m [13], which set constraints in the amount of energy deposited in the active region.

## III. Concluding remarks

Move towards a layer-by-layer built meta-scintillator with a homogeneous energy deposition scheme upon 511 keV gamma detection. Bridging the gap between the nano-world and bulk material will lead to a new generation of scintillators enabling a technological breakthrough in particle detection.

## Acknowledgments

The author would like to acknowledge the support received by TICAL, ERC Advanced Grant, PI Paul Lecoq, grant number 338953.

#### Figures

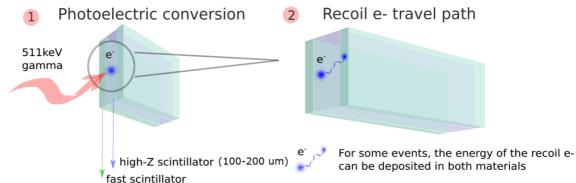


Figure 1: 511 keV gamma interaction in a sampling pixel composed by alternating layers of a high-Z and a fast, nano-scintillator (adapted from [8]).

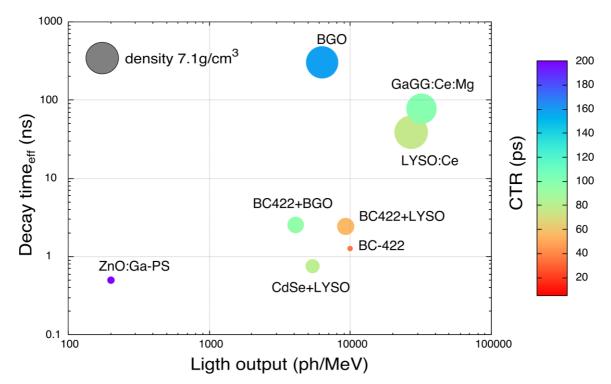


Figure 2: CTR values achieved with a first generation of sampling pixel detectors when coupled to the best photo-detector and electronic readout available [8], [1], [3].

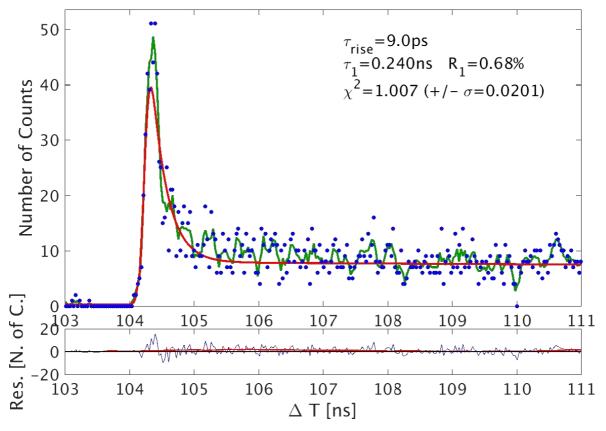


Figure 3: Rise time of CdSe-BGO sampling pixel measured under 511 keV gamma excitation in a TCSPC setup for the average type of event, including Compton.

- [1] RM Turtos, S Gundacker, S Omelkov, B Mahler, AH Khan, J. Saaring, Z. Meng, A. Vasil'ev, C Dujardin, M Kirm, I Moreels, E Auffray and P Lecoq, "On the use of CdSe scintillating nanoplatelets as time taggers for high-energy gamma detection," npj 2D Mater Appl, vol. 3, no. 37, 2019. doi: 10.1038/s41699-019-0120-8.
- [2] I Moreels, "Energy transfer is speeded up in 2D," Nat Mater, vol. 14, pp. 464-465, 2015. doi: 10.1038/nmat4246.
- [3] JQ Grim, S Christodoulou, FD Stasio, R Krahne, R Cingolani, L Manna and I Moreels, "Continuous-wave biexciton lasing at room temperature using solution-processed quantum wells," Nat Nanotechnol, vol. 9, no. 11, pp. 891-895, 2014. doi: 10.1038/nnano.2014.213.
- [4] J Feldmann, G Peter, EO Göbel, P Dawson, K Moore, C Foxon and RJ Elliott, "Linewidth dependence of radiative exciton lifetimes in quantum wells," Phys. Rev. Lett., vol. 59, pp. 2337-2340, 1987. doi: 10.1103/PhysRevLett.59.2337.
- [5] CE Rowland, I Fedin, H Zhang, SK Gray, AO Govorov, DV Talapin and RD Schaller, "Picosecond energy transfer and multiexciton transfer outpaces auger recombination in binary CdsSe nanoplatelet solids," Nat Mater, vol. 14, pp. 484-489, 2015. doi: 10.1038/nmat4231.
- [6] MV Kovalenko, L Protesescu and MI Bodnarchuk, "Properties and potential optoelectronic applications of lead halide perovskite nanocrystals," Science, vol. 358, no. 6364, pp. 745-750, 2017. doi: 10.1126/science.aam7093.
- [7] K Tomanová, V Čuba, MG Brik, E Mihóková, R Martinez Turtos, P Lecoq, E Auffray and M. Nikl, "On the structure, synthesis, and characterization of ultrafast blue-emitting CsPbBr3 nanoplatelets," APL Materials, vol. 7, no. 1, p. 011104, 2019. doi: 10.1063/1.5079300.
- [8] RM Turtos, S Gundacker, E Auffray and P Lecoq, "Towards a metamaterial approach for fast timing in PET: experimental proof-of-concept," Phys Med Biol, 2019 vol. 64, pp. 185018, 2019. doi: 10.1088/1361-6560/ab18b3.
- [9] S Gundacker, E Auffray, K Pauwels and P Lecoq, "Measurement of intrinsic rise times for various L(Y)SO and LuAG scintillators with a general study of prompt photons to achieve 10 ps in TOF-PET," Phys Med Biol, vol. 61, no. 7, pp. 2802-2837, 2016. doi: 10.1088/0031-9155/61/7/2802.
- [10] C Liu, Z Li, TJ Hajagos, D Kishpaugh, DY Chen and Q Pei, "Transparent ultra-high-loading quantum dot/polymer nanocomposite monolith for gamma scintillation," ACS Nano, vol. 11, no. 6, pp. 6422-6430, 2017. doi: 10.1021/acsnano.7b02923.
- [11] S Gundacker, RM Turtos, N Kratochwil, RH Pots, M Paganoni, P Lecoq, E Auffray, "Experimental time resolution limits of modern SiPMs and TOF-PET detectors exploring different scintillators and Cherenkov emission," Phys Med Biol, vol. 65, no. 2, pp. 025001, 2020. doi: 10.1088/1361-6560/ab63b4.
- [12] GA Dosovitskiy, PV Karpyuk, PV Evdokimov, DE Kuznetsova, VA Mechinsky, AE Borisevich, AA Fedorov, VI Putlayev, AE Dosovitskiy and MV Korjik, "First 3D-printed complex 3 inorganic polycrystalline scintillator," CrystEngComm, vol. 19, pp. 4260-4264, 2017. doi: 10.1039/c7ce00541e.
- [13] G Toci, LA Gizzi, P Koester, F Baffigi, L Fulgentini, L Labate, A Hospodkova, V Jary, M Nikl, and M Vannini, "InGaN/GaN multiple quantum well for superfast scintillation application: Photoluminescence measurements of the picosecond rise time and excitation density effect," J Lumin, vol. 208, pp. 119-124, 2019. doi: 10.1016/j.jlumin.2018.12.034.

# C. Detection of annihilation photons C5. Perspectives on LAr and/or LXe scintillators for fast timing applications

Dominique Thers

# I. Status

Since more than 50 years, there is a growing interest in identifying pure noble gases intrinsic liquid scintillators, including  $\gamma$ -ray cameras, as new generation particle detectors [1]. Three important properties that are common to those type of detectors are: the high scintillation light yield due to the formation of excited dimers which is also at the origin of the fast scintillation decay time, the inert chemistry allowing for the direct immersion of photo-detectors with a quite perfect optical coupling and finally, certainly the most important, the presence of a permanent liquid phase at thermodynamic equilibrium allowing for a complete coverage of large monolithic volumes. Furthermore, liquid xenon (LXe) is also well motivated by a "quite easy" cryogenics and by the ultra-low intrinsic radioactivity that make it easily scalable. This provides a cheap solution for low dose total-body imaging detection devices that require the use of tons of liquid noble gases.

Despite this impressive list of features, the detection of  $\gamma$ -rays is limited by electron density of the target. It is here fundamental to underline that for all liquid noble gases a quite large depth of interaction is mandatory: typically, around 3 cm or more for LXe and at least 6 cm or more for LAr. In addition, a dominant fraction of events will undergo through Compton scattering [2] allowing for an efficient tracking, like it is under development with the XEMIS project [3], but also strong limits in case of limited volume for the calorimetry point of view.

Excellent time resolutions have been firstly measured by Lavoie in 1976 [4]; later on, key researches based on prototyping have progressively reached better and better performances. It is worth to mention two major past milestones: the first nanosecond time coincidence windows obtained with 511 keV photons [5] and the proof of concept for LXe time-of-flight reporting 260 ps (FWHM) time resolution [6].

## II. Current and future challenges

Until now, fast timing resolutions with LAr and LXe detectors have constantly increased thanks to technological progresses without reaching asymptotic intrinsic limits for 511 keV  $\gamma$ -rays. With prototypes design combining light and charge measurements [7], LXe PET camera benefit from both the excellent depth of interaction measurements and the exceptional time resolution [8]. Since few years, photo-detectors quantum efficiency is now quite impressive in VUV region, reaching more than 20 %/40 %, respectively with LAr/LXe scintillation photons. Challenges for next camera designs are then well established, with a roadmap focusing also on the light detection coverage benefiting from new thin and compact devices working at cryogenics temperature: SiPM/MPPC [9].

LXe is also an excellent Cherenkov radiator target [10] for high energy electron escaping photoelectric 511 keV  $\gamma$ -rays interaction. For 10 ps time resolution challenge, the detection of this additional light emission component combined with fast CRT photo-detectors and very low jitter electronics could lead to impressive results [11].

# III. Concluding remarks

Intrinsic scintillators based on liquid noble gases are very well suited for gamma rays detection on large volumes; their past developments demonstrated progressively and experimentally their exceptional characteristics for high energy, rare events or neutrinos physics experiments.

In parallel, PET images quality did impressive progresses benefiting from the large interest of the community. Investments have been successfully made to develop cameras with better DOI measurement achieving a few mm FWHM [12], with total axial field-of-view reaching 2 m

[13] or with TOF-PET scintillators and associated electronics for fast coincidences, which amounts a CTR of 215 ps FWHM with a 26.3 cm axial field-of-view [14]. Thanks particularly to LXe cameras properties, next generations of PET camera based on solid scintillators would have to face a tough opponent able to present a full set of impressive performances combining simultaneously large design, ultra-precise DOI capability and also the presence of a fast TOF (benefiting or not from Cherenkov light characteristics). Giant cameras containing liquid noble gases should then play a key role for revisiting the PET paradigms like very fast or ultra-low dose imaging techniques.

- [1] E Aprile and T Doke, "Liquid xenon detectors for particle physics and astrophysics," Rev Mod Phys, vol. 82, pp. 2053-2097, 2010. doi: 10.1103/RevModPhys.82.2053.
- [2] E Aprile, A Curioni, KL Giboni, M Kobayashi, UG Oberlack and S Zhang, "Compton imaging of MeV gamma-rays with the Liquid Xenon Gamma-Ray Imaging Telescope (LXeGRIT)," Nucl Instrum Methods Phys Res A, vol. 593, pp. 414-425, 2008. doi: 10.1016/j.nima.2008.05.039.
- [3] L. Gallego Manzano et al., "XEMIS: a liquid xenon detector for medical imaging," Nucl Instrum Methods Phys Res A, vol. 787, pp. 89-93, 2015. doi: 10.1016/j.nima.2014.11.040.
- [4] L. Lavoie, "Liquid xenon scintillators for imaging of positron emitters," Med Phys, vol. 3, no. 5 pp. 283-293, 1976. doi: 10.1118/1.594289.
- [5] V. Chepel, MI Lopes, A Kuchenkov, R Ferreira Marques, AJPL Policarpo, "Performance study of liquid xenon detector for PET," Nucl Instrum Methods Phys Res A, vol. 392, pp. 427-432, 1997. doi: 10.1016/S0168-9002(97)00196-4.
- [6] T. Doke, J Kikuchi and F. Nishikido, "Time-of-flight positron emission tomography using liquid xenon scintillation," Nucl Instrum Methods Phys Res A, vol. 569, pp. 863-871, 2006. doi: 10.1016/j.nima.2006.07.067.
- [7] P. Amaudruz et al., "Simultaneous reconstruction of scintillation light and ionization charge produced by 511 keV photons in liquid xenon: Potential application to PET," Nucl Instrum Methods Phys Res A, vol. 607, pp. 668-676, 2009. doi: 10.1016/j.nima.2009.06.036.
- [8] A. Miceli et al., "Simulations of a micro-PET system based on liquid xenon," Phys Med Biol, vol. 57, no. 6, pp. 1685-1700, 2012. doi: 10.1088/0031-9155/57/6/1685.
- [9] S. Ogawa, "Liquid Xenon Detector with VUV-Sensitive MPPCs for MEG II Experiment," Springer Proc Phys, vol. 213, pp. 76-79, 2018. doi: 10.1007/978-981-13-1316-5\_14.
- [10] J.J. Gomez-Cadenas, JM Benlloch-Rodríguez and P Ferrario, "Monte Carlo study of the coincidence resolving time of a liquid xenon PET scanner, using Cherenkov radiation," J Instrum, vol. 12, P08023, 2017. doi: 10.1088/1748-0221/12/08/P08023.
- [11] J.J. Gomez-Cadenas, JM Benlloch-Rodriguez and P Ferrario, "Application of scintillating properties of liquid xenon and silicon photomultiplier technology to medical imaging," Spectrochimica Acta Part B, vol. 118, pp. 6-13, 2016. doi: 10.1016/j.sab.2016.01.005.
- [12] E Yoshida et al., "Development of a whole-body dual ring openPET for in-beam PET," IEEE T Radiat Plasma Med Sci, vol. 1, no. 4, pp. 293-300, 2017. doi: 10.1109/TRPMS.2017.2703823.
- [13] RD Badawi et al., "First human imaging studies with the EXPLORER total-body PET scanner," J Nucl Med, vol. 60, no. 3, pp. 299-303, 2019. doi: 10.2967/jnumed.119.226498.
- [14] JJ van Sluis et al., "Performance evaluation of the SiPM-based Siemens Biograph Vision PET/CT system," J Nucl Med,, vol. 60, no. 7, pp. 1031-1036, 2019. doi: 10.2967/jnumed.118.215418.

# **D.** Photo-detectors and readout electronics **D1.** Perspectives on photo-detectors

Edoardo Charbon

## I. Status

With the rise of analog silicon photomultipliers (A-SiPMs) in the late 1990s, it has become clear that photomultiplier tubes could eventually be replaced by a solid-state solution [1], [2], [3]. This trend has continued in the 2000s, while the emergence of digital SiPMs (D-SiPMs) have done little to stop the consolidation of the trend [4]. In fact, A-SiPMs have in general shown better dark count rate (DCR) and photon detection efficiency (PDE) if compared with D-SiPMs. This is due to the choice of optimized silicon technologies and the lack of circuitry between single-photon avalanche diodes (SPADs), despite advanced methods to suppress noisy SPADs (with loss of PDE) and to reduce the effects of higher median DCR on gamma detection.

Multi-channel digital SiPMs, sometimes called multi-digital SiPMs (MD-SiPMs) [5], [6], though requiring complementary metal oxide semi-conductor (CMOS) technologies (the presence of both PMOS and NMOS transistors), hold the promise to use circuit techniques to counter higher DCR and reduced PDE. Though, current implementations have yet to deliver this promise to its fullest and the community is often preferring A-SiPMs mostly for their simplicity and ease of use, despite the need of external amplifiers and time discriminators. Indeed, D-SiPMs and MD-SiPMs offer in situ time-to-digital conversion, higher granularity of measurements, which, in combination with statistical algorithms, ensure higher timing resolution predictability.

In the second half of the 2010s, the image sensor industry has launched several pilot projects to achieve mass-produced 3D ICs, including low-cost packaging for optical sensors. This trend is gradually leading to hybrid SiPMs, *i.e.* a combination of A-SiPMs or arrays of A-SiPMs, even in non-Si materials, like Ge and GaAs/InP on the top tier and advanced electronics implemented in CMOS technology nodes with < 45 nm feature size in the bottom tier. These solutions could be a good compromise enabling the performance advantage of A-SiPMs, *in situ* time-to-digital conversion of D-SiPMs, and granularity/flexibility of MD-SiPMs [7]. A disadvantage is the increase in complexity to control these systems and the potential heat transfer from bottom to top tiers, thus potentially increasing DCR and jitter. Moreover, the cost of this technology is still high if compared with simpler 2D CMOS (or custom) technological nodes.

More recently, next to improved detectors, there has been growing interest in computational techniques to enhance reconstruction results. In computational photography, deep learning and artificial neural networks have taken over the field enabling drastic improvements in several applications. Neural networks (NNs) and other deep learning techniques could be used in PET/SPECT related applications, where jitter could be reduced by predicting gamma depth of interaction, energy, and photon order [9], [10], [11]. NNs can be implemented efficiently in the digital domain using convolutional NNs and spiking NNs either in application-specific integrated circuits (ASICs) or in field programmable gate arrays (FPGAs) [10]. We envision the increased use of these techniques near the sensor and also near the reconstruction engine.

# II. Current and future challenges

One of the conditions to implement these and even more advanced functionality is the availability of even more advanced 3D integration and/or multi-layer 3D-stacking. Sony has already experimented with 3-tier stacking [12]. However, we expect this trend to accelerate further with 4-tier and higher to be developed in mass-production in the next few years. We expect 4-tier technology to be developed in the 2020s with the improvement of current

technology and the deceleration of feature size reduction. This trend is mostly an economical one, related to the exponential increase of the costs expected for < 3 nm CMOS technology nodes. In fact, we expect that in the mid 2020s, the cost of 3D IC will become comparable if not lower than that of equivalent 2D chips. One of the most important challenges though will be the power transfer and important breakthroughs will be needed to ease this problem, such as cooling trenches and fluid canals in through-silicon vias (see figure 1).

Temperature control in photo-detectors has become important in recent years, not only for DCR reduction but also to operate SiPMs in liquid scintillators, such as liquid Ar and Xe. The demonstration of cryogenic SPADs and SiPMs at 77 K has shown that PDE remains practically unchanged but electronics needs to operate at room temperature [13], [14]. Recently, the emergence of cryogenic electronics could potentially make cryo-SiPMs much more compact and scalable, especially whenever cryogenic coolant is already present.

#### III. Concluding remarks

In summary, photo-detectors are developing along several axes, involving new detecting materials, 3D IC technology, cryogenic operation, and deep learning. We believe these trends will lead to better time resolution, ultimately achieving 10ps at lower DCR and comparable or better PDE. All these improvements are likely to be achieved with solid-state or MEMS photo-detectors, sensitive to the extended visible range but also potentially deep and extreme UV, as well as mid infrared.



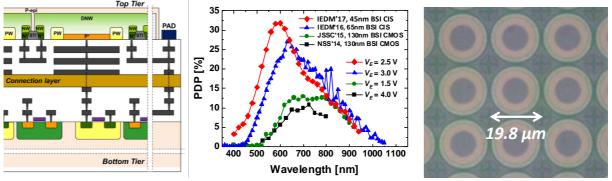


Figure 1: New technologies in detectors for PET/SPECT systems. (left) backside-illumination (BSI) detectors on a top layer combined with processing in the bottom layer in a 3D IC configuration: cross-section; (centre) photon detection probability in several BSI detectors and 3D ICs: note the different excess bias voltages (aka overvoltages) used; (right) photomicrograph of an array if single-photon avalanche diodes (SPADs) from the backside, while the bottom layer (not visible) is 3D-stacked in [7], [8]: the bottom layer is implemented in a more advanced CMOS technology node, thereby enabling extensive computations *in situ*.

- [1] B Dolgoshein, "Silicon photomultipliers in particle physics: possibilities and limitations," in Proc of the 42nd Workshop of the INFN ELOISATRON Project, pp. 442-456, Erice, Italy, 2003. doi: 10.1142/9789812702951\_0029.
- [2] G Collazuol, "The SiPM physics and technology-a review," in Proc of the International Workshop on New Photon-Detectors (PhotoDet 2012), Orsay, France, 2012.
- [3] D Schaart, E Charbon, T Frach and V Schulz., "Advances in digital SiPMs and their application in biomedical imaging," Nucl. Instrum. Methods A, vol. 809, pp. 31-52, 2018. doi: 10.1016/j.nima.2015.10.078.
- [4] T Frach, G Prescher, C Degenhardt, R de Gruyter, A Schmitz and R Ballizany, "The digital silicon photomultiplier principle of operation and intrinsic detector performance," in Conf Rec IEEE Nucl Sci Symp, pp. 1959-1965, 2009. doi: 10.1109/NSSMIC.2009.5402143.
- [5] S Mandai, V Jain and E Charbon, "A 780 × 800 μm2 multichannel digital silicon photomultiplier with column-parallel time-to-digital converter and basic characterization, " IEEE Trans Nucl Sci, vol. 61, no. 1, pp. 44-52, 2014. doi: 10.1109/TNS.2013.2294022.

- [6] LHC Braga et al., "An 8 × 16-pixel 92kSPAD time-resolved sensor with on-pixel 64ps 12b TDC and 100MS/s realtime energy histogramming in 0.13microm CIS technology for PET/MRI applications," in Proc IEEE International Solid-State Circuits Conference, pp. 486-487, San Francisco, CA, 2013. doi: 10.1109/ISSCC.2013.6487826.
- [7] J Mata Pavia, M Scandini, S Lindner, M Wolf and E Charbon, "A 1 × 400 backside-illuminated SPAD sensor with 49.7 ps resolution, 30 pJ/sample TDCs fabricated in 3D CMOS technology for near-infrared optical tomography," IEEE J Solid-State Circuits, vol. 50, no. 10, pp. 2406-2418, 2015. doi: 10.1109/JSSC.2015.2467170.
- [8] M-J Lee, AR Ximenes, P Padmanabhan, T-J Wang, K-C Huang, Y Yamashita, D-N Yaung, and E Charbon, "High-Performance Back-Illuminated Three-Dimensional Stacked Single-Photon Avalanche Diode Implemented in 45-nm CMOS Technology," IEEE J Sel Top Quantum Electron, vol. 24, no. 6, pp. 3801809, 2018. doi: 10.1109/JSTQE.2018.2827669.
- [9] C Geoffroy, J-B Michaud, M-A Tétrault, J Clerk-Lamalice, C-A Brunet, R Lecomte and R Fontaine, "Real Time Artificial Neural Network FPGA Implementation for Triple Coincidences Recovery in PET," IEEE Trans Nucl Sci, vol. 62, no. 3, pp. 824-831, 2015. doi: 10.1109/TNS.2015.2432754.
- [10] E Berg and SR Cherry, "Using convolutional neural networks to estimate time-of-flight from PET detector waveforms," Phys Med. Biol, vol. 63, no. 2, pp. 02LT01, 2018. doi: 10.1088/1361-6560/aa9dc5.
- [11] E Venialgo, S Mandai, E Charbon, "Time Mark Estimators for MD-SiPM and Impact of System Parameters," IEEE Nucl Sci Symp, 2013. doi: 10.1109/NSSMIC.2013.6829083.
- [12] K Mabuchi, "Physical Quantity Detection Device with Pixel Array Column-Aligned Terminals and Method of Driving Same," US Patent 7,868,283, 2011.
- [13] F Acerbi et al., "Cryogenic Characterization of FBK HD Near-UV Sensitive SiPMs," IEEE T Electron Dev, vol. 64, no. 2, pp. 521-526, 2017. doi: 10.1109/TED.2016.2641586.
- [14] B Patra et al., "Cryo-CMOS circuits and systems for quantum computing applications," IEEE J Solid-State Circuits, vol. 53, no. 1, pp. 309-321, 2018. doi: 10.1109/JSSC.2017.2737549.

# D. Photo-detectors and readout electronicsD2. Design criteria for fast timing readout electronics

Joao Varela and Christophe de La Taille

#### I. Status

In the race for picosecond timing detectors, readout electronics plays a crucial role, in particular for small signals or large number of channels, which is a general trend in such detectors. This is resulting in the generalized use of ASICs, which helps a lot on the performance thanks to their high internal speed, but at the expense of limited power dissipation and thus larger noise. The timing performance is affected by the electronics in three places: jitter due to electronics noise, error in time walk correction and time-to-digital converter (TDC) resolution, summarized with the formula below:

 $\sigma = t_r / S/N + \sigma(Timewalk) + \sigma(TDC)$ (1)

which is dominated by the first term (jitter) for small signals. Observing that the rise time  $t_r$  is inversely proportional to the bandwidth (1/*BW*) whereas the electronics noise scales as the square root of the bandwidth ( $\sqrt{BW}$ ), it would seem that the jitter can be minimized by using front-end electronics as fast as possible. However, this is disregarding the fact that most sensors used in timing detectors (Si diodes, APDs, LGADs, SPADs, SiPMs, see table 1) are current sources with *capacitive impedance*. At very high frequency (GHz), it is almost impossible to avoid that the detector current be integrated on the sensor capacitance, therefore the signal rise time is proportional to the current *duration* and not really to the current rise time. Therefore, the preamplifier rise time, even with infinitely fast electronics is simply the current duration. Using faster preamplifiers then only increases the noise without gaining on the preamp rise time. It can be shown analytically that the optimum electronics rise time  $t_r$  is equal to the current duration  $t_d$  (FWHM). It should be emphasized that the bandwidth for the electronics concerns not only the preamplifier but also the discriminator. A rise time in the range 0.1 to 1 ns corresponds to bandwidths of 350 MHz to 3 GHz.

Several preamplifier configurations have been used by different groups, mostly were transimpedance amplifiers (charge or current preamplifiers) or voltage amplifiers (RF or broadband amplifiers). However, at very high frequency they exhibit similar behaviour and it can be shown that the jitter can be expressed as:

$$J = t_r / S/N = e_n C_d \sqrt{t_d} / Q \tag{2}$$

where  $e_n$  is the noise spectral density of the input amplifier,  $C_d$  is the total capacitance at the input,  $t_d$  is the current duration (FWHM) and Q the charge delivered by the sensor.

It can be observed that the sensor determines three of the four terms in the equation ( $C_d$ ,  $t_r$  and Q).

Therefore detectors that produce large charge on a small capacitance with a short current duration are performing best. Also sensors with gain are also better. Hence SiPMs offer an excellent potential as shown in Table 1, which compares these parameters from different sensors and the expected jitter. The term due to electronics depends essentially on the power that can be allocated to the preamplifier stage. A usual rule of thumb gives  $e_n \approx 1/\sqrt{I}$  (mA) in  $nV/\sqrt{Hz}$ . As it only scales with the square root of the current, it is usually more efficient to play with the sensor capacitance.

#### **II.** Current and future challenges

The applications using LYSO crystal associated to SiPM are an exception to the

considerations above given the very long decay time of the LYSO scintillation light when compared to the low time resolution aimed at. There are about three orders of magnitude difference in the two times scales involved, namely about 40 ns of the LYSO light decay time and ~10 ps time resolution. In this special case, good timing is possibly only by taking profit of the large number of photoelectrons per event (several thousand) and measuring the time of arrival of the first few photoelectrons. This requires a very fast amplifier capable to follow the rising edge of the single-photon avalanche in a SPAD of the SiPM, which is typically of the order of a few hundred picoseconds. The electronics circuit is required to zoom the rising edge of the pulse in the first 1-2 % of the pulse amplitude, providing the highest possible slew rate in order to achieve the best timing resolution. In case of leading-edge discrimination, the electronics should in addition provide a measurement of the total pulse amplitude to be used in time-walk correction.

Time walk correction is a non-negligible contribution to the overall resolution when targeting the picosecond region. Indeed, correcting a 1 ns time walk down to 10 ps requires a correction accuracy of 1 %. Although some techniques like constant fraction discriminators offer time-walk free signals, they have not been shown to work below a few hundred picoseconds. Moreover, the high speed needed in the discriminator gives little hope to implement such techniques for picosecond timing. Therefore, most systems rely on *off-line* time walk correction using amplitude information. The amplitude information can be obtained by simple time-over-threshold (ToT) technique, by measuring the width of the discriminator output. Of course, the shorter the rise time, the smaller the time walk and therefore the better the time walk correction. It should be noted that amplitude measurements at slower shaping may not be good enough for signals spreading over longer duration, such as scintillator signals, as what needs to be corrected is the amplitude affecting the rise time. This justifies the ongoing effort to produce a bunch of prompt photons in addition to the standard scintillation pulse to produce a very sharp leading edge of the light pulse (see section C).

TDC resolution is usually not problematic down to ~10 ps, it can even be realized inside FPGAs. However, for large number of channels or for TDCs integrated with the Front-end, the power dissipation becomes much more critical and may require tedious calibration procedures to correct for bin variation with temperature and power supplies. Trimming is often necessary to get good linearity, in particular differential non-linearity (DNL) or variation of bin width. Integrated TDCs provide roughly ~10 ps binning with ~1 mW power. Inside the TDC resolution is also hidden the jitter coming from the clock distribution, which can be problematic inside large systems or even inside large chips.

## **III.** Concluding remarks

Coming more specifically to PET systems, the use of SiPMs has a high potential for excellent timing due to the short current duration and the large gain. They are however penalized by large capacitance, which reflects in the worse timing performance obtained by the larger devices. The readout electronics has not so far taken advantage of the short signal duration, as readouts are presently more in the nanosecond rise time than the hundreds of picoseconds. There is here room for improvement, while keeping in mind the larger threshold inherent to the larger noise of high-speed electronics. The rise time can also be limited by stray inductance L, in particular when using transimpedance amplifiers, which exhibit a low input impedance R, as it introduces a rise time proportional to L/R.

In this respect, digital SiPMs benefit from many advantages as they minimize the capacitance and avoid stray inductance. There are still difficulties in the path to the 10 ps challenge arising from the much larger number of channels, in particular for low-jitter, low-skew clock distribution, low power design and digital noise minimization (see sections D1, D4).

Table 1: Comparison of the key parameters determining the jitter performance for different sensors. Capacitance ( $C_d$ ), current duration ( $t_r$ ) and charge (Q) allow to calculate the expected jitter with Equation (2), which can be compared to typical measured performance.

sensor	area	capacitance	current duration	Charge	expected jitter	measured jitter
	mm²	$C_d$ (pF)	$t_r$ (ns)	Q (fC/MIP)	ps rms	
Si pixel	0.01	0.1 - 1	1 – 5	1 - 4	4 - 90	300 - 3000
PIN diode	1 – 10	1 - 10	1 – 5	1 - 4	40 - 900	
APD	1 – 10	5 - 50	1 – 5	5 - 50	10 - 400	100 – 1000 [3]
LGAD	1-5	1 – 5	0.5 – 1	5 - 50	3 - 20	20 – 200 [1], [2]
PMT	100 - 10000	5 - 10	1 – 5	100 - 1000	1 – 5	
SiPM	1-5	50 - 500	0.1	10 - 100	3 - 300	50 - 500 [4]
RPC		100 - 500	0.1 – 5	1000	3-30	50 - 500

#### References

[1] N Cartiglia et al., "Beam test results of a 16 ps timing system based on ultra-fast silicon detectors," Nucl. Instrum. Methods A, vol. 850, pp. 83-88, 2017. doi: 10.1016/j.nima.2017.01.021.

- [2] C de La Taille et al., "ALTIROCO, a 20 pico-second time resolution ASIC for the ATLAS High Granularity Timing Detector (HGTD)," PoS (TWEPP-17) 006, 2018. doi: 10.22323/1.313.0006.
  [3] A Bornheim et al., "Precision timing calorimetry with the upgraded CMS crystal ECAL," in Proc Int Conf on
- [3] A Bornheim et al., "Precision timing calorimetry with the upgraded CMS crystal ECAL," in Proc Int Conf on Technology and Instrumentation in Particle Physics 2017, TIPP 2017, ZA Liu ZA (eds), Springer Proceedings in Physics, vol. 213, Springer, Singapore, 2017. doi: 10.1007/978-981-13-1316-5\_8.
- [4] S Gundacker et al., "High-frequency SiPM readout advances measured coincidence time resolution limits in TOF-PET," Phys Med Biol, vol. 64, 055012, 2019. doi: 10.1088/1361-6560/aafd52.

# D. Photo-detectors and readout electronicsD3. Perspectives on readout ASIC for SiPM arrays

Angelo Rivetti

#### I. Status

State-of-the art TOF-PET systems often employ analog SiPM arrays read-out by custom ASICs. Several integrated circuits have been developed worldwide for this purpose. In the most common topology, a single channel consists of a front-end amplifier followed by a timing discriminator and a TDC. The event charge is either inferred by ToT or by signal integration followed by sample digitization by an analogue-to-digital converter (ADC). In system-grade chips, the number of channels ranges from 32 to 64, while the power consumption is typically between 10 and 30 mW/channel [1], [2], [3].

Three main topologies are used for the input stage, all providing comparable time resolution: transimpedance amplifier [4], broadband voltage amplifier (usually with 50  $\Omega$  input termination) [3] and regulated common gate [5], [6]. As discussed in Section D2, a leading-edge discriminator combined with off-line time-walk correction provides the preferred time pick-off method. digital-to-analogue converters (DACs), usually with a resolution between 5 and 8 bits are embedded on a per-channel basis to correct for the discrimination threshold dispersion, thus allowing for a uniform triggering point among the channels. In some chips two discriminators per channel operating with different thresholds are employed to combine best time resolution with optimal background rejection.

TDCs are formed by a coarse counter that captures the transition of a reference clock and by an interpolator. The latter measures the time elapsing between the asynchronous event (in this case the firing of the leading-edge discriminator connected to the front-end amplifier) and a suitable edge of the clock. It is the interpolation method that defines the TDC architecture.

In ASIC for TOF-PET the two most common approaches for timing interpolation are delay locked-loops (DLLs) [2], and time-to-amplitude converters (TACs) [1], [3]. The DLL method has the advantage of a dead-time free operation, at the expense of larger power consumption. In a TAC a constant current source charges a capacitance for the time interval to be measured and the resulting voltage is then digitized. Combining a TAC with a Wilkinson ADC results in low power and very good DNL, at the expense of a longer conversion time, that can easily be above the microsecond. The speed drawback can be partially mitigated by using more TACs in a time-interleaved configuration, allowing for event derandomization. Average event rates of hundreds of kiloherz per channel can be accommodated, which is adequate for TOF-PET applications. The least significant bit (LSB) of TDCs used in SiPM readout ASICs is between 25 to 100 ps. The TDC quantization noise, given by LSB/ $\sqrt{12}$ , thus plays a minor role in defining the overall CTR, which is today O(200 ps). In some case, only the very frontend part (*i.e.* input stages and discriminators) is implemented on ASIC [6], while the TDC is realized using commercial high-performance FPGAs. ASICs available today are implemented either in pure CMOS or in BiCMOS technologies, as the use of Silicon-Germanium bipolar transistors allows to implement very fast input stages and discriminators. The most employed technology nodes are from 350 nm down to 110 nm.

## II. Current and future challenges

SiPM arrays readout by separate front-end electronics can still play an important role in the future. In this approach, sensors and electronics are fabricated on different substrates and can thus be independently optimized. Furthermore, the system build-up is straightforward since it relies on low-cost assembly techniques very well established in the industry. In order to meet a CTR of 10 ps FWHM, the performance of all the key building blocks in the timing chain (TDCs, input stages and discriminator) must be improved. The TDC quantisation errors

should continue to play a minor role in defining the system performance. This implies that TDCs with a LSB in the order of 5 ps or less must be adopted. In the last ten years, TDCs have become a key component in telecommunication systems as they are commonly employed to measure phase differences in All-Digital PLL [8]. This has fostered an intense research on the topic and a continuous push towards better performance. TDCs targeting sub-ps resolution and achieving an effective resolution in the 1-2 ps range have been reported in CMOS technology nodes ranging from 130 nm down to 14 nm [9]. A sampling frequency between 10 and 100 MHz is common, while the power consumption is 1 mW or less, which is very encouraging in view of the implementation of large multi-channel systems. The main challenge in this case is to distribute a clock with a jitter low enough to fully exploit the TDC potential. The impressive progress made in serializers-deserializers circuits, now reaching the 100 Gbit/s speed, can also provide interesting hints and guidelines for the design of such high performance clock distribution network, which will however likely be one of the dominant power consumption source in the system [10].

The jitter in the front-end electronics must also be squeezed down to the ps range. As discussed in Section D2, the jitter introduced by the input stage can be expressed as:

$$\sigma_t = \nu_n \frac{c_d}{\varrho_{in}} \sqrt{t_d} \tag{1}$$

where  $\sigma_t$  is the jitter,  $C_d$  is the sensor capacitance,  $Q_{in}$  is the signal charge and  $t_d$  the signal duration.  $v_n$  is the noise spectral density introduced by the noise-dominating transistor. This is the input transistor or the input transistor of the servo-loop amplifier in common-gate configurations with gate boosting [7]. Assuming a typical front-end noise spectral density of  $1 \text{ nV}/\sqrt{\text{Hz}}$ , a timing jitter of 1 ps rms can be achieved with a sensor capacitance of 5 pF, a signal duration of 1 ns and a minimum charge of 150 fC. Such parameters match well those of a small area analog SiPM where only a limited number of micro-cells ( $8 \times 8$  or  $16 \times 16$ ) are put in parallel [11]. Reading each individual SPAD is also an interesting option [12], but grouping a small number of cells together already on the sensor side demands a less dense interconnection pattern between the photo-detector and its front-end electronics, allowing for the use of standard industrial flip chip technology. Furthermore, direct bonding between the sensor and the front-end electronics minimises the inductance of the interconnection. In highly pixelated systems, more digital signal processing is needed on chip in order to avoid unnecessary transmission of raw data to the back-end electronics. While ultra-high speed serializers are today common, they are quite power hungry even if extremely scaled technologies are used. Clustering of photons belonging to the same event and real-time calculation of time-stamp and signal amplitude will bring the data down to the same amount produced by conventional systems based on large area  $3 \text{ mm} \times 3 \text{ mm}$  SiPM.

Notice that with a sensor with 50 pF capacitance and 1 ns duration, a jitter of 2 ps rms is obtained if the noise spectral density of the front-end electronics is reduced to  $0.2 \text{ nV}/\sqrt{\text{Hz}}$ . This level of noise implies a transconductance of 0.2 S in the input transistor. Assuming weak inversion operation, the required transconductance can be obtained with a bias current of 8 mA and a power consumption of 10-12 mW in the input stage. The overall power consumption of the full channel can be kept within 20 mW/channel, which can still be affordable as a much smaller number of channels would be needed in this case. It must be pointed-out that, for a given power, the electronics noise if often twice the one estimated with the simplistic assumption of one single dominant transistor. The bias network of the input stage and the parasitic resistance in the layout provide in fact non-negligible contribution when extremely low noise level is aimed for. Nevertheless, the 10 ps resolution can possibly be achieved by a system where conventional SiPM with an area in the order 1 mm x 1 mm are

connected with through silicon vias (TSV) to a conventional PCB. A front-end ASIC with a few hundreds of channels per chip organised in a matrix is flipped-chip bonded on the other side of the carrier. Maintaining a 2D channel arrangement in the chip is important as this allows to reducing the inductance of the interconnection and a more homogeneous power distribution network, thus minimising the on chip IR drops effects. Such a system can be realised with already well-established and commonly available interconnection technology.

It must be pointed-out that achieving 10 ps time resolution requires an extremely careful consideration of all on-chip parasitic effects. Power supply noise, crosstalk through power supply and common bias lines can easily introduce artefacts that completely disrupt the time resolution. To minimise the risk, each channel or small group of channels should have its own private bias network and possibly also a dedicated local power management unit implemented with compact cap-less low dropout regulators (LDOs). The design of these ancillary components can be as critical as that of the front-end amplifier, discriminator and TDC to achieve the 10 ps time resolution. Interference between the digital and analog section of a complex mixed-signal chip can be also cause of additional noise. Modern CMOS technologies offer very effective tools like deep wells and high resistivity trenches to minimise this interference. In the worst case, a two tiers readout system using 3D integration can be envisaged, where the analog and digital sections are fabricated on two different wafers and mated with a fully differential link.

For technology nodes of 130 nm and below, the input stage would easily work in weak inversion. Therefore, marginal improvement in time resolution is expected by using aggressively scaled technologies, while effects typical of nanometer scale CMOS, like transistor gain degradation, non linear output conductance, gate leakage current and device mismatch are an issue. The main advantages in using a very small feature size is that the reduced area allows for local integration of ancillary blocks like bias generators and LDOs and a reduction of the power dissipation of the digital circuits, which can be particularly important in view of the management of ultra-low jitter clocks and digital signals and of the on chip integration of more complex signal processing functions. The discriminators must become faster in order not to compromise the timing performance of the front-end. Discriminators are digital-like circuits and they could benefit more than the input stage from the use of a very scaled technology.

#### **III.** Concluding remarks

The 10 ps goal could be already met by more conventional assemblies made of analog SiPM arrays mated to CMOS readout electronics. The jitter due to electronics noise can be addressed by proper sensor segmentation. A sensor pixel size no larger than  $1 \text{ mm} \times 1 \text{ mm}$  is necessary in order to achieve the required jitter figure with a reasonable power consumption in the input stage. Two effects can however make the 10 ps goal very challenging on the electronics side: random single shape fluctuations in the sensor and distribution of ultra-low jitter clock signals. To reliably predict electronics performance, the sensor signal must hence be extremely well modelled. Using the most advance CAD tools, the major portion of the design time should be spent on design verification, to ensure that parasitic effects are properly mastered as even seemingly minor effects can have detrimental effects on time resolution at this level.

- [1] A di Francesco et al., "TOFPET2: a high-performance ASIC for time and amplitude measurements of SiPM signals in time-of-flight applications," J Instrum, vol. 11, C03042, 2016. doi: 10.1088/1748-0221/11/03/C03042.
- [2] T Harion et al., STiC a mixed mode silicon photomultiplier readout ASIC for time-of-flight applications, J Instrum, vol. 9, C02003, 2014. doi: 10.1088/1748-0221/9/02/C02003.

- [3] J Fleury et al., "Petiroc and Citiroc: front-end ASICs for SiPM read-out and ToF applications," J Instrum, vol. 9, C01049, 2014. doi: 10.1088/1748-0221/9/01/C01049.
- [4] E Martin et al., "The 5ns peaking time transimpedance front end amplifier for the silicon pixel detector in the NA62 Gigatracker," in Conf Rec IEEE Nucl Sci Symp, 2009. doi: 10.1109/NSSMIC.2009.5401684.
- [5] P Carniti et al., "CLARO-CMOS, a very low power ASIC for fast photon counting with pixellated photodetectors," J Instrum, vol. 7, P11026, 2012. doi: 10.1088/1748-0221/7/11/P11026.
- [6] S Dey, JC Rudell, TK Lewellen and RS Miyaoka, "A CMOS front-end interface ASIC for SiPM-based positron emission tomography imaging systems, in Conf Rec IEEE BioCAS, 2017. doi: 10.1109/BIOCAS.2017.8325059.
- [7] LB Oliveira, CM. Leitao and MM Silva, "Noise performance of regulated cascade trans impedance amplifiers for radiation detectors," IEEE Trans Circ Syst I, vol. 59, no. 9, pp. 1841-1848, 2012. doi: 10.1109/TCSI.2011.2180449.
- [8] F-W Kuo et al., "An all-digital PLL for cellular mobile phones in 28-nm CMOS with -55 dBc fractional and -91 dBc reference spurs," IEEE Trans. Circ. Syst. I, vol. 65, no. 11, pp. 3756-3768, 2018. doi: 10.1109/TCSI.2018.2855972.
- [9] H Wang and FF Dai, "A 14-Bit, 1-ps resolution, two-step ring and 2D Vernier TDC in 130nm CMOS technology," in Conf Rec IEEE ESSCIRC, 2017. doi: 10.1109/ESSCIRC.2017.8094546.
- [10] KL Chan et al., "A 32.75-Gb/s voltage-mode transmitter with three-tap FFE in 16-nm CMOS," IEEE J Solid-State Circuits, vol. 52, no. 10, pp. 2663-2678, 2017. doi: 10.1109/JSSC.2017.2714180.
- [11] A Muntean, E Venialgo, S Gnecchi, C Jackson and E Charbon, "Toward a fully digital state-of-the-art analog SiPM," in Conf Rec IEEE Nucl Sci Symp, 2017. doi: 10.1109/NSSMIC.2017.8533036.
- [12] F Nolet et al., "A 2D proof of principle towards a 3D digital SiPM in HV CMOS with low output capacitance," IEEE Trans Nucl Sci, vol. 6, no. 4, pp. 2293-2299, 2016. doi: 10.1109/TNS.2016.2582686.
- [13] F Yang and PKT Mok, "A 65nm inverter-based low-dropout regulator with rail-to-rail regulation and over –20dB PSR at 0.2V lowest supply voltage," in Conf Rec IEEE ISSCC, 2017. doi: 10.1109/ISSCC.2017.7870283.

# D. Photo-detectors and readout electronicsD4. Perspectives on wave sampling electronics for fast timing applications

Dominique Breton

# I. Status

Time stamping with picosecond (ps) accuracy is an emerging technique opening new fields for particle physics and medical instrumentation. It indeed permits the localization of vertices with a few millimetres precision, thus helping associating particles coming from a common primary interaction even in a high background. It can also be used for particle identification based on time-of-flight techniques. The progress in ultra-fast digitizers (including high-end oscilloscopes) demonstrated that picosecond timing accuracy can be reached simply by sampling the detector signal at high rate and extracting time information by interpolation of the samples located in the leading edge of the signal [1]. Obviously, if sampled fast enough with respect to signal bandwidth, the waveform contains the full information and thus permits optimizing the timing extraction algorithm during or even after data taking. Moreover, it also permits extracting other useful parameters like amplitude, charge, or pulse width.

Fast waveform digitizers as well as oscilloscopes are usually based on standard analog to digital converters (ADCs), which can be interleaved in order to virtually increase their sampling frequency. As evoked above, the digitized waveform can be used to extract time information but the sampling rates required for high precision measurement on fast signals sit far above 1 GS/s. Consequently, the huge local data rate per channel at the output of the ADCs (>> 10 Gbits/s) becomes a real problem, if not a showstopper, especially for large scale systems.

This is not the case for the pure TDCs, which are commonly used for time measurement in physics experiments. They are designed either in the form of dedicated ASICs or integrated inside high-end FPGAs. Here, the information is concentrated into a simple digital integer value, thus reducing drastically the quantity of information, which is adequate for large scale measurements. But TDCs do not provide information on waveform, except under the derivate form of ToT for those able to measure both edges of the signal. Anyhow, in this case, the precision on the amplitude or charge of the signal remains poor.

# II. Current and future challenges

The pure digital TDCs are usually based on the association of a coarse time counter running on the main clock and of DLLs interpolating the latter (see figure 1). In order to improve the resolution, the DLLs can be smartly interleaved, introducing a third stage for the fine measurement [2]. Resolution is given by the DLL step but it is usually limited by stability of calibration or environmental effects. Actually, the weak point of the TDC is to have a strictly digital input, which means that a discriminator has to be present to transform the analog signal into digital. This discriminator introduces additional jitter and residues of time walk, which is the dependency of the threshold crossing time on pulse amplitude, then enter the game. Thus, the overall timing resolution is degraded to the quadratic sum of the discriminator and TDC respective timing resolutions, making it difficult to go below 20 ps rms. Moreover, the power consumption of the discriminator necessary to reach good timing performance is usually high since it has to be fast and precise and to externally drive the TDC inputs. Another characteristic of a TDC is that each channel is self-triggering. This associated with the small amount of data per hit permits reaching high front-end counting rates (>> MHz). But in presence of large counting noise like with Silicon Photo-Multipliers (SiPM's), the hit rate may become saturated by noisy hits. Dedicated buffers are thus usually present at the TDC output for selecting hits based on an external trigger system like in the large particle physics experiments where the first level general trigger sorts the events.

In order to get both waveform and time information, time measurement can be based on a mix of an analog memory and a FPGA (see figure 2). Fast analog memories using Switched Capacitor Arrays (SCAs) nicely fit this scheme especially in terms of power, space and money budgets [3], [4], [5]. They sample the input signal, which can now be analog and permit performing an interpolation of the samples recorded on the latter. The discriminator is not anymore in the critical timing path. Time information is given by association of the Timestamp Counter (few ns step), of the DLL locked on the clock to define region of interest (100 to a few 100's picoseconds minimum step), and finally of the samples of the waveform: their interpolation will give a precision of a few picoseconds rms. This requires a precise calibration of the Time Integral Non-Linearity. This ultimate time resolution can be reached even on small analog signals (a few tens of millivolts). Another advantage of recording the waveform resides in cases where the shape of the detector signal (especially the leading edge) carries extra information in addition to direct measurements (time, amplitude, etc.). The main drawback of the SCAs is their readout dead-time (a few tens to ~100 µs depending on the number of samples read), which becomes a limitation at high rates. Moreover, the channels are usually not independent and commonly triggered and readout like in an oscilloscope.

In order to take benefit of both waveform sampling and TDC structure, a new concept was introduced in 2009: the Waveform TDC [6] (see figure 3).

Here, an analog memory is added in parallel with the delay line of the TDC. Moreover, the discriminator and the ADC are also integrated. This allows recording short waveform slices including the leading edge. Different methods can be then be used for time extraction like Constant Fraction Discrimination (CFD) or Cross-Correlation (CC) [7], [8]. A main difference with SCAs is that all the channels are now independent. TOT measurement can also be integrated, which is especially useful for signals longer than the sampling window.

Integrating the ADC inside the ASIC permits reducing the cost and power consumption and providing only digital data for the acquisition chain. This of course requires a very fast and parallel conversion (100 ns to 1  $\mu$ s), which could otherwise become the main limitation for dead-time like for standard analog memories. Dealing for instance with the number of bits of the conversion when using a Wilkinson ADC is a way to adapt its duration to the hit rate requirement. Figure 4 shows the effect of the number of bits used for the conversion on the Time Difference Resolution (TDR). For instance, a TDR of 10 ps rms can be reached with pulses of 50 mV converted over 8 bits.

Even if greatly reduced with respect to SCAs, instantaneous dead time remains a limitation. It can be drastically decreased by alternatively switching between two or more analog memories to sample the same input signal, with a penalty on the silicon area.

Like a standard TDC, the Waveform TDC is natively self-triggered on each of its channels. This may produce very large hit rates, which may cause a saturation of the output buffers, especially since the waveforms have to be extracted (partially or in totality) together with the time information. In order to reduce the dataflow, it is necessary to filter the good events before conversion. A central trigger located in the ASIC can then help defining trigger conditions and drastically reducing the hit rate. Moreover, providing the adequate signals out of the chip permits performing a second level trigger based on smarter detector conditions and increasing the counting noise rejection by a huge factor.

Noise filters can also be based on the characteristics of the signals as produced by the different detectors. For instance, a real time filter based on the TOT has been implemented in the SAMPIC/SAMPET chip family. When used with signals issued from crystals and SiPM's, it permits rejecting above 99 % of the dark count noise from the SiPM's.

The electronics chain of a TOF-PET scanner clearly resembles that of a particle physics detector. This is natural since the scanner is a cylindrical gamma photon detector where one looks for precisely determining the geographical origin of the two photons. The main

difference sits in the destination of the recorded data and the way they are interpreted. The main challenges when developing such electronics systems for ps level measurement are the following:

- High channel density requiring a very compact and low power acquisition chain.
- High quality clock distribution.
- Analog crosstalk between channels which introduces bias for timing. This is true mostly for neighbouring channels receiving signal at the same time, which has to be avoided if possible.
- Time INL of the DLL's driving the sampling. The latter must be calibrated properly ondetector and correction can be applied offline [9], [10].
- Electronics noise which translates into jitter. Signal over Noise Ratio has to be as high as possible.

## III. Concluding remarks

In summary, signal waveform obviously contains the full information. Fast timing means large analog signal bandwidth and high sampling rates but this implies huge dataflow levels. The problem is to try keeping only the good information. In the case of high-end oscilloscopes, trigger is performed on digital data thus the use of fast ADC's is mandatory. Moreover, all types of calculation can be performed online on the data flow. But their cost limits their use to a few channels. "Standard" analog memories nicely replace ADC's in most cases, but dead-time remains their main limitation at high rates. This is not the case of TDC's but they do not provide the signal shape. The Waveform TDC seems to be an adequate compromise in face of all these constraints.

Actually, time measurement at the 10 ps level is becoming a requirement not only for TOF-PET scanners, but also for high energy physics experiments facing extremely high luminosity levels. There, the hit rates (>> MHz per channel) associated with the channel densities impose new challenges for waveform sampling and real time feature extraction. New architectures have to be imagined, taking benefit of the knowledge of the particle arrival time when used on colliders.

As said above, whatever the application, it is mandatory to find ways to reject the wrong events as early as possible in the readout chain in order to keep the dataflow at a reasonable level.

## Acknowledgements

The author wishes to thank Eric Delagnes and Jihane Maalmi for their fruitful contribution to this paper.

Figures

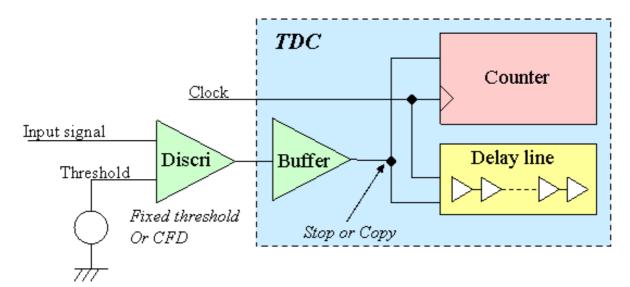


Figure 1: The usual implementation of a pure TDC.

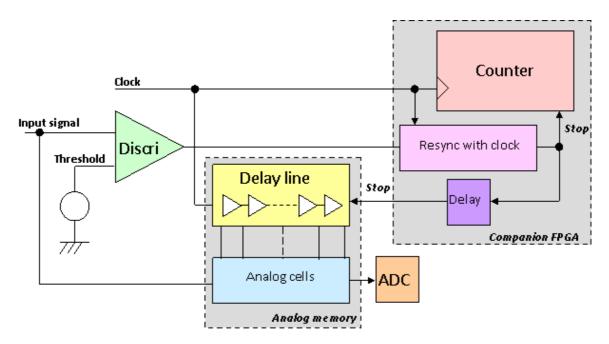


Figure 2: Principle of a TDC based on a fast analog memory and a FPGA.

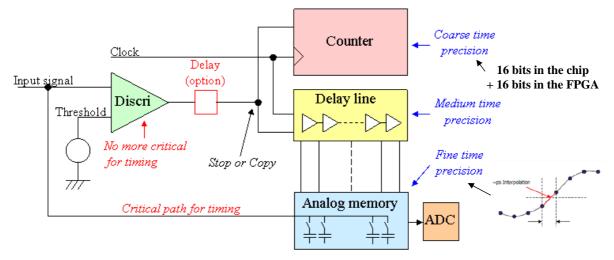


Figure 3: Principle of a Waveform TDC.

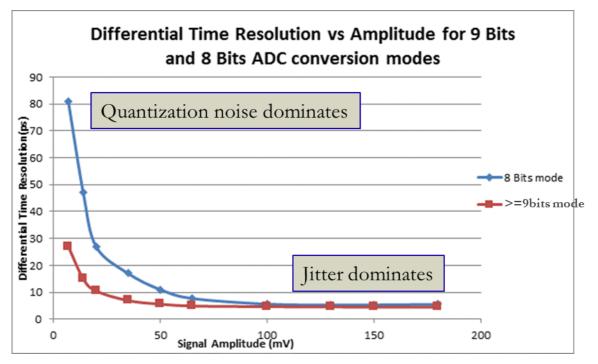


Figure 4: Time Difference Resolution [ps rms] vs. Amplitude as measured with the SAMPIC Waveform TDC.

- J-F Genat, GS Varner, F Tang and HJ Frisch, "Signal Processing for Pico-second Resolution Timing Measurements," Nucl Instrum Methods A, vol. 607, pp. 387-393, 2009. doi: 10.1016/j.nima.2009.05.193.
- [2] L Perktold and J Christiansen, "A multi-channel time-to-digital converter asic with better than 3 ps rms time resolution," J Instrum, vol. 9, C01060, 2009. doi: 10.1088/1748-0221/9/01/C01060.
- [3] J Vandenbroucke, K Bechtol, S Funk, A Okumura, H Tajima and GS Varner, "Development of an ASIC for Dual Mirror Telescopes of the Cherenkov Telescope Array", arXiv.org > astro-ph > arXiv:1110.4692, 2011.
- [4] S Ritt, "Design and performance of the 6 GHz waveform digitizing chip DRS4," in Conf Rec IEEE Nucl Sci Symp, 2008. doi: 10.1109/NSSMIC.2008.4774700.
- [5] D Breton, E Delagnes, J Maalmi and P Rusquart, "The WaveCatcher family of SCA-based 12-bit 3.2-GS/s fast digitizers," in Conf Rec IEEE Real-Time, 2014, pp. 1-8, 2014. doi: 10.1109/RTC.2014.7097545.
- [6] E Delagnes, D Breton, H Grabas, J Maalmi and P Rusquart, "Reaching a few picosecond timing precision with the 16channel digitizer and time stamper SAMPIC ASIC," Nucl Instrum Methods A, vol. 787, pp. 245-249, 2015. doi: 10.1016/j.nima.2014.12.042.

- [7] D Breton, V De Cacqueray, E Delagnes, H Grabas, J Maalmi, N Minafra, C Royon and M Saimpert, "Measurements of timing resolution of ultra-fast silicon detectors with the SAMPIC waveform digitizer", Nucl Instrum Methods A, vol. 835, pp. 51-60, 2016. doi: 10.1016/j.nima.2016.08.019.
- [8] E Delagnes, "What is the theoretical time precision achievable using a CFD Algorithm?," arxiv.org/abs/1606.05541, 2016.
- [9] D Breton et al., "Picosecond time measurement using ultra-fast analog memories," in Proc of TWEPP-09, Paris, France, p. 149, 2009.
- [10] D Stricker-Shaver, S Ritt and BJ Pichler, "Novel calibration method for switched capacitor arrays enables time measurements with sub-picosecond resolution", IEEE Trans Nucl Sci, vol. 61, no. 6, pp. 3607-3617, 2014. doi: 10.1109/TNS.2014.2366071.

# **D.** Photo-detectors and readout electronics **D5.** Perspectives on 3D electronics for fast timing applications

Jean-François Pratte

## I. Status

1. Introduction: 3D digital SiPM for TOF-PET with sub-10 ps FWHM CTR

A 3D digital SiPM is a photon-to-digital converter with embedded digital signal processing. Thanks to the 3D integration of a single photon avalanche diode (SPAD) array with the CMOS readout integrated circuits, as shown in figure 1, a high photosensitive fill factor can be achieved while still integrating advanced circuit functionalities. For instance, reaching the 10 ps goal not only requires a good SPAD technology, but also TDCs with the required timestamp resolution and precision. Can a SPAD and the required readout for sub-10 ps FWHM CTR be integrated in 2D with negligible jitter in signal transit time from the SPADs to the TDC(s) while maximizing photosensitive fill factor? The answer is no and that is why 3D integration is the key.

This contribution focuses on the photo-detector and electronics with a perspective on 3D vertical integration (the scintillator and light transport are not discussed, but obviously of capital importance for the sub-10 ps challenge). In the following sections, we are addressing the reasons why 3D digital SiPM is unavoidable to reach sub-10 ps FWHM CTR.

2. 2D vs 3D Integration and the Digital Nature of SPADs

A SPAD is a Boolean detector by nature. In a typical (*i.e.* analog) SiPM, the charge produced by each SPAD is summed passively, followed by a preamplifier stage, shaping amplifier and an analog-to-digital converter. Basically, the digital information is translated to the analog world, and then digitized again. This is where 3D digital SiPM is a disruptive technology for PET aiming at 10 ps. Each SPAD is read out individually one-to-one by a CMOS quenching circuit, which acts like a leading-edge discriminator receiving the charges from the SPAD with a signal-to-noise ratio greater than  $10^3$ . The trigger generated by the quenching circuit is now a digital bit to be time stamped. It is worth mentioning that SPAD-to-SPAD fluctuations are mitigated by the one-to-one coupling for photon counting. Although, like in any type of SiPMs, the SPAD single photon time resolution (SPTR) will be impacted by SPAD-to-SPAD fluctuations, such as the excess bias voltage (the difference between the bias point and the breakdown voltage). Finally, thanks to 3D integration, each SPAD channel is well matched to all the others (*e.g.* the routing), contributing to a better single photon timing resolution.

## II. Current and future challenges

1. The Benefits of 3D Integration for the 10 ps Challenge

3D vertical integration is a "more than Moore" solution to address the evolution pace of microelectronics [1] and is extensively used in the flash memory market [2]. Another area that benefits from the outcomes of the 3D integration processes is the advancement of imaging devices, where a detector tier is stacked above a CMOS readout circuit tier, such as those in smartphones and tablets [3]. Having in mind the purpose of having an array of sensors read out individually, 3D integration offers many advantages over other solutions in 2D, where the SPAD and the CMOS share the same process.

First and foremost, 3D integration decouples the process choice for the SPAD array and the CMOS readout circuit. For instance, the group of the University of Sherbrooke is developing with Teledyne-DALSA Semiconductor Inc. new SPAD arrays in a custom process to achieve the design criteria presented in section 4 [4]. In parallel, from an electronic perspective, we develop readout circuits in 180 nm and 65 nm CMOS processes for different detector systems. The CMOS technologies were carefully selected with respect to their relative requirements.

Secondly, in TOF-PET imaging, the imaging sensor must have a high photosensitive fill factor (and photo-detection efficiency - PDE) in order to detect the few emitted prompt photons (hot intra-band, Cerenkov, etc.) [5]. To this end, 3D is key as it maximizes the SPAD fill factor on the top tier without loosing any active area for the electronics. The final fill factor depends on the presence and size of trenches as well as the area required for the through silicon vias, if they are used.

Finally, because the CMOS electronics is on a dedicated tier integrated under the SPAD array (figure 1), it is devoted to reading out the SPAD array and performing digital signal processing. To reach sub-10 ps, the timing jitter of every element in the readout chain counts. Hence, as it will be discussed in section 5, the CMOS process is chosen to enable the required functionalities, for instance a TDC with sub-10 ps precision and the required digital signal processing. However, the 3D approach brings a non-fundamental drawback that is the engineering challenge of developing a 3D compatible SPAD array to be bonded with CMOS circuits. Also, the cost for prototyping can be a challenge.

## 2. The SPAD array

The SPAD array has to be optimized for the wavelengths of interest in PET. Examples: LaBr<sub>3</sub> at 360 nm and 380 nm; LSO at 420 nm; BGO at 505 nm [6], [7] and Cerenkov radiation with a  $1/\lambda^2$  spectrum [8]-[10].

This imposes an important design choice: the SPAD array has to be front-side illuminated. Indeed, front-side illumination is the proper choice for visible down to UV photons. On the opposite, backside illumination silicon detectors have a sensitivity spectrum toward 800 nm, in the near-infrared.

Strict characteristics for the SPAD and the SPAD array are required to reach sub-10 ps. The avalanche must rely mainly on photo-generated electrons (not the holes). The drift transit time to the high field region for those photoelectrons must be minimized and uniform within the SPAD cell. The same rule applies for the charge collection path within a SPAD and across the array. The SPAD breakdown voltage also needs to be as uniform as possible. Finally, the SPAD junction profile must minimize the avalanche statistics (*i.e.* thin and strong E-field design).

A good SPAD array also has trenches for optical and electrical isolation between each SPAD, at the cost of photosensitive fill factor.

3. Electronics

A major advantage of 3D vertical integration is that a full tier of the 3D digital SiPM is dedicated to the microelectronic circuits. It enables the integration of circuit blocks with performance that cannot be reached with 2D digital SiPM. Here are some key examples. First, the quenching circuit needs to sense the avalanche. In typical 2D digital SiPM for PET where the photosensitive fill factor is maximized, a simple inverter is used as the discriminator because of its limited real estate. Considering that SPAD-to-SPAD excess bias voltage can vary, this leads to input signals with amplitude variations with a direct impact on the propagation delay and the timing jitter. The impact is that the propagation delay will vary as well as the jitter if the slope of the signal coming from the SPAD varies. The convoluted impact of the propagation delay and the jitter lead to an overall greater jitter, which is not desired for the 10 ps goal. Instead, thanks to the large real estate available, a comparator or an operational amplifier in open loop configuration, can be implemented in the ASIC. This enables to set the discrimination point to the optimal value to minimize jitter and propagation delay. This is mandatory for sub-10 ps performances. We have reported comparator-based quenching circuit with measured jitter in the order of 4 ps FWHM, which has a negligible impact on the single photon timing resolution [11].

Another example is the TDC. It is well known from recent works that a PET detector should

be able to timestamp the firsts prompt and/or scintillation photons [12], [13], [5]. To do so, a detector with parallel and independent processing of each SPAD is required to get as many as possible of these early photons time stamped [14]. Thanks to the 3D architecture, it is possible to integrate one TDC per SPAD. For instance, we integrated one vernier ring oscillator-based TDC per SPAD. We also implemented a solution where one TDC is shared by four SPADs with balanced routing, minimizing the common mode noise due to the ring oscillators, leading to improved TDC precision. The TDC must have a precision (jitter) below the targeted value of 10 ps, a small footprint, be low power and be able to withstand the expected event rate per SPAD [15]. To the authors' knowledge, this cannot be done with a 2D digital SiPM and 3D is required.

Finally, all of the timestamps acquired by the TDC need to be processed. So again real estate is required for the digital signal processing. As seen in figure 2, the TDCs binary codes are converted to timestamps. Then, to reach sub-10 ps, it is mandatory to perform uniformity correction, which includes routing delay variations (signals and clock tree), TDCs non-uniformity, etc. In this ASIC, the uniformity correction is applied with a 1 ps resolution. Then, the next steps are to sort the timestamp chronologically and perform a dark count filter. Finally, digital signal processing is performed, for instance the Best Linear Unbiased Estimator was used to extrapolate the absolute timing of the event [14], [16].

Note that in 2D digital SiPM, electronic circuits can be integrated on the periphery of the SPAD + quenching circuit array. This represents a dead area and care should be taken when comparing results as far as how the fill factor is defined.

## III. Concluding remarks

The 3D digital SiPM is a promising way to reach sub-10 ps CTR for TOF-PET scanners. There are no fundamental limitations to produce such a detector. The main challenges are related to the engineering of the 3D SPAD array integration and R&D cost. That said, if we want to reach sub-10 ps CTR and provide PET scanners with increased image contrast and/or lower radiotracer dose and/or greater daily patient throughput in hospital imaging centres, 3D digital SiPM is definitely a solution.

## Acknowledgements

The author would like to acknowledge the contribution of the following colleagues for there contribution to this paper and 3D digital SiPM: Serge A. Charlebois, Henri Dautet, William Lemaire, Frédéric Nolet, Samuel Parent, Nicolas Roy, Réjean Fontaine and the Groupe de Recherche en Appareillage Médical de Sherbrooke.

# Figures

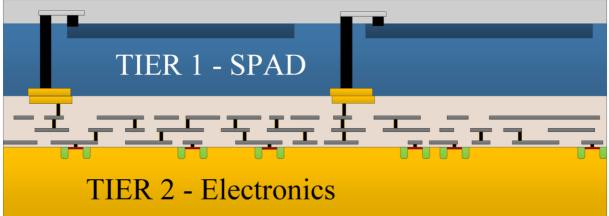


Figure1: Example of a 3D digital SiPM. The top tier is composed of a SPAD array, while the bottom tier contains the readout electronics. In this example, a through silicon via (TSV) is used to interconnect one-to-one each SPAD with its readout channel. This device is front-side illuminated.

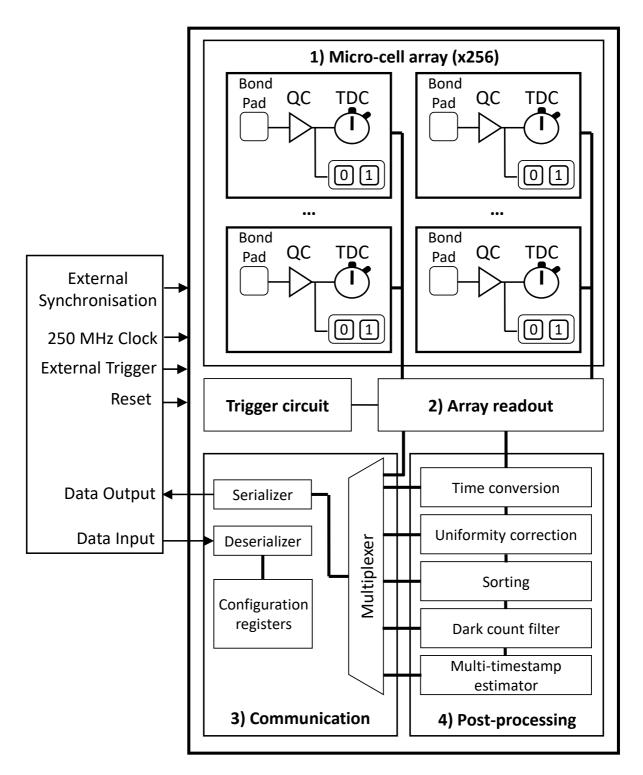


Figure 2: Implementation principle of a 3D digital SiPM aiming at 10 ps PET coincidence timing resolution. Block diagram of a 3D digital SiPM implemented in Sherbrooke [16].

- GE Moore, "Cramming more components onto integrated circuits," in Proc IEEE, vol. 86, no. 1, pp. 82-85, 1998. doi: 10.1109/JPROC.1998.658762.
- [2] Samsung now has an 8TB SSD thanks to 3D memory tech, https://www.engadget.com/2018/06/21/samsung-now-hasan-8tb-ssd-thanks-to-3d-memory-tech/, accessed: 2018-08-09.
- [3] SONY develops next-generation back-illuminated CMOS image sensor, which embodies the continuous evolution of the camera, https://www.sony.net/SonyInfo/News/Press/201201/12-009E/index.html, accessed: 2018-08-09.

- [4] S Parent, M Côté, F Vachon, R Groulx, S Martel, H Dautet, AS Charlebois and J-F Pratte, "Single photon avalanche diodes and vertical integration process for a 3D digital SiPM using industrial semiconductor technologies," in Conf Rec IEEE Nucl Sci Symp, 2018.
- [5] P Lecoq, "Pushing the limits in time-of-flight PET imaging," IEEE T Radiat Plasma Med Sci, vol. 1, no. 6, pp. 473-485, 2017. doi: 10.1109/trpms.2017.2756674.
- [6] C Fiorini, A Gola, M Zanchi, A Longoni, P Lechner, H Soltau and L Struder, "Gamma-ray spectroscopy with LaBr:Ce scintillator readout by a silicon drift detector," IEEE Trans Nucl Sci, vol. 53, no. 4, pp. 2392-2397, 2006. doi: 10.1109/TNS.2006.878274.
- [7] SR Cherry, JA Sorenson and ME Phelps, "Physics in nuclear medicine e-Book," Elsevier Health Sciences, 2012.
- [8] PA Cherenkov, "Visible emission of clean liquids by action of radiation," Doklady Akademii Nauk SSSR, vol. 2 p. 451, 1934.
- [9] L Fülöp and T Biró, "Cherenkov radiation spectrum," Int J Theor Phys, vol. 31, no. 1, pp. 61-74, 1992. doi: 10.1007/BF00674341.
- [10] SI Kwon, A Gola, A Ferri, C Piemonte and SR Cherry, "Bismuth germanate coupled to near ultraviolet silicon photomultipliers for time-of-flight PET," Phys Med Biol, vol. 61, no. 18, L38-L47, 2016. doi: 10.1088/0031-9155/61/18/L38.
- [11] F Nolet, S Parent, N Roy, M-O Mercier, SA Charlebois, R Fontaine and J-F Pratte, "Quenching circuit and SPAD integrated in CMOS 65 nm with 7.8 ps FWHM single photon timing resolution," Instruments, vol. 2, no. 4, 19, 2018. doi: 10.3390/instruments2040019.
- [12] P Lecoq, M Korzhik and A Vasiliev, "Can Transient Phenomena Help Improving Time Resolution in Scintillators?," IEEE Trans Nucl Sci, vol. 61, pp. 229-234, 2014. doi: 10.1109/TNS.2013.2282232.
- [13] Z Liu, S Gundacker, M Pizzichemi, A Ghezzi, E Auffray, P Lecoq and M Paganoni, "In-depth study of single photon time resolution for the Philips digital silicon photomultiplier", J Instrum, vol. 11, P06006, 2016. doi: 10.1088/1748-0221/11/06/P06006.
- [14] W Lemaire, AC Therrien, J-F Pratte and R Fontaine, "Dark count resilient time estimators for time-of-flight PET," IEEE T Radiat Plasma Med Sci, 2019 (in press). doi: 10.1109/TRPMS.2019.2920746.
- [15] N Roy, F Nolet, F Dubois, M-O Mercier, R Fontaine and J-F Pratte, "Low power and small area, 6.9 ps RMS time-todigital converter for 3D digital SiPM," IEEE T Radiat Plasma Med Sci, vol. 1, no. 6, pp. 486-494, 2017. doi: 10.1109/TRPMS.2017.2757444.
- [16] F Nolet, W Lemaire, F Dubois, SG Roy, A Samson, SA Charlebois, R Fontaine and J-F Pratte, "A 256 pixelated SPAD readout ASIC with in-pixel TDC and embedded digital processing for uniformity and skew correction," Nucl. Instrum. Methods A, 2019 (to be published).

#### **E.** Image reconstruction

Johan Nuyts, Suleman Surti, Stefaan Vandenberghe

#### I. Status

#### 1. Introduction

It has been shown in several papers (both for analytical and iterative methods) that the use of TOF information leads to a noise variance improvement proportional to the ratio of the object size to the spatial equivalent FWHM of the TOF kernel [1], [2]. Thus, improving the TOF resolution from 200 to 10 ps will result in an 20 fold reduction of noise variance or a 4.5 fold improvement in signal to noise ratio. It is also known that as TOF resolution improves, the angular sampling requirements can be relaxed [3]. In the extreme case of 10 ps TOF resolution, the sampling is already sufficient if every voxel is sampled by at least one line of response (LOR), a condition which can be satisfied with very unconventional PET system designs. Finally, TOF increases the information content of the PET data, allowing us to estimate not only the activity distribution, but also the attenuation and/or detector sensitivities from them [4].

2. 10 ps TOF-PET image signal to noise ratio

In PET, while TOF information with good timing resolution helps localize the annihilation event along the line-of-response (LOR), it is not necessary for image reconstruction since non-TOF data acquired along all polar angles provide all the information necessary to reconstruct a tomographic image. As such, TOF provides additional information that enables strong data consistency requirements in the image reconstruction process and hence improved image signal-to-noise properties [1], [2]. This leads to an improvement of the noise variance when going from 200 to 100 ps (factor 2), from 100 to 50 ps (another factor 2) and from 50 ps to 10 ps (another factor 5). This means that for the same statistics, PET scans can be performed faster, more accurately or at lower dose (similar to Total Body PET). This improvement can be combined with a further increase in the axial FOV, leading to potential acceleration of factors up to 200 [5]. As will be discussed below, with 10 ps TOF resolution, the noise in the reconstructed image will be (almost) uncorrelated. This may be an additional benefit, because there is some evidence that human observers may not be dealing optimally with the negatively correlated noise in the current reconstruction images [6].

#### II. Current and future challenges

## 1. 10 ps TOF-PET image reconstruction and sampling

Alternately, this information could be used to reduce object sampling requirements during image reconstruction and still obtain quantitative, tomographic images. As shown previously [3], TOF information leads to a reduction in the minimum number of angular samples needed to reconstruct an object -  $180/\tan^{-1}(\Delta x/FWHM_{TOF})$ , where  $\Delta x$  is the voxel size and  $FWHM_{TOF}$  is the timing resolution. Hence, for sinogram based image reconstruction techniques, good TOF resolution allows for a significant reduction in the number of angular bins (transverse mashing) in otherwise very large and sparse sinograms, leading to faster image reconstruction. Through simulations and limited experimental studies it has also been shown that a small group of detectors can be used for clinical whole-body PET in order to reduce scanner cost by either distributing the detectors evenly along a detector ring with gaps between individual detectors or by having an incomplete ring with less than 180 angular coverage [7], [8]. The idea of imaging with a limited angular coverage system is appealing for dedicated PET imaging devices where there may be a specific need for gaps in the detector ring. This idea has been evaluated for dedicated breast PET where two PET detectors can be used to image the breast in a flexible geometry [9], and finally in proton beam therapy where

in-beam PET designs can be used to verify the proton beam range [10], [11]. In figure 1, we show simulation results from a dedicated breast PET system under development that will be part of a combined PET/DBT (digital breast tomo-synthesis) system in a single gantry that provides co-registered PET and DBT images. The goal of the PET system is to image a compressed breast in the same geometry as the DBT unit, thereby requiring a stationary PET design with dual panel detectors. Images are shown for non-TOF, 300 ps TOF, and 10 ps TOF image reconstruction. The non-TOF image has significant distortions in the shape and distribution of activity due to limited angular coverage of this system. With 300 ps timing resolution, these distortions are reduced in the TOF image but there is some non-uniformity still present in the background and the spheres are elongated along the y-direction. While the current system is being developed with detectors that provide 300 ps timing resolution, figure 1 clearly demonstrates the significant advantage in using detectors that provide 10 ps timing resolution. The image shape and background distribution for the 10 ps TOF image is almost the same as the simulated object. The spheres still show an elongation orthogonal to the detector plane (y-direction) but it is reduced when compared to the non-TOF image. The residual sphere elongation in the y-direction is due to a TOF blur that is introduced from the unknown depth-of-interaction (DOI) of the 511 keV photons within the detector. In these simulations the crystals are 15 mm thick (width is 1.5 mm, same as the TOF resolution), and without DOI information, even directly incident photons on the crystal surface will have an uncertainty introduced along their TOF direction. These results indicate that 10 ps timing resolution can enable generation of artifact-free images provided that very good DOI information (equivalent to 10 ps timing resolution, i.e. 1.5 mm) is available. Without DOI information there will be minimal impact in a lesion detection task, but some loss in quantitation tasks is expected due to degraded spatial resolution perpendicular to the two detectors. The degraded spatial resolution may be compensated for with point-spread-function (PSF) modelling techniques in either data or image space during image reconstruction [12]. In the following, we will consider an effective (including DOI effects) TOF resolution of 10 ps, for which the sampling requirements are equal to one angle, as discussed below. These results indicate that with such detectors, one could conceive development of whole-body PET scanners with two long, opposing flat detector plates that are stationary – enabling dynamic total-body PET imaging [5] with easy access to the patient for arterial sampling or to reduce claustrophobia. This system would also avoid the acquisition of LORs with high attenuation, which would benefit its sensitivity.

Besides the statistical improvement and the relaxed angular sampling requirements, there are additional effects. The presence of TOF information makes image reconstruction a local problem, which has limited influence from events at more than one TOF-FWHM. This local effect leads to more uniform convergence and reduces the influence of missing data, errors in normalization and attenuation correction on the image quality of the reconstructed image. Errors stay more local and do not propagate as far as in regular TOF-less emission tomography. In the limit of 10 ps each voxel in image space is measured from a limited selection of detector pairs with a certain TOF difference. Therefore conventional reconstruction is not really required anymore for a 10 ps system (no forward and backprojection is needed) and an error in detection space (or object attenuation) will only affect the points locally along the LOR. Quantitative reconstruction is however more than forward and back-projection in iterative methods or filtering and back-projection in analytical algorithms. The complexity of quantitative reconstruction (both in optimizing and speeding up) is often not a problem of (back)-projection, but rather a problem of correcting for effects like scatter, contamination and detector non-uniformities. Even with a 10 ps systems corrections for random, scatter, attenuation and detector non-uniformities will still need to be implemented to get a quantitative image. In a non-TOF PET scanner these errors get more distributed over the whole image. One should understand that an error in a certain pair of detectors in a 10 ps system will not have a direct impact on the image, but will likely have a major impact on one LOR and maybe even one voxel. So a bit similar to proton therapy in radiation therapy, the technique will be more local, but also errors will be more local and may impact diagnosis (*e.g.* create local artefacts or even small hotspots). So it may still be good (even in a 10 ps system) to look at both images reconstructed with and without using the TOF information (at least if the system has complete angular sampling for non-TOF imaging). As discussed below the use of TOF makes 3D PET even more redundant and with sufficiently high temporal resolution also enables to find unique solutions for attenuation and emission estimation (up to a constant). Interesting ideas are to reconstruct even positronium lifetime using TOF information [13].

#### 2. 10 ps TOF-PET image reconstruction

A PET system with a time resolution of 10 ps identifies the location of the annihilation along the projection line with an accuracy of 1.5 mm. The measurement no longer consists of projections, and therefore, reconstruction from projections is no longer needed [14]. In the following the simplification of the image reconstruction task is illustrated for a few different cases. A 10 ps time resolution offers many interesting opportunities. One of these is the joint estimation of the attenuation and/or detector pair sensitivities, which is briefly discussed as well. Many reconstruction algorithms for PET have been published. In the following, we only consider maximum-likelihood (ML) reconstruction.

#### a. Ideal case: no more reconstruction

The maximum likelihood algorithm maximizes the (logarithm of the) likelihood of the observed data, given the reconstruction image, assuming that the uncertainty on the data is entirely due to Poisson noise. After dropping terms independent of the reconstruction image, this can be written as

$$\hat{\lambda} = \underset{\lambda}{\operatorname{argmax}} L(y, \lambda) \tag{1}$$

$$L(y,\lambda) = \sum_{i,t} y_{i,t} \ln \bar{y}_{i,t} - \bar{y}_{i,t} \text{ with } \bar{y}_{i,t} = \sum_{j} n_i a_i c_{i,t,j} \lambda_j + s_{i,t} + r_i,$$
(2)

where  $\lambda_j$  is the activity in voxel *j*,  $y_{i,t}$  the measurement for line of response (LOR) *i* and TOFbin *t*,  $c_{i,t,j}$  is the sensitivity of data bin (i,t) to activity at *j*,  $s_{i,t}$  is the contribution from coincidences affected by Compton scatter in the object,  $r_i$  is the randoms contribution, and  $a_i$ and  $n_i$  are the attenuation and detector sensitivity for LOR *i*. The maximum likelihood expectation maximisation (MLEM) algorithm computes the solution iteratively as

$$\lambda_{j}^{(n+1)} = \frac{\lambda_{j}^{(n)}}{\sum_{i,t} c_{i,t,j} n_{i} a_{i}} \sum_{i,t} c_{i,t,j} n_{i} a_{i} \frac{y_{i,t}}{\bar{y}_{i,t}^{(n)}},\tag{3}$$

where the superscript indicates the iteration number.

For a 10 ps TOF-PET, and using small voxels and nearest neighbour interpolation, the TOFbin *t* identifies a single voxel *j* along LOR *i*, which we will write as (i,t) = j. Therefore,  $c_{i,t,j} = \delta_{i,t,j}$ , where  $\delta_{i,t,j} = 1$  if voxel j = (i,t) and zero otherwise. If in addition we (unrealistically) assume that there is no scatter or randoms contribution,  $\lambda_j^{(n)}$  vanishes in (3) and the MLEM update becomes non-iterative:

$$\lambda_j = \frac{\sum_{i,t} \delta_{i,t,j} y_{i,t}}{\sum_{i,t} \delta_{i,t,j} n_i a_i}.$$
(4)

This means that every measured event is put in an image at the location specified by (i,t), after which the image is corrected by dividing every voxel value by the sensitivity of the PET system to activity in that voxel. This involves only a single back-projection along the LORs to compute the denominator. This also shows that without attenuation correction, the reconstruction produces a scaled version of the activity distribution (with position dependent scale), and not a distorted one as is the case for non-TOF and, to a lesser extent, for TOF with "poor" timing resolution.

#### b. Scatter and randoms

When the scatter and/or random coincidences are not ignored, the MLEM update becomes:

$$\lambda_{j}^{(n+1)} = \frac{\lambda_{j}^{(n)}}{\sum_{i,t} \delta_{i,t,j} n_{i} a_{i}} \sum_{i,t} \delta_{i,t,j} \frac{y_{i,t}}{\lambda_{j}^{(n)} + (s_{i,t} + r_{i})/(n_{i} a_{i})}.$$
(5)

This is an iterative algorithm, but in contrast to MLEM for conventional PET systems, this computation is applied to the individual voxels to solve a rather complicated non-linear equation with a single unknown value  $\lambda_j$ . Convergence of (5) would not be very fast, it will be useful to investigate with which optimisation algorithms and/or approximations a performing and fast algorithm can be obtained. As a simple example, treating the reconstruction as a weighted least squares problem (with weight  $\omega_{i,i}$ ) results in a closed form expression

$$\lambda_{j} = \frac{\sum_{i,t} \delta_{i,t,j} \omega_{i,t} n_{i} a_{i} (y_{i,t} - s_{i,t} - r_{i})}{\sum_{i,t} \delta_{i,t,j} \omega_{i,t} (n_{i} a_{i})^{2}},$$
(6)

which only involves two back-projections.

The extreme TOF resolution not only uncouples the reconstruction equations (5), it also strongly affects the features of the scatter contamination. A simple 2D single scatter simulation was done to illustrate how the time resolution affects the scatter point spread function (PSF). Figure 2 shows a result: photon pairs were emitted in the centre of a phantom with a radius of 20 cm, positioned between two PET panels with a length of 40 cm and separated by 50 cm. One of the photons was forced to scatter, randomly selecting the scatter point using the exponential attenuation probability and the scatter angle with the Klein-Nishina expression. The reconstruction assumes that the annihilation was on the line connecting the detection points, computing the distance from the centre of the line from the time arrival difference. For a system with 200 ps time resolution, the scatter PSF is blurred in all directions, but for a 10 ps resolution the PSF is very thin in the TOF-direction. This indicates that at 10 ps, the scatter provides far more information than in current PET systems.

#### c. Resolution recovery

As is the case in current systems, the detector PSF can be included in the system matrix. In this case, multiple voxels j will contribute to a single measurement bin (i,t), and the reconstruction will apply a combined correction for attenuation, scatter and randoms and blurring.

#### d. Joint estimation of attenuation

As shown in [4], the attenuation sinogram can be estimated from the TOF emission data (up to a constant), provided that the tracer distribution has a spatial extent, which is large compared to the TOF-resolution. With a TOF resolution of 10 ps, equivalent to 1.5 mm, this condition should always be satisfied in patient scans. Several joint estimation approaches exist [15]. As an illustration, only simple simulations with the MLAA algorithm of [16] are shown below.

Figure 3 shows results of a simple 2D simulation of a brain scan. The MLAA algorithm jointly reconstructs the activity and attenuation images by maximizing the likelihood of the TOF-emission sinogram. With 10 ps TOF resolution and noise-free data, the reconstructed activity image is perfect. When the data are noisy, the activity image suffers from almost uncorrelated noise, because each pixel is reconstructed from independent data, but there are still residual noise correlations due to noise in the estimated attenuation factors. In contrast, the attenuation image is (implicitly) estimated from a non-TOF attenuation sinogram (determined by the TOF-emission data). As a result, its resolution is determined by the detector resolution, which in this simulation was 3 mm. In addition, the reconstructed of the skull suffers from extra blurring due to limited angle effects, because there are LORs along which there is (almost) no activity. The small calcification inside the brain is reconstructed better because it does not suffer from the limited angle problem. In the presence of noise, the image suffers from correlated, high frequency noise, as is always the case in reconstruction from non-TOF projections.

As mentioned above, with 10 ps TOF resolution, complete angular sampling is no longer needed, because a single detector pair measures the complete activity distribution along the line connecting them. Figure 4 shows a 2D simulation for a PET with large gaps. The joint estimation produces an excellent activity image, but a distorted attenuation image. However, the forward projection of this attenuation image corresponds to the true attenuation for all LORs along which activity was seen (second row of figure 4). Since the attenuation along the other LORs is irrelevant, it produces accurate attenuation correction, up to a global constant. Therefore, attenuation correction will no longer require dedicated devices to measure attenuation coefficients, but methods to estimate the global constant will still be needed.

An alternative joint estimation approach consists in estimating the activity image in combination with the total attenuation along every LOR [17]. In fact, this algorithm estimates the effective sensitivity of every detector pair, provided that that sensitivity is independent of the position along the projection line. Therefore, it estimates the product of the effects of attenuation, geometry, crystal efficiency and dead time, again up to a global scale factor. The performance of this joint estimation should be excellent at 10 ps, enabling correction of attenuation and detector pair sensitivities based on the measured patient data themselves. That in turn would enable accurate reconstruction even for nonconventional PET designs, such as PET systems containing moving detectors.

#### e. Timing calibration

To make optimal use of the TOF resolution, very accurate calibration of the PET system will be necessary, otherwise artefacts will result in conventional reconstruction of the activity and, even more so, in the joint reconstruction of activity and attenuation. We have observed that calibration errors that seem very small compared to the timing resolution can produce significant artefacts in the reconstructed images [18]. This is illustrated in figure 5, where reconstructions were done for a 2D TOF-PET system with 25 ps timing resolution, once with ideal calibration and then again with a systematic error of 3 ps on the TOF-offset calibration. This resulted in errors (relative to the maximum of the image) of up to 21 % for MLEM, 27 % for the MLAA activity and 20 % for the MLAA attenuation images. Such a calibration will typically be done with dedicated calibration procedures, but if necessary, also methods for calibration based on the patient scan data themselves could be used, the accuracy of which is expected to increase with improving timing resolution [18], [19], [20].

#### **III.** Concluding remarks

- The formation of the activity image will become very fast. With 10 ps TOF-PET, joint reconstruction of activity and attenuation will work very well, but even with 10 ps timing

resolution, this requires more complicated (and typically slower) reconstruction algorithms.

- Complete angular sampling will no longer be needed, increasing dramatically the freedom in designing PET systems.
- Joint estimation will perform very well for attenuation correction, eliminating the need for dedicated devices to estimate the attenuation coefficients.
- In addition, joint estimation can be used for estimating the combined effects of attenuation and sensitivity, further increasing freedom in system design.

#### Acknowledgements

Johan Nuyts would like to thank Ahmadreza Rezaei and Georg Schramm for interesting discussions and valuable suggestions. Suleman Surti would like to acknowledge funding support from NIH grant numbers R01-CA113941 and R01-CA196528.

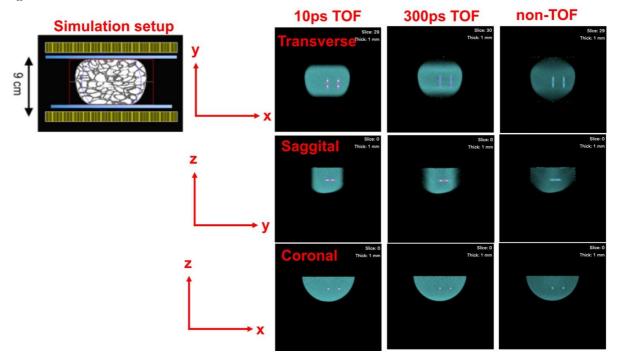


Figure 1: Monte Carlo simulation results for a compressed breast placed between two PET detectors. Schematic on left shows the breast between the two yellow shaded detectors. The breast model shown here has anatomical structures representing varying tissue types that appear identical in a PET image. The reconstructed images on the right show the transverse, sagittal, and coronal view of reconstructed breast using 10 ps and 300 ps timing resolution as well as non-TOF reconstruction. The distortion of the general breast shape along the y-direction is greatly reduced with improved timing resolution. The four 5 mm diameter lesion seen in the transverse image are also better visualized with improved timing resolution leading to improved contrast. All images are scaled to the maximum pixel value in the image. Simulated detector used  $1.5 \times 1.5 \times 15$  mm<sup>3</sup> LYSO crystals without any DOI information.

## Figures

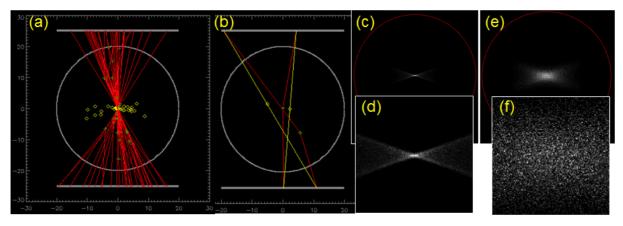


Figure 2: 2D single scatter simulation of a point source in water: (a) and (b): a water sphere between two 10 ps TOF-PET panels, with some photon trajectories shown in red, scatter points in green, assumed LORs and reconstructed points in yellow. An image of the reconstructed single scatters is shown in (c) with a zoom-in in (d). Figure (e) and (f) are same as (c) and (d), but for 200 ps time resolution.

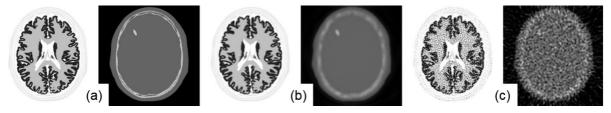


Figure 3: A 2D simulation with 10 ps TOF resolution, (a) the true image, (b) the activity and attenuation images estimated by MLAA from noise free TOF emission data and (c) from noisy data.

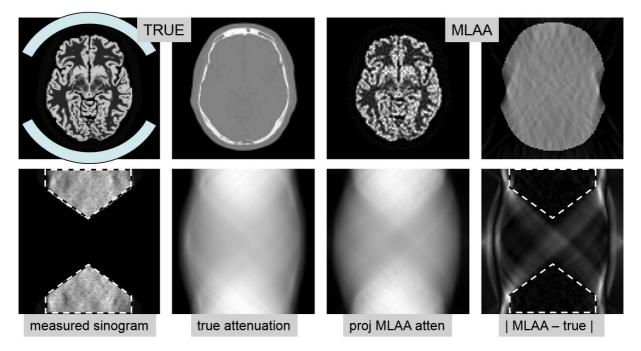


Figure 4: A 2D simulation for a PET with large gaps (where only coincidences involving both detectors are considered). First row: the true activity and attenuation images and the corresponding MLAA reconstructions. Second row: (1) the measured (limited angle sinogram, (2) the true attenuation sinogram, (3) the forward projection of the MLAA attenuation image and (4) the absolute difference between the latter two.



Figure 5: Results of a 2D TOF-PET simulation with a timing resolution of 25 ps and a systematic TOF-offset calibration error of 3 ps. MLEM0 and MLAA0 are the MLEM and MLAA reconstructions without calibration error, MLEM3 and MLAA3 are reconstructions with the 3 ps offset error. Images (a) - (c) are activity reconstructions by MLEM or MLAA, (d) - (e) are reconstructions of the attenuation by MLAA. The error images were multiplied by 4 and then shown at the same scale as the corresponding ideal images.

- [1] S Vandenberghe, E Mikhaylova, E D'Hoe, P Mollet and JS Karp, "Recent developments in time-of-flight PET," EJNMMI Phys, vol. 3, 3, 2016. doi: 10.1186/s40658-016-0138-3.
- [2] T Tomitani, "Image reconstruction and noise evaluation in photon time-of-flight assisted positron emission tomography," IEEE Trans Nucl Sci, vol 28, no. 6, pp. 4582-4589, 1981. doi: 10.1109/TNS.1981.4335769.
- [3] S Vandenberghe, ME Daube-Witherspoon, RM Lewitt and JS Karp, "Fast reconstruction of 3D time-of-flight PET data by axial rebinning and transverse mashing," Phys Med Biol, vol. 51, no. 6, pp. 1603-1621, 2006. doi: 10.1088/0031-9155/51/6/017.
- [4] M Defrise, A Rezaei and J Nuyts, "Time-of-flight PET data determine the attenuation sinogram up to a constant," Phys Med Biol, vol. 57, no. 4, pp. 885-899. 2012. doi: 10.1088/0031-9155/57/4/885.

- [5] SR Cherry, T Jones, JS Karp, J Qi, WiW Moses and RD Badawi, "Total-body PET: maximizing sensitivity to create new opportunities for clinical research and patient care," J Nucl Med, vol. 59, no. 1, pp. 3-12, 2018. doi: 10.2967/jnumed.116.184028.
- [6] AE Burgess, "Visual perception studies and observer models in medical imaging,". Semin Nucl Med, vol. 41, no. 6, pp. 419-436, 2011. doi: 10.1053/j.semnuclmed.2011.06.005.
- [7] S Vandenberghe and I Lemahieu, "System characteristics of simulated limited angle TOF PET," Nucl Instrum Methods A, vol. 571, pp. 480-483, 2007. doi: 10.1016/j.nima.2006.10.139.
- [8] S Surti and JS Karp, "Advances in time-of-flight PET imaging," Phys Medica, vol. 32, pp. 12-22, 2016. doi: 10.1016/j.ejmp.2015.12.007.
- [9] S Surti and JS Karp, "Design considerations for a limited angle, dedicated breast, TOF PET scanner," Phys Med Biol, vol. 53, no. 11, pp. 2911-2921, 2008. doi: 10.1088/0031-9155/53/11/010.
- [10] P Crespo, G Shakirin, F Fiedler, W Enghardt and A Wagner, "Direct time-of-flight for quantitative, real-time in-beam PET: a concept and feasibility study," Phys Med Biol, vol. 52, no. 23, pp. 6795-6811, 2007. doi: 10.1088/0031-9155/52/23/002.
- [11] S Surti, W Zou, ME Daube-Witherspoon, J McDonough and JS Karp., "Design study of an in-situ PET scanner for use in proton beam therapy," Phys Med Biol, vol. 56, no. 9, pp. 2667-2685, 2011. doi: 10.1088/0031-9155/56/9/002.
- [12] S Matej, Y Li, J Panetta, JS. Karp and S Surti, "Image-based modeling of PSF deformation with application to limited angle PET data," IEEE Trans Nucl Sci, vol. 63, no. 5, pp. 2599-2606, 2016. doi: 10.1109/TNS.2016.2607019.
- [13] P Moskal et al., "Feasibility study of the positronium imaging with the J-PET tomography", Phys Med Biol, vol. 64, 055017, 2019. doi: 10.1088/1361-6560/aafe20.
- [14] P Lecoq. "Pushing the limits in time-of-flight PET imaging," IEEE T Radiat Plasma Med Sci, vol. 1, no. 6, pp. 473-485, 2017. doi: 10.1109/trpms.2017.2756674.
- [15] Y Berker and Y Li, "Attenuation correction in emission tomography A review," Med Phys, vol. 43, no. 2, pp. 807-832, 2016. doi: 10.1118/1.4938264.
- [16] A Rezaei, M Defrise, G Bal, C Michel, AM Conti, C Watson and J Nuyts, "Simultaneous reconstruction of activity and attenuation in time-of-flight PET," IEEE Trans Med Imaging, vol. 31, no. 12, pp. 2224-2233, 2012. doi: 10.1109/TMI.2012.2212719.
- [17] M Defrise, A Rezaei and J Nuyts, "Transmission-less attenuation correction in time-of-flight PET: analysis of a discrete iterative algorithm," Phys Med Biol, vol. 59, no. 4, pp. 1073-1095, 2014. doi: 10.1088/0031-9155/59/4/1073.
- [18] A Rezaei, G Schramm, SM Willekens, G Delso, K Van Laere and J Nuyts, "A Quantitative Evaluation of Joint Activity and Attenuation Reconstruction in TOF-PET/MR Brain Imaging," J Nucl Med, vol. 118, 2019. doi: 10.2967/jnumed.118.220871.
- [19] ME Werner and JS Karp, "TOF PET offset calibration from clinical data," Phys Med Biol, vol. 58, no. 12, pp. 4031-4036, 2013. doi: 10.1088/0031-9155/58/12/4031.
- [20] Y Li, "Consistency equations in native detector coordinates and timing calibration for time-of-flight PET," Biomed. Phys. Eng. Express, vol. 5, no. 2, 025010, 2019. doi: 10.1088/2057-1976/aaf756.

# F. 10 ps TOF-PET and beyond F1. Perspectives of 10 ps TOF-PET for simultaneous PET/MRI multimodality

Paul Marsden

#### I. Status

Since the first systems were introduced hybrid PET-MR systems capable of simultaneous PET and MR acquisition have established a role in the clinic and in clinical research. Clinical applications in the brain, heart and cancer have emerged and a wide variety of research protocols have been developed. Despite on-going enthusiasm and the undoubted potential of this technology, take-up has been slower than anticipated. Some of the reasons for this are the difficulty of performing accurate and reliable PET attenuation correction for regions other than the brain, the complexity of combined PET and MR protocols, and the absence, as yet, of any definitive killer applications. Whilst some research protocols do take advantage of the simultaneity of PET and MR acquisition, most clinical protocols simply exploit the convenience of performing both PET and MR acquisitions in a single scanning session.

Most clinical MRI studies are currently performed on 1.5 T and 3 T scanners. Whilst 7 T research systems have been in place now for many years and there are initiatives to explore systems up to 14 T, developments in MR are largely concerned with data processing and faster imaging at 1.5 T and 3 T rather than significant changes to the basic MR scanner configuration. Realising the potential of simultaneous PET-MR will depend more on developments in PET than in MR, and of 10 ps time-of-flight PET would contribute to this in several ways.

## **II.** Current and future challenges

#### 1. Attenuation and motion correction

PET data must be corrected for the effects of attenuation in tissue, metallic implants and prostheses, and MR hardware in the field of view. As MR images do not measure 511 keV attenuation coefficients directly, various schemes have been developed to derive the attenuation data from single or multiple MR sequences, however none of these are ideal, particularly in the torso where there are multiple tissue, air, lung and bone compartments, and the additional complication of respiratory motion.

As described in section E above, accurate TOF information may enable PET data to be accurately and reliably corrected for attenuation from PET data alone which in principle could remove this major hurdle to progress in PET-MR. Joint estimation of activity and attenuation distribution from PET data has been successfully demonstrated on human TOF PET-MR data, with a range of different radiotracer distributions [1]. Due to the limited TOF resolution and SNR of current systems, MR priors are required for the procedure to work robustly, however results are expected to improve dramatically if the TOF resolution can be reduced to 10 ps.

10 ps resolution is likely to result in improved spatial resolution, and (primarily) respiratory motion will become a limiting factor for applications in the body. Whilst there are many image processing approaches to modelling motion, the acquisition of simultaneous MR data remains the primary method of accurately tracking internal organ motion. The relationship between PET attenuation correction and motion is complex, however it appears that TOF, even at current resolutions, serves to mitigate errors due to attenuation map mismatches and motion [2], and so will be key for accurate PET imaging in the presence of complex and non-periodic motion

## 2. Improved PET performance will enable multimodal applications

MR is by nature 'multi-modal', and current PET-MR protocols typically comprise 5-10 different MR sequences performed in parallel with a single (possibly more for short half-life

radionuclides) PET acquisition. The resulting MR images are usually of higher spatial resolution and visually higher quality than the PET images. 10ps temporal resolution will lead to increased PET SNR making the PET image quality more closely match that of the MR. This will help to realise the goal of synergistic PET-MR imaging – *i.e.* obtaining novel additional information from the combination of PET and MR data. For example, 'radiomics' approaches [3] where features related to underlying physiological parameters, or even directly related to patient outcome, are extracted from images using image processing methods, are typically more successful for anatomical MR images than for lower resolution PET. Improved PET spatial resolution will allow voxel-level PET and MR data to be included in a single analysis, and more generally will facilitate AI/deep learning approaches that aim to extract the maximum information from multi-tracer/multi-sequence PET and MR acquisitions.

The improved SNR can also be used to obtain dynamic studies and improved quantification, faster imaging, use of very short half-life radionuclides, lower administered activities and multiple tracers in a single imaging session - all of which can be usefully incorporated into combined PET-MR protocols.

Deep learning and related techniques have been used to achieve very reductions in the injected activity required to obtain diagnostic quality PET scans [4]. Such approaches often make use of complementary MR anatomical images as priors for PET reconstruction. The enhanced image quality obtained with 10 ps PET is likely to further reduce the necessary activity and so make new applications possible

#### 3. Novel scanner configurations

As described above 10 ps resolution mean that the number of angular samples required for accurate PET reconstruction is dramatically reduced. This permits non-traditional PET scanner geometries such as opposing flat plates or large gaps between detectors in the tangential and axial directions. This is of particular interest for PET-MR as it permits novel ways of combining the PET and MR hardware components

Open PET-MR configurations can be envisaged. The 'Paramed' upright MR system [5] creates a horizontal field between two poles so that the subject can sit or stand between them. This allows imaging with the body in normal weight-bearing postures. Two opposing flat panel TOF-PET detectors could readily be added, without compromising access, to allow simultaneous PET-MR acquisition. A similar configuration would combine the open-MR component of an MR-guided hadron therapy set-up with a PET-based beam-monitoring device. A prototype of the former is currently being evaluated [6], whilst PET-based beam monitoring devices are described in section F2 of this article

Total body PET (TBP) scanners up to 2 m long with order of magnitude sensitivity increases are generating great interest. A 10 ps paediatric TBP-MR can be envisaged that could operate with massively reduced radiation dose allowing totally new applications. Again, relaxation of the cylindrical PET constraint may permit innovative solutions for integrating the PET and MR components.

#### III. Concluding remarks

10 ps temporal resolution is likely to contribute to the general utility and adoption of hybrid PET-MR simply due to the improved PET image quality it promises. In addition however, there are several areas, notably attenuation correction and new scanner configurations, where it can provide unique advantages not attainable by other means.

<sup>[1]</sup> S Ahn et al., "Joint estimation of activity and attenuation for PET using pragmatic MR-based prior: application to clinical TOF PET/MR whole-body data for FDG and non-FDG tracers," Phys Med Biol, vol. 63, no. 4, 045006, 2018. doi: 10.1088/1361-6560/aaa8a6.

- [2] G Delso et al., "Effect of time-of-flight information on PET/MR reconstruction artifacts: comparison of free-breathing versus breath-hold MR-based attenuation correction," Radiology, vol. 282, pp. 229-235, 2017. doi: 10.1148/radiol.2016152509.
- [3] P Lovinfosse, D Visvikis, R Hustinx and M Hatt, "FDG PET radiomics: a review of the methodological aspects," Clin Trans Imaging, vol. 6, no. 5, pp. 379-391, 2018. doi: 10.1007/s40336-018-0292-9.
- [4] Liu and J Qi, "Higher SNR PET image prediction using a deep learning model and MRI image," Phys Med Biol, vol. 64, no. 11, 115004, 2019. doi: 10.1088/1361-6560/ab0dc0.
- [5] http://www.fonar.com.
- [6] Schellhammer et al., "OC-0605: First in-beam MR scanner for image-guided proton therapy: beam alignment and magnetic field effects," Radiother Oncol, vol. 127, pp. S318-S319, 2018. doi: 10.1016/S0167-8140(18)30915-0.

# F. 10 ps TOF-PET and beyond F2. Perspectives of 10 ps TOF-PET for range monitoring in hadron-therapy

Katia Parodi

#### I. Status

Already few years after the initial developments of Positron Emission Tomography (PET) for diagnostic nuclear medicine imaging, the method was explored in the context of range monitoring in hadron-therapy. This was pursued both for the verification of stable beam irradiation with protons and heavier ion beams, exploiting the  $\beta^+$ -activation of tissue nuclei and auto-activation of the beam itself (for ion charge  $Z \ge 5$ ) through nuclear fragmentation reactions, as well as for visualization of the stopping position of  $\beta^+$ -radioactive ion (RI) beams directly injected in tissue [1-5]. These different approaches result in a varying strength of the transient PET signal, along with a different degree of correlation between the longitudinal distribution of irradiation-induced  $\beta^+$ -activity and the primary beam penetration depth or range, determining the location of maximum dose (i.e. energy per mass) deposition or Bragg peak. Although the best correlation and strongest PET signal production (at the same dose) is obtained for RI irradiation, therapeutic application of charged hadrons is currently limited to stable proton and carbon ion beams. Therefore, clinical investigations of PET monitoring have been focused on these two ion species, aiming to overcome the long-standing issue of uncertainty in the knowledge of the beam range in-vivo, to promote full clinical exploitation of the high targeting accuracy offered by charged hadrons for therapy [5]. However, most of these studies relied on sub-optimal PET technologies adapted from nuclear medicine imaging, without tailoring the instrumentation to the specific challenges of PET monitoring for hadrontherapy. In particular, in-room and offline imaging  $\approx 2-15$  min after irradiation at standalone PET as well as state-of-the-art PET/CT (computed tomography) full ring scanners suffer from several limitations due to reduced counting statistics, loss of signal from short-lived emitters (e.g. <sup>15</sup>O), co-registration issues due to patient repositioning and washout effects, compromising the correspondence between produced and detected activity. On the other hand, the more challenging in-beam integration of PET instrumentation in the ion beam delivery system typically results in non-conventional designs of limited detection efficiency and compromised imaging fidelity, especially out of the centre of the scanner field of view (FOV) [6]. Moreover, acquisition during actual "beam-on" is typically hindered by the too large radiation background, which is mainly ascribed to penetrating prompt radiation (e.g. photons) released in fast de-excitation processes after nuclear interaction [7]. This background restricts the well-measurable signal to the  $\beta^+$ -decays occurring in the pauses of macroscopically pulsed beam delivery (synchrotron-based facilities) or immediately after end of irradiation (cyclotron-based facilities). Hence, the quality of clinically relevant information is constrained by the reliability of the retrieved  $\beta^+$ -activity, especially in terms of image noise and retained spatial correlation between the reconstructed PET image and the underlying signal production, carrying the desired information on the ion beam range. Additional challenges entail the interpretation of the signal, typically requiring the comparison of the measured PET image with a prediction, taking into account the planned treatment and the time course of irradiation and imaging [5].

## II. Current and future challenges

Latest-generation PET systems tailored to in-beam operation at clinical hadron-therapy facilities have been recently realized and just entered or are about to enter clinical testing. The first system, integrated into the horizontal beam-line of the CNAO (Centro Nazionale di Adroterapia Oncologica, Pavia, Italy) facility, features a dual-head scanner, based on state-of-the-art lutetium fine silicate scintillators coupled to multi-pixel photon counters, enabling

dynamic (with  $\approx 10$  s time resolution to accumulate sufficient statistics) reconstruction of the applied treatment (figure 1) [8]. The system features a dedicated data acquisition designed for collection of usable data also during beam-on time, but the image quality obtained from such intra-spill events is still very poor and those events are currently discarded (e.g. not included in figure 1). The second system, designed for integration at the horizontal beam-line of the HIMAC (Heavy Ion Medical Accelerator in Chiba, Chiba, Japan) facility, features a novel axially shifted full ring "openPET" geometry [9], able to exploit oblique lines of response owing to dedicated four layers depth-of-interaction detectors. In their first whole-body prototype, a relatively slow scintillator (Zr-doped gadolinium oxy-orthosilicate) was favoured to overcome intrinsic radioactivity issues at the expected low counting statistics typical for PET applications to hadron-therapy. Despite the very promising performances reported for both systems, several challenges remain. In particular, none of them yet provides real-time data acquisition and visualization, which would enable competing with the rapidly emerging range verification approaches using the promptly emitted gamma radiation. Moreover, high quality signal is currently limited to decays acquired in pauses of macroscopically pulsed delivery from synchrotron accelerators, requiring prolonging the acquisition for few tens of seconds after end of irradiation for sufficient counting statistics. Finally, none of the systems exploits time-of-flight information nor accommodates additional X-ray CT imaging to account for possible anatomical modifications in the patient model used for attenuation (and scatter) corrections, as well as for morphological co-registration/visualization (cf. figure 1).

#### 1. Advances in science and technology to meet challenges

Frontier research toward realization of extremely fast detectors enabling CTR of 10 ps FWHM will open new avenues in the application of PET to hadron-therapy monitoring. In particular, with real-time data acquisition and a fast detector-encoding scheme, a 10 ps TOFbased localization of the annihilation emission with millimetre accuracy would pave the way to back-projection free, on-the-fly image reconstruction [10]. Hence, ultrafast TOF-PET would enable visualizing the irradiation-induced  $\beta^+$ -activity during its build-up at superior time resolution than current systems, and with substantial image quality improvement in terms of enhanced signal-to-noise ratio (SNR) and reduced limited angle artefacts for unconventional detector designs, as anticipated by extrapolating the findings of figure 2 [10]. The ultrafast timing performance should also enable better identification of the true coincidence events for separation of PET decays from random coincidences originating from the temporally varying prompt radiation background during irradiation (correlated to the radiofrequency-driven beam microstructure [7]), thus opening the perspectives of a truly beam-on imaging at both cyclotron and synchrotron-based facilities. This would in turn enable imaging of extremely short-lived positron emitters (e.g.  $^{12}N$ ), which could be exploited for quasi real-time visualization of the irradiation, as postulated in [11], though at the expense of decreased spatial resolution from their typically high endpoint energy with resulting long positron range. Better temporal classification of the detected events could also ideally enable disentangling the irradiation-induced activity during beam delivery from the decay of longerlived radiotracers accumulated in the tumour, to enable time-resolved tumour tracking simultaneously to range verification [12]. Moreover, TOF information could be used for attenuation correction (cf. section E) [13], to overcome incorrect imaging when up-to-date anatomical information is not available for correction of the acquired data. However, volumetric images of patient anatomy in the treatment position will likely still be needed for proper scatter correction to prevent hampering the accuracy of the reconstructed images, especially in the case of substantial anatomical changes between treatment planning imaging and actual delivery.

All above-mentioned advantages should also apply to the emerging role of small animal

instrumentation for precision image-guided ion irradiation, where ultrafast TOF capabilities could also provide improvements of image quality and effective sensitivity despite the smaller detector distances. When combined with emerging beam monitor systems capable to tag individual particles, the ability of discriminating prompt from (slightly) delayed emissions could also open intriguing perspectives of ultrafast TOF technologies for detection and imaging of PET and prompt gamma (PG) signals, as well as (quasi) simultaneous positron- $\gamma$ emissions [14]. These hybrid detection schemes would enhance the amount of usable information to monitor ion beam delivery, with a fast feedback loop to interrupt improper irradiation or to enable time-resolved dose reconstruction for new adaptive treatment schemes. In case of  $\gamma$ -PET emitters, ultrafast timing could also provide information on the time delay between the single- and annihilation-photons, including effects from positron thermalization and positronium half-life, which are sensitive to the local tissue environment and potentially correlated to treatment response [15].

#### **III.** Concluding remarks

Although all the described perspectives will heavily rely also on improved performance of electronics, data processing and computing, it can be anticipated that on-going advances in ultrafast TOF detector technologies will enable crucial progress in PET and even hybrid PET/PG and  $\gamma$ -PET instrumentation, opening new horizons in hadron therapy monitoring. The latter would not be limited to the already clinically established proton and carbon ion beams, but also to the emerging use of other light ions (*e.g.* helium) for clinical as well as pre-clinical research. Moreover, renewed interest in PET monitoring is also connected to the emerging availability of facilities able to offer high-intensity RI beams in a wide energy range for future pre-clinical and clinical applications [16], which would thus greatly benefit from the prospects of ultrafast TOF-PET for real-time range monitoring and dose reconstruction.

#### Acknowledgements

The author would like to acknowledge Giuseppina Bisogni, Piergiorgio Cerello, Taiga Yamaya and Pawel Moskal for several fruitful discussions on PET monitoring of hadron-therapy.

#### Figures

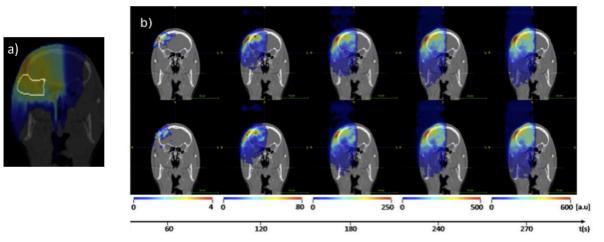


Figure 1: Example of planned dose distribution (a) and dynamically reconstructed PET activation (b) during proton beam delivery in two different treatment fractions (top and bottom raw), as monitored by the new INSIDE in-beam PET scanner at CNAO. The shown PET images, superimposed onto the same planning CT of (a), were reconstructed at the end of every minute after start of treatment, while the final right image corresponds to decays occurred during the entire treatment and 30 s afterwards. Adapted from [8].

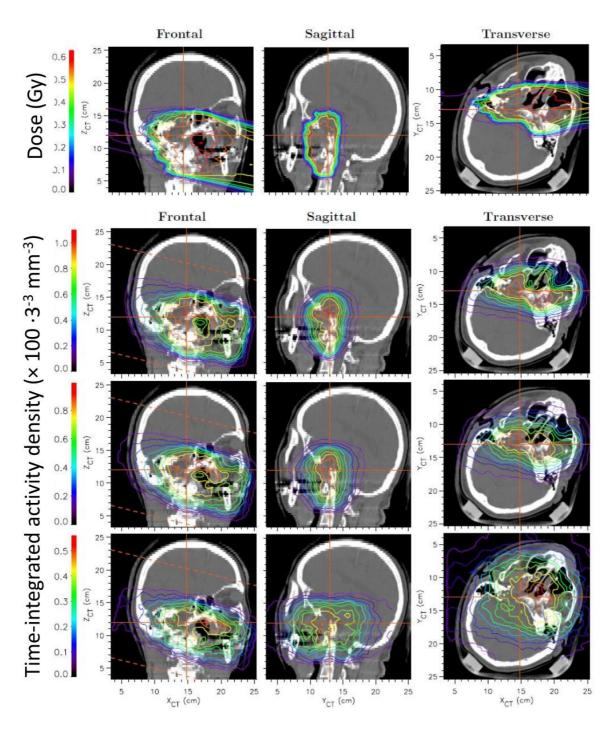


Figure 2: Planned dose distribution (top raw) and reconstructed time-integrated activity distribution densities with direct TOF reconstruction with different CTR (FWHM) of 100 ps (top), 200 ps (middle) and 500 ps (bottom) for a limited angle in-beam PET scanner with FOV aligned according to the dashed orange lines in the left column, along the beam direction. All images are superimposed to the planning CT. Adapted from [10].

#### References

- CA Tobias, A Chatterjee and AR Smith, "Radioactive fragmentation of N7+ ion beam observed in a beryllium target," Phys Lett A, vol. 37, pp. 119-120, 1971. doi: 10.1016/0375-9601(71)90086-7.
- [2] CA Tobias, EV Benton, MP Capp, A Chatterjee, MR Cruty and RP Henke, "Particle radiography and autoactivation," Int J Radiat Oncol, vol. 3, pp. 35-44, 1977. doi: 10.1016/0360-3016(77)90224-3.

- [3] HD Maccabee, U Madhvanath and MR Raju, "Tissue activation studies with alpha-particle beams," Phys Med Biol, vol. 14, pp. 213-224, 1969.
- J Llacer et al., "Imaging by injection of accelerated radioactive particle beams," IEEE Trans Med Imaging, vol. 3, pp. 80-90, 1984. doi: 10.1109/TMI.1984.4307660.
- [5] K Parodi and JC Polf, "In vivo range verification in particle therapy," Med Phys, vol. 45, no. 11, e1036-e1050, 2018. doi: 10.1002/mp.12960.
- [6] G Shakirin, H Braess, F Fiedler, D Kunath, K Laube, K Parodi, M Priegnitz and W Enghardt, "Implementation and workflow for PET monitoring of therapeutic ion irradiation: a comparison of in-beam, in-room, and off-line techniques," Phys Med Biol, vol. 56, no. 5, pp. 1281-1298, 2011. doi: 10.1088/0031-9155/56/5/004.
- [7] K Parodi, P Crespo, H Eickhoff, T Haberer, J Pawelke, D Schardt and W Enghardt, "Random coincidences during inbeam PET measurements at microbunched therapeutic ion beams," Nucl Instrum Methods A, vol. 545, pp. 446-458, 2005. doi: 10.1016/j.nima.2005.02.002.
- [8] V Ferrero, E Fiorina, M Morrocchi, F Pennazio, G Baroni, G Battistoni, N Belcari, N Camarlinghi, M Ciocca, A Del Guerra, M Donetti, S Giordanengo, G Giraudo, V Patera, C Peroni, A Rivetti, MDDR Rolo, S Rossi, V Rosso, G Sportelli, S Tampellini, F Valvo, R Wheadon, P Cerello and MG Bisogni, "Online proton therapy monitoring: clinical test of a Silicon-photodetector-based in-beam PET," Sci Rep, vol. 8, no. 1. 4100, 2018. doi: 10.1038/s41598-018-22325-6.
- [9] H Tashima, E Yoshida, N Inadama, F Nishikido, Y Nakajima, H Wakizaka, T Shinaji, M Nitta, S Kinouchi, M Suga, H Haneishi, T Inaniwa and T Yamaya, "Development of a small single-ring OpenPET prototype with a novel transformable architecture," Phys Med Biol, vol. 61, no. 4, pp. 1795-809, 2016. doi: 10.1088/0031-9155/61/4/1795.
- [10] P Crespo, G Shakirin, F Fiedler, W Enghardt and A Wagner, "Direct time-of-flight for quantitative, real-time in-beam PET: a concept and feasibility study," Phys Med Biol, vol. 52, no. 23, pp. 6795-6811, 2007. doi: 10.1088/0031-9155/52/23/002.
- [11] HJT Buitenhuis, F Diblen, KW Brzezinski, S Brandenburg and P Dendooven, "Beam-on imaging of short-lived positron emitters during proton therapy," Phys Med Biol, vol. 62, no. 12, pp. 4654-4672, 2017. doi: 10.1088/1361-6560/aa6b8c.
- [12] K Parodi, "Vision 20/20: Positron emission tomography in radiation therapy planning, delivery, and monitoring," Med Phys, vol. 42, no. 12, pp. 7153-7168, 2015. doi: 10.1118/1.4935869.
- [13] M Defrise, A Rezaei and J Nuyts, "Time-of-flight PET data determine the attenuation sinogram up to a constant," Phys Med Biol, vol. 57, no. 4, pp. 885-899, 2012. doi: 10.1088/0031-9155/57/4/885.
- [14] K Parodi, "On- and off-line monitoring of ion beam treatment," Nucl Instrum Methods A, vol. 809, pp. 113-119, 2016. doi: 10.1016/j.nima.2015.06.056.
- [15] P Moskal, D Kisielewska, C Curceanu, E Czerwiński, K Dulski, A Gajos, M Gorgol, B Hiesmayr, B Jasińska, K Kacprzak, Ł Kapłon, G Korcyl, P Kowalski, W Krzemień, T Kozik, E Kubicz, M Mohammed, S Niedźwiecki, M Pałka, M Pawlik-Niedźwiecka, L Raczyński, J Raj, S Sharma, Shivani, RY Shopa, M Silarski, M Skurzok, E Stępień, W Wiślicki and B Zgardzińska, "Feasibility study of the positronium imaging with the J-PET tomograph," Phys Med Biol, vol. 64, no. 5, 055017, 2019. doi: 10.1088/1361-6560/aafe20.
- [16] A Mohammadi, H Tashima, Y Iwao, S Takyu, G Akamatsu, F Nishikido, E Yoshida, A Kitagawa, K Parodi and T Yamaya, "Range verification of radioactive ion beams of 11C and 15O using in-beam PET imaging," Phys Med Biol, 2019 (in press). doi:10.1088/1361-6560/ab25ce.

## F. 10 ps TOF-PET and beyond F3. Perspectives for including Compton events in 10 ps TOF-PET

Jose Maria Benlloch

## I. Status

An excellent TOF resolution will greatly impact effective sensitivity, spatial resolution, and image quality in PET scanners, not only through the very well-known direct assessment of the positron annihilation position along the line of response (LOR) of the pair of detected gamma rays, but also due to the ability to better treat Compton events. Here, we are going to deal with this last issue.

GE Healthcare has reported an increase in PET scanner sensitivity of almost 20 % (see [1], [2]) by including events, which experience interactions in neighbouring detectors. Compton Scatter Recovery (CSR) detects scatter of 511 keV photons between adjacent detector blocks, and reconstitutes events in which the summed energy falls within the energy window. However, this nice increase in sensitivity comes at the cost of some image blurring since the scanner is not able to unequivocally identify which interaction came first and, therefore, the LOR provided by the system is not the real one. Obviously, a good timing resolution will enable the scanner to include those events without any image blurring.

### **II.** Current and future challenges

A 10 ps TOF resolution opens the road for identifying unambiguously the interaction that happened first in gamma rays that produce multiple interactions inside the same crystal block, if those interactions are separated by more than 1,5 mm (and are also resolved spatially through, for instance, one-to-one pixelated crystal-SiPM coupling). Current detectors are not able to distinguish between all the interactions that happen mostly inside the same detector block and they are summed up. This provides an impact point position of the gamma ray, which is effectively the averaged sum of the considered interactions. However, even in dense materials such as LSO, most of the events are the result of several interactions in the same detector crystal block. Figure 1 shows the percentage of events that come at different separation lengths between the first Compton interaction and the second one or final (photoelectric) interaction within the same crystal block.

It is clear that the overwhelming majority of 511 keV gamma rays that suffer several interactions inside the crystal block, happen with distances between the first and second interaction of more than 1mm (only 23 % of those gamma rays interact in less than a 1mm event in LSO crystal detector blocks). The effect of such multiple interactions on image blurring might be estimated by Monte Carlo simulations [3]. If one assumes a 10 ps TOF resolution one might be able to distinguish among all the interaction points within a detector that are separated by more than 1,5 mm and also distinguish the time ordering of the hits. Then one would be able to recognize the coordinates of the first interaction point for each event and build the true LORs. This would obviously bring a drastic improvement to the final reconstructed image. In order to qualitatively show this improvement, we have performed the image reconstruction for a simulated Derenzo phantom in three cases (see figure 2). In practice, of course, it might be very difficult to separate interactions that are separated by 1,5 mm or so. However, those interactions are fortunately the ones that produce less blurring effect and one might improve significantly the image quality just by identifying the first hit in gamma rays that produce multiple interactions that are separated by more than 3-5 mm.

Moreover, correctly identifying the interaction sequence of a gamma rays inside the scanner has the potential of further improving image quality, through sharper rejection of background events produced by random coincidences and Compton events within patient's body (see figure 3, and references [3], [4]). By simply checking the compatibility between each pair of

cones, one in principle, is able to recognize the true matching events and build the correct LORs. In a classical PET detector one can never be sure to make the correct matching among compatible events based on the total energy information only.

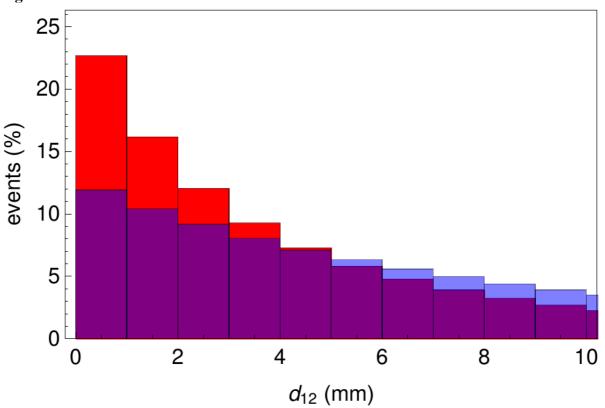
Finally, a good determination of the Compton cone in gamma rays that suffer at least two interactions in the detector block or adjacent blocks, will drastically increase the sensitivity of the PET scanners by including the single events, as in a single Compton camera (for the performance of a Compton camera see, for instance, reference [5], [6]), in the image reconstruction. Single events represent a large fraction of events in commercial PET scanners, which amount to at least an order of magnitude greater than coincidence events, due to limited coverage or gamma ray detection efficiency (stopping power). Images obtained by pure coincidence events might be used as a prior in the reconstruction for the more abundant single events.

#### **III.** Concluding remarks

In the future, we can anticipate that approaches based on a block detector, readout by micro SPADs with very fast recovery time, able to distinguish the photons coming from different interactions inside the detector block and adjacent blocks, will allow reconstructing the whole event sequence.

#### Acknowledgements

This work has been financed in part by the ERC-ADG 695536 "4D-PET".



# Figures

Figure 1: Distribution of events (in percentage) that suffer at least two interactions in the detector, as a function of the distance between the first two hits  $d_{12}$  for LYSO (red) and LaBr<sub>3</sub> (purple/blue).

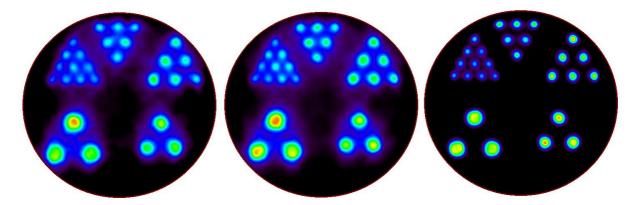


Figure 2: Image reconstruction of a GATE simulation for a Derenzo phantom for tubes of different diameters and a length of 5 cm, for a cubical 4-block detector of dimensions  $100 \times 100 \times 20 \text{ mm}^3$ , with a standard PET (using energy-averaged coordinates for events with multiple scattering), with LYSO crystals (left), with LaBr<sub>3</sub> crystals (centre) and for a scanner assuming 10 ps TOF resolution, allowing for determination of the right ordering of sequence interactions in gamma rays (right).

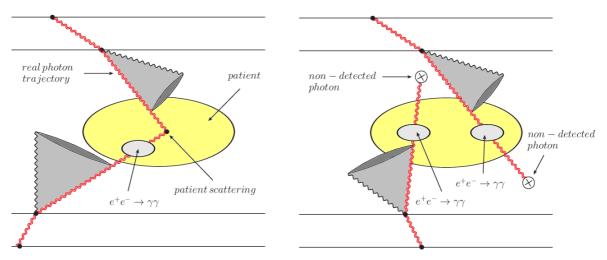


Figure 3: Example of two reconstructed cones that do not match, for an event with scattering within the patient's body (left). Example of a random coincidence event (right). If two photons come from the same annihilation event, their Compton cones would have to match along the line of response, which is not the case in any of the two examples.

#### References

- AA Wagadarikar, A Ivan, S Dolinsky and DL McDaniel, "Sensitivity improvement of time-of-flight (ToF)-PET detector through recovery of Compton scattered annihilation photons," IEEE Trans Nucl Sci, vol. 61, pp. 121-125, 2014. doi: 10.1109/TNS.2013.2282119.
- [2] CS Levin, SH Maramraju, MM Khalighi, TW Deller, G Delso and F Jansen, "Design features and mutual compatibility studies of the time-of-flight PET capable GE SIGNA PET/MR system," IEEE Trans Med Imaging, vol. 35, no. 8, pp 1907-1914, 2016.
- [3] V Ilisie, V Giménez-Alventosa, L Moliner, F Sánchez, AJ González, MJ Rodríguez-Álvarez and JM Benlloch, "Building blocks of a multi-layer PET with time sequence photon interaction discrimination and double Compton camera," Nucl Instrum Methods A, vol. 895, pp. 74-83, 2018. doi: 10.1016/j.nima.2018.03.076.
- [4] CS Levin, "Promising New Photon Detection Concepts for High-Resolution Clinical and Preclinical PET," J Nucl Med, vol. 53, no. 2, pp. 167-170, 2012. doi: 10.2967/jnumed.110.084343.
- [5] E Muñoz, J Barrio, A Etxebeste, P Garcia Ortega, C Lacasta, J Oliver, C Solaz and G Llosá, "Performance evaluation of MACACO: a multilayer Compton camera," Phys Med Biol, vol. 62, no. 18, pp. 7321-7341, 2017. doi: 10.1088/1361-6560/aa8070.

 V. Ilisie et al., "Improving PET sensitivity with a Compton algorithm," J Phys: Conf Ser, vol. 931 p. 012012, 2017. doi: 10.1088/1742-6596/931/1/012012.

## F. 10 ps TOF-PET and beyond F4. Perspectives on using silicon pixel detectors for fast timing applications

Mathieu Benoit

#### I. Status

With their large channel count and small front-end electronics, thanks to modern CMOS circuitry and fine-pitch interconnect, hybrid silicon pixel detectors are used for their great spatial resolution and their ability to cope with high occupancy in High Energy Physics (HEP), medical and industrial applications. The low-noise and high-logic density of their front-end enables the measurement of the energy and Time-of-Arrival (ToA) of single ionizing particle interactions depositing energy in the 3 keV to MeV range.

In terms of fast timing, the current state-of-the-art CERN NA62 GigaTracKer achieves 200 ps single hit resolution with  $300 \times 300$  pixels [11]. The Timepix3 ASIC [10], with a pixel of  $55 \times 55 \ \mu\text{m}^2$ , can achieve a single hit resolution of 1.2 ns. To further improve the achievable timing resolution, a reduction of the pixel input capacitance, an increase in the generated signal and an increase in pixel logic density are necessary.

Recent developments in monolithic high-voltage and high-resistivity (HV/HR) CMOS integration of pixel detectors will allow reduction of pixel pitch and input capacitance by removing the constraint of interconnect. Low-Gain Avalanche Diodes, developed for HEP applications, can increase the available signal, and current prototypes demonstrate that resolution much below 50 ps is achievable. Deep sub-micron CMOS technology will provide more in-pixel signal processing capability. Nowadays, specialized CMOS foundries offer affordable access to processes also integrating hetero-structures such as Silicon-Germanium bi-polar transistors (SiGe BJTs). These fast transistors are used to build front-ends and timing circuitry to achieve sub-nanosecond timing precision. We present here how these current developments can be exploited to develop the next generation of fast-timing silicon pixel detectors.

#### 1. Low-Gain Avalanche diodes

LGAD sensors [4] consist of a standard diode wherein an additional deep implant is present to form an abrupt p-n junction below the electrode or on the backside of the sensor, as illustrated in figure 1. When an electron or a hole reaches the strong field present in the junction, the charge is multiplied by the impact ionization's process. By controlling the doping concentration in the junction, it is possible to control the gain obtained and limit the multiplication to a linear regime, far from the Geiger mode of operation typical of Avalanche Photo-Diodes (APD). As the main signal is generated in a fixed position in the depth of the sensor and amplified, the timing resolution is vastly improved with regard to standard planar sensors when measuring ionizing particles. A resolution of 29 ps has been achieved with the current existing prototypes [5].

The main challenges facing LGAD are the variations in process, mainly in the implantation, resulting in a lower yield due to many sensors being out of specification in terms of gain. In addition, for front-side LGAD, the active multiplication area is limited by the coverage of the pixel implants. Backside LGAD can be used to mitigate this effect. For photon detection, the transport time between the interaction in the bulk and the multiplication region degrade the timing resolution achievable.

### 2. CMOS Monolithic integration of pixel detectors

The increased availability of specialized CMOS processes such as HV/HR CMOS and SiGe Bi-CMOS technologies has been exploited in the HEP community to develop a new generation of pixel sensors where the sensing diode is integrated with the front-end

electronics on the same substrate. The use of commercial CMOS technology offers the advantage of large-scale production facilities with state-of-the-art machinery leading to better control of the fabrication process and yield.

#### 3. HV/HR CMOS technologies

The need for depleted substrate and high-voltage control and power in the industry has driven the manufacturers to propose in their process the option to use high voltage in the circuitry and high-resistivity substrate. The HEP community has explored the integration of pixel detectors in that technology and achieved remarkable results, comparable in performance to current LHC pixel detectors.

The substrate and applied bias allow for the creation of a large depleted volume and a fast signal. Two configurations, illustrated in figure 2, can be implemented.

The small fill-factor designs [2] consist of a small collection N-type well with the front-end electronic implemented in a complementary P-type well covering most of the surface of the pixel. These pixels are arranged on a thin, 20 to 35  $\mu$ m epitaxial, high-resistivity silicon layer. The small electrode capacitance leads to a lower noise and operation threshold, which improves timing performances. It suffers, however, from a charge collection jitter associated with variations of the pulse onset time. This jitter is due to the deposition of charges at various distances from the collection electrode. A resolution of 5.4 ns was achieved on a single diode signal sampled with an oscilloscope [7]. Methods to improve the collection speed for signals far from the collection electrode have been studied and show that modifications to the process, in collaboration with the foundry, can further increase the timing resolution of small fill-factor devices [6].

The large fill-factor configuration [9] consists of a charge collection N-type well covering the majority of the pixel surface. The CMOS circuitry is implemented inside the collection well and shielded using nested P and N wells. The large capacitance associated with the collection well results in larger noise and larger power consumption. However, the larger electrode yields a more uniform signal, improving radiation hardness and reducing the jitter due to charge collection. The best timing performances achieved with this configuration is 7 ns for single hit [1] using a 100  $\mu$ m thick sensor. The timing resolution can be further improved by increasing the speed and power of the input stage of the pixel as the resolution obtained is dominated by the front-end noise due to the large pixel.

### 4. SiGe CMOS developments

The TT-PET collaboration aims to develop a pre-clinical TOF-PET scanner with very precise spatial resolution and timing resolution of 30 ps [12] using monolithic integration in SiGe Bi-CMOS technology. The concept, similar to sampling calorimetry in HEP, involves the indirect detection of the  $\gamma$  photons through the conversion electron produced in a heavy absorber. The detection tower consists of multiple layers of thin, monolithic sensors and lead absorber sheets separated by dielectric layers, as illustrated in figure 3. Each monolithic detector has pixels of  $1 \times 1 \text{ mm}^2$ . To cope with the large capacitance associated with such a large pixel, a SiGe Bipolar Junction Transistor (BJT) based charge pre-amplifier was designed using IHP SG13S 130 nm BiCMOS technology. The SiGe BJT, with its superior transition frequency ( $f_t$ ), can deliver a faster rise-time of pulse with regard to what is achievable with CMOS transistor.

To obtain the targeted timing resolution, a thin depleted layer of silicon with a large electric field is required, to minimize jitter due to charge collection. To achieve this, a partnership with the foundry was established in order to introduce high-resistivity substrate to the process. Technology Computer-Assisted-Design tools were used to optimize the layout of the diodes to complete the operation at the required high-voltage bias. Backside implantation and

electrode deposition processes were developed to allow back biasing of the diode, providing a more uniform field and reducing jitter. Measurements of the first prototypes resulted in a resolution of 110 ps for Minimum Ionizing Particles (MIP). By extrapolating on the front-end jitter dependence on injected charge, a resolution of 30 ps is expected for the measurement of Compton electrons produced by 511 keV  $\gamma$  photons. The first matrix was tested and produced the same results [8], demonstrating the feasibility of implementing HV/HR monolithic pixels in SiGe BiCMOS technology.

#### 5. Hybrid pixel detectors

Aside from monolithic silicon sensor developments, hybrid pixel sensors still represent the state-of-the-art in terms of timing measurements, data rate and spatial and energy resolution of highly-segmented particle detectors. As deep sub-micron technologies are becoming more available for research, new developments of hybrid pixel ASICs focus towards the integration of dense logic circuitry at the pixel level, enabling further processing of the pulse. With an increased logic density, structures such as Phase-Locked loops (PLL) and Voltage-Control Oscillators (VCO) can be implemented inside the pixel to provide a fast clock locally for the measurement of event timing with an increased resolution. The Timepix4/Medipix4 collaboration [3] plan on submitting a hybrid pixel ASIC using CMOS 65 nm technology providing high-resolution energy (1 keV) and timing (200 ps) measurements by latching onto the internal phases of an in-pixel VCO. Vertical integration of CMOS circuitry, more and more used in commercial electronics, provides a means to further increase the logic density inside pixels. Processing of hits at the ASIC level, for time-walk or delay correction and clustering and averaging, could be implemented.

#### **II.** Current and future challenges

Monolithic integration of pixel detectors represents the biggest challenge in silicon detector development in the upcoming years. The efforts described here have already resulted in new detectors that can surpass the performance of hybrid detectors from the previous generation. The large-scale production capabilities, the repeatability of their industrial process and the ease of assembly of the produced detectors represent many advantages that make this line of development promising. In order to obtain good results in the presented approaches, a close study of the sensor geometry and properties through TCAD and Monte-Carlo simulation was required. A close relationship to the foundries was also required in order to adapt the process to the needs of the prototype. To achieve progress in this direction in the future, collaboration with the providers of the technology will be crucial.

In the context of TOF-PET measurements, the properties of current silicon pixel detectors seem incompatible with TOF-PET requirements. To obtain good timing resolution, all monolithic integrated sensors require thin substrates. However, innovative approaches to detection, such as the method proposed by the TT-PET collaboration, can be used to exploit the characteristics of these sensors. Further improvements could increase the performance of the sensors. Gain layers, as currently employed with LGAD diodes, could be implemented with the foundries in order to increase the signal injected in the front-end. Large signal would yield to less time-walk and jitter, further improving the timing resolution.

As for the monolithic approach, the sensor's contribution to the jitter in hybrid sensors is significant. This sensors with a large electric field can help to mitigate the effect, as would smaller pixels, but these are not suitable for  $\gamma$  photon detection. Other sensor materials, such as *CdTe*, provide a better detection efficiency, but the slow mobility of the carriers and the large variation of the pulse onset as a function of the depth of interaction limits the time resolution. A double-sided measurement, attaching an ASIC to both sides of the sensor using

Vertical integration methods, could provide a means to determine the depth of interaction by measuring holes and electrons, providing a means to correct for the pulse onset variation. Indirect detection, provided the ASIC material can be reduced to a small fraction of the sensor material, could also be used with hybrid sensors. However, large cost reduction in interconnection must be achieved in order to make this approach viable.

### III. Concluding remarks

Fast timing with silicon pixel detectors is a challenging task, but recent developments show that a path exists towards sub-nanosecond timing. Monolithic detectors consisting of small pixels and thin substrate, when combined with process modification in collaboration with the foundries, could lead to the next generation of fast-timing pixel sensors. By introducing gain layers, LGAD sensors have demonstrated that large signal and good timing can be obtained even with a thin silicon layer. While thick sensors are preferable for high-energy  $\gamma$  photon detection, an indirect detection approach such as the one explored by the TT-PET collaboration could result in PET scanner prototypes based on silicon with 30 ps resolution in the following years.

Hybrid pixel detectors, a more mature technology, are here to stay. As deep sub-micron CMOS technology becomes more available to research groups, more complex in-pixel circuitry will be implemented, allowing for time-stamping of hits with sub-nanosecond resolution and at rates not reachable with monolithic technology. In thick sensors made of alternate materials, determining the depth of interaction will be crucial to improve timing resolution. A double-sided approach would help to gain information on both electron and hole carriers. Integration with novel sensors such as LGAD could improve the front-end performance by increasing the available signal and providing a fast rise time.

#### Figures

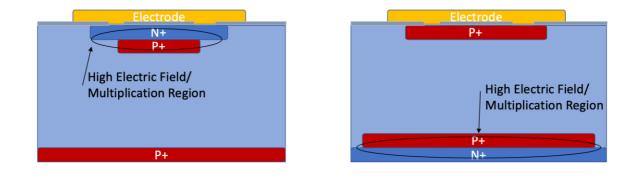


Figure 1: Front-Side (left) and Back-Side (right) LGAD structures.

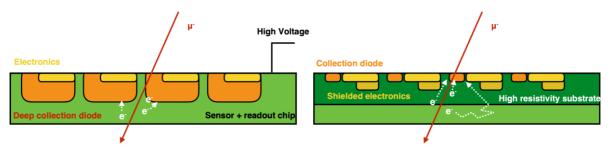


Figure 2: Electrode configuration of large (left) and small (right) fill-factor HR/HV CMOS pixel detectors. The electronic implants (yellow) are located inside or outside the collection implant (orange).

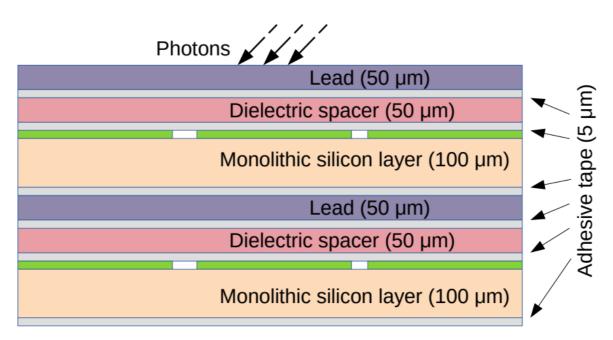


Figure 3: TT-PET scanner stacking elementary unit (source: [12]).

#### References

- M Benoit, "Pixel detector R&D for the Compact Linear Collider," J Instrum, vol. 14, C06003, 2019. doi: 10.1088/1748-0221/14/06/C06003.
- [2] I Berdalovic et al., "Monolithic pixel development in TowerJazz 180 nm CMOS for the outer pixel layers in the ATLAS experiment," J Instrum, vol. 13, C01023, 2018. doi: 10.1088/1748-0221/13/01/C01023.
- [3] M Campbell et al., "Towards a new generation of pixel detector readout chips," J Instrum, vol. 11, C01007, 2016. doi:10.1088/1748-0221/11/01/C01007.
- [4] P Fernandez-Martinez et al., "Design and fabrication of an optimum peripheral region for low gain avalanche detectors," Nucl Instrum Methods Phys Res A, vol. 821, pp. 93-100, 2016. doi: 10.1016/j.nima.2016.03.049.
- [5] J Lange et al., "Gain and time resolution of 45 μm thin low gain avalanche detectors before and after irradiation up to 1015 neq/cm2," arXiv:1703.09004, 2017. http://arxiv.org/abs/1703.09004.
- [6] M Munker et al., "Simulations of CMOS pixel sensors with a small collection electrode, improved for a faster charge collection and increased radiation tolerance," J Instrum, vol. 14, C05013, 2019. doi: 10.1088/1748-0221/14/05/C05013.
- [7] RM Munker et al., "Study of the ALICE Investigator chip in view of the requirements at CLIC," CERN Document Server, 2017. https://cds.cern.ch/record/2284145.
- [8] L Paolozzi et al., "Characterization of the demonstrator of the fast silicon monolithic ASIC for the TT-PET project," J Instrum, vol. 14, P02009, 2019. doi: 10.1088/1748-0221/14/02/P02009.
- [9] I Peric et al.; "High-voltage pixel detectors in commercial CMOS technologies for ATLAS, CLIC and Mu3e experiments," Nucl Instrum Methods Phys Res A, vol. 31, pp. 131-136, 2013. doi: 10.1016/j.nima.2013.05.006.
- [10] T Poikela et al., "Timepix3: a 65K channel hybrid pixel readout chip with simultaneous ToA/ToT and sparse readout," J Instrum, vol. 9, C05013, 2014. doi: 10.1088/1748-0221/9/05/C05013.
- [11] G Aglieri Rinella et al., "The NA62 GigaTracKer: a low mass high intensity beam 4D tracker with 65 ps time resolution on tracks," arXiv:1904.12837, 2019). http://arxiv.org/abs/1904.12837.

[12] E Ripiccini et al., "Expected performance of the TT-PET scanner," arXiv:1811.12381, 2018. http://arxiv.org/abs/1811.12381.