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Author contact	Patrick.Willems@kuleuven.be
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How well do climate models reproduce variability in observed rainfall? A case study of the Lake Victoria basin considering CMIP3, CMIP5 and CORDEX simulations

Charles Onyutha^{*1,2}, Agnieszka Rutkowska³, Paul Nyeko-Ogiramoi⁴, Patrick Willems^{1,5}

¹*KU Leuven, Hydraulics Section, B-3001 Leuven, Belgium*

²*Faculty of Technoscience, Muni University, P.O Box 725, Arua, Uganda*

³*University of Agriculture, Department of Applied Mathematics, Kraków, Poland*

⁴*Rural Water and Sanitation Department, Ministry of Water and Environment, 22/28 Port Bell Road, Luzira, P.O Box 200026, Kampala, Uganda*

⁵*Vrije Universiteit Brussel, Department of Hydrology and Hydraulic Engineering, 1050 Elsene, Brussel, Belgium*

*E-Mail: conyutha@gmail.com

Abstract:

In this study, how well the climate models reproduce variability in observed rainfall was assessed based on General Circulation Models (GCMs) from phase 3 and phase 5 of the Coupled Model Inter-comparison Project, i.e. CMIP3 and CMIP5, respectively as well as the Regional Climate Models (RCMs) of Coordinated Regional Climate Downscaling Experiment (CORDEX) over Africa. Observed and climate model based daily rainfall across the Lake Victoria Basin, which is one of the wettest parts of Africa, was considered. Temporal variability was assessed based on the coefficient of variation of daily and annual rainfall, and the maximum dry and wet spell in each year. Furthermore, variation in daily rainfall was assessed in terms of the long-range dependence. Comparison of variability results from observed and climate model based rainfall was made. It was found that the capacity to reproduce variability in observed wet and dry conditions depends on the specific GCM (of CMIP3 or CMIP5) or CORDEX RCM. However, the CORDEX RCMs replicated variability in observed daily rainfall better than the CMIP3 and CMIP5 GCMs. This was due to the spatial resolutions of the CORDEX RCMs which are higher than those of the CMIP3 and CMIP5 GCMs. The ensemble mean of the coefficients of correlation between the variability in observed and that of climate model based rainfall were close to zero for both the GCMs or RCMs. This suggests that analyses can be done on a case by case basis. In other words,

GCMs or RCMs which adequately reproduce variability in observed wet and dry conditions can be considered for further statistical analysis of the changes especially on the basis of statistical methods for downscaling. For daily timescale, both the GCMs and RCMs from all the three sets of climate models generally exhibited poor performance in capturing the time of occurrences and the magnitudes of rainfall events (when considered in a combined way). To reliably assess long-term rainfall changes, it is vital to characterize natural variation in terms of the statistical dependence. With respect to natural variability of rainfall at local scales, there is room for further improvement of the climate models; however, whether theory of fractals and/or concepts of scaling behavior or self-similarity can explicitly contribute in that respect is a crucial consideration. Results from this study gave some insights in the reasonableness of the future rainfall projections.

Keywords: CMIP3; CMIP5; CORDEX; Climate change; Climate Variability; GCM; RCM; Lake Victoria; Rainfall variability

1 Introduction

The continued increase in greenhouse gas emissions is responsible for the warming and changes in all components of the climate system (Intergovernmental Panel on Climate Change, IPCC 2013). Eventually, the need for research on climate change has greatly attracted attention of the scientific community. Examples of recent studies on climate change include Ciu et al. (2018), Mohammed et al. (2018), Almasi et al. (2017), Oguntunde et al. (2017), Wu et al. (2017), Kim et al. (2016), Onyutha et al. (2016), Wu et al. (2016), Ouyang et al. (2015). Apart from the focus on climate change, considerable attention of researchers is also geared towards climate variability; see, for instance, studies by Roushangar et al. (2018), Onyutha (2018a), Li et al.

(2018), Nkiaka et al. (2017), Onyutha and Willems (2017), and Rutkowska et al. (2017). Whereas variation inherent in the stationary stochastic process approximating the climate on scale of a few decades comprises climate variability (World Meteorological Organization, 1988), climate change requires the consideration of long-term periods, preferably 30 years and above. One key research area has been the simulation of the response of the global climate system to the increasing greenhouse gas concentrations. For such a simulation, General Circulation Models (GCMs) are the most advanced contemporary tools used. The GCMs represent the physical processes in the atmosphere, ocean, cryosphere and land surfaces. According to the Data Distribution Center (DDC 2015), to depict the climate, the GCMs make use of a three dimensional grid over the globe typically having 10 to 20 vertical layers in the atmosphere (as many as 30 layers in the oceans) and a horizontal resolution of between 250 and 600 km. Actually, the spatial resolutions of the GCMs are quite coarse. To address some of the problems due to the coarse spatial scale of the GCMs, the spatial resolution of the Coordinated Regional Climate Downscaling Experiment (CORDEX) Regional Circulation Models (RCMs) is greatly refined, for instance, to a grid-spacing as small as 0.44° .

Some of the variables obtained as outputs from the GCMs and RCMs include temperature, rainfall, etc. Generally, among other variables, rainfall is so critical that its changes can be used to obtain an insight into the influence of the climate system on regional hydrology in support of developing reasonable measures to adapt to climate change impacts (Onyutha et al. 2016). To correctly characterize the earth systems, thorough understanding of space-time properties of rainfall is required for models of land surface hydrology, biogeochemistry, and ecology that simulate human systems in a context of global and environmental change (Kaptué et al. 2015). According to Batisani and Yarnal (2010), variability of rainfall makes it difficult to assess contemporary trends in rainfall distributions and potential impacts of climate change. Rainfall time series often exhibit strong variability in time and space (Yu et al. 2014) and this comprises a central characteristic of the various regions of the world. Furthermore, rainfall exhibits spatio-temporal scaling behavior (see, for instance, Gupta and Waymire 1990; Zhang et al. 2008). Rainfall is known to exhibit self-similarity or fractal behavior (see for instance, Meseguer-Ruiz et al. 2017; Ghanmi et al. 2013). Processes are said to be fractal if they cannot be defined by the classical

Euclidian mathematics. Regular objects are also referred to as fractal. Insight into the uncertainty due to natural variability can be obtained through the concept of the Hurst phenomenon. The Hurst phenomenon (Hurst 1951) can be attributed to the non-stationary means of the process (Klemeš 1974). However, this is only true if the means can be expressed in a deterministic way as a function of time (Koutsoyiannis 2000). In a long-term series, changes can be characterized by local or short-duration rising and falling trends (also called the sub-trends, Onyutha 2016). The rising and falling trends are large-scale random fluctuations although they would be considered deterministic components if they could be conditioned by a physical explanation and predictability (Koutsoyiannis 2000). These fluctuations are actually indicative of the Hurst phenomenon. Due to its relationship with the climate changes, the Hurst phenomenon is increasingly capturing interests of the climate scientists (Evans 1996).

There are a wide range of physical processes (ranging from climate dynamics to water droplet formation) which control rainfall fluctuations or variability in both space and time (Kiely and Ivanova 1999). The considerable rainfall fluctuations and high variability complicate its statistical and mathematical processing (Meseguer-Ruiz et al. 2017) and modeling. This complexity (for instance, in predicting rainfall variability) is compounded by the nature of the variability drivers. For instance, factors that control rainfall variability in both space and time may considerably differ from those that drive mean conditions. This important aspect of variability was illustrated by Nicholson (2000) in terms of the diversity of climatic controls which was evident from the patterns of rainfall and its seasonality over East Africa (where from, eventually, the study area was selected). However, factors controlling rainfall variability tend to be more coherent than those governing mean climate (Nicholson 2000). It is

vital to note that by taking more climate dynamics into account, forecasting models which incorporate fractals tend to be considerably reliable on a regional scale (Rangarajan and Sant 1997; 2004). Nevertheless, to adequately characterize rainfall across a region, it is vital to understand the regional climate mechanisms.

With respect to the changes in large-scale ocean-atmosphere conditions, the variation in the Sea Surface Temperature (SST) of the Indian Ocean greatly influences the rainfall over the study area by altering the local Walker circulation. However, rainfall over East Africa (where the study area is located) is also, to some extent, shaped by the variation in the SSTs from the Pacific Ocean and Sea Level Pressure over the Atlantic Ocean (Onyutha 2018a). According to Indeje et al. (2000), the short rains over most of the East African region are associated with El Niño Southern Oscillation.

With respect to the general circulation, over the Equatorial region (where the study area is located), rainfall is controlled by two airstreams and three convergence zones (Trewartha 1981; Nicholson 1996). The airstreams include the humid Congo airstream with Westerly and the Southwesterly flow, and the relatively dry Northeast and Southeast monsoons. The convergence zones include the Congo Air boundary and the Inter-Tropical Convergence Zone (ITCZ). The third convergence zone is that which separates the moist Southerly flow and the dry, stable, Northerly flow of Saharan origin. Both the convergence zones and airstreams shift seasonally.

With respect to airstreams, by July/August, the upper-level flow is Easterly over most of the African continent (Nicholson 2000). The Easterlies comprise majorly the African Easterly Jet (AEJ), and the Tropical Easterly Jet (TEJ) and these jets control the development of the summer precipitation regime North of the study area (especially over Northern Africa). In West Africa most of the precipitation emanates from the Easterly waves. Apart from AEJ and TEJ, a small Easterly also exists maximum at about 10° S. The Westerlies prevail over the temperate extremes of the African continent (Nicholson 2000). The frequency of these Westerlies increases by January. Rainfall over the study area is also influenced by the quasi-periodic oscillation of the Equatorial zonal wind between Easterlies and Westerlies in the tropical stratosphere with an average

period from 28 to 29 months (Indeje et al. 2000). South of the Lake Victoria, diverging South-Easterly winds from the Indian Ocean induce dry conditions (Camberlin 2009). Furthermore, the seasonal climate of East Africa is also modified by the Northeast and Southeast monsoon winds (Mukabana and Piekler 1996).

Regarding the shifts in convergence zones, at the beginning of the year (in January), pressure is high over the Sahara. However, the Southern part of the African continent is characterized by low pressure. The ITCZ exists far South of the Equator. Slightly past mid of the year (July or August), low and high pressures exist over Sahara and the Southern Africa, respectively. The ITCZ has moved North of the Equator, as far as close to 20° N thereby separating the North East trade winds and the South West monsoon flow. In summary, by the beginning (mid) of the year, the convergence zones exist in the Southern (Northern) hemisphere. Important to note is that, from September, the ITCZ retreats to the South faster than its Northward shift (Osman and Hastenrath 1969). The precipitation pattern and distribution across Africa dominantly rely the migration of the ITCZ. A band of precipitation moves with the ITCZ as it migrates from its most Southern location (in January) to the Northern extreme (by July). Around the Equator, where the ITCZ passes twice in a year, precipitation is of bimodal pattern characterized by short and long rainy seasons in the March-to-May and October-to-December periods, respectively. However, North of the Equator, the precipitation is of a unimodal pattern with the peak occurring during the summer (June-to-September) season.

With respect to the regional and local factors, in the Equatorial region, influences on the ITCZ come from regional topographical features such as large water bodies (like the Lake Victoria), and high mountains (including Mount

Elgon, Mount Rwenzori, and Mount Kenya) (Onyutha et al. 2016). According to Camberlin (2009), some of the Great Lakes of East Africa also tend to develop their own circulation. This is through lake breezes induced by the small diurnal temperature variations of the lakes compared to the surrounding areas. Especially for the Lake Victoria, its circular geometry encourages daytime breezes diverging from the lake to the warmer surrounding land, and night-time land breezes converging to the warmer middle part of the lake (Fraedrich 1972; Camberlin 2009). Mountain ranges also set up their own circulation and generate their own climate (Camberlin 2009). Especially around the Lake Victoria, there also exists the slope circulation, especially to the Northeast of the lake which is bordered by the Western Kenya Highlands (Okeyo 1987). The joint effect of lake and upslope breezes enhances afternoon convection (Camberlin 2009). The Great Lakes and high mountains greatly influence the atmospheric dynamics and stability with respect to the East African regional climate.

With the refinement of spatial resolution and other improvements of climate models, the ability of the models to represent regional and/or local impacts of global climate phenomenon (for instance, El Niño Southern Oscillation) is expected to increase. However, if: (i) the models still have reduced capacity to represent key processes at the local scale, and (ii) there exists considerable data quality problems (such as errors stemming from observation or recording, infilling of missing data values, etc.), mismatches between variability in observed and climate model based rainfall will continue to be considerable. Such a mismatch can have implications on the future projections by the climate models, and thus, the need to investigate if climate models sufficiently replicate variability in observed rainfall.

To understand variability of rainfall over the past, observational rainfall records can be used. However, to comprehend the physical mechanisms driving past and current climate variability and change, climate models are valuable tools (DDC 2015; Hoerling et al. 2006; Williams and Funk 2011). Furthermore, climate models provide physically-based techniques of predicting future climatic conditions (Lu and Delworth 2005; Knutti and Sedláček 2012). Projections of future climatic conditions are vital for planning measures for adapting to the climate change impacts.

However, evaluating present-day climate is essential to construe the realism of future changes (see, for instance, James et al. 2015). In other words, the reasonableness of the future climate change rainfall projections depends on how accurate the climate models reproduce observed or historical rainfall variability.

This study, therefore, aimed at investigating how well the climate models reproduce observed rainfall variability. To do so, a case study was conducted using rainfall observed across the Lake Victoria Basin (LVB). The LVB was selected because: (i) precipitation over it determines the level of the Lake Victoria which is the largest freshwater body in Africa and the second largest in the world, and (ii) it falls within one of the wettest parts of Africa. The study area was selected from Africa because of the recognition that has been given to the African climate as one of the “hot spots” in the earth climate system (Solomon et al. 2007; Kirtman and Pirani 2008). It was deemed necessary to take into perspective the issue of spatial resolution as well as the possible influence from the selection of a particular set of climate models. Eventually, three sets of climate models were used including CMIP3 (Meehl et al. 2007) i.e. phase 3 of the Coupled Model Inter-comparison Project (CMIP), phase 5 of the CMIP (CMIP5) (Taylor et al. 2012), and CORDEX RCM simulations. Comparison between CMIP5 and CMIP3 gives an insight in the influence of the new versus older generation climate models. Comparison between CMIP and CORDEX shows the influence of the spatial resolution (dynamic downscaling) on the climate results.

2 Case Study Area and Data

2.1 Study Area

The LVB (**Figure 1**) has a total catchment area of about 184,000 km² stretching into five East African countries including Uganda, Kenya, Tanzania, Burundi and Rwanda. The basin comprises Lake Victoria which has a shoreline of 4,828 km and surface area of 68,800 km². Lake Victoria is the largest freshwater body in Africa and the second largest in the world. Although, the climate of the LVB may be generally described to be tropical humid, it actually varies from Equatorial to semi-arid type (Anyah et al. 2006). Over Lake Victoria, rainfall tends to occur throughout the year. However, over the land mass of the LVB, there are intermittent droughts. Apart from the study area falling within the Great Lakes region, rainfall variation in the Equatorial region where LVB is located is greatly influenced by complex topography (with many mountains *i.e.* Mount Elgon, Mount Kenya, Mount Kilimanjaro, Mount Rwenzori, *etc.*).

Figure 1 Lake Victoria basin and location of selected stations

2.2 Rainfall data

Daily rainfall series from 1961 to 1990 observed at 9 locations across the LVB (**Figure 1**) were obtained from the database of the FRIEND/Nile (River Nile basin Flow Regimes from International, Experimental and Network Data) project. These rainfall series were adopted from a previous study Onyutha and Willems (2015) in which data quality control was performed. As seen from **Table 1**, the maximum (M_{ax}) historical daily rainfall intensity over the period 1961-1990 varied from about 80 mm/day (Station 3) to nearly 130 mm/day (Station 4). The coefficient of variation (CV) can be noted to vary from 1.4 (Station 2) to 2.7 (Station 8). This shows that there is a considerable variability on day to day basis in the LVB.

Table 1. Overview of selected stations

No.	Station ID	Station name	Mean [mm/day]	CV [-]	M_{ax} [mm/d]	Country
1	9031026	Kamenyamigo	2.7	2.6	90.0	Uganda
2	70009	Kigali Aero Nyabarongo	6.6	1.4	91.9	Rwanda
3	9035002	Londiani Forest	3.2	2.2	79.7	Kenya
4	9333005	Maswa Hydromet	2.5	3.1	129.5	Tanzania
5	9134008	Nyabassi	3.9	2.3	120.0	Tanzania
6	9131001	Rubya Mission	3.8	2.3	124.8	Tanzania
7	10161	Ruvyironza	3.6	2.0	90.0	Burundi
8	9030012	Rwoho Forest	2.6	2.7	120.6	Uganda
9	8935076	Turbo Forest	3.6	2.2	82.5	Kenya

Source: Onyutha and Willems (2015)

To further characterize rainfall, a particular day with its rainfall intensity less (greater) than 1mm/day was considered dry (wet). Relevant information on wet and dry conditions in the study area was, as shown in **Figure 2**, given in terms

of the long-term mean of: (i) monthly rainfall totals (**Figure 2a**), (ii) seasonal and annual rainfall totals (**Figure 2b**), (iii) the Maximum Wet Spell in each year (MWS, **Figure 2c-d**), (iv) the Maximum Dry Spell in each year (MDS, **Figure 2e-f**), (v) the total Number of Wet Days in each year (NWD, **Figure 2g-h**), and (vi) the total Number of Dry Days in each year (NDD, **Figure 2i-j**). The metrics MDS, NWD, NDD, and MWS were determined from rainfall of daily time scale.

As seen from **Figure 2a-b**, different seasons exist in the months of January-to-February (JF), March-to-May (MAM), July-to-September (JJAS), and October-to-December (OND). It is noticeable that rainfall is of a bi-modal pattern. The "long" rains occur in the MAM season (**Figure 2a**) and the main dry season is in JJAS. However, at Stations 3 and 9 as well as 5 to some extent, rains are somewhat received during the JJAS season. Rainfall conditions at Stations 3, 5 and 9 found in the North Eastern part of the LVB (compared with locations in other parts of the study area) are characterized by considerably large MWS and NWD (**Figure 2c, g**) as well as small MDS and NDD (**Figure 2e, i**). The smallest long-term annual rainfall, MWS, MDS, NWD, and NDD were obtained at Stations 4, 1, 5, 4, and 2, respectively. The corresponding largest values were obtained at Stations 5, 3, 4, 2, and 4, respectively. The slopes of the linear trends fitted to the dry and wet conditions at the various stations (**Figure 2d, f, h, j**) varied across the study area. Whereas MWS exhibited a decrease in the rainfall at some stations, negative trends were found at other locations. Similarly, both negative and positive trends were found across the study area in MDS, NWD, and NDD. In summary, **Figure 2** clearly shows the spatio-temporal variability in rainfall across the LVB. Thus, it is vital to assess if the rainfall variability can be adequately reproduced by climate models.

Figure 2 Rainfall totals for (a) monthly, and (b) seasonal and annual time scales as well as (c)–(j) information on wet and dry conditions in terms of long-term mean of (c) MWS, (d) MDS, (g) NWD, and (i) NDD, and (d, f, h, j) corresponding slopes of linear trends over the period 1961–1990.

2.3 Climate Models

The list of the three sets of climate model simulations used including CMIP3 and CMIP5 which respectively formed the basis of the fourth and fifth IPCC assessment reports, as well as CORDEX over the African domain can be seen from **Table 2**. For each set of simulations, a total of 14 climate models were considered.

From each GCM or RCM considered, daily data from the climate of the 20th Century experiment (20C3M) over the period 1961–1990 were obtained. Furthermore, daily future projection series of the periods 2046–2065 (2050s) and 2081–2100 (2090s) were used for low (B1) and high (A2) greenhouse gas emission scenarios (CMIP3), and for low (RCP4.5) and high (RCP8.5) Representative Concentration Pathways (RCPs) (CMIP5 and CORDEX Africa). The storylines from the selected IPCC special report emission scenarios of the CMIP3, with respect to the detailed socio-economic pathways, can be found in Nakicenovic et al. (2000). The RCPs are based on the global energy imbalances (radiative forcings) in watts per square meter by the year 2100. For the CMIP3, CMIP5 and CORDEX, if a climate model had several simulation runs, only the first run was considered for analyses. This was to eliminate bias due to the selection of model simulation runs. Some further details on the climate models can be found in **Appendix A**. The GCMs of the CMIP3 and CMIP5 simulations were selected for analyses following their use in previous studies (Onyutha et al. 2016; Nyeko-Ogiramoi et al. 2012b) for investigation of the impacts of climate change on the rainfall across the LVB.

Table 2. Selected climate models

SNo	CMIP3 GCMs	CMIP5 GCMs	CORDEX RCMs
1	GISS-AOM	BCC-CSM1.1-M	CCLM4-8-17-MPI-ESM-LR
2	BCCR-BCM2.0	BNU-ESM	CCLM4-8-17-CNRM-CM5

3	CGCM3.1(T47)	CANESM2	CRCM5-MPI-ESM-LR
4	CGCM3.1(T63)	CSIRO-MK3.6.0	CRCM5-CanESM2
5	GFDL-CM2.0	CNRM-CM5	HIRHAM5-NorESM1-M
6	GFDL-CM2.1	FGOALS-G2	RACMO22T -EC-EARTH
7	INM-CM3.0	GFDL_CM	RACMO22T -HadGEM2-ES
8	MPI- ECHAM4-OM	GFDL-ESM2G	RCA4-MIROC5
9	ECHAM5/MPI-OM	IPSL-CM5A-MR	RCA4-HadGEM2-ES
10	ECHO-G	MIROC-ESM	RCA4-GFDL-ESM2M
11	MIROC3.2(hires)	MIROC-ESM-CHEM	RCA4-CM5A-MR
12	MIROC3.2(medres)	MPI-ESM-LR	RCA4-CSIRO-Mk3-6-0
13	CSIRO-MK3.0	MPI-ESM-MR	REMO2009-CM5A-LR
14	CSIRO-MK3.5a	MRI-CGCM3	REMO2009-MPI-ESM-LR

3 Methodology

To investigate how well the climate models reproduce observed rainfall variability, a number of metrics were used. To select each metric, the fact that variability analyses depend on the relevant time scale was taken into perspective. For instance, to analyze decadal anomalies in rainfall, 10-year time scale can be selected. To investigate the co-occurrences (or association) of events in observed and climate model based series, the metrics correlation and coefficient of variation were used. Assessment was also made to find whether the climate models replicate the measure of long-range dependence from

observed rainfall. Variability of rainfall greatly influences the potential climate change impacts. In this study, changes in the MDS, and MWS were considered because variation in wet and dry spells is important for planning risk-based water resources applications.

3.1 Coefficient of variation

Coefficient of variation (CV) (which is the ratio of the standard deviation to the mean) was computed for the rainfall of daily and annual time scales. Values of the CV were also obtained for the MDS, and MWS. The values of CV computed using observed rainfall and those based on series obtained from climate models (considering CMIP3, CMIP5, and CORDEX simulations) were compared.

3.2 Co-variation of observed rainfall and historical data from climate models

The measure of how closely the historical re-analyses rainfall from the climate models resonate with the observed data was computed in terms of Pearson correlation. This was done for daily and annual time scales. Like for the CVs, the coefficients of correlation between observed and climate model based series were also computed using the metrics MDS, and MWS considering CMIP3, CMIP5, and CORDEX simulations.

3.3 Measure of long-range dependence

Some classical statistical metrics CV, mean, correlation, *etc.* assume that the sample comprises independent, and identically distributed variables. However, this assumption tends to be violated in reality based on the nature of hydro-climatic variables which are characterized by persistence. Observations far away in time tend to rely on one another, and this feature can be considered as the Long-Range Dependence (LRD), a metric which is very crucial in characterizing the variation in a given data. The LRD can be measured in terms of the Hurst exponent H (Hurst 1951). A typical white noise exhibits $H = 0.5$. In reality, values of H tends to be greater than 0.5 and thus, associated with large-scale and/or multiple-scale variability in the series. In other words, $H > 0.5$ indicates that successive values in the series depend on previous values. For instance, if a value is greater than the mean value, the next value is supposed to be greater than the sample mean with a probability greater than 0.5. Therefore,

H is a measure of persistence. The stronger the persistence, the larger the value of H . The computation of H was based on the framework proposed by Koutsoyiannis (2003a; 2003b). The Hurst exponent H computed using observed daily rainfall and those based on series obtained from climate models (considering CMIP3, CMIP5, and CORDEX simulations) were compared.

3.4 Changes in rainfall

To assess the impact of climate change, the historical rainfall and those projected by the climate model runs were compared. The changes in rainfall were obtained in terms of the ratio of the difference between the future and observed rainfall CV to the observed rainfall CV. This was done based on the CMIP3, CMIP5, and CORDEX simulations.

4 Results

4.1 Variation in wet and dry conditions

Figure 3 shows correlation coefficients and values of CV for rainfall over the climate baseline (1961-1991). The minimum difference between the ensemble mean of the CV values from the climate models and the CV of the observed rainfall was obtained at Station 5 (for CMIP3, **Figure 3a**), Station 7 (for CMIP5, **Figure 3c**), and Station 8 (for CORDEX, **Figure 3e**). At Station 2, most climate models (CMIP3, CMIP5, and CORDEX) under-estimated the variability in MDS of observed rainfall. The ranges of the CV values were narrower for CORDEX (**Figure 3e**) than those of the CMIP3 and CMIP5 (**Figure 3a, c**). Furthermore, for each set of climate model simulations (CMIP3, CMIP5, and CORDEX), the range of the CV values varied from one station to another (**Figure 3a, c, e**). This indicates the differences among the climate models in capturing the rainfall variability across the study area. The correlation between MDS of observed rainfall (considering all the stations) and the series

from climate models were mainly between -0.45 and 0.45 (**Figure 3b, d, f**). The ensemble mean of the correlation coefficients was low (close to zero) for all the sets of climate models. This means that, by considering the averaged results of the climate models, dry spell conditions across the study area are poorly reproduced by the CMIP3, CMIP5, and CORDEX simulations.

Figure 3 Comparison of MDS from observed rainfall and series obtained from climate models of (a)–(b) CMIP3, (b)–(c) CMIP5, and (e)–(f) CORDEX. The filled-in markers in charts (a), (c) and (e) denote the CV values obtained using observed rainfall.

Figure 4 shows results for the co-variation of the MWS obtained from observed rainfall series extracted from the climate models over the climate baseline (1961–1991). At each selected station, the CV of the MWS of observed rainfall is over-estimated by the GCMs of the CMIP5 simulations (**Figure 4c**).

Generally, the range of the CVs is lower for the CORDEX RCMs than those from the GCMs of the CMIP3 and CMIP5 simulations (**Figure 4a, c, e**). The range of the coefficients of the correlation between the MWS from observed series and that of the observed rainfall (considering all the stations) varies from -0.42 to 0.41 (CMIP3, **Figure 4b**), -0.5 to 0.51 (CMIP5, **Figure 4d**), and -0.51 to 0.58 (CORDEX, **Figure 4f**). Like for the dry spell as shortly seen before, the ensemble mean of the correlation coefficients is low (close to zero) for all the sets of climate models. This, again, means that the capability of the climate models (when considered in an averagely combined way) in capturing the variability of wet spell across the study area is low.

Figure 4 Comparison of MWS from observed rainfall and series obtained from climate models of (a)–(b) CMIP3, (b)–(c) CMIP5, and (e)–(f) CORDEX. The filled-in markers in charts (a), (c) and (e) denote the CVs obtained using observed rainfall.

4.2 Variation in daily rainfall

Figure 5 shows an assessment of the Hurst phenomenon using daily rainfall series. The smallest (highest) value of the Hurst exponent H in the observed rainfall was obtained at Station 1 (Station 3). These values of H are greater than 0.5 (which is known to characterize white noise). The GCMs of the CMIP3 (**Figure 5a**) tended to generally over-estimate the values of H from observed daily rainfall. The best performance of the CMIP3 models was at Station 3 (**Figure 5a**). The CMIP5 models, on average, performed fairly well at Stations 2, 4, and 9 (**Figure 5b**). The best performance for the CORDEX was at Stations 2–3, 6–7, and 9 (**Figure 5c**). The performance of the climate models in

reproducing the multiple-scale variability in daily rainfall was, on average, best for the CORDEX RCMs (**Figure 5c**) followed by CMIP5 GCMs (**Figure 5b**) and lastly the CMIP3 GCMs (**Figure 5a**). This suggests that the ability of the climate models to reproduce the large-scale random fluctuations in the series increases with the spatial resolution of the model outputs. It is noticeable that the values of H from observed rainfall are mainly below the ensemble mean obtained from the GCMs (especially of the CMIP3) models. In other words, the outputs of the climate models are more persistent than the observed series. This high persistence in the model outputs indicates that the present value can depend on that obtained several years ago. In response to the persistently increasing greenhouse gas concentrations with which the models are forced, the long-term rainfall series from the climate models tend to be characterized by monotonic trends (deterministic function of time).

Figure 5 Comparison of Hurst parameter (H) from observed and climate model based daily rainfall of (a) CMIP3, (b) CMIP5, and (c) CORDEX. The filled-in markers in each chart denote the values of H from observed rainfall.

Figure 6 shows results of analyses of the co-variation of the observed and climate model based rainfall of daily time scale. It is noticeable that the variability in observed rainfall is generally under-estimated by the CMIP3 GCMs (**Figure 6a**) compared with the results from the CMIP5 GCMs and the CORDEX RCM simulations (**Figure 6c, e**). The largest range of CV is obtained for CMIP5, followed by CORDEX and lastly CMIP3. Due to high variability in daily rainfall, the ensemble mean of coefficients of correlation are low for all the three sets of climate models (**Figure 6b, d, f**). This indicates, on average, reduced capacity for climate models to correctly capture the time of occurrences and the magnitude of daily rainfall events (when considered in a combined way) which are of direct relevance for planning of risk-based water resources applications.

Figure 6 Comparison of observed and climate model based daily rainfall of (a)–(b) CMIP3, (b)–(c) CMIP5, and (e)–(f) CORDEX. The filled-in markers in charts (a), (c) and (e) denote the CV values from observed rainfall.

4.3 Variation in annual rainfall

Figure 7 shows the assessment of how well the climate models reproduced the annual rainfall. The CV in observed rainfall was generally over-estimated by the CMIP3 and CMIP5 (**Figure 7a, c**) compared with the results from the CORDEX (**Figure 7e**). Like for the MDS and MWS (as already seen in **Figure 3** and **Figure 4**), the ensemble mean of the correlation coefficients (**Figure 7b, d, f**) for all the three climate models were close to zero. This, again, shows the reduced capacity of the climate models, on average, to accurately reproduce variability in observed rainfall across the study area.

Figure 7 Comparison of observed and climate model based annual rainfall. The filled-in markers in charts a), c) and e) denote the CV values from observed rainfall.

4.4 Changes in rainfall

Figure 8 shows the amount by which, on average, the CV of future annual rainfall will change compared with that of the 1961-1990 period. The largest changes in the variability of annual rainfall were found in the CMIP5 models. At most of the selected meteorological stations, the CMIP3 and CMIP5 projected an increase of variability in annual rainfall. However, based on the CORDEX simulations, CV in the future annual rainfall of the 2050s and 2090s will be negative (positive) at meteorological stations 1–2, 5, and 7–8 (3–4, and 6). At each station, the projections of the CV in annual rainfall based on CMIP3, CMIP5 and CORDEX simulations were different. This is indicative of the influence of the selection of the set of climate models for investigation of climate change impacts. Though not shown in **Figure 8** (for brevity), changes in the CV of daily rainfall, MDS and MWS can be seen in **Appendix B**.

According to CORDEX RCMs, the variability in the future MDS at Stations 1–2, 4–5, and 7–8 will be lower than that of the 1961-1990 period. The CMIP3 simulations also showed less MDS variability under future than past climatic conditions at Stations 2, 4, and 6–7 (**Appendix B1**). However, based on the CMIP GCMs, the MDS variability will be higher (lower) in the 2090s and 2050s than that of the climate baseline (1961-1990). The CMIP5 GCMs generally show that the CV of the future MWS will be higher than that of the observed MWS at all the selected stations. At Stations 1–2, 5 and 7 (3–4, 6 and 9), the CMIP3 projections showed that there will be a decrease (an increase) in the CV of MWS in the 2050s and 2090s compared to that of the 1961–1990 period (**Appendix B2**). According to the CORDEX simulations, a decrease (an increase) in the CV of the MWS will be exhibited at Stations 5, 7–8 (1–4, and 6). Ensemble mean of the CV in daily rainfall under future climatic conditions based on the CMIP3 and CMIP5 simulations was found to be higher than those of the observed or past (1961–1990) period (**Appendix B3**). On the contrary,

the CORDEX simulations, indicated that the CV of daily rainfall at most locations will be less for the future than that of the past climatic condition. In summary, the ensemble amount by which the variability in the MDS and MWS will change under future (compared with the past) climatic conditions was found to vary from one set of climate models to another (see **Appendix B**). Even for the past climatic conditions, the differences in how the variability in observed rainfall in terms of dry and wet spells could be reproduced was already noted to vary from one set of climate models to another (see **Figure 3** and **Figure 4**).

Figure 8 Ensemble mean changes in the CV of annual rainfall for (a-b) CMIP3, (c-d) CMIP5, and (e-f) CORDEX RCM simulations over the periods 2050s and 2090s

5 Discussion

5.1 *Variation in wet and dry conditions*

The reduced capacity of the climate models to accurately capture the variability in both wet and dry conditions across the study area could be due to their limitation in accurately representing the complexity of various factors which might synergistically influence the variation in rainfall of the Equatorial region. As seen from the Introduction Section, some of the key factors that need to be adequately reproduced by climate models regarding the driving forces of rainfall variability across the study area include: the large-scale ocean-atmosphere interactions, the latitudinal migration of ITCZ, influences from regional topographical features such as water bodies (e.g. Lake Victoria, Lake Kyoga, Lake Albert, Lake Turkana), and high mountains (such as Mount Elgon, Mount Rwenzori, and Mount Kenya). How each factor influences rainfall should be considered with respect to natural variability in both dry and wet conditions. For instance, with respect to the Walker circulation, the increased (reduced) convection in the positive (negative) phase of the Indian Ocean dipole is known to engender wet (dry) conditions in the equatorial region where the study area is located.

Currently, the GCMs have horizontal resolutions of between 250 and 600 km (DDC 2015). The main problem with the GCMs are that they have coarse spatial resolution, lack proper modelling of some physical processes such as those related to clouds at smaller scales, simulation of different responses due to various feedback mechanisms, for instance water vapor and warming, clouds and radiation, ocean circulation and/or ice and snow albedo (Onyutha et al.

2016). With respect to spatial resolution, the coarse scale makes the GCMs to represent the orography in a smoothened way thereby reducing the capability to depict the influence of topographical features on rainfall variability across regions. Thus, the need for refined spatial resolutions of the climate models. For the CORDEX climate models, the spatial resolution of the RCMs are greatly refined (such as grid-spacing of 0.44°) for a more considered representation of the regional climate than the GCMs. However, even in the CORDEX simulations, significant biases in individual models depend on the selected sub-region and season (Akinsanola et al. 2015). These biases could be due to various reasons. For instance, the bias in the CORDEX RCM based precipitation over West Africa was recently ascribed to the strong cyclonic circulation observed at 850 mb pressure level (Akinsanola et al. 2015). Generally, for the CORDEX results over the continent of Africa, many sources of uncertainty need to be identified and quantified for an improved robustness in generating climate change signals (Dosio and Panitz 2016) as well as reproducing natural variability of observed climatological variables.

Despite the need to improve the climate models, it is vital to note that quality long-term observed series are required. In many areas of Africa especially the sub-Saharan region where the study area is located, weather stations are of poor or uneven distribution and low density. Historical development, political turmoil, and lack of maintenance of data observation equipment interrupt the operability of several weather stations (Onyutha 2018a). Eventually, the number of operational weather stations tend to vary in both space and time. Although cases of missing data records tend to be tackled through in-filling and spatial interpolation based on data from other stations, such variation of weather stations in space and time can bring about exaggerated rainfall variability (Onyutha 2018a) and bias in trends (Maidment et al. 2015; Washington et al. 2013). For data scarce regions, uncertainties from a number of sources such as model input series (due to observation errors, data limitation, in-filling of missing data values, etc), and the incompatibility of the model structure with data availability, altogether lower the accuracy and reliability of model predictions (Onyutha and Willems 2018). One might think that data scarce regions (like the study area) have a number of Freely Available Rainfall Products (FARPs) to circumvent challenges of observed data limitation. Examples of such FARPs include the 'Centennial Trends' rainfall dataset (Funk

et al. 2015), Climatic Research Unit Time-Series (Harris et al. 2014), African Rainfall Climatology (Novella and Thiaw 2013), Princeton Global Forcings (Sheffield et al. 2006), Global Precipitation Climatology Project (Adler et al. 2003), Tropical Rainfall Measuring Mission (Huffman et al. 2007), etc. However, long-term FARPs tend to be coarse (monthly or annual), and those that have high (daily or hourly) temporal resolution are over short periods. Furthermore, for these FARPs there is always the concern of their consistency and accuracy in capturing high-resolution extreme events (such as of temperature and rainfall in both space and time) which are of paramount importance regarding the climate change impacts. Besides, for predictive adaptation regarding the impacts of climate variability and change, long-term and high-resolution weather data are required for reliable non-stationary assessment of extreme events over epochs characterizing cold/hot and wet/dry conditions (Onyutha 2017). Therefore, if long-term and high quality observed weather conditions in terms of meteorological series such as rainfall, temperature, evaporation, etc are available, they would support reliable calibration of climate models prior to predictions of future climatic conditions. It is imperative that the government or policy makers in data scarce regions (for instance where the study area is located) generously invest in collection of weather data at high spatial and temporal scales, and clear policies on data sharing to permit access by researchers in support of scientific research should be adopted (Onyutha 2018b).

5.2 Multi-scale variability

Due to the refinement in the spatial scale, it is interesting that the climate models seem to improve on their capacity to replicate the multi-scale variability in rainfall (as demonstrated for the study area). Although, in this study, statistical LRD was assessed in terms of the Hurst parameter, it was remarked by Kerkhoven and Gan (2011) that the Hurst exponent approach (as well as that

based on autocorrelation) can fail in the presence of systematic trends (such as seasonal cycles, regulation, or climatic change). To simulate effects of anthropogenic emissions, an increasing concentration of greenhouse gases is the only persistent temporal forcing (MacKellar et al. 2014). Eventually, long-term outputs (such as rainfall and temperature) from climate models tend to be characterized by monotonic trends. Hurst exponent computed from series with monotonic trends tend to be affected (something which might have been the case for this study though not examined). Furthermore, when uncorrelated data are superimposed on a long-term trend, they will exhibit autocorrelation (Bhattacharya et al. 1983). To determine an underlying multifractal behavior of time series in the presence of long-term trends, Kantelhardt et al. (2002) developed multifractal detrended fluctuation analysis (MFDFA). Though not applied in this study (for brevity), the multifractal properties of observed and climate model based rainfall can be assessed using MFDFA.

Changes in rainfall will occur under future climatic conditions due to their persistence. Thus, for reliable assessment of long-term rainfall changes, natural variation in rainfall should adequately be characterized in terms of the statistical dependence. This means, climate models can still further be improved with respect to natural variability of rainfall at local scales. However, for this improvement, whether concepts of statistical dependence (such as fractality, spatio-temporal scaling behavior or self-similarity) of variables such as rainfall can explicitly contribute in that respect is a crucial consideration. For instance, if persistence in observed rainfall is to be sufficiently depicted, the rising and falling trends in the past climatology should adequately be captured by the climate models. One example of a framework through which the Hurst phenomenon can be considered is the Markovian framework. In this approach, only the latest observations influence the future probabilities. In another way, the Hurst-Kolmogorov HK (Kolmogorov 1940; Hurst 1951) framework can be utilized. Here, the entire record of the past realizations is taken into account to condition the simulations of future climatic conditions (Koutsoyiannis 2011). Important note that can be taken is that, consideration of the HK framework would lead to larger estimates of uncertainty for the future climate than in the case when the predicting models do not take the HK into account.

The rationale for adequately reproducing the statistical dependence in rainfall is two-fold. Firstly, the natural variation in rainfall over most parts of the world is

so significant that it can conceal climate change signal (Sun et al. 2018). Secondly, statistical dependence properties (such as scaling behavior) of rainfall can be transmitted to river flow through rainfall-runoff generation process. This was why, for instance, Tessier et al. (1996) found the power spectra of both rainfall and runoff time series were characterized by two distinct features something which these authors associated with the time scale of atmospheric structures of planetary extent (that is, the synoptic scale). An unconditional sample uncertainty associated with the natural variability (within even a perfectly stable climate) comprises a significant source of uncertainty in predicting the response of a catchment to future climate change (Kerkhoven and Gan 2011). For instance, Kerkhoven and Gan (2011) showed (for river flows of Athabasca River Basin and Fraser River Basin of Canada) that uncertainties associated with multifractal variation in flow (which apparently depends on rainfall) were huge, on the order of $\pm 50\%$, and comparable with the level of uncertainty due to GCM selection.

6 Conclusions

This paper assessed the performance of three sets of climate models (CMIP3, CMIP5 and CORDEX simulations) in reproducing variability in observed rainfall. A case study was conducted using data from the Lake Victoria Basin in Africa. From the daily rainfall, the Maximum Dry Spell (MDS) and Maximum Wet Spell (MWS) in each year were extracted. For both observed and climate model based rainfall, coefficients of variation in the MDS and MWS were computed. How well the climate models reproduced the MDS and MWS in

observed rainfall was assessed in terms of correlation. The measures of long-range dependence obtained from observed and climate model based daily rainfall were compared. From this study, the following conclusions were made:

- 1) For the selected period 1961-1990, the CORDEX RCMs reproduce variability in daily rainfall (across the case study area), to some extent, better than the CMIP3 and CMIP5 GCMs. This was because of the spatial resolutions of the CORDEX RCMs which were higher than those of the CMIP3 and CMIP5 GCMs. However, on average, both GCMs and RCMs exhibited reduced capacity to adequately capture the time of occurrences and the magnitudes of observed daily rainfall events (when considered in a combined way).
- 2) How well the variability in observed wet and dry conditions could be reproduced depends on the specific GCM (for CMIP3 or CMIP5) or RCM (for the CORDEX). Whereas some models performed well, others were poor in reproducing observed wet and dry conditions.

Furthermore, the ensemble amount by which the variability in the wet and dry conditions will change under future (compared with the past) climatic conditions was found to vary from one set of climate models to another (and even among the GCMs or RCMs). Thus, differences in rainfall variability among the various sets of climate models (as well as among the GCMs or RCMs) should be considered in climate change impact investigations. Realizing that the reasonableness of the future rainfall projections depends on how well the climate models reproduce variability in observed rainfall, the finding from this study suggests that analyses can be done on a case by case basis. In other words, to minimize bias in the future rainfall projections, GCMs or RCMs which

adequately reproduce variability in wet and dry conditions from observed rainfall can be considered for further statistical analysis of the changes especially on the basis of statistical methods for downscaling.

- 3) For reliable assessment of long-term rainfall changes, natural variation in rainfall should adequately be characterized in terms of the statistical dependence. Room for further improvement of the climate models (with respect to natural variability of rainfall at local scales) still exists. However, for this improvement, whether concepts of fractality, spatio-temporal scaling behavior or self-similarity (of variables such as rainfall) can explicitly contribute in that respect is a crucial consideration.

Furthermore, as opposed to the common practice of evaluating performance of climate models by comparing their outputs with the observed series over the well-known climate baseline (1960–1990), it is recommended that the split-sample technique (Klemeš 1986), a long tradition of model build up and performance evaluation (in which there are both calibration and validation processes), be adopted for GCMs or RCMs. Calibration can be done based on a period of, say, 30 years, and for the next 30–year period, validation is performed. In other words, the data for validation is separate from that used in calibration. Reasonableness of future projections would be based on how well a

climate model reproduces natural variability over both calibration and validation periods.

Finally, whereas the ensemble measure of how variability in observed rainfall could be replicated was shown to be low for the various sets of climate models, it is not intended that the overall message from this paper be taken in a negative way. The results from this study are rather to underscore the significance of adequately characterizing natural variability in observed climatology to boost confidence on the predictions from climate models regarding future climatic conditions.

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Appendix A. Further details on the climate models

Table A1. Information on selected climate models of the CMIP3 simulations

SNo	CMIP3	Institution
1	GISS-AOM	NASA / Goddard Institute for Space Studies
2	BCCR-BCM2.0	Bjerknes Centre for Climate Research
3	CGCM3.1(T47)	Canadian Centre for Climate Modelling & Analysis
4	CGCM3.1(T63)	
5	GFDL-CM2.0	US Dept. of Commerce / NOAA / Geophysical Fluid Dynamics Laboratory
6	GFDL-CM2.1	
7	INM-CM3.0	Institute for Numerical Mathematics
8	MPI- ECHAM4-OM	Max Planck Institute for Meteorology
9	ECHAM5/MPI-OM	

10	ECHO-G	Meteorological Institute of the University of Bonn, Meteorological Research Institute of KMA, and Model and Data group.
11	MIROC3.2(hires)	Center for Climate System Research (The University of Tokyo), National Institute for Environmental Studies, and Frontier Research Center for Global Change (JAMSTEC)
12	MIROC3.2(medres)	
13	CSIRO-MK3.0	CSIRO (Commonwealth Scientific and Industrial Research Organisation) Atmospheric Research
14	CSIRO-MK3.5a	

Table A2. Information on selected climate models of the CMIP5 simulations

SNo	CMIP5	Institution
1	BCC-CSM1.1-M	Beijing Climate Centre, China Meteorological Administration
2	BNU-ESM	College of Global Change and Earth System Science, Beijing Normal University
3	CANESM2	Canadian Centre for Climate Modelling and Analysis
4	CSIRO-MK3.6.0	Commonwealth Scientific and Industrial Research Organisation in collaboration with the Queensland Climate Change Centre of Excellence
5	CNRM-CM5	Centre National de Recherches Météorologiques/Centre Européen de Recherche et Formation Avancée en Calcul Scientifique
6	FGOALS-G2	LASG, Institute of Atmospheric Physics, Chinese Academy of Sciences; and CESS, Tsinghua University
7	GFDL_CM	Geophysical Fluid Dynamics Laboratory
8	GFDL-ESM2G	

9	IPSL-CM5A-MR	Institut Pierre-Simon Laplace
10	MIROC-ESM	Atmosphere and Ocean Research Institute (The University of Tokyo), National Institute for
11	MIROC-ESM-CHEM	Environmental Studies, and Japan Agency for Marine-Earth Science and Technology
12	MPI-ESM-LR	Max Planck Institute for Meteorology (MPI-M
13	MPI-ESM-MR	
14	MRI-CGCM3	Meteorological Research Institute

Table A3. Information on selected climate models of the CORDEX simulations

SNo	CORDEX	RCM	GCM
1	CCLM4-8-17-MPI-ESM-LR	CCLM4-8-17	MPI-ESM-LR
2	CCLM4-8-17-CNRM-CM5	CCLM4-8-17	CNRM-CM5
3	CRCM5-MPI-ESM-LR	CRCM5-MPI	MPI-ESM-LR
4	CRCM5-CanESM2	CRCM5	CanESM2
5	HIRHAM5-NorESM1-M	HIRHAM5	NorESM1-M
6	RACMO22T -EC-EARTH	RACMO22T	EC-EARTH
7	RACMO22T -HadGEM2-ES	RACMO22T	HadGEM2-ES
8	RCA4-MIROC5	RCA4	MIROC5
9	RCA4-HadGEM2-ES	RCA4	HadGEM2-ES
10	RCA4-GFDL-ESM2M	RCA4	GFDL-ESM2M
11	RCA4-CM5A-MR	RCA4	CM5A-MR
12	RCA4-CSIRO-Mk3-6-0	RCA4	CSIRO-Mk3-6-0
13	REMO2009-CM5A-LR	REMO2009	CM5A-LR
14	REMO2009-MPI-ESM-LR	REMO2009	MPI-ESM-LR

Note: the institutions for the GCMs are as those in **Table A2** except for:

CanESM2 : Canadian Centre for Climate Modelling and Analysis

NorESM1-M : Norwegian Climate Centre

HadGEM2-ES: Met Office Hadley Centre

GFDL-ESM2M: Geophysical Fluid Dynamics Laboratory

MIROC5 : the same institution with MIROC-ESM

Appendix B. Ensemble mean projections for the CV of MDS, MWS and daily rainfall

Appendix B1 Ensemble mean changes in the CV of MDS for (a-b) CMIP3, (c-d) CMIP5, and (e-f) CORDEX RCM simulations over the periods 2050s and 2090s

Appendix B2 Ensemble mean changes in the CV of MWS for (a-b) CMIP3, (c-d) CMIP5, and (e-f) CORDEX RCM simulations over the periods 2050s and 2090s

Appendix B3 Ensemble mean changes in the CV of daily rainfall for (a-b)

CMIP3, (c-d) CMIP5, and (e-f) CORDEX RCM simulations over the periods 2050s and 2090s