| 1  | Online warning systems for individual fattening pigs based on their feeding pattern  |
|----|--|
| 2  | Jarissa Maselyne <sup>a,b</sup> , Annelies Van Nuffel <sup>a</sup> , Petra Briene <sup>a,b</sup> , Jürgen Vangeyte <sup>a</sup> , Bart De    |
| 3  | Ketelaere <sup>b</sup> , Sam Millet <sup>c</sup> , Janne Van den Hof <sup>d</sup> , Dominiek Maes <sup>d</sup> , Wouter Saeys <sup>b,*</sup> |
| 4  | <sup>a</sup> ILVO (Institute for Agricultural and Fisheries Research), Technology and Food Science Unit,                                     |
| 5  | Agricultural Engineering research area, Burg. van Gansberghelaan 115 bus 1, 9820   |
| 6  | Merelbeke, Belgium   |
| 7  | <sup>b</sup> KU Leuven, Department of Biosystems, MeBioS, Kasteelpark Arenberg 30, 3001 Leuven,  |
| 8  | Belgium  |
| 9  | <sup>c</sup> ILVO, Animal Sciences Unit, Scheldeweg 68, 9090 Melle, Belgium  |
| 10 | <sup>d</sup> Ghent University, Department of Obstetrics, Reproduction and Herd Health, Porcine Health  |
| 11 | Management, Salisburylaan 133, 9820 Merelbeke, Belgium   |
| 12 | *Corresponding author: <u>wouter.saeys@biw.kuleuven.be</u>   |
| 13 | <i>E-mail addresses: jarissa.maselyne@ilvo.vlaanderen.be</i> (J. Maselyne),  |
| 14 | annelies.vannuffel@ilvo.vlaanderen.be (A. Van Nuffel), petra.briene@gmail.com (P. Briene),   |
| 15 | jurgen.vangeyte@ilvo.vlaanderen.be (J. Vangeyte), <u>bart.deketelaere@kuleuven.be</u> (B. De   |
| 16 | Ketelaere), <u>sam.millet@ilvo.vlaanderen.be</u> (S. Millet), <u>jannevandenhof@hotmail.com</u> (J. Van                                      |
| 17 | den Hof), <u>dominiek.maes@ugent.be</u> (D. Maes), <u>wouter.saeys@kuleuven.be</u> (W. Saeys)  |
| 18 | Abstract   |
| 19 | For sustainable pork production and maximum pig welfare, all health, welfare and productivity  |
| 20 | problems in the barn should be detected as early as possible. In this paper, an automated  |
| 21 | monitoring and warning system is proposed. Based on measurements of the feeding pattern, it  |

is able to generate daily alerts for individual fattening pigs. Using historical data, the following

types of warning systems were developed: (1) fixed limits that treat all pigs and all days equally; 23 and (2) time-varying individual limits using the concept of Synergistic Control. These types of 24 limits were constructed either for the number of registrations per pig or the average interval 25 26 between feeding visits of a pig, leading to four warning systems in total. These warning systems were used to generate alerts during an online validation period. During an entire fattening 27 period, all pigs were individually monitored to establish true alerts, false alerts and missed 28 problems. The best performance was achieved for the Synergistic Control method on the 29 number of registrations, with a sensitivity of 58.0 %, specificity of 98.7 %, accuracy of 96.7 % 30 and precision of 71.1 %. Severe problems were detected on average within 1.3 days from the 31 32 start of the problem. These are promising results that provide a solid basis for the development of a system for individual pigs but further improvements are warranted to make the system 33 more practical. 34

35 Keywords: pigs, feeding pattern, warning system, RFID, Synergistic Control, decision support

# 36 Nomenclature

- 37 # reg number of registrations
- 38 ADG average daily gain
- 39 ARL<sub>0</sub> in-control average run length
- 40 ARL<sub>1</sub> out-of-control average run length
- 41 avIVI average inter-visit interval
- 42 EPC engineering process control
- 43 FN false negative
- 44 FP false positive

| 45 | LCL  | lower control limit            |
|----|------|--------------------------------|
| 46 | n    | number of pig-days             |
| 47 | NaN  | not a number                   |
| 48 | RFID | radio frequency identification |
| 49 | SGC  | synergistic control            |
| 50 | SPC  | statistical process control    |
| 51 | TN   | true negative                  |
| 52 | ТР   | true positive                  |
|    |      |                                |

54 **1 Introduction** 

UCL upper control limit

53

55 In pig farming, disease control, animal welfare and production efficiency are important factors to help ensure sustainable pork production and maintain an economically viable farm. 56 Therefore, it is important that health, welfare and productivity problems in the barn are detected 57 and treated early. As the sector intensifies and farms and groups of pigs become larger, visual 58 monitoring of the pigs as a sole tool for problem detection could be suboptimal. Visual 59 monitoring gives only a snapshot-view on the animals appearance (Heitkämper et al., 2011; van 60 der Heuvel et al. 2004) and is often more focused on the group level than the individual level 61 in pig farming. Automated monitoring or Precision Livestock Farming (PLF) (see for example 62 Wathes et al. (2008) and Banhazi et al. (2012)) allows to monitor the livestock online and 63 continuously (Matthews et al, 2016). The automatically gathered measurement data can be 64 transformed into information for the farmer and support the farmer's decision making-process 65

66 (Cornou and Kristensen, 2013). Using the right techniques, automated monitoring can also be67 done at the individual pig level, allowing for individual, custom-made care.

Disease, welfare and productivity problems can have an impact on the feeding pattern of a pig 68 (Brown-Brandl et al., 2013; Hart, 1988; Hessel and Van den Weghe, 2011), such as a reduced 69 feeding time or longer intervals between visits. Therefore, a system to measure individual pigs' 70 71 feeding patterns has recently been developed and validated (Maselyne et al., 2014a; Maselyne et al., 2014b). Using high frequency (HF) Radio Frequency Identification (RFID), each pig's 72 attendance at the feeder is registered (Maselyne et al., 2014a). From these raw data, feeding 73 pattern variables such as the number and duration of feeding visits and pauses between feeding 74 visits of a single pig throughout the day can be calculated (feed intake was not measured) 75 76 (Maselyne et al., 2016). The present study investigated whether abnormal changes in the feeding pattern of a pig can be detected automatically and used as an (early) indicator for health, 77 welfare and productivity problems. 78

To detect abnormal changes in the feeding pattern of a pig, fixed limits (the same limit for all 79 pigs and days) can be constructed. However, it has been shown that using a Synergistic Control 80 (SGC) procedure can be a better, alternative option for monitoring livestock production systems 81 82 (Mertens et al., 2011). SGC combines the power of Engineering Process Control (EPC) and Statistical Process Control (SPC) (Montgomery, 2009). In SPC, control limits allow to 83 84 differentiate abnormal variation from normal variation (due to age, seasonal effects, etc.). The 85 EPC step pre-treats the raw livestock production data to meet the assumptions of the statistical control chart in the SPC step. Thanks to this combination, the online SGC procedure allows to 86 use pig-specific control-limits, which can be updated with every new measurement. Any 87 88 abnormal variation detected can then be signalled to the farmer as an alert for a specific pig. Promising results have already been obtained with this SGC approach for monitoring process 89

parameters of flocks of laying hens (Mertens et al., 2008; Mertens et al., 2009) and milk yield
of individual dairy cows for mastitis detection (Huybrechts et al., 2014).

92 Therefore, the aims of the present study were (1) to develop several warning systems with fixed 93 limits or variable, individual limits on promising variables of the feeding pattern, based on 94 historical data; (2) to validate and compare these warning systems online by comparing the 95 alerts with detailed observations.

#### 96 2 Materials and methods

# 97 2.1 Animals and housing

The pigs were housed in an automatically ventilated barn at the experimental farm of ILVO (Melle, Belgium). They were housed in four identical pens. Each pen measured 4.3 m by 9 m with approximately 40 % slatted concrete floor and 60 % solid concrete lying area. In addition to natural light, artificial lighting was provided from 7:00 to 21:00. Water was supplied *ad libitum* via nipple drinkers. Dry pelleted feed was automatically supplied using Swing MIDI feeders (Big Dutchman Pig Equipment GmbH, Vechta, Germany). The pigs were fed a commercial feed with 9.3 MJ net energy, 15.50 % crude protein and 0.92 % lysine *ad libitum*.

The experiments included two batches of fattening pigs; one was used as a 'historical dataset' to develop the warning systems and then these warning systems were validated online in a 'validation period'. Experiments were in accordance with EU Directive 2010/63/EU for animal experiments.

## 109 2.1.1 Historical data

110 The warning systems were developed using the data of a fattening period with 152 pigs from 111 January to May 2014. Pen 1 and 4 were filled with 19 barrows and 19 gilts each of about 10 112 weeks old (Hybrid sow x Piétrain boar; weight equally distributed), and 18 days later also pen 113 2 and 3 were filled with the same amount of pigs. Starting weight was  $24.8 \pm 3.9$  kg 114 (mean  $\pm$  standard deviation) and the measurements ended at  $108.2 \pm 12.0$  kg, with an average 115 daily gain (ADG) of  $0.72 \pm 0.09$  kg. Four nipple drinkers and two feeders were present per pen. 116 Animal/feeding place ratio was 4.75/1 for young pigs (four pigs could eat from one feeder) and 117 9.5/1 for older pigs (two pigs could eat from one feeder), based on observations. Other 118 observations included a daily check by the animal caretakers, weekly weight measurements for 119 the pigs of one pen and three-weekly weight measurements for all pigs in the barn.

120

# 2.1.2 Online validation period

The warning systems were then validated online during a fattening period with 140 pigs between January and May 2015. The four pens were filled at the same day with 15 or 16 barrows and 20 or 19 gilts each of about 10 weeks old (Hybrid sow x Piétrain boar), weighing 24.3  $\pm$ 3.6 kg. Measurements ended at 111.9  $\pm$  12.7 kg, and the pigs grew 0.70  $\pm$  0.09 kg per day. In the validation period, two nipple drinkers and one feeder were present per pen (animal/feeding place ratio 8.75 to 17.5/1). Using combinations of coloured ear tags, each pig could be identified visually. Observations were more detailed and are described in section 2.5.

#### 128 2.2 RFID system

129 A detailed description of the RFID system can be found in previous work (Maselyne et al., 2014a; Maselyne et al., 2014b). The HF RFID system consisted of tags (placed on the pigs' 130 131 ears – in this case one tag per ear was used), antennas (placed on the feeders), multiplexers and 132 readers connected to a computer. Attendance at the feeder was registered for individual pigs. These registrations are not continuous during feeding, but instead happen every  $3 \pm 3$  s on 133 average (with two tags per pig) (Maselyne et al., 2016). This was inherent to the system 134 135 (Maselyne et al., 2014b). A lower height of the antenna allows better registrations of small pigs, 136 but when the pigs grow larger, it is more comfortable for them to eat when the antenna is placed higher. Therefore, the antenna height was usually changed once per fattening period. In the 137

fattening period producing the historical data, the height of the RFID antennas was increased from 46 to 50 cm when the pigs were 78 days in pen 2 and 3; in the other pens the height was always 46 cm. In the online validation period, height of the RFID antennas was increased from 46 to 50 cm at day 92 for two pens and day 94 for the other pens.

#### 142 **2.3 Feeding pattern**

From the RFID registrations, feeding visits were constructed. This was done using a bout 143 criterion, which is the maximum time gap between registrations of a pig at a feeder to consider 144 these registrations as part of one feeding visit. A bout criterion of 10 s, when using two tags per 145 pig, was found to be optimal for reconstruction of the real feeding pattern from previous 146 analysis (Maselyne et al., 2016). More information on visit construction can be found in 147 Maselyne et al. (2016). From the RFID-based feeding visits, several variables of the feeding 148 pattern can be calculated, such as the number of visits, duration of visits and average gap 149 between visits throughout the day. For development of the warning systems in this study, 150 151 number of RFID registrations per pig (# reg, which is correlated with observed feeding duration (Maselyne et al., 2014a; Maselyne et al., 2016)) and average interval between RFID-based 152 feeding visits (avIVI) were used. These variables were considered promising for problem 153 154 detection, as pigs could be expected to eat for shorter durations throughout the day and have longer pauses in-between visits when they are diseased or stressed (Brown-Brandl et al., 2013; 155 Hessel and Van den Weghe, 2011). The # reg also has the advantage of being raw data, without 156 the processing step needed to construct visits (Maselyne et al., 2016). The avIVI has the 157 advantage that it shows little normal variation compared to the other possible variables. 158

159 **2.**4

# 2.4 Warning system construction

In total, four warning systems were constructed based on the historical dataset. The twovariables used are *#* reg and avIVI per day, for each individual pig. For each of these variables

a fixed limit (the same threshold for every day and every pig) was determined. Also, daily time-varying individual limits were constructed using the concept of SGC.

The results were then compared with the problems and treatments of the pigs as noted by the 164 caretakers and with the recorded weights of the pigs in the historical dataset. No daily follow-165 up of the problems was available and the exact timing of the problems was not known 166 167 accurately, as there were mostly only records of treatments. Therefore, a problem that lasted several days was taken as one problem and the analysis was based on the number of problems 168 and not on the number of days with problems. Alerts were considered true when during or 169 directly preceding detected problems, treatments or deaths, or when average daily growth was 170 below 0.40 kg per day between two weight measurements. Problems were considered detected 171 172 when at least one alert occurred that was during or directly preceding this problem. Weight problems were not considered in the list of missed problems. Performance (at problem-level, 173 not day-level) was calculated as: 174

$$Problem \ detection \ rate = \frac{\# \ detected \ problems}{\# \ detected \ problems + \# \ missed \ problems}$$

$$Precision = \frac{\# true \ alerts}{\# true \ alerts + \# false \ alerts}$$
(1)

 $Estimated \ sensitivity = \frac{\# \ true \ alerts}{\# \ true \ alerts + \# \ missed \ problems}$ 

Specificity and accuracy were not calculated as the exact timing and duration of the problems
were not known. All analyses were performed using MATLAB R2010b (The MathWorks, Inc.,
Natick, Massachusetts, USA).

#### 178 **2.4.1** Fixed limits

The fixed limits were chosen between the 0.5<sup>th</sup> and 1<sup>st</sup> percentiles in the data for # reg (should be above a certain threshold to be normal); and 99.5<sup>th</sup> and 99.9<sup>th</sup> percentiles in the data for avIVI (should be below a certain threshold to be normal). Other percentiles did not give good results. Some values between the percentiles were examined with the performance criteria in equation (1). The fixed limit with the best performance was then selected.

#### 184 2.4.2 Synergistic Control (SGC)

185 The SGC procedure consisted of a (series of) model(s) in the EPC step and a control chart in the SPC step (De Ketelaere et al., 2011; Mertens et al., 2011). First, the statistical characteristics 186 of the RFID based feeding variables were analysed. Stationarity was analysed using a plot of 187 the time series and an Augmented Dickey-Fuller test (adftest, MATLAB R2010b). Normality 188 was checked based on a histogram, a normal probability plot and a Lilliefors test on the 189 individual pigs' data (lillietest, MATLAB R2010b). Autocorrelation in the data was analysed 190 using a scatter plot of  $(y_t, y_{t+1})$  and the autocorrelation function. Based on this analysis, a 191 192 (series of) model(s) is used in the EPC step to pre-treat the raw data to meet the assumptions 193 (stationarity, independence and normally distributed) related to the SPC step. The used models 194 were chosen based on the characteristics and patterns in the feeding variables. To achieve stationarity, typically a trend model is used with parameter values adapted to the subject (in this 195 196 case the individual pig). Then, an ARMA model can be used to correct for autocorrelation present in the data. Finally, if the data are not normally distributed, the choice of the control 197 chart in the SPC step must be adapted to this (Montgomery, 2009). 198

A Shewhart control chart for individual measurements (especially designed for the detection of large process shifts) was then applied to the residuals (raw data minus EPC model estimates) in the SPC step. A Shewhart control chart was chosen to establish the potential of SGC on individual pig measurement data, because serious health and welfare problems are expected to result in large process shifts. The data were visualized on a control chart and control limits weredetermined. With *x* the residuals, the control limits are typically equal to

$$control \ limits = \ \bar{x} \pm 3 \ \frac{\overline{MR}}{d_2} \tag{2}$$

205

206 With  $\overline{MR}$  the average of the moving ranges of two observations  $MR_i = |x_i - x_{i-1}|$ ;

207  $d_2$  a parameter dependent on the sampling size, for sampling size equal to one this is  $d_2 =$ 208 1.128 (Chapter 6 and Appendix Table VI in Montgomery, 2009).

However, deviations from these standard control limits are possible. Control limits can be oneor two-sided and extra sensitizing rules can be added (extra rules designed to generate or discard
alerts) (Montgomery, 2009). Points outside the control limits are considered out-of-control,
whilst all other points are in-control.

For an online, recursive procedure, the model and control limits were initialised for every 213 individual pig during a five-day reference period (initial values were recursively estimated 214 215 based on in-control data-points alone). If using only this procedure, no alerts would be 216 generated during this reference period. After the reference period, for every new measurement point (so for every day) the residuals were compared with the control limits. If the data-point 217 218 was considered in-control, it was then used to update the model and control limits for the next 219 measurement point. For the model estimation, it was investigated whether all previous datapoints should be considered or only the most recent measurement points (use of a time window 220 221 in which only the most recent points are considered to estimate the model or use of a forgetting factor (weighing of the data-points with larger weights for more recent points)). Days with 222 technical problems that hindered the RFID measurements for several hours were considered as 223

'missing data' and received the value NaN (not a number). Also the out-of-control points were
set at NaN for calculation of the models and control limits to avoid that the model would accept
this out-of-control data as normal behaviour.

The final choices made for the design of the model and control chart were based on the resultsof the performance criteria in equation (1) for the resulting chart for the historical dataset.

# 229 2.5 Observations validation period

During the online validation period, normal routine observations were performed daily by theanimal caretakers. Observed problems and performed treatments were noted in a logbook.

On every week-day, two observers performed a detailed check-up of the individual pigs. One observer entered the pens to observe the pigs closely and to encourage every lying pig to stand up and walk. The other person observed from outside the pen and made notes. All abnormalities were noted and attention was given to all aspects of the pigs' appearance and behaviour. If fever was suspected, rectal temperature was measured. Historical observation data of the pigs were available to follow-up on previously noted problems.

The alerts for the four warning systems, as developed based on the historical dataset, were 238 generated daily on week-days using the data of the previous day. The alerts for Friday, Saturday 239 and Sunday were generated separately on Monday. After the check-up by the observers, every 240 241 pig that had an alert for a warning system was observed closely, using a check-list containing 242 activity, position of the ears, soiling of the skin, body shape and condition, nerve symptoms, respiration, lameness, swellings, skin, eyes, ears, snout, perineum and limbs. Rectal temperature 243 was measured and each of these pigs was also scored for skin, ear and tail lesions, soiling, body 244 245 condition, bursitis and lameness. The scoring systems used were in accordance with the Welfare Quality Protocol (Welfare Quality®, 2009) or elaborated (in the case of ear and tail biting) 246 247 according to the experience of trained observers and according to Telkänranta et al. (2014).

On a two-weekly basis, all pigs were weighed and their rectal temperature was measured. At this occasion, abnormalities were also noted and each pig was scored for skin, ear and tail lesions, soiling, body condition, bursitis and lameness.

Every two weeks, a veterinarian performed a thorough clinical check-up of each of the pens (with the observers present), looking for any irregularities in the pigs (general condition, respiration, diarrhoea, locomotion, skin, body condition and barn conditions). If necessary, treatments were performed or suggested for the animal caretakers to perform.

Pigs that were found dead or had to be euthanized were sent to a diagnostic laboratory (Animal
Health Care Flanders) for necropsy, and possible follow-up diagnostic work to establish a
conclusive diagnosis. A detailed report was sent back to the observers.

At the slaughterhouse, all carcasses were identified individually to link each pig to its carcass data. The lungs were individually examined and scored for presence of pneumonia, fissures and pleurisy according to the scoring method used by Michiels et al. (2015). The total area of lung tissue affected by pneumonia lesions representing the severity of these lesions was calculated (Morrison et al., 1985). The liver was scored for the presence or absence of white spots and also the presence of abscesses in the lungs was noted.

## 264 **2.6 Performance evaluation**

During the validation period, the four warning systems were used online (every day new alerts were generated). By comparing these alerts to the extensive observations performed on a daily basis, performance of the warning systems was determined. The performance was based on the following values:

$$Sensitivity = \frac{TP}{P}$$
(3)

$$Specificity = \frac{TN}{N}$$
$$Accuracy = \frac{TP + TN}{P + N}$$

$$Precision = \frac{TP}{TP + FP}$$

- 269 *With TP = number of true positives = number of true alerts;*
- 270 FP = number of false positives = number of false alerts;
- TN = number of true negatives = number of pig-days when no alert occurred and no problem
- 272 *was present;*
- FN = number of false negatives = number of pig-days when no alert occurred, but a problem
- 274 was present and should have been detected (missed problems);
- 275 P = TP + FN = number of positives = number of pig-days with problems necessary to detect;
- N = TN + FP = number of negatives = number of pig-days where no problem was present.

As reference data, every pig's status was categorized each day as 'green', 'orange' or 'red', as 277 illustrated in Table 1. A pig with status green did not have any health, welfare or productivity 278 279 problems and should thus not give an alert. If an alert was generated for this pig, it was 280 considered a false alert or false positive (FP). A pig with status orange had mild problems, which results in a true alert or true positive (TP) when an alert was present. It was, however, 281 282 not considered necessary to detect these problems. So, if no alert was present, this was 283 considered a true negative (TN) as well. A pig with status red on the other hand should be detected by the system. So, an alert on that day was true and if no alert was present, the problem 284 285 was missed (false negative (FN)). The status was based on the observations and extrapolated when no data were available for that pig on that specific day (for example during weekends). 286

A list of criteria was established at the beginning of the fattening period to determine the status 287 288 of the pigs as objectively as possible, as indicated in Table 1. This list of criteria was only a base-line, as many types of problems exist and they can be very variable and occur 289 290 simultaneously. Status orange was used for a series of problems that do not always require treatment and that, depending on the underlying cause and the individual pig, can vary widely 291 292 in the effect they can have on the pig's general condition (activity, vitality, pain, welfare). It is 293 likely that these problems will not always relate to a change in the feeding pattern and both spontaneous healing and an aggravating condition can evolve from these conditions. However, 294 295 for early detection of problems and welfare issues, it is very important to include these 296 problems.

297 As part of these criteria, an ADG lower than 0.40 kg (which is below 60% of the total ADG of 298 the periods) between two weight measurements equalled one day with red status (so one alert necessary) during that period. An ADG < 0.25 kg / day (which is below 40% of the total ADG 299 300 of the periods) was set equal to two days with red status between the two weight measurements (requiring two alerts). In addition, as a low growth is not likely to be due to a problem on a 301 single day, all days during the two weeks preceding a weight measurement where an ADG < 302 303 0.40 kg was noted were considered status orange. As the daily growth was overall lower in the first two weeks of the fattening period, only pigs with ADG < 0.25 kg / day were considered in 304 those weeks. 305

A pig with fever was considered status red when its rectal temperature was > 40 °C (Hulsen and Scheepens, 2005). However, during the first three weeks it was noticed that normal body temperatures were very high as the pigs were still young. Therefore, in the first three weeks of the fattening period a temperature between 40 °C and 40.5 °C received status orange, while status red was  $\geq$  40.5 °C. Pigs could also have elevated body temperatures during weighing due to stress. Pigs with elevated temperatures during weighing were either measured again later on in the pens or the rectal temperature measured in the weighing scale was only considered red if it was  $\ge 40.3$  °C, as this would be too high to be caused by stress alone.

Performance of the four warning systems was calculated using the formulae in (3). It was also 314 split up for days with orange status and days with red status. The performance of a control chart 315 is often also expressed in terms of the average run length (ARL) of the control chart 316 317 (Montgomery, 2009). Two types of average run length can be calculated. The in-control ARL (ARL<sub>0</sub>) indicates the average time between false alerts and should thus be as long as possible 318 319 (Mertens et al., 2011). The ARL<sub>0</sub> was calculated for the best performing warning system as the average time (across the pigs) until the first false alert. Dead and removed pigs were not 320 considered (because these have a shorter measurement period), and the time till the first alert 321 was taken as the number of full measurement days before a false alert was generated for a pig. 322 The **out-of-control ARL** (ARL<sub>1</sub>) or average time to signal is the average ARL until an alert is 323 given after a shift of the process. This represents the speed of detection and should be as short 324 325 as possible (Mertens et al., 2011). The ARL<sub>1</sub> was calculated as the speed of detection for all 'red blocks' (uninterrupted blocks of days with a red status). The same was done for the 'red 326 blocks' that last more than one day. Finally, the FP and FN were analysed for the best 327 performing control chart and some specific cases were analysed in more detail. 328

329 **3 Results** 

# 330 **3.1** Warning systems based on historical dataset

#### 331 **3.1.1** Overview of the historical dataset

In the historical dataset, days with technical problems related to the RFID measurements or the feed and water supply were not considered in the further data analysis. These were four days for the entire barn and an extra 2, 2, 4 and 19 days for the separate pens. Also pigs which had lost an ear tag were removed (four pigs in total).

Data of pigs that were found dead, euthanized or removed were used until the day of removal. 336 337 Seven pigs of the first batch died and one pig was euthanized. The entire barn had to be treated for coughing in the beginning of the fattening period. Individual treatments were for coughing 338 (one instance), lameness (29 instances and 11 repetitions), stiffness in the limbs (1), severe skin 339 lesion (1) and general illness (3 and 3 repetitions). Thirty problems (which could last multiple 340 days) were determined as necessary to detect. The other 13 problems were considered 'nice to 341 342 detect', but not considered necessary to detect, as these occurred during technical problems. Weight problems were also considered 'nice to detect'. For the pigs that were weighed weekly 343 (one pen), all pigs grew less than 0.40 kg / day in the first week. Afterwards, another 20 pigs 344 345 (in total 30 weeks) grew less than 0.40 kg / day. In the other pens, where the pigs were weighed every three weeks, 48 times (for 43 pigs) the daily growth was < 0.40 kg / day, of which 39 346 times were during the first three weeks. 347

In Figure 1, the two RFID based feeding variables are illustrated for four healthy pigs in the 348 349 historical dataset. No problems were noticed by the caretakers for these pigs. So, in theory no abnormal points should be detected. The bottom plots in Figure 1 (pig 136 and 150) correspond 350 to pigs held in the pens where the height of the RFID antennas was increased from 46 to 50 cm 351 352 at day 96 after the start of the entire fattening period (indicated with a black vertical line). As can be seen, especially in the # reg measured for pig 150, this change in the height of the RFID 353 antennas had an influence on the registered feeding pattern, as the # reg dropped after changing 354 the antenna height. This drop in # reg varied between pigs. After visual inspection of the # reg, 355 an effect of changing the antenna height was found for about 38 % of the pigs in those pens. 356

Each pig has its individual feeding pattern and inter- and intra-individual variation is clearly present in the plots in Figure 1. A common feature is the sudden decrease of the # reg after the first days in the pen and a recovery afterwards, but this recovery has different magnitudes, delays, durations and time-trends for the different pigs. The avIVI tends to increase for some pigs towards the end of the period in Figure 1, but again the data look very different for thedifferent pigs.

#### 363 3.1.2 Fixed limits

For the **fixed limit # reg** the 0.5<sup>th</sup> percentile was 222 registrations and the 1<sup>st</sup> percentile was 361 registrations per day. The fixed limit was set at 350 registrations (sum of both tags). For the historical dataset, this resulted in 145 alerts of which 58.6 % were true alerts, corresponding to a problem detection rate of 56.4 % and an estimated sensitivity of 83.3 %.

For the fixed limit avIVI the 99.5<sup>th</sup> percentile was 1.18 hr and the 99.9<sup>th</sup> percentile was 3.45 hr.
The fixed limit was set at 1.2 hr. This resulted in 75 alerts of which 49.3 % were true alerts,
corresponding to a problem detection rate of 56.3 % and an estimated sensitivity of 72.6 %.

The fixed limits are summarized in Table 2 and shown as black horizontal lines in Figure 1.

372 3.1.3 Synergistic Control (SGC)

After analysis of the statistical characteristics of the measured data in the historical dataset, 373 374 normality was found to be sufficient and the data-series were found to be non-stationary. The non-stationarity in the feeding pattern variables of the pigs can be clearly observed in Figure 1. 375 376 However, this non-stationarity varied between pigs. Therefore, a recursive linear regression model was used to model the non-stationarity in the feeding pattern variables for each pig 377 378 separately, hereafter called the trend model. The residuals, calculated as raw data minus model 379 estimate, were found to be stationary. Autocorrelation in the residuals was present for some pigs, but not consistent throughout the pigs. Therefore, no model was used to correct for the 380 autocorrelation present in the data (the EPC step of the SGC procedure thus includes only a 381 trend model). A Shewhart control chart was applied directly to the residuals of the linear 382 regression model. 383

For the SGC # reg a one-sided lower control limit was used (except during the reference period, 384 where a two-sided control limit was used to obtain in-control points). Using the standard control 385 limit  $LCL = \bar{x} - 3 \frac{\overline{MR}}{d_2} = -2.66 \overline{MR}$  (see equation (2)), with premise  $\bar{x} = 0$  for the residuals, 386 the number of alerts for the historical dataset was too high (2747 alerts) and increased towards 387 the end of the period. Therefore, wider limits were tested, as well as using only the most recent 388 389 data for the model ('sliding window' of a certain length) and several sensitizing rules. A good performance was found for the control limit  $LCL = -4 \overline{MR}$ . For the estimation of the linear 390 391 regression model per pig only the last 30 in-control measurement points were used, without forgetting factor. So, a 'sliding window' of length 30 days was used for the model and the 392 measurement points were all weighted equally. Also, measurement points were always 393 considered in-control when the # reg was equal to or larger than 2000, and out-of-control when 394 there were less than 350 registrations of that pig on that day (so an extra fixed limit). These 395 396 settings led to 234 alerts of which 41.5 % were true alerts, corresponding to a problem detection rate of 68.3 % and an estimated sensitivity of 88.2 %. 397

The optimal Shewhart control chart for the SGC avIVI was with a one-sided upper control 398 limit (except during the reference period, where a two-sided limit was used). Also here, wider 399 400 limits, a sliding window and sensitizing rules were necessary to reduce the number of alerts to an acceptable level compared to the number of problems that were seen in the barn. The control 401 limit used was:  $UCL = 6 \overline{MR}$ . The linear regression model per pig was again based on the last 402 403 30 observations (sliding window of length 30). Measurement points were always considered in-control when the avIVI was lower than 0.25 hr. These settings led to 245 alerts, of which 404 26.4 % were true alerts. Also, 70.3 % of the problems were detected and an estimated sensitivity 405 of 85.5 % was reached. 406

407 The details for the SGC methods are summarized in Table 2 together with those for the fixed408 limits.

#### 409 **3.2** Online validation of the warning systems

## 410 **3.2.1** Overview of the validation period

In total, there were 124 complete measurement days on 140 pigs. Days with technical problems related to the RFID measurements were excluded from the analysis: seven days for the entire barn plus two days for one pen. These technical problems were a transmission error in the RFID measurement software which stopped the measurements automatically (three times) or a crash of the measurement computer (once). The problem which lasted two days in one pen was due to a loose contact in the RFID antenna cable after increasing the height of that antenna. These problems required an observer to spot the problem and restart the measurements manually.

Also, data of pigs that were found dead, euthanized or removed were only used up until the day of removal. Twenty-one pigs did not make it until the end of the online validation period, six were found dead, five had to be euthanized (due to bad body condition and severe suffering with no prospects of recovery) and 10 pigs were removed from the trial for welfare reasons (attacked by other pigs, severely lame, very bad body condition, etc.). Of the removed pigs, most also had to be euthanized later on, because they did not recover.

A variety of problems occurred and several treatments were necessary. In total, nine ear tags were lost on seven pigs. Lost ear tags were replaced as soon as possible. The ADG between two weight measurements was below 0.40 kg per day 134 times, spread across 96 pigs. Of these 134 occurrences, an ADG < 0.25 kg was measured 52 times for 43 pigs in total. During the first two weeks after introduction in the pens, the ADG was only 0.34 kg per day for the entire barn, 83 pigs grew less than 0.40 kg per day and 26 of these pigs grew even less than 0.25 kg. As 430 mentioned in Table 1, during the first two weeks only the pigs with an ADG < 0.25 kg were 431 considered to have an orange or red status.

After removal of days with technical problems and pigs that were no longer in the barn, a total 432 of 14 634 pig-measurement days remained. Of these pig-days, 12 709 had status green assigned 433 to them, while 1 380 were given status orange and 545 days received status red. During one 434 weekend (day 68-69) a lot of alerts were given by the system, after checking these pigs on 435 Monday, a lot of pigs had high temperatures or even fever, but they were recovering. Suspected 436 437 was that there was a health problem in the groups during the weekend and this weekend was considered status orange for all pigs. Excluding this weekend, only 20 pigs received the status 438 green across the entire fattening period. One hundred and eight pigs received at least one orange 439 440 status, while the red status was spread across 98 pigs, with 86 pigs receiving at least one orange and red status. 441

#### 442 **3.2.2** Performance of the warning systems applied online

The overall performance of the four warning systems applied online during the validation 443 period is summarized in Table 3, together with the performance for the different statuses 444 separately (green, orange, red). For the SGC avIVI, no alerts are generated during the reference-445 446 period of five days to initialise the model and control limits. Any missed problems during that period count as FN for the SGC avIVI. For SGC # reg, alerts were generated the first five days 447 448 because of the added fixed limit. The SGC methods have a better overall performance than the 449 use of a fixed limit for both variables. Specificity and accuracy are always high. However, to 450 be useful in practice, the sensitivity and precision of the system should also be high. The number of missed problems and the number of false alerts should be minimal. If sensitivity and 451 452 specificity are considered equally important, SGC # reg is the best performing control chart.

Sensitivity was higher for days with a red status than for days with an orange status (e.g. 453 27.7 percentage points higher for SGC # reg). Severe problems are thus better detected than 454 mild problems. Note that some orange days are also due to the ADG < 0.40 kg, which cannot 455 be attributed to a single problem day, but was instead attributed to the entire two weeks between 456 weight measurements. As it is likely that for some pigs the productivity problem was in reality 457 not equally spread or present across the entire two weeks, it is also likely that the feeding pattern 458 was normal during some of those orange days. This could also partially explain the lower 459 sensitivity for the days with an orange status. 460

|                 | Performa          | nce of warning syste | ems applied o | online    |
|-----------------|-------------------|----------------------|---------------|-----------|
|                 | Fixed limit # reg | Fixed limit avIVI    | SGC # reg     | SGC avIVI |
| Sensitivity [%] | 48.5              | 22.1                 | 58.0          | 41.5      |
| Specificity [%] | 99.0              | 99.2                 | 98.7          | 99.1      |
| Accuracy [%]    | 96.6              | 96.1                 | 96.7          | 96.4      |
| Precision [%]   | 71.2              | 54.6                 | 71.1          | 69.8      |
| # alerts        | 475               | 240                  | 609           | 407       |
| ТР              | 338               | 131                  | 433           | 284       |
| FP              | 137               | 109                  | 176           | 123       |
| TN              | 13 800            | 13 931               | 13 711        | 13 827    |
| FN              | 359               | 463                  | 314           | 400       |
| % alerts on day | ys with           |                      |               |           |
| green status    | 28.8              | 45.4                 | 28.9          | 30.2      |

461 The average run lengths of the SGC # reg were also calculated (

| orange status                 | 32.0 | 20.4 | 33.2 | 34.2 |  |
|-------------------------------|------|------|------|------|--|
| red status                    | 39.2 | 34.2 | 37.9 | 35.6 |  |
| Sensitivity [%] for days with |      |      |      |      |  |
| orange status                 | 11.0 | 3.6  | 14.6 | 10.1 |  |
| red status                    | 34.1 | 15.0 | 42.4 | 26.6 |  |

Table 4). Note that since an alert for the data of a certain measurement day could only be generated the next day (when 24 h of data were collected); the ARL<sub>1</sub> was always minimum one day.

# 466 **3.2.3 Detailed results on specific cases**

467 Specific cases are shown to illustrate the warning systems. First an example of a good detection
468 is shown, then the FP and FN of the SGC # reg are analysed and the pigs with the most FP and

469 FN are shown in more detail.

| Average run lengths of SGC # reg        |              |  |  |  |
|---|--------------|--|--|--|
|   | False alerts |  |  |  |
| Average # of FP per day                 | 1.5          |  |  |  |
| Average # of FP per pig                 | 1.3          |  |  |  |
| $ARL_0 = average time till first false$ | 101.0        |  |  |  |
| alert (days)                            |              |  |  |  |
|   |              |  |  |  |

|                                   | Detection of all red     | <b>Detection of red blocks &gt; 1</b> |
|-----------------------------------|--------------------------|---------------------------------------|
|                                   | blocks ( <i>n</i> = 213) | day $(n = 90)$                        |
| % of red blocks detected          | 40.8                     | 64.4                                  |
| % of positive detections on first | 89.7                     | 84.5                                  |
| day of process shift              |                          |                                       |
| $ARL_1$ = average speed of        | 1.3                      | 1.4                                   |
| detection (days)                  |                          |                                       |

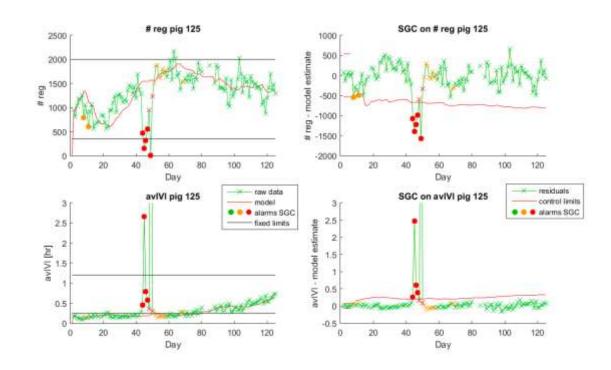


Figure 2 the results of the warning systems are illustrated for **pig 125**. This pig has two orange days at the beginning of the period (thin and longer hair; new mild lameness). Between day 44 and day 50, the pig was seen with severe lameness, fever, reduced activity and thin flanks and was thus assigned status red. Afterwards, the pig recovered (mild lameness for another three days and then no longer lame), but another eight days were status orange due to ADG of 350 g

measured at day 58. The # reg was < 350 for three days, resulting in 3 TP and 4 FN for the fixed</li>
limit # reg. The fixed limit avIVI only detected two problem-days (2 TP, 5 FN). Performance
is better for SGC avIVI (5 TP, 2 FN), but SGC # reg performed the best, with seven problemdays detected and only two missed. This pig was not noticed by the caretakers and not treated,
but did have a serious problem that affected its welfare and productivity (ADG was reduced
that period to 0.35 kg compared to 0.69 kg on average over the entire period). The fact this pig
has a problem, was however, successfully detected by the warning systems.

For the method SGC # reg the FP were analysed in more detail. In total 176 of the 609 alerts
were false. These false alerts were spread across 30 pigs. Pig number 133 had the most false
alerts, 47 in total. Other pigs with a large number of false alerts were pig 1 (17 FP), pig 39, 82
and 120 (each 14 FP).

The # reg throughout the fattening period and the corresponding control chart for pig 133 are 489 shown in Figure 3. As can be seen, all false alerts were due to the daily # reg being lower than 490 350 (so crossing the fixed limit). This pig was usually set at status green as no clear indications 491 were present that pointed towards health or welfare problems, except some coughing and 492 stiffness. Five days were set at status red and five days had status orange due to mild lameness 493 494 on two legs, fever, the weekend with possible health problems in the entire barn, or lost tags (resulting in 8 TP and 2 FN). The pig was, however, not lively nor active and internal abscesses 495 496 were found in the slaughterhouse. It was also amongst the smallest and slowest growing pigs 497 in the barn (end weight of 89 kg and ADG of 560 g).

The FP for pig 1 and pig 120 were due to the *#* reg being lower than 350 for a longer period of time after a period of problems. It is important to notice that pig 1 and pig 133 were the only pigs in the barn having floppy, hanging ears throughout the fattening period. This could hinder the registrations as the RFID ear tags would be closer to the ground and thus further from the RFID antenna during feeding than for other pigs (Maselyne et al., 2014a; Maselyne et al.,
2014b). If these two pigs (1 and 133) would be identified as poor performing outliers and
excluded from the analysis, the precision of SGC # reg would increase to 79.4 %.

Pig 39 suddenly dropped its level of feeding (from > 2000 reg to around 1500 reg), which made 505 the registered feeding pattern cross the control limit of the SGC # reg. These FP for pig 39 506 507 might be related to the change of antenna height, as the FP started at day 95, and the height of the antenna in that pen was changed at day 94. However, no other examples of periods of FP 508 directly following the change of antenna height were found. The pen-averages of the validation 509 period (data not shown) show a small decrease in the # reg around the time that the height of 510 the antennas was increased (day 92 or 94), but this effect was much smaller than for the pen-511 512 averages in the historical dataset (data not shown, 38% of the pigs affected, see section 3.1.1).

The 314 **FN** for SGC # reg were also analysed. These missed problems were spread across 87 pigs. Pig number 87 had the most FN (20 FN), directly followed by pig 72 (19 FN) and pig 68 and 84 (15 FN).

The # reg throughout the fattening period and the corresponding control chart for pig 87 (end 516 weight of 90.5 kg with an ADG of 520 g) are shown in Figure 4. The observers noticed soon 517 after the start of the fattening period that this pig had severe diarrhoea and was thin with a 518 convex back (red status day 7-8, 16). The pig subsequently showed severe thin flanks and 519 lameness at day 28 (red status). All other days between day 1 and 27, it had an orange status as 520 521 the ADG was 0.17 kg at day 1-16 and -0.03 kg at day 17-30. The red status continued from day 522 28 until day 39 with the pig also showing fever, coughing and signs of nerve symptoms or paralysis (had difficulties to use its hind legs, fell over often as a consequence). The veterinarian 523 524 stated that the latter could be a sign of pressure on the nerves in the spine and the pig was treated 525 once with an anti-inflammatory and analgesic agent (day 29) and twice with antibiotics (day 29

and 35). From day 40 onwards the pig's condition was improved (mild lameness, active; orange 526 527 status day 40-45) and the observers noticed an abscess becoming visible at the pig's back. The pig also had some days with fever and thin flanks later on when the abscess was open (open 528 529 wound) and other abscesses appeared (day 70, 77-80), leading to in total 20 days with status red. However, the SGC # reg did not give an alert for pig 87 (20 FN). An explanation for this 530 531 can be found in the large variation in the feeding pattern during the reference period, which lead 532 to wide initial control limits, while the problem started directly after the reference period. There is a drop in the feeding pattern visible, but as this occurs gradually and early in the period, the 533 model follows the data and the control chart is not able to detect the process shift in this case. 534 535 Similar observations are noted for example for pig 72, 68 and 84.

#### 536 4 Discussion

The overall best performing warning system was the Synergistic Control method on the number of registrations (SGC # reg). This system had a sensitivity of 58.0 %, specificity of 98.7 %, accuracy of 96.7 % and precision of 71.1 %. The average time until a first false alert was 101.0 days (in-control average run length ARL<sub>0</sub>) and severe problems were detected within 1.3 days on average (out-of-control average run length ARL<sub>1</sub>).

542 These results are promising and are in line with the results for other warning systems reported 543 in literature. Huybrechts et al. (2014) reported a sensitivity of 63 % for detecting mastitis based on measurements of the milk yield of dairy cows and using SGC methods. This was with a 544 cusum control chart set at an ARL<sub>0</sub> of 156 milkings, which corresponded to 60 days. On 545 546 average, clinical mastitis was detected by the control chart one milking before the farmer detected it and up to four days (eight milkings) in advance in the best case (Huybrechts et al., 547 2014). Quimby et al. (2001) used a cusum control chart to predict morbidity of calves using 548 records of their feeding behaviour. They reported an overall accuracy, precision and sensitivity 549

of 87 %, 91 % and 90 %, respectively. The cusum procedure detected animal morbidity 3.7 to 550 551 4.5 days earlier than the feedlot personnel (Quimby et al., 2001). Kruse et al. (2011) used wavelet analysis to identify water intake variation in sows due to health problems and to 552 553 differentiate between healthy and treated sows. They reported sensitivities ranging from 34 % to 83 % and specificities ranging from 32 % to 92 %. For example, a sensitivity of 49.7 % 554 corresponded to a specificity of 76.9 % and an error rate of 73.9 % (or a precision of 26.1 %) 555 556 (Kruse et al., 2011). Some other reports on warning systems only present cases and do not report 557 any performance measures (for example Engler et al., 2009; Madsen and Kristensen, 2005; Mertens et al., 2008). 558

To establish the performance of the warning systems, a 'golden' standard should be available 559 for comparison. For health and welfare problems, no real golden standard exists. In most papers 560 discussed above, the alerts were validated by comparing them to the farmers' logbooks of 561 562 treatments (Huybrechts et al., 2014; Quimby et al., 2001). This approach allows to calculate whether the warning systems were able to detect problems earlier than the farmer, but it does 563 not guarantee that no 'real problems' are missed. For the analysis performed in this study, 564 565 treatments by the caretakers were used to compare with the alerts for the historical dataset. 566 However, this might not perfectly represent the true problem status of the barn, as the status of treated pigs was not followed up before and after treatment. Also, the monitoring time per pig 567 568 is very low. Reported times spent performing a livestock check by pig farmers are between 3.6 and 6 s per pig per day (Heitkämper et al., 2011; van den Heuvel et al., 2004), corresponding 569 570 to maximum 14 min for the entire barn.

571 Since there were no data available on the number of problems that are missed by the farmers or 572 caretakers, evaluation was based on detailed visual monitoring by two observers (during several 573 hours) during the online validation period. This monitoring was made as objective as possible 574 by using the criteria established in Table 1 and the clinical assessment check-list and multiple

scoring systems. These were formulated in close collaboration with a veterinarian. In addition 575 576 to the visual monitoring by the observers (and the caretakers), the entire barn was also checked by a veterinarian every two weeks. By checking the alerts of the systems daily, a possible bias 577 578 may be present in the observations. To reduce this effect, all the pigs were checked first per pen 579 before the specific pigs with an alert were checked again in more detail. Since the performance 580 was calculated per day, the timing of the problems was also taken very strictly. If a problem 581 was observed on one day, but not on the next day, an alert for the second day was considered false. However, it is possible that the problem was still present during part of the day. Single 582 days with a red status were left red, although it can be questioned whether this was really a 583 584 severe problem as it lasted only one day. However, the duration of the problem was not known the first day it was noticed. In general, even with extensive daily observations it is not easy to 585 determine the exact health status of each pig on a daily basis. 586

For productivity problems weight measurements can be used. These measurements are 587 objective, but the weight of a pig can vary throughout the day (e.g. before or after a meal or 588 drinking bout for example). A second difficulty with weight data is that they are not gathered 589 daily, but only every couple of weeks, which makes it difficult to compare to the daily alerts. 590 591 Here, the choice was made to give a status orange during the entire period between weight measurements in case of low growth, but it is not certain that the problem was present all the 592 time. However, this choice, and the use of orange days in general, does have an effect on the 593 594 calculated performance. Since orange days are considered always a true positive or true negative, the more orange days are present, the higher the performance will often be. No better 595 596 way to deal with these mild problems was found.

597 The development of a good warning system starts with good measurement data. Therefore, the 598 registrations of the RFID system were previously validated and range measurements were 599 performed (Maselyne et al., 2014a; Maselyne et al., 2014b). A drawback that was found is that

the performance of the measurement system can be influenced by the behaviour of individual 600 601 pigs (lying down during feeding for example). However, this is not necessarily an issue for problem detection through the detection of changes in individual pigs' feeding patterns, if this 602 603 behaviour is consistent throughout time (Maselyne et al., 2014a). Also changing the height of the RFID antennas half-way through the fattening period was necessary to ensure good 604 605 registrations of young, small piglets and comfort for the larger, older pigs (Maselyne et al., 606 2014b). However, this can cause an abrupt change in the measured feeding patterns. This was noticed during the fattening period of the historical dataset, but was not that obvious during the 607 online validation period (see section 3.2.3). This could be because the pigs were older during 608 609 the online validation period when the height of the antennas was changed (92-94 days in the pens versus 78 days in the pens in the historical dataset). To avoid this unwanted influence on 610 611 the measurement data and to make the system more practical it is recommended to investigate 612 alternative solutions for this problem, such as automatically and gradually changing the height or adapting the antenna so it works for all situations. 613

Feeding behaviour can be expressed using several units (registrations, feeding visits, meals) 614 and for each unit also several variables are possible (number, duration, interval) (Maselyne et 615 616 al., 2015). Here, the choice was made to monitor the number of registrations (which is correlated with observed feeding duration (Maselyne et al., 2014a; Maselyne et al., 2016)) and the average 617 interval between feeding visits. To construct the feeding visits, an objectively determined visit 618 619 criterion was used (Maselyne et al., 2016). Also other choices of variables could have been 620 made to represent the real feeding behaviour, e.g. the duration of feeding visits (Maselyne et 621 al., 2016). The large amount of data gathered during the online validation period allows to investigate the potential of alternative variables. In the present study, the number of 622 registrations showed a sudden decrease after the first days in the pen and a recovery afterwards 623 624 (see section 3.1.1). Possible explanations for this 'dip' in the number of registrations could be

aggression, stress, coping difficulties or infection pressure related to the new barn and new penmates. The increase in registrations afterwards could be due to increased age, increased coping abilities with the new environment, but also increased size of the pigs (closer to the antenna, thus possibly better registrations). The average interval between feeding visits increased towards the end of the fattening period, which is possibly an age-effect.

Finally, also the design of the warning system influences its performance. Both fixed limits and 630 time-varying, individual control limits (established using the method of Synergistic Control) 631 were tested. Several researchers reported that the feeding pattern of pigs varied between 632 individual pigs and through time (Brown-Brandl et al., 2013; Hessel and Van den Weghe, 2011; 633 Maselyne et al., 2014a). The same results were found here (see for example Figure 1). Due to 634 this large inter- and intra-individual variation, fixed limits were less suited to detect changes in 635 individual pigs' feeding patterns and individualized monitoring could be more successful 636 (Viazzi et al., 2013). Indeed, the best performing method was the Synergistic Control method 637 638 applied to the number of registrations.

It should be noted that the performance calculated for the historical dataset only gives an 639 indication and is not complete. Only precision and problem detection rate were calculated, with 640 641 alerts assigned to problems if close enough or preceding the treatment. No sensitivity per day could be calculated, as no daily observations of the pig's status were available (only treatments, 642 643 and possible repetitions of treatments after a couple of days). Instead, an estimated sensitivity 644 was calculated as the number of true alerts divided by the sum of true alerts and the number of 645 problems that were not detected. However, this is a distorted measure which overestimates the 646 true sensitivity, as problems that are not detected were counted as one problem, while detected 647 problems were counted by the number of true alerts (possibly > 1).

648 The performance for the warning systems during the online validation period was quantified

- 649 more completely in terms of sensitivity, specificity, accuracy, precision and average run lengths
- 650 (Table 3 and

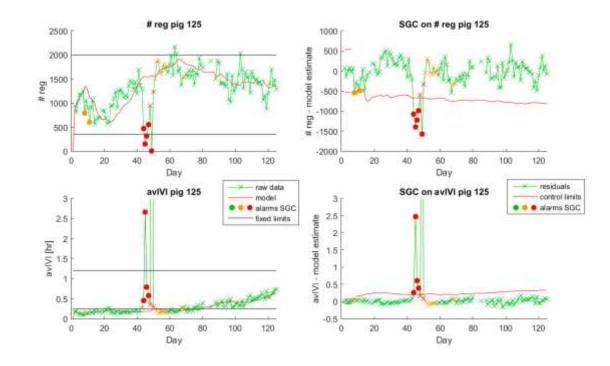
|                            | Performa          | Performance of warning systems applied online |           |           |  |  |  |  |
|----------------------------|-------------------|---|-----------|-----------|--|--|--|--|
|                            | Fixed limit # reg | Fixed limit avIVI                             | SGC # reg | SGC avIVI |  |  |  |  |
| Sensitivity [%]            | 48.5              | 22.1  | 58.0      | 41.5      |  |  |  |  |
| Specificity [%] 99.0       |                   | 99.2  | 98.7      | 99.1      |  |  |  |  |
| Accuracy [%]               | 96.6              | 96.1  | 96.7      | 96.4      |  |  |  |  |
| Precision [%]              | 71.2              | 54.6  | 71.1      | 69.8      |  |  |  |  |
| # alerts                   | 475               | 240   | 609       | 407       |  |  |  |  |
| ТР                         | 338               | 131   | 433       | 284       |  |  |  |  |
| FP                         | 137               | 109   | 176       | 123       |  |  |  |  |
| TN                         | 13 800            | 13 931  | 13 711    | 13 827    |  |  |  |  |
| FN                         | 359               | 463   | 314       | 400       |  |  |  |  |
| % alerts on da             | ys with           |   |           |           |  |  |  |  |
| green status               | 28.8              | 45.4  | 28.9      | 30.2      |  |  |  |  |
| orange status              | 32.0              | 20.4  | 33.2      | 34.2      |  |  |  |  |
| red status                 | 39.2              | 34.2  | 37.9      | 35.6      |  |  |  |  |
| Sensitivity [%]            | for days with     |   |           |           |  |  |  |  |
| orange status 11.0 3.6 14. |                   | 14.6  | 10.1      |           |  |  |  |  |
| red status                 | 34.1              | 15.0  | 42.4      | 26.6      |  |  |  |  |

Table 4), calculated based on a comparison with the observations. The observers spent much 652 653 more time in the stable than a farmer would have. So, it is most likely that the observers spotted more problems and spotted the problems also earlier. The observations also included 654 655 information that an average pig farmer would not collect, such as regular weight measurements and rectal temperatures. Additional research is recommended to also compare the performance 656 657 of the warning systems with today's monitoring by a farmer. It should also be investigated 658 which performance measure (sensitivity, specificity, precision, etc.) and which problems are most important for a pig farmer. This would allow to adapt the warning systems better to the 659 needs of the pig farmers. 660

False alerts may be attributed to a combination of measurement errors (pig not registered 661 properly), faults in the warning system (too narrow control limits or model not following the 662 data properly), a changed feeding pattern due to other influences (e.g. environment, social 663 ranking, stress) or subclinical problems that were not noticed by the observers. Problems could 664 be missed due to faults in the warning system (too wide control limits), due to the lack of 665 sensitivity for small process shifts (problem occurring gradually, see for example Figure 4) or 666 when the pig recovers from a problem and appears to be feeding again, but the symptoms are 667 still present (this was also counted as false negatives). Another reason for missed problems 668 could be that the feeding pattern variable did not change during the problem. Either the change 669 might be visible in other variables of the feeding pattern (e.g. same number of registrations, but 670 671 more at night) or the feeding pattern was still the same. To increase performance, the Shewhart control chart could be replaced or supplemented with a cusum control chart in further research, 672 673 as a cusum control chart can be more successful in detecting small, gradual process shifts (Montgomery, 2009). Also, combination of several variables into one warning system or 674 multivariate analysis might increase the performance (De Ketelaere et al., 2011). 675

676 Critical evaluation of the obtained performance values leads to the question whether problem
677 detection based on the feeding pattern would be useful for the farmer. Pigs were found to
678 sometimes recover without any attention from the caretakers or treatments (for example pig
679 125,

| Average run lengths of SGC # reg        |                          |                             |  |  |  |
|---|--------------------------|-----------------------------|--|--|--|
|   | False alerts             |                             |  |  |  |
| Average # of FP per day                 | 1.5                      |                             |  |  |  |
|   |                          |                             |  |  |  |
| Average # of FP per pig                 | 1.3                      |                             |  |  |  |
| $ARL_0 = average time till first false$ | 101.0                    |                             |  |  |  |
| alert (days)                            |                          |                             |  |  |  |
|   | Detection of all red     | Detection of red blocks > 1 |  |  |  |
|   | blocks ( <i>n</i> = 213) | day ( <i>n</i> = 90)        |  |  |  |
| % of red blocks detected                | 40.8                     | 64.4                        |  |  |  |
| % of positive detections on first       | 89.7                     | 84.5                        |  |  |  |
| day of process shift                    |                          |                             |  |  |  |
| $ARL_1$ = average speed of              | 1.3                      | 1.4                         |  |  |  |
| detection (days)                        |                          |                             |  |  |  |
|   |                          |                             |  |  |  |



681

Figure 2). However, these problems could easily affect the productivity (as was also the case for pig 125). Although the detected problems might not always correspond to necessary treatments, it is important that all pigs with welfare, health and productivity issues are brought to the attention of the farmer, such that each individual case can be properly evaluated. The measured feeding patterns also bring a lot of extra information to the farmer. A pig with clinical symptoms, but which is still feeding often might be better off than a pig with the same symptoms, but a reduced feeding level.

#### 689 Conclusion

To detect problems in individual fattening pigs, warning systems were developed to detect changes in the feeding patterns of individual fattening pigs pointing towards health, welfare and productivity problems. The individual feeding patterns were measured using an RFID system at the feeder trough. Both fixed limits (one threshold for all pigs and days) and individual, timevarying limits constructed using Synergistic Control were developed. The best performance was achieved for the Synergistic Control method on the number of RFID registrations per pig. Large inter- and intra-individual variation is present in the feeding pattern of individual pigs, justifying an individual monitoring approach. The obtained performance of the warning system is considered promising, but further improvements are still possible especially for the sensitivity and the precision. False alerts reduce the farmer's confidence in the system and for optimal pig health, welfare and performance the majority of problems should be detected. If the performance is further improved, the online warning system could make a valuable and objective tool that can help the farmer to monitor its pig herd and make well-funded management decisions, while improving the health, welfare and productivity of the pigs.

# 704 Acknowledgments

Jarissa Maselyne has been funded by a PhD grant from the Agency for Innovation by Science of Technology (IWT Flanders – project SB 111447). The authors would like to thank Liesbet Pluym, Annelies Michiels and Liesbeth De Wilde for their help during this study. Special thanks also go to the technical staff of ILVO for the work and technical support provided and to the animal caretakers for their daily care of the pigs.

710 **References** 

Banhazi, T. M., Lehr, H., Black, J. L., Crabtree, H., Schofield, P., Tscharke, M., & Berckmans,
D. (2012). Precision livestock farming: An international review of scientific and
commercial aspects. *International Journal of Agricultural and Biological Engineering*,
5, 1-9.

Brown-Brandl, T. M., Rohrer, G. A., & Eigenberg, R. A. (2013). Analysis of feeding behavior
of group housed growing-finishing pigs. *Computers and Electronics in Agriculture*, 96,
246-252.

Cornou, C. & Kristensen, A. R. (2013). Use of information from monitoring and decision
 support systems in pig production: Collection, applications and expected benefits.
 *Livestock Science*, 157, 552-567.

- De Ketelaere, B., Mertens, K., Mathijs, F., Diaz, D. S., & De Baerdemaeker, J. (2011).
   Nonstationarity in statistical process control issues, cases, ideas. *Applied Stochastic Models in Business and Industry*, 27, 367-376.
- Engler, J., Tölle, K. H., Timm, H. H., Hohls E., & Krieter, J. (2009). Control charts applied to
  pig farming data. *Archiv fur Tierzucht-Archives of Animal Breeding*, 52(3), 272-283.
- Hart, B. L. (1988). Biological basis of the behavior of sick animals. *Neuroscience & Biobehavioral Reviews*, *12*, 123-137.
- Heitkämper, K., Schick, M., & Fritzsche, S. (2011). Working-time requirement in pig fattening. *Landtechnik, 66*, 113-115.
- Hessel, E. F., & Van den Weghe, H. F. A. (2011). Individual online-monitoring of feeding
  frequency and feeding duration of group-housed weaned piglets via high frequent
- radiofrequency identification (HF RFID). Papers presented at the 5th European
   *Conference on Precision Livestock Farming EC PLF 2011*, Prague, Czech Republic:
- 734 210-222. Editors: Lokhorst C., & Berckmans D.
- Hulsen, J., & Scheepens, K. (2005). Varkenssignalen: praktijkgids voor diergericht
  varkenshouden. Roodbont Uitgeverij, ISBN 90-75280-53-X.
- Huybrechts, T., Mertens, K., De Baerdemaeker, J., De Ketelaere, B., & Saeys, W. (2014). Early
  warnings from automatic milk yield monitoring with online synergistic control. *Journal of Dairy Science*, 97, 3371-3381.
- Kruse, S., Traulsen, I., Salau, J., & Krieter, J. (2011). A note on using wavelet analysis for
  disease detection in lactating sows. *Computers and Electronics in Agriculture*, 77, 105109.
- Madsen, T. N., & Kristensen, A. R. (2005). A model for monitoring the condition of young pigs
  by their drinking behaviour. *Computers and Electronics in Agriculture*, 48, 138-154.

| 745 | Maselyne, J., Sae | ys, W.,   | & Va   | n Nuffel, | A.   | (2015). | Review:    | Quantifying | animal | feeding |
|-----|-------------------|-----------|--------|-----------|------|---------|------------|-------------|--------|---------|
| 746 | behaviour v       | with a fo | cus on | pigs. Phy | siol | ogy & B | ehavior, I | 38, 37-51.  |        |         |

- 747 Maselyne, J., Saeys,, W., Briene, P., Mertens, K. C., Vangeyte, J., De Ketelaere, B., Hessel, E.
- F., Sonck, B., & Van Nuffel, A. (2016). Methods to construct feeding visits from RFID
  registrations of growing-finishing pigs at the feeder trough. *Computers and Electronics in Agriculture*, 128, 9-19.
- Maselyne, J., Saeys, W., De Ketelaere, B., Mertens, K., Vangeyte, J., Hessel, E. F., Millet, S.,
  & Van Nuffel, A. (2014a). Validation of a High Frequency Radio Frequency
  Identification (HF RFID) system for registering feeding patterns of growing-finishing
  pigs. *Computers and Electronics in Agriculture*, 102, 10-18.
- Maselyne, J., Van Nuffel, A., De Ketelaere, B., Vangeyte, J., Hessel, E. F., Sonck, B., & Saeys,
  W. (2014b). Range measurements of a High Frequency Radio Frequency Identification
  (HF RFID) system for registering feeding patterns of growing-finishing pigs. *Computers and Electronics in Agriculture, 108*, 209-220.
- Matthews, S. G., Miller, A. L., Clapp, J., Plötz, T., & Kyriazakis, I. (2016). Early detection of
  health and welfare compromises through automated detection of behavioural changes
  in pigs. *The Veterinary Journal, 217*, 43-51.
- Mertens, K., Decuypere, E., De Baerdemaeker, J., & De Ketelaere, B. (2011). Statistical control
   charts as a support tool for the management of livestock production. *Journal of Agricultural Science*, *149*, 369-384.
- 765 Mertens, K., Vaesen, I., Loffel, J., Kemps, B., Kamers, B., Zoons, J., Darius, P., Decuypere,
- E., De Baerdemaeker, J., & De Ketelaere, B. (2009). An intelligent control chart for
- 767 monitoring of autocorrelated egg production process data based on a synergistic
- control strategy. *Computers and Electronics in Agriculture*, 69, 100-111.

| 769 | Mertens, K., Vaesen, I., Loffel, J., Ostyn, B., Kemps, B., Kamers, B., Bamelis, F., Zoons, J., |
|-----|--|
| 770 | Darius, P., Decuypere, E., De Baerdemaeker, J., & De Ketelaere, B. (2008). Data-based          |
| 771 | design of an intelligent control chart for the daily monitoring of the average egg weight.     |
| 772 | Computers and Electronics in Agriculture, 61, 222-232.   |
| 773 | Michiels, A., Piepers, S., Ulens, T., Van Ransbeeck, N., Sacristan, R. D. P., Sierens, A.,     |

- Haesebrouck, F., Demeyer, P., & Maes, D. (2015). Impact of particulate matter and
  ammonia on average daily weight gain, mortality and lung lesions in pigs. *Preventive Veterinary Medicine*, *121*, 99-107.
- Montgomery, D. C. (2009). *Introduction to Statistical Quality Control*. (6<sup>th</sup> ed.). Hoboken,
  USA, John Wiley & Sons, Inc.
- Morrison, R. B., Hilley, H. D., & Leman, A. D. (1985). Comparison of Methods for Assessing
  the Prevalence and Extent of Pneumonia in Market Weight Swine. *Canadian Veterinary Journal-Revue Veterinaire Canadienne*, 26, 381-384.
- Quimby, W. F., Sowell, B. F., Bowman, J. G. P., Branine, M. E., Hubbert, M. E., & Sherwood,
  H. W. (2001). Application of feeding behaviour to predict morbidity of newly received
- calves in a commercial feedlot. *Canadian Journal of Animal Science*, *81*, 315-320.
- Telkanranta, H., Bracke, M. B. M., & Valros, A. (2014). Fresh wood reduces tail and ear biting
  and increases exploratory behaviour in finishing pigs. *Applied Animal Behaviour Science*, *161*, 51-59.
- van den Heuvel, E. M., Hoofs, A. I. J., Binnendijk, G. P., Bosma, A. J. J., & Spoolder, H. A.
- 789 M. (2004). Grote groepen vleesvarkens. Effects of group size on fattening pigs.
   790 *Praktijkrapport Varkens 29*. Lelystad, the Netherlands, Wageningen UR.
- Viazzi, S., Bahr, C., Schlageter-Tello, A., Van Hertem, T., Romanini, C. E. B., Pluk, A.,
  Halachmi, I., Lokhorst, C., & Berckmans, D. (2013). Analysis of individual

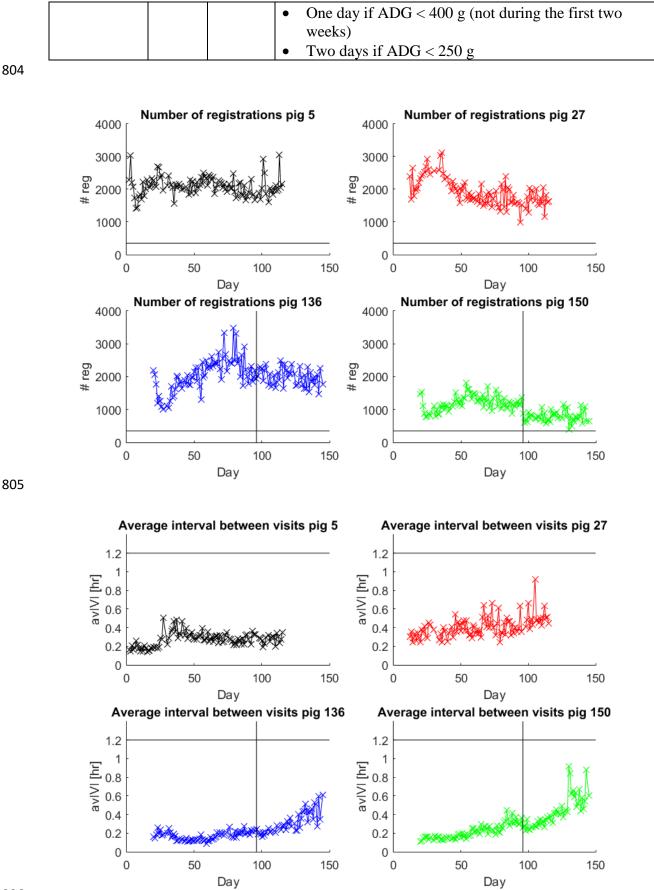
- classification of lameness using automatic measurement of back posture in dairy cattle. *Journal of Dairy Science*, *96*, 257-266.
- Wathes, C. M., Kristensen, H. H., Aerts, J. M., & Berckmans, D. (2008). Is precision livestock
  farming an engineer's daydream or nightmare, an animal's friend or foe, and a farmer's
  panacea or pitfall? *Computers and Electronics in Agriculture*, *64*, 2-10.
- Welfare Quality® (2009). Welfare Quality® Assessment Protocol for Pigs. Lelystad,
  Netherlands, Welfare Quality® Consortium.

# 801 **Table and Figure Captions**

Table 1: Criteria to determine the daily status of each pig (green – no problem, orange – mild

problem or red – severe problem) in the online validation round, based on expert observations.

| Status pig   | Alert | No<br>alert | Criteria for status  |
|--|-------|-------------|--|
| Green<br>= no<br>problem<br>( <i>n</i> = 12 907)       | FP    | TN          | <ul> <li>No problem noticed</li> <li>Single problems such as cough, small wound or swelling on the tail, stiffness, scratches</li> </ul>   |
| Orange<br>= mild<br>problem<br>(n = 1 182)             | TP    | TN          | <ul> <li>Fever ≥ 40° and &lt; 40.5° in the first three weeks</li> <li>Mild lameness: new or on more than one leg</li> <li>Severe infection of the tail</li> <li>Purple ears</li> <li>Combination of two problems (like mild lameness, thin, reduced activity, diarrhoea, abscesses or open wound)</li> <li>Entire two weeks if ADG &lt; 400 g (&lt; 250 g during the first two weeks)</li> </ul> |
| <b>Red</b><br>= severe<br>problem<br>( <i>n</i> = 545) | TP    | FN          | <ul> <li>Death</li> <li>Lost ear tag</li> <li>Fever &gt; 40° (≥ 40.5° in the first three weeks, ≥ 40.3° during weighing)</li> <li>Nerve symptoms, paralysis</li> <li>Heavy and rapid breathing</li> <li>Severe lameness</li> <li>Any problem + severe infection of the tail (or new)</li> <li>Any problem + thin flanks</li> </ul>   |



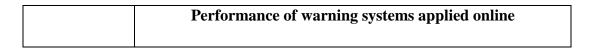
| 807 | Figure 1: (a) Number of registrations (# reg) and (b) average interval between feeding visits        |
|-----|--|
| 808 | (avIVI) for four healthy pigs in the historical dataset. Horizontal black lines represent the fixed  |
| 809 | limits (# reg $< 350$ and avIVI $> 1.2$ hr). Vertical black lines represent the day that the antenna |
| 810 | height was changed in pen 2 and 3.   |

811 Table 2: Details of the four warning systems used during the online validation period and812 developed based on the historical dataset.

| Method            | Details  |  |  |  |
|-------------------|--|--|--|--|
|                   |  |  |  |  |
| Fixed limit # reg | Fixed limit on the number of registrations:              |  |  |  |
|                   |  |  |  |  |
|                   | - Alert if # reg < 350                                   |  |  |  |
| Fixed limit avIVI | Fixed limit on the average inter-visit interval:         |  |  |  |
|                   |  |  |  |  |
|                   | - Alert if $avIVI > 1.2$ hr                              |  |  |  |
| SGC # reg         | Synergistic Control on the number of registrations:      |  |  |  |
|                   |  |  |  |  |
|                   | - Linear regression model, sliding window 30 days        |  |  |  |
|                   | - Shewhart chart with $LCL = -4 \overline{MR}$           |  |  |  |
|                   | - No alert if $\# \operatorname{reg} \ge 2000$           |  |  |  |
|                   | - Alert if # reg < 350                                   |  |  |  |
| SGC avIVI         | Synergistic Control on the average inter-visit interval: |  |  |  |
|                   |  |  |  |  |
|                   | - Linear regression model, sliding window 30 days        |  |  |  |
|                   | - Shewhart chart with $UCL = 6 \overline{MR}$            |  |  |  |
|                   | - No alert if $avIVI < 0.25$ hr                          |  |  |  |

813

Table 3: Performance of the warning systems for the online validation period, in total and split up per status (green, orange or red, see Table 1). See Table 2 for a description of the warning systems applied online.



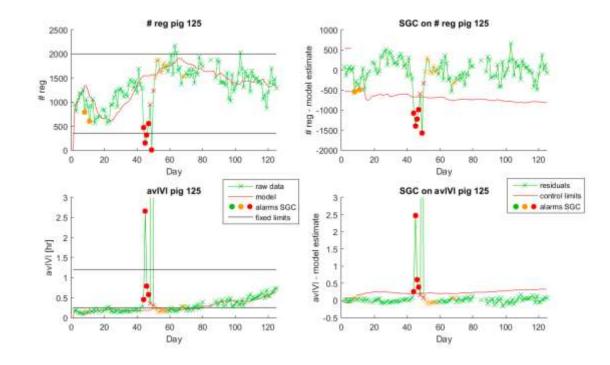
|                 | Fixed limit # reg | Fixed limit avIVI | SGC # reg | SGC avIVI |
|-----------------|-------------------|-------------------|-----------|-----------|
| Sensitivity [%] | 48.5              | 22.1              | 58.0      | 41.5      |
| Specificity [%] | 99.0              | 99.2              | 98.7      | 99.1      |
| Accuracy [%]    | 96.6              | 96.1              | 96.7      | 96.4      |
| Precision [%]   | 71.2              | 54.6              | 71.1      | 69.8      |
| # alerts        | 475               | 240               | 609       | 407       |
| TP              | 338               | 131               | 433       | 284       |
| FP              | 137               | 109               | 176       | 123       |
| TN              | 13 800            | 13 931            | 13 711    | 13 827    |
| FN              | 359               | 463               | 314       | 400       |
| % alerts on day | ys with           |                   |           |           |
| green status    | 28.8              | 45.4              | 28.9      | 30.2      |
| orange status   | 32.0              | 20.4              | 33.2      | 34.2      |
| red status      | 39.2              | 34.2              | 37.9      | 35.6      |
| Sensitivity [%] | for days with     | 1                 | <u> </u>  | <u> </u>  |
| orange status   | 11.0              | 3.6               | 14.6      | 10.1      |
| red status      | 34.1              | 15.0              | 42.4      | 26.6      |

818 Table 4: Average run lengths of the best performing warning system SGC # reg. ARL's are

819 averaged across all pigs. Red blocks are all uninterrupted blocks of days with a red status.

# Average run lengths of SGC # reg

|   | False alerts             |                             |  |
|---|--------------------------|-----------------------------|--|
| Average # of FP per day                 | 1.5                      |                             |  |
| Average # of FP per pig                 | 1.3                      |                             |  |
| $ARL_0 = average time till first false$ | 101.0                    |                             |  |
| alert (days)                            |                          |                             |  |
|   | Detection of all red     | Detection of red blocks > 1 |  |
|   | blocks ( <i>n</i> = 213) | day $(n = 90)$              |  |
| % of red blocks detected                | 40.8                     | 64.4                        |  |
| % of positive detections on first       | 89.7                     | 84.5                        |  |
| day of process shift                    |                          |                             |  |
| $ARL_1$ = average speed of              | 1.3                      | 1.4                         |  |
| detection (days)                        |                          |                             |  |



821

Figure 2: Example of the warning systems for the data of pig 125. Left: the raw data (# reg or 822 avIVI [hr]), the fixed limits and the linear regression model estimate and sensitizing rules for 823 the SGC methods, Right: residuals (raw data minus model estimate) and the control limits for 824 the SGC methods. Dots are the alerts for the SGC # reg or the SGC avIVI. Alerts for the fixed 825 limits can easily be deduced from the left figure (Table 2). Colours of the crosses and dots 826 indicate the pig-status: green = status green; orange = status orange, red = status red. Point 827 outside the graph in the bottom plots is for 12 hr avIVI on day 49, which also gives an alert for 828 SGC avIVI. 829

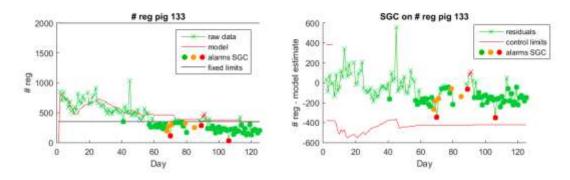


Figure 3: Example of the SGC # reg for the data of pig 133. Left: the raw data (# reg), the linear
regression model estimate and the sensitizing rules, Right: residuals (raw data minus model

estimate) and the control limits. Dots are the alerts for the SGC # reg (Table 2). Colours of the

834 crosses and dots indicate the pig-status.

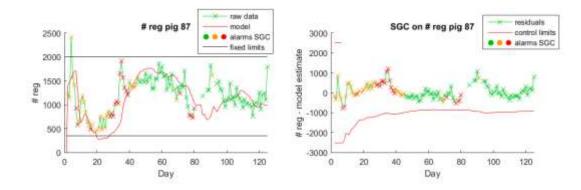


Figure 4: Example of the SGC # reg for the data of pig 87. Left: the raw data (# reg), the linear

- regression model estimate and the sensitizing rules, Right: residuals (raw data minus model
- estimate) and the control limits (Table 2). Colours of the crosses indicate the pig-status.