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1 **Tissue and Plasma *EGFR* Mutation Analysis in the FLAURA Trial: Osimertinib**  
2 **vs Comparator *EGFR* Tyrosine Kinase Inhibitor as First-Line Treatment in**  
3 **Patients with *EGFR* Mutated Advanced Non-Small Cell Lung Cancer**

4  
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### 1 **Statement of translational relevance:**

2 This analysis evaluates the prospective clinical utility of the **cobas**<sup>®</sup> EGFR Mutation Test in tissue and  
3 plasma samples from the FLAURA trial, for selection of first-line osimertinib therapy for patients with  
4 EGFR-TKI sensitizing mutated (*EGFRm*) advanced or metastatic NSCLC. Concordance was  
5 generally high between local validated tests and central cobas tissue *EGFR*-mutation tests, and  
6 between cobas tissue and plasma tests for ex19del and L858R mutations individually or in aggregate.  
7 PFS superiority of osimertinib over comparator EGFR-TKIs remained consistent irrespective of  
8 randomization route (local or central *EGFRm* tissue test), and tissue or plasma ctDNA *EGFRm* status.  
9 Lack of *EGFRm* detection in plasma was associated with prolonged PFS vs patients plasma *EGFRm*  
10 positive in both treatment arms; potentially due to lower tumor burden and less tumor DNA shedding  
11 into the blood. Our results support utilization of cobas tissue and plasma testing to identify patients  
12 with *EGFRm* advanced NSCLC for first-line osimertinib therapy.

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### 1 **Abstract**

### 2 **Purpose**

3 To assess the utility of the **cobas**<sup>®</sup> EGFR Mutation Test, with tissue and plasma, for first-line  
4 osimertinib therapy for patients with *EGFR*-mutated (*EGFRm*) (Ex19del and/or L858R) advanced or  
5 metastatic non-small cell lung cancer (NSCLC) from the FLAURA study (NCT02296125).

### 6 **Experimental design**

7 Tumor tissue *EGFRm* status was determined at screening using the central cobas tissue test or a  
8 local tissue test. Baseline circulating tumor (ct)DNA *EGFRm* status was retrospectively determined  
9 with the central cobas plasma test.

### 10 **Results**

11 Of 994 patients screened, 556 were randomized (289 and 267 with central and local *EGFR* test  
12 results, respectively) and 438 failed screening. Of those randomized from local *EGFR* test results,  
13 217 patients had available central test results; 211/217 (97%) were retrospectively confirmed *EGFRm*  
14 positive by central cobas tissue test. Using reference central cobas tissue test results, positive  
15 percent agreements with cobas plasma test results for Ex19del and L858R detection were: 79%  
16 (95% CI, 74–84) and 68% (95% CI, 61–75), respectively. Progression-free survival (PFS) superiority  
17 with osimertinib over comparator EGFR-TKI remained consistent irrespective of randomization route  
18 (central/local *EGFRm* positive tissue test). In both treatment arms, PFS was prolonged in plasma  
19 ctDNA *EGFRm* negative (23.5 and 15.0 months,) vs positive patients (15.2 and 9.7 months).

### 20 **Conclusions**

21 Our results support utility of cobas tissue and plasma testing to aid selection of patients with *EGFRm*  
22 advanced NSCLC for first-line osimertinib treatment. Lack of *EGFRm* detection in plasma was  
23 associated with prolonged PFS vs patients plasma *EGFRm* positive, potentially due to patients having  
24 lower tumor burden.

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### 1 **Introduction**

2 Epidermal growth factor receptor (EGFR) tyrosine kinase inhibitors (TKIs) are the recommended first-  
3 line treatment for patients with advanced non-small-cell lung cancer (NSCLC) harboring an EGFR-TKI  
4 sensitizing mutation (*EGFRm*) (1,2). Most patients treated with first- or second-generation EGFR-TKIs  
5 eventually develop resistance, with the *EGFR* p.Thr790Met point mutation (*EGFR T790M*) resistance  
6 mutation detectable in approximately 50% of cases (3-6). Osimertinib is a third-generation, central  
7 nervous system (CNS)-active, EGFR-TKI that potently and selectively inhibits both EGFR-TKI  
8 sensitizing and *EGFR T790M* resistance mutations (7-11). Osimertinib is an approved first-line  
9 treatment option in several countries, including the US and EU, for patients with *EGFRm* advanced  
10 NSCLC and patients with T790M positive NSCLC following disease progression on first-line EGFR-  
11 TKIs (12-14).

12 At initial diagnosis of non-squamous NSCLC, *EGFR* mutation testing is recommended using tumor  
13 tissue biopsies (2,15). In some clinical settings in which tissue is limited and/or insufficient for  
14 molecular testing, physicians may use a plasma circulating tumor (ct)DNA assay to identify *EGFR*  
15 mutations. ctDNA is easily obtained through minimally invasive blood sampling and can be a specific  
16 and sensitive biomarker for the detection of *EGFR* mutations in patients whose tumors shed DNA (15-  
17 21).

18 The original **cobas**<sup>®</sup> EGFR Mutation Test v1 (Roche Molecular Systems Inc., Pleasanton, CA) and  
19 the latest **cobas**<sup>®</sup> EGFR Mutation Test v2 (Roche Molecular Systems, Inc., Pleasanton, CA) are real-  
20 time polymerase chain reaction (PCR) assays. The **cobas**<sup>®</sup> EGFR Mutation Test v1 is only for use  
21 with formalin-fixed paraffin-embedded (FFPE) tissue. The **cobas**<sup>®</sup> EGFR Mutation Test v2 can be  
22 used with both FFPE tissue and ctDNA from plasma, and has been approved by the Food and Drug  
23 Administration (FDA) as a companion diagnostic test for TAGRISSO<sup>®</sup> (osimertinib), Tarceva<sup>®</sup>  
24 (erlotinib), and IRESSA<sup>®</sup> (gefitinib) in the first-line setting to aid in identifying patients with metastatic  
25 NSCLC whose tumors or plasma samples have either exon 19 deletion (Ex19del) or L858R  
26 mutations. Additionally, the **cobas**<sup>®</sup> EGFR Mutation Test v2 is FDA-approved as a companion  
27 diagnostic test with TAGRISSO<sup>®</sup> in the second-line setting and beyond for metastatic NSCLC patients  
28 who test positive for the *EGFR T790M* mutation.

29 In the FLAURA trial (NCT02296125), a phase III, double-blind, randomized study, treatment with  
30 osimertinib resulted in a clinically meaningful and statistically highly significant improvement in

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1 progression-free survival (PFS) vs first-generation comparator EGFR-TKI (erlotinib or gefitinib) as  
2 first-line treatment for patients with tumor tissue-positive *EGFR*<sup>m</sup> advanced NSCLC; hazard ratio (HR)  
3 0.46 (95% confidence interval [CI]: 0.37–0.57);  $P < 0.0001$  (11). In this trial, patients with a positive  
4 tumor tissue *EGFR*<sup>m</sup> status confirmed by a validated local or central cobas tissue test were eligible  
5 for enrollment. At baseline, patients were required to provide tumor tissue samples for central  
6 prospective or retrospective analysis of *EGFR*<sup>m</sup> status and blood samples for retrospective central  
7 cobas plasma ctDNA analysis of *EGFR*<sup>m</sup> status. The cobas test was used for patient selection in this  
8 study as at the time it was being developed as a companion diagnostic for TAGRISSO® (osimertinib)  
9 following its use in previous clinical trials. The cobas test is now approved by the FDA as a  
10 companion diagnostic for osimertinib in the first- and second-line settings for patients with an *EGFR*<sup>m</sup>  
11 or T790M positive status.  
12 Herein, we report the results of the *EGFR* mutation analysis in tissue (local and central results) and  
13 plasma (central results) from the FLAURA trial; furthermore, we describe the clinical efficacy results  
14 according to the method of randomization (local vs central cobas tissue test), uncommon sensitizing  
15 *EGFR* mutations (detected by central cobas tissue test), and by plasma *EGFR*<sup>m</sup> status.

## 16 **Materials and Methods**

### 17 **Trial design**

18 Full details of the FLAURA study have been previously published (11). In brief, FLAURA was a  
19 randomized (1:1), double-blind, international phase III study assessing the efficacy and safety of  
20 osimertinib (80 mg once daily) vs comparator first-generation EGFR-TKI (gefitinib 250 mg once daily  
21 or erlotinib 150 mg once daily) in patients with previously untreated, *EGFR*<sup>m</sup> positive (Ex19del or  
22 L858R) locally advanced or metastatic NSCLC.

### 23 **Tumor tissue and plasma sampling**

24 *EGFR*<sup>m</sup> status at screening was confirmed by analyzing freshly sectioned tissue from diagnostic  
25 tumor tissue FFPE blocks, using either testing by **cobas**® EGFR Mutation Test v1 (cobas tissue test)  
26 at a designated central laboratory or using a locally available *EGFR* mutation test performed at  
27 Clinical Laboratory Improvement Amendments certified (for US sites) or accredited laboratories  
28 (outside of the US). The **cobas**® EGFR Mutation Test v1 can identify 41 mutations, including ex19del  
29 and exon 21 (L858R) mutations in the *EGFR* gene. Patients were enrolled based on a tissue Ex19del  
30 or L858R *EGFR*<sup>m</sup> positive test result confirmed by either a local or central cobas test. Investigators

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1 were not required to submit tissue samples for central cobas testing for patients who failed screening  
2 based on local *EGFR* test results. Tumor tissue and plasma ctDNA *EGFR* mutation status (positive,  
3 negative, unknown [invalid/no sample]), assessed using the central cobas tissue test and cobas  
4 plasma test, respectively, were compared for all screened patients with evaluable paired baseline  
5 tumor and plasma samples.

6 Plasma samples were collected at baseline (after randomization but before first dose) for  
7 retrospective analysis of *EGFR*<sup>m</sup> status by plasma ctDNA using the **cobas**<sup>®</sup> EGFR Mutation Test v2  
8 (cobas plasma) assay, performed by a central laboratory (Carolinas Healthcare System Core  
9 Laboratory, Charlotte, NC, USA), in accordance with the manufacturer's instructions (Roche  
10 Molecular Systems, Inc., Pleasanton, CA) (21). The **cobas**<sup>®</sup> EGFR Mutation Test v2 can identify 42  
11 mutations in exons 18, 19, 20, and 21 of the *EGFR* gene, including G719X, ex19del, S768I, T790M,  
12 exon 20 insertions, L858R and L861Q.

### 13 **Standard protocol approvals, registration and patient consents**

14 The FLAURA trial was conducted in accordance with the provisions of the Declaration of Helsinki,  
15 Good Clinical Practice guidelines (as defined by the International Conference on Harmonisation),  
16 applicable regulatory requirements, and the policy on bioethics and human biologic samples of the  
17 trial sponsor, AstraZeneca. The study was approved by the institutional review board or independent  
18 ethics committee associated with each study center. Informed consent was obtained from all patients  
19 prior to enrolment into the study. The trial was funded by the sponsor and was designed by the  
20 principal investigators and the sponsor. Data underlying the findings described in this manuscript may  
21 be obtained in accordance with AstraZeneca's data sharing policy described at  
22 <https://astrazenecagrouptrials.pharmacm.com/ST/Submission/Disclosure>.

### 23 **Endpoints**

24 The primary endpoint of the FLAURA study was to assess the efficacy of osimertinib compared with  
25 comparator EGFR-TKI therapy as measured by PFS determined by investigator assessment,  
26 according to Response Evaluation Criteria in Solid Tumors (RECIST; version 1.1). PFS by cobas  
27 plasma test status was a secondary endpoint. Exploratory endpoints included concordance between  
28 central cobas tissue test and local tissue test results for EGFR-TKI sensitizing mutations and  
29 concordance between the cobas tissue and plasma ctDNA tests for the detection of *EGFR* mutations.  
30 The primary objective of the current analysis was to assess the clinical utility of the cobas tissue test



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1 and the cobas plasma test as aids in the selection of patients with locally advanced or metastatic  
2 NSCLC harboring EGFR-TKI sensitizing mutations for first-line therapy with osimertinib.

### 3 **Assessments**

4 Tumor assessments (RECIST v1.1) occurred at baseline, every 6 weeks ( $\pm 1$  week) for 18 months,  
5 then every 12 weeks ( $\pm 1$  week) until disease progression. PFS was defined as the time from  
6 randomization to objective disease progression or death from any cause in the absence of  
7 progression, irrespective of withdrawal from the trial, or treatment with another anticancer therapy  
8 before progression.

### 9 **Statistical methods**

10 The data cutoff for the FLAURA study was June 12, 2017. The agreement between cobas tissue and  
11 cobas plasma test results was calculated using the rates (percentages) with corresponding 95% CIs  
12 (Wilson score intervals or, if subgroup was  $< 30$ , the Clopper-Pearson method) by overall percent  
13 agreement (OPA), positive percent agreement (PPA), and negative percent agreement (NPA). PFS  
14 analyses were performed on subgroup populations based on screening method (central cobas tissue  
15 or local tissue test); additional PFS analyses were performed on all randomized patients with an  
16 *EGFR*<sup>m</sup> positive cobas tissue test result, and in subgroups of cobas plasma positive patients and  
17 cobas plasma negative patients separately. All statistical analyses were performed using SAS<sup>®</sup>  
18 version 9.3 (SAS Institute Inc., Cary, NC, USA).

### 19 **Results**

#### 20 ***EGFR* mutation tissue test results in the FLAURA study**

21 The disposition of patients in this analysis is summarized in Fig. 1. A positive tissue Ex19del and/or  
22 L858R *EGFR* mutation result was required for enrollment. Of the 994 patients screened in FLAURA,  
23 289 were randomized based on central cobas *EGFR* tissue test results, 267 were randomized based  
24 on validated local *EGFR* tissue test results, and 438 failed screening. Of the 438 patients who failed  
25 screening, 224 patients had a negative *EGFR* tissue test, 142 had no *EGFR* test result available  
26 (insufficient or no tissue available, insufficient DNA yield from tissue, or tissue failed pathology review)  
27 and 10 had invalid test results. The remaining 62 patients had a positive *EGFR* test result but did not  
28 meet other eligibility criteria. Of the 267 patients randomized based on validated local *EGFR* tissue  
29 test results, 217 (81%) had a valid retrospective central cobas *EGFR* tissue test result; 41 patients did

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1 not have a valid tissue sample for central cobas *EGFR* tissue testing, and the remaining nine patients  
2 had invalid central cobas *EGFR* tissue test results. 211 of 217 (97%) patients were retrospectively  
3 confirmed to be *EGFR*<sup>m</sup> positive using the central cobas tissue test (osimertinib  $n = 110$ , comparator  
4 *EGFR*-TKI  $n = 101$ ). Of those patients who failed screening ( $n = 438$ ) and thus were not randomized  
5 to treatment, 294 had a central *EGFR* test, of which 286 (97%) had a valid central tissue result (Fig.  
6 1).

7 Among all screened patients with a valid central cobas tissue test result (792/994 [80%]), uncommon  
8 *EGFR* mutations (*EGFR* mutations other than Ex19del/L858R, such as T790M, G719X, S768I and  
9 Exon 20 insertion) were detected in 5% (40 of 792) of patients, including 3% (seven of 267) of  
10 patients randomized based on a local *EGFR* test result, and 2% (five of 289) of patients randomized  
11 based on a central cobas test result. Among the 40 patients with an uncommon *EGFR* mutation,  
12 G719X only ( $n = 10$ ), T790M + L858R ( $n = 10$ ), and Exon 20 insertion only ( $n = 7$ ) occurred most  
13 frequently (Supplementary Table S1).

### 14 **Comparison of central cobas tissue and local tissue test results for Ex19del/L858R mutations**

15 The validated local tissue testing methods used in FLAURA are listed in Supplementary Table S2.  
16 High PPA was observed between the central cobas tissue test and local tissue testing methods  
17 among patients randomized based on locally available tissue test results for the detection of Ex19del  
18 or L858R: 99% (95% CI, 95.7–100.0) and 95% (95% CI, 87.6–98.2), respectively, and 97% (95% CI,  
19 94.1–99.0) in aggregate (excluding invalid results or inadequate samples; Table 1). Overall, six  
20 patients had discordant local and central tissue test results (*EGFR*<sup>m</sup> positive by local testing and  
21 *EGFR*<sup>m</sup> negative by central cobas testing), three patients in each treatment arm. Of these six  
22 discordant cases, Cycleave detected L858R in three cases, QIAGEN theascreen detected L858R in  
23 two cases and an unspecified next-generation sequencing (NGS) assay detected Ex19del in one  
24 case. Discordant local and central *EGFR*<sup>m</sup> test results are summarized in Supplementary Table S3.

### 25 **Clinical efficacy by central or local *EGFR*<sup>m</sup> tissue test results**

26 In FLAURA, all randomized patients had a confirmed tumor tissue *EGFR*<sup>m</sup> status by local test or  
27 central cobas testing. Osimertinib treatment resulted in a significant improvement in PFS over  
28 comparator *EGFR*-TKI: median PFS 18.9 months vs 10.2 months (HR 0.46 [95% CI, 0.37–0.57];  $P <$   
29 0.0001) (11). The substantial improvement in PFS was maintained irrespective of *EGFR* testing route

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1 (randomized based on local *EGFR* test results; HR 0.50, 95% CI, 0.35–0.71;  $P < 0.0001$ ; randomized  
2 based on central *EGFR* tissue test results, HR 0.39, 95% CI, 0.29–0.52;  $P < 0.001$ ) (Table 2).  
3 In the subgroup of randomized patients with a confirmed central cobas *EGFR*<sub>m</sub> positive result ( $n =$   
4 500), the PFS superiority of osimertinib (HR of 0.43 [95% CI, 0.34–0.54];  $P < 0.0001$ ) (11) (Table 2)  
5 was comparable to that observed in all randomized patients (FLAURA full analysis set [FAS],  $n = 556$ ;  
6 0.46 [95% CI, 0.37–0.57];  $P < 0.001$ ). The HR was not calculated in randomized patients with a  
7 negative *EGFR*<sub>m</sub> centrally confirmed cobas test result due to the low number of patients ( $n = 6$ ). In  
8 the subgroup of patients randomized by local *EGFR* test result, but in which retrospective central  
9 cobas testing yielded an invalid result ( $n = 50$ ), PFS HR was 0.85 (95% CI, 0.38–1.82;  $P = 0.6813$ ),  
10 with 27 patients experiencing disease progression ( $n = 11$  osimertinib;  $n = 16$  comparator EGFR-TKI);  
11 median PFS was very similar in both treatment groups, with a large variation, demonstrated by very  
12 wide confidence intervals (Table 2). The PFS superiority of osimertinib was consistent irrespective of  
13 the type of EGFR-sensitizing mutation at randomization: Ex19del, HR 0.43 (95% CI, 0.32–0.56;  $P <$   
14 0.0001); L858R, HR 0.51 (95% CI, 0.36–0.71;  $P < 0.0001$ ) (11).

### 15 **Comparison of central cobas tissue and cobas plasma test results**

16 In total, 486 out of the 994 (49%) patients screened had matched valid cobas central tissue and  
17 cobas plasma test results; 792 patients had a valid central cobas tissue test result and 554 patients  
18 had a valid baseline cobas plasma test result. Using the central cobas tissue test as a reference, the  
19 sensitivity (PPA), specificity (NPA), and overall concordance of the cobas plasma test for detection of  
20 Ex19del were 79% (95% CI, 74–84), 99% (95% CI, 96–100) and 87% (95% CI, 84–90), respectively.  
21 The sensitivity, specificity and overall concordance observed for the detection of L858R were 68%  
22 (95% CI, 61–75), 99% (95% CI, 97–100) and 88% (95% CI, 85–91), respectively (Supplementary  
23 Table S4).

### 24 **Clinical efficacy in subgroups of patients by cobas plasma test**

25 A PFS benefit was observed with osimertinib compared with comparator EGFR-TKI therapy in  
26 patients with an *EGFR*<sub>m</sub> tissue positive test result, in both plasma ctDNA *EGFR*<sub>m</sub> positive and  
27 negative patients. Compared with the comparator EGFR-TKI arm, osimertinib reduced the risk of  
28 progression or death by 56% (HR 0.44 [95% CI, 0.34–0.57;  $P < 0.0001$ ]) in the plasma ctDNA  
29 *EGFR*<sub>m</sub> positive subgroup (Fig. 2A), and by 52% (HR 0.48 [95% CI, 0.28–0.80;  $P = 0.0047$ ]) in  
30 plasma ctDNA *EGFR*<sub>m</sub> negative subgroup (Fig. 2B).

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1 In both the osimertinib and comparator EGFR-TKI arms (*EGFR*m tissue positive), a longer median  
2 PFS was observed in the plasma ctDNA *EGFR*m negative subgroups (osimertinib: 23.5 months [95%  
3 CI, 17.8–24.3] and comparator EGFR-TKI: 15.0 months [95% CI, 9.7–18.3], respectively) compared  
4 with the plasma ctDNA *EGFR*m positive subgroups (osimertinib: 15.2 months [95% CI, 13.7–20.7] and  
5 comparator EGFR-TKI: 9.7 months [95% CI, 8.4–11.1], respectively). Importantly, at baseline, the  
6 median target lesion tumor size was significantly greater in those patients with a cobas plasma  
7 *EGFR*m positive status (55 mm) than those with an *EGFR*m negative status (35 mm;  $P < 0.001$ )  
8 (Table 3).

### 9 **Clinical efficacy in patients with co-occurring uncommon *EGFR* mutations detected by central** 10 **cobas tissue test**

11 Of the 556 patients randomized to treatment (FAS), uncommon mutations co-occurring with  
12 Ex19del/L858R were detected by the central cobas tissue test in 12 patients (2%) (Table 4). De novo  
13 T790M was present in five patients (osimertinib,  $n = 4$ ; comparator EGFR-TKI,  $n = 1$ ), S768I in four  
14 patients (osimertinib,  $n = 1$ ; comparator EGFR-TKI,  $n = 3$ ), and Ex20ins in three patients (osimertinib,  
15  $n = 2$ ; comparator EGFR-TKI,  $n = 1$ ). A progression event occurred in seven of those patients with an  
16 uncommon mutation (osimertinib,  $n = 3$ ; comparator EGFR-TKI,  $n = 4$ ); the best objective response  
17 was partial response [PR] in nine patients, stable disease [SD] in one patient and progressive disease  
18 [PD] in two patients, both of whom received comparator EGFR-TKI. Among the five patients with de  
19 novo T790M detected, all patients treated with osimertinib achieved a PR. In contrast, the one patient  
20 with detectable T790M treated with comparator EGFR-TKI therapy had a best response of PD. Due to  
21 the low number of patients with tumors harboring uncommon mutations and/or T790M in this first-line  
22 population ( $n = 5$  in the FAS based on tissue and/or ctDNA testing), the subgroup analysis based on  
23 T790M status was not conducted.

### 24 **Discussion**

25 In the present analysis of the *EGFR* testing methods used in FLAURA, we found high PPA in tissue  
26 test results between local and central (cobas tissue test) *EGFR*-mutation testing methods, both for  
27 Ex19del and L858R mutations individually and in aggregate. A similar PPA (99%) between the cobas  
28 tissue test and local testing methods for the detection of Ex19del (PPA: 99%) and L858R (PPA: 95%)

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1 mutations in aggregate was observed in a study that analyzed samples obtained from patients  
2 randomized to the expansion cohorts of the AURA phase I trial (22).

3 In total, only six of 217 patients randomized based on a local *EGFR*m test with a valid retrospective  
4 central cobas tissue test had discordant results (local test positive, central test negative). Several  
5 factors may have contributed to the six discordant results in our analysis. Firstly, lower limits of  
6 detection (LOD) exist among the local testing methods used in the six cases: Cycleave LOD 5% (23),  
7 theascreen LOD 1–7% (24,25), targeted NGS LOD ~0.01–5% (26-28), and cobas LOD 5% (29),  
8 which may explain, in part, the discordant results. Secondly, variation in local methodology and  
9 validation protocols, laboratory experience, analytic standardization and the involvement of the  
10 pathologist can affect assay performance (29), and may lead to false-positive results (30). Finally,  
11 intra-tumoral heterogeneity may have played a role (31).

12 In the present analysis, the PFS superiority of osimertinib over comparator EGFR-TKI observed in the  
13 FLAURA FAS (11) remained consistent irrespective of the route of randomization (local or central  
14 *EGFR*m tissue test) or the type of EGFR-TKI sensitizing mutation detected (Ex19del or L858R) in  
15 patients with a valid central cobas tissue test result. These results demonstrate that both certified  
16 local tests and the cobas test are acceptable for identification of patients for treatment with first-line  
17 osimertinib. The proportion of patients with uncommon mutations detected in their tissue samples  
18 (2%) is slightly lower than other reports from larger studies, where the range is typically 10–18%  
19 (32,33). Although the sample size is small, those patients with uncommon mutations in the osimertinib  
20 arm generally achieved a better response than those in the comparator EGFR-TKI arm (see Table 4);  
21 however, it should be noted that osimertinib is currently only approved for the first-line treatment of  
22 advanced NSCLC patients with sensitizing *EGFR* mutations Ex19del and L858R, and in the second-  
23 line setting and beyond for patients with the T790M mutation. Currently there is no universal  
24 consensus for the management of patients with uncommon mutations; therefore, there is an unmet  
25 need for this patient group. Further explorations with osimertinib in this patient group are warranted.

26 Fifty patients randomized to FLAURA based on local test results did not have samples available for  
27 central cobas testing or had an invalid central cobas tissue result. Within this small subgroup, similar  
28 median PFS was observed in both treatment groups (median PFS 16.5 months with osimertinib vs  
29 16.6 months with comparator EGFR-TKI; HR 0.85, 95% CI, 0.38–1.82). It is not possible to draw any

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1 specific conclusions from this due to low patient numbers, where any outliers would have a more  
2 notable impact on the results.

3 While tissue biopsy remains the gold standard for *EGFR* mutation testing, the quality of tissue  
4 samples can vary due to difficulty with the acquisition during the biopsy procedure, limited sample  
5 tumor size, necrosis, and sample preservation (34). Tumor heterogeneity can also hinder mutation  
6 testing and lead to multiple biomarker assessments, which require more residual tissue sample and  
7 extends the waiting time for the results. A well-validated plasma test would be beneficial for patients  
8 with an inadequate residual tissue sample for molecular testing. In the present analysis, plasma  
9 samples from patients screened to the FLAURA trial were retrospectively analyzed by the cobas  
10 plasma test. The PPA and NPA between the tissue and plasma testing results for each of the *EGFR*  
11 Ex19del and L858R sensitizing mutations were consistent with the previously reported agreements  
12 with tumor tissue and plasma samples in the phase I AURA and the pooled phase II AURA  
13 extension/AURA2 studies (16,21,35). These studies support the expectation that a proportion (15–  
14 32%) of NSCLC patients do not appear to shed detectable ctDNA into the circulation. These patients,  
15 sometimes referred to as patients with ‘non-shedding’ tumors, appear to have a better prognosis than  
16 patients with detectable ctDNA, as demonstrated in the AURA study and pooled analysis of the AURA  
17 extension and AURA2 studies (21,35). This is also evidenced in the FLAURA study where patients  
18 who were *EGFR*<sup>m</sup> positive by cobas tissue but ctDNA *EGFR*<sup>m</sup> negative by cobas plasma had a  
19 longer median PFS compared with the FAS or the plasma ctDNA *EGFR*<sup>m</sup> positive subgroup,  
20 irrespective of the treatment arm. Previous studies have shown that levels of ctDNA shedding into  
21 plasma correlate with tumor burden (34), and lack of detectable ctDNA early in EGFR-TKI therapy to  
22 be associated with better clinical prognosis (36). Similar trends were observed in the second-line  
23 setting of AURA3 in patients with a cobas plasma T790M negative status, with existing T790M  
24 positive status by cobas tissue test (37). Although we do not have data on ctDNA shedding and tumor  
25 burden for this study, we report that patients with a cobas plasma *EGFR*<sup>m</sup> positive status had a  
26 significantly larger median baseline target lesion size compared with those patients with the negative  
27 plasma test result. Therefore, the improved PFS in patients with an *EGFR*<sup>m</sup> negative plasma test  
28 result may be in part due to lower tumor burden in these patients. In this analysis, osimertinib  
29 consistently improved PFS vs comparator EGFR-TKI in both cobas *EGFR*<sup>m</sup> plasma positive and  
30 negative patients, with results reflecting those observed in the FLAURA FAS.

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1 While the cobas test is an FDA-approved companion diagnostic for osimertinib in first-line treatment  
2 of patients with NSCLC, NGS is becoming more widely available for optimizing tissue use and has  
3 been shown to be feasible in clinical practice for parallel profiling of different genetic alterations  
4 (38,39). Studies have shown that NGS can be used to detect actionable gene mutations with high  
5 accuracy in plasma samples (40-42) and new targeted NGS methodologies are being developed that  
6 improve the sensitivity and specificity in cases such as samples with low allelic frequencies (43).  
7 However, the complexity of NGS workflow and data analysis can be challenging, and a lack of  
8 standardization across NGS platforms and assays remains problematic (44). PCR-based tests are  
9 more accessible, have a shorter turnaround time, lower cost, and less sample size is required  
10 compared with NGS. Other factors that can influence selection of a test include reimbursement, and  
11 mutation prevalence in the target population. In cases where there is insufficient tissue or DNA in the  
12 plasma, single-gene testing could be a useful alternative to screening for multiple mutations.  
13 Our results confirm that the cobas plasma test is robust for the detection of Ex19del and L858R  
14 mutations in plasma, with a high PPA (Ex19del 79%; L858R 68%), NPA (Ex19del 99%; L858R 99%),  
15 and OPA (99% in aggregate) when comparing with the cobas tissue test as a reference. The cobas  
16 plasma test provides a comparable clinical utility for the detection of these mutations to that of tissue  
17 in the first-line setting of advanced NSCLC. Nevertheless, several factors such as the lower sensitivity  
18 relative to tissue testing can limit the use of plasma ctDNA for *EGFR* mutation detection (45,46).  
19 Thus, in the absence of an initial tissue test result, a negative plasma ctDNA *EGFR*m test result  
20 should be followed up with a biopsy and tissue test whenever feasible.  
21 In conclusion, these results support the clinical utility of the **cobas**<sup>®</sup> EGFR Mutation Test (both in  
22 tissue and plasma) for selecting patients for first-line osimertinib treatment. Additionally, a lack of  
23 *EGFR*m detected in plasma ctDNA is associated with improved outcomes, which may be due to these  
24 patients having a lower tumor burden.

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1 **Table 1.** Comparison of central cobas tissue test and local tissue test results for EGFR-TKI sensitizing  
 2 mutations (patients randomized based on a local *EGFR* mutation test result)

Central test result		Local <i>EGFRm</i> test result <sup>a</sup>		
		Ex19del or L858R	Ex19del	L858R
Central <i>EGFR</i> mutation test result	Mutation detected	211	125	86
	No mutation detected	6	1	5
	Invalid result	9	4	5
	Not tested <sup>b</sup>	41	28	13
	Total	267	158	109
Excluding invalid test results	PPA (95% CI) <sup>c</sup>	97.2% (94.1–99.0)	99.2% (95.7–100.0)	94.5% (87.6–98.2)

3 CI, confidence interval; EGFR, epidermal growth factor; Ex19del, exon 19 deletion; PPA, positive percent  
 4 agreement; TKI, tyrosine kinase inhibitor.

5 95% CIs calculated using Clopper-Pearson exact method for binomial proportions.

6 Lower limit of detection for the cobas central test was <10% mutant allelic fraction.

7 <sup>a</sup>Includes Ex19del or L858R.

8 <sup>b</sup>Includes no tissue available, insufficient tissue, pathology review failure and insufficient DNA yield.

9 <sup>c</sup>Positive percent agreement (PPA) was calculated as ((local *EGFRm* positive/central *EGFRm* detected)/(local  
 10 *EGFRm* positive/central *EGFRm* detected + local *EGFRm* positive/central *EGFRm* not detected)) \*100.

11 <sup>d</sup>Positive percent agreement was calculated as ((local *EGFRm* positive/central *EGFRm* detected) / (local *EGFRm*  
 12 positive/central *EGFRm* detected + local *EGFRm* positive/central *EGFRm* not detected + local *EGFRm*  
 13 positive/central *EGFRm* invalid)) \*100.

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1 **Table 2.** Subgroup analyses of PFS by investigator assessment

Subgroup/ central cobas <i>EGFR</i> mutation status <sup>a</sup>		Number (%) of			Comparison between arms		
		Treatment arm	Number of patients	patients with events <sup>b</sup>	Median PFS (months) <sup>c</sup> (95% CI)	Hazard ratio <sup>d</sup>	95% CI
Patients randomized based on a central cobas test result ( <i>N</i> = 289)							
Mutation detected	Osimertinib	145	70 (48)	17.8 (14.9–NC)	0.39	0.29– 0.52	< 0.001
	Comparator EGFR-TKI	144	115 (80)	9.7 (8.3–11.0)			
Patients randomized based on a local test result ( <i>N</i> = 267)							
Mutation detected	Osimertinib	110	54 (49)	20.5 (14.2–23.5)	0.50	0.35, 0.71	< 0.001
	Comparator EGFR-TKI	101	73 (72)	11.0 (9.5–13.9)			
No mutation detected	Osimertinib	3	1 (33)	NC (2.7–NC)	NC	NC– NC	NC
	Comparator EGFR-TKI	3	2 (67)	2.8 (1.4–NC)			
Missing <sup>e</sup>	Osimertinib	21	11 (52)	16.5 (11.1–NC)	0.85	0.38– 1.82	0.6813
	Comparator EGFR-TKI	29	16 (55)	16.6 (9.7–23.0)			
Randomized patients with a retrospectively confirmed <i>EGFR</i> <sup>m</sup> positive status by central tissue testing ( <i>N</i> = 500)							
Mutation detected	Osimertinib	255	124 (49)	18.9 (15.2–21.4)	0.43	0.34– 0.54	< 0.001
	Comparator EGFR-TKI	245	188 (77)	9.7 (9.5–11.0)			

2 CI, confidence interval; EGFR-TKI, epidermal growth factor receptor-tyrosine kinase inhibitor; HR, hazard ratio;

3 PFS, progression-free survival; NC, not calculated.

4 RECIST version 1.1.

5 <sup>a</sup>Ex19del and/or L858R.

6 <sup>b</sup>Progression events that did not occur within 2 scheduled visits (plus visit window) of the last evaluable  
 7 assessment (or randomization) were censored and therefore excluded in the number of events.

8 <sup>c</sup>Calculated using the Kaplan-Meier technique.

9 <sup>d</sup>The HR and 95% CI were calculated from the Cox proportional hazards model with no stratification. An HR <1  
 10 favors osimertinib 80 mg.

11 <sup>e</sup>With an invalid test result or not tested by the central cobas test.

12 Data cut off: 12 June 2017.



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- 1 **Table 3.** Median baseline target lesion size by *EGFR* mutation status determined by the cobas  
2 plasma test (full analysis set)

Target lesion size	Ex19del/L858R status by cobas plasma test		
	Positive (N = 359)	Negative (N = 124)	Unknown (N = 72)
Median baseline target lesion size (mm)	55	35	47
Range (mm)	10–207	10–126	10–176
<i>P</i> -value <sup>a</sup>	< 0.001		

- 3 <sup>a</sup>2-sided *P*-value is obtained via Wilcoxon Rank-Sum test for patients with a positive or negative cobas plasma  
4 test result.  
5 Ex19del, exon 19 deletion mutation.

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1 **Table 4.** PFS and BoR of patients with uncommon *EGFR* mutations detected by the central cobas  
 2 *EGFR* mutation tissue test (patients randomized to treatment [full analysis set])

Patient Number	EGFR TKI-sensitizing mutation detected by central cobas tissue test	Other <i>EGFR</i> mutation detected by central cobas tissue test <sup>a</sup>	Treatment arm	Progression event (yes or no)	Best objective response	Days from randomization to progression or censoring (for patients who did not progress)
1	L858R	T790M	Comparator EGFR-TKI	Yes	Progressive disease	40
2	Ex19del	S768I	Osimertinib	No	Partial response	546 <sup>d</sup>
3	L858R	T790M	Osimertinib	No	Partial response	421 <sup>d</sup>
4	NMD <sup>b</sup>	S768I	Comparator EGFR-TKI	Yes	Progressive disease	42
5	L858R	T790M	Osimertinib	Yes	Partial response	379
6	NMD <sup>c</sup>	S768I	Comparator EGFR-TKI	No	Partial response	211 <sup>d</sup>
7	Ex19del	Exon 20 insertion	Osimertinib	Yes	Partial response	603
8	Ex19del	Exon 20 insertion	Comparator EGFR-TKI	Yes	Partial response	376
9	L858R	T790M	Osimertinib	No	Partial response	461 <sup>d</sup>
10	L858R	Exon 20 insertion	Osimertinib	Yes	Stable disease	305
11	Ex19del	S768I	Comparator EGFR-TKI	Yes	Partial response	336
12	L858R	T790M	Osimertinib	No	Partial response	458 <sup>d</sup>

3 BoR, best objective response; EGFR, epidermal growth factor receptor; EGFR-TKI, epidermal growth factor  
 4 receptor-tyrosine kinase inhibitor; Ex19del, exon 19 deletion; PFS, progression-free survival.

5 Investigator data presented.

6 <sup>a</sup>Other *EGFR* mutations include T790M, G719X, S768I and Exon 20 insertion that are targeted by the **cobas**<sup>®</sup>  
 7 *EGFR* Mutation Test v2.

8 <sup>b</sup>NMD = No mutation detected; Patient was randomized based on a local *EGFRm* (Ex19del) test result.

9 <sup>c</sup>Patient was randomized based on a local *EGFRm* (L858R) test result.

10 <sup>d</sup>Censored at time of last evaluable visit.

11 RECIST version 1.1.



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1 **Figure 1.** Patient disposition.

2

3 <sup>a</sup>Tissue sample not available, insufficient tissue, tissue failed pathology review.

4 <sup>b</sup>Presence of Ex19del or L858R mutation.

5

6 **Figure 2.** Investigator-assessed PFS in A) the plasma ctDNA *EGFR*m positive subgroup, B) the  
7 plasma ctDNA *EGFR*m negative subgroup.

8 Tick marks indicate censored patients

9 CI, confidence interval; ctDNA, circulating tumor DNA; *EGFR*m, epidermal growth factor receptor mutation; HR,  
10 hazard ratio; PFS, progression-free survival

Figure 1

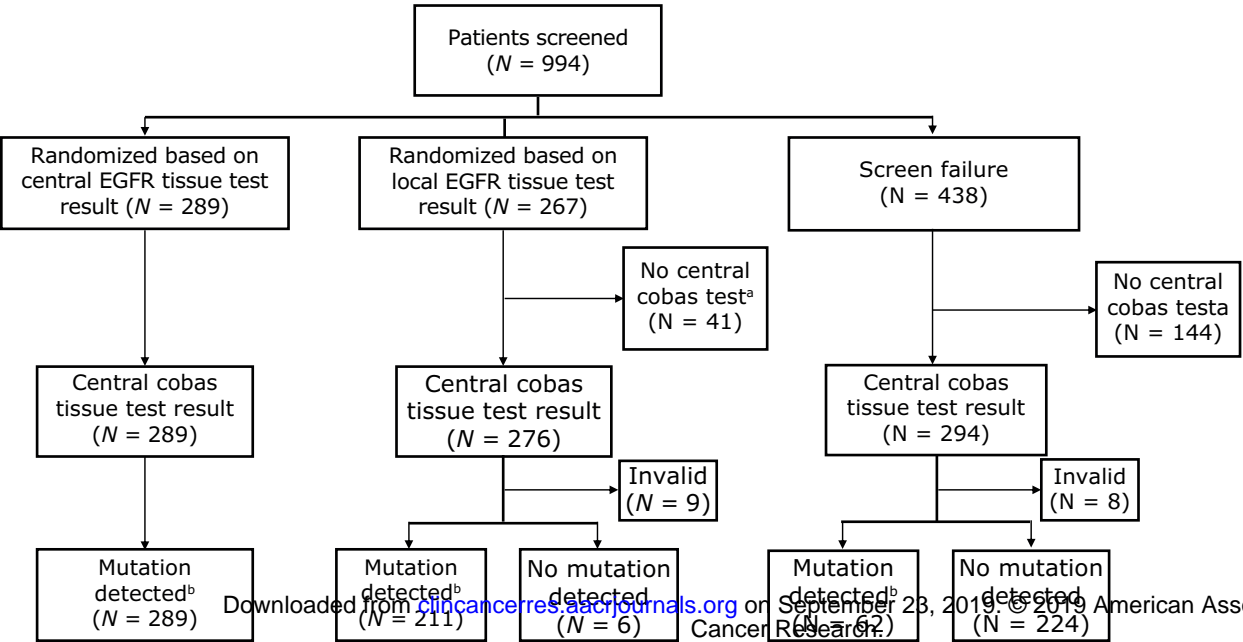
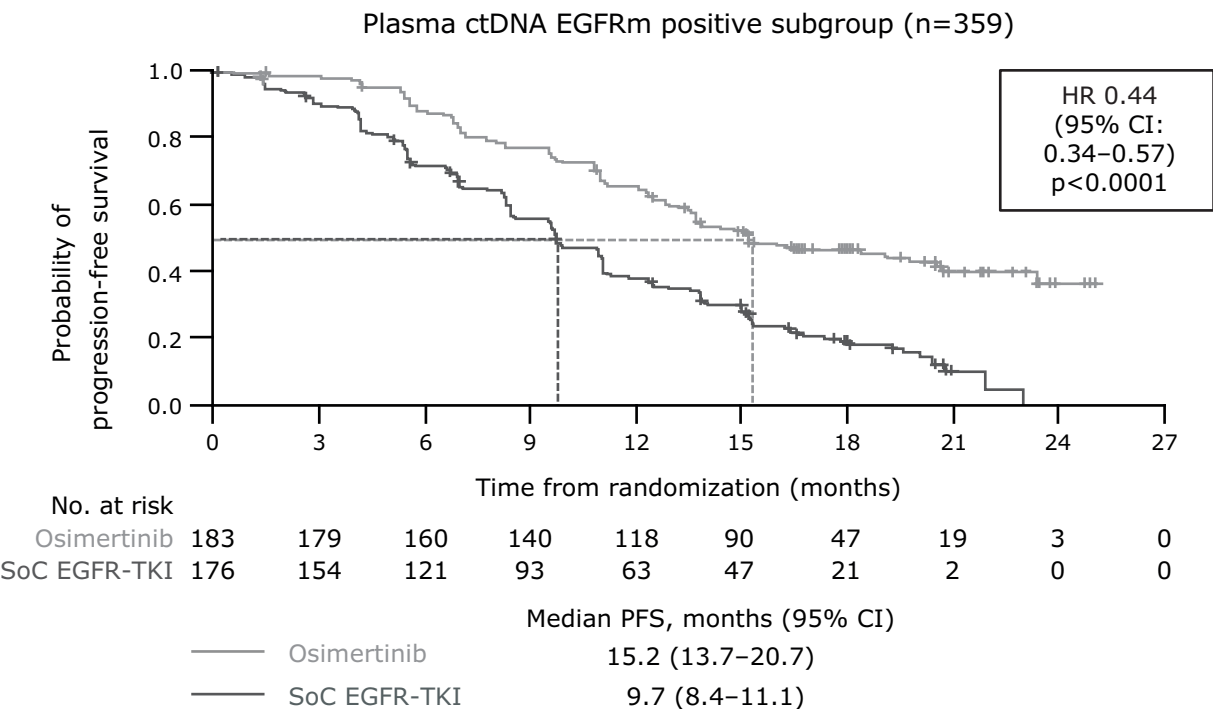
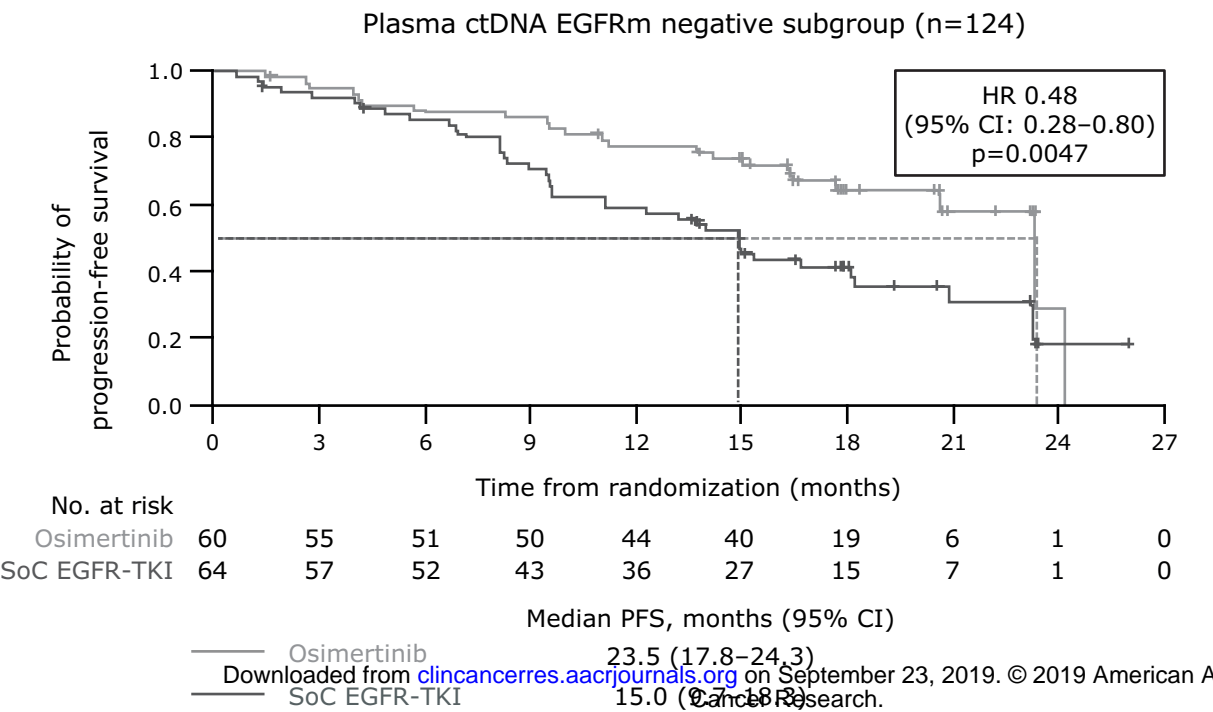


Figure 2

A



B



# Clinical Cancer Research

## Tissue and Plasma *EGFR* Mutation Analysis in the FLAURA Trial: Osimertinib vs Comparator EGFR-TKI as First-Line Treatment in Patients with *EGFR* Mutated Advanced NSCLC

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