

# ACTIONS OF CERTAIN TORSION-FREE ELEMENTARY AMENABLE GROUPS ON STRONGLY SELF-ABSORBING C\*-ALGEBRAS

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ABSTRACT. In this paper we consider a bootstrap class  $\mathfrak{C}$  of countable discrete groups, which is closed under countable unions and extensions by the integers, and we study actions of such groups on C\*-algebras. This class includes all torsion-free abelian groups, poly- $\mathbb{Z}$ -groups, as well as other examples. Using the interplay between relative Rokhlin dimension and semi-strongly self-absorbing actions established in prior work, we obtain the following two main results for any group  $\Gamma \in \mathfrak{C}$  and any strongly self-absorbing C\*-algebra  $\mathcal{D}$ :

- (1) There is a unique strongly outer  $\Gamma$ -action on  $\mathcal{D}$  up to (very strong) cocycle conjugacy.
- (2) If  $\alpha : \Gamma \curvearrowright A$  is a strongly outer action on a separable, unital, nuclear, simple,  $\mathcal{D}$ -stable C\*-algebra with at most one trace, then it absorbs every  $\Gamma$ -action on  $\mathcal{D}$  up to (very strong) cocycle conjugacy.

In fact we establish more general relative versions of these two results for actions of amenable groups that have a predetermined quotient in the class  $\mathfrak{C}$ . For the monotracial case, the proof comprises an application of Matui–Sato’s equivariant property (SI) as a key method.

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## INTRODUCTION

The present work is a continuation of the work initiated in [44]. As such, our aim is to study C\*-dynamical systems and to classify them up to cocycle conjugacy. We refer to the introduction of [44], [43], or in particular [12] and the references therein for a proper motivation and historical overview of the classification theory of (discrete) group actions on von Neumann algebras and C\*-algebras.

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In short, the present technology in the realm of  $C^*$ -algebras has not yet arrived at the point where one can reasonably attempt to classify actions of general amenable groups on all simple  $C^*$ -algebras covered by the Elliott program; see [50] for an overview of recent developments on the latter. This situation is in contrast to the well-understood situation of amenable group actions on injective factors; see for example [36, 28, 29].<sup>1</sup> In order to gain some understanding about how to go about handling actions of general amenable groups on  $C^*$ -algebras in the first place, it is beneficial (as a first step) to restrict one's attention to one of the most rigid types of  $C^*$ -algebras, namely the strongly self-absorbing ones [48]. This special setup comes with a priori more angles of attack than the general case, such as the approach propagated in [46, 45, 42] to exploit strong self-absorption at the dynamical level, which already bore fruits in the context of outer actions of amenable groups on Kirchberg algebras [43]. The insight from [43] given by behavior of equivariant  $KK$ -theory for group actions on strongly self-absorbing  $C^*$ -algebras gives rise to the following conjecture from the introduction of [42]<sup>2</sup>, which may be interpreted as an Ocneanu-type rigidity phenomenon; cf. [36]. We note that a similar conjecture was formulated by Izumi earlier in [12].

**Conjecture A.** Let  $\mathcal{D}$  be a strongly self-absorbing  $C^*$ -algebra. Then for every countable torsion-free amenable group  $\Gamma$ , there is a unique strongly outer  $\Gamma$ -action on  $\mathcal{D}$  up to cocycle conjugacy, and such an action is semi-strongly self-absorbing.

On the one hand, we note that torsion a priori gives a  $K$ -theoretical obstruction to such a rigid behavior, which does not appear in the von Neumann algebraic context. For example, it is not hard to construct non-cocycle conjugate outer actions on the Cuntz algebra  $\mathcal{O}_2$  for any finite abelian group; cf. [10, 11, 1]. On the other hand, we note that an application of [17] gives the failure of uniqueness for actions of non-amenable groups in the monotracial case, and a stronger converse for non-amenable groups has been recently considered in [6, 5] by Gardella–Lupini.

In the case of abelian groups and  $\mathcal{D}$  satisfying the UCT, Conjecture A has been positively solved in [42], building in a crucial way on both the structure theory of semi-strongly self-absorbing actions and prior work by Matui [30, 31] and Izumi–Matui [14] handling the case  $\Gamma = \mathbb{Z}^d$  when  $\mathcal{D} \not\cong \mathcal{Z}$ . Some special cases for  $\mathcal{D} = \mathcal{Z}$  have been solved before by Sato [39] and Matui–Sato [32, 34], and one should note that one of Kishimoto's early pioneering works [21] handled the case  $\Gamma = \mathbb{Z}$  and  $\mathcal{D}$  being a UHF algebra.

In this paper, we shall furthermore confirm Conjecture A for the following class of groups.

**Definition B.** We define  $\mathfrak{C}$  to be the smallest class of groups that contains the trivial group, is closed under isomorphism, countable directed unions, and extensions by  $\mathbb{Z}$ .

<sup>1</sup>There are of course many other possible references in this context, but listing them is beyond the scope of the present work.

<sup>2</sup>The statement given here is a slightly refined version, in that we postulate not only the uniqueness for sufficiently outer actions, but also that they should all be automatically semi-strongly self-absorbing.

It is easy to see that the class  $\mathfrak{C}$  contains all torsion-free abelian groups, all poly- $\mathbb{Z}$  groups, but also other examples such as the reduced wreath product  $\mathbb{Z} \wr \mathbb{Z}$ . Evidently  $\mathfrak{C}$  is contained in the class of all torsion-free elementary amenable groups, and it is perhaps less trivial that this inclusion is strict. For example, it is known that there are torsion-free poly-cyclic groups which are not poly- $\mathbb{Z}$ ; see [25, page 16]. For groups in the class  $\mathfrak{C}$ , our main results are as follows; see Corollary 3.4 and Corollary 3.5, respectively.

**Theorem C.** Let  $\Gamma \in \mathfrak{C}$ . Let  $\mathcal{D}$  be a strongly self-absorbing C\*-algebra. Then any two strongly outer  $\Gamma$ -actions on  $\mathcal{D}$  are (very strongly) cocycle conjugate. Moreover, any such action is semi-strongly self-absorbing.

**Theorem D.** Let  $\Gamma \in \mathfrak{C}$ . Let  $\mathcal{D}$  be a strongly self-absorbing C\*-algebra and  $A$  a separable, unital, nuclear, simple,  $\mathcal{D}$ -stable C\*-algebra with at most one trace. Let  $\alpha : \Gamma \curvearrowright A$  be a strongly outer action. Then for every action  $\gamma : \Gamma \curvearrowright \mathcal{D}$ , the actions  $\alpha$  and  $\alpha \otimes \gamma$  are (very strongly) cocycle conjugate.

The proof of Theorem C presented in this paper is self-contained and does not rely on any special cases treated elsewhere. Moreover, as one might have hoped, we can dispense with the UCT assumption in our approach, because the proof relies just on strong self-absorption rather than the precise fine structure of the underlying C\*-algebra  $\mathcal{D}$ .<sup>3</sup> We note that the purely infinite case within our main result has overlap with part of Izumi–Matui’s treatment of poly- $\mathbb{Z}$  group actions on Kirchberg algebras [15], which they have proved long before the present work was initiated; see also [13].

The most important tool in the proof of our main results is given by the interplay between Rokhlin dimension relative to subgroups and the absorption of semi-strongly self-absorbing actions, as established in [44]. In essence, our proof goes by showing that, if viewed as a property of amenable groups  $\Gamma$ , the statements in the two theorems above are closed under extensions by  $\mathbb{Z}$ . Since the permanence properties from [42] also show that these statements are closed under countable direct unions of groups, the main results then simply follow from the definition of  $\mathfrak{C}$  as a bootstrap class. In fact it follows by this approach more generally that the statement of Conjecture A is closed under extensions by groups in  $\mathfrak{C}$ ; this is recorded in Theorem 3.2.

In order to handle extensions by  $\mathbb{Z}$ , one needs to show that all strongly outer actions as in Theorem D satisfy certain Rokhlin-type conditions relative to any normal subgroup  $H$  such that  $\Gamma/H \cong \mathbb{Z}$ , which allows one to apply the main result from [44]. This Rokhlin-type theorem is the only ingredient where the proof has to handle the case of finite and infinite C\*-algebras separately. The desired property follows without too much effort from [43] and [35] in the purely infinite case, but more work is required for the monotracial case. Among other methods, we arrange a relative version of finite Rokhlin dimension with commuting towers by embedding certain equivariant copies of prime dimension drop algebras into central sequence algebras, which exploits Matui–Sato’s notion of equivariant property (SI) in

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<sup>3</sup>One may of course note that the UCT could possibly be redundant in this context; cf. [47, Corollary 6.7]. Due to the presently mysterious status of the UCT problem, however, it is fair to say that a proof not needing it can be regarded as more satisfactory.

a crucial way [32, 34, 41]. We note that in a similar but slightly different context, the type of approximately central embedding technique utilized here has also been independently discovered by Gardella–Hirshberg [4] and in ongoing work of Gardella–Phillips–Wang [7]. Compared to similar Rokhlin-type theorems such as [26, 27], the key difference is that we can arrange the resulting Rokhlin towers to commute with each other, which is both necessary for the methods of [44] to be applicable and is in a sense a special feature of  $\mathbb{Z}$  as a (relative) acting group.

It may be relevant to note that the assumption about having “at most one trace” in Theorem D can be dispensed with at the cost of assuming that the group action in question has Matui–Sato’s weak Rokhlin property, which is a priori more than strong outerity. However, it is well-known by Matui–Sato’s work that the weak Rokhlin property is equivalent to strong outerity in the monotracial case and in fact the proofs of our main results can be performed in this setup without having to refer to the weak Rokhlin property directly. It is therefore a conscious decision not to go beyond the monotracial case in this paper, in favor of a more in-depth study of the connections between strong outerity and the weak Rokhlin property warranted by this remark, which shall be the subject of subsequent work.

The paper is organized as follows: In Section 1, we remind the reader about the underlying concepts of this paper such as cocycle conjugacy, (central) sequence algebras constructed from free ultrafilters, semi-strongly self-absorbing actions, strong outerity, and property (SI). Most of Section 2 is dedicated to the monotracial case of our main results, in particular showing the required relative Rokhlin-type theorem for strongly outer actions. Once the Rokhlin-type theorem is in place, we obtain a proof of our main results in Section 3 as an application of various results and modified ideas from [42, 44], part of which has origins in work of Kishimoto [23].

## 1. PRELIMINARIES

Throughout the paper, we will freely use basic techniques from the Elliott classification program for simple nuclear  $C^*$ -algebras [37], as well as their general structure theory. In particular we assume familiarity with nuclearity [2], strongly self-absorbing  $C^*$ -algebras [48], the Jiang–Su algebra [16], and order zero maps [51]. Moreover we refer to [46, 45, 42] for the detailed treatment of the theory of (semi-)strongly self-absorbing actions.

In some places throughout the paper, we may write  $a =_\varepsilon b$  as short-hand for  $\|a - b\| \leq \varepsilon$  for elements  $a, b$  in a  $C^*$ -algebra and some parameter  $\varepsilon > 0$ .

**Definition 1.1.** Let  $\Gamma$  be a countable discrete group. Let  $\alpha : \Gamma \curvearrowright A$  and  $\beta : \Gamma \curvearrowright B$  be two actions on unital  $C^*$ -algebras. We say that  $\alpha$  and  $\beta$  are cocycle conjugate, written  $\alpha \simeq_{cc} \beta$ , if there exists an isomorphism  $\varphi : A \rightarrow B$  and an  $\alpha$ -cocycle  $\{w_g\}_{g \in \Gamma} \subset \mathcal{U}(A)$  with

$$\text{Ad}(w_g) \circ \alpha_g = \varphi^{-1} \circ \beta_g \circ \varphi \quad \text{for all } g \in \Gamma.$$

If it is possible to choose  $\varphi$  and  $w$  such that there exists a sequence  $x_n \in \mathcal{U}(A)$  with  $w_g = \lim_{n \rightarrow \infty} x_n \alpha_g(x_n^*)$  for all  $g \in \Gamma$ , then  $\alpha$  and  $\beta$  are said to be strongly cocycle conjugate, written  $\alpha \simeq_{scc} \beta$ .

If it is moreover possible to choose  $\varphi$  and  $w$  such that there exists a continuous path  $x : [0, \infty) \rightarrow \mathcal{U}(A)$  such that  $w_g = \lim_{t \rightarrow \infty} x_t \alpha_g(x_t^*)$  for all  $g \in \Gamma$ , then  $\alpha$  and  $\beta$  are said to be very strongly cocycle conjugate, written  $\alpha \simeq_{\text{vscc}} \beta$ .

**Definition 1.2.** Fix a free ultrafilter  $\omega$  on  $\mathbb{N}$ . Let  $A$  be a C\*-algebra. One defines the ultrapower of  $A$  as

$$A_\omega = \ell^\infty(\mathbb{N}, A) / \left\{ (x_n)_n \mid \lim_{n \rightarrow \omega} \|x_n\| = 0 \right\}.$$

The constant sequences yield a canonical copy of  $A$  in the ultrapower. The central sequence algebra is the relative commutant

$$A_\omega \cap A' = \{x \in A_\omega \mid [x, a] = 0 \text{ for all } a \in A\}.$$

**Notation 1.3.** If  $\alpha : \Gamma \curvearrowright A$  is an action of a discrete group, then we obtain the ultrapower action  $\alpha_\omega : \Gamma \curvearrowright A_\omega$  via componentwise application, and in fact this restricts to an action on  $A_\omega \cap A'$  as well. If  $H \subseteq \Gamma$  is a fixed subgroup, then we write  $A_\omega^H$  or  $(A_\omega \cap A')^H$  for the fixed point algebra with respect to  $\alpha_\omega|_H$ . If  $H$  is additionally normal, then we have an induced action  $\Gamma/H \curvearrowright A_\omega^H$  via  $(gH).a = \alpha_{\omega, g}(a)$  for all  $g \in \Gamma$  and  $a \in A_\omega^H$ .

The following definition is not identical to the original definition of semi-strongly self-absorbing actions, but an equivalent one by virtue of [46, Theorem 4.6].

**Definition 1.4.** Let  $\mathcal{D}$  be a separable, unital C\*-algebra and  $\Gamma$  a countable discrete group. Let  $\omega$  be a free ultrafilter on  $\mathbb{N}$ . We say that an action  $\gamma : \Gamma \curvearrowright \mathcal{D}$  is semi-strongly self-absorbing, if the following two conditions are satisfied:

- (i) There exists a unital equivariant \*-homomorphism from  $(\mathcal{D}, \gamma)$  to  $(\mathcal{D}_\omega \cap \mathcal{D}', \gamma_\omega)$ .
- (ii)  $\gamma$  has approximately  $\Gamma$ -inner half-flip, i.e., there exists a sequence of unitaries  $v_n \in \mathcal{U}(\mathcal{D} \otimes \mathcal{D})$  satisfying

$$\lim_{n \rightarrow \infty} v_n(x \otimes \mathbf{1}_{\mathcal{D}})v_n^* = \mathbf{1}_{\mathcal{D}} \otimes x, \quad x \in \mathcal{D},$$

and moreover

$$\lim_{n \rightarrow \infty} \|v_n - (\gamma_g \otimes \gamma_g)(v_n)\| = 0, \quad g \in \Gamma.$$

**Remark.** In the proof of our main results we may use without mention that having approximately  $\Gamma$ -inner half-flip in the above sense is implied by having approximately  $\Gamma$ -inner flip. This means that a sequence  $v_n$  as above can be found which satisfies the stronger condition

$$\lim_{n \rightarrow \infty} v_n(x \otimes y)v_n^* = y \otimes x, \quad x, y \in \mathcal{D}.$$

The following is a special case of [46, Theorem 4.7]:

**Theorem 1.5.** *Let  $\mathcal{D}$  and  $A$  be separable unital C\*-algebras. Let  $\Gamma$  be a countable discrete group and  $\omega$  a free ultrafilter on  $\mathbb{N}$ . Suppose that  $\alpha : \Gamma \curvearrowright A$  is any action and  $\gamma : \Gamma \curvearrowright \mathcal{D}$  is a semi-strongly self-absorbing action. Then  $\alpha \simeq_{\text{cc}} \alpha \otimes \gamma$  if and only if there exists a unital equivariant \*-homomorphism from  $(\mathcal{D}, \gamma)$  to  $(A_\omega \cap A', \alpha_\omega)$ .*

**Remark.** In the above situation, we will say that  $\alpha$  is  $\gamma$ -absorbing if one has  $\alpha \simeq_{\text{cc}} \alpha \otimes \gamma$ . In the special case where  $\gamma$  is the trivial action on a strongly self-absorbing  $C^*$ -algebra, we say instead that  $\alpha$  is equivariantly  $\mathcal{D}$ -stable.

**Definition 1.6** (see [45, Definition 2.17]). Let  $\Gamma$  be a countable discrete group. We say that an action  $\alpha : \Gamma \curvearrowright A$  on a unital  $C^*$ -algebra is unitarily regular, if for every  $\varepsilon > 0$  and finite set  $F \subseteq \Gamma$ , there exists  $\delta > 0$  such that for every pair of unitaries

$$u, v \in \mathcal{U}(A) \quad \text{with} \quad \max_{g \in F} \max \{ \|\alpha_g(u) - u\|, \|\alpha_g(v) - v\| \} \leq \delta,$$

there exists a continuous path of unitaries  $w : [0, 1] \rightarrow \mathcal{U}(A)$  satisfying

$$w(0) = \mathbf{1}, \quad w(1) = uvu^*v^*, \quad \max_{0 \leq t \leq 1} \max_{g \in F} \|\alpha_g(w(t)) - w(t)\| \leq \varepsilon.$$

**Remark 1.7.** To obtain certain uniqueness theorems up to very strong co-cycle conjugacy, we may later appeal to the stronger version of Theorem 1.5, namely [42, Theorem 3.2], which implies that  $\alpha \simeq_{\text{cc}} \alpha \otimes \gamma$  is equivalent to  $\alpha \simeq_{\text{vscc}} \alpha \otimes \gamma$  as long as  $\gamma$  is unitarily regular. On the other hand, it follows from [45, Proposition 2.19] that equivariant  $\mathcal{Z}$ -stability implies unitary regularity.

The following concept has its origins in the work of Kirchberg [19] and Kirchberg–Rordam [20] on central sequences of  $C^*$ -algebras; see also [26, Definition 5.5].

**Definition 1.8** (see [45, Definition 4.1]). Let  $\alpha : \Gamma \curvearrowright A$  be an action of a discrete group on a  $C^*$ -algebra. An  $\alpha$ -invariant ideal  $J \subseteq A$  is called a  $\Gamma$ - $\sigma$ -ideal, if for every separable  $\alpha$ -invariant  $C^*$ -subalgebra  $C \subseteq A$ , there exists a positive contraction  $e \in (J \cap C)^\alpha$  such that  $ec = c = ce$  for all  $c \in J \cap C$ .

**Proposition 1.9** (see [45, Proposition 4.5]). *Let  $\alpha : \Gamma \curvearrowright A$  be an action and  $J \subseteq A$  a  $\Gamma$ - $\sigma$ -ideal. Let  $B = A/J$ ,  $\pi : A \rightarrow B$  the quotient map, and  $\beta : \Gamma \curvearrowright B$  the action induced on the quotient. Then*

- (i) *For every separable  $\alpha$ -invariant  $C^*$ -subalgebra  $C \subseteq A$ , the restriction  $\pi : A \cap C' \rightarrow B \cap \pi(C)'$  is surjective.*
- (ii) *For every separable  $\beta$ -invariant  $C^*$ -subalgebra  $D \subseteq B$ , there is an equivariant c.p.c. order zero map  $\psi : (D, \beta) \rightarrow (A, \alpha)$  such that  $\pi \circ \psi = \text{id}_D$ .*

**Definition 1.10** (cf. [20, Section 4]). Let  $A$  be a unital  $C^*$ -algebra with a unique tracial state  $\tau$ . We define the limit trace  $\tau^\omega$  on  $A_\omega$  via

$$\tau^\omega([(x_n)_n]) = \lim_{n \rightarrow \omega} \tau(x_n).$$

We define  $\|x\|_{p,\omega} = \tau^\omega(|x|^p)^{1/p}$  for all  $p \in [1, \infty)$ . The trace-kernel ideal in  $A_\omega$  is given by

$$\mathcal{J}_A = \{x \in A_\omega \mid \|x\|_{p,\omega} = 0 \text{ for all (or some) } p\}.$$

The tracial ultrapower is the quotient  $A^\omega = A_\omega / \mathcal{J}_A$ . By Kaplansky's density theorem, it is easy to see (cf. [20, Theorem 3.3]) that for the weak closure  $M = \pi_\tau(A)''$ , the canonical inclusion  $A^\omega \subseteq M^\omega$  into the von Neumann

algebraic tracial ultrapower is in fact an isomorphism, which restrict to an isomorphism  $A^\omega \cap A' \cong M^\omega \cap M'$ .<sup>4</sup>

**Notation 1.11.** In the above situation, if  $\alpha : \Gamma \curvearrowright A$  is any action of a countable discrete group, then the trace-kernel ideal  $\mathcal{J}_A \subset A_\omega$  is  $\alpha_\omega$ -invariant. Thus the componentwise application of  $\alpha$  gives rise to an action  $\alpha^\omega : \Gamma \curvearrowright A^\omega$  on the tracial ultrapower.

The following is always true regardless of the structure of the tracial simplex of  $A$ , but we will stick to the monotracial case as it is enough for our present purpose.

**Proposition 1.12.** *Let  $\alpha : \Gamma \curvearrowright A$  be an action of a countable discrete group on a unital monotracial C\*-algebra. Then the trace-kernel ideal  $\mathcal{J}_A \subset A_\omega$  is a  $\Gamma$ - $\sigma$ -ideal with respect to the ultrapower action  $\alpha_\omega$ .*

*Proof.* The proof follows almost verbatim as in [20, Proposition 4.6] by applying the so-called  $\varepsilon$ -test. For this one only needs to know that  $\mathcal{J}_A$  admits an approximate unit consisting of approximately  $\alpha_\omega$ -invariant elements quasicentral relative to  $A_\omega$ , which is a general fact [18, Proposition 1.4] due to Kasparov. We omit the details.  $\square$

**Definition 1.13.** Let  $A$  be a unital C\*-algebra with  $T(A) \neq \emptyset$ . An automorphism  $\alpha$  on  $A$  is called strongly outer, if it is outer, and moreover for every  $\alpha$ -invariant trace  $\tau \in T(A)$ , the induced automorphism of  $\alpha$  on the weak closure  $\pi_\tau(A)''$  is outer.<sup>5</sup>

If  $\alpha : \Gamma \curvearrowright A$  is an action of a discrete group, then we say that it is (pointwise) strongly outer, if  $\alpha_g$  is strongly outer whenever  $g \neq 1$ .

**Remark 1.14.** In the above definition, if  $A$  has a unique trace  $\tau$ , then an action  $\alpha$  is strongly outer precisely when the action induced on the weak closure  $\pi_\tau(A)''$  is an outer action in the sense of von Neumann algebras. When  $A$  is nuclear and infinite-dimensional, then it is known that  $\pi_\tau(A)''$  yields the hyperfinite II<sub>1</sub>-factor  $\mathcal{R}$  (cf. [3]), which we will use frequently.

**Definition 1.15** (cf. [34, Proposition 4.5] and [41, Proposition 5.1]). Let  $\Gamma$  be a countable discrete group. Let  $\alpha : \Gamma \curvearrowright A$  be an action on a separable, simple, unital, monotracial C\*-algebra. We say that  $A$  has equivariant property (SI) relative to  $\alpha$ , if the following holds:

Given two positive contractions  $e, f \in (A_\omega \cap A')^\Gamma$  satisfying

$$\|e\|_{1,\omega} = 0, \quad \sup_{k \in \mathbb{N}} \|\mathbf{1} - f^k\|_{1,\omega} < 1,$$

there exists a contraction  $s \in (A_\omega \cap A')^\Gamma$  such that

$$e = s^*s \quad \text{and} \quad fs = s$$

In particular, in case  $\Gamma = \{1\}$  we say that  $A$  has property (SI).

**Theorem 1.16.** *Let  $A$  be a separable, simple, nuclear, unital, monotracial C\*-algebra. Suppose that  $A$  has strict comparison. Then for every action  $\alpha : \Gamma \curvearrowright A$  of a discrete amenable group,  $A$  has property (SI) relative to  $\alpha$ . Moreover,  $\alpha$  is equivariantly  $\mathcal{Z}$ -absorbing.*

<sup>4</sup>See also [40, Lemma 2.1] where this was first observed.

<sup>5</sup>In particular, “strongly outer” is defined as “outer” when  $A$  is traceless.

*Proof.* For  $\Gamma = \{1\}$ , this is a special case of a well-known insight given by Matui–Sato’s work [33, Section 4].

For the general case, combine Propositions 4.4 and 5.1 from [41]. The last part of the claim is a special case of [41, Theorem 5.2] together with Theorem 1.5; see also [34, Theorem 4.9] for a previous similar theorem.  $\square$

## 2. RELATIVE ROKHLIN-TYPE CONDITIONS

**Definition 2.1** (cf. [44, Definition 4.1]). Let  $\Gamma$  be a countable discrete group. Let  $H \subset \Gamma$  be a normal subgroup such that  $\Gamma/H \cong \mathbb{Z}$ , and let  $g_0 \in \Gamma$  be an element generating the quotient. Let  $\alpha : \Gamma \curvearrowright A$  be an action on a separable unital  $C^*$ -algebra.

- (i) The Rokhlin dimension of  $\alpha$  with commuting towers relative to  $H$ , denoted  $\dim_{\text{Rok}}^c(\alpha, H)$ , is the smallest natural number  $d \geq 0$  such that the following holds. For every  $n \geq 1$ , there exist equivariant c.p.c. order zero maps

$$\varphi^{(0)}, \dots, \varphi^{(d)} : (\mathcal{C}(\mathbb{Z}/n\mathbb{Z}), \mathbb{Z}\text{-shift}) \rightarrow ((A_\omega \cap A')^H, \alpha_{\omega, g_0})$$

with pairwise commuting ranges such that

$$\varphi^{(0)}(\mathbf{1}) + \dots + \varphi^{(d)}(\mathbf{1}) = \mathbf{1}.$$

- (ii) We say that  $\alpha$  has the Rokhlin property relative to  $H$ , if for every  $n \geq 1$ , there exist projections  $p, q \in (A_\omega \cap A')^H$  such that

$$\mathbf{1} = \sum_{i=0}^{n-1} \alpha_{\omega, g_0}^i(p) + \sum_{j=0}^n \alpha_{\omega, g_0}^j(q).$$

**Proposition 2.2.** *Suppose that  $\alpha : \Gamma \curvearrowright A$  is an action of a countable discrete group on a separable unital  $C^*$ -algebra. Let  $H \subset \Gamma$  be a normal subgroup such that  $\Gamma/H \cong \mathbb{Z}$ . If  $\alpha$  has the Rokhlin property relative to  $H$ , then  $\dim_{\text{Rok}}^c(\alpha, H) \leq 1$ .*

*Proof.* This is completely analogous to [9, Proposition 2.8].  $\square$

The key feature of Rokhlin dimension with commuting towers comes from the following theorem, which is a special case of [44, Theorem 4.4].

**Theorem 2.3.** *Let  $\Gamma$  be a countable discrete group and let  $H \subset \Gamma$  be a normal subgroup such that  $\Gamma/H \cong \mathbb{Z}$ . Let  $\alpha : \Gamma \curvearrowright A$  be an action on a separable unital  $C^*$ -algebra, and let  $\gamma : \Gamma \curvearrowright \mathcal{D}$  be a semi-strongly self-absorbing, unitarily regular action. If  $\dim_{\text{Rok}}^c(\alpha, H) < \infty$  and  $\alpha|_H \simeq_{\text{cc}} (\alpha \otimes \gamma)|_H$ , then  $\alpha \simeq_{\text{cc}} \alpha \otimes \gamma$ .*

**Definition 2.4.** For given numbers  $p, q \in \mathbb{N}$ , recall the dimension drop algebra

$$Z_{p,q} = \{f \in \mathcal{C}([0, 1], M_p \otimes M_q) \mid f(0) \in M_p \otimes \mathbf{1}, f(1) \in \mathbf{1} \otimes M_q\}.$$

If  $p$  and  $q$  are relatively prime, this is called a prime dimension drop algebra.

**Definition 2.5** (cf. [38]). Let  $k \geq 2$  be a natural number. One defines  $Z_{k,k+1}^U$  to be the universal (unital)  $C^*$ -algebra generated by the range of a



c.p.c. order zero map  $\psi^U : M_k \rightarrow Z_{k,k+1}^U$  and a contraction  $s_U \in Z_{k,k+1}^U$  subject to the relations

$$s_U^* s_U = \mathbf{1} - \psi(\mathbf{1}), \quad \psi(e_{1,1}) s_U = s_U.$$

Recall the following description of a class of concrete isomorphisms  $Z_{k,k+1}^U \cong Z_{k,k+1}$ :

**Theorem 2.6** (cf. [38, Proposition 5.1] and [39, Section 2]). *Let  $k \geq 2$  be a natural number. Suppose that  $u : [0, 1] \rightarrow \mathcal{U}(M_k \otimes M_k)$  is any unitary path satisfying*

$$u_0 = \mathbf{1}, \quad u_1 = \sum_{i,j=1}^k e_{i,j}^{(k)} \otimes e_{j,i}^{(k)}.$$

Consider the element in  $M_k \otimes M_{k+1}$  given by

$$v = \sum_{j=1}^k e_{1,j}^{(k)} \otimes e_{j,k+1}^{(k+1)}.$$

Consider the functions

$$w, s \in Z_{k,k+1}$$

and the map

$$\psi : M_k \rightarrow \mathcal{C}([0, 1], M_k \otimes M_{k+1})$$

given by the formulas

$$\begin{aligned} w(t) &= u_t \oplus \cos(\pi t/2) \cdot \mathbf{1}^{(k)} \otimes e_{k+1,k+1}^{(k+1)} \in (M_k \otimes M_k) \oplus (M_k \otimes e_{k+1,k+1}^{(k+1)}); \\ \psi(x) &= w(t)(x \otimes \mathbf{1}^{(k+1)})w(t)^*; \\ s(t) &= \sin(\pi t/2)w(t)v. \end{aligned}$$

Then  $\psi$  is a well-defined c.p.c. order zero map  $\psi : M_k \rightarrow Z_{k,k+1}$  so that  $\psi$  and the element  $s$  satisfy the relations in Definition 2.5. Moreover, the resulting \*-homomorphism  $\Phi : Z_{k,k+1}^U \rightarrow Z_{k,k+1}$  is an isomorphism.

**Remark 2.7.** In the above construction, the specific isomorphism  $Z_{k,k+1}^U \cong Z_{k,k+1}$  depends on the choice of the unitary path  $u$ . Denote by  $D_k \subset M_k \otimes M_k$  the C\*-subalgebra generated by all elementary tensors of the form  $z \otimes z$  for  $z \in M_k$ . In particular, we may find a unitary path  $u$  taking values in the relative commutant  $(M_k \otimes M_k) \cap D_k'$ .

Suppose that  $G$  is some group and  $\nu : G \rightarrow M_{k-1}$  is a unitary representation. Then we consider  $\mathbf{1} \oplus \nu : G \rightarrow M_k$  as a unitary representation so that each group element acts as a unit on  $e_{1,1}$ . For notational convenience, set  $\mu_g = (\mathbf{1} \oplus \nu_g) \otimes (\mathbf{1} \oplus \nu_g \oplus \mathbf{1}) \in M_k \otimes M_{k+1}$ , which defines yet another unitary representation.

We may consider the unique action  $\delta^{U,\nu} : G \curvearrowright Z_{k,k+1}^U$  given by  $\delta_g^{U,\nu}(s_U) = s_U$  and  $\delta_g^{U,\nu} \circ \psi^U = \psi^U \circ \text{Ad}(\mathbf{1} \oplus \nu_g)$  for all  $g \in G$ . On the other hand, we may consider the action  $\delta^\nu : G \curvearrowright Z_{k,k+1}$  given by  $\delta_g^\nu(f) = \text{Ad}(\mu_g)(f)$ .<sup>6</sup> Then  $\delta^{U,\nu}$  and  $\delta^\nu$  are conjugate.

<sup>6</sup>Note that  $\mu_g$  is not a unitary in  $Z_{k,k+1}$ , yet conjugation with this unitary still induces a well-defined automorphism on  $Z_{k,k+1}$ .

*Proof of “ $(Z_{k,k+1}^U, \delta^{U,\nu}) \cong (Z_{k,k+1}, \delta^\nu)$ ”.* As stated above, we consider a continuous path  $u : [0, 1] \rightarrow M_k \otimes M_k$  that pointwise commutes with  $D_k$  and satisfies  $u_0 = \mathbf{1}$  and  $u_1 = \sum_{i,j=1}^k e_{i,j} \otimes e_{j,i}$ . Construct the elements  $w, c_j, s \in Z_{k,k+1}$  and let  $\Phi : Z_{k,k+1}^U \rightarrow Z_{k,k+1}$  be the isomorphism induced by them as stated in Theorem 2.6. We will show that  $\Phi$  is automatically equivariant with respect to the  $G$ -actions  $\delta^{U,\nu}$  and  $\delta^\nu$ .

Since any unitary of the form  $u_t$  commutes with  $(\mathbf{1} \oplus \nu_g) \otimes (\mathbf{1} \oplus \nu_g)$  for all  $g \in G$ , it follows from the definition of  $w \in Z_{k,k+1} \subset \mathcal{C}([0, 1], M_k \otimes M_{k+1})$  that it must commute with  $\mu_g$  for all  $g \in G$ . In particular,  $w$  is in the fixed point algebra of  $\delta^\nu$ .

Using  $(\mathbf{1}^{(k)} \otimes e_{k+1,k+1}^{(k+1)}) \cdot v = 0$ , we compute

$$\begin{aligned} (w(1) + \mathbf{1}^{(k)} \otimes e_{k+1,k+1}^{(k+1)}) &= w(1)v \\ &= \left( \sum_{i,l=1}^k e_{i,l}^{(k)} \otimes e_{l,i}^{(k+1)} \right) \left( \sum_{j=1}^k e_{1,j}^{(k)} \otimes e_{j,k+1}^{(k+1)} \right) \\ &= \sum_{j=1}^k e_{j,j}^{(k)} \otimes e_{1,k+1}^{(k+1)} = \mathbf{1}^{(k)} \otimes e_{1,k+1}^{(k+1)}. \end{aligned}$$

By definition of the unitaries  $\mu_g$ , we see that this element commutes with them. We have observed earlier that  $w(t)$  commutes with  $\mu_g$  for all  $t \in [0, 1]$ , and the same is true for the projection  $\mathbf{1}^{(k)} \otimes e_{k+1,k+1}^{(k+1)}$ . As the sum  $(w(1) + \mathbf{1}^{(k)} \otimes e_{k+1,k+1}^{(k+1)})$  is a unitary in  $M_k \otimes M_{k+1}$ , it follows that also  $v$  and  $s$  commute with  $\mu_g$  for  $g \in G$ . In other words,  $s$  is also in the fixed point algebra of  $\delta^\nu$ .

Lastly, we compute for every  $x \in M_k$  that

$$\begin{aligned} [\delta_g^\nu \circ \psi(x)](t) &= \mu_g w(t)(x \otimes \mathbf{1}^{(k+1)}) w(t)^* \mu_g^* \\ &= w(t) \mu_g(x \otimes \mathbf{1}^{(k+1)}) \mu_g^* w(t)^* \\ &= w(t) (\text{Ad}(\mathbf{1} \oplus \nu_g)(x) \otimes \mathbf{1}^{(k+1)}) w(t)^* \\ &= [\psi \circ \text{Ad}(\mathbf{1} \oplus \nu_g)(x)](t). \end{aligned}$$

By the definition of the isomorphism  $\Phi$  and the action  $\delta^{U,\nu}$ , this means  $\delta_g^\nu \circ \Phi \circ \psi^U = \Phi \circ \delta_g^{U,\nu} \circ \psi^U$ . Since  $s$  is in the fixed point algebra of  $\delta^\nu$  and  $s_U \in Z_{k,k+1}^U$  is in the fixed point algebra of  $\delta^{U,\nu}$ , it follows that  $\Phi$  is equivariant. This finishes the proof.  $\square$

**Remark 2.8.** Let  $k \geq 2$  be a natural number and  $G$  a discrete group. If  $\lambda : G \rightarrow M_k$  is a unitary representation that arises from a permutation  $\sigma : G \curvearrowright \{1, \dots, k\}$  via  $\lambda_g(e_i) = e_{\sigma(i)}$ <sup>7</sup>, then there is a unitary representation  $\nu : G \rightarrow M_{k-1}$  such that  $\lambda$  is unitarily conjugate to a  $\mathbf{1} \oplus \nu$ .

*Proof.* Since  $\lambda$  arises from a permutation as given in the statement, it follows that the vector

$$x = k^{-1/2}(1, \dots, 1) \in \mathbb{C}^k$$

is a unit vector fixed by  $\lambda$ . Then  $\lambda$  restricts to a unitary representation on the space  $x^\perp \cong \mathbb{C}^{k-1}$ . Thus the claim follows easily from here.  $\square$

<sup>7</sup>Here  $e_j \in \mathbb{C}^k$  denote the vectors of the standard basis.

**Lemma 2.9.** *Let  $A$  be a separable, unital, simple, nuclear, monotracial  $\mathcal{Z}$ -stable C\*-algebra. Let  $\alpha : \Gamma \curvearrowright A$  be a strongly outer action of a countable discrete amenable group. Let  $k \geq 2$  and let  $\nu : \Gamma \rightarrow M_{k-1}$  be a unitary representation. Then there exists a unital equivariant \*-homomorphism from  $(Z_{k,k+1}^U, \delta^{U,\nu})$  to  $(A_\omega \cap A', \alpha_\omega)$ .*

*Proof.* We consider  $(B, \beta) = (M_k, \text{Ad}(\mathbf{1} \oplus \nu))$  as a monotracial C\*-dynamical system. Denote by  $\tau$  the unique trace on  $A$ . As  $\alpha$  is strongly outer, the induced action on the weak closure  $\pi_\tau(A)''$  is outer. As  $\pi_\tau(A)'' \cong \mathcal{R}$ , it follows from Ocneanu's theorem [36] that this action is cocycle conjugate to

$$\alpha \otimes \text{Ad}(\mathbf{1} \oplus \nu)^{\otimes \infty} : \Gamma \curvearrowright \mathcal{R} \bar{\otimes} M_k^{\bar{\otimes} \infty}.$$

Thus one can find a unital equivariant \*-homomorphism

$$\kappa : (B, \beta) \rightarrow (A^\omega \cap A', \alpha^\omega).$$

By Proposition 1.12 and Proposition 1.9, we find an equivariant c.p.c. order zero lift

$$\psi : (B, \beta) \rightarrow (A_\omega \cap A', \alpha_\omega)$$

such that  $\|\mathbf{1} - \psi(\mathbf{1})\|_{1,\omega} = 0$ . We notice that  $\psi(e_{1,1}) \in (A_\omega \cap A')^\Gamma$  and  $\tau_\omega(\psi(e_{1,1})^m) = \frac{1}{k}$  for all  $m \geq 1$ .

Since  $A$  has equivariant property (SI) relative to  $\alpha$  by Theorem 1.16, we can find a contraction  $s \in (A_\omega \cap A')^\Gamma$  such that  $s^*s = \mathbf{1} - \psi(\mathbf{1})$  and  $\psi(e_{1,1})s = s$ . We see that the pair  $(\psi, s)$  satisfies the universal property used to define  $Z_{k,k+1}^U$ , and thus we obtain a unique unital \*-homomorphism  $\varphi : Z_{k,k+1}^U \rightarrow A_\omega \cap A'$  with  $\varphi \circ \psi^U = \psi$  and  $\varphi(s_U) = s$ . As  $s$  is fixed by  $\alpha_\omega$  and  $\psi$  was equivariant by choice, we see that  $\varphi$  becomes equivariant with respect to  $\delta^{U,\nu}$  and  $\alpha_\omega$ .  $\square$

**Notation 2.10.** Henceforth, we will denote by  $\lambda^{(N)} \in M_N$  the unitary that is induced by the left-regular representation of  $\mathbb{Z}_N$  on  $\mathbb{C}^N \cong L^2(\mathbb{Z}_N)$ . More specifically, one has

$$\lambda^{(N)} = e_{1,N} + \sum_{k=1}^{N-1} e_{k+1,k} = \begin{pmatrix} 0 & \dots & \dots & 1 \\ 1 & 0 & \dots & 0 \\ & & 1 & \vdots \\ \vdots & & & \ddots \\ 0 & \dots & & 1 \end{pmatrix}.$$

We will also denote by  $\delta^N$  the automorphism on  $Z_{N,N+1}$  induced by  $\text{Ad}(\lambda^{(N)} \otimes (\lambda^{(N)} \oplus \mathbf{1}))$ .

**Lemma 2.11** (see [22, Lemma 2.2]). *Let  $n \in \mathbb{N}$  and  $\varepsilon > 0$  be given. Then there exists  $K \in \mathbb{N}$  such that for every  $N \geq K$  there exist projections  $p_0, \dots, p_{n-1}, q_0, \dots, q_n \in M_{N+1}$  such that*

$$\mathbf{1} = \sum_{j=0}^{n-1} p_j + \sum_{l=0}^n q_l$$

<sup>8</sup>Here the symbol  $\bar{\otimes}$  denotes the spatial tensor product of von Neumann algebras.

and

$$p_{j+1} =_{\varepsilon} \sigma^N(p_j) \pmod{n}, \quad q_{l+1} =_{\varepsilon} \sigma^N(q_l) \pmod{n+1},$$

where  $\sigma^N = \text{Ad}(\lambda^{(N)} \oplus \mathbf{1})$ .

The observations below can be seen as a variation Liao's argument from [26, Lemma 6.3, Theorem 6.4] and [27, Section 5].

**Lemma 2.12.** *Let  $n \in \mathbb{N}$  and  $\varepsilon > 0$  be given. Then there exists  $K \in \mathbb{N}$  such that for every  $N \geq K$  with  $n|N$ , there exist pairwise commuting positive elements*

$$a_0, \dots, a_{n-1}, b_0, \dots, b_{n-1}, c_0, \dots, c_n \in Z_{N, N+1}$$

such that

- $\mathbf{1} = \sum_{j=0}^{n-1} a_j + b_j + \sum_{k=0}^n c_k$ ;
- each of the collections  $\{a_j\}_{j=0}^{n-1}$ ,  $\{b_j\}_{j=0}^{n-1}$  and  $\{c_l\}_{l=0}^n$  consists of pairwise orthogonal elements;
- $\delta^N(a_j) =_{\varepsilon} a_{j+1} \pmod{n}$ ;
- $\delta^N(b_j) =_{\varepsilon} b_{j+1} \pmod{n}$ ;
- $\delta^N(c_l) =_{\varepsilon} c_{l+1} \pmod{n+1}$ ;
- $b_j \perp c_l$  for all  $j = 0, \dots, n-1$  and  $l = 0, \dots, n$ .

*Proof.* The number  $K$  is the same as the one in Lemma 2.11. Let  $N \geq K$  be such that  $N = n_0 n$  for some  $n_0 \in \mathbb{N}$ .

Applying Lemma 2.11, we find projections  $p_0, \dots, p_{n-1}$  and  $q_0, \dots, q_n$  with the stated properties. For  $j = 0, \dots, n-1$  and  $l = 0, \dots, n$ , we define functions via

$$b_j(t) = t \cdot \mathbf{1}^{(N)} \otimes p_j, \quad c_l(t) = t \cdot \mathbf{1}^{(N)} \otimes q_l, \quad t \in [0, 1].$$

This yields pairwise orthogonal elements in  $Z_{N, N+1}$ , and by our choice of  $p_j, q_l$  they are pairwise orthogonal and satisfy  $\delta^N(b_j) =_{\varepsilon} b_{j+1} \pmod{n}$  and  $\delta^N(c_l) =_{\varepsilon} c_{l+1} \pmod{n+1}$ . The sum over all  $b_j$  and  $c_l$  equals the element given by the function  $[t \mapsto t \cdot \mathbf{1}]$ .

Lastly, for  $j = 0, \dots, n-1$  we set

$$a_j(t) = (1-t) \cdot \sum_{l=0}^{n_0-1} e_{1+j+ln, 1+j+ln} \otimes \mathbf{1}^{(N+1)} \in M_N \otimes M_{N+1}, \quad t \in [0, 1].$$

This defines pairwise orthogonal functions in  $Z_{N, N+1}$  satisfying  $\delta^N(a_j) = a_{j+1} \pmod{n}$ , and moreover their sum is equal to the function  $[t \mapsto (1-t)\mathbf{1}]$ .

Evidently, all of these functions constructed so far commute with each other. Moreover their sum is equal to the unit, which shows our claim.  $\square$

**Lemma 2.13.** *Let  $n \in \mathbb{N}$  and  $\varepsilon > 0$  be given. Then there exists  $K \in \mathbb{N}$  such that for every  $N \geq K$  with  $n|N$ , there exist pairwise commuting positive elements*

$$a_0, \dots, a_{n-1}, b_0, \dots, b_{n-1}, c_0, \dots, c_{n-1} \in Z_{N, N+1}$$

such that

- $\mathbf{1} = \sum_{j=0}^{n-1} a_j + b_j + c_j$ ;
- each of the collections  $\{a_j\}_{j=0}^{n-1}$ ,  $\{b_j\}_{j=0}^{n-1}$  and  $\{c_j\}_{j=0}^{n-1}$  consists of pairwise orthogonal elements;
- $\delta^N(a_j) =_{\varepsilon} a_{j+1} \pmod{n}$ ;

- $\delta^N(b_j) =_\varepsilon b_{j+1} \pmod n$ ;
- $\delta^N(c_j) =_\varepsilon c_{j+1} \pmod n$ .

*Proof.* Using Lemma 2.12, we can obtain such elements in exactly the same fashion as in the proof of [9, Proposition 2.8].  $\square$

**Theorem 2.14.** *Let  $A$  be a separable, unital, simple, nuclear, monotracial  $\mathcal{Z}$ -stable C\*-algebra. Suppose that  $\alpha : \Gamma \curvearrowright A$  is a strongly outer action of a countable amenable group. Let  $H \subset \Gamma$  be a normal subgroup with  $\Gamma/H \cong \mathbb{Z}$ . Then  $\dim_{\text{Rok}}^c(\alpha, H) \leq 2$ .*

*Proof.* Let  $g_0 \in \Gamma$  be an element generating the quotient. Due to the  $\varepsilon$ -test [20, Lemma 3.1], it is enough to show for a fixed  $\varepsilon > 0$  and  $n \geq 1$  that there exist pairwise commuting positive contractions  $a_j, b_j, c_j \in (A_\omega \cap A')^H$  such that:

- $\mathbf{1} = \sum_{j=0}^{n-1} a_j + b_j + c_j$ ;
- each of the collections  $\{a_j\}_{j=0}^{n-1}$ ,  $\{b_j\}_{j=0}^{n-1}$  and  $\{c_j\}_{j=0}^{n-1}$  consists of pairwise orthogonal elements;
- $\alpha_{\omega, g_0}(a_j) =_\varepsilon a_{j+1} \pmod n$ ;
- $\alpha_{\omega, g_0}(b_j) =_\varepsilon b_{j+1} \pmod n$ ;
- $\alpha_{\omega, g_0}(c_j) =_\varepsilon c_{j+1} \pmod n$ .

For the pair  $(\varepsilon, n)$ , choose  $N \geq 1$  big enough to satisfy the conclusion of Lemma 2.13. By our assumptions on  $g_0, \Gamma, H$ , we get a well-defined action  $\gamma : \Gamma \curvearrowright Z_{N, N+1}$  via  $\gamma|_H = \text{id}$  and  $\gamma_{g_0} = \delta^N$  in the sense of Notation 2.10. By Remark 2.8 and Remark 2.7,  $\gamma$  is conjugate to  $\delta^{U, \nu} : \Gamma \curvearrowright Z_{N, N+1}^U$  for some unitary representation  $\nu : \Gamma \rightarrow M_{N-1}$ . Thus Lemma 2.9 allows us to find a unital equivariant \*-homomorphism

$$\varphi : (Z_{N, N+1}, \gamma) \rightarrow (A_\omega \cap A', \alpha_\omega).$$

By our definition of  $\gamma$ , this can also be viewed as a unital equivariant \*-homomorphism

$$\varphi : (Z_{N, N+1}, \delta^N) \rightarrow ((A_\omega \cap A')^H, \alpha_{\omega, g_0}).$$

Hence the desired elements exist by Lemma 2.13.  $\square$

**Example 2.15** (cf. [21]). Every UHF algebra  $\mathbb{U}$  of infinite type admits a strongly self-absorbing automorphism with the Rokhlin property.

*Proof.* For a fixed  $n \in \mathbb{N}$ , we consider the direct sum  $M_n \oplus M_{n+1}$ , and observe that the unitary  $s_n = \lambda^{(n)} \oplus \lambda^{(n+1)}$  defines an inner automorphism for which the (standard) minimal projections  $(e_{1,1} \oplus 0) \in M_n \oplus 0$  and  $(0 \oplus e_{1,1}) \in 0 \oplus M_{n+1}$  generate a Rokhlin multitower of length  $n$  on the nose.

Now let  $\mathbb{U}$  be a UHF algebra of infinite type. Clearly there exists a unital \*-homomorphism  $\iota^{(n)} : M_n \oplus M_{n+1} \rightarrow \mathbb{U}$ . We define

$$\alpha = \bigotimes_{n \in \mathbb{N}} (\text{Ad}(\iota^{(n)}(s_n))^{\otimes \infty}) : \mathbb{Z} \curvearrowright (\mathbb{U}^{\otimes \infty})^{\otimes \infty} \cong \mathbb{U},$$

which will satisfy the Rokhlin property by construction. It is also strongly self-absorbing by [45, Proposition 5.2].  $\square$

**Theorem 2.16.** *Let  $A$  be a separable, unital, simple, nuclear, monotracial  $C^*$ -algebra. Suppose that  $\alpha : \Gamma \curvearrowright A$  is a strongly outer action of a countable amenable group. Let  $H \subset \Gamma$  be a normal subgroup with  $\Gamma/H \cong \mathbb{Z}$ . Then for any UHF algebra  $\mathbb{U}$  of infinite type, the action  $\alpha \otimes \text{id}_{\mathbb{U}}$  has the Rokhlin property relative to  $H$ .*

*Proof.* Certainly we may assume  $\alpha \simeq_{\text{cc}} \alpha \otimes \text{id}_{\mathbb{U}}$  without loss of generality. Let  $g_0 \in \Gamma$  be an element generating the quotient. Let  $\psi \in \text{Aut}(\mathbb{U})$  be a strongly self-absorbing automorphism with the Rokhlin property, as in Example 2.15. By the assumption on  $g_0, \Gamma, H$ , we obtain a well-defined action  $\gamma : \Gamma \curvearrowright \mathbb{U}$  via  $\gamma|_H = \text{id}$  and  $\gamma_{g_0} = \psi$ . By replacing  $\gamma$  if necessary<sup>9</sup>, we may assume  $\gamma \simeq_{\text{cc}} \gamma \otimes \text{id}_{\mathbb{U}}$ . Hence  $\gamma$  is unitarily regular by Remark 1.7. Evidently  $\gamma$  is strongly self-absorbing and has the Rokhlin property relative to  $H$ .

Then we have  $(\alpha \otimes \gamma)|_H = (\alpha \otimes \text{id}_{\mathbb{U}})|_H \cong \alpha|_H$ . Since we know that  $\dim_{\text{Rok}}^{\text{c}}(\alpha, H) \leq 2$  from Theorem 2.14, we may apply Theorem 2.3 to deduce  $\alpha \simeq_{\text{cc}} \alpha \otimes \gamma$ . Hence  $\alpha$  also has the Rokhlin property relative to  $H$ .  $\square$

**Remark 2.17.** With a further reduction argument, it is possible to improve the conclusion of Theorem 2.16 to include arbitrary infinite-dimensional UHF algebras in place of  $\mathbb{U}$ . Since we do not need this level of generality to obtain our main results, this shall not be pursued here.

Lastly, let us also consider the purely infinite case to have a unified proof of the main result within the next section:

**Theorem 2.18.** *Let  $A$  be a Kirchberg algebra. Let  $\alpha : \Gamma \curvearrowright A$  be a pointwise outer action of a countable amenable group. Let  $H \subset \Gamma$  be a normal subgroup with  $\Gamma/H \cong \mathbb{Z}$ . Then  $\alpha$  has the Rokhlin property relative to  $H$ .*

*Proof.* Let  $g_0 \in \Gamma$  be an element generating the quotient. Let  $u \in \mathcal{U}(\mathcal{O}_{\infty})$  be a unitary with full spectrum  $\mathbb{T}$ . Then by the properties of  $g_0, \Gamma, H$ , we may associate a unique unitary representation  $w : \Gamma \rightarrow \mathcal{U}(\mathcal{O}_{\infty})$  via  $w|_H = \mathbf{1}$  and  $w_{g_0} = u$ . Moreover we get a well-defined action

$$\gamma = \text{Ad}(w)^{\otimes \infty} : \Gamma \curvearrowright \mathcal{O}_{\infty}^{\otimes \infty} \cong \mathcal{O}_{\infty}.$$

Evidently  $\gamma|_H = \text{id}$  and  $\gamma_{g_0}$  is an aperiodic automorphism. Since  $\gamma_{g_0}$  has the Rokhlin property by [35, Theorem 1], it follows by definition that  $\gamma$  has the Rokhlin property relative to  $H$ . Moreover, it follows from [43, Theorem 3.5] that  $\alpha \simeq_{\text{cc}} \alpha \otimes \gamma$ . This shows our claim.  $\square$

### 3. ACTIONS ON STRONGLY SELF-ABSORBING $C^*$ -ALGEBRAS

For what follows recall Definition B of the bootstrap class of groups  $\mathfrak{C}$  from the introduction.

**Theorem 3.1.** *Let  $\mathcal{D}$  be a strongly self-absorbing  $C^*$ -algebra and let  $A$  be a separable, unital, simple, nuclear,  $\mathcal{D}$ -stable  $C^*$ -algebra with at most one trace. Let  $\alpha : \Gamma \curvearrowright A$  be a strongly outer action of a countable amenable group. Suppose that  $H \subset \Gamma$  is a normal subgroup such that  $\Gamma/H \in \mathfrak{C}$ . Let  $\gamma : \Gamma \curvearrowright \mathcal{D}$  be a semi-strongly self-absorbing action. If  $\alpha|_H \simeq_{\text{cc}} (\alpha \otimes \gamma)|_H$ , then  $\alpha \simeq_{\text{cc}} \alpha \otimes \gamma$ .*

<sup>9</sup>This is actually not necessary by Theorem 2.3.

*Proof.* Let  $\mathfrak{F}$  be the class of all countable amenable groups  $\Lambda$  such that the conclusion of this theorem holds whenever one has  $\Gamma/H \cong \Lambda$  instead of  $\Gamma/H \in \mathfrak{C}$ . Evidently the trivial group is in  $\mathfrak{F}$ , and  $\mathfrak{F}$  is closed under extensions. Moreover it follows directly from [42, Theorem 5.6(ii)] that  $\mathfrak{F}$  is closed under countable directed unions. By the definition of the class  $\mathfrak{C}$ , it suffices to show  $\mathbb{Z} \in \mathfrak{F}$  in order to obtain  $\mathfrak{C} \subseteq \mathfrak{F}$ , which will prove the claim.

So let us assume  $\Gamma/H \cong \mathbb{Z}$ . If  $A$  is finite, then it follows from Theorem 2.14 that  $\dim_{\text{Rok}}^{\mathfrak{C}}(\alpha, H) \leq 2$ . If  $A$  is infinite, then it follows from Theorem 2.18 and Proposition 2.2 that  $\dim_{\text{Rok}}^{\mathfrak{C}}(\alpha, H) \leq 1$ . So in all cases we have  $\dim_{\text{Rok}}^{\mathfrak{C}}(\alpha, H) \leq 2$ . Note that  $\gamma$  is equivariantly  $\mathcal{Z}$ -stable by either Theorem 1.16 or [43, Theorem 3.4] (depending on whether  $\mathcal{D}$  is finite or infinite), so in particular it is unitarily regular by Remark 1.7. Thus if  $\alpha|_H \simeq_{\text{cc}} (\alpha \otimes \gamma)|_H$ , then  $\alpha \simeq_{\text{cc}} \alpha \otimes \gamma$  follows by Theorem 2.3 and the proof is complete.  $\square$

**Theorem 3.2.** *Let  $\mathcal{D}$  be a strongly self-absorbing C\*-algebra. Let  $\gamma, \gamma^{(1)}, \gamma^{(2)} : \Gamma \curvearrowright \mathcal{D}$  be strongly outer actions of a countable amenable group. Suppose that  $H \subset \Gamma$  is a normal subgroup such that  $\Gamma/H \in \mathfrak{C}$ .*

- (i) *If  $\gamma|_H$  is semi-strongly self-absorbing, then so is  $\gamma$ .*
- (ii) *If  $\gamma^{(i)}|_H$  is semi-strongly self-absorbing for  $i = 1, 2$  and  $\gamma^{(1)}|_H \simeq_{\text{cc}} \gamma^{(2)}|_H$ , then  $\gamma^{(1)} \simeq_{\text{cc}} \gamma^{(2)}$ .*

*In particular, the statement of Conjecture A is closed under extensions by groups in the class  $\mathfrak{C}$ .*

*Proof.* First let us observe that (i) implies (ii). If the actions  $\gamma^{(i)}|_H$  for  $i = 1, 2$  are semi-strongly self-absorbing, then so are  $\gamma^{(i)}$  for  $i = 1, 2$ . Furthermore,  $\gamma^{(1)}|_H \simeq_{\text{cc}} \gamma^{(2)}|_H$  means that these  $H$ -actions absorb each other tensorially. So due to Theorem 3.1, the  $\Gamma$ -actions  $\gamma^{(1)}$  and  $\gamma^{(2)}$  also absorb each other tensorially, and hence they are cocycle conjugate.

So let us show (i). Similarly as in the proof of Theorem 3.1, let us consider the class  $\mathfrak{F}$  of all countable amenable groups  $\Lambda$  such that (i) holds whenever  $\Gamma/H \cong \Lambda$  instead of  $\Gamma/H \in \mathfrak{C}$ . Evidently the trivial group is in  $\mathfrak{F}$  and  $\mathfrak{F}$  is closed under extensions. Moreover it follows directly from [42, Theorem 5.6(i)] that  $\mathfrak{F}$  is closed under countable directed unions. By the definition of the class  $\mathfrak{C}$ , it suffices to show  $\mathbb{Z} \in \mathfrak{F}$  in order to obtain  $\mathfrak{C} \subseteq \mathfrak{F}$ , which will prove the claim.

So let us assume  $\Gamma/H \cong \mathbb{Z}$ . Let  $g_0 \in \Gamma$  be an element generating the quotient.

**Step 1:** It follows from either Theorem 1.16 or [43, Theorem 3.4] (depending on whether  $\mathcal{D}$  is finite or infinite) that  $\gamma \simeq_{\text{cc}} \gamma \otimes \text{id}_{\mathcal{Z}}$ .<sup>10</sup> By virtue of [42, Theorem 6.6], the claim reduces to the special case where  $\gamma \simeq_{\text{cc}} \gamma \otimes \text{id}_{\mathbb{U}}$  for some UHF algebra  $\mathbb{U}$  of infinite type. So let us make this assumption from now on.

**Step 2:** We assume  $\gamma|_H$  is semi-strongly self-absorbing. We claim that  $\gamma$  has approximately  $\Gamma$ -inner flip.<sup>11</sup>

<sup>10</sup>Note that  $\mathcal{D} \cong \mathcal{D} \otimes \mathcal{Z}$  is known due to [49].

<sup>11</sup>This part of the proof will be similar to [44, Theorem 6.7]. The argument is a variation of Kishimoto's technique [23, Proposition 3.2].

Set  $B = \mathcal{D} \otimes \mathcal{D}$  and  $\beta = \gamma \otimes \gamma : \Gamma \curvearrowright B$ . Denote by  $\Sigma$  the flip automorphism on  $B$ , which is  $\beta$ -equivariant. Since  $\gamma|_H$  is semi-strongly self-absorbing by assumption, we can apply [45, Proposition 3.6] and find unitaries  $x, y \in B_\omega^H$  such that  $\text{Ad}(xyx^*y^*)(b) = \Sigma(b)$  for all  $b \in B$ . We set  $u = xyx^*y^*$  and observe that  $u$  is homotopic to the unit inside  $\mathcal{U}(B_\omega^H)$  by [45, Proposition 2.19].

On the other hand, we also have

$$\beta_{\omega, g_0}^n(u)b\beta_{\omega, g_0}^n(u)^* = \beta_{\omega, g_0}^n(u\beta_{g_0}^{-n}(b)u^*) = \beta_{g_0}^n \circ \Sigma \circ \beta_{g_0}^{-n}(b) = \Sigma(b)$$

for all  $b \in B$  and  $n \in \mathbb{Z}$ . Hence we have  $w_n := u\beta_{\omega, g_0}^n(u)^* \in (B_\omega \cap B')^H$  for all  $n$ . Clearly  $\{w_n\}_{n \in \mathbb{Z}}$  is the  $\beta_{\omega, g_0}$ -cocycle over  $\mathbb{Z}$  associated to the unitary  $w_1 = u\beta_{\omega, g_0}(u)^*$ .

Since  $u$  is homotopic to the unit in  $\mathcal{U}(B_\omega^H)$ , this is possible with some  $L$ -Lipschitz unitary path for some  $L > 0$ . Since  $\beta|_H$  is semi-strongly self-absorbing, it follows from [45, Lemma 3.12] that all of the unitaries  $w_n$  are homotopic to the unit inside  $\mathcal{U}((B_\omega \cap B')^H)$  via a  $2L$ -Lipschitz unitary path. Let  $C$  be some separable,  $\beta_{\omega, g_0}$ -invariant  $C^*$ -subalgebra of  $(B_\omega \cap B')^H$  containing the cocycle  $\{w_n\}_{n \in \mathbb{Z}}$  along with all such unitary paths for each  $n \in \mathbb{Z}$ .

Since we have assumed  $\gamma \simeq_{cc} \gamma \otimes \text{id}_{\mathbb{U}}$ , we also have  $\beta \simeq_{cc} \beta \otimes \text{id}_{\mathbb{U}}$ , and therefore by Theorem 2.16 (if  $\mathcal{D}$  is finite) or Theorem 2.18 (if  $\mathcal{D}$  is infinite) the action  $\beta$  has the Rokhlin property relative to  $H$ . So for any  $n \in \mathbb{N}$  we have projections  $p, q \in (B_\omega \cap B')^H$  such that  $\mathbf{1} = \sum_{j=0}^{n-1} \beta_{\omega, g_0}^j(p) + \sum_{l=0}^n \beta_{\omega, g_0}^l(q)$ . By a standard reindexation trick, we may additionally assume  $[p, c] = 0 = [q, c]$  for all  $c \in C$ .

This allows us to employ the same argument as in the proof of [24, Proposition 4.3] to deduce that there exists a unitary  $v \in (B_\omega \cap B')^H$  with  $u\beta_{\omega, g_0}(u)^* = v\beta_{\omega, g_0}(v)^*$ ; see also [8]. Set  $z = v^*u$ . Then  $z$  is evidently a unitary in  $B_\omega^H$ , but it also satisfies  $z = \beta_{\omega, g_0}(z)$ , hence in fact  $z \in B_\omega^\Gamma$ . Moreover we have

$$zbz = v^*abu^*v = v^*\Sigma(b)v = \Sigma(b) \quad \text{for all } b \in B.$$

This shows that the flip automorphism  $\Sigma$  is indeed approximately  $\Gamma$ -inner.

**Step 3:** From ‘‘Step 2’’ above it follows that  $\gamma^{\otimes \infty} : \Gamma \curvearrowright \mathcal{D}^{\otimes \infty}$  is a (semi-)strongly self-absorbing action; cf. [46, Proposition 3.3]. By our assumption that  $\gamma|_H$  is semi-strongly self-absorbing, we have  $\gamma|_H \simeq_{cc} (\gamma \otimes \gamma^{\otimes \infty})|_H$ . By applying Theorem 3.1 we see that  $\gamma \simeq_{cc} \gamma \otimes \gamma^{\otimes \infty} \simeq_{cc} \gamma^{\otimes \infty}$ , which shows that  $\gamma$  is indeed semi-strongly self-absorbing. This completes the proof.  $\square$

**Example 3.3.** Let  $\mathcal{D}$  be a strongly self-absorbing  $C^*$ -algebra. Given a countable discrete group  $\Gamma$ , the noncommutative Bernoulli shift

$$\gamma^0 : \Gamma \curvearrowright \bigotimes_{\Gamma} \mathcal{D} \cong \mathcal{D}$$

defines a strongly outer action.

**Corollary 3.4.** *Let  $\Gamma \in \mathfrak{C}$ . Let  $\mathcal{D}$  be a strongly self-absorbing  $C^*$ -algebra. Then up to (very strong) cocycle conjugacy, there exists a unique strongly outer  $\Gamma$ -action on  $\mathcal{D}$ .*



*Proof.* First note that the existence follows via Example 3.3. Uniqueness up to cocycle conjugacy follows from Theorem 3.2(ii) for  $H = \{1\}$ . The uniqueness up to very strong cocycle conjugacy is due to the fact that these actions are all semi-strongly self-absorbing by Theorem 3.2(i), and hence one may apply the strengthened McDuff-type result [42, Theorem 3.2] as explained in Remark 1.7.  $\square$

**Corollary 3.5.** *Let  $\Gamma \in \mathfrak{C}$ . Let  $\mathcal{D}$  be a strongly self-absorbing C\*-algebra and  $A$  a separable, unital, simple, nuclear,  $\mathcal{D}$ -stable C\*-algebra with at most one trace. Let  $\alpha : \Gamma \curvearrowright A$  be an action. Then  $\alpha$  is strongly outer if and only if  $\alpha \simeq_{\text{cc}} \alpha \otimes \gamma$  for every action  $\gamma : \Gamma \curvearrowright \mathcal{D}$ .*

*Proof.* Let  $\gamma^0 : \Gamma \curvearrowright \mathcal{D}$  be a strongly outer action as in Example 3.3. By Theorem 3.2(i) applied to  $H = \{1\}$ ,  $\gamma^0$  is a semi-strongly self-absorbing action. Clearly any  $\gamma^0$ -absorbing action is also strongly outer, so this shows the “if” part.

For the “only if” part, assume that  $\alpha$  is strongly outer. By Theorem 3.1 applied to  $H = \{1\}$ , it follows that  $\alpha \simeq_{\text{cc}} \alpha \otimes \gamma^0$  as  $\gamma^0$  is semi-strongly self-absorbing. In fact one has  $\alpha \simeq_{\text{vsc}} \alpha \otimes \gamma^0$  by Remark 1.7. Moreover, it follows from Corollary 3.4 that  $\gamma^0 \simeq_{\text{vsc}} \gamma^0 \otimes \gamma$  for every action  $\gamma : \Gamma \curvearrowright \mathcal{D}$ , so indeed one always has  $\alpha \simeq_{\text{vsc}} \alpha \otimes \gamma$ . This finishes the proof.  $\square$

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