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1 Effect of dynamic storage temperatures on the
2 microstructure of frozen carrot imaged using X-ray
3 micro-CT

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10 **Abstract**

11 Frozen vegetables are often exposed to dynamic temperature conditions during cold storage
12 and distribution chain. The resulting ice recrystallization leads to microstructural changes,
13 which is directly linked to the final vegetable quality. To this end, X-ray μ CT was applied to
14 visualize and quantify 3D ice crystal changes in carrot over a period of two months of frozen
15 storage with dynamically changing temperature. The studied conditions revealed a significant
16 increase in ice crystal size during the storage period. The equivalent diameter of the ice
17 crystals increased from $246 \pm 15.9 \mu\text{m}$, to $342 \pm 13.2 \mu\text{m}$, $394 \pm 18.5 \mu\text{m}$, $525 \pm 28.0 \mu\text{m}$ and
18 $578 \pm 27.6 \mu\text{m}$ at 0 d, 7 d, 14 d, 30 d and 60 d of storage, respectively, while the number of
19 ice crystals decreased. The 3D data on the ice crystals and image analysis presented within
20 this paper provide an insight making it possible to describe microstructure evolution, and for
21 better control cold storage sector of frozen vegetables.

22 **Keywords:** Cold chain, ice recrystallization, X-ray μ CT, image analysis, 3D microstructure

23 1. Introduction

24 Carrots (*Daucus carota* L.) are good sources of carotenoids and dietary fibers, which are
25 functional components having substantial health-promoting properties. Carrots are perishable
26 root vegetables because of their high-water content. Furthermore, carrot tissue comprises
27 different layers: parenchyma cells, vascular tissue and peripheral cortex tissue of different
28 sizes and shapes. Carrot tissue structure is affected by vascular bundle growth (Voda et al.,
29 2012). One of the greatest challenges for the frozen food industry is to preserve the quality of
30 food materials during extended storage for consumer's convenience.

31 Food-processing operations, including freezing and frozen storage, may change the tissue
32 structure significantly (Aguilera and Stanley, 1999; Ullah et al., 2014). During freezing, ice
33 crystals are formed throughout the cellular structure. The formation of ice crystals can modify
34 the tissue microstructure of plant-based materials (Mousavi et al., 2007; Vicent et al., 2017).
35 During subsequent storage, ice recrystallization occurs, involving ice crystals resizing and
36 redistribution (Ullah et al., 2014; Ndoye and Alvarez, 2015), resulting in further
37 microstructural changes. Small crystals are thermodynamically less stable due to a high
38 surface to volume ratio, implying that small crystals can easily melt and the released water
39 molecules are deposited on the surface of the larger crystals (Donhowe and Hartel, 1996;
40 Hartel, 1998; Pronk et al., 2005; Hagiwara et al., 2006). Larger crystals thus tend to increase
41 in size at the expense of small crystals. Pronk et al. (2005) commented that in food materials,
42 Ostwald ripening is a main mechanism for ice recrystallization compared with other
43 mechanisms, including iso-mass and accretion recrystallization. Recrystallization is more
44 likely to occur if frozen food undergoes temperature abuse, such as dynamic temperatures
45 during frozen storage and within the distribution chain (Zaritzky, 2000). Enlargement of ice
46 crystals can occur at a constant temperature during long-term storage, especially in a liquid
47 state, i.e., at a temperature beyond glass transition temperature where molecular mobility is

48 increased (Donhowe and Hartel, 1996; Syamaladevi et al., 2012). Ice crystal growth is
49 strongly linked to food microstructural changes that often affect the stability and quality of
50 fruit and vegetables, and these changes include alteration in texture, sensory quality and
51 nutritional values (Zaritzky, 2000; Ho et al., 2013). In frozen vegetables, Gonçalves et al.
52 (2011a, 2011b) worked with broccoli and pumpkin, respectively during frozen storage. Both
53 studies reported irreversible changes of quality, such as drip loss, sensory and textural
54 changes as well as nutritional loss as a result of ice crystal growth. Vicent et al. (2018)
55 recently showed changes of quality such as drip loss in frozen apple tissue as a result of ice
56 recrystallization during storage with temperature fluctuations. Therefore, the need to
57 investigate the microstructural changes in 3D data sets is preferable, and could help to
58 elucidate the quality and stability changes occurring in vegetables.

59 X-ray micro-computed tomography (X-ray μ CT) has become popular as a 3D imaging
60 technique to visualize and quantify the internal microstructure features of frozen vegetables
61 (Mousavi et al., 2007; Voda et al., 2012; Ullah et al., 2014; Zhao and Takhar, 2017).
62 However, most of the studies conducted in the past have focused only on the visualization of
63 the microstructure of frozen foods after a freeze-drying process to lyophilize frozen water.
64 Mousavi et al. (2007) used X-ray μ CT to investigate the 3D ice crystals during freezing of
65 different food products, including carrots, and stated that ice crystals varied in sizes according
66 to the freezing rates applied. Ullah et al. (2014) applied X-ray μ CT to visualize ice
67 recrystallization in frozen potatoes during storage with temperature fluctuations, and reported
68 the ice crystals increased in size with the increase in amplitude of temperature fluctuations.
69 Zhao and Takhar (2017) also used X-ray μ CT to study ice recrystallization phenomena in
70 frozen potatoes subjected to different temperature fluctuations during storage. However,
71 Mousavi et al. (2007), Ullah et al. (2014) and Zhao and Takhar (2017) assumed that the void
72 structures formed in the freeze-dried products represented the ice crystal morphology. In fact,

73 the freeze-drying process may have changed frozen-food structures investigated through
74 shrinkage (Voda et al., 2012), leading to inconclusive results. To circumvent this issue, Vicent
75 et al. (2017) developed and validated an X-ray μ CT imaging procedure directly on frozen
76 samples to investigate the 3D microstructure of ice crystals in apple tissue at a low
77 temperature (-18 °C). The method employed prior knowledge by incorporating X-ray
78 attenuation coefficients of reference samples into the image analysis. The method remains to
79 be tested to determine whether it is suitable for investigating the relationship between the 3D
80 microstructure of the ice crystals and the storage temperature without requiring a freeze-
81 drying step.

82 The objective of this study was to quantify for the first time 3D ice crystal growth in frozen
83 carrots stored over a period of two months at dynamically changing temperature by
84 performing image analysis using the X-ray attenuation coefficients of reference model
85 samples. The imaging methodology elaborated by Vicent et al. (2017) was implemented in
86 order to study the ice crystal propagation due to ice recrystallization.

87 **2. Materials and methods**

88 **2.1 Carrot sample and preparation**

89 Carrots (*Daucus carota* L., cv. Nantesa) were purchased from a local supplier in Paris,
90 France, and were of different sizes (diameter: 2 to 4 cm; length: 14 to 20 cm). Prior to sample
91 preparation, the carrots were washed and stored at 4 °C overnight to equilibrate. Cylindrical
92 tissue samples with a height of 14 mm were excised from carrot tissue by using a cork bore
93 with an inner diameter of 6 mm as illustrated in Fig. 1a-b. Subsequently, each excised sample
94 was placed in a straw to facilitate the mounting of samples onto the cooling stage for image
95 acquisition using X-ray μ CT. Next, five replicate carrot samples were numbered and packed
96 in two plastic bags for the freezing process and subsequent storage experiment.

97 **2.2 Sample freezing**

98 The prepared samples were frozen in an air blast freezer set at a temperature of -33 °C. The
99 sample and freezer temperatures were recorded during freezing using calibrated
100 thermocouples (type T thermocouple of 0.2 mm) attached to a data logger system (34970A,
101 Agilent HP, Santa Clara, USA) connected to a computer. For sample temperature records, a
102 thermocouple was inserted into a sample core of the representative bag. The air freezer
103 temperature was recorded as well. Freezing was completed when the sample core temperature
104 reached -18 °C. Fig. 2 shows the temperature profile during the freezing process; a typical
105 cooling step is observed whereby the sample temperature was reduced to the freezing point,
106 during which sensible heat was removed from the carrot tissue samples. Next is a super-
107 cooling step during which the temperature falls below the freezing point. Subsequently, the
108 freezing period started, in which liquid water within carrot tissue was converted into ice
109 accompanied by latent heat removal as the temperature decreased gradually. Finally, the
110 carrot temperature decreased until the desired final temperature of -18 °C was reached; during
111 this step sensible heat was removed. As a result, an overall freezing rate of approximately 9.1
112 °C per min was achieved. The rate of freezing was estimated from the ratio of the temperature
113 difference between ambient temperature (20 °C) and the freezing temperature (-18 °C) divided
114 by the time difference from ambient temperature to freezing temperature as defined by the
115 International Institute of Refrigeration (Bogh-Sorensen, 2006).

116 **2.3 Dynamic change of storage temperatures**

117 Frozen samples were stored under dynamic change of temperature conditions using two
118 freezers. Samples were held in the first freezer set at a temperature of -18 °C for 23 h and then
119 moved to a second freezer set at a temperature of -5 °C for 1 h, as shown in Fig. 1c. The
120 dynamic temperature cycle was performed daily over a period of two months, with the
121 exception of weekends. This storage scenario made it possible to study the effects of the poor

122 practice in terms of temperature dynamic conditions that could occur during frozen storage.
123 At sub-zero temperatures, the glass transition temperature (T_g') is an important reference
124 temperature to describe quality and storability of food materials. During glass transition, the
125 mechanical properties of the product change from those of an elastic material to those of a
126 brittle one due to changes in molecular mobility. This causes a step change in heat capacity of
127 the product. It should be noted that the transition does not occur suddenly at a single
128 temperature but rather over a range of temperature. T_g' is then estimated from the temperature
129 in the middle of the step region. Reid et al. (2003) measured the T_g' in several food materials
130 using Differential Scanning Calorimetry (DSC). Gonçalves et al. (2007) also determined the
131 glass transition temperature (T_g') in different vegetables, includes carrot using DSC technique.
132 The authors stated a T_g' value to be approximately $-32\text{ }^\circ\text{C}$ in carrot. This indicates that no
133 amorphous solid phase in the frozen carrot tissue was formed during freezing and dynamic
134 storage experiments.

135 **2.4 Attenuation coefficient references**

136 In tomographic images, the grey value does correspond to the linear attenuation coefficient
137 that describes the fraction of the X-rays absorbed or scattered relatively to the material
138 properties, including density. The correlations are often inadequate when attempting to
139 accurately classify distinctive components in the X-ray images. This is because food
140 components consist of elements with comparable atomic numbers, and the X-rays applied are
141 polychromatic. This is mainly the case here when segmenting pure ice and unfrozen-matrix.
142 Within this framework and according to the imaging method developed by Vicent et al.
143 (2017), two reference samples were scanned and analyzed at the same settings as the frozen
144 carrot samples: (i) frozen distilled water was used to identify the X-ray attenuation
145 coefficients of pure ice crystals in frozen carrot; (ii) concentrated carrot juice was examined to
146 represent the X-ray attenuation coefficient of the unfrozen-matrix in frozen carrot tissue. As

147 such, concentrated carrot juice (68 % Brix) was prepared from carrot tissue juice (9 % Brix)
148 using a rotary evaporator (RE400, Staffordshire, ST15 OSA, UK) at 60 ± 4 °C. The
149 concentrated juice was stored at a temperature of -18 °C and assumed to have a similar
150 concentration as the unfrozen matrix in frozen carrot tissue at -18 °C.

151 **2.5 X-ray μ CT imaging**

152 X-ray μ CT scans of frozen carrot samples were acquired using high-resolution X-ray micro-
153 computed tomography (DeskTom RX 130, Chavanod, France). The frozen sample at -18 °C
154 was gently placed into a cooling stage cylinder; this cooling stage was made of phase change
155 material (PCM) designed to maintain the sample temperature during the entire scanning
156 protocol. PCM consisted of NaCl (25 % w/w) and commercial blend gum (5 % w/w)
157 (Germantown Premium IC Blend, Danisco) was used. To isolate both the samples and PCM
158 from the environment, the cooling stage was surrounded by and covered with polystyrene
159 foam. From preliminary test, the use of PCM together with polystyrene foam during imaging
160 was sufficient to minimize the temperature difference to 2 °C, i.e., from -18 to -16 °C during
161 the entire scanning duration. An X-ray tube voltage of 60 kV was applied to capture 896
162 projection images with an exposure time of 0.2 s per projection. A voxel resolution of 8.9 μ m
163 was used for image acquisition. The projection images were recorded over a 360° rotation
164 with a step size of 0.4° and required a total scanning time of 11 min per sample. After each
165 scan, the sample was placed back in the freezer set at a temperature of -18 °C for sequential
166 storage. This enabled us to follow the microstructural changes for the same carrot sample
167 throughout the storage experiment.

168 XAct 2 software (RX Solution SAS, Chavanod, France) was utilized to reconstruct the 3D
169 image from a series of X-ray radiograph projections using the filtered back-projection
170 algorithm (Feldkamp et al., 1984). Noise filtering and phase contrast correction were applied
171 to improve image quality. Reconstructed images were converted to 8-bit precision to reduce

172 the computational load during image processing. The scanning and reconstruction procedures
173 outlined above were utilized to acquire CT images of frozen carrot at each time point during
174 storage and were also used for the reference samples.

175 Fresh carrot scans were acquired using a SkyScan 1172 high-resolution desktop X-ray μ CT
176 (Bruker micro CT, Kontich, Belgium) at a voxel resolution of 2.9 μ m. For comparison
177 purposes to the frozen samples, five replicates of the fresh carrot tissue were imaged using the
178 optimized scanning and reconstruction workflows detailed by Vicent et al. (2017).

179 **2.6 Image processing**

180 A preliminary analysis was carried out from the undisturbed central part of the CT images to
181 determine the representative elementary volume (REV) based on the method proposed by
182 Mendoza et al. (2007). The aim is to establish the minimum REV that provides representation
183 of the macroscopic properties of the product. REV analysis is a very common and important
184 feature in imaging and transport phenomena (Mendoza et al., 2007; Russ, 2016; Heinzl et al.,
185 2018). Vicent et al. (2017) applied this method to frozen apple tissue to assess the REV for
186 quantitative analysis of the 3D ice crystals during freezing at different rates. Therefore, six
187 different volumes were subdivided from the same stack of carrot images by varying the sub-
188 volume length to 64, 128, 280, 340, 420 and 560 pixels (8.9 μ m per pixel). Thus, from each
189 sub-volume, three stacks of images from three different samples were analyzed. Then, the
190 average ice volume and standard deviation for three sub-volumes were computed. The
191 analytical procedure was carried out using Avizo 9.2.0 software (FEI VSG, Bordeaux,
192 France).

193 **2.7 Image segmentation**

194 In this study, the segmentation methodology developed by Vicent et al. (2017) makes it
195 possible to segment the ice crystals in carrot by prior analysis of the X-ray attenuation
196 coefficients of the reference model samples, as described in Section 2.4. Fig. 3a shows a μ CT-

197 slice of frozen water representing ice at $-18\text{ }^{\circ}\text{C}$ and Fig. 3b displays a μCT -slice of
198 concentrated carrot juice identified as representing the unfrozen-matrix in frozen carrot at -18
199 $^{\circ}\text{C}$. The components in the μCT images of frozen carrot vary in density from low to high (as
200 shown in Fig 3c). As each voxel of the μCT image may comprise one or more components.
201 This has resulted in a large variation in intensity density ranging from 0 to 255 greyscales
202 across the frozen carrot image is found. Thus, the small black voxels in the carrot image (Fig.
203 3c) were identified as representing airspaces and were easily segmented by applying a
204 minimum local threshold value. As a result of preliminary trials, the greyscale range between
205 0 and 20 was assumed to represent airspace (Fig. 3c).

206 The greyscale intensity distributions of the reference samples, frozen water (black line as
207 shown in Fig. 3d) and concentrated carrot juice (grey line as shown in Fig. 3d), were carefully
208 analyzed to identify the grey levels at which the frozen phase can effectively be segmented
209 from an unfrozen matrix in the frozen carrot. Ice (Fig. 3a) was found to have greyscale values
210 between 10 and 130 (black line in Fig. 3d), while concentrated carrot juice (Fig. 3b) resulted
211 in greyscale levels between 100 and 220 (grey line in Fig. 3d). As expected, the greyscale
212 histogram of frozen carrot (dotted line in Fig. 3d) overlapped with that of the two reference
213 samples, as it comprises both the frozen and unfrozen voxels. A preliminary test suggested
214 that the majority of ice voxels had greyscale values between 20 and 120. Subsequently, these
215 threshold values of 20 and 120 were applied to each REV of frozen carrot (Fig. 5b-f) to
216 segment the ice fraction from the non-ice phase (unfrozen matrix) and air. Thus, CT greyscale
217 images were transformed into a binary image consisting of three phases: intercellular airspace,
218 ice and unfrozen phases.

219 **2.8 Spatial resolution analysis**

220 The spatial resolution of the CT image is related to the smallest feature that can be visualized
221 or the smallest distance between two features that can be resolved. A statistical method

222 suggested by Hsieh (2009) was applied to identify the spatial resolution at which the objects
223 with small density deviations can be identified. Vicent et al. (2017) used this method to detect
224 the smallest ice crystals in the frozen apple tissue. As such, the frozen water (Fig. 3a) was
225 subdivided into square regions of interest (ROI), with different sub-ROIs of 100, 60, 30, 20,
226 15, 10, 8, 6, 4, 2 and 1 voxel lengths, each with five sub-ROI replicates. From each sub-ROI,
227 the mean intensity value was computed, and the standard deviations (σ) of the means were
228 then calculated. These steps were also done on the concentrated carrot juice image (Fig. 3b),
229 given that both scans were imaged under the same conditions. The mean intensity difference
230 for objects to be distinguished was determined to be 3.29σ based on a *t*-test with 4 degrees of
231 freedom at a 5 % significance level; σ is the standard deviation that was assumed to be the
232 same for both frozen water and concentrated juice. We then established that a resolution of
233 two voxels (18 μm) was the smallest resolution for which the greyscale level was still
234 significantly different between frozen water and concentrated juice.

235 **2.9 Quantitative data analysis**

236 For subsequent quantitative analysis, a watershed separation was effectively utilized on binary
237 images of the frozen phase to separate the connected ice crystals. Fig. 4 demonstrates the
238 watershed separation procedure of frozen carrot, and the region of interest (ROI) image is
239 presented in Fig. 4a followed by image segmentation using the attenuation coefficients of the
240 reference samples (Fig. 3a and b). This ensured effective separation of ice crystals that were
241 touching each other and demonstrated their size distribution. The separated ice crystals were
242 superimposed to the original CT image (Fig. 4a) to elucidate how well the ice crystals were
243 separated from each other (Fig. 4b). The separated ice crystals were then labeled individually
244 as shown in Fig. 4c. This method has been applied in frozen apple tissue to distinguish ice
245 crystals formed during freezing (Vicent et al., 2017) as well as in frozen potatoes to quantify
246 the 3D ice crystal structure (Zhao and Takhar, 2017). To facilitate quantitative analysis, the

247 ice crystals intersecting the borders of the REV may generate improper structure information
248 that needs to be excluded by using a border kill module. The separated ice crystal dataset
249 produced by Avizo Platform were imported into Matlab (R2015a, Mathworks Inc., Natick,
250 MA, U.S.A), where their ice size distributions were analyzed in five replicates. Lastly, a two-
251 sample Kolmogorov-Smirnov test ($p < 0.05$) was carried out for statistical comparison of the
252 data.

253 **3. Results and discussion**

254 **3.1 Microstructural changes**

255 Fig. 5a shows a μ CT slice scan of fresh carrot tissue scanned at a voxel resolution of 2.9 μm .
256 The dark spots represent airspaces, and the grey regions show the cellular matrix. Fig. 5b-f
257 shows CT cross-section slices of the same frozen carrot sample acquired at different time
258 points during a two-month storage period under dynamically changing temperature scenario.
259 The intermediate grey regions probably correspond to the frozen phase in frozen carrot tissue.
260 The bright voxels correspond to the unfrozen-matrix that comprises insoluble tissue materials
261 and unfrozen water that was not seen in fresh tissue (Fig. 5a). This is because the frozen phase
262 has a lower density than that of water, and the unfrozen matrix has a higher density than water
263 and lights up in brighter interconnected lines. The μ CT images clearly show a patchwork of
264 oblong ice crystals with liquid concentrated juice in between. By comparing the μ CT cross-
265 section slices, the ice crystals visibly become larger as storage time increases (Fig. 5b-f). This
266 can plausibly be explained by ice recrystallization occurring often during storage when
267 temperatures fluctuate. When the frozen carrot undergoes temperature variations during
268 frozen storage, the small ice crystals are subjected to melting-diffusion-refreezing cycles
269 leading to crystal growth (Ndoye and Alvarez, 2015; Guo et al., 2018). Guo et al. (2018)
270 recently revealed that the melting-refreezing mechanism is responsible for changes of ice
271 crystal morphology in ice cream during storage under thermal variations.

272 These results agree with previous studies focused on ice crystal growth during frozen storage
273 with temperature fluctuations. Ullah et al. (2014) showed that ice crystals formed in potatoes
274 were reported to increase in size as a function of the amplitude of the temperature fluctuations
275 and storage time. Enlargement of the ice crystals changed the potato microstructure. Zhao and
276 Takhar (2017) investigated the evolution of ice crystal structure in frozen potatoes stored with
277 different amplitudes of temperature fluctuations. Due to recrystallization both the ice crystal
278 size distribution as well as their spatial distribution changed during storage (Hartel, 1998;
279 Zaritzky, 2000; Hagiwara et al., 2006; Ndoye and Alvarez, 2015). This was shown to lead to
280 microstructural changes in different frozen-food materials (Mousavi et al., 2007; Ullah et al.,
281 2014). The qualitative information in the μ CT images of the frozen carrot visibly prompted us
282 to quantitatively analyze them to obtain a comprehensive insight into 3D ice crystal
283 morphology (size, number and spatial distribution).

284 **3.2 Representative elementary volume analysis**

285 A representative elementary volume (REV) analysis of the ice-volume fraction was conducted
286 on three different sub-volume images of frozen carrot. For each sub-volume, the mean ice
287 volume fraction was computed from the ratio of ice-volume segmented divided by the total
288 volume of the REV considered. The results showed no statistical differences between the
289 mean ice-volume fractions computed from the different sub-volumes as shown in Fig. 6.
290 However, a trend was identified: it was observed that the standard deviation decreased as the
291 sub-volume size increased. For the smallest sub-volume size of 0.18 mm^3 , the computed
292 standard deviation was 2.07 % compared with 1.41 % for a sub-volume size of 1.48 mm^3 . The
293 statistical data show the variability to decrease as the sub-volume (REV) increases. The
294 largest sub-volume selected, i.e., 123.8 mm^3 , had a standard deviation as small as 0.53 %.
295 Sub-volumes larger than 123.8 mm^3 were not considered because they may include the carrot
296 sample boundaries, which may be damaged during preparation, resulting in different

297 macroscopic structures of the analyzed sub-volume sample. The results indicate that a REV of
298 $340 \times 340 \times 340$ voxels equivalent to a volume of 27.71 mm^3 , showed no appreciable
299 difference in the standard deviation with the largest sample volume as shown in Fig. 6.
300 Therefore, this REV was selected as the best for each set of images for further quantitative
301 analysis to provide representation of the macroscopic properties of the frozen carrot.

302 **3.3 Ice crystal quantification**

303 To facilitate quantitative analysis, a watershed separation was applied to separate the
304 connected ice crystals as described in Section 2.9. Table 1 shows the quantitative parameters
305 of ice crystals, including crystal size distribution, the mean ice crystal count and the mean
306 equivalent diameter analyzed in five replicates for each time point. For comparison purposes,
307 these mean values for each parameter were statistically analyzed over a two-month storage
308 period under dynamically changing temperature. At 0 d a large number ($N = 1980 \pm 80$) of
309 small crystals were found with a mean equivalent diameter equal to $246 \pm 15.9 \mu\text{m}$ (Table 1).
310 This value concurs well with those of Voda et al. (2012) and van der Sman et al. (2013). Both
311 studies considered carrot tissue during freezing at $-28 \text{ }^\circ\text{C}$ and reported the ice crystal sizes of
312 $239 \mu\text{m}$ and $241 \mu\text{m}$, respectively. After 7 d of storage under dynamically changing
313 temperature, the mean equivalent diameter increased to $342 \pm 13.2 \mu\text{m}$ while the average
314 crystal count decreased to $N = 1650 \pm 60$ (Table 1). During further storage the mean
315 equivalent diameter and number of ice crystals continued to increase and decrease,
316 respectively, until 60 d the mean equivalent crystal diameter was as much as $578 \pm 27.6 \mu\text{m}$
317 with a reduction in the total number of ice crystals to $N = 670 \pm 160$ (Table 1). Until 30 d the
318 mean equivalent diameter of the ice crystals was found to be significantly different at every
319 subsequent storage time ($p < 0.05$), but not after 30 d. Similar conclusions could be drawn
320 with respect to the number of ice crystals. The number of ice crystal decreased during 30 d of
321 storage, presumably because smaller crystals melted and refroze on larger crystals. This

322 decreasing trend in the ice crystal count was noted during 30 d period of storage. After 30 d
323 no further significantly changes in the total number of ice crystals were observed. In addition,
324 the median equivalent diameter of the ice crystals increased from $236 \pm 24.8 \mu\text{m}$, to $305 \pm$
325 $20.0 \mu\text{m}$, $385 \pm 23.2 \mu\text{m}$, $508 \pm 43.6 \mu\text{m}$ and $544 \pm 22.0 \mu\text{m}$ at 0 d, 7 d, 14 d, 30 d and 60 d of
326 storage, respectively (Table 1).

327 Several studies have shown similar ice crystal growth during storage, especially in a context
328 of temperature abuse. Ullah et al. (2014) and Zhao and Takhar (2017) worked with frozen
329 potatoes during storage under temperature fluctuations over a 30 d period. Ullah et al. (2014)
330 reported a mean equivalent ice crystal diameter of $284.34 \mu\text{m}$ in the control potato samples
331 stored at $-80 \text{ }^\circ\text{C}$. During a storage period of 10 d at fluctuating temperatures ranging from -17
332 $^\circ\text{C}$ to $-16 \text{ }^\circ\text{C}$, the mean crystal diameter increased to $431.89 \mu\text{m}$. When the amplitude of
333 temperature fluctuations increased from $-17 \text{ }^\circ\text{C}$ to $-11 \text{ }^\circ\text{C}$ for the next 10 d, the mean crystal
334 size increased to $593.07 \mu\text{m}$. Finally, a larger mean equivalent diameter of $605.03 \mu\text{m}$ was
335 reported following large temperature fluctuations of $-17 \text{ }^\circ\text{C}$ to $-7 \text{ }^\circ\text{C}$ during the last 10 d of
336 storage. Zhao and Takhar (2017) reported a mean equivalent diameter of $112.66 \mu\text{m}$ in a
337 control potato sample at $-80 \text{ }^\circ\text{C}$ and showed a growth trend in the mean crystal size during
338 storage. Samples stored at $-17 \text{ }^\circ\text{C}$ to $-16 \text{ }^\circ\text{C}$ for 14 d showed a mean equivalent diameter of
339 $223.35 \mu\text{m}$. The ice crystal size grew to $508.01 \mu\text{m}$ in potato samples stored at $-17 \text{ }^\circ\text{C}$ to -11
340 $^\circ\text{C}$ for the next 14 d. Large ice crystals with a mean size of $832.84 \mu\text{m}$ were found after 14 d
341 of storage with a large amplitude of fluctuating temperatures from $-17 \text{ }^\circ\text{C}$ to $-7 \text{ }^\circ\text{C}$. The
342 authors suggested that ice crystal growth is due to the smaller crystals merging with large ice
343 crystals, and this process was influenced by temperature fluctuations. A reduction in the total
344 number of ice crystals was also stated.

345 The difference between mean ice crystal sizes reported in the literature for frozen potatoes
346 and in this work for carrots might be due to the differences in histology. Mousavi et al. (2007)

347 showed that different food materials (carrot and potato) produce different ice crystal
348 morphology as well as different microstructure during freezing. Ullah et al. (2014) also
349 reported different ice crystal data in potatoes during storage under stepwise increasing
350 temperature fluctuations, which was concurred by the results of Zhao and Takhar (2017). In
351 our study we investigated scenarios with regular pulse like temperature changes. The
352 differences in ice crystal size and number between our study and that of Ullah et al. (2014)
353 may be a consequence of the different temperature profiles or differences in materials
354 properties of potato and carrot tissue. For example, the viscosity of the unfrozen phase
355 depends on its chemical composition and determines how fast water diffuses towards the ice
356 nuclei, thus defining the recrystallization rate.

357 The results of the current study revealed that the mean size of ice crystals increased in frozen
358 carrot during one month of storage with dynamically changing temperature, while the total
359 number of the ice crystal decreased accordingly. The rise in temperature from $-18\text{ }^{\circ}\text{C}$ to $-5\text{ }^{\circ}\text{C}$
360 during dynamic storage likely influenced molecular mobility and ice recrystallization as water
361 molecules in small crystals are more weakly bound than in large crystals (Hartel, 1998;
362 Hagiwara et al., 2006).

363 Donhowe and Hartel (1996) showed a similar trend of ice crystal growth in ice cream during
364 bulk storage under temperature fluctuations. We believe that the enlargement of ice crystals in
365 carrot in our experiments was due to the Ostwald ripening mechanism occurring during frozen
366 storage. However, the ice crystal growth rate that we observed in frozen carrot was less than
367 those reported for ice cream by Donhowe and Hartel (1996). The extent to which ice crystals
368 grow in carrot could be explained by the presence of the cell wall, which might acts as a
369 structural barrier for ice recrystallization. The cell walls are composed of cellulose
370 microfibrils, pectin, hemicellulose and glycoproteins embedded in a highly cross-linked
371 matrix of polysaccharide (Préstamo et al., 1998). In this way, the water molecules can be

372 trapped by these network structures of the unfrozen matrix and forms a gel-like structure
373 (Waldron et al., 2003; Agoda-Tandjawa et al., 2012). This may alter the rheological behavior
374 and reduce the molecular mobility of water. This may also explain why the rate of ice
375 recrystallization is faster in ice cream, which does not have cell walls or equivalent
376 microstructural features.

377 The physical state of the food products at sub-zero temperatures is strongly correlated to the
378 glass transition temperature (T_g'). T_g' of the product refers to the transformation point of the
379 product matrix into a glassy state, i.e., at a temperature below T_g' . The molecular mobility of
380 the material then becomes extremely slow due to high viscosity. In a glass state, the
381 undesirable changes that are diffusion-controlled, such as ice-recrystallization are greatly
382 restricted. Generally, frozen foods stored at a temperature below T_g' are considered highly
383 stable (Fennema, 1996; Reid, 1998; Roos, 1998), and therefore have a high storability. In
384 contrast, in the rubbery state, i.e., at a temperature above T_g' and below the freezing point, the
385 viscosity decreases and the molecular mobility increases (Goff, 1992, 1994; Goff et al., 1993;
386 Reid, 1998; Roos, 1998). Changes of ice crystals can occur and lead to microstructure
387 alteration and subsequently affect quality during storage. The rate of diffusion-controlled
388 phenomena, such as ice crystal growth is highly related to the magnitude of the temperature
389 difference, and increases exponentially with increasing temperature difference according to
390 the Williams-Landel-Ferry theory (Sutton et al., 1996; Ablett et al., 2002). Hence, the
391 proximity to the glass transition temperature of the product describes the rate at which ice
392 recrystallization proceed. Gonçalves et al. (2007) measured the glass transition temperature in
393 carrot to be approximately $-32\text{ }^{\circ}\text{C}$. This suggests that during our dynamic storage experiments,
394 the carrot samples were in the rubbery state and subject to diffusion phenomena.

395 **3.4 Ice crystal size distributions**

396 The ice crystal size distribution was analyzed in five replicate carrot samples and was found
397 to be significantly different ($p < 0.05$) for each time point (Fig. 7). There was a significant
398 shift in ice crystal size distribution to larger crystal sizes for each time point during two
399 months of storage under dynamically changing temperature. The carrot samples after freezing
400 and before storage, i.e., at 0 d, shows a much smaller ice crystal size distribution ranging from
401 20 to over 590 μm (dotted line in Fig. 7) and the range was narrower than that of the stored
402 carrot samples. During storage, a gradual change in ice crystal size distribution was observed.
403 The results clearly revealed that the crystal size distributions become broader as storage time
404 increases, in line with the changes of mean crystal size reported in Section 3.3. Larger ice
405 crystals were formed over a long period of storage with dynamically changing temperature,
406 and lead to microstructural changes of carrot tissue structure. Analogous to ice crystal size
407 distribution in frozen carrot, Mousavi et al. (2007) showed a larger ice crystal size distribution
408 ranging from 400 to 1200 μm during freezing at $-5\text{ }^{\circ}\text{C}$. The ice crystal size distribution
409 reported by Mousavi et al. (2007) in carrot differs from ours immediately after freezing, i.e.,
410 at 0 d. This is because Mousavi et al. froze carrot samples at a slow freezing rate of $0.8\text{ }^{\circ}\text{C}$ per
411 min as compared to the fast freezing rate of $9.1\text{ }^{\circ}\text{C}$ per min that we employed. In a previous
412 article (Vicent et al., 2017) we showed that different freezing rates produced different frozen
413 apple tissue microstructures, as well as different ice crystal distributions. Larger crystals are
414 formed during slow freezing rates, whereas fast freezing rates produce relatively smaller ice
415 crystals. Voda et al. (2012) showed that carrot tissue frozen at $-28\text{ }^{\circ}\text{C}$ had an ice crystal size
416 distribution ranging between 10 and 1000 μm . However, no other data on ice crystal size
417 distribution changes in frozen carrot during storage were found in the literature.

418 **3.5 3D microstructure of ice crystals**

419 Fig. 8 shows the 3D models of the crystal size classes in carrot during a two-month period of
420 storage under dynamically changing temperature. The separated ice crystals were assigned to
421 different labels to elucidate different size classes throughout storage. 3D volume renderings of
422 the isolated ice crystal data evidently show an increase in ice crystal size as a function of
423 storage time. At 0 d crystals are small but they grow while becoming less in number as
424 storage time increases. Large ice crystals clearly grow at the expense of small crystals during
425 storage under dynamically changing temperature. Ice crystal growth may cause cell rupture
426 and thus degrade quality. This reduces storage life and commercial value of the product
427 during the cold chain. Vicent et al. (2018) reported that quality changes (i.e., drip loss) in
428 frozen apple tissue were due to ice recrystallization during storage with temperature
429 fluctuations. 3D X-ray μ CT imaging of ice crystals in carrot tissue provided a unique and
430 noninvasive means of visualizing and quantifying ice crystal growth during dynamic storage.
431 This is in contrast to the imaging approach that has been utilized in the literature (Mousavi et
432 al., 2007; Ullah et al., 2014; Zhao and Takhar, 2017). These authors assumed that void
433 structures formed in freeze-dried food materials represented the ice crystal morphology.
434 However, Voda et al. (2012) showed that the freeze-drying process may possibly impair the
435 microstructure of frozen foods through shrinkage. Also, it is difficult to make a distinction
436 between the air pores that were present in the unfrozen sample and those were created by the
437 freeze-drying process due to dehydration of the cells. This leads to errors in the estimation of
438 the ice crystal size, shape and spatial distribution. Freeze-drying thus may yield inconsistent
439 and incomplete results.

440 **3.6 Pore analysis**

441 For comparison purposes, the pore structures in fresh and frozen carrot samples were
442 quantified based on the optimized greyscale level for airspaces as discussed in Section 2.7.

443 Fig. 5b-f clearly show a smaller pore size in frozen carrot scans compared with that of fresh
444 carrot (Fig. 5a). Fresh samples had a mean pore equivalent diameter of $38.43 \pm 2.81 \mu\text{m}$ with
445 mean pore sphericity, i.e., shape factor of 0.89 ± 0.04 . Frozen samples (0 d) had a smaller
446 mean pore diameter of $29.70 \pm 2.44 \mu\text{m}$, with a mean pore sphericity of 0.81 ± 0.06 . No
447 significantly changes between mean pore equivalent diameters were found for each time point
448 during storage, and also the frozen carrots showed no difference in mean pore sphericity.
449 Small pore sizes in frozen samples may be attributed to ice formation that has larger specific
450 volume than water. This expands into the intracellular space leads to shrinkage and may
451 distort the airspaces. This is similar to the results reported by Vicent et al. (2017) for apple
452 tissue during freezing at the different rates. The authors showed that the pore sizes become
453 narrower regardless of the different freezing conditions employed. The low contrast between
454 cell walls and intracellular materials in the fresh carrot sample inevitably leads to the inability
455 to segment cells. Voda et al. (2012) found cell diameters in carrot ranging from 20 to 100 μm .
456 The authors suggested that the cells' size varied depending on the age of the carrot. van Dalen
457 et al. (2013) showed that after freezing at -28°C the mean cell length was 100 μm compared
458 to a maximum crystal length size of 3000 μm .

459 Vegetables, including carrot are microstructured and consist of cells, interconnected cell walls
460 and intercellular airspaces of different sizes and shapes (Voda et al., 2012; van Dalen et al.,
461 2013). Microstructural organization has been recognized as one of the key elements in
462 describing quality and stability of foods (Aguilera, 2005; Ho et al., 2013). The frozen
463 vegetables industry is often faced with temperature abuse scenarios that lead to ice
464 recrystallization. Enlargement of ice crystals in carrot cortex tissue damages tissue and cell
465 structures, thus decreases the water-holding capacity and causing the water-soluble nutrients
466 to leach out during thawing. This affects the product microstructure and ultimately impairs
467 storage life and food quality, such as drip loss, sensory and textural changes as well as

468 nutritional value (Agnelli and Mascheroni, 2002; Cruz et al., 2009; Gonçalves et al., 2011a,
469 2011b; Vicent et al., 2018). To minimize these undesirable changes the temperature variations
470 throughout frozen storage and distribution should be controlled.

471 The results presented in this study show that our proposed imaging provides 3D ice crystal
472 structure information of frozen foods, providing new quantitative data on carrot. In our
473 previous work, we developed the 3D imaging based analysis to visualize and quantify the 3D
474 microstructure and ice crystal distribution after the freezing process (Vicent et al., 2017). Here
475 the method was used to investigate changes of ice crystals in carrot tissue during storage and
476 showed important process-microstructure-interactions in this vegetable.

477 Food engineers will thus take home from this work that 3D ice crystal analysis is possible and
478 useful to understand frozen vegetable microstructure by nondestructive means. It is an
479 important additional technique for assessing vegetable quality during frozen storage and
480 distribution, which was previously missing. With this work we see evidence of mechanisms of
481 ice crystal growth as well as decrease of the number of ice crystals, which concurs with earlier
482 theoretical work. In our opinion such data is very scarce today and of great interest to the food
483 engineering community.

484 **4. Conclusion**

485 In this work, ice recrystallization phenomenon was investigated by analyzing the 3D ice
486 crystal count and size distributions in frozen carrot tissue using X-ray μ CT under dynamically
487 changing temperature. The ice crystal size distributions was found to become broader for each
488 time point with an increase in the size of ice crystals. Moreover, a reduction in the total
489 number of ice crystals was observed in carrot during a two-month storage period. It can be
490 concluded that X-ray μ CT provides a vast potential to image the 3D microstructure of ice

491 crystals without significant preparation of the sample. It can thus be used for quality-control
492 processes of frozen vegetables in the cold storage and distribution sector.

493 Quantitative data sets obtained from the 3D imaging of ice crystals are also useful for
494 modeling purposes at the microscopic level to acquire a better understanding of the
495 microstructural changes induced at the macroscopic scale. Such a model, describing the
496 population ice crystal size distribution and energy balance, will be developed to better
497 understand and predict ice recrystallization, which is linked to the microstructural and quality
498 changes in plant-based food materials during frozen storage under temperature abuse
499 conditions.

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618

Figure and Table captions

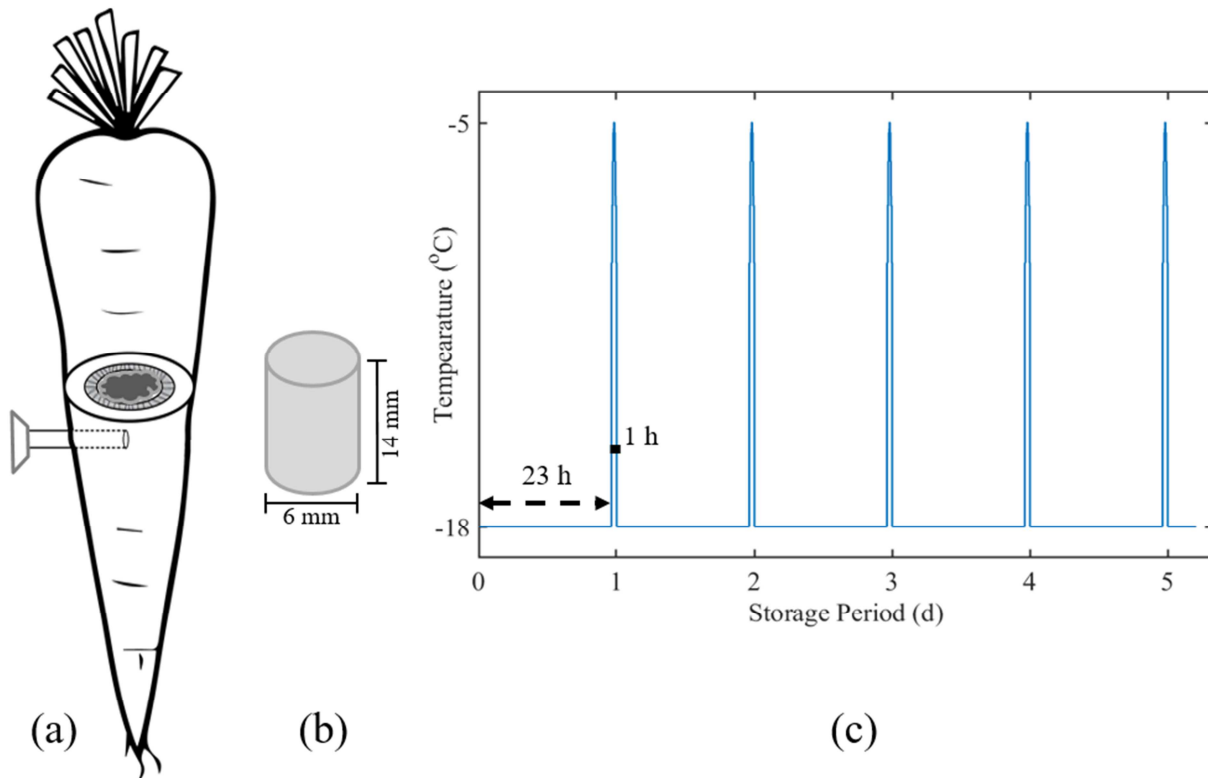


Fig. 1. **(a)** A simplified schematic representation showing the different regions of carrot tissue (parenchyma cells, vascular tissue and peripheral cortex tissue). **(b)** Excision of the cylindrical carrot samples for μ CT imaging, excluding the core region (parenchyma cells). The air-temperature profile shows a dynamically changing condition during a two-month period of frozen storage **(c)**.

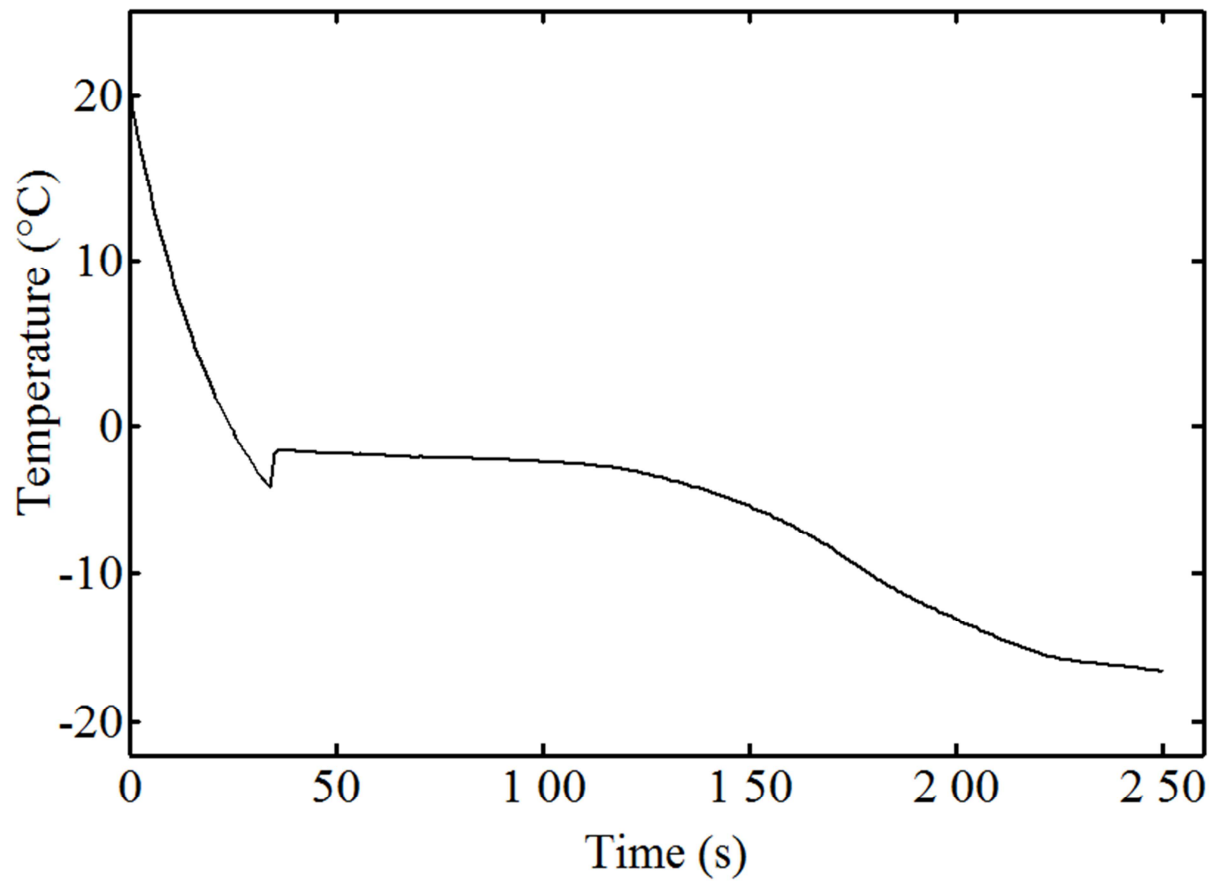


Fig. 2. Freezing curve demonstrates the different steps during freezing process of the carrot tissue samples.

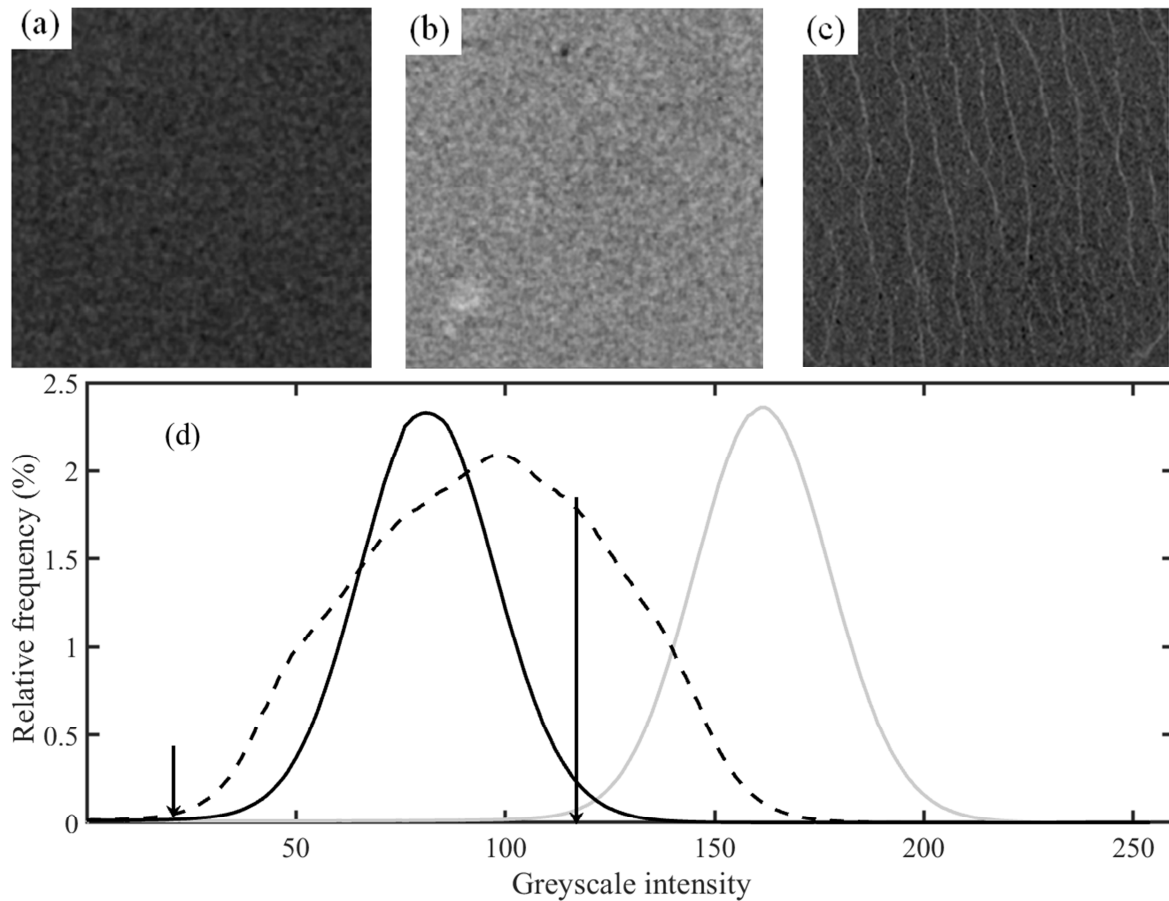


Fig. 3. CT cross-section slices of frozen water (a), concentrated carrot juice stored at -18°C (b), and frozen carrot (c) imaged using X-ray Computed Tomography with a voxel resolution of $8.9\ \mu\text{m}$. (d) shows the greyscale intensity histogram of frozen water (black line), concentrated carrot juice (grey line) and frozen carrot (dotted line). The threshold values of 20 and 120 (shown by the two arrows) were applied to the CT images of the carrots to label voxels as frozen, unfrozen and air.

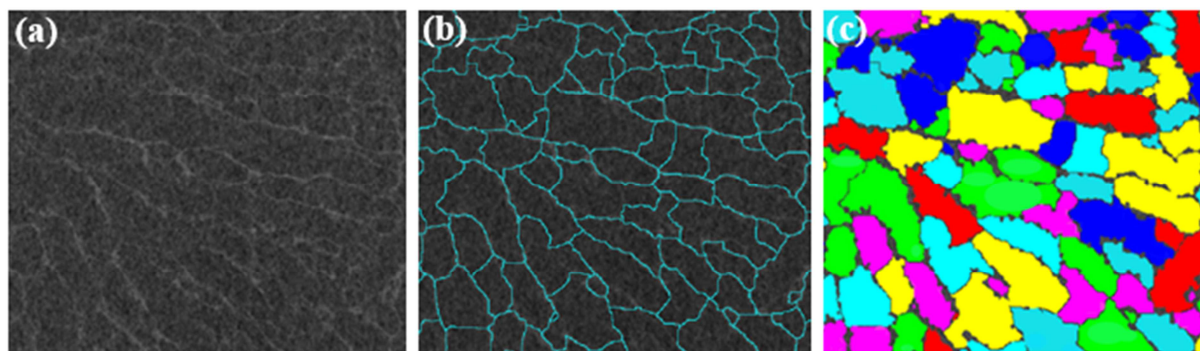


Fig. 4. Image processing procedure implemented in Avizo (Image analysis software) for the μ CT image of frozen carrot tissue. (a) Region of Interest (ROI) image followed by image segmentation using the attenuation coefficients of the reference samples, (b) separated ice crystals using the watershed separation module, and (c) labeling of separated ice crystals.

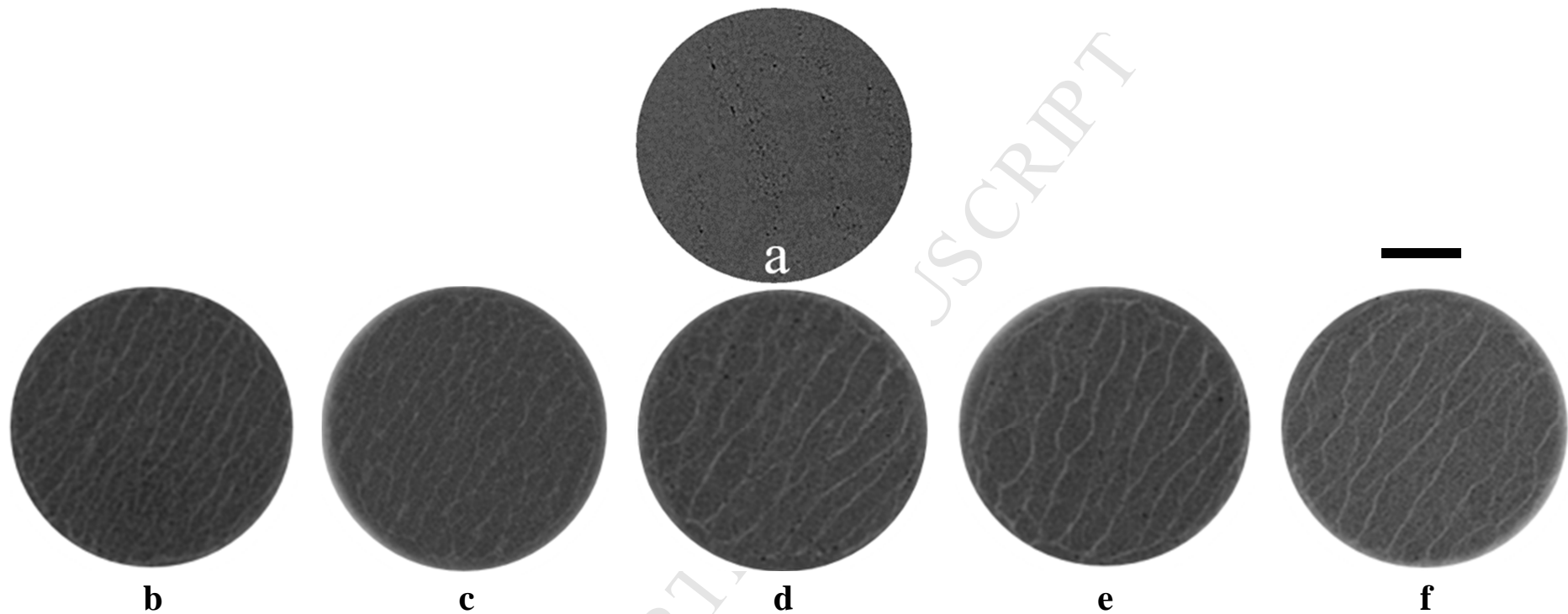


Fig. 5. μ CT slice of fresh carrot tissue (**a**); the black voxels represent airspaces, the grey voxels correspond to cells. CT cross-section slices of the same frozen carrot sample after freezing at 0 d (**b**), and during 7 d (**c**), 14 d (**d**), 30 d (**e**) and 60 d (**f**) of storage under dynamically changing temperature. The black voxels represent the airspaces. The dark grey regions correspond to ice crystals, and the light grey voxels denote the unfrozen matrix. Fresh carrot was scanned using a Skyscan 1172 CT system at a voxel resolution of $2.9 \mu\text{m}$; frozen samples were imaged using X-ray μ CT (DeskTom RX 130) at a voxel resolution of $8.9 \mu\text{m}$. The scale bar represents $2000 \mu\text{m}$.

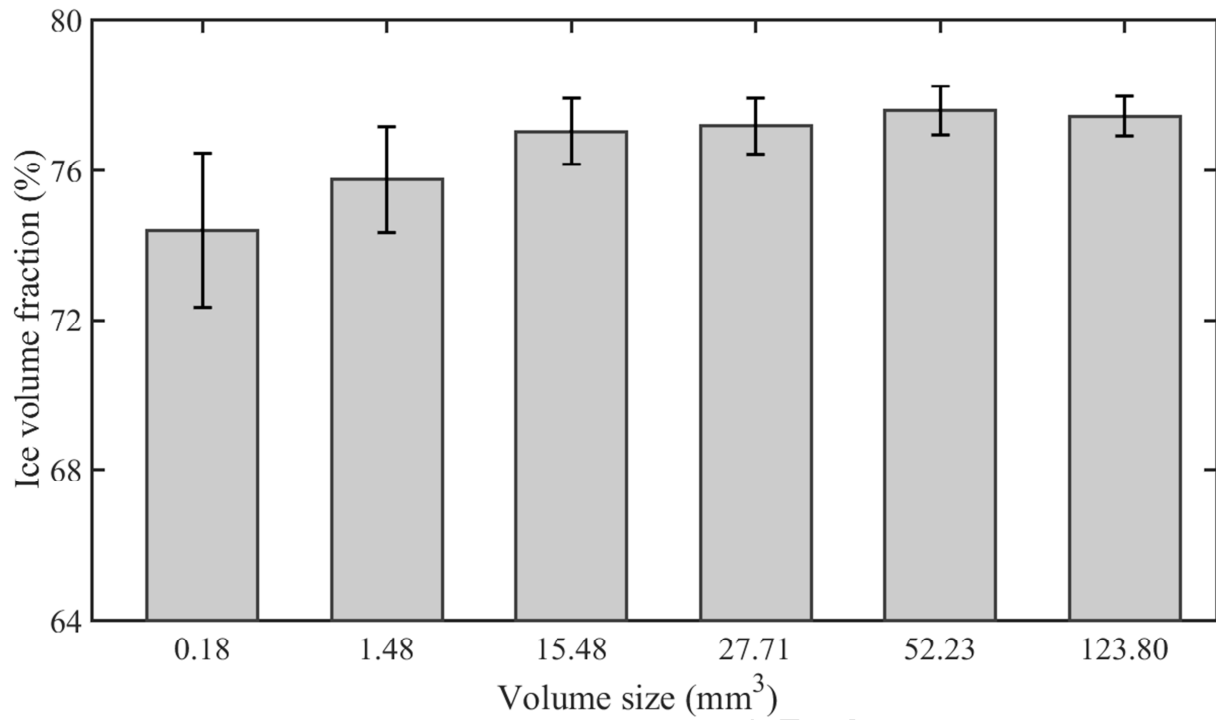


Fig. 6. Histogram plot shows the mean ice-volume fractions for the different sub-volume sizes analyzed to compute the representative elementary volume (REV). The mean data were analyzed in triplicate stacks of images of frozen carrot. Error bars indicate the standard errors of the calculated mean ice volume fraction.

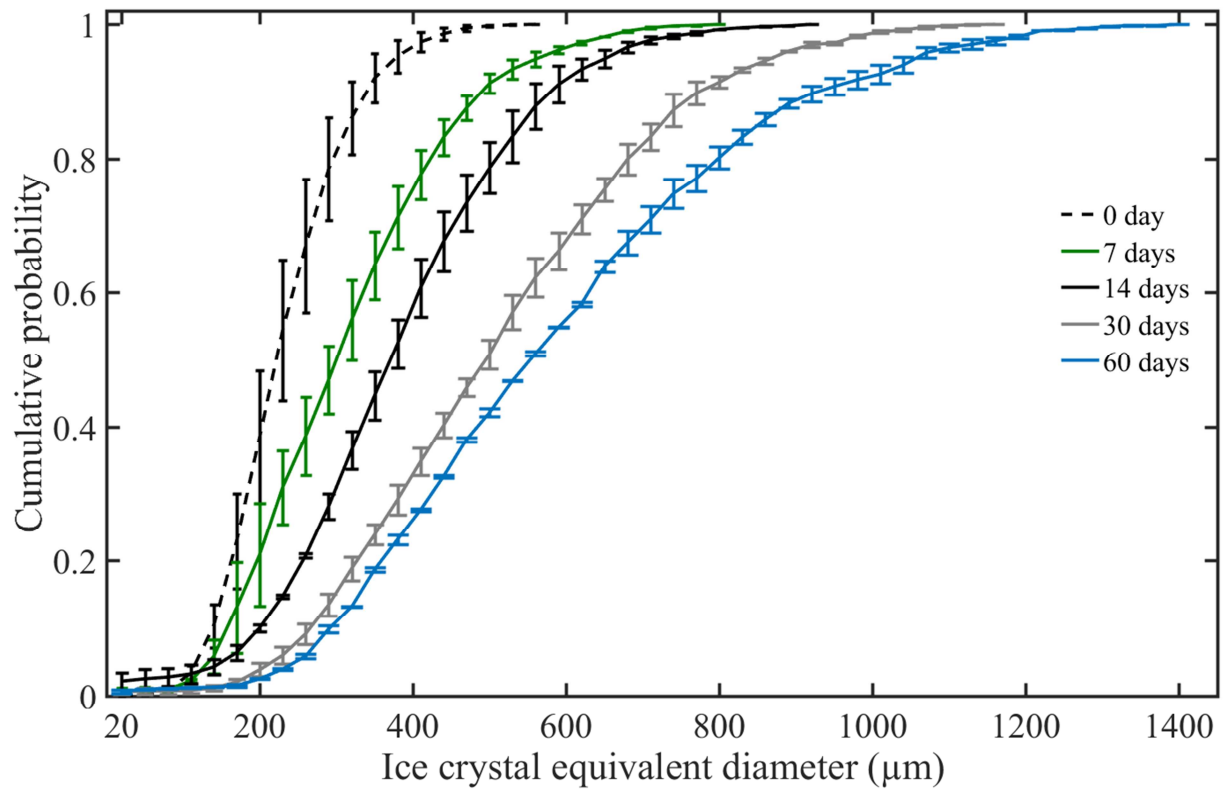


Fig. 7. Ice crystal size distribution in frozen carrot samples stored under dynamically changing temperature during a two-month period. The cumulative distribution data were based on the analysis of five replicates for each time-point. The sample size was $340 \times 340 \times 340$ voxels at a voxel size of $8.9 \mu\text{m}^3$.

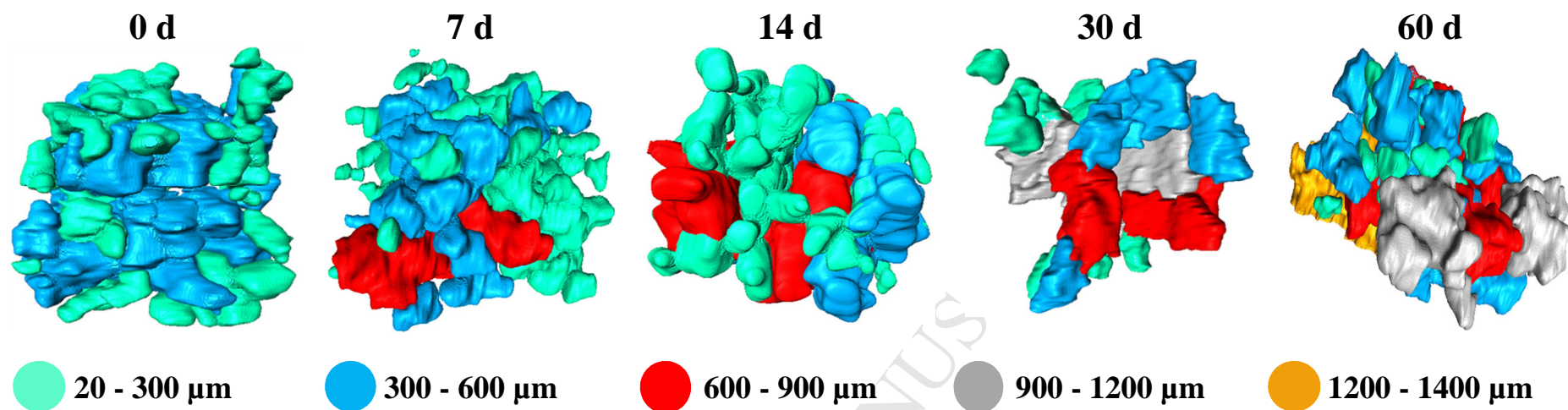


Fig. 8. 3D volume renderings of the isolated ice crystal data of the same carrot tissue sample stored under dynamically changing temperature scenarios over a two-month storage period. Ice crystals were segmented and separated according to the imaging analysis suggested by Vicent et al. (2017). Ice crystals were subsequently and individually labeled based on their equivalent diameters to describe the different size classes. The 3D models represent $240 \times 240 \times 240$ voxels at a voxel size of $8.9 \mu\text{m}^3$.

Table caption**Table 1**

Ice crystal size distribution, mean equivalent diameter, median equivalent diameter and mean number of ice crystals during a two-month period of storage with dynamically changing temperature. Mean and median values of the separated ice crystals in carrot were based on the analysis of five replicate samples of images for each time point. Mean and median values are represented with their standard deviations ($\bar{x} \pm \text{S.D.}$), values with different superscripts for each parameter indicate that the means are significantly different at ($p < 0.05$).

Storage time (d)	Ice crystal size range (μm)	Mean equivalent diameter (μm)	Median equivalent diameter (μm)	Mean number of crystals
0	20 - 590	$246 \pm 15.9^{\text{a}}$	$236 \pm 24.8^{\text{a}}$	$1980 \pm 80^{\text{a}}$
7	20 - 815	$342 \pm 13.2^{\text{b}}$	$305 \pm 20.0^{\text{b}}$	$1650 \pm 60^{\text{b}}$
14	20 - 940	$394 \pm 18.5^{\text{c}}$	$385 \pm 23.2^{\text{c}}$	$1450 \pm 100^{\text{c}}$
30	20 - 1170	$525 \pm 28.0^{\text{d}}$	$508 \pm 43.6^{\text{d}}$	$940 \pm 120^{\text{d}}$
60	20 - 1450	$578 \pm 27.6^{\text{d}}$	$544 \pm 22.0^{\text{d}}$	$670 \pm 160^{\text{d}}$

ACCEPTED MANUSCRIPT

Highlights

- X-ray μ CT imaging was performed to visualize the 3D ice crystal growth during storage.
- Ice crystal size increases with increase storage time under dynamic temperatures.
- Number of ice crystals decreased over a period of storage
- 3D image analysis to quantify ice crystal changes in carrot tissue during storage.