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Prenatal stress and the developing brain: risks for neurodevelopmental disorders

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Abstract

The prenatal period is increasingly considered as a crucial target for the primary prevention of neurodevelopmental and psychiatric disorders. Understanding their pathophysiological mechanisms remains a great challenge. Our review reveals new insights from prenatal brain development research, involving (epi)genetic research, neuroscience, recent imaging techniques, physical modeling and computational simulation studies. Studies examining the effect of prenatal exposure to maternal distress on offspring brain development, using brain imaging techniques, reveal effects at birth and up into adulthood. Structural and functional changes are observed in several brain regions including prefrontal, parietal and temporal lobes, cerebellum, hippocampus and amygdala. Furthermore, alterations are seen in functional connectivity of amygdalar-thalamus networks and in intrinsic brain networks, including default mode and attentional networks. The observed changes underlie offspring behavioral, cognitive, emotional development and susceptibility to neurodevelopmental and psychiatric disorders. It is concluded that used brain measures have not yet been validated with regard to sensitivity, specificity, accuracy, or robustness in predicting neurodevelopmental and psychiatric disorders. Therefore, more prospective long-term longitudinal follow-up studies starting early in pregnancy should be carried out, in order to examine brain developmental measures as mediators in mediating the link between prenatal stress and offspring behavioral, cognitive, emotional problems and susceptibility for disorders.

Keywords: brain imaging, attention deficit hyperactivity disorder (ADHD), autism spectrum disorders (ASD), schizophrenia; neuropsychiatric disorders

Introduction

The early environment, starting in utero, can represent major risk factors for a lifetime of physical, psychiatric and neurological problems for the individual (Braun et al., 2017; Gluckman, Hanson, Cooper, & Thornburg 2008; Hanson & Gluckman, 2011; Howard, Molyneaux, et al., 2014; Seckl, 2007; Van den Bergh, 2011; Van den Bergh et al., 2017). Importantly, while according to DSM-5 (APA, 2013), neurodevelopmental disorders are a group of disorders whose onset and clinical expression occur in childhood (Thapar, Cooper, & Rutter, 2017), other psychiatric disorders are now being considered within the context of a neurodevelopmental disorder, even if their onset occurs in adolescence or adulthood (Deoni et al., 2014; Meredith, 2015; Thomason et al., 2015).

The field of developmental psychopathology has emphasized – since its inception - the dynamic and complex interactions between an individual and its developmental environment in shaping almost all forms of psychopathology (Chicchetti & Rogosch, 1996; Hyde, 2015; Sroufe & Rutter, 1984). For instance, an important tenet of the developmental psychopathology perspective is that early life adversity may provide serious challenges to the species-typical organism–environment “coactions” that play important roles in the emergences and timing of normal developmental change (Cicchetti, Handley, & Rogosch, 2015, p. 553). The ‘Developmental Origins of Health and Disease (DOHaD) research field also adopts the developmental plasticity idea and extends it up into the prenatal life period. Initially a lowered birth weight was taken as a proxy measure of prenatal environmental exposure in most studies. A lowered birth weight was shown to be a risk factor for the development of cardiovascular and metabolic diseases such as arterial hypertension, coronary heart disease, obesity, and type 2 diabetes (Barker, 1990) as well as mental health problems such as depression (Thompson,

Syddall, Rodin, Omond, & Barker, 2001) and schizophrenia (Rifkin, Lewis, Jones, Toone, & Murray, 1994) Although much less examined, accumulating evidence mostly gathered during the last decade, is now revealing prenatal environmental exposure effects on early brain and behavior development in humans. The Developmental Origins of Behavior, Health and Disease (DOBHAD) has recently been proposed (Van den Bergh, 2011) and examples of its empirical testing have been described (Van den Bergh, 2016). It is generally accepted that behavioral problems, neurodevelopmental, and psychiatric disorders are driven by atypical brain function, which in turn reflect alterations in underlying brain structure and circuitry (Van Essen & Barch, 2015). It is now also being accepted that these alterations may have their origin in the earliest periods of brain development. It is an important aim of our paper to reveal important new insights gained from this growing field of research; we particularly focus on new findings from research in humans.

Many authors point explicitly to the role of maternal stress, anxiety, and depressive symptoms (Bowers & Yehuda, 2016; Kofink, Boks, Timmers, & Kas, 2013; Lewis, Galbally, Gannon, & Symeonides, 2014; O'Connor, Monk, & Fitelson, 2014; Van den Bergh et al., 2005) and maternal mental illness during pregnancy (Howard, Molyneaux, et al., 2014; Jones, Chandra, Dazzan, & Howard, 2014; Stein et al., 2014) as risk factors that may lead to functional and structural brain changes in the offspring (Charil, Laplante, Vaillancourt, & King, 2010; Franke et al., 2017; Scheinost, Sinha, et al., 2016; Van den Bergh et al., 2017). In these review papers, offspring brain alterations are seen as mediating the link between prenatal exposure to maternal distress, and offspring behavioral, cognitive and emotional development and susceptibility to neurodevelopmental and psychiatric disorders. Functional and structural brain measures of their regional connectivity may be seen as putative footprints or biomarkers of prenatal stress, altering

risk, or resilience for neurodevelopmental and psychiatric disorders. Maternal ‘psychological distress’ in the studies reviewed refers to : (i) general or pregnancy-specific anxiety, and depressive symptoms; (ii) major life events experienced by the mother (such as illnesses or deaths in the close family, financial and relationship problems, house moves, car accident, etc.); (iii) a psychiatric diagnosis of a current or past anxiety or depression disorder; and (iv) exposure to a disaster (maternal hardship due to a natural disaster) and the subjective distress and cognitive appraisal related to it. Maternal distress is measured with one of the following methods: (i) self-report distress and/or life events questionnaire, (ii) a physician’s diagnosis based on medical chart information or on a diagnostic psychiatric instrument, and (iii) a physiological variable (such as cortisol).

Before reviewing studies on potential brain biomarkers of prenatal stress, we provide a short overview of brain imaging techniques and analysis methods (section 2). In section 3, we describe new insights into functional genomics and into a typical developmental trajectory of the human brain. We focus on recent neuroimaging studies and on studies starting from computational stimulation models that enable researchers to quantify the critical physical phenomena that are necessary to induce folding and predict gyral wavelength and gyrification indices. In section 4, we present human prenatal stress studies that have explicitly examined alteration in offspring brain development. In section 5, knowledge about the putative prenatal origins of neurodevelopmental and psychiatric disorders are presented. Finally, in section 6 we present ways in which future research may contribute to further mechanistic understanding of how prenatal stress exposure may lead to aberrant brain circuitry and describe clinical and societal responsibilities associated with prenatal stress.

The developing brain: imaging techniques and analysis methods

Magnetic resonance imaging (MRI) allows a variety of protocols with different contrasts to investigate structural (sMRI), functional (fMRI), and diffusion (dMRI) specific *in vivo* properties of the brain by means of volume-, surface-, deformation-, or regions-wise analysis (Ashburner & Friston, 2000; Fischl, 2012; Gaser, Nenadic, Buchsbaum, Hazlett, & Buchsbaum, 2001). Given its non-invasive nature, MRI allows characterizing the human brain across the life span (see also the developing human connectome project at <http://www.developingconnectome.org>) ranging from *in utero* (e.g., Thomason et al., 2015) and longitudinal imaging, (e.g., Li, Nie, et al., 2014; Mills & Tamnes, 2014) to post-mortem acquisitions (e.g., Kostović et al., 2014; Miller et al., 2011). Accordingly, MRI-based investigations have provided great contributions to our understanding of both typical and atypical brain structure and function.

sMRI analyses focus on morphometric brain features such as thickness (e.g., Dahnke, Yotter, & Gaser, 2013; Fischl, 2012; Winkler et al., 2009), area (e.g., Fischl, 2012; Winkler et al., 2009), volume (e.g., Winkler et al., 2009), and gyrification (e.g., Li, Wang, et al., 2014; Schaer et al., 2008) of the brain's gray matter (GM), white matter (WM), and cerebrospinal fluid (CSF) by means of voxel- (VBM; e.g., Ashburner & Friston, 2000), surface- (SBM; e.g., Fischl, 2012), deformation- (DBM; e.g., Gaser et al., 2001), or region-based morphometry analyses (RBM; e.g., Fischl, 2012; Klein & Tourville, 2012) as illustrated in Figure 1. A reasonable resolution (about 1 mm or better), good tissue contrast, and artifact-free images (e.g., motion Reuter et al., 2015) are required for accurate tissue classification (e.g., Ashburner & Friston, 2000), surface reconstruction (e.g., Dahnke et al., 2013; Fischl, 2012; Li, Wang, et al., 2014), and to normalize the individual anatomy to a common reference template or atlas (spatial registration, e.g., Ashburner & Friston, 2011; Avants et al., 2011; Klein & Tourville, 2012;

Tardif et al., 2015). Besides tissue classification, quantitative imaging (e.g., Weiskopf et al., 2013) or specific contrast changes in the WM (e.g., the water myelin fraction Billiet et al., 2015) or GM (e.g., Robinson et al., 2014) allows analysis of micro-structural changes. |

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dmRI aims to characterize WM fiber tracts by measuring diffusion patterns of water inside brain tissue. It allows to describe local fiber properties such as fractional anisotropy (FA, i.e. direction of diffusion), axial diffusivity (AD), neurite orientation dispersion and density imaging (NODDI; e.g., Billiet et al., 2015; Jelescu et al., 2015; Kunz et al., 2014; Winston et al., 2014; Zhan et al., 2013), or fiber tracing (e.g., Billiet et al., 2015; Mori et al., 2002; Yu et al., 2015) for reasonable image quality (e.g., Roalf et al., 2016).

fMRI data are time-series of volumes (4D data) that index changes in the oxygenation of the blood that allow analyzing brain activity patterns while the participant is performing specific tasks (e.g., visual perception Kanwisher, McDermott, & Chun, 1997) or during a resting state (rs) (e.g., Smith et al., 2009). Functional data can also be measured by other non-invasive methods such as electroencephalography (EEG; e.g., Hunter et al., 2012; Mulkey et al., 2015; Otte, Donkers, Braeken, & Van den Bergh, 2015; Stam & van Straaten, 2012; Tóth et al., 2017), magnetoencephalography (MEG; e.g., Stam et al., 2014; Stam & van Straaten, 2012). Frontal alpha EEG asymmetry has been used as a rs-EEG measure (e.g., Müller, Kühn-Popp, Meinhardt, Sodian, & Paulus, 2015) while event-related potential studies (ERP), involving averaging the EEG activity time-locked to the presentation of a stimulus of some sort (visual, somatosensory, or auditory) are task-related EEG-measures (e.g., Hunter et al., 2012; Otte et al., 2015). EEG

record the electrical brain activity with superior temporal but lower spatial resolution compared to fMRI.

The functional units of the brain are networks of specialized, neural structures shown to communicate with each other (Poldrack, 2012). Conventional task-based analytical approaches use univariate models that calculate average responses of the brain to manipulations, either for a region of interest (ROI) or at the whole-brain level (voxel-wise); these models enable localizing cognitive functions based on the blood oxygen-level dependent (BOLD) response (Ogawa et al., 1992). However, a model that takes the connections between neural structures into account is likely to give a more valid description of neural responses than a model that assumes functional independence (Sporns, Chialvo, Kaiser, & Hilgetag, 2004). Accordingly, moving beyond characterizing individual regions, the brain is increasingly viewed as a connected organ - a connectome (Hagmann, 2005; Sporns, Tononi, & Kötter, 2005) - where regions interact in larger networks in order to optimize behavior. This research field is often referred to as ‘connectomics’ (Behrens & Sporns, 2012), a popular term to capture research aimed at describing structural and/or functional connections between nodes (i.e., specified regions) within the connected brain (Rubinov & Sporns, 2010; Watts & Strogatz, 1998). For a description of analytic connectivity methods we refer to papers that describe important categories of analytic methods including seed-based correlations, independent component analysis (ICA), clustering, pattern classification, and local method such as regional homogeneity and low frequency fluctuations (e.g., Cole, Smith, & Beckmann, 2010; Margulies et al., 2010).

In neurodevelopmental studies (e.g., ADHD; Castellanos & Proal, 2012) two functional connectivity (FC) approaches are often used. Seed-based correlations examine correlations of time series between a region of interest (a “seed”) and remaining GM voxels. Yet, constraining

seed selection remains a challenge as even minor variations matter. ICA is a second, popular alternative; four-dimensional imaging data are decomposed into three-dimensional spatial maps, each with associated time courses (Castellanos & Aoki, 2016). These maps of coherent spontaneous BOLD signals correspond to functional networks that are revealed by task-based fMRI (Smith et al., 2009), including the default mode network (DMN), which has been historically most often studied and includes medial parietal (precuneus and posterior cingulate), bilateral inferior-lateral-parietal, and ventromedial frontal cortex (Smith et al., 2009). Other large-scale networks include the executive control, sensorimotor, and left and right attention networks. In human prenatal stress research this method is only beginning to be used (see section 4).

In the context of connectivity analyses, graph theory is often used as one mathematical framework to quantitatively describe the topological organization of connectivity. Several complex network measures of brain connectivity identifying centrality, functional integration, and segregation have been described in Rubinov and Sporns (2010). Next to information about overall network infrastructure, specific features are also conveyed, such as which ‘nodes’ (locations) within a system are central ‘hubs’ of connectivity, linking numerous other units to one another. For example, graph analysis applied to fMRI datasets revealed that the human brain is organized with “small-world” topology (van den Heuvel, Mandl, & Hulshoff Pol, 2008), this is a network “in which constituent nodes exhibit a large degree of clustering as well as relatively short distances between any two nodes of the system and is thought to reflect a balance between local processing and global integration of information” (Menon, 2013, p. 629). Another important finding is that the posterior cingulate and insular cortices are connectivity hubs (Fransson & Marrelec, 2008; Hagmann et al., 2008; Margulies et al., 2009; Menon, 2013). These

topological brain connectivity measures have been used to characterize human brain development, starting in utero; their use in human prenatal stress research is being explored (see e.g., van den Heuvel & Thomason, 2016)

Development of the human brain: insights from physical modeling and computational simulation studies and advanced neuroimaging techniques

We highlight the importance of functional genomics for the brain developmental trajectory (section 3.1) and then focus this section on new insights about brain development that are mainly gained by physical modeling and computational simulation studies (section 3.2) and brain imaging studies (section 3.3 and 3.4). Brain development follows biomechanical rules and undergoes different major stages: ballooning and gyrification *in utero* (Bayly, Taber, & Kroenke, 2014; Budday, Steinmann, & Kuhl, 2015; Lewitus, Kelava, & Huttner, 2013; Striedter, Srinivasan, & Monuki, 2015; Tallinen & Biggins, 2015; Tallinen et al., 2016) and a subsequent scaling in childhood and adolescence (Franke, Luders, May, Wilke, & Gaser, 2012; Jiang & Nardelli, 2016), whereas further healthy changes are recognized as plasticity (short-time, e.g., Gaser & Schlaug, 2003; Maguire et al., 2000; Reid, Sale, Cunningham, Mattingley, & Rose, 2017) and aging (long-time, e.g., Franke, Ziegler, Klöppel, & Gaser, 2010; Ziegler, Ridgway, Dahnke, Gaser, & Initiative, 2014) illustrated in Figure 2A. For a detailed overview of *in utero* development, we would like to refer to Budday et al. (2015) and give here just a short overview.

Functional genomics of human brain development

The building of the brain starts from a patch of cells; during embryonic and fetal life complex developmental processes construct its initial architecture, which is dynamically changed during the whole life span (Collin & van den Heuvel, 2013; Silbereis, Pochareddy, Zhu, Li, &

Sestan, 2016). Although it is generally known that from the first phase in development, i.e., the zygote, the species-specific genome functions to guide development, recent large-scale analyses of the proteome/transcriptome and regulome have revealed that brain development entails very complex and sophisticated interactions between genetic, epigenetic and environmental factors (for reviews see Jiang & Nardelli, 2016; Kang et al., 2011; Pletikos et al., 2014; Silbereis et al., 2016; Ziats, Grosvenor, & Rennert, 2015). A most important insight is that gene expression dynamics underlying early human brain development are spatially and temporally specific and involve complex regulatory processes at several levels, e.g., coordinate progressive cell fate specification and tissue morphogenesis (Bernadskaya & Christiaen, 2016). The regulatory processes involve: (a) transcription factors that operate in the context of complex gene regulatory networks, e.g., trans-regulatory elements, which are genes that modify the expression of distant genes through intermolecular interaction and cis-regulatory modules, a stretch of DNA sequences where transcription factors bind and regulate expression of nearby genes; (b) epigenetic gene regulatory mechanisms (such as DNA methylation and histone modification, long non-coding RNAs (lncRNAs), and short ncRNAs (sncRNAs, including microRNAs (miRNAs)) (Geschwind & Flint, 2015). This means that major aspects of development such as proliferation, migration, and differentiation are not fully programmed genetically. According to Ben-Ari and Spitzer (2010, p.486), they rely on “phenotypic checkpoints, i.e., times and places during development at which functional validation appropriate to the stage of the cells enables the process to go forward normally, take an alternative route, or become arrested”. According to Huang, Hu, Kauffman, Zhang, and Shmulevich (2009, p. 1), theoretical considerations as well as experimental evidence support the view “that cell fates (or commitment) are ‘high dimensional attractor states’ of the underlying molecular network”. There is emerging evidence from

preclinical and from human postmortem, modeling and neuroimaging studies that, next to genetic risks (i.e., polygenetic risks; common, rare and de novo mutations), diverse extrinsic factors occurring in the prenatal and early postnatal life period presumed to enable changes in these complex regulatory processes, alter the spatiotemporal expression of gene patterns. The latter leads to alterations in patterning and regionalization of the GM, as well as in intracortical myelin and WM; all of these changes influence the developing structural and functional brain connectome in spatio-temporal specific way (e.g., Bernadskaya & Christiaen, 2016). Negative environmental factors include maternal nutritional, medical and distress factors, hypoxia/ischemia. Emerging evidence shows that such pre- and early postnatally acquired ‘neuroanatomy’ changes (Donovan & Basson, 2017), partially underlie behavioral and cognitive changes, including those observed in common neurodevelopmental and psychiatric disorders (Deoni et al., 2014; Ghiani & Faundez, 2017; Haroutunian et al., 2014; Ziats et al., 2015). Importantly, positive environmental factors, such as getting breastfed for a period of at least 3 months is shown to have a developmental advantage; i.e. a positive effect on WM microstructure in late maturing frontal and association brain regions (Deoni et al., 2013). We refer to review papers (e.g., Bernadskaya & Christiaen, 2016; Geschwind & Flint, 2015; Parikshak, Gandal, & Geschwind, 2015) that specify how system biology and network approaches (e.g., by using graph theory) are applied to human genetics.

Prenatal brain development phases: ballooning and gyrification

The ballooning phase, from gestation week (gw) 3 to 15, is described by an intensive radial enlargement of the ventricle that compensates the simultaneous tangential growth of the intermediate zone and increases the brain surface without significant folding, where only the longitudinal and Sylvian fissures become prominent by radial grow (bending, i.e., forces below

the developing cortex; see Figure 2A). In gw 5 to 20, neurons are generated in the ventricular zone and migrate to the skull, where they create the cortical layer structure. At this time, the cortex shows a radial dMRI pattern, indicating low connectivity within the cortex (Budday et al., 2015; Jiang & Nardelli, 2016; Striedter et al., 2015), whereas the first large fiber tracts becoming visible in the WM (e.g., in the corpus callosum; Wegiel et al., 2010).

After ballooning, the neurons start forming layer depending connections when neuronal migration is finished and the radial dMRI pattern gets lost (Budday et al., 2015, p. 6; Wegiel et al., 2010). Importantly, this stage also involves the growth of neuronal dendrites and axons, the production and expansion of astrocytes, oligodendrocytes, and microglial cell as well as the formation of synapses and the development of the vasculature system (Budday et al., 2015, p. 14). By these phenomena, the tangential growth of the outer cortex becomes prominent and causes tangential grow (buckling, i.e., forces within the developing cortex) and forms major structures such as the central sulcus around gw 24 (Budday et al., 2015; Tallinen et al., 2016). External forces due to limitations of the skull and meninges were found to have minor effects and it is presumed that gyrification depends on tangential growth of the GM; this is referred to as the buckling theory (e.g., Bayly et al., 2014; Budday et al., 2015; Striedter et al., 2015; Tallinen et al., 2016). Recent experimental and computational growth models (Bayly et al., 2014; Budday et al., 2015; Tallinen et al., 2016; Toro, 2012) have shown promising results to explain the natural folding as an energy-minimizing process of radial and tangential surface expansion that relies on the stiffness of the inner core (the WM), the cortical growing-rate, and local cortical thickness. The bending of cortex is locally compensated by thickness changes of the cortical layer that finally guarantee an equal number of neurons independent of the local amount of folding (Van Essen & Maunsell, 1980). Tallinen and Biggins (2015) demonstrate that soft and

thinner structures and high growing rates lead to increases “Z” folds (that are more typical for early development), whereas stiffer cores let to more complex “Y” folding (that are more typical for later development). Modification of these morphological properties may occur with alterations in either the gene network (e.g., by de novo mutations, in transcription factors) or in the epigenetic gene regulatory networks (e.g., DNA methylation, lncRNAs, which may be caused by environmental factors); these changes may result in varying folding pattern that can be measured even in the adult brain (Bayly et al., 2014; Budday et al., 2015; Tallinen & Biggins, 2015; Tallinen et al., 2016). The gyrification occurs in synchrony with neuronal connectivity, i.e., it starts after all neurons have reached their final position in the developing cortex between gw 17 and gw 47 (i.e., 7th week after birth in term born babies) (Budday et al., 2015). However, it is also the case that early neuronal migration ‘sets the stage’ for the folding process since disturbed migration processes lead to disrupted neuronal connectivity and hence, delayed growth and altered cortical formation of malformation (Budday et al., 2015, p.14). The folding is nearly completed around birth in humans and both tangential and radial growth is balanced again (Evans & Group, 2006; Li, Wang, et al., 2014; Tallinen & Biggins, 2015).

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The developing connectome: *in utero* and during infancy

With the use of rs-MRI it is became recently possible to map FC of the human fetus *in utero*. Earlier studies in full-term (Fransson et al., 2007) and pre-term infants (Doria et al., 2010; Smyser et al., 2010) had suggested the existence of a ‘proto’ or partial baseline DMN similar to the DMN observed in children and adults. Fetal rs-fMRI has confirmed the presence of primitive forms of functional networks by mid-gestation, including the DMN. The connectivity of the

posterior cingulate cortex may be seen as a precursor of the adult DMN (van den Heuvel & Thomason, 2016). Thomason et al. (2014) applied a graph theoretical approach to resting state FC of healthy fetuses, 19 to 39 gw. It was found that the fetal brain has a modular organization and that the modules overlap with functional systems observed postnatally. Compared to younger fetuses (< 31 gw), in older fetuses (> 31 gw) modularity decreases, and connectivity of the posterior cingulate to other brain networks becomes more negative. Modularity refers to the degree to which a network can be divided into non-overlapping subsets of regions (i.e., “modules”) that are internally interactive, while sparsely connected with outside areas (Thomason et al., 2014, p. 2). The higher modularity in the younger fetuses is indicative of more segregated functional subnetworks. Fetal FC may develop according to a medial to lateral (Schöpf, Kasprian, Brugger, & Prayer, 2012; Thomason et al., 2013) and a posterior to anterior pattern (Jakab et al., 2014).

We refer to recent review papers for a detailed overview of the development of the brain connectome. Of the review papers that focus specifically on early life (i.e., prenatal and/or infancy and/or early childhood), some papers either focus on FC (e.g., Kipping, Tuan, Foisser, & Qiu, 2016; Menon, 2013; Power, Fair, Schlaggar, & Petersen, 2010; van den Heuvel & Thomason, 2016) or on structural connectivity (SC) (e.g., Bataille et al., 2017). Other authors describe both structural and functional networks combined with the description of at least one of the following topics: (a) the development of the structure-function coupling (Hagmann, Grant, & Fair, 2012); (b) underlying neurobiological processes (Collin & van den Heuvel, 2013; Dubois et al., 2014; Vértes & Bullmore, 2015); (c) atypical connectome development in at risk populations (Ball et al., 2014; Dennis & Thompson, 2013; Di Martino et al., 2014; Gupta, Gupta, & Shirasaka, 2016; Han, Chapman, & Krawczyk, 2016; Koyama et al., 2016); or (d) the

environmental and genetic factors that influence the connectome (Atasoy, Donnelly, & Pearson, 2016; Richmond, Johnson, Seal, Allen, & Whittle, 2016). Studies that focus on the whole lifespan mostly compare brain development in infancy, childhood or adulthood and/or old age (e.g., Billiet et al., 2015; Lebel et al., 2012)

Brain development across the lifespan: short overview

Over an individual's lifetime, cortical thickness peaks between ages 3 and 9 (Walhovd, Fjell, Giedd, Dale, & Brown, 2016) and then shrinks slowly every year, whereas the WM continues to develop until the fourth to fifth decade (Billiet et al., 2015; Chang et al., 2015; Walhovd et al., 2016). Importantly, the maturing and regressive biophysical changes in both, GM and WM, occur heterochronically in different brain regions (Haroutunian et al., 2014). Besides the global trend of tissue atrophy with aging, brain plasticity allows an increase in local tissue volume by learning (Gaser & Schlaug, 2003; Maguire et al., 2000; Reid et al., 2017).

The WM can show further degenerate as evidenced by MRI as WM hyperintensities with GM-like intensities in aging (Evans & Group, 2006; Habes et al., 2016), as well as in diseases such as multiple sclerosis (Schmidt et al., 2012) and Alzheimer's disease (Ziegler et al., 2014). In neurodegenerative diseases such as Alzheimer's disease, accelerated tissue atrophy was reported (Franke et al., 2010; Ziegler et al., 2014).

Prenatal stress alters the developmental trajectory of the brain: brain imaging studies from birth until adulthood

There is an increasing number of prospective studies examining association between prenatal exposure to maternal distress during pregnancy and offspring outcome measures such as motor development, cognition, neurocognitive functioning, learning problems, temperament,

mental health (Bock, Wainstock, Braun, & Segal, 2015; Bowers & Yehuda, 2016; Lewis et al., 2014; Van den Bergh et al., 2017). However, only a small number of the studies reviewed, included brain measures. Studies explicitly examining offspring brain functional, structural, and brain connectome measures are promising in contributing crucial knowledge, e.g., about alteration in specific brain regions or networks that may underlie the observed effects in the offspring. Moreover, especially the prospective longitudinal follow-up studies extending over a considerable postnatal time period, may reveal changes in brain developmental trajectories. When available, information on mediating factors, (such as genetic and epigenetic factors) and moderating factors (such as gender, postnatal maternal distress), will also be described.

Results in newborns, infants and preschoolers (Ages: 0-5 year)

Structural brain changes: sMRI and dMRI studies

In the prospective longitudinal Growing up in Singapore towards Healthy Outcomes (GUSTO), pregnant mothers were recruited at 13 weeks of pregnancy. Maternal depression and anxiety were measured at 26-28 weeks of pregnancy and when the child was 3 months and 1, 2, 3 and 4.5 years old. Effect of prenatal exposure to maternal distress was examined in infants ($N =$ between 24 and 203) at 4-17 days after birth, at 6 months of age, and at 4.5 years with sMRI and/or dMRI of specific brain regions (Qiu, Anh, et al., 2015; Qiu et al., 2013; Rifkin-Graboi et al., 2013; D. J. Wen et al., 2017) or whole brain (Chen et al., 2015; Qiu, Tuan, et al., 2015 ; Rifkin-Graboi et al., 2015).

Rifkin-Graboi et al. (2013) observed a significant association between maternal depression in pregnancy and microstructure of the amygdala, a region associated with stress reactivity and fear regulation (i.e., compared to neonates of mothers with low-normal depression scores in pregnancy, FA in the left and right amygdala and AD in right amygdala were lower in

neonates of mothers with high depressive symptoms in pregnancy. However, no associations were found between maternal depression and volume of either the left or right amygdala. On the other hand, the whole brain analysis dMRI study of Rifkin-Graboi et al. (2015) revealed no effects of maternal anxiety during pregnancy on amygdala microstructure. However, maternal anxiety did predict alterations, i.e., lower FA in WM fiber tracts in several regions: (a) dorsolateral prefrontal cortex and right insular cortex (regions important to cognitive-emotional responses to stress); (b) right middle occipital (important for sensory processing), (c) right angular gyrus, uncinate fasciculus, posterior cingulate and parahippocampus (important for social cognition, social-emotional functioning, and (d) right cerebellum (important for sensorimotor learning and higher cognitive function). Moreover, high maternal anxiety was associated with lower AD in the left lateral orbitofrontal cortex and left inferior cerebellar peduncle and with higher AD in the genu of the corpus callosum. Since the latter study did not reveal significant effects of depression on FA or AD values after corrections for multiple testing, the authors concluded that the effects found may be anxiety-specific (Rifkin-Graboi et al., 2015). Tentative support was found for associations of 5 lateralized clusters (right insular, inferior frontal, middle occipital, middle temporal and parahippocampal) with offspring internalizing problems (but not externalizing behavior) at 1 year of age (Rifkin-Graboi et al., 2015).

Qiu, Tuan, et al. (2015) observed an effect of maternal anxiety during pregnancy on neonatal cortical morphology that was moderated in several ways by functional variants of the catechol-O-methyltransferase (COMT) gene, which regulates catecholamine signaling in the prefrontal cortex and is implicated in anxiety, pain, and stress responsivity. The A-val-G (AGG) haplotype moderated the positive link between maternal anxiety and thickness of the right ventrolateral prefrontal, right parietal cortex and precuneus. The G-met-A (GAA) haplotype

modulated the negative link between maternal anxiety and thickness of the dorsolateral prefrontal cortex and bilateral precentral gyrus.

In the study of Chen et al. (2015), for each mother and each infant in the GUSTO cohort identified their polymorphism of the Brain Derived Neurotrophic Factor (BDNF) Val66 gene, i.e., Met/Met, Met/Val, Val/Val. BDNF is a neurotrophin known to underlie synaptic plasticity in the central nervous system (Chen et al., 2015). They also conducted a genome-wide DNA analysis on umbilical cord samples, using Human Methylation450 Bead Chip Array, which allows quantification of methylation status with single-base resolution across 482,421 Cytosine-phosphate-Guanine sites (CpGs) and 3,091 non-CpGs (Chen et al., 2015 p. 140). A first result showed that 148,890 CpGs had an absolute methylation difference of 15% between the three genotypic groups of the BDNF Val66Met gene. Secondly, the strength of the associations between maternal anxiety and *neonatal* DNA methylation was found to be different for different polymorphisms of the infant (not maternal) Val66Met gene, i.e., in the Met/Met polymorphism group there was a greater impact of antenatal maternal anxiety on the DNA methylation than in both other groups. Thirdly, it was found that 9 of the 18 brain volume measures used had significantly different numbers of variable CpGs; i.e., right amygdala, left hippocampus, left thalamus, left caudate, right midbrain, right cerebellum, left total WM, left GM, right GM. For instance, there were significantly more CpGs where methylation levels covaried with right amygdala volume among Met/Met compared with both Met/Val and Val/Val carriers, in contrast, more CpGs covaried with left hippocampus volume in Val/Val infants compared with infants of the Met/Val or Met/Met genotype.

While Qiu et al. (2013) found no effect of prenatal exposure to maternal anxiety on hippocampal volume at birth or at six months of age, maternal anxiety was negatively correlated

with hippocampal growth between 0 and 6 months. Furthermore, a positive association was observed between postnatal maternal anxiety and offspring right hippocampal growth and a negative one between postnatal maternal anxiety and left hippocampal growth at 6 months of age (Qiu et al., 2013).

Finally, D. J. Wen et al. (2017) showed sex-specific effects of exposure to maternal depression in the 4.5 year olds of the GUSTO cohort. A positive association was found between maternal depressive symptoms during pregnancy and larger right amygdala volume in girls, but not in boys. Furthermore, a positive association was found between postnatal maternal depressive symptoms and higher right amygdala FA in the whole sample and in girls, but not in girls.

In a second cohort, recruited at Columbia University Medical Center in the city of New York, maternal depression as measured between 34 and 37 weeks of pregnancy with a self-reported questionnaire was used to define a depression group versus a not depressed group and infants of both groups were examined at age of 5.8 weeks (Posner et al., 2016). Results of dMRI tractography demonstrated decreased structural connectivity between the right amygdala and the right ventral prefrontal cortex in the infants prenatally exposed to maternal depression (n= 18) compared to non-exposed infants (n=39) (Posner et al., 2016).

A ~~third~~ second cohort was followed up until 2.6 to 5.1 years of age, as part of the Alberta Pregnancy Outcome and Nutrition Study (Lebel et al., 2016). It was found that women's depression at 17 weeks of pregnancy was associated with preschoolers' cortical thinning in right inferior frontal and middle temporal region, and with RD and MD in WM emanating from the inferior frontal area. However, the latter association was no longer significant after correction for

postpartum depression. Postpartum depression was related with cortical thinning in preschoolers' right superior frontal cortical thickness and with diffusivity in WM originating from that region; the effect remained significant after correction for prenatal maternal depression. Maternal depression at 11 or 32 weeks of pregnancy was not significantly related with altered GM or WM structure (Lebel et al., 2016).

In a ~~third~~-fourth cohort, the Generation R study, brain imaging data of $N=654$, 6-to-10 year old children, prenatally exposed to maternal depressive symptoms (El Marroun et al., 2016), were analyzed. Maternal and paternal depressive symptoms was measured at 20,6 gw and when the children were 3 years old. It was found that prenatal exposure to maternal depressive symptoms was associated: (a) with a thinner cortex in the frontal area of the left hemisphere, (b) with larger cortical surface in a caudal middle frontal area in proximity to the area showing decreased cortical thickness, (c) with gyrification measures, however, after correction for several covariates, this association did not remain significant. No association was found between prenatal exposure to maternal depressive symptoms and with volumetric measures in core structures of the limbic system. Furthermore, no association was found with brain morphology measures and either prenatal paternal depressive symptoms, or maternal depressive symptoms at age 3 years.

Functional brain development: fMRI and EEG studies

Scheinost, Kwon, et al. (2016) used rs-fMRI and whole brain seed connectivity, to compare FC in extremely premature born infants (born < 28 gw) who, furthermore, were exposed to maternal anxiety or stress during pregnancy, with FC in a control group of very premature neonates (born < gw 32) and a term control group. 'Prenatal stress exposure' was coded as a binary variable, i.e., whether or not a diagnosis of depression and/or anxiety was

retrieved in the maternal medical card. Compared to term controls, preterm born infants without exposure to maternal distress show decreased FC from the left amygdala to other subcortical regions (thalamus, hypothalamus, brainstem, insula). Compared to the latter group, preterm infants prenatally exposed to maternal distress showed lower FC of the left amygdala to the thalamus, hypothalamus and peristate cortex. In the study of Posner et al. (2016), rs fMRI measures demonstrated that at the mean age of 5.8 weeks, infants prenatally exposed to maternal depression demonstrated increased inverse functional connectivity between the amygdala and the dorsal prefrontal cortex, bilaterally. Further analyses showed that changes in amygdala-prefrontal cortex connectivity were associated with an increase in fetal heart rate reactivity to a mild maternal stressor that had been measured at 34-37 weeks of gestation (Posner et al., 2016). Qiu, Anh, et al. (2015) showed that 6 month olds infants of the GUSTO cohort, born to mothers with higher depressive symptoms during pregnancy, had greater functional connectivity of the amygdala with several other brain regions: the left temporal cortex, insula, bilateral anterior cingulate, medial orbitofrontal and ventromedial prefrontal cortices. These networks are known to underlie depression in children and adult and the authors suggest that their rs-fMRI data may foreshadow future neurodevelopmental and psychiatric disorder (Qiu, Anh, et al., 2015).

Other studies examined asymmetry of left and right frontal alpha EEG and stability of EEG patterns in infants. There is a large literature on effects of early adversity, including effects of pre- and/or postnatal depression on offspring frontal EEG asymmetry, but results are inconsistent (see for reviews, e.g., Field & Diego, 2008; Field, Hernandez-Reif, & Diego, 2006; Peltola et al., 2014) . For instance, while greater relative right frontal EEG activation has been associated with maternal depression in pregnancy in some studies (e.g., Lusby, Goodman, Bell, & Newport, 2014), other studies concluded that the number of postpartum months of depression

was a stronger predictor of infant frontal EEG asymmetry at 14 months of age than prepartum months of maternal depression (e.g., Dawson, Frey, Panagiotides, Osterling, & Hessler, 1997). Field et al. (2010), studied the effect of comorbid depression and anxiety during pregnancy – measured at 20 gw – and it was found that neonates of the comorbid and depressed groups had greater relative right frontal EEG measures than neonates of the anxiety and non-depressed groups. In the study of Lusby et al. (2014), participants all met DSM-IV criteria for depression or another mood disorder and were enrolled before 16 gw. Offspring EEG was recorded during baseline, feeding and play at age 3 and 6 months. They observed an interaction effect of maternal prenatal and postnatal depressive symptoms on asymmetry in EEG patterns in 3 and 6 months old infants, showing that prenatal depressive symptoms and infant EEG asymmetry scores were significantly associated among women with high postpartum depressive symptoms but not in those with low postnatal depressive symptoms. They concluded that asymmetry scores were mostly consistent across contexts (but not for from baseline to feeding and play at 6 months) and stable across ages (but not during feeding). In another study of the same cohort, the moderating effect of prenatal maternal anxiety on associations between infants' frontal EEG asymmetry and temperamental negative affectivity across infants' first year of life was studied. The findings showed that behavioral and psychophysiological, co-occur over the course of infancy and are different for infants of high versus low levels of maternal anxiety. For instance, infant negative affectivity and frontal EEG asymmetry were negatively associated at 3 months of age and positively associated by 12 months of age in the high depression mothers, while there was no association between infant negative affectivity and EEG at any age in the low depressive groups (Lusby, Goodman, Yeung, Bell, & Stowe, 2016). In a GUSTO cohort study, Soe et al. (2016) studied effect of pre- and postnatal depression, using EEG measures. They concluded that neither

prenatal nor postnatal maternal depressive symptoms independently predicted neither frontal EEG activity nor FC in 6 and 18 months old infants. However, higher levels of depressive symptoms postnatally compared to the prenatal levels, were associated with greater right frontal activity and relative right frontal asymmetry at 6 months and with lower right frontal FC in 18-month-olds. Lower bilateral frontal FC at 18 months predicted higher externalizing problems, while lower right frontal FC predicted higher internalizing problems.

Some studies focused on the effects of maternal anxiety during pregnancy on infant auditory attention and measured offspring ERPs in reaction to auditory stimuli (Harvison, Molfese, Woodruff-Borden, & Weigel, 2009; Hunter et al., 2012; Otte et al., 2015; van den Heuvel, Donkers, Winkler, Otte, & Van den Bergh, 2015). Auditory attention is a key aspect of early neurocognitive functioning and is vital for later acquisition of speech and language competences (Benasich et al., 2006; Kushnerenko, Van den Bergh, & Winkler, 2013; Molfese, 2000). In the study of Harvison et al. (2009) subject recruitment occurred in the maternity ward and they completed a self-report anxiety questionnaire. Voice recordings of the neonate's mother and of a stranger were used as auditory stimuli. Results indicated alterations in auditory attention, with more attention allocated to a stranger's voice compared to the mother's voice in infants born to mothers with high anxiety and the opposite pattern in infants of mothers who were low anxious during pregnancy. Hunter et al. (2012) reported diminished P50 response to auditory clicking in 3-month-old infants born to mothers diagnosed with an anxiety disorder in pregnancy; this diminished response may reflect lower response inhibition during sensory gating.

In a cohort born in the Netherlands between 2010 and 2013 (M.I. van den Heuvel et al., 2015), pregnant women completed self-report questionnaires in each pregnancy trimester (i.e., < 15 gw, at 16-22 gw, at 31-37 gw). It was shown that 9-month-old infants exposed to mothers

with high versus low levels of maternal anxiety at 15-22 gw, allocated more attentional resources (i.e., higher N250) to a frequently-occurring standard sounds in an oddball paradigm; this may indicate a lack of habituation to these sounds or a state of enhanced vigilance in infants born to highly anxious mothers. Interestingly, the opposite pattern (i.e. lower N250) was found for prenatal exposure to maternal mindfulness. Otte et al. (2015) studied the same 9-month-old infants; infants were presented with emotional facial expressions (happy/fearful) followed by either a congruent or an incongruent vocalization (happy/fearful). Results indicated that infants prenatally exposed to higher levels of maternal anxiety, i.e., < gw 15, displayed larger P350 amplitudes in response to fearful vocalizations, regardless of the type of visual prime. This response may indicate increased attention (or enhanced vigilance), to fearful vocalizations. When the children of this cohort were four years old they were again examined; ERPs were recorded when they passively watched neutral, pleasant, and unpleasant pictures (van den Heuvel, Henrichs, Donkers, & Van den Bergh, in press). It was found that compared to children exposed to lower levels maternal anxiety during pregnancy, children exposed to higher level of maternal anxiety devoted more attentional resources to neutral pictures. Responding to neutral stimuli as if they are threatening may indicate a negativity bias and/or may indicate that these children show enhanced vigilance. A state of enhanced vigilance in a safe environment may be a predictive marker for later onset of an anxiety disorder (Thayer, Åhs, Fredrikson, Sollers, & Wager, 2012).

Results in school age children (Ages: 6-10 years)

In this age group only studies measuring an effect on structural brain changes were performed. Pregnant women completed self-report questionnaires at 19, 25 and 31 gw. The offspring, born between 1998 and 2002 in Southern California was followed up until 6 to 9 years after birth. Significant associations between maternal anxiety, cortisol or depression during

pregnancy and offspring structural brain changes were reported in 3 publications. Buss, Davis, Muftuler, Head, and Sandman (2010) reported an association between pregnancy-specific anxiety at 19 weeks of gestation and decreased gray matter volume in areas extending from the cortical to the occipital regions (i.e., prefrontal cortex, premotor cortex, medial temporal lobe, lateral temporal cortex, postcentral gyrus, cerebellum extending, middle occipital gyrus, fusiform gyrus). Maternal cortisol at 15 weeks of gestation was associated with increased right amygdala volume in girls only; amygdala volume even mediated the association between maternal cortisol and girls' emotional problems (Buss et al., 2012). However, maternal cortisol in pregnancy was not associated with child hippocampus volume (Buss et al., 2012). Anxiety measured at 25 or 31 gw or cortisol measured at 19, 21, 31 and 37 gw were not associated with structural brain changes (Buss et al., 2010; Buss et al., 2012). Sandman, Buss, Head, and Davis (2015) observed that maternal reports of depressive symptoms, were associated with cortical thinning, in prefrontal, medial postcentral, lateral ventral precentral and postcentral regions of the right hemisphere; the association of depressive symptoms at 25 gw were the strongest. Moreover, cortical thinning in prefrontal areas of the right hemisphere mediated the association between maternal depression and child externalizing behavior. Remarkably, the observed pattern of cortical thinning seemed to be similar to patterns in children adolescents and depressed patients (Sandman et al., 2015). According to the authors the observed cortical thinning in children born to mothers with higher depressive symptoms during pregnancy may reflect accelerated brain maturation (Sandman et al., 2015'p. 331).

Sarkar et al. (2014) performed dMRI in preschoolers of women which underwent amniocentesis in a London clinic. Retrospectively assessed self-report of stress during pregnancy (measured at 17 months after birth), was associated with increased FA in right uncinate

fasciculus and decreased RD in right uncinate fasciculus, in 6-9 year old children. These changes in white matter microstructure were suggested to reflect hypermyelination in uncinate fasciculus, which links the limbic region with the prefrontal cortex. Self-reported stress was not associated with control tract properties.

In the study of E. P. Davis, Sandman, Buss, Wing, and Head (2013) the effect of prenatal exposure to betamethasone (a synthetic glucocorticoid for fetal lung maturation, prescribed to women at risk for preterm delivery) administered between 24 and 34 gw (2 doses of 12 mg, intramusculatory, 24 h apart) was examined. Only children born > 37 gw were included. Fetal glucocorticoid exposure was associated with bilateral cortical thinning in several regions (left insula, left supramarginal gyrus, left transverse temporal cortex such as the cingulate cortex, frontal regions and the superior parietal cortex) as well as with unilateral thinning in several regions. The rostral anterior cingulate cortex (rACC), which is important for regulation of stress and emotions, showed the largest group differences; i.e., it was 30% thinner in the exposed group. Furthermore, in the control group children with more affective problems had a thinner left rACC. The authors conclude that prenatal GC exposure alters the trajectory of fetal brain development which may be a risk factor for the development of mental health problems

Results in adolescents and adults (Ages: 15-40 years)

In a Belgium study that recruited pregnant women in 1986 and 1987 in a university hospital, and in which self-report questionnaires were completed at 12-22 gw, 23-31 gw and 32-40 gw, male offspring was examined at 17 and 20 years of age. It was found that maternal anxiety at 12-22 weeks of pregnancy was positively associated with less efficient endogenous decision making, as reflected in EEG measures, at age 17 (Mennes, Van den Bergh, Lagae, & Stiers, 2009). Since fMRI may have an important role in understanding pathophysiologic

processes by analyzing the brain areas activated while performing specific tasks, a task related-fMRI study was conducted in the 20-year-olds. Results indicated that in contrast to offspring exposed to low-medium levels of maternal anxiety during pregnancy, in the offspring exposed to high maternal anxiety in pregnancy, a number of right lateralized clusters, including inferior frontal junction were not modulated during endogenous cognitive control tasks. ~~Based on rs-fMRI in the 28-year-old male and female offspring, preliminary results show that compared to offspring of low-medium anxious mothers, offspring of mothers who were highly anxious during pregnancy, show altered connectivity in attention-related networks (i.e., dorsal, ventral and salience network) (Sleurs et al., in preparation).~~ Moreover, in this cohort, prenatal exposure to maternal anxiety at 12-22 wg also was found to be associated with increased perception of dyspnea (i.e., shortness of breath, breathlessness) at age 28 (von Leupoldt et al., 2017), which may indicate increased sensitivity of the autonomous nervous system.

Favaro, Tenconi, Degortes, Manara, and Santonastaso (2015) examined effects of maternal life events stress during pregnancy in healthy volunteers, all woman, between the age of 15 and 44. Stress experienced during pregnancy was retrospectively measured with a semi-structured interview administered to the mothers of participants. Greater maternal life event stress was associated with decreased GM volume in left medial temporal lobe (MTL) and both amygdalae, but not total volume of amygdala nor with GM or total volume of the hippocampus. Furthermore life event stress during pregnancy was positively correlated with FC of the left medial temporal lobe with the pregenual anterior cingulate cortex, which is connected to the default mode network (Qin et al., 2016; Teipel et al., 2010). Importantly, FC between the left MTL and part of the left medial orbito-frontal cortex partially explained variance in offspring

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depressive symptoms. All results remained the same when only data from subjects over the age of 18 were analysed.

Conclusion: prenatal stress alters brain developmental trajectories

We reviewed prospective longitudinal studies examining whether prenatal exposure to maternal distress is associated with offspring brain development as measured with different brain imaging techniques, from birth until adulthood. Most studies used self-report distress questionnaires as predictors, while other studies used a physician's diagnosis based on medical chart information (Scheinost, Kwon, et al., 2016), a diagnostic psychiatric instrument (Field et al., 2010; Hunter et al., 2012; Lusby et al., 2014; Lusby et al., 2016) or a physiological variable (Buss et al., 2012; E. P. Davis et al., 2013) as predictor. Among different MRI-based techniques, sMRI such as voxel-based morphometry (VBM) was used to quantify GM and WM volumes, while dMRI detects alterations in WM structure and indirectly in the architecture of fiber pathways. Finally, functional MRI (fMRI) investigates brain activations in specific brain regions during cognitive and sensory tasks, and in FC when at rest, while task related ERPs and rs-EEG records the electrical brain activity with superior temporal but lower spatial resolution compared to fMRI (cf. section 2).

Structural brain alterations in the aftermath of prenatal stress are observed in the neonate and until adulthood. In the neonate, maternal distress is associated with changes in the WM microstructure in the amygdala, volume changes in amygdalae and hippocampus - which were influenced by variations in offspring BDNF gene and its methylation patterns (Chen et al., 2015; Qiu et al., 2013; Rifkin-Graboi et al., 2013) - in WM microstructural changes and cortical thickness changes in prefrontal and parietal regions and cortico-limbic structures of which some were influenced by variations in offspring COMT and offspring epigenome (Qiu, Tuan, et al.,

2015; Rifkin-Graboi et al., 2015). Next to inducing reduced hippocampal growth effects in infants (Qiu et al., 2013), and larger right amygdalar volume in female preschoolers (D. J. Wen et al.), maternal distress was also related to microstructural WM changes, i.e., lower diffusivity in frontal and temporal regions in preschoolers (Lebel et al., 2016), and in limbic-prefrontal region in childhood (Sarkar et al., 2014). GM volume reduction was seen in several cortical areas and in the cerebellum in childhood (Buss et al., 2010; El Marroun et al., 2016; Sandman et al., 2015), and in left MTL and both amygdalae in adulthood (Favaro et al., 2015). Larger cortical surface area in a frontal region and gyrification changes (becoming insignificant after corrections for covariates) were also observed (El Marroun et al., 2016). Furthermore, maternal cortisol in pregnancy was associated with larger amygdala volume in children (Buss et al., 2012) while betamethasone intake was related to bilateral cortical thinning (E. P. Davis et al., 2013).

With regard to the functional measures, rs-EEG studies point to association of maternal distress in pregnancy to greater relative right frontal EEG activation which, however, is in some studies only seen in infants of mothers with high postnatal depressive symptoms or with greater postnatal than prenatal symptoms (Field et al., 2010; Lusby et al., 2014 584; Lusby et al., 2016; Soe et al., 2016). Rs-fMRI studies show that prenatal exposure to maternal distress amplifies the decreased amygdalar-thalamic FC seen in preterm born neonates (Scheinost, Kwon, et al., 2016) and increased FC of amygdala with several cortical and subcortical regions (Chen et al., 2015) in 6-months-olds, while rs-fMRI studies conducted in adulthood reveal changes in FC in large scale intrinsic network such as DMN (Favaro et al., 2015). Task-related EEG (ERPs) in infants show that prenatal exposure to maternal distress has an effect on specific ERP components indicating altered auditory attention and processing (Harvison et al., 2009) diminished inhibition during sensory gating (Hunter et al., 2012), enhanced vigilance to fearful vocalization (Otte et al.,

2015), to standard sounds (van den Heuvel et al., 2015) and neutral pictures (van den Heuvel et al., in press); they reveal specific deficits, such as in endogenous cognitive control in male adolescents (Mennes et al., 2009) an effect that moreover is confirmed by task-related fMRI measures (Mennes, Van den Bergh, Sunaert, Lagae, & Stiers, 2016) in early adulthood.

The functional, (micro)-structural and connectivity changes described in this section are seen as potentially mediating the link between maternal distress in pregnancy and offspring cognitive, behavioral, emotional problems, i.e. as markers of prenatal stress, increasing the risk for mental health problems. Importantly, some of the studies reviewed also examined the association of altered brain development with offspring behavior; i.e., with an increase in fetal heart rate to a mild in utero perturbation (Posner et al., 2016; retrospective observation), with internalizing and/or externalizing problems (Lusby et al., 2016; Rifkin-Graboi et al., 2015; Sandman et al.; Soe et al., 2016), with affective problems (Buss et al., 2012; E. P. Davis et al., 2013) and with cognition (Harvison et al., 2009; Hunter et al., 2012; Mennes et al., 2009; Mennes et al., 2016; Otte et al., 2015; van den Heuvel et al., 2015; van den Heuvel et al., in press). With regard to timing effects, no firm conclusions can be reached since not all studies examined maternal emotions in the first, second and/or third trimester, e.g., the As indicated above, Figure 2 depicts the possible timing effects of the studies reviewed. For instance, studies showing an effect of exposure to maternal distress during the ‘ballooning phase’ (gw 3 to 15) most probably exert their effect by inducing alterations in the epigenetic gene regulatory network of genes coding for proteins and of ncRNAs, involved in proliferation and migration of neurons in a spatio-temporal specific way. If exposure to maternal distress after gw 15 may leads to changes in epigenetic gene regulatory networks it may affect all ongoing processes involved in building of the GM and WM: the growth of neuronal dendrites and axons, forming of synapses,

production and expansion of astrocytes, oligodendrocytes, microglial cells, and development of the vascular system, formation of the layers in the cortex, as well as in the gyrification processes. These alternations influence the building of structural and functional networks, starting to be formed around midgestation. For instance Thomason et al. (2017) using *in utero* rs-fMRI have shown that system-level functional connectivity was reduced in fetuses that would subsequently be born preterm. Effects of maternal distress in the early postnatal period likely influences ongoing WM growth and pruning of synapses in the cortex (GM); it is not known whether an infant whose brain was altered during prenatal development is more susceptible to negative environmental exposure but most probably this is the case (Lebel et al., 2016). Several of the studies reviewed in this section, started from the hypothesis that the hypothalamic-pituitary-adrenal (HPA)-axis is a most important underlying mechanism mediating the effects of prenatal stress exposure (Lupien et al., 2011) and accordingly they examined offspring limbic system (including amygdala, hippocampus, parahippocampus and parts of the prefrontal cortex. Most of these studies showed an effect on the limbic system (albeit the effect shown was different across the studies), but in studies that used whole brain analyses, other brain regions were shown to be affected as well (see Table 3). The observations that prenatal stress leads to alterations is a most important one, since altered FC in brain networks has been increasingly recognized as a marker of neurodevelopmental and psychiatric disorders (e.g., Cao, Wang, & He, 2015; Van Essen & Barch, 2015).

While some studies found effects only in females (Buss et al., 2012; D. J. Wen et al., 2017), since few studies explicitly examined sex differences no firm conclusions can be reached at this point. In both sexes effects of prenatal stress on brain development are found, but the effects may be different. However, the origins of these differences are not clear. Scheinost,

Sinha, et al. (2016) point to sex-dependent differences in placental function, epigenetic mechanisms, responses of the transcriptome, processes mediating neuron-glia interactions and differential responses in specific regions of the brain.

Putative prenatal origins of developmental and psychiatric disorders

Neurodevelopmental disorders have a strong genetic bias but in recent years causal environmental factors have been identified. Genetic, epigenetic and prenatal environmental exposure factors may interact during brain development vulnerability windows and lead to disorders in ways that are not yet clearly understood yet (J. Davis et al., 2016; Ghiani & Faundez, 2017; Van den Bergh et al., 2017). While basic and translation research that focused on task-related brain activation has increased our understanding of underlying neural substrates of particular cognitive, emotional and social behavior and how their alternations underlie psychopathology, the focus has been shifted to the study of neural circuits rather than on specific brain regions (Barch & Carter, 2016). While disrupted or disconnected functional and structural brain networks have been found to underlie many known neurodevelopmental disorders (Van Essen & Barch, 2015), much knowledge still needs to be gathered on what their biological underpinnings are and how they are related to the brain developmental trajectory. In animal models causal links were shown between prenatal stress exposure and alteration in neurogenesis, myelination, synaptic branching, lamination and gyrification and in alterations in the excitatory/inhibitory (E/I) balance (see e.g. Ben-Ari, 2008; Bock, Rether, Gröger, Xie, & Braun, 2014; Bock et al., 2015; Braun et al., 2017; Lussier & Stevens, 2016). All these processes are vital in building optimal functional and structural networks (see section 3). Preclinical studies have also shown that compensatory mechanisms –for insults to which animals were exposed prenatally– take place at several levels. Such mechanisms may explain part of the phenotypical

heterogeneity seen in many developmental disorders. Footprints of the early insult and/or of the way the brain responded may be ‘visible’ in the brain, and may foreshadow the later emergence of neurological or psychiatric problems, long before symptoms are observed (Ben-Ari, 2008). In humans “We lack, however, detailed information as to the electrical and/or morphological properties of misplaced neuronal ensembles and their connections to comprehend how they affect brain development” (Ben-Ari, 2008, p.632)

Where prenatal origins of neurodevelopmental and psychiatric disorders were previously derived from careful examinations of post mortem brain cortical and subcortical brain tissue, in recent research these analyses are combined with advanced brain imaging techniques that enable to study (micro)structural changes in white matter (e.g., Deoni et al., 2014). We describe some hypotheses that are being tested, hereby acknowledging the many considerations that researchers take into account when making inferences about putative prenatal origins (see e.g., Deoni et al., 2014; Kostović, Judaš, & Sedmak).

In ASD research, cortical abnormalities in the mini-columnar organization of the cortex, in connectivity patterns, in density of specific types of neurons in specific layers of the cortex and in the E/I imbalance are observed (e.g., Benes, Vincent, & Todtenkopf, 2001; Donovan & Basson, 2017; Karst & Hutsler, 2016; Kostović et al., 2014; Kostović, Sedmak, Vukšić, & Judaš, 2015) as well as changes in ncRNAs modulating gene expression/misregulation of genes expressed during the early brain development (e.g., nSR100/SRRM4) (Irimia et al., 2014; Ziats et al., 2015). These neurobiological changes may underlie changes in FC (Khan et al., 2013) and SC (Conti et al., 2017) observed in ASD, i.e., reduced long-distance connectivity and local overconnectivity in fronto-temporal and basal ganglia. In the same vein in *schizophrenia research*, abnormalities most probably originating in the subplate –a transient fetal human brain

structure— have been detected (Suárez-Solá et al., 2009). In another study a higher polygenic risk score for schizophrenia was significantly associated with a lower local gyrification index in the bilateral inferior parietal lobes (Erk et al., 2017). Furthermore, research over the last 10 years that combines EEG/MEG in clinical populations, with preclinical research has led to the conceptualization of schizophrenia as a disorder of WM (Haroutunian et al., 2014) associated with aberrant neural dynamics and disturbances in E/I balance (Czepielewski, Wang, Gama, & Barch, 2017; J. Davis et al., 2016; Rutkowski et al., 2017; Z. Wen, 2017).

The putative fetal origins of *ADHD* described earlier (Van den Bergh & Marcoen, 2004) referred to disturbed processes of proliferation, migration and differentiation of neurons, which changes the timetable of expression of neurotransmitters phenotype and of neuropeptides, leading to changes in the E/I balance. Recent studies show the relevance of the study of common genetic networks underlying several neurodevelopmental disorders, e.g., symptoms in ADHD, externalizing behaviors and substance-use disorder (Arcos-Burgos, Vélez, Solomon, & Muenke, 2012). Some of the genes involved have a role in axon guidance during brain development (e.g., *LPHN3*, coding for latrophilin, a cell adhesion molecule (Seiradake, Jones, & Klein, 2016)). Furthermore a significant interaction was found between four *LPHN3* tag single nucleotide polymorphisms and maternal stress in pregnancy; it was associated with ADHD and behavioral and cognitive dimensions related to ADHD (Choudhry et al., 2012). Alterations in rs-FC are seen, i.e., in DMN, attention (dorsal, ventral, salience), frontoparietal networks, and reward-related and amygdala-related circuits (for a review see e.g., Castellanos & Aoki, 2016). Recent whole-brain connectivity studies observed distributed pattern of disrupted WM microstructural integrity separately involving frontal, striatal and cerebellar brain region (Hong et al., 2014) and involving prefrontal cortex, insula, occipital and somato-sensory areas Franck et al. (2016); these

changes were associated with measures of attentional performances (Hong et al., 2014) and symptom severity (Francx et al., 2016)

Finally, fetal origins of dyslexia have long been hypothesized (e.g., Behan & Geschwind, 1985). Recent surface-based imaging techniques (i.e., sMRI to assess whole-brain vertex-wise cortical and local gyrification index) showed that compared to controls, 6 to 15 year old children with dyslexia show decreased cortical thickness in previously identified reading areas (including bilateral occipitotemporal and occipitoparietal regions) as well as increased gyrification within the left occipitotemporal and right superior frontal cortex (Williams, Juranek, Cirino, & Fletcher, 2017). These observations may lend support to the fetal origins of dyslexia hypothesis since primary gyral patterns are largely determined prior to birth (Budday et al., 2015). However, the authors discuss the question whether the observed anatomical differences are due to aberrant structural development, or are secondary changes from an impoverished reading experience and conclude that their findings of atypical gyrification in ventral reading areas tend to support a neurodevelopmental vulnerability in developmental dyslexia, whereas regionally thinner cortex in dyslexia may be associated with either a developmental etiology or an impoverished reading experience (Williams et al., 2017, p.8). The review of Mascheretti et al. (2017) included studies examining intermediate phenotypes (IPs), as provided by imaging data, as a target for researching disease-associated genetic variants, of which several have specific roles during brain development.

Conclusion

Our review highlights the vulnerability of the brain to insults, during at least the prenatal and early postnatal period of its protracted development. Studies using diverse brain imaging techniques have clearly revealed that maternal distress during pregnancy is associated with

alterations in brain structure and function, in functional connectivity, and in intrinsic brain networks. Prospective longitudinal follow-up studies reviewed indicate that influences are seen until at least age 29, while retrospective studies showed effect until age 40. Results revealed by the different techniques were not always consistent. Although this inconsistency is partly related to the fact that each methods has different possibilities and constrains and that studies differ in the model and post-processing techniques used (cf. sections 2 and 4), it remains a concern for future research (see section 6.1). Our review made it also clear that the influence of prenatal exposure to maternal anxiety needs to be seen in the broader framework of the genetic-epigenetic-environmental processing governing or guiding brain development over a protracted period of life (cf. sections 3 and 5). On the one hand, maternal distress during pregnancy is but one of the factors having an influence on the developmental trajectory of the brain but on the other hand, it plays an important factor as it is potentially malleable, which clearly bears important clinical and societal responsibility towards expectant parents and their children (see section 6.2)

Prenatal stress and the developing brain: emerging topics

Highlighting the vulnerabilities of the brain to insults may provide information on mechanism linking maternal distress in pregnancy with symptoms of disturbed cognitive, emotional, social behavior and enhanced susceptibility to neurodevelopmental and psychiatric disorders. The existing evidence is inconclusive; there are still many questions unanswered e.g. about potential differential effects of anxiety, depression and subjective or objective stress and about how they may be moderated by pre-pregnancy, paternal factors and maternal distress factors in the postnatal period. Specific timing effects and sex-specific effects are understudied (Brummelte, 2017; Van den Bergh et al., 2017). In order to validate the measures used with

regard to sensitivity, specificity, accuracy, or robustness in predicting neurodevelopmental and psychiatric disorders, more prospective long-term longitudinal follow-up studies starting early in pregnancy and examining brain developmental measures as potential mediators of the link between prenatal stress and offspring behavioral and cognitive measures should be carried out. Brain imaging measures could be used as intermediate phenotype (cf. studies cited in section 5). Combining existing tools, i.e. using structural and functional measures as done in the study of Soe et al. (2016) or integrating EEG, fMRI and behavioral measures to analyze them jointly (Turner, Forstmann, Love, Palmeri, & Van Maanen, 2017; Turner, Rodriguez, Norcia, McClure, & Steyvers, 2016) will not only enhance our insight in the relation between task- and rs-MRI connectivity measures but also in bidirectional brain-behavior interactions and their evolution over time (Johnson, Jones, & Gliga, 2015). Studying the same cohort across different ages, using measures of functional brain changes and neurocognitive function (e.g., Mennes, Stiers, Lagae, & Van den Bergh, 2006; Mennes et al., 2009; Mennes et al., 2016; Van den Bergh et al., 2005; Van den Bergh et al., 2006) may reveal whether the observed cognitive phenotype is consistent over time or not, and what the brain correlates are. The methods described in section 5, involving systems biology and system neuroscience, gene network-analysis are a big challenge (Irimia et al., 2014; Mascheretti et al., 2017; Parikshak et al., 2015). Introducing them in human prenatal stress research and combining them with neuroimaging techniques will, when combined with the promotion of common strategies of acquiring and sharing high-quality neuroimaging, accelerate progress in characterizing typical and atypical FC and SC (Van Essen & Barch, 2015). Clearly, the human prenatal stress field ‘ and the broader field of DOHaD research are interdisciplinary research fields that will benefit from collaborations between system biology and neuroscience, psychology, network science, mathematicians and computer scientists in trying to

understand how prenatal exposure influences the nervous system at different levels of interaction of structure and functions (Swanson & Lichtman, 2016). At the same time “it is also vital to be realistic about the limits that are attainable using current technologies” (Van Essen & Barch, 2015, p.165). For instance, while fetal imaging has already been used for a long time to show effects of maternal distress on fetal motor behavior and fetal behavioral states - e.g., by using ultrasound to measure fetal general movements and eye movements, and electrocardiography to measure fetal heart variability in a randomized controlled trial study (Van den Bergh et al., 1989) - the exciting possibility to use imaging techniques to directly study fetal brain development in distressed versus non distressed mothers is now actively being explored (Koyama et al., 2016; Scheinost, Sinha, et al., 2016; van den Heuvel & Thomason, 2016). Imaging the fetal brain is still a challenging task, especially in the young fetus since young fetuses move more than older fetuses. It requires extremely fast acquisition techniques that deal with motion and other artifacts in sMRI (Habas et al., 2010; Tourbier et al., 2017; Wright et al., 2014; Wright et al., 2015) in fMRI (Jakab et al., 2015), and in dMRI (Marami et al., 2017). The imaged slices require inter-slice motion correction that rearrange each slice (Habas et al., 2010; Oubel, Koob, Studholme, Dietemann, & Rousseau, 2010) and allows the reconstruction of super resolution images if multiple slice-directions were imaged (Alansary et al., 2017; Tourbier et al., 2017; Wright et al., 2014). Besides image reconstruction, data preprocessing requires adapted parameters and modified templates that handle the large structural changes that occur during pregnancy (Wright et al., 2014; Wright et al., 2015). Deleting fetal imaging data with too much motion artifacts may be necessary to reach an acceptable signal noise ratio. Researchers may also opt to analyze only brain imaging data obtained during fetal quiescence (van den Heuvel & Thomason, 2016).

Furthermore, a critical step for future research is a better characterization of maternal distress. While most studies examine the effect of either depression or anxiety, several authors propose to approach them in an integrative way during both, the pregnancy and postpartum, i.e. in the peripartum period (e.g., Babb, Deligiannidis, Murgatroyd, & Nephew, 2015; Lusby et al., 2016; D. J. Wen et al., 2017). Finally, since it has been shown that next to the brain, several other biological systems are involved in mediating the effect of prenatal stress on the offspring, future studies in the prenatal stress field should include other relevant potential biomarkers, i.e., neuroendocrine, inflammation, telomere length, gut-brain interaction and autonomous nervous systems biomarkers (for a review see Rakers et al., 2017; Van den Bergh et al., 2017). Intervention studies are needed to identify predictive markers of risk, resilience and prognosis of neurodevelopmental disorders.

Prenatal stress: societal and clinical challenges

Neurodevelopmental and psychiatric disorders constitute a major burden to society (Falk et al., 2016). The prenatal life period is increasingly considered as a crucial target for the primary prevention of neurodevelopmental and psychiatric disorders. Indeed, next to contributing to basic science, results of studies on prenatal origins of cognitive, emotional and social dysfunction have crucial public health implications since they are dealing with prenatal environmental risk factors that potentially can be modified (Howard, Piot, & Stein, 2014). For instance, individual, family, or group-based therapy lessen their distress levels, which in some studies have been shown to be beneficial for the offspring in infancy (e.g., Howard, Molyneaux, et al., 2014; Milgrom et al., 2015). The potential social and economic returns on investment are substantial, since 15% of pregnant women experience a depression or anxiety disorder (Bauer, Parsonage, Knapp, Iemmi, & Adelaja, 2014) and up to 30% experience high stress during pregnancy (Loomans et al., 2013),

which may be related for instance to job strain, marital problems, death of a child, or other relative. In a recent UK report, the estimated costs, related to three major perinatal mental health conditions (i.e., depression, anxiety and psychosis), are equivalent to about £10,000 for every singly birth in the country; 72% of these costs were related to adverse impacts on the child rather than the mother. These costs indicate the potential benefits of intervention in the perinatal life period. The costs of extra provision to bring perinatal mental health care up to the level of standards recommended in national guide was estimated at £400 per average birth (Bauer et al., 2014). The authors argue that “even a relatively modest improvement in outcome as a result of better services would be sufficient to justify the additional spending on value for money grounds” (Bauer et al., 2014, p.5). Knowledge that is gathered by prenatal stress research provides input to make recommendations to public health policy makers and health professionals. Yet, to understand who to target and how to design effective interventions that tackle effects of maternal stress/anxiety/depression in pregnancy, much more knowledge is needed about timing effects, mechanisms, and moderating factors.

Figure captions

Figure 1: There are multiple magnetic resonance imaging (MRI) based measures to characterize structural and function changes of specific brain regions. Structural analysis uses the image contrast to classify the major tissue (GM, gray matter; WM, white matter; CSF, cerebrospinal fluid), maps the individual brain to a common template (spatial normalization) and analyze volume, thickness, area, folding, or intensity changes. Surface-based analysis allows: (i) separating cortical GM into area and thickness especially in case of thickness reduction and simultaneous area enlargement (Winkler et al., 2009), (ii) the estimation of the GM myelination degree (Billiet et al., 2015; Robinson et al., 2014), and (iii) the cortical folding that is expected to describe the properties of its early development *in utero* (Budday et al., 2015; Tallinen et al., 2016). Surface-based processing further allows improved normalization and smoothing that is typically required for statistical analysis (Ashburner & Friston, 2000). To measure WM changes diffusion MRI (dMRI) allows identifying of fiber properties. dMRI but also functional MRI (fMRI) can further be used to reconstruct structural dMRI and functional fMRI networks (connectomes) to understand the connectivity of different brain areas. Figure 2 A, B:

Illustration of brain development and aging process over lifetime, with focus on the prenatal life period. One of the essential times in development is the apial creation of neurons and their migration to the pial regions where they build the cortical GM layer. This immense tangential and radial grow cause folding of larger gyri and sulci between gw 24 and 34 (Budday et al., 2015). The myelination begins significantly after birth and ends after decades. The local folding (bending and buckling) compress and stretch the cortical layers by keeping the volumes

of each layer of the imaginary cortical columnar units A, B, and C (see Figure A) relatively similar (Van Essen & Maunsell, 1980).

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A Cortical folding

B Illustration of development and aging