Pectin influences the kinetics of in vitro lipid digestion in oil-in-water emulsions Verkempinck, S.H.E.^{1*}, Salvia-Trujillo, L.^{1/2}, Denis, S.¹, Van Loey, A.M.¹, Hendrickx, M.E.¹, Grauwet, T.^{1**} ¹Laboratory of Food Technology and Leuven Food Science and Nutrition Research Centre (LFoRCe), Department of Microbial and Molecular Systems (M²S), KU Leuven, Kasteelpark Arenberg 22, PB 2457, 3001, Leuven, Belgium ² Food Technology Department, University of Lleida, Rovira Roure 191, 25198 Lleida, Spain **Running title:** Effect of pectin on lipolysis kinetics Author's email addresses: Verkempinck, S.H.E.: sarah.verkempinck@kuleuven.be Salvia-Trujillo, L.: lsalvia@tecal.udl.cat Denis, S.: denis.sofie@gmail.com Van Loey, A.M.: ann.vanloey@kuleuven.be Hendrickx, M.E.: marceg.hendrickx@kuleuven.be Grauwet, T.: tara.grauwet@kuleuven.be

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33 Abstract

34

35 with Tween 80 (TW), phosphatidylcholine (PC), citrus pectin (CP) or a combination of these 36 emulsifiers. Additionally, the methylesterification degree (DM) of citrus pectin was modified, 37 resulting in three different studied pectin structures: CP82, CP38 and CP10. All initial 38 emulsions presented small initial oil droplet sizes and were submitted to an in vitro simulated 39 gastric and small intestinal phase. The latter was executed in a kinetic way to determine the 40 time dependency of the lipolysis reaction, micelle formation and carotenoid bioaccessibility. 41 The results showed that the pectin DM mainly influenced the reaction rate constants, while 42 the emulsifier (combination) determined the extent of lipolysis and carotenoid 43 bioaccessibility. Moreover, a direct relation was observed between the lipolysis reaction and 44 bioaccessibility extent. The presented study showed that targeted emulsion design can be used to tailor lipid digestion kinetics. 45 46 Keywords: citrus pectin; emulsion; in vitro digestion; lipolysis; carotenoids. 47 48 49 Chemical compounds: Tween 80 (PubChem CID: 5281955); Phosphatidylcholine 50 (PubChem CID: 16219824); Lipase (PubChem CID: 54603431); Bile salt (PubChem CID: 51 439520); Calcium (PubChem CID: 5460341); α-carotene (PubChem CID: 4369188); β-

Oil-in-water emulsions were prepared with 5% (w/v) carrot-enriched olive oil and stabilized

52 carotene (PubChem CID: 5280489).

53

55 Abbreviation list:

- 56 BAC: bioaccessibility
- 57 CP: citrus pectin
- 58 CP82; CP38 or CP10: citrus pectin with a methylesterification degree of 82%, 38% and 10%,
- 59 respectively
- 60 CP82 emulsion: 5% (w/v) olive oil-in-water emulsion stabilized with 1% (w/v) citrus pectin
- 61 with a methylesterification degree of 82%
- 62 CP38 emulsion: 5% (w/v) olive oil-in-water emulsion stabilized with 1% (w/v) citrus pectin
- 63 with a methylesterification degree of 38%
- 64 CP10 emulsion: 5% (w/v) olive oil-in-water emulsion stabilized with 1% (w/v) citrus pectin
- 65 with a methylesterification degree of 10%
- 66 DAG: diacylglycerol
- 67 DM: methylesterification degree
- 68 FFA: free fatty acids
- 69 GLY: glycerol
- 70 HMP: high methoxylated pectin
- 71 JCR: joint confidence region
- 72 LMP: low methoxylated pectin
- 73 MAG: monoacylglycerol
- 74 MMP: medium methoxylated pectin
- 75 o/w emulsion: oil-in-water emulsion
- 76 PC: Phosphatidylcholine

77	PC emulsion: 5	5% (w/v)	olive oil-in-water	[•] emulsion	stabilized wit	h 1%	(w/v)
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78 phosphatidylcholine

79	PCCP82 emulsion: 5%	(w/v) olive oil-in-water	emulsion stabilized	zed with	1%	(w/v))
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- 80 phosphatidylcholine and 1% (w/v) citrus pectin with a methylesterification degree of 82%
- 81 PCCP38 emulsion: 5% (w/v) olive oil-in-water emulsion stabilized with 1% (w/v)
- 82 phosphatidylcholine and 1% (w/v) citrus pectin with a methylesterification degree of 38%
- 83 PCCP10 emulsion: 5% (w/v) olive oil-in-water emulsion stabilized with 1% (w/v)
- 84 phosphatidylcholine and 1% (w/v) citrus pectin with a methylesterification degree of 10%
- 85 TAG: triacylglycerol
- 86 TW: Tween 80
- 87 TW emulsion: 5% (w/v) olive oil-in-water emulsion stabilized with 0.5% (w/v) Tween 80

88 TWCP82 emulsion: 5% (w/v) olive oil-in-water emulsion stabilized with 0.5% (w/v) Tween

- 89 80 and 1% (w/v) citrus pectin with a methylesterification degree of 82%
- 90 TWCP38 emulsion: 5% (w/v) olive oil-in-water emulsion stabilized with 0.5% (w/v) Tween
- 91 80 and 1% (w/v) citrus pectin with a methylesterification degree of 38%
- 92 TWCP10 emulsion: 5% (w/v) olive oil-in-water emulsion stabilized with 0.5% (w/v) Tween
- 93 80 and 1% (w/v) citrus pectin with a methylesterification degree of 10%
- 94

95 **1 Introduction**

96 Lipids are the macronutrients with the highest energy density, which deliver essential fatty 97 acids and can be carriers of lipophilic micronutrients, such as carotenoids (Golding & 98 Wooster, 2010). In the human diet, lipids are frequently consumed as oil-in-water (o/w) 99 emulsions, such as soups and sauces, being natural sources of fibers and micronutrients. Lipid 100 digestion predominantly occurs in the small intestine, where triacylglycerol (TAG) can be 101 hydrolyzed by pancreatic lipase into diacylglycerol (DAG), monoacylglycerol (MAG), free 102 fatty acids (FFA) and glycerol (GLY). Subsequently, these lipid digestion products form 103 mixed micelles together with bile salts excreted from the liver. These mixed micelles are 104 amphiphilic structures which can incorporate lipophilic components in the core and easily migrate in the aqueous intestinal environment towards the intestinal mucosa (McClements & 105 106 Decker, 2009). The fraction of ingested lipids and lipophilic components which are 107 micellarized and consequently are available for absorption into the blood, is called the 'bioaccessible' fraction. 108

109 Recently, digestion studies were more focusing on controlling the lipid digestion rate as it 110 plays an important role in satiety control, which in turn is related to food intake and diseases 111 of nutritional excess (Ohlsson et al., 2014). Previous research has shown that dietary fibers, 112 such as chitosan, cellulose, guar gum and pectin, may affect lipid digestion based on analysis 113 of FFA release (Pasquier et al., 1996; Mun et al., 2006; Hur et al., 2013; Espinal-Ruiz et al., 114 2014a). It can be postulated that by increasing the intake of natural fibers, the lipid digestion 115 mechanism can be modified and subsequently lipid intake can be controlled. In addition, lipid 116 digestion is strongly related to the uptake of lipophilic carotenoids (Borel et al., 1996; Deming 117 & Erdman, 1999), so modulating the lipolysis extent might also have influence carotenoid 118 uptake. Therefore, the effect of the presence of fibers in food systems must be investigated on 119 the kinetics of both lipid digestion as well as carotenoid bioaccessibility.

120 Pectin is one of the most abundant fibers present in the primary cell wall and middle lamella 121 of all higher plants (Willats et al., 2001). It is a diverse group of polysaccharides rich in 122 galacturonic acid (GalA) units which recently has shown emulsifying and emulsion-123 stabilizing potential (Schmidt, Schwab, & Schuchmann, 2017). Since pectin is a natural 124 ingredient, it can be interesting to explore its role as emulsifier or when it is present in the 125 aqueous phase of o/w emulsions on the lipolysis kinetics. It is known that the properties of the 126 oil droplet, oil-water interface and surrounding medium can have a major effect on the 127 lipolysis kinetics. In this context, it was proven that initial small oil droplet sizes led to a faster and higher lipolysis than larger initial oil droplets (Salvia-Trujillo et al., 2017). Not only 128 129 initial small oil droplets are of importance, but also the stability of these oil droplets along the 130 simulated digestive tract, which can be influenced by the emulsifier type used for emulsion 131 stabilization. The emulsion stability during digestion will determine the available surface area 132 for lipase adsorption in the small intestinal phase and the subsequent lipolysis kinetics 133 (Verkempinck et al., 2018b). However, little is known about the specific influence of the 134 presence of pectin in o/w emulsions on the kinetics of lipid digestion and carotenoid 135 bioaccessibility. In this sense, pectin can be added in emulsions, being present at the oil-water 136 interface or pectin can be located in the aqueous phase of these o/w emulsions. In addition, the structural characteristics of these pectin structures might play an important role during 137 138 digestion. For example, the methylesterification degree of pectin influences its charge density 139 (Celus et al., 2018) and interactions with different components during digestion (such as 140 calcium, lipase, bile salts and lipophilic components) (Tsujita et al., 2007; McClements, 141 Decker, & Park, 2008; Verrijssen et al., 2014; Espinal-Ruiz et al., 2014b). Therefore, the 142 presented work, explored the effect of the presence of citrus pectin with different 143 methylesterification degree in an emulsified system on the lipid digestion, using the kinetic 144 digestion approach presented by Verkempinck et al. (2018a). Moreover, not only the effect of

145 citrus pectin as mono-emulsifier was evaluated, but also the combination of citrus pectin and a 146 conventional emulsifier stabilizing o/w emulsions was studied. Consequently, the link 147 between lipid digestion and carotenoid bioaccessibility can be quantitatively proven. This 148 allows to evaluate the proposed hypothesis throughout the whole digestion process based on 149 the resulting kinetic parameters. So far in this research field, lipid digestion is mostly studied 150 by evaluation of FFA release in the small intestinal phase. By contrast, this study aimed to quantify multiple lipid digestion species, namely TAG, DAG, MAG and FFA, both in the 151 152 digest as well as in the micellar fraction. The obtained results can eventually contribute to the 153 development of predictive mathematical (in silico) models needed for simulation of lipid 154 digestion of particular food products and to create products for specific consumer groups.

155 2 Material and methods

156 Carotenoid enriched oil-in-water (o/w) emulsions were formulated with different emulsifiers 157 (Tween 80, phosphatidylcholine or citrus pectin) or combinations of emulsifiers (section 2.4). 158 Emulsions stabilized with one emulsifier type will be called 'mono-emulsifier emulsions', 159 while emulsions stabilized with pectin and another emulsifier will be called 'di-emulsifier 160 emulsions'. More specifically, the effect of pectin present at the oil-water interface or in the 161 aqueous phase of o/w emulsions on the kinetics of lipid digestion and carotenoid bioaccessibility was studied. Therefore, all emulsions were in vitro digested by simulating a 162 163 gastric and small intestinal phase (section 2.5). The latter was performed using a kinetic 164 approach to evaluate the time dependency of the lipolysis reaction and micellarization of multiple lipolysis products and carotenoids. Digested emulsions will be further referred to as 165 166 'digest'. All digests were ultracentrifuged to harvest the aqueous, 'micellar fraction' 167 containing the bioaccessible lipid species and carotenoids. Initial emulsions, digests and micellar fractions were characterized in terms of lipid and carotenoid content (section 2.7 and 168 169 2.8). Additionally, the particle charge and size of the initial emulsion, after the gastric phase 170 (chyme) and after 2 hours of small intestinal phase (digestion end point) were determined to 171 evaluate the behavior of the oil droplets during gastrointestinal conditions (section 2.6).

172 2.1 Materials

Orange carrots (*Daucus carota* cv. Nerac) were bought in a local shop and stored at 4 °C until
use. Olive oil was purchased in a local shop. Citrus pectin (CP), Tween 80 (TW) and
phosphatidylcholine (PC) were obtained from Sigma Aldrich (Diegem, Belgium). All used
chemicals and reagents were of analytical or HPLC-grade and were purchased from Sigma
Aldrich (Diegem, Belgium) except for KCl, MgCl₂(H₂O)₆, NaOH, heptane, methanol, methyltert-butyl-ether and ethyl acetate (Acros Organics, Geel, Belgium); KH₂PO₄; NaHCO₃, NaCl,

179 H₂SO₄, ethanol, acetone and trimethylamine (Fisher Scientific, Merelbeke, Belgium); HCl,

180 diethylether and iso-propanol (VWR, Leuven, Belgium); acetone (Carlo Erba, Val-de-Reuil,

181 France); CaCl₂(H₂O)₂ (Chem-Lab, Zedelgem, Belgium) and lipid standards (Larodan, Solna,

182 Sweden). Sample preparations were performed with reagent water (organic free, $18.2 \text{ M}\Omega \text{ cm}$

183 resistance), supplied by a Simplicity[™] 150 water purification system (Millipore, Billerica,

184 USA).

185 **2.2 Pectin preparation**

High methylesterified CP (HMP) was enzymatically demethylesterified according to the
procedure described by Ngouémazong et al. (2011). It was opted use citrus pectin as study
vehicle since it is commercially available and has a linear structure, facilitating the
interpretation of the obtained data and attributing that to differences in degree of
methylesterification only.

191 Briefly, 0.8% (w/v) HMP was incubated with purified extract of carrot pectin methylesterase 192 (PME) at 30 °C for different time periods, resulting in CP with a medium and low degree of methylesterification (DM) (MMP and LMP, respectively). A thermal treatment was used to 193 194 inactivate PME (4 min, 85 °C) and was followed by a 48-hour dialysis to remove present ions 195 (Spectra/Por[®], Molecular weight cut-off = 12-14 kDa). In a final step, pectin samples were 196 lyophilized (Christ Alpha 2-4 LSC, Germany) and stored in a desiccator at room temperature 197 until use. Fourier transform infra-red spectroscopy (IRAffinity-1, Shimadzu, Japan) was used 198 to determine the pectin DM, according to the method described by Kyomugasho et al. (2015). 199 The resulting values for the DM of HMP, MMP and LMP were 82.2 % (\pm 1.2), 38.3 % (\pm 0.9) 200 and 10.4 % (\pm 1.0), respectively and these pectin structures will be further indicated as CP82, 201 CP38 and CP10. In addition, the molecular weight was determined, following the procedure 202 of Shpigelman et al. (2014) with High Performance Size Exclusion Chromatography. For

203 CP82, CP38 and CP10, a molecular weight was obtained of 58.7 kDa (\pm 1.3), 54.5 kDa (\pm

204 2.0) and 54.1 kDa (\pm 1.2), respectively, showing that the enzymatic demethylesterification

205 reaction had no significant impact on the molecular weight of the pectin structures. The

206 concentration distribution as function of elution time and the average molecular weights of the

207 different pectin samples is shown in Figure A in supplementary material.

208 **2.3 Preparation of carrot-enriched olive oil**

209 Olive oil was enriched with carotenoids from carrot puree according to the method described 210 by Mutsokoti et al. (2015). Carrots were peeled, cut into small pieces (1 x 1 cm) and mixed 211 with demineralized water (1:1) for 1 minute with a kitchen blender (Warington Commercial, 212 Torrington, CT, USA). Carotenoids were released by disrupting their cellular entrapment 213 through a high pressure homogenization step at 100 MPa (Pony NS2006L, Gea Niro Soavi, 214 Düsseldorf, Germany). Olive oil was added (1:5 w/w) and subsequently a blending (1 minute) 215 and a homogenization step (100 MPa, 1 cycle) were performed to allow carotenoid migration 216 from the natural plant matrix into the oil phase. After centrifugation (Optima XPN-80 217 Ultracentrifuge, Beckman Coulter, Fullerton, CA, USA) with a speed of 165000 g at 4 °C, the carotenoid-enriched olive oil was collected and stored at -80 °C, protected from light and 218 219 oxygen. The concentration of α - and β -carotene in the obtained enriched oil were 86.3 ± 6.7 220 and $209.2 \pm 16.1 \,\mu g$ per g of enriched oil, respectively. It was explicitly chosen to incorporate 221 carotenoids from a food matrix into the olive oil, rather than using pure carotenoids since in 222 this way a real food system could be approached whereby carotenoids might already be 223 transferred to the oil phase during food preparation prior to digestion.

224 **2.4 Oil-in-water emulsion preparation**

First, coarse o/w emulsions were prepared by mixing 5% carrot-enriched oil (w/v) for 10
minutes at 9500 rpm (Ultra-Turrax T25, IKA, Staufen, Germany) to an emulsifier-containing

227 aqueous phase. The aqueous phase consisted of a mono-emulsifier solution of 0.5% w/v 228 Tween 80 (TW), 1% w/v CP or 1% w/v PC or a di-emulsifier solution of 1% w/v CP mixed 229 with 0.5% w/v TW or 1% w/v PC. A minimum emulsifier amount was used to create stable 230 emulsions. The coarse emulsion was kinetically stabilized with high pressure homogenization 231 at 100 MPa for 1 cycle (Pressure Cell Homogenizer, Stansted Fluid Power LTD., UK). 232 Hereafter, the acidity of all emulsions was adjusted to pH 3 as a preliminar study showed that 233 the emulsions were more stable at low pH (data not shown). All emulsions were stored at 4 234 °C, protected from light and oxygen for maximally 5 days, being the period in which the emulsions were stable in terms of particle size (data not shown). 'TWCP82' is the 235 abbreviation used to refer to an emulsion stabilized with 0.5% Tween 80 and 1% citrus pectin 236 with a DM of 82. In total, 11 different emulsions were prepared and studied, being referred to 237 as TW; CP82; CP38; CP10; TWCP82; TWCP38; TWCP10; PC; PCCP82; PCCP38 and 238 239 PCCP10. TW; CP82; CP38; CP10; PC are the 'mono-emulsifier emulsions', while the TWCP82; TWCP38; TWCP10; PCCP82; PCCP38 and PCCP10 emulsions will be referred to 240 241 as 'di-emulsifier emulsions'.

242 2.5 In vitro digestion

243 A static *in vitro* digestion procedure was used to investigate the isolated effect of time on 244 lipolysis, micelle formation and carotenoid bioaccessibility. Therefore, the consensus method 245 described by Minekus et al. (2014) as adjusted by Verkempinck et al. (2018a) was applied. 246 Briefly, the gastric phase was simulated by mixing 5 mL of o/w emulsion and 5 mL of reagent 247 water in dark falcon tubes. Subsequently, 7.5 mL simulated gastric fluid, 5 µL 248 calciumchloride (0.3M); 1.6 mL pepsin solution (2000 U/mL in final chyme); 889 µL reagent 249 water and $6 \,\mu L \,HCl \,(2M)$ (to adjust the pH to 3) were added. The tubes headspace was 250 flushed with nitrogen, whereafter the chyme was rotated end-over-end (40 rpm) for 2 hours at 251 37 °C. Hereafter, the small intestinal phase was mimicked by adding 11 mL simulated

252 intestinal fluid, 40 µl calciumchloride (0.3M); 1.46 mL demineralized water; 2.5 mL of bile 253 solution (10 mM in final digest) and 5 mL of pancreatic solution to 10 mL of chyme. All 254 intestinal fluids were tempered at 37 °C to avoid temperature differences in the digest. The 255 pancreatic solution contained both pancreatin (100 U/mL based on trypsin activity in final 256 digest) and porcine pancreas lipase (to obtain 200 U/mL in the final digest). Furthermore, 257 carotenoid isomerization and degradation during the gastrointestinal conditions was 258 minimized by addition of pyrogallol (0.6% w/v) and α -tocopherol (1.4% w/v) (Lemmens et 259 al., 2011). Nitrogen gas was used to flush the headspaces of the tubes and these tubes were 260 subsequently rotated end-over-end (40 rpm) at 37 °C. The digestion of each emulsion was characterized for seven consecutive time moments (0-120 minutes) to study the time effect on 261 the lipolysis reaction and the micellarization of multiple lipid digestion products and 262 263 carotenoids. For each time moment, an individual digestion tube was used, resulting in seven 264 independent replications of the simulated digestion process. To inactivate lipase at a particular 265 digestion time in the *in vitro* small intestinal phase, the digest was subjected to a thermal 266 treatment (10 min, 85 °C) and hereafter immediately cooled to 4 °C. The micellar fraction 267 was separated from other components (e.g. enzyme residues and undigested oil) after ultracentrifugation at 165000 g for 68 minutes at 4 °C (Optima XPN-80 Ultracentrifuge, 268 269 Beckman Coulter, Fullerton, CA, USA). The aqueous, micellar phase was collected, filtered 270 (Chromafil PET filter, 0.2 µm pore size, 25 mm diameter) and analyzed in terms of lipid 271 composition and carotenoid content.

The lipase activity used in the present study was reduced in comparison to the amount
suggested by the consensus method of Minekus et al. (2014) (2000 U/mL), but was
comparable with the amount used in other, recent digestion studies (63-550 U/mL) (Sarkar et
al., 2016; O'Sullivan et al., 2017; Lin & Wright, 2017). However, as explained in a previous
study of Verkempinck et al. (2018b), all (emulsified) oil droplets were covered with lipase as

the added amount per digestion tube was still in excess. It must be noted that the obtained results might not be considered for *in vivo* human digestion predictions directly, but do gain more mechanistic insight into the lipolysis reactions.

280 **2.6 Physicochemical properties during digestion**

281 2.6.1 Particle electrical charge

The oil droplets ζ-potential was measured using a dynamic light scattering electrophoresis
equipment (Zetasizer NanoZS, Malvern Instruments, Worcestershire, UK). Emulsion, chyme
or digest at the digestion end point was diluted 1:100 prior to analysis with reagent water (pH
3), SGF or SIF, respectively. Subsequently, the sample was transferred into a capillary cell
equipped with two electrodes to measure the ζ-potential of the studied oil droplets.

287 2.6.2 Particle size distribution and microstructure

288 Particles size distributions were measured of all initial emulsions, after 2 hours of gastric 289 phase and after 2 hours of intestinal phase, using a laser diffraction equipment (Beckman 290 Coulter Inc., LS 13 320, Miami, Florida, USA) as mentioned in previous work (Verkempinck 291 et al., 2018b). The reported particle sizes are volume-weighted median sizes (D[v;0.5] value), 292 defined as the particle diameter at which 50% of the particles are smaller than this size. 293 Volume-weighted particle sizes are a more sensitive indicator for instability of emulsions in 294 comparison with surface-weighted particle sizes, as the former largely increases with the 295 presence of a limited number of large particles. In this way, the volume-weighted particle size 296 can be an indicator for possible destabilization phenomena occurring along the digestive tract. 297 Complementary, microstructures after the gastric phase and at the digestion end point were 298 visualized by differential interference contrast microscopy (Olympus BX41, Olympus 299 Corporation, Tokyo, Japan) equipped with a digital camera (Olympus BX51, Olympus 300 Optical Co. LTD., Tokyo, Japan).

301 **2.7 Quantitative analysis of multiple lipid digestion products**

Lipid extraction and analysis was exactly executed as described by Verkempinck et al. 302 303 (2018b). The approach described by Verkempinck et al. (2018b) was used for analysis of the 304 obtained lipid data. Stoichiometric reactions and mass balances were used to calculate the 305 percentage of digested TAG at each time moment in the small intestine (%) and will be 306 referred to as 'lipolysis extent'. This was based on the difference between the TAG amount in 307 the emulsion (analytical value) and the sum of multiple lipolysis products released in the 308 small intestine (MAG+FFA+GLY-H₂O). MAG and FFA (analytical values) will be expressed 309 in concentrations (mg/g_{emulsion}). It must be noted that DAG were detected, but below the 310 quantification limit and were therefore not taken into account in the data analysis.

311 **2.8 Carotenoid analysis**

312 Carotenoids were extracted and quantified by exactly performing the procedure described 313 before by Verkempinck et al. (2018a). Carotenoid identification and quantification was done 314 at the wavelength of maximal absorption for α - and β -carotene (450 nm). *In vitro* carotenoid 315 bioaccessibility (BAC) is expressed as a percentage value and is defined as the ratio of 316 carotenoid amount incorporated into the micelle fraction to the initial carotenoid amount 317 present in the emulsion, both expressed per g initial emulsion.

318 2.9 Statistical analysis

319 The statistical software programs JMP (JMP Pro13, SAS Institute Inc., Cary, NC, USA) and

320 SAS (version 9.4, SAS Institute Inc., Cary, NC, USA) were used to perform all statistical

321 analysis. Significant differences in particles electrical charge and sizes were conducted by one

322 way ANOVA and the Tukey's Studentized Range Post-hoc test with a 95% level of

- 323 significance (P<0.05). All particle charge and size measurements were performed in
- 324 duplicate.

325 Nonlinear regression was used to model the experimental data obtained through the kinetic 326 study for lipid digestion and carotenoid bioaccessibility. The empirical, most simple model 327 best describing the experimental data was selected using model discrimination. As described 328 before by Verkempinck et al. (2018b), a fractional conversion model was chosen and is 329 characterized by a first order reaction until attainment of a plateau value (equation 1). Hereby 330 C(%) is the studied parameter at time t in the simulated intestinal phase; $C_f(\%)$ is the final, 331 maximum of the studied parameter that can be obtained with the imposed conditions; C_0 (%) 332 is the initial studied parameter at time 0 in the simulated intestinal phase; $k (\min^{-1})$ is the 333 reaction rate constant of the studied process and t (min) is time in the simulated intestinal phase. The TAG concentration at the start of the simulated intestinal phase was assumed to be 334 equal to the TAG concentration present in the initial emulsion. 335

336
$$C = C_f + (C_0 - C_f) \cdot e^{(-kt)} \qquad (\text{equation 1})$$

This fractional conversion model could be simplified as lipid digestion at the start of the simulated intestinal phase could be neglected (equation 2). Therefore, the concentrations of MAG; FFA and GLY, and carotenoid concentration in the micellar fraction were assumed to be zero ($C_0=0$) at this initial stage of digestion.

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341 $C = C_f \cdot (1 - e^{(-kt)})$ (equation 2)

The jointly estimated parameters (C_f and k) were determined and visualized by calculating the 90% joint confidence regions (JCRs). If regions of different samples overlap, it could be stated that the overall kinetics of these samples are not significantly different.

345 **3 Results and discussion**

346 Different carrot-enriched o/w emulsions were submitted to an *in vitro* digestion procedure,

347 consisting on a simulated gastric and small intestinal phase. The emulsions were stabilized

- 348 with different (i) mono-emulsifier types (Tween 80 (TW); phosphatidylcholine (PC) or citrus
- 349 pectin (CP)) or (ii) di-emulsifier solutions (TWCP or PCCP) to evaluate the role of the pectin
- 350 fiber in both the lipolysis as well as the carotenoid bioaccessibility reaction. The intestinal
- 351 phase was studied in a kinetic way in order to gain more mechanistic insight in the process of
- 352 lipolysis and micellarization of multiple lipid digestion products and carotenoids (sections 3.2
- and 3.3). Particles electrical charge and size were determined during digestion as the different
- 354 emulsifier types and combinations might have a specific influence on the emulsion behavior
- under simulated gastrointestinal conditions (sections 3.1.1 and 3.1.2, respectively).

356 **3.1 Evolution of physicochemical changes during** *in vitro* **digestion**

357 3.1.1 Particle electrical charge

The particle electrical charge was measured for the emulsion, after the gastric phase and at the small intestinal digestion end point. This can provide more information about the organization of the emulsifier(s) at the oil-water interface and the phenomena that occur during simulated gastrointestinal conditions. The obtained particle charges are shown in **Table 1**.

362 The ζ -potential of all initial emulsions with a pH of 3 varied between 3 and -31 mV

depending on the emulsifier type and combination used (**Table 1**). The emulsion stabilized

364 with the non-ionic TW had an initial ζ -potential of -7.84 ± 1.19 mV. These negative charges

- 365 might be attributed to the presence of impurities in the oil phase (e.g. free fatty acids; FFA) or
- 366 the preferential adsorption of hydroxyl ions from the continuous phase to the hydrophilic head
- 367 of the surfactant (McClements, 2016). By contrast, the PC emulsion was slightly positively
- 368 charged (2.43 ± 0.24 mV), which could be explained by the shielding of the negatively

369 charged phosphate groups by hydrogen ions at low pH (Lin et al., 2014). The ζ-potential of 370 the CP emulsions was significantly influenced by the pectin DM and was more negative for 371 CP10 compared to CP38 and CP82. This was attributed to the presence of more negatively 372 chargeable carboxylic groups in LMP compared to MMP and HMP, even at low pH. By 373 contrast, the TWCP emulsions had similar charges as the TW emulsion (Table 1) and no 374 effect of DM was observed. Therefore, it could be hypothesized that the small molecule surfactant TW (± 1200-1350 Da) adsorbed much faster at the oil-water interface than the large 375 376 pectin structures (± 55000 Da). Consequently, the latter remained in the aqueous phase and 377 did not attribute to the particle electrical charge. Oppositely, the charge of the PCCP emulsions showed an effect of pectin DM, being more negative when LMP was used for 378 379 emulsion stabilization in comparison with MMP or HMP. In the case of these PCCP 380 emulsions, probably both PC and CP adsorbed at the interface. More specifically, it can be 381 postulated that a multilayer emulsion was formed with first phosphatidylcholine surrounding 382 the oil droplet, followed by the oppositely charged pectin structures. As suggested by Guo et al. (2017), this multilayer emulsion might be more resistant to lipolysis than the monolayer 383 384 emulsions.

After simulation of the gastric phase, an increase in particle charge was measured (**Table 1**). This was attributed to the interactions between the negatively charged oil-water surfaces and the added ions (such as Ca^{2+} , K⁺ and H⁺). In general, similar trends were observed as in the initial emulsions. In this sense, the TW and TWCP emulsions had similar particle charges initially as well as after the gastric phase. The emulsions having CP at the interface (i.e. CP and PCCP emulsions) still showed a more negative charge with lower pectin DM (charge HMP > MMP > LMP).

392 More negatively charged particles were observed in all cases at the end of the intestinal phase393 (Table 1). Among all added fluids used to simulate the small intestinal phase, lipase was

394 responsible for hydrolysis of triacylglycerol (TAG) into diacylglycerol (DAG),

monoacylglycerol (MAG), FFA and glycerol (GLY). According to Singh, Ye & Horne
(2009), the more negative charge could be ascribed to the presence of structures formed
containing bile salts and phospholipids, and as well to FFA release which are negatively
charged at pH 7. An effect of pectin DM was no longer observed in the pectin-containing
samples.

400 3.1.2 Particle size distribution and microstructure

The particle size distribution and microstructure were studied before as well as during
digestion to evaluate the stability of the oil droplets along the simulated digestive tract. The
particle size distributions are shown in Figure 1, while all microstructures are depicted in
Figure B (supplementary material).

405 All initial emulsions had a particle size in the sub-micron range, with median oil droplet sizes 406 between 1.01 and 1.82 µm (Table A, supplementary material). The TW emulsion had the 407 smallest median droplet size $(1.27 \pm 0.10 \,\mu\text{m})$ of all mono-emulsifier emulsions (i.e. 408 emulsions stabilized with one emulsifier type) and the PC emulsion had the largest droplet 409 size $(1.82 \pm 0.07 \,\mu\text{m})$. This might indicate that PC is not that efficient in stabilizing o/w emulsions compared to TW. McClements & Gumus (2016) described that PC is mostly used 410 411 in combination with other emulsifiers, as PC has only an intermediate hydrophobicity. In case 412 of the CP emulsions, the CP38 emulsion had significant smaller oil droplets than the CP82 413 and CP10 emulsions. Similar results were observed by Verrijssen et al. (2015), whereby 414 MMP exhibited smaller oil droplets. This observation was explained by the block-wise 415 organization of the present hydrophilic and hydrophobic galacturonic acid molecules in 416 MMP. TWCP emulsions had smaller particle sizes compared to both the TW and CP 417 emulsion. As was mentioned in section 3.1.1, it was hypothesized that for the TWCP 418 combination, the small molecule TW covered the complete interface, while pectin remained

419 in the aqueous phase. However, the presence of pectin might have created a competitional 420 effect for the interface, whereby TW moved slightly faster to the interface and eventually led 421 to smaller particle sizes for the TWCP emulsions. A similar trend of smaller particle sizes was 422 observed in the di-emulsifier emulsion PCCP, in comparison with their mono-emulsifier 423 emulsions. Additionally, an effect of pectin DM was observed in pectin-containing emulsions. More specifically, slightly smaller oil droplets were created using MMP as emulsifier 424 425 compared to LMP or HMP. It is known that the initial oil droplet size of emulsions is an 426 important characteristic which can have a big impact upon lipolysis kinetics (Zhang et al., 427 2016; Salvia-Trujillo et al., 2017). Nevertheless, the differences in initial oil droplet in the 428 presented study are rather small and the emulsifier structure and organization at the interface 429 might play a more dominating and so crucial role on the emulsions behavior during digestion 430 and subsequently lipolysis kinetics.

431 Simulation of the gastric conditions influenced the particle size of the studied emulsions 432 (Figure 1 and Table A in supplementary material). Two different situations were observed. 433 On the one hand, the particle size distributions of the TW and PCCP emulsions remained 434 similar, while on the other hand bimodal particle size distributions were observed for the 435 TWCP, PC and CP emulsions (Figure 1). TW is known to be an acid stable emulsifier (Marciani et al., 2006; Verkempinck et al., 2018b). However TWCP emulsions were unstable 436 437 in the gastric phase, which could be due to the presence of pectin in the aqueous phase, 438 inducing coalescence and flocculation phenomena. The PCCP combination resulted in acid 439 stable emulsions, which could be explained by the multilayer organization at the oil-water 440 interface, being more resistant to the gastric conditions. By contrast, the emulsions stabilized 441 with PC or CP only were acid unstable. This could be attributed to the addition of ions (such as Ca^{2+} , K^+ and H^+) and consequently shift to more neutral particle charge (**Table 1**) which 442 443 could have compromised the emulsion stability. In case of the acid unstable emulsifier

444 (combination), coalescence phenomena caused the observed particle size increase and were
445 also visualized by microscopic pictures (Figure B, supplementary material).

446 Multimodal particle size distributions were detected at the digestion end point (Figure 1). The 447 first intensity peak (1-3 µm) might be related to the formed micellar fraction and other 448 vesicles, containing bioaccessible lipolysis products and carotenoids. The second main 449 intensity peak (10-30 µm) might be related to the presence of undigested oil. For the TW 450 emulsion, the first intensity peak is more pronounced than the second, which might be related 451 to a relatively high lipolysis extent. In all other cases, the intensity peak related to undigested 452 oil, was equally or more intense than the first peak. In fact, the presence of undigested oil at 453 the digestion end point was also confirmed by microscopic pictures (Figure B, 454 supplementary material). These observations showed that on the one hand the lipolysis

reaction was incomplete for all the emulsions studied, but that on the other hand structures
with sizes of 1-3 μm were present which can contain bioaccessible lipolysis products and
carotenoids.

In conclusion, the emulsions can be divided into two categories: acid stable emulsifier(s) 458 459 (combinations) (i.e. TW and PCCP) and acid unstable emulsifier(s) (combinations) (i.e. 460 TWCP, PC and CP). The increased particle size in the latter case compared to the initial 461 emulsion, might have a direct impact upon lipolysis kinetics as the particle size at the end of 462 the gastric phase determines the available area for digestive enzymes to adsorb in the 463 intestinal phase. At the end of the small intestinal phase, multimodal particle size distributions 464 were observed for all emulsions. The two main intensity peaks can be associated with (i) 465 (colloidal) structures which can contain multiple lipid digestion products and carotenoids or 466 (ii) large, undigested oil droplets.

467 **3.2 Study of lipid digestion kinetics as influenced by the presence of citrus**

468 **pectin**

469 Emulsion lipid digestion mainly occurs in the small intestine, where lipase hydrolyses TAG 470 into DAG, MAG, FFA and GLY. Simultaneously, bile salts remove the formed multiple 471 lipolysis products from the oil interface to build mixed micelles. In the present study, small 472 intestinal lipid digestion of several emulsions was executed in a kinetic way. In this sense, the 473 effect of time on TAG hydrolysis and formation of multiple lipid digestion products in the 474 small intestinal phase can be studied. The obtained experimental data were modelled using 475 nonlinear regression. A fractional conversion model (equation 2) best described the data. It is characterized by a linear increase (k-value) until attainment of a final plateau value (C_f). 476 477 Consequently, differences among the studied emulsions could be quantitatively evaluated. In 478 a next step, the jointly estimated parameters (k and C_t) were used as input for joint confidence 479 region (JCR) (90%) analysis to determine whether these parameters were significantly 480 different among all emulsions studied. The kinetic digestion approach and corresponding data 481 analysis already showed large potential in clarifying differences in lipolysis kinetics and 482 micelle formation in previous studies performed at our research unit (Salvia-Trujillo et al., 483 2017; Verkempinck et al., 2018b). The obtained kinetic data for the small intestinal lipolysis and the mixed micelle formation kinetics will be discussed in sections 3.2.1 and 3.2.2, 484 respectively. 485

486 **3.2.1** Lipid digestion kinetics in the small intestinal phase

In all cases, a steady-state condition was reached within the 2 hours of simulated small
intestinal digestion (Figure 2). However, depending on the emulsifier type and combination
used for emulsion stabilization, major differences were observed regarding (*i*) the rate with
which the steady-state condition was reached and (*ii*) the final concentration of the different
lipid species (Table 2).

492 Evaluating the k-values of the TAG hydrolysis reaction of the pectin-based emulsions (i.e. all 493 emulsions containing pectin) revealed that the pectin DM had a major effect on the reaction 494 rate constants (Table 2). CP10-based emulsions (i.e. CP10; TWCP10 and PCCP10 495 emulsions) reached the steady-state conditions much faster than CP38- or CP82-based 496 emulsions. This suggests that the interface of CP10-based emulsions is of good quality for 497 lipase adsorption and led to a fast lipolysis reaction. This can be attributed to a less compact 498 organization of CP10 at the oil-water interface due to more present charged carboxylic 499 groups, thus creating more inter-molecular repulsive forces and probably leave accessible 500 spaces at the interface. Consequently, the interface can be more easily reached by digestive 501 components. However, LMP is known to interact in a high extent with calcium ions (Espinal-502 Ruiz et al., 2014a). These ions are needed to remove the lipid digestion products from the oil 503 surface. Consequently, it can be hypothesized that in the case of CP10-based emulsions, the 504 lipolysis reaction reached its steady-state condition fast due to a relatively accessible oil-water 505 interface which is thereafter quickly covered by lipolysis products since these were no longer 506 removed by the calcium ions due to pectin-calcium interactions. When combining TW with 507 CP to stabilize an emulsion, an increased k-value of the lipolysis reaction was observed (ranging from 0.092 to 0.253 min⁻¹) in comparison with the TW emulsion (0.086 min⁻¹). An 508 509 opposite trend was observed when comparing the k-values of the PC versus PCCP emulsions 510 as in the case of the PC emulsion, the k-value was 0.233 min^{-1} , while it was ranging from 511 0.052 to 0.147 min⁻¹ for the PCCP di-emulsifier emulsions. For the PCCP emulsion it was 512 assumed that both emulsifier types were adsorbed at the oil-water interface. The presence of 513 pectin at the interface might have led to interactions between pectin and the digestive 514 components, slowing down the TAG hydrolysis. In addition, presence of the large pectin 515 structures at the interface might hinder or retard the adsorption of lipase, bile and calcium at 516 the oil droplet surface.

517 By contrast, the final percentage of digested TAG was mainly determined by the emulsifier 518 (combination) used for emulsion stabilization (Table 2). TW emulsions presented the highest 519 amount of digested TAG (83%), followed by the TWCP (72-77%), PCCP (6.5-7.3%), CP 520 (4.9-5.5%) and PC (4.6%) emulsions. In case of the TWCP di-emulsion, the addition of pectin 521 to the emulsion lowered the lipolysis extent in comparison with the TW mono-emulsion. The 522 data of the particle charge (section 3.1.1) suggested that in case of these TWCP di-emulsions, 523 TW was adsorbed at the oil-water interface, while the CP structures were located in the 524 continuous phase. Consequently, it can be postulated that the CP structures present in the aqueous phase interact with components within the intestinal juices, such as lipase and bile 525 526 salts, inhibiting the lipid digestion extent. A study of Espinal-Ruiz et al. (2014a) evaluated the 527 interactions between pectin and individual components present during digestion and showed that pectin interacts with bile, lipase and calcium. When comparing the lipolysis extent of the 528 529 PC, CP and PCCP emulsions a different trend could be observed. Namely, the combination of 530 PC and CP at the interface improved the lipolysis extent in comparison with the mono-531 emulsifier emulsions. This could be attributed to presence of a double layer in the PCCP 532 emulsions, improving the gastric stability. The PC and CP emulsions, unlike the PCCP emulsions, were unstable under gastric conditions (section 3.1.2). Consequently, coalescence 533 534 phenomena occurred, resulting in oil droplets with a lower active surface area at the beginning 535 of the small intestinal phase in case of the PC and CP emulsions.

536 Significant differences in the correlated parameters among all emulsions were visualized by 537 JCR analysis, depicted in **Figure C in supplementary material**. These results confirm the 538 observations described above: the *k*-values of the TAG hydrolysis reaction are predominantly 539 determined by the DM of the present CP structures, while the final digested TAG percentages 540 were mainly affected by the emulsifier (combination) used for emulsion formulation. 541 It could also be observed that the TAG hydrolysis was incomplete in all cases (Table 2). In 542 case of the acid unstable emulsions (section 3.1.2), this could be partially attributed to the 543 increased particle size at the end of the gastric phase. Consequently, a lower active surface 544 area is available for lipase to adsorb. Similar observations were conducted in a study of 545 Salvia-Trujillo (2017), showing that emulsions with large emulsified oil droplet sizes (≈ 15 µm) were digested in a lower extent compared to emulsions with small emulsified oil droplet 546 547 sizes ($\approx 2 \,\mu$ m). The lipolysis reaction was also incomplete for acid stable emulsions. It can be 548 hypothesized that in the case where pectin is adsorbed at the oil-water interface (i.e. CP and 549 PCCP emulsions), pectin can inhibit the adsorption of digestive components (such as lipase, bile and calcium). As a result, these digestive components can no longer fulfill their function, 550 551 leading to a diminished TAG hydrolysis reaction. Moreover, in the case of the PCCP 552 emulsions, it was postulated that a multilayer emulsions was formed, which is assumed to be 553 more resistant to lipase hydrolysis (section 3.1.1) (Guo et al., 2017). From Table 2, it can also 554 be observed that emulsions containing CP82 led to a lower lipolysis extent in comparison to 555 emulsions composed with CP10. The more hydrophobic HMP is known to interact in a higher 556 extent with lipase, bile and phospholipids, in comparison with the more hydrophilic MMP and 557 LMP (Tsujita et al., 2007; Espinal-Ruiz et al., 2014b) which might have diminished the 558 lipolysis extent of the CP82-based emulsions.

In addition, it could be noted that the emulsions where pectin is located at the interface, present a relatively low TAG hydrolysis extent. Consequently, it can be stated that the presence of pectin at the oil-water interface has a negative effect on the TAG hydrolysis extent. By contrast, the emulsions stabilized by TW showed a more complete lipolysis reaction so the presence of pectin structures in the continuous aqueous phase does not significantly change the lipolysis reaction extent (**Figure C1, supplementary material**).

By digesting TAG molecules, a simultaneous release of MAG and FFA in the digest was 565 566 detected (Figure 2). It must be noted that DAG were detected but below the quantification 567 limit so were not taken into account in the discussion. GLY concentrations present in the 568 digest were relatively low and no time effect could be observed, so these observations were 569 omitted from the data analysis. Regarding the kinetics of MAG and FFA, similar observations 570 were conducted as in the TAG hydrolysis reaction. In this sense, the release rate constant was 571 mainly influenced by the pectin DM, while the extent of release MAG and FFA was 572 determined by the emulsifier(s) used for emulsion stabilization (Figure 2, Table 2 and 573 Figure C2 and C3 in supplementary material). Additionally, for all pectin containing 574 emulsions, higher k-values were observed for MAG release in comparison to FFA release. In other words, the steady-state condition was reached slower for FFA release. This might be 575 576 attributed to a further conversion of MAG into FFA and GLY (Mu & Høy, 2004; Tokle, Mao, 577 & McClements, 2013).

In conclusion, it can be stated that the correlated parameters k and C_f can be influenced by different emulsion characteristics. More specifically, k-values were mainly influenced by the pectin DM, while the extent of TAG hydrolysis and subsequent FFA and MAG release was determined by the emulsifier type and combination present in the emulsion. These results also showed that specific emulsion characteristics might be selected with the aim of tailoring lipid digestion kinetics.

584 **3.2.2** Composition and formation kinetics of the micellar fraction

585 The hydrolysis of TAG molecules led to the release of MAG and FFA in the digest and a 586 simultaneous micellarization of these lipid digestion products could be observed. This is in 587 line with the mechanism of lipid digestion and micelle formation described in literature (Mun, 588 Decker, & McClements, 2007; Singh, Ye, & Horne, 2009). The micellarization kinetics were strongly related to the kinetics of FFA and MAG release in the digest (section 3.2.1) and aresummarized below.

591 Similarly to the observations in the digest, the reaction rate constant k for MAG and FFA 592 micellarization was mainly effected by the pectin DM (Table 2). In most cases, the k-values 593 for TAG hydrolysis, MAG and FFA release in the digest were of the same magnitude for a 594 certain emulsion type, showing that the lipolysis reaction and ensuing release of MAG and 595 FFA are not the rate limiting steps in the lipid digestion process. The final concentration lipid 596 digestion products present in the micellar fraction was predominantly determined by the 597 emulsifier (combination) used for emulsion stabilization as was also observed for the lipolysis 598 reaction. It can be stated that the presence of pectin in emulsions does not have an 599 unambiguous effect on the lipolysis and subsequent micelle formation kinetics. On the one 600 hand, pectin can interact with lipolysis components (such as lipase, bile salts and calcium), 601 diminishing the final lipolysis extent. On the other hand, the presence of pectin can improve 602 the quality of the oil-water interface or improve the emulsion stability during gastrointestinal 603 simulation, leading to an increased lipid digestion extent and subsequent release and 604 micellarization of MAG and FFA.

The obtained data were also evaluated by JCR (90%) analysis and the results are depicted in **Figure C (supplementary material)**. These graphs confirm the above described trends and clearly show that the TW and TWCP emulsions present higher MAG and FFA concentrations in the micellar fraction than the PC, CP and PCCP emulsions. Moreover, it can be observed that not all MAG and FFA were micellarized which could be attributed to interactions between the lipid digestion products and pectin, calcium and other salts present in the simulated intestinal fluid.

In conclusion, the emulsifier (combination) used for emulsion stabilization had a significant
effect on the final micellarised concentrations of MAG and FFA. By contrast, the reaction rate

constant of the incorporation of MAG and FFA into mixed micelles was mainly determined
by the pectin DM. These differences in composition of these mixed micelles will most likely
have an important effect on the carotenoid bioaccessibility kinetics, which will be further
discussed in section 3.3.1.

618 **3.3 Study of carotenoid bioaccessibility kinetics as influenced by the**

619 presence of citrus pectin

620 Carotenoid bioaccessibility (BAC) was studied to evaluate (i) the time dependency of

621 carotenoid micellarization and (*ii*) its relationship with lipolysis kinetics. Therefore,

622 carotenoid BAC kinetics were determined in parallel with the lipolysis kinetics, so using the 623 same kinetic approach as described in section 3.2. Briefly, the small intestinal phase was 624 simulated in a kinetic way, whereafter the experimental data were modelled with a fractional 625 conversion model. This resulted in the obtainment of a reaction rate constant k and final BAC_f 626 value of the process. Subsequently, these jointly estimated parameters were used as input to 627 determine 90% JCR. In this way, significant differences among all studied emulsions could be

628 determined and visualized.

629 **3.3.1** Carotenoid bioaccessibility kinetics

Micellarisation of α- and β-carotene increased linearly as function of small intestinal digestion time until a steady-state condition was reached (**Figure 3**). This steady-state condition was reached in all cases within two hours of small intestinal digestion. Similar to lipid digestion and micelle formation, the kinetics of carotenoid bioaccessibility (BAC) were influenced by the emulsifier type, emulsifier combination and pectin DM stabilizing the o/w emulsions (**Figure 3, Table 3 and Figure D in supplementary material**) and will be summarized below. 637 The highest carotenoid micellarization rate constant was observed in case of the PC emulsion (0.283 min⁻¹ for α -carotene and 0.134 min⁻¹ for β -carotene), while the other emulsions showed 638 639 similar k-values, varying between 0.013 and 0.073 min⁻¹. The carotenoid micellarization rate 640 constant of emulsion having pectin adsorbed at the oil-water interface (i.e. CP and PCCP emulsions) were lower for CP82 than for CP38 and CP10. By contrast, when pectin was 641 642 present in the aqueous phase (i.e. TWCP emulsions) a reverse trend was observed (CP82 >643 CP38 > CP10). It can be hypothesized that in the former case, the more hydrophobic CP82 644 interacts in a higher extent with the lipophilic carotenoids compared to CP38 and CP10, retarding the incorporation of carotenoids into the micellar fraction. By contrast in the case 645 where CP is present in the aqueous phase, CP10 might have led to an increased viscosity of 646 the digest by interactions with calcium, which in turn can slow down the migration of 647 648 carotenoids towards the mixed micelles (Verrijssen et al., 2015). 649 The final carotenoid BAC was predominantly determined by the emulsifier(s) used for 650 emulsions stabilization. In this sense, the TW emulsion presented the highest carotenoid BAC 651 values (69-82%), followed by the TWCP emulsions (37.8-64.3%), the PCCP emulsions (19.4-25.4%) and finally the PC (13.8-17.1%) and CP (11.1-19.4%) emulsions, which presented the 652 653 lowest BAC_f-values. The addition of pectin in the case of TW emulsions, led to a diminished 654 amount of micellarized carotenoids, namely from 82% to 40-64% for α -carotene and from 655 69% to 38-56% for β -carotene. This can be explained by the interactions occurring between 656 pectin structures and the lipophilic carotenoids. In addition, the presence of pectin at the 657 interface does not improve the carotenoid bioaccessibility. More specifically, the final 658 carotenoid BAC values obtained in case of the CP and PCCP emulsions were rather low and 659 ranged from 11% to 25%. This could be related to the low amount of digested TAG molecules (section 3.2.1). In this way, carotenoids remained entrapped in the undigested oil and could 660 661 not be transferred to mixed micelles.

662 **3.3.2** Relation between the kinetics of lipid digestion and carotenoid bioaccessibility

663 The estimated parameters *k* and *C_f* of the micellarization of MAG, FFA and β-carotene were

used to evaluate the relation between the two micellarization phenomena (Figure E,

665 **supplementary material**) as previous research has indicated that there is a strong link

between the lipid digestion reaction and carotenoid bioaccessibility (Salvia-Trujillo et al.,

667 2017; Verkempinck et al., 2018a).

668 For the *k*-values, it can be seen that no direct link was observed between the rate constants of

669 lipid digestion and carotenoid bioaccessibility. This can be attributed to the presence of pectin

670 with different DM, which effects the reaction differently depending on the emulsion

671 composition. Regarding the final micellarized amounts of MAG, FFA and carotenoids, an

strong interrelation could be observed between the MAG and FFA concentrations

673 incorporated into micelles and the extent of carotenoid bioaccessibility. In this sense, a higher

674 concentration of incorporated MAG and FFA into mixed micelles, eventually led to a higher

675 carotenoid bioaccessibility.

676 In the context of satiety and human health, some emulsion compositions can be of interest. 677 For example, the TW mono-emulsion and TWCP di-emulsion present a relatively slow lipid digestion rate and finally result in a high lipolysis rate and relatively high carotenoid 678 bioaccessibility. A slow lipid digestion increases the hormone-induced satiety and slows 679 680 down gastric emptying, which consequently reduces energy intake (Ohlsson et al., 2014). 681 Additionally, a high lipolysis extent is desired as undigested lipids in the large intestine are 682 associated with several negative effects, such as a bloated feeling, steatorrhoea and an 683 increased risk of tumor development in the colon (Hoyles & Wallace, 2010).

685 4 Conclusions

686 The presented work aimed to explore the effect of the presence of pectin in emulsions on the 687 kinetics of lipid digestion and carotenoid bioaccessibility. The emulsifier(s) (combination) 688 used for emulsion stabilization determined the composition of the oil-water interface and 689 surrounding medium, but however presented similar small initial particle sizes. Subsequently, 690 the different emulsion compositions affected the particle size and charge during digestion and 691 moreover, had a significant impact on the lipid digestion reaction and micellarization of 692 multiple lipolysis products and carotenoids. The reaction rate constant k for lipid hydrolysis 693 was mainly influenced by the pectin DM. By contrast, the final percentage digested TAG, 694 released MAG and FFA, and micellarized MAG, FFA and carotenoids was predominantly influenced by the emulsifier(s) (combination) used for emulsion stabilization. An interrelation 695 696 was observed between the extent of micellarization of MAG, FFA and β -carotene. Namely, a 697 higher concentration of incorporated MAG and FFA into the micellar fraction is associated with a higher final carotenoid bioaccessibility. Finally, it can be stated that the intake of fibers 698 699 alters lipid digestion extent which was hypothesized before the study. Moreover, the results 700 proved that targeted emulsion design can be used as a tool for tailoring lipid digestion and/or 701 carotenoid bioaccessibility.

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709 Declaration of interests

710 The authors of the present work declare no conflict of interests.

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 of carotenoid bioaccessibility from carrots using excipient emulsions: influence of
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833 Table 1: Changes on the ζ -potential of the initial emulsion, chyme and digest. Different letters in the same 834 column indicate significant differences (Tukey test: p < 0.05) among the samples. For interpretation of the

abbreviations is referred to the abbreviation list.

	Emulsion		Gastric phase		Small intestinal phase		
TW	-7.84 ± 1.19	BC	-3.18 ± 0.70	AB	-31.15 ± 0.26	ABC	
PC	2.43 ± 0.24	А	-1.35 ± 0.29	А	-33.80 ± 1.70	BCD	
CP82	-14.05 ± 1.13	D	-5.07 ± 0.25	В	-38.95 ± 2.59	D	
CP38	-23.13 ± 0.13	F	-10.00 ± 0.49	С	-33.50 ± 2.69	CD	
CP10	-30.95 ± 0.54	G	-15.13 ± 0.59	D	-36.53 ± 2.56	CD	
TWCP82	-5.60 ± 0.65	В	-3.34 ± 0.61	AB	-31.05 ± 2.43	ABC	
TWCP38	-7.53 ± 0.02	BC	-3.16 ± 0.21	AB	-26.38 ± 1.80	A	
TWCP10	-9.59 ± 3.42	С	-3.07 ± 0.24	AB	-28.70 ± 1.56	AB	
PCCP82	0.03 ± 0.06	А	-4.08 ± 3.57	AB	-39.10 ± 1.27	D	
PCCP38	-19.53 ± 0.42	Е	-4.85 ± 0.47	В	-37.68 ± 5.50	D	
PCCP10	-23.93 ± 1.06	F	-11.07 ± 1.03	С	-35.37 ± 2.88	CD	

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Figure 1: Particle size distribution of all carrot-enriched oil-in-water emulsions during digestion (full line: initial emulsion; dashed line: chyme (2h); dotted line: digest (2h)). For interpretation of the abbreviations is referred to the abbreviation list.





Figure 2: In vitro lipid digestion of carrot-based emulsions as function of small intestinal digestion. The symbols indicate the experimental data while the full and dotted lines show the predicted values of the corresponding fractional conversion model in the digest and micellar fraction, respectively (\blacksquare TAG_{digest}; \blacklozenge MAG_{digest}; \blacklozenge MAG_{micelle}; \blacktriangle FFA_{digest}; \bigstar FFA_{digest}; \bigstar GLY_{digest}). For representation in color is referred to the online version and for the interpretation of the abbreviations is referred to the abbreviation list.

Table 2: Estimated kinetic parameters of the different carrot-based emulsions modelled by a fractional conversion model through a nonlinear regression procedure. C_f is the final lipid concentration estimated by the model (expressed in % in case of TAG and mg/g emulsion in all other cases) and k is the incorporation rate constant of the lipids into micelles (min⁻¹)). For interpretation of the abbreviations is referred to the abbreviation list.

	TAG _{digest}	MAG _{digest}	FFA digest	MAG _{micelle}	FFA micelle
			TW		
$k \pm stdev$	0.086 ± 0.018	0.060 ± 0.014	$0.100 \hspace{0.2cm} \pm \hspace{0.2cm} 0.020$	$0.038 \hspace{0.2cm} \pm \hspace{0.2cm} 0.012$	$0.090 \hspace{0.1 in} \pm \hspace{0.1 in} 0.012$
$C_f \pm stdev$	83.40 ± 3.93	$16.51 \hspace{0.2cm} \pm \hspace{0.2cm} 1.01$	$27.77 \hspace{0.2cm} \pm \hspace{0.2cm} 1.16$	11.17 ± 1.16	$23.86 \ \pm \ 0.70$
${f R}^2_{adj}$	0.923	0.906	0.933	0.851	0.962
			PC		
$k \pm stdev$	$0.233 \hspace{0.2cm} \pm \hspace{0.2cm} 0.045$	$0.344 \hspace{0.2cm} \pm \hspace{0.2cm} 0.068$	$0.203 \hspace{0.2cm} \pm \hspace{0.2cm} 0.045$	$0.209 \hspace{0.2cm} \pm \hspace{0.2cm} 0.049$	0.254 ± 0.105
$C_f \pm \text{stdev}$	26.38 ± 0.64	4.60 ± 0.07	9.24 ± 0.29	4.86 ± 0.16	8.63 ± 0.41
$\mathbf{R}^{2}_{\mathrm{adj}}$	0.969	0.988	0.950	0.945	0.889
1	0.000	0.100	CP82	0.000	0.040
$K \pm stdev$	0.092 ± 0.007	0.188 ± 0.028	0.076 ± 0.007	0.092 ± 0.013	0.040 ± 0.006
$C_f \pm statev$ \mathbf{P}^2	31.98 ± 0.43	4.93 ± 0.05	$11./8 \pm 0.22$	5.09 ± 0.12	9.98 ± 0.43
K ² adj	0.994	0.995	0.990 CP38	0.980	0.893
k + stdev	0.121 + 0.014	0.124 + 0.025	0.120 + 0.012	0.139 + 0.022	0.068 + 0.010
$C_f \pm stdev$	34.99 + 0.82	5.06 ± 0.025	0.120 ± 0.012 11.67 + 0.23	3.88 ± 0.11	8.02 + 0.31
\mathbf{R}^2 adi	0.976	0.934	0.983	0.963	0.02 ± 0.51
,	0.970	0.951	CP10	0.205	0.750
$k \pm stdev$	0.253 ± 0.049	0.402 ± 0.265	0.223 ± 0.039	0.113 ± 0.030	0.044 ± 0.013
$C_f \pm stdev$	36.94 ± 0.88	5.53 ± 0.22	13.66 ± 0.34	5.14 ± 0.31	10.44 ± 0.98
R ² adj	0.977	0.933	0.976	0.892	0.872
			TWCP82		
$k \pm stdev$	$0.148 \hspace{0.2cm} \pm \hspace{0.2cm} 0.016$	0.181 ± 0.017	0.138 ± 0.018	$0.104 \hspace{0.2cm} \pm \hspace{0.2cm} 0.015$	$0.046 \hspace{0.2cm} \pm \hspace{0.2cm} 0.006$
$C_f \pm stdev$	$75.34 \hspace{0.2cm} \pm \hspace{0.2cm} 1.41$	11.67 ± 0.17	$27.54 \hspace{0.2cm} \pm \hspace{0.2cm} 0.67$	$11.70 \hspace{0.1 in} \pm \hspace{0.1 in} 0.36$	$25.00 \hspace{0.1 in} \pm \hspace{0.1 in} 0.98$
$\mathbf{R}^{2}_{\mathrm{adj}}$	0.984	0.989	0.973	0.962	0.973
			TWCP38		
$k \pm stdev$	0.089 ± 0.016	0.112 ± 0.021	0.082 ± 0.016	0.096 ± 0.017	0.040 ± 0.009
$C_f \pm \text{stdev}$	72.42 ± 2.90	10.75 ± 0.41	26.83 ± 1.20	11.04 ± 0.42	22.79 ± 1.71
$\mathbf{R}^{2}_{\mathrm{adj}}$	0.943	0.940	0.932	0.947	0.915
k + atdax	0.195 . 0.001	0.240 . 0.007		0.170 0.022	0.101 . 0.027
$K \pm stuev$	0.185 ± 0.021	0.240 ± 0.007	0.169 ± 0.024	0.170 ± 0.033	0.101 ± 0.027
$C_f \pm Stuev$ \mathbf{R}^2 , v	11.05 ± 1.48	12.17 ± 0.03	27.97 ± 0.70	10.74 ± 0.57	20.75 ± 1.28
IN adj	0.980	0.999	0.977 PCCP82	0.939	0.895
$k \pm stdev$	0.056 + 0.005	0.052 + 0.002	0.060 + 0.009	0.054 + 0.002	0.069 + 0.012
$C_f \pm stdev$	27.74 + 0.69	7.32 + 0.09	8.49 + 0.31	7.11 + 0.08	7.97 + 0.31
R ² adi	0.989	0.997	0.973	0.998	0.968
Ϋ́, Υ	0.707	0.777	PCCP38	01770	0000
$k \pm stdev$	0.097 ± 0.004	0.147 ± 0.050	0.089 ± 0.004	0.080 ± 0.009	0.057 ± 0.012
$C_f \pm stdev$	38.59 ± 0.24	6.51 ± 0.23	$14.69 \hspace{0.2cm} \pm \hspace{0.2cm} 0.12$	5.81 ± 0.12	$12.35 \hspace{0.1 in} \pm \hspace{0.1 in} 0.62$
${f R}^2_{adj}$	0.999	0.951	0.998	0.987	0.941
			PCCP10		
$k \pm stdev$	0.099 ± 0.013	$0.126 \hspace{0.2cm} \pm \hspace{0.2cm} 0.016$	0.091 ± 0.013	$0.120 \hspace{0.2cm} \pm \hspace{0.2cm} 0.014$	$0.088 \hspace{0.2cm} \pm \hspace{0.2cm} 0.015$
$C_f \pm stdev$	41.78 ± 1.20	6.99 ± 0.17	$16.01 \hspace{0.1 in} \pm \hspace{0.1 in} 0.50$	5.07 ± 0.12	10.53 ± 0.39
$\mathbf{R}^{2}_{\mathrm{adj}}$	0.968	0.973	0.964	0.977	0.952



Figure 3: Time dependent evolution as function of small intestinal digestion time of α- and β-carotene. The symbols indicate the experimental data, while the lines show the predicted values of the corresponding fractional conversion model. Full lines represent mono-emulsifier emulsions (× TW; * PC; ■ CP82; ◆ CP38 and ▲ CP10); dashed lines represent the tween-pectin emulsions (■ TWCP82; ◆ TWCP38 and ▲ TWCP10) and dotted lines represent the phosphatidylcholine-pectin emulsions (■ PCCP82; ◆ PCCP38 and ▲ PCCP10). For interpretation of the abbreviations is referred to the abbreviation list.

Table 3: Estimated kinetic parameters (± standard deviation) of the different carotenoid-enriched emulsions modelled by a fractional conversion model through a nonlinear regression procedure (k: incorporation rate constant of carotenoids into

847 848 849 850 micelles and BAC_f: final bioaccessibility estimated by the model). For interpretation of the abbreviations is referred to the abbreviation list.

	a-carotene	β-carotene
	Т	W
$k \pm \text{stdev} (\min^{-1})$	0.045 ± 0.003	0.051 ± 0.003
$BAC_f \pm stdev (\%)$	81.66 ± 1.58	69.12 ± 1.21
R ² adj	0.993	0.994
	Р	С
$k \pm \text{stdev} (\min^{-1})$	0.283 ± 0.491	0.134 ± 0.047
$BAC_f \pm stdev (\%)$	13.82 ± 0.60	17.06 ± 0.69
$\mathbf{R}^{2}_{\mathrm{adj}}$	0.922	0.937
	CF	P82
$k \pm \text{stdev} (\min^{-1})$	0.037 ± 0.006	0.048 ± 0.007
$BAC_f \pm stdev (\%)$	19.36 ± 0.98	18.87 ± 0.85
R ² adj	0.965	0.961
	CP	'38
$k \pm \text{stdev} (\min^{-1})$	0.041 ± 0.006	0.056 ± 0.010
$BAC_f \pm stdev (\%)$	13.05 ± 0.60	12.54 ± 0.64
$\mathbf{K}^{2}_{\mathrm{adj}}$	0.967	0.940
L + at Jam (CF	
$k \pm \text{stdev}(\min^{-1})$	0.058 ± 0.014	$0.0/1 \pm 0.014$
$BAC_f \pm stdev (\%)$	11.14 ± 0.78	13.09 ± 0.70
K [∠] adj	0.905	0.935
$k \pm \operatorname{stdoy}(\min^{-1})$		P82
$K \pm \text{stuev} (\text{IIIII})$ $PAC_{1+} \text{ stdev} (9/)$	0.009 ± 0.004	0.075 ± 0.003
$DAC_f \pm stuev (70)$ \mathbf{P}^2	41.03 ± 0.01	0.01 ± 0.07
IX adj	0.774 TW(°P38
k + stdev (min ⁻¹)	0.032 + 0.005	0.037 + 0.008
BAC_f + stdev (%)	64 32 + 376	55.67 + 3.75
R^{2}_{adi}	0.962	0.938
" "	TWO	CP10
$k \pm \text{stdev} (\text{min}^{-1})$	0.052 ± 0.006	0.058 ± 0.006
$BAC_f \pm stdev (\%)$	39.99 ± 1.39	37.79 ± 1.22
R ² adj	0.982	0.982
	PCC	CP82
$k \pm \text{stdev} (\text{min}^{-1})$	0.013 ± 0.003	0.029 ± 0.005
$BAC_f \pm stdev (\%)$	21.37 ± 2.18	21.83 ± 1.40
R ² adj	0.984	0.963
	PCC	CP38
$k \pm \text{stdev} (\text{min}^{-1})$	0.035 ± 0.004	0.038 ± 0.003
$BAC_f \pm stdev (\%)$	25.44 ± 0.97	25.20 ± 0.65
$\mathbf{R}^{2}_{\mathrm{adj}}$	0.981	0.990
_	PCC	CP10
$k \pm \text{stdev} (\min^{-1})$	0.055 ± 0.011	0.070 ± 0.014
$BAC_f \pm stdev (\%)$	19.45 ± 1.11	18.85 ± 0.95
R ² adi	0.926	0.925



Figure A: Concentration profile and corresponding weight average molecular weight (M_w) of the pectin samples (■ CP82; ◆ CP38 and ▲ CP10).

referred to the abbreviation list.										
	Emulsion		Gastric phase		Small intestinal phase					
TW	1.27 ± 0.10	Е	1.29 ± 0.02	Е	2.89 ± 0.19	G				
PC	1.65 ± 0.01	D	12.71 ± 5.68	А	21.22 ± 4.80	А				
CP82	1.42 ± 0.02	В	6.75 ± 0.89	BCD	6.48 ± 1.04	F				
CP38	1.67 ± 0.08	А	8.30 ± 0.10	BC	9.99 ± 0.32	DE				
CP10	1.12 ± 0.01	F	1.96 ± 0.30	Е	11.90 ± 1.71	CD				
TWCP82	1.14 ± 0.01	F	1.86 ± 0.08	Е	6.70 ± 0.51	F				
TWCP38	1.06 ± 0.05	FG	4.33 ± 0.52	CDE	8.01 ± 1.11	EF				
TWCP10	1.82 ± 0.07	С	9.78 ± 3.34	AB	17.62 ± 1.44	В				
PCCP82	1.30 ± 0.01	Е	3.19 ± 0.03	DE	13.52 ± 0.51	С				
PCCP38	1.13 ± 0.02	F	2.13 ± 0.01	Е	12.11 ± 0.28	CD				
PCCP10	1.01 ± 0.03	G	141 ± 0.01	Е	19.30 ± 0.99	AB				

Table A (supplementary material): Changes on the particle size of the initial emulsion, chyme and digest represented by the median volume-weighted particle size. Different letters in the same column indicate significant differences (Tukey test: p < 0.05) among the samples. For interpretation of the abbreviations is referred to the abbreviation list.







Figure B: Changes in microstructure of all carrot-based oil-in-water emulsions during digestion (scale bar represents a length of 100 μm). For interpretation of the abbreviations is referred to the abbreviation list.



Figure C: Joint confidence region analysis (90%) of the jointly estimated parameters (Table 2) for *in vitro* small intestinal lipid digestion: (1) TAG in the digest; (2) MAG in the digest; (3) FFA in the digest; (4) MAG in the micellar fraction and (5) FFA in the micellar fraction The symbols indicate the estimated value of the corresponding fractional conversion model, while the lines show the joint confidence region. Full lines represent mono-emulsifier emulsions (× TW; * PC; ■ CP82; ◆ CP38 and ▲ CP10); dashed lines represent tween-pectin combined emulsions (■ TWCP82; ◆ TWCP38 and ▲ TWCP10) and dotted lines represent phosphatidylcholine-tween combined emulsions (■ PCCP82; ◆ PCCP38 and ▲ PCCP10). For interpretation of the abbreviations is referred to the abbreviation list.



Figure D: Joint confidence region analysis (90%) of the jointly estimated parameters (Table 3) for carotenoid bioaccessibility of αand β-carotene. The symbols indicate the experimental data, while the lines show the joint confidence region. Full lines represent mono-emulsifier emulsions (× TW; * PC; ■ CP82; ◆ CP38 and ▲ CP10); dashed lines represent the tween-pectin emulsions (■ TWCP82; ◆ TWCP38 and ▲ TWCP10) and dotted lines represent the phosphatidylcholine-pectin emulsions (■ PCCP82; ◆ PCCP38 and ▲ PCCP10).



Figure E: Relation between the (1) k-values (min⁻¹) and (2) C_f-values of MAG and FFA micellarisation, and carotenoid incorporation into mixed micelles (○ TW; ○ PC; ■ CP82; ◆ CP38; ▲ CP10;
TWCP82; ◆ TWCP38; ▲ TWCP10; ■ PCCP82; ◆ PCCP38; ▲ PCCP10). For interpretation of the abbreviations is referred to the abbreviation list.