

1 **Amikacin pharmacokinetics to optimize dosing in neonates with perinatal asphyxia treated with**  
2 **hypothermia**

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14 Running Head: Amikacin dosing in neonates with perinatal asphyxia

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19

20 **Abstract**

21 Aminoglycosides pharmacokinetics (PK) is expected to change in neonates with perinatal asphyxia  
22 treated with therapeutic hypothermia (PATH). Several amikacin dosing guidelines have been  
23 proposed to treat neonates with (suspected) septicemia, however, none provide adjustments in the

24 case of PATH. Therefore, we aimed to quantify the differences in amikacin PK between neonates  
25 with and without PATH to propose suitable dosing recommendations.

26 Based on amikacin therapeutic drug monitoring data collected retrospectively from neonates with  
27 PATH, combined with a published dataset, we assessed the impact of PATH on amikacin PK using  
28 population modelling. Monte Carlo and stochastic simulations were performed to establish amikacin  
29 exposures in neonates with PATH after dosing according to the current guidelines and according to  
30 proposed model-derived dosing guidelines.

31 Amikacin clearance was decreased by 40.6% in neonates with PATH, with no changes in volume of  
32 distribution. Simulations showed that, increasing the dosing interval with 12 hours results in a  
33 decrease in percentage of neonates reaching toxic trough levels ( $> 5$  mg/L) from 40–76% to 14–25%,  
34 while still reaching efficacy targets, compared to current dosing regimens.

35 Based on this study, a 12-hour increase in amikacin dosing interval in neonates with PATH is  
36 proposed to correct for the reduced clearance, yielding safe and effective exposures. As amikacin is  
37 renally excreted, further studies into other renally excreted drugs may be required as their clearance  
38 may also be impaired.

### 39 **Introduction**

40 Aminoglycosides are administered to treat neonates with (suspected) septicemia. Aminoglycosides  
41 display a concentration-dependent effect and are almost entirely eliminated by glomerular filtration  
42 (1). Recently, a population pharmacokinetic (PK) model-derived dosing regimen for amikacin (2) was  
43 prospectively evaluated in 579 neonates, showing predictive effective and safe amikacin exposure  
44 across the entire neonatal population (2, 3). However, for neonates diagnosed with perinatal  
45 asphyxia and treated with therapeutic hypothermia (PATH), prediction of accurate amikacin  
46 disposition remains a challenge (2). This might be due to asphyxia-induced renal impairment with or  
47 without the influence of therapeutic hypothermia which is used as standard of care treatment for

48 moderate to severe hypoxic ischemic encephalopathy in (near) term neonates. Hypothermia reduces  
49 the basal and cerebral metabolic rates, decreases the process of excitotoxicity and results in  
50 improved neurodevelopmental outcome (1, 4, 5). Furthermore, it may alter pharmacologic  
51 characteristics of drugs (5, 6). Drug PK profiles do not only depend on drug-specific characteristics  
52 (e.g., molecular weight, lipophilicity, etc.), but also on system-specific (physiological) characteristics  
53 of the patients (e.g., cardiac output, organ perfusion, glomerular filtration (5), etc.). The system-  
54 specific characteristics are known to be affected by the pathophysiological changes that occur during  
55 both perinatal asphyxia and hypothermia (7). This specific combination of patient-related factors  
56 impairs the elimination of aminoglycosides, as previously documented for gentamicin (8, 9, 10). Data  
57 on amikacin PK in neonates with PATH are, to our knowledge, not yet available.

58 The aim of the current study (AMICOOL) was to use population PK modelling and simulation  
59 approaches to further characterize amikacin disposition in neonates by quantifying the impact of  
60 PATH on amikacin PK. Therefore, PK data collected from neonates with PATH were analyzed  
61 together with data from a large and heterogeneous group of neonates without PATH (11). The  
62 findings were used to determine suitable adjustments of the most recent amikacin dosing regimens  
63 to improve the exposure in this special population. As amikacin clearance is considered a surrogate  
64 for glomerular filtration, the results may provide guidance for other drugs undergoing renal  
65 excretion.

## 66 **Materials and Methods**

### 67 *Data Collection*

68 Amikacin therapeutic drug monitoring (TDM) data from routine clinical care were retrospectively  
69 collected from January 2010 to December 2015 from neonates with PATH admitted to the Neonatal  
70 Intensive Care Units (NICUs) of UZ Leuven (Belgium) and VUmc Amsterdam (The Netherlands) and  
71 receiving amikacin for (suspected) septicaemia. Both centres applied the standard criteria to  
72 initiated whole-body hypothermia in term neonates (12). A total of 83 samples were retrieved, of

73 which 75 were obtained during the hypothermic treatment period, with a median of 1.5 samples per  
74 patient (samples range between 1 and 3). Data from neonates participating in other trials (i.e.,  
75 Pharmacool trial (13)) were excluded.

76 The study protocols were evaluated and approved by the local institutional review boards: the UZ  
77 Leuven ethics committee approved the study protocol, and a waiver for ethical approval was  
78 obtained in VUmc according to the Dutch law on research with human participants.

79 Clinical characteristics at birth and at the time of amikacin TDM were extracted retrospectively from  
80 patients' files. Each NICU used separate dosing protocols, summarized in Table 1. Effective peak  
81 concentrations were considered to be within the 24–35 mg/L interval. To avoid side effects, trough  
82 concentrations were preferably below 3 mg/L (target trough level) and strictly under 5 mg/L (toxic  
83 trough level).

84 At UZ Leuven, as part of routine clinical care, amikacin TDM was collected just before administration  
85 of the second dose. According to local clinical practice, dosing intervals could be adapted by the  
86 treating physician. At VUmc Amsterdam, the first routine amikacin TDM was collected at least 6, but  
87 preferably, 12–18 hours after the first amikacin administration. Eventual dosing adaptations were  
88 suggested by the VUmc pharmacy, based on the initial amikacin dose and TDM results, according to  
89 the maximum a posteriori Bayesian fitting method, using the MW/Pharm version 3.6 (Mediware,  
90 Groningen, the Netherlands).

#### 91 *Blood sample analysis*

92 In both centres, amikacin concentrations were initially measured using fluorescence polarization  
93 immunoassay (Abbott TDx kit, Abbott Laboratories, Diagnostics Division, Abbott Park, IL, USA) with a  
94 lower limit of quantification (LLOQ) of 0.8 mg/L and a coefficient of variation (CV) below 5%. From  
95 May 31<sup>st</sup> 2012, amikacin quantification in UZ Leuven was based on a kinetic interaction of  
96 microparticles in solution (KIMS) immunoassay (Roche/Hitachi Cobas c systems, Roche Diagnostics

97 GmbH, Mannheim, Germany) with a LLOQ of 0.8 mg/L and a CV below 4%. From September 2011,  
98 amikacin quantification in VUmc Amsterdam was based on a particle-enhanced turbidimetric  
99 inhibition immunoassay (PETINIA) (ARCHITECT cSystems, Abbott, Abbott Laboratories Inc, Abbott  
100 Park, IL, USA) with a LLOQ of 2 mg/L and CV below 4%.

#### 101 *Modelling Dataset*

102 TDM data from neonates with PATH were combined with a previously published dataset of amikacin  
103 PK samples taken from preterm and term neonates who were neither diagnosed with perinatal  
104 asphyxia nor underwent hypothermic treatment (2, 11).

105 The combined modelling dataset consisted of 930 neonates of which 55 (6%) were treated for PATH.  
106 All neonates were younger than 30 days of postnatal age (PNA), and the neonates treated with  
107 hypothermia were younger than 4 days. Characteristics of patients in the combined dataset are  
108 summarized in Table 2. No outliers were identified during the current analysis.

#### 109 *Pharmacokinetic analysis*

110 The PK analysis and model validation were performed using NONMEM v7.3 and PsN v3.4.2,  
111 respectively, both running under Pirana v2.9.0. The results were analyzed using R v3.3.2 running  
112 under RStudio v1.0.136.

#### 113 *Model development*

114 For the structural model, a previously published population PK model on amikacin in a large and  
115 heterogeneous group of neonates (11) was used as a basis. This model consisted of a two-  
116 compartment model with inter-compartmental clearance (Q) estimated as fractions of clearance (CL)  
117 and peripheral volume of distribution ( $V_2$ ) equal to the central volume of distribution ( $V_1$ ),  
118 respectively and with a combined additive and proportional error model (11). Birthweight (BW) and  
119 PNA were covariates on CL and current weight (CW) was a covariate on  $V_1$  (11). In order to estimate  
120 the impact of PATH, we tested a discrete covariate on CL and  $V_1$ . Statistical considerations were

121 accounted for by the decrease in objective function (-2log likelihood) value with a significance level  
122 of  $p < 0.05$  (likelihood ratio test) which assumes a  $\chi^2$  distribution and the precision of parameter  
123 estimates (RSE < 30%). In addition, the model fits were assessed visually using *goodness-of-fit* (GoF)  
124 plots split for the covariate tested.

#### 125 *Model validation*

126 To assess the robustness of the parameter estimates of the final model, a non-parametric bootstrap  
127 was performed in which the combined dataset was resampled 1000 times with replacement and  
128 with stratification on the origin of the data (TDM or published). The resampled datasets were  
129 subsequently fitted with the final model, after which median and 95% confidence intervals of the  
130 obtained estimates were calculated.

131 To assess the predictive properties of the model, a normalized prediction distribution error (NPDE)  
132 analysis was performed using the NPDE package in R (14). Each observed concentration was  
133 compared to 1000 simulated values for that observation.

134 Potential overparameterization was evaluated by calculating the condition number, by taking the  
135 eigenvalues from the NONMEM output and dividing the largest one to the smallest one.

#### 136 *Monte Carlo and stochastic simulations*

137 To compare the exposures that would be obtained upon dosing according to three closely related  
138 and previously published dosing regimens (2, 11) (Table 3), the final model was used to simulate  
139 peak (1 hour after start of infusion) and trough (just before the subsequent dose) concentrations.

140 For details regarding the three closely related previously published dosing regimens (Table 3) we  
141 refer to Smits *et al.* (2).

142 The final model was then used to determine, for neonates with PATH, an effective and practical  
143 dosing adjustment that would lead to target peak and trough concentrations. For this purpose,  
144 different doses and dosing intervals were explored to determine the regimen reaching the

145 predefined peak and trough targets in the highest possible percentage of patients, while keeping in  
146 mind its feasibility in clinical practice. For all simulations, target peak and trough concentrations  
147 were above 24 mg/L and below 5 mg/L, respectively. In all simulations, neonates received two  
148 consecutive doses of a dosing regimen, assuming hypothermic treatment throughout the dosing  
149 intervals, without intermediate dose adjustments.

150 For both Monte Carlo (MC) simulations and stochastic simulations (SC), the demographic  
151 characteristics (PNA, BW, CW, gestational age) of the neonates with PATH from the TDM dataset  
152 were used. For the MC simulations, 2500 individuals were sampled with replacement from this  
153 subpopulation, taking time-varying changes and correlations in the demographics into account. For  
154 the SC simulations, 4 neonates that are treated with HT were generated. Each had a PNA of 1 day  
155 and BW equal to the mean (3093 g), median (3000 g), 5<sup>th</sup> percentile (1965 g) or 95<sup>th</sup> percentile (4220  
156 g) of the BW of the neonates with PATH from the TDM dataset. For the SC simulations, for each of  
157 the 4 neonates, 2500 individual clearance values were sampled from the frequency distribution of  
158 the clearance values obtained in the pharmacometric analysis.

## 159 **Results**

### 160 *Population pharmacokinetic model*

161 The CL in neonates with PATH was found to be decreased by 40.6% (9% RSE) as compared to CL in  
162 neonates without PATH.

163 The addition of the covariate accounting for PATH on CL led to a reduction in objective function with  
164 73 points ( $p < 0.05$ ) and reduced the unexplained inter-individual variability on CL from 0.116 to  
165 0.104 (10% decrease). PATH was not found to influence any of the other model parameters. The  
166 final population PK parameters and bootstrap results are summarized in Table 4.

167 The bootstrap analysis confirmed the precision of parameter estimates of the final model, as the  
168 bootstrap medians were very similar to the parameter estimates and within the 95% prediction

169 interval. The GoF plots of the final model did not show any trends or bias which would indicate  
170 model misspecifications (Figure 1). The NPDEs of the predictions had a mean of 0.025 which was not  
171 significantly different from 0 ( $p = 0.24$ ) and a standard deviation of 1.02 which was not significantly  
172 different from 1 ( $p = 0.49$ ). Visual inspection of the results did not suggest bias in the model  
173 predictions (Figure S1). The NPDEs have similar distributions for both populations, with or without  
174 PATH (Figure S2). The condition number was 39, well below the threshold of 1000, suggesting that  
175 the model was not overparameterized and well supported by the data.

176 As the results of the PK model showed that only CL is influenced by PATH, for neonates with PATH it  
177 was proposed to use the most recently published and extensively validated dosing regimen (Smits *et*  
178 *al.*) with an increased dosing interval of 12 hours, while keeping the same doses (mg/kg). The  
179 previously published and the proposed dosing regimens are summarized in Table 3.

#### 180 *Monte Carlo (MC) and stochastic simulations (SC)*

181 The results of the MC simulations upon dosing according to the three closely related dosing  
182 regimens (2, 11) for amikacin and the proposed regimen for PATH are shown in Figure 2. In the  
183 figure percentages of peak and trough concentrations within predefined target concentration ranges  
184 in neonates with PATH, split by the three weight groups used for dosing (Table 3), are shown. Results  
185 are presented upon the second amikacin dose, as then the target body temperature for hypothermia  
186 is mostly achieved.

187 This figure illustrates that the regimens currently used in clinical practice reached trough  
188 concentrations higher than 5 mg/L in 40% to 76% of neonates, whereas, using the proposed regimen  
189 where the dosing interval is increased with 12 hours, this percentage can be reduced to 14–17%.  
190 Peak concentrations were below the lower efficacy threshold in 10–12% of the cases only, which is  
191 in accordance with the results for the published dosing regimens, where the range was 6–17%.



192 Figure 3 comprises the results of the SC simulations showing how the proposed regimen performed  
193 when given to neonates representative of our sample, with specific demographic characteristics and  
194 PATH. In this figure, results are presented for the lower (5%), median, mean and upper (95%) birth  
195 weights of the population of neonates with PATH. Compared to the published dosing regimens(2),  
196 the proposed dosing regimen, where the dosing interval is increased by 12 hours, yielded similar  
197 target concentrations for the four tested groups, i.e., 14 to 25% of neonates had trough  
198 concentrations above the toxic level and in less than 12% of neonates the effective peak  
199 concentrations was not reached (Figure 3).

## 200 Discussion

201 In this manuscript, we quantified the impact of PATH on amikacin CL in neonates, a potential  
202 surrogate for glomerular filtration, and translated this finding in a dosing recommendation tailored  
203 for neonates with PATH.

204 Our model-based approach showed that amikacin CL is decreased with 40.6% in neonates with PATH  
205 when compared to neonates without this condition. The model was used for simulations with  
206 targeted trough concentrations to determine an effective and practical dosing adjustment for  
207 neonates with PATH. The 12-hour increase in the dosing interval of the most recent and extensively  
208 validated dosing regimen (2), while keeping the amikacin dose (mg/kg) unchanged, had a minimal  
209 impact on the peak concentrations but improved the attained trough concentrations (Figure 2).

210 With unadjusted dosing regimen, the reduced amikacin CL led to trough concentrations above the  
211 toxic threshold for a large percentage of the neonates with PATH population (Figure 2), increasing  
212 the probability of developing adverse reactions such as nephro- and ototoxicity. Achieved peak  
213 concentrations were minimally impacted by the reduced CL and increased dosing interval, as these  
214 are determined by the dose and the administration rate of the IV infusion.

215 The MC simulations allowed for a comparison between the performances of the published dosing  
216 regimens (2, 11) and the proposed regimen in a group of patients with demographics encountered in  
217 this group (Figure 2), whereas the SC simulations led to a better understanding of how the proposed  
218 dosing regimen would perform in individuals with specific realistic demographic characteristics for  
219 neonates with PATH. A PNA of 1 day was considered most relevant for the studied population since  
220 hypothermic treatment is usually started within the first 6 hours after birth and the BW mean,  
221 median, 5<sup>th</sup> and 95<sup>th</sup> percentiles were calculated for these patients of the TDM dataset (Figure 3).

222 Our results showed that the proposed dosing regimen for neonates with PATH did not impair the  
223 attainment of the amikacin treatment efficacy target, with less than 12% of the studied population  
224 reaching a suboptimal peak concentration, while the toxic effects were reduced, with less than 17%  
225 of the studied population attaining trough concentrations above 5 mg/L (Figure 2). This does show,  
226 nevertheless, that even with the proposed adjustment, amikacin trough TDM should still be  
227 performed as part of routine clinical care, especially in patients with PATH. It should also be noted  
228 that the validity of the traditional target concentrations for efficacy and safety of amikacin has not  
229 been established for such prolonged dosing intervals, warranting prospective evaluation of the  
230 regimen.

231 Although we provided the first report of amikacin PK in a dual-center cohort of neonates with PATH,  
232 other studies were performed for other aminoglycosides (i.e. gentamicin). Frymoyer *et al.*(8)  
233 reported improved attainment of gentamicin target trough levels in neonates with PATH, after  
234 increasing the dosing interval from 24 to 36 hours (+ 50%). In addition, peak gentamicin  
235 concentrations were minimally impacted by the increase in dosing interval. This is in concordance  
236 with our findings for amikacin, and can be explained by the fact that these compounds from the  
237 same therapeutic class, eliminated by the same pathway – glomerular filtration – actually reflect the  
238 impact of perinatal asphyxia or hypothermia (or both) on the neonatal glomerular filtration rate. De  
239 Cock *et al.* and others previously reported that physiological maturation of amikacin CL can be used

240 to predict ontogeny of other compounds eliminated almost entirely by glomerular filtration (14, 15).  
241 The current findings support this 'semi-physiological' concept, which could be further explored to  
242 quantify the impact of perinatal asphyxia and whole-body cooling on the CL of drugs eliminated  
243 almost exclusively by glomerular filtration.

244 Due to the nature of the TDM data (i.e., retrospectively retrieved from patients' files, small number  
245 of patients with PATH, sampling during routine care), our analysis has limitations. First, we were  
246 unable to disentangle the impact of perinatal asphyxia from the impact of hypothermic treatment on  
247 amikacin CL. These are expected to have different extents, as shown in preclinical experiments in  
248 newborn pigs by Satas *et al.* (10) (hypoxia-ischemia) and Koren *et al.* (17) (hypothermia). They have  
249 also shown that, the intensity of the hypothermic treatment could be relevant, as severe  
250 hypothermia decreased gentamicin half-life with 36% (10°C temperature drop) (17), whereas, mild  
251 hypothermia (4°C temperature drop) did not have an impact on CL (10). On the other hand, studies  
252 in neonates had contradicting results. While Liu *et al.* reported that 40% of gentamicin trough  
253 concentrations in neonates with hypoxic ischemic encephalopathy were above the target 2 mg/L,  
254 they could not identify an additional impact of hypothermia on CL (18). However, Ting *et al.* (9)  
255 showed in neonates with hypoxic-ischemic encephalopathy that hypothermic treatment caused an  
256 increase in the half-life of gentamicin, from 7.01 hours in a normothermic group to 9.57 hours (+  
257 36.5%) in a hypothermic group, which suggests that the hypothermic treatment itself reduces CL as  
258 well. With this in mind, we suggest that the results of our study, including the model-derived dosing  
259 regimen, should not be extrapolated to populations other than neonates with PATH, or to other  
260 drugs, even if eliminated by the same pathway, as the validity of such extrapolations requires further  
261 research.

262 Another limitation is that, both at the initiation of the hypothermic treatment and initiation of the  
263 rewarming phase, the body temperature of the neonates is not constant. Since the number of  
264 samples collected during these periods was limited, it was not possible to identify a covariate

265 relationship that reflects the dynamic changes in clearance during these periods. As a result, model-  
266 based simulations cannot be expected to be accurate for initiation of the cooling process as well as  
267 during the rewarming phase. We, therefore, only present simulation-based results for the second  
268 amikacin dose, as the body temperature is expected to be stable (33.5°C) throughout this interval.

269 To conclude, we identified a significantly decreased (40.6%) amikacin CL in (near) term neonates  
270 with PATH. Based on simulations, indicating the achievement of safe trough concentrations (<  
271 5mg/L) while still reaching optimal peak concentrations (> 24 mg/L), we propose a 15 mg/kg dose  
272 every 42 hours for children above 2800 g, or 48 hours for children between 1800 g and 2800 g, in  
273 this special neonatal population. As a future step, this model-based dosing proposal should undergo  
274 prospective validation and eventual clinical implementation.

275

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283

#### 284 **Contribution statement**

285 SC was involved in the data analysis and wrote the manuscript. AS was involved in conceptualizing  
286 the current study and wrote the manuscript. AK was involved in conceptualizing the current study  
287 and contributed to the manuscript. MvW contributed to the manuscript. CAJK was involved in  
288 conceptualizing the data analysis and contributed to the manuscript. EHJK was involved in

289 conceptualizing the data analysis and contributed to the manuscript. KA was principle investigator of  
290 the clinical studies, involved in conceptualizing the current study, and contributed to the manuscript.  
291

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## 349 Tables

350 TABLE 1 Dosing regimens used for the treatment of neonates with perinatal asphyxia treated with hypothermia (PATH) at  
351 the UZ Leuven (Belgium) and VUmc Amsterdam (The Netherlands) neonatal intensive care units (NICU)

352

353 TABLE 2 Combined dataset characteristics: Current TDM dataset with retrospectively collected data from neonates with  
354 perinatal asphyxia treated with hypothermia and published dataset (11)

355

356 TABLE 3 Summary of analyzed dosing regimens in model-based simulations

357

358 TABLE 4 Parameter estimates and Bootstrap results of the final model compared with previously published model (11)

359

360 Figures

361

362 FIG 1 Population predicted concentration (A) and individual predicted concentration (B) vs. observed concentration;

363 Conditional Weighted Residuals vs. Population predictions (C) and vs. Time after dose (D); Black circles - TDM dataset:

364 asphyxia with hypothermia; Grey circles – Published Dataset

365

366 FIG 2 Stacked bar plots of the Monte Carlo simulations (n = 2500) presenting the results on target peak (upper panels) and

367 trough (bottom panels) concentration attainment after the second amikacin dose. Results are split by three weight groups

368 according to which the doses were calculated (Table 3) (left, middle and right panel). In each panel, the three columns on

369 the left show the results obtained with the closely related and previously published dosing regimens (2, 15) whereas the

370 column on the right shows the results of the newly proposed dosing regimen. All simulations were performed for neonates

371 with PATH.

372

373 FIG 3 Stacked Bar of the Stochastic Simulations (n = 2500) presenting the results on target peak (upper panels) and trough

374 (bottom panels) concentration attainment with the model-derived dosing interval. Results are presented after the second

375 amikacin dose with panels for the lower (5%), median, mean and upper (95%) birthweight range of studied neonates with

376 PATH, at the start of the hypothermic treatment-

## Tables

TABLE 1 Dosing regimens used for the treatment of neonates with perinatal asphyxia treated with hypothermia (PATH) at the UZ Leuven (Belgium) and VUmc Amsterdam (The Netherlands) neonatal intensive care units (NICU)

NICU	Dosing regimen	Period in use	Regimen summary		
UZ Leuven	Langhendries <i>et al.</i> 1998(19)	Up to July 2011	Duration of IV infusion: 30 minutes		
			GA (weeks)	Dose (mg/kg)	Dosing int. (h)
			< 28	20	42
			28 to < 31	20	36
			31 to < 34	18.5	30
			34 to < 37	17	24
	De Cock <i>et al.</i> 2012(11)	July 2011– July 2014	Duration of IV infusion: 20–30 min		
			Weight (g)	Dose (mg/kg)	Dosing int. (h)
			0–800	16	48
			800–1200	16	42
			1200–2000	15	36
			2000–2800	15	30
	Smits <i>et al.</i> 2015(2)	Since July 2014	Duration of IV infusion: 20 minutes		
			Weight (g)	Dose (mg/kg)	Dosing int.(h)
			0–800	16	48
800–1200			16	42	
1200–2000			15	36	
VUmc Amsterdam	Up to 24 March 2015	Duration of IV infusion: 1 hour			
		Dose (mg/kg)	Dosing interval (h)		
		12	24–36h*		
	Since 24 March 2015	* determined by TDM (cfr. methods)			
		Dose (mg/kg)	Dosing interval (h)		
		15	24–36h*		
			* determined by TDM (cfr. methods)		

TABLE 2 Combined dataset characteristics: Current TDM dataset with retrospectively collected data from neonates with perinatal asphyxia treated with hypothermia and published dataset (11)

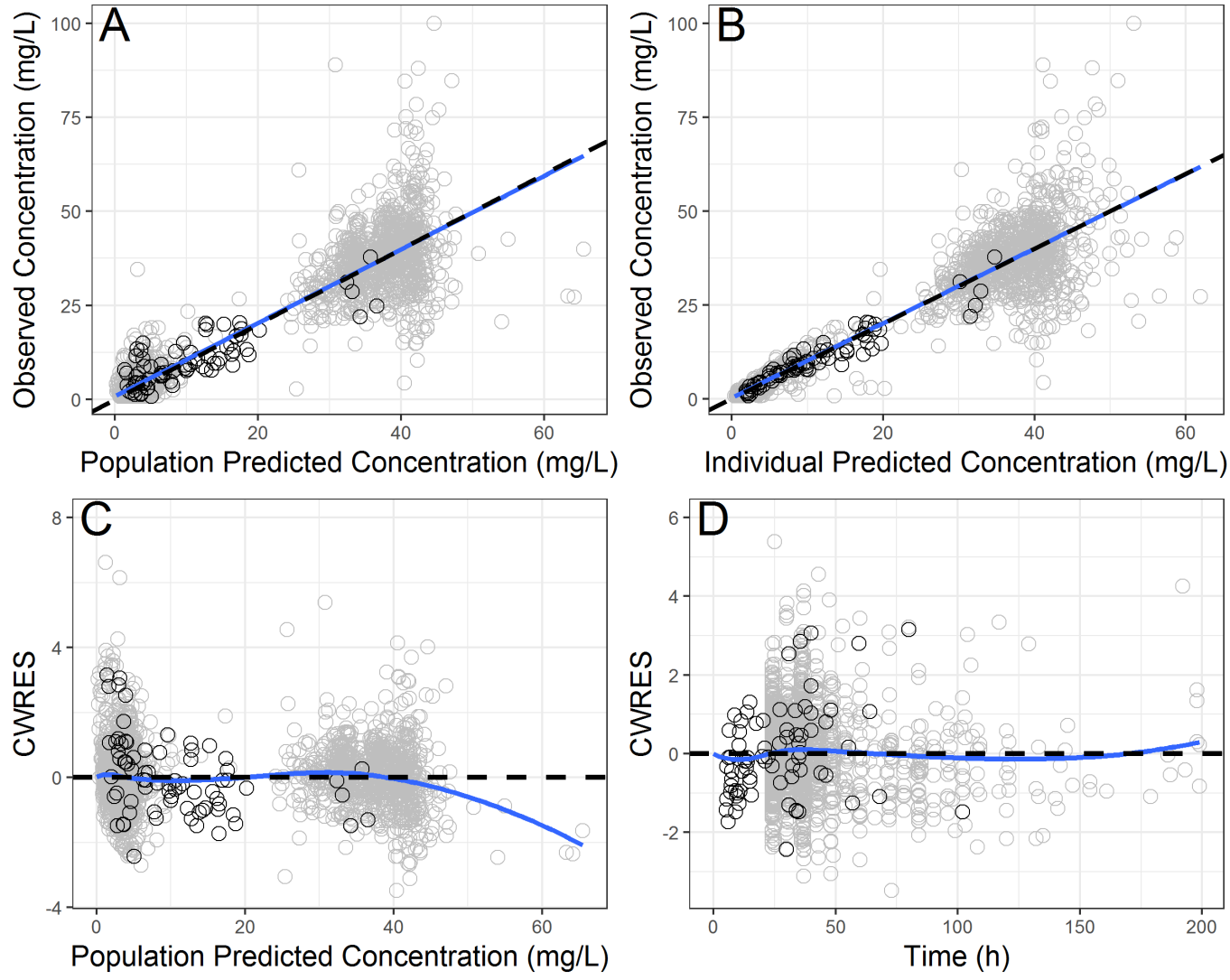
Dataset	TDM**	Published (11)	Combined
Number of neonates	56	874	930
Number of HT Samples (Total)	75 (83)	0 (2174)	75 (2257)
Gestational age (weeks)	38 [35–41]	31 [24–43]	32 [24–41]
Postnatal age (days)	2 [1–4] *	2 [1–30]	2 [1–30]
Birth weight (g)	3184 [1910–4770]	1530 [385–4650]	1795 [385–4770]
Current weight (g)	3184 [1910–4800]	1560 [385–4780]	1800 [385–4800]
Co-admin. of ibuprofen	0	118	118

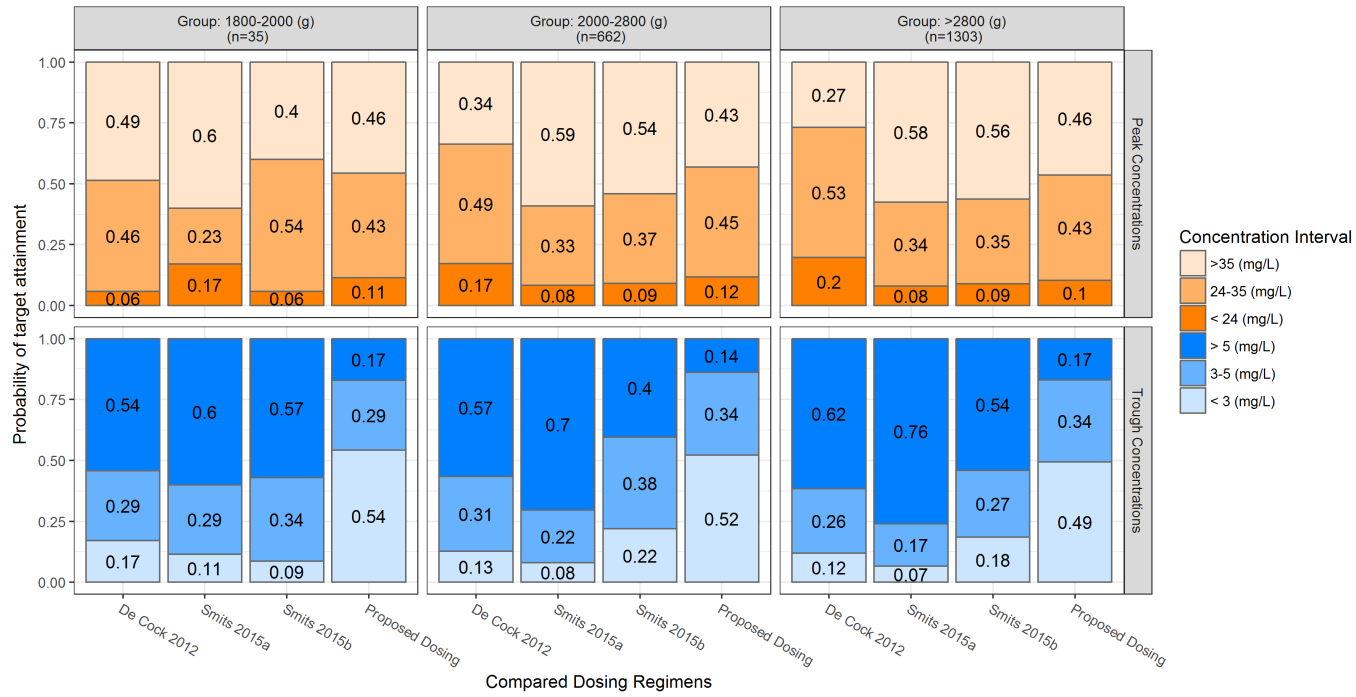
\*one neonate in the TDM dataset did not undergo hypothermia

\*\*cohort consists of n = 13 cases from UZ Leuven and n = 43 cases from VUmc

TABLE 3 Summary of analyzed dosing regimens in model-based simulations

Dosing regimen Reference	De Cock 2012 (11)	Smits 2015 <sup>a</sup> (2)	Smits 2015 <sup>b</sup> (2)	Proposed dosing regimen
Description	Original model based dosing regimen	Simplified model based dosing regimen	Current dosing regimen	Current dosing with 12-hours interval increase
Current weight (g)				
1200–2000	15 mg/kg, 36h	15 mg/kg, 36h	15 mg/kg, 36h	15 mg/kg, <b>48h</b>
2000–2800	13 mg/kg, 30h	15 mg/kg, 30h	15 mg/kg, 36h	15 mg/kg, <b>48h</b>
> 2800	12 mg/kg, 24h	15 mg/kg, 24h	15 mg/kg, 30h	15 mg/kg, <b>42h</b>





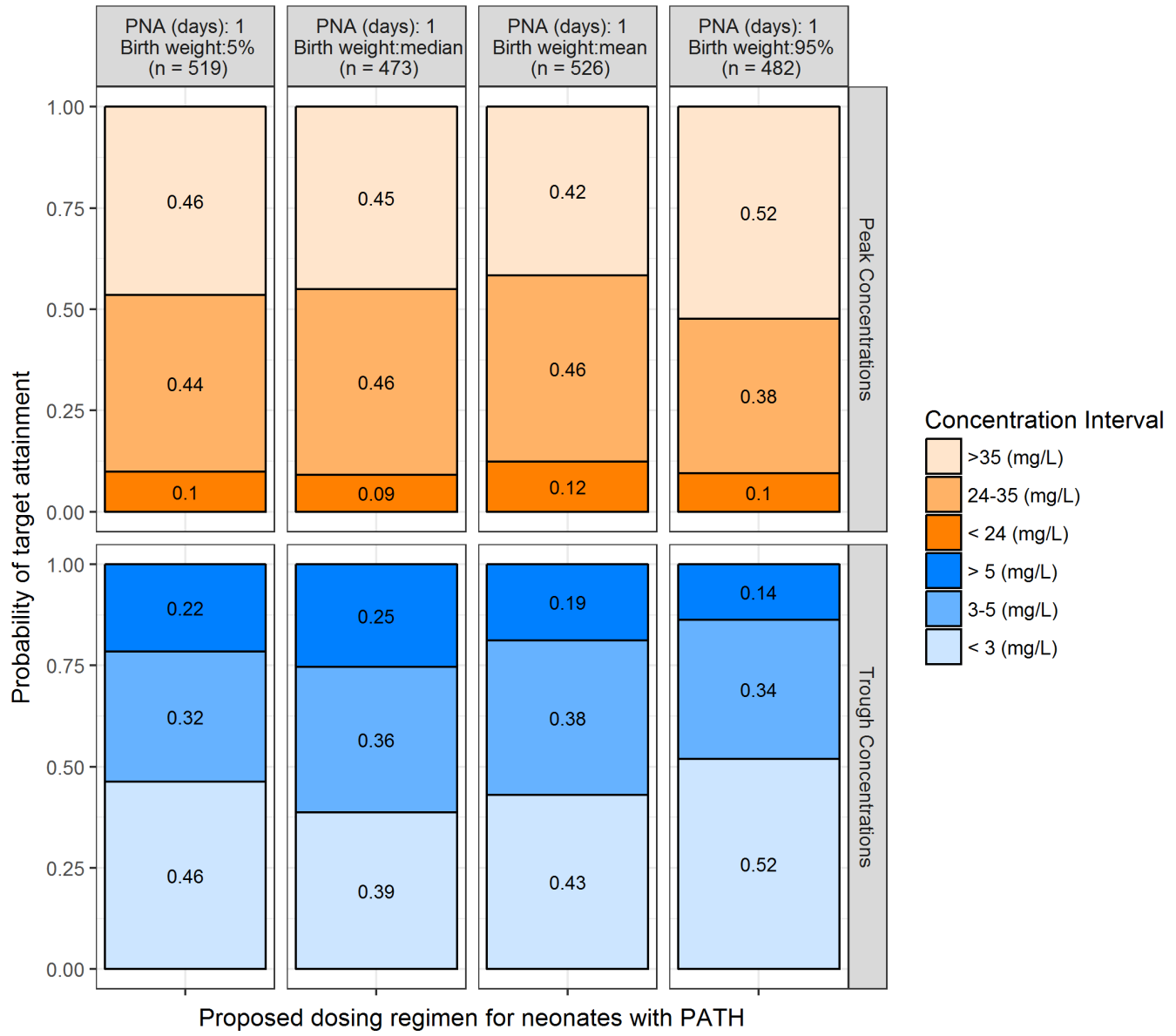




TABLE 4 Parameter estimates and Bootstrap results of the final model compared with previously published model (11)

Parameter estimates	Units	De Cock <i>et al.</i> 2012 (11)	Model Estimates (%RSE)	Bootstrap Median	95% Prediction Interval	
<b>Structural Model</b>						
Clearance	L/h/kg	0.0493 (2.2%)	0.0495 (2%)	0.0497	0.048–0.052	
Central Volume of Distribution*	L	0.833 (1.34%)	0.832 (1%)	0.826	0.808–0.845	
Intercompartmental Clearance (as a fraction of CL)	L/h	0.415 (12.3%)	0.45 (11%)	0.482	0.402–0.575	
<b>Covariates</b>						
Hypothermic treatment ( $\theta_{HT}$ )	**	-	0.594 (9%)	0.587	0.498–0.673	
Birthweight ( $\theta_{BW}$ )	**	1.34 (2.04%)	1.34 (2%)	1.344	1.294–1.391	
Current weight ( $\theta_{CW}$ )	***	0.919 (2.46%)	0.926 (2%)	0.923	0.884–0.960	
Postnatal Age ( $\theta_{PNA}$ )	**	0.213 (9.81%)	0.22 (8%)	0.222	0.198–0.255	
Ibuprofen ( $\theta_{ibuprofen}$ )	**	0.838 (3.88%)	0.838 (4%)	0.836	0.779–0.894	
<b>Inter-individual Variability [Shrinkage %]</b>						
Clearance	CV%	30% (14.9%)	32% (13%)	[17%]	0.105	0.082–0.127
<b>Residual variability</b>						
Additive	mg/L	0.267 (27.2%)	0.305 (24%)	[15%]	0.505	0.277–0.758
Proportional	%	0.061 (8.19%)	0.0606 (8%)	[15%]	0.057	0.050–0.065

\*Central Volume of Distribution = Peripheral Volume of distribution

\*\*  $Clearance = PopCL * \left(\frac{CW}{1.720}\right)^{\theta_{BW}} * \left(1 + \frac{PNA}{2}\right) * \theta_{PNA} * \theta_{ibuprofen} * \theta_{hypothermic\ treatment}$ \*\*\*  $V_1 = PopV_1 * \left(\frac{CW}{1.720}\right)^{\theta_{BW}}$