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4 Q1 **On the identification of low allele frequency mosaic mutations in the**  
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6 **brains of Alzheimer disease patients**  
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20  
21 **Abstract**

22 **Background:** The cause of sporadic Alzheimer's disease (AD) remains unclear. Given the growing  
23 evidence that protein aggregates can spread in a "prion-like" fashion, we reasoned that a small pop-  
24 ulation of brain cells producing such "prion-like" particles due to a postzygotic acquired mutation  
25 would be sufficient to trigger the disease. Deep DNA sequencing technology should in principle allow  
26 the detection of such mosaics.

27 **Methods:** To detect the somatic mutations of genes causing AD present in a small number of cells,  
28 we developed a targeted deep sequencing approach to scrutinize the genomic loci of *APP*, *PSEN1*,  
29 and *PSEN2* genes in DNA extracted from the entorhinal cortex, one of the brain regions showing  
30 the earliest signs of AD pathology. We also included the analysis of the *MAPT* gene because muta-  
31 tions may promote tangle formation. We validated candidate mutations with an independent targeted  
32 ultradeep amplicon sequencing technique.

33 **Results:** We demonstrate that our approach can detect single-nucleotide mosaic variants with a 1%  
34 allele frequency and copy number mosaic variants present in as few as 10% of cells. We screened 72  
35 AD and 58 control brain samples and identified three mosaic variants with low allelic frequency  
36 (~1%): two novel *MAPT* variants in sporadic AD patients and a known *PSEN2* variant in a Braak  
37 Q4 II control subject. Moreover, we detected both novel and known pathogenic nonmosaic heterozygous  
38 variants in *PSEN1* and *PSEN2* in this cohort of sporadic AD patients.

39 **Conclusion:** Our results show that mosaic mutations with low allelic frequencies in AD-relevant  
40 genes can be detected in brain-derived DNA, but larger samples need to be investigated before a  
41 more definitive conclusion with regard to the pathogenicity of such mosaics can be made.

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43 **Keywords:**

44 Somatic mutation; Mosaicism; Alzheimer's disease; Prion-like spread; Genetics

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50 **1. Introduction**

51 Q3 The concepts of somatic disease-causing mutations and  
52 of mosaic genomic heterogeneity are well known in the eti-  
53 ology of cancer [1–3]. Recently, several studies have  
54 highlighted the role of such acquired mutations as

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pathogenic drivers for neurodevelopmental diseases [4–7]. The possibility that mosaic mutations contribute to neurodegenerative diseases should also be considered [8–11]. Indeed, neurons accumulate a wide spectrum of somatic mutations, in the forms of single nucleotide variants (SNVs), insertion/deletions (indels), retrotranspositions, copy number variants (CNVs), and whole-chromosomal aneuploidies [4,5,12–14]. Although the mutation rate of human cells varies for different kind of mutations and for different tissues, a rate of  $1 \times 10^{-10}$  de novo point mutations per base per cell cycle is a reasonable estimate [15,16], implying approximately one new mutation per cell division. The brain contains  $\sim 10^{11}$  neurons and about a similar number of nonneuronal cells [17], thus it is easily conceivable that pathogenic mutations may arise de novo in a mosaic fashion during its ontogenesis. Depending on the time point of the mutation appearance in the cell lineage tree descending from the zygote, the sequencing of DNA isolated from blood may only exceptionally detect such mutation [18] (Fig. 1). This explains why this potentially important phenomenon has not been systematically investigated for Alzheimer's disease (AD).

Most AD patients are sporadic (SAD), i.e., characterized by a late onset and unclear familial inheritance. The biochemical and clinical features of SAD resemble those of familial AD (FAD), which is characterized by a clear autosomal dominant inheritance of causative mutations in mainly three genes (*APP*, *PSEN1*, and *PSEN2*) [19,20]. Growing evidence that protein aggregates of A $\beta$  or Tau (encoded by *MAPT* gene) can spread in the brain

and act as local initiators of further aggregation of normal proteins in a “prion-like” fashion [21–25], provides a mechanistic framework to understand how somatic mutations in the brain could spark neurodegenerative disease. De novo mosaic mutations of AD-relevant genes would create a *nidus* of mutant cells mixed between normal cells that would continuously produce and release proaggregating proteins. Such aggregates could act as seeds for further protein aggregation at sites distal from their origin (Fig. 1).

Detection of low-grade mosaic mutations has been hindered by the low sensitivity of classical Sanger sequencing, which allows the detection of mosaic mutations only with an allelic frequency of at least 20% [26]. Recent attempts to identify mosaic pathogenic mutations in Parkinson's disease used high-resolution melting analysis, which allows the detection of mutations with 5% to 10% allelic frequency [11]. Here, we deep sequenced DNA libraries enriched for AD-relevant genes to achieve high sequencing depth, followed by an amplicon ultradeep sequencing validation: this approach enabled the detection of mosaic SNVs having an allelic fraction as low as 1%.

## 2. Materials and methods

### 2.1. Samples

Small blocks ( $\sim 100$  mg) of entorhinal cortex were obtained from Lille NeuroBank (BB-0033-00030) and

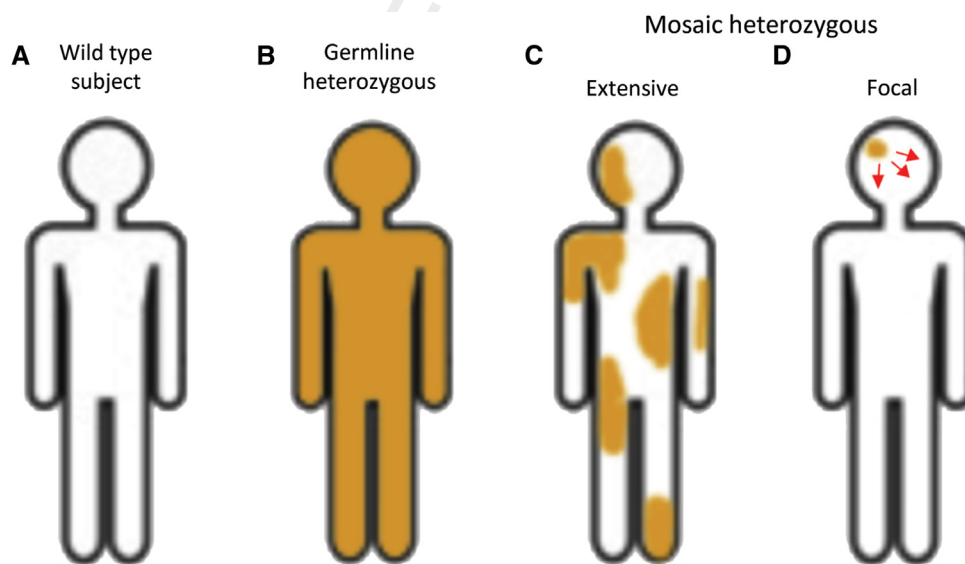


Fig. 1. Somatic mutations and hypothesis of pathology spread in sporadic Alzheimer's disease (AD). An inherited mutation will be carried by all cells of a human body (B), this is the typical case of a familial AD patient. In case of mutations arising in a postzygotic stage, an individual will be a genetic mosaic for such mutation, with cells either carrying the mutation (orange) or not (white). Depending on the developmental time point of the appearance of the mutation, genetic mosaics can be either extensive (C), with mutant cells appearing in several organs/tissues, or focal (D), when mutant cells are localized in a single organ/tissue. Our working hypothesis is that some sporadic AD patients are focal mosaics for mutations in AD-relevant genes appearing in brain cells. Amyloid beta (A $\beta$ ) and/or tau aggregates produced locally as consequence of the mosaic mutation can then spread (red arrows in (D)) and seed further aggregation in other brain areas in a “prion-like” fashion, thus leading to full blown AD.

from the London Neurodegenerative Diseases Brain Bank. This study was approved by the KU Leuven ethical commission. Plaque burden and Tau tangles were scored according to CERAD parameters and to Braak staging, respectively. Brain samples showing a Braak stage of up to III were included in the “non-AD” group. The “non-AD” group consisted mainly of subjects showing mild ageing processes, consistent with the respective age of death.

## 2.2. DNA isolation

Genomic DNA (gDNA) was isolated from 50 to 100 mg of tissue. Briefly, frozen tissue was mechanically crushed and digested overnight with protease K. Digested samples were treated with RNase A (Qiagen, Venlo, The Netherlands). Subsequently, DNA was isolated with phenol:chloroform:isoamyl alcohol, washed with chloroform:isoamyl alcohol and precipitated with cold 100%. The DNA pellet was washed with 70% ethanol, air-dried, and resuspended in TE buffer. Double-stranded DNA content was assayed using Qubit dsDNA BR assay with a Qubit 2.0 Fluorometer (both from Life Technologies, Gent, Belgium). DNA isolation and quantification were carried out in a laboratory separated from the sites where sequencing libraries were prepared, to minimize the contamination of tissue samples and DNA stocks.

## 2.3. Custom library enrichment for region of interest

A SeqCap EZ Choice Library (NimbleGen, Roche NimbleGen, Madison, WI) was designed to target the genomic regions of *APP* (chr21:27242859-27553138), *PSEN1* (chr14:73593141-73700399), *PSEN2* (chr1:227048271-227093804), and *MAPT* (chr17:43961646-44115799), including 10 kb both upstream and downstream of each locus. For *MAPT*, we also included regions specific for the alternate assembly of chr17\_ctg5\_hap1 (chr17\_ctg5\_hap1:762280-895830). All genomic coordinates refer to the human genome build hg19.

## 2.4. Library preparation, enrichment, and deep sequencing

For library preparation, 3 µg of gDNA (corresponding to ~461,538 copies of diploid genomes, based on an average human diploid cell DNA content of 6.5 pg) was sheared by sonication and indexed libraries were prepared using the TruSeq DNA kit (Illumina, San Diego, CA). Pools of 10 libraries were enriched with the SeqCap probeset described previously, following manufacturer's recommendations. Each enriched-pool was paired end (2 × 100 bp) sequenced using a lane of HiSeq2000 (Illumina). To ensure the correct assignment of sequences to each of the pooled samples, indexes had at least three different nucleotides between each other, and sequence demultiplexing was allowed a maximum of one mismatch in the index. Library preparation, enrichment, and sequencing were carried out in the

UZ Genomics Core facility, following strict rules for pre- and post-PCR rooms.

## 2.5. Data analysis

Raw sequencing data (FASTQ files) were aligned to the hg19 reference genome using BWA (version 0.6.2) [27]. Regions of interest (ROIs) were extracted from the SAM files using samtools (version 0.1.18) [28]; sequences were then realigned around indels and base qualities were recalibrated using GATK (version 2.0-39-gd91f72) [29]. Variant calls were made using VarScan 2.3.2 [30] on single-sample samtools mpileup files and annotated using Annovar (version 2012May25) [31]. Variants are reported according to the following transcripts: NM\_000484 (*APP*), NM\_001123066, and NM\_005910 (*MAPT*), NM\_000021 (*PSEN1*), NM\_000447 (*PSEN2*). CNVs were analyzed using VarScan and the DNACopy (version 1.36.0) R package. Data were analyzed using the free statistical software R (<http://www.r-project.org/>).

## 2.6. Amplicon deep sequencing

Primers were designed using Primer3 (<http://bioinfo.ut.ee/primer3-0.4.0/>), excluding primers overlapping the positions of known SNPs (as obtained by UCSC genome browser, track common SNPs [138]). Primers were synthesized by IDT (Leuven, Belgium). Amplicons were prepared using HotStar HiFidelity Polymerase kit (Qiagen) following the manufacturer's recommendations. PCR reactions were carried out for 25 cycles, using 25 ng of template DNA (corresponding to ~3846 copies of diploid genomes). Amplicons were analyzed on 2% agarose gels stained with GelGreen (Biotium, Hayward, CA) and specific bands were cut and purified using QIAquick gel extraction kit (Qiagen). Purified amplicons were quantified with Qubit dsDNA HS assay (Invitrogen) and pooled. Individual pools were used to prepare indexed sequencing libraries and sequenced on a MiSeq (Illumina) using paired-end 300 bp reads. FASTQ files were aligned to the hg19 reference genome using BWA-MEM algorithm of BWA, mutation calling was performed using samtools mpileup and VarScan. To minimize risks of contamination, amplicons were prepared and sequenced in different laboratories from those where DNA had been isolated and where HiSeq libraries were prepared.

## 2.7. Sanger sequencing

Primer design and amplicon preparation were performed as described previously. The VIB Genetic Service Facility (<http://www.vibgeneticservicefacility.be/>) performed Sanger sequencing of the purified amplicons.

## 2.8. Quantitative PCR

The copy number of *APP* locus was assessed by quantitative PCR using predesigned TaqMan Copy number assays

(Hs01180853\_cn, Hs00525904\_cn, Hs05547973\_cn) from Applied Biosystems (Foster City, CA). qPCR reactions were assembled in 96-well plates according to manufacturer's instructions using TaqMan Genotyping Master Mix (Applied Biosystems) and 20 ng of template DNA/reaction. Assays were run in technical quadruplicates on a Lightcycler LC480 (Roche Diagnostics, Basel, Switzerland).

### 3. Results

#### 3.1. Targeted deep-sequencing allows the detection of mosaic mutations with 1% allele frequency

The detection of low-grade mosaic variants is a major methodological challenge, critically relying on high sequencing depth to correctly call a variant over a large number of wild-type sequences and to discriminate the variant from noise due to sequencing errors and read misalignments [32]. To maximize the coverage across our ROIs we enriched our libraries using a custom-designed probe set for the genomic loci of *PSEN1*, *PSEN2*, *APP*, and *MAPT* genes, including 10 kb up- and downstream for each locus, to gather enough information for both SNV and CNV calling. Available solutions to read misalignments are local realignment (with tools such as GATK IndelRealigner [29]) and base quality recalibration (with algorithms such as BAQ recalculation implemented by samtools mpileup [33]). Sequencing errors can be estimated using several computational methods, here we use Varscan 2.0 [30] as it was found to excel in low-grade mosaic mutations calling [32].

To establish our workflow and benchmark our method, we analyzed a series of "synthetic mosaics" prepared by mixing gDNA of fibroblasts carrying a heterozygous APP E682K mutation (C > T chr21:27269905) with gDNA carrying a homozygous wild-type allele (Supplementary Fig. 1A). As expected, on average more than half of the total reads were aligned to the ROI ( $52.3\% \pm 5.9\%$ , avg  $\pm$  standard deviation or SD), allowing for high sequencing depth (average coverage  $2735X \pm 429X$  SD; average  $82.6\% \pm 3.9\%$  SD of ROI covered at  $\geq 1000\times$ ). To maximize the sensitivity and specificity of mosaic SNV calling, we tested several pipelines combining the modules of GATK and mpileup BAQ with Varscan (Fig. 2). The position of the pilot *APP* mutation was highly covered in all "synthetic mosaic" samples ( $3572 \pm 759$  reads, avg  $\pm$  SD) and could be readily identified by several calling pipelines down to the 1% "synthetic mosaic" (actual observed mutant allele frequency of 0.94%–0.96%), whereas the 0.5% "synthetic mosaic" could not be distinguished from the 0% sample (Fig. 2A). A high base-quality cut-off [30] in Varscan in combination with BAQ recalculation failed to call the heterozygous mutation. To evaluate the sensitivity of our method, we considered all the heterozygous SNVs in the

original APP mutant DNA sample which were absent in the admixed one (therefore following the same behavior as the pilot mutation in the mixed samples, Supplementary Fig. 1A) and which were sequenced at  $\geq 1000\times$ . Sensitivity and accuracy, as measures of the fraction of mosaic variants called and the correctness of the observed mutant allele frequency, respectively, were strikingly different across the pipelines, the best performing being GATK-BAQ-V15 and noGATK-BAQ-V15 (Fig. 2B). Both pipelines were able to detect all the synthetic mosaic variants at 1% ( $n = 38$  and  $36$ , respectively) at high accuracy (observed mutant allele frequency  $1.6\% \pm 0.7\%$  SD) (Fig. 2B). The accuracy for the "synthetic mosaic" variants at 0.5% was not satisfactory, as they did not recover all the variants (for a variant to be called in a "synthetic mosaic" sample, its observed allele frequency had to be higher than the average observed allele frequency in the 0% sample, for the same pipeline) (Fig. 2B, right panel).

To evaluate specificity, we reasoned that genomic positions sequenced at very high depth ( $\geq 1000\times$ ) and displaying no mutant bases in both original DNAs should show no mutant bases in the mixed samples as well. Mutations called at these positions in the mixtures would then constitute false positive (FP) calls. With all the tested pipelines we identified a very high number of FP calls having very low allelic frequencies, as expected (Supplementary Table 1, Supplementary Fig. 2 and Fig. 2C). In general, the number of FP rose steeply below the 1% mark, explaining why the 0.5% "synthetic mosaic" could not be distinguished from background errors (Fig. 2A and B). BAQ recalculation greatly reduced the number of FP, in particular those few with allelic frequency greater than 1% (Fig. 2C, compare left and middle panels).

Taken together, these results show that the GATK-BAQ-V15 analysis pipeline is able to detect the "synthetic mosaic" variants with an alternate allele frequency as low as 1%, with a manageable trade-off of FP calls.

#### 3.2. Identification and validation of low-grade mosaic variants in brain

We next analyzed gDNA isolated from entorhinal cortex samples of a cohort of 72 SAD and 58 non-AD control subjects (demographics are provided in Supplementary Fig. 3 and Supplementary Table 2). As expected, capture efficiency varied between different experiments ( $41\% \pm 20\%$  SD of the total sequences aligned to ROI) but the average sequencing depth across our ROI was in all instances high enough for the detection of low-grade mosaic mutations ( $2153X \pm 985X$ , avg  $\pm$  SD;  $85.3\% \pm 8.6\%$  avg  $\pm$  SD of ROI covered at  $\geq 1000\times$ ). We determined all variants using the established variant calling pipeline, and selected for further analysis the nonsynonymous variants with an observed allelic frequency  $\geq 0.9\%$

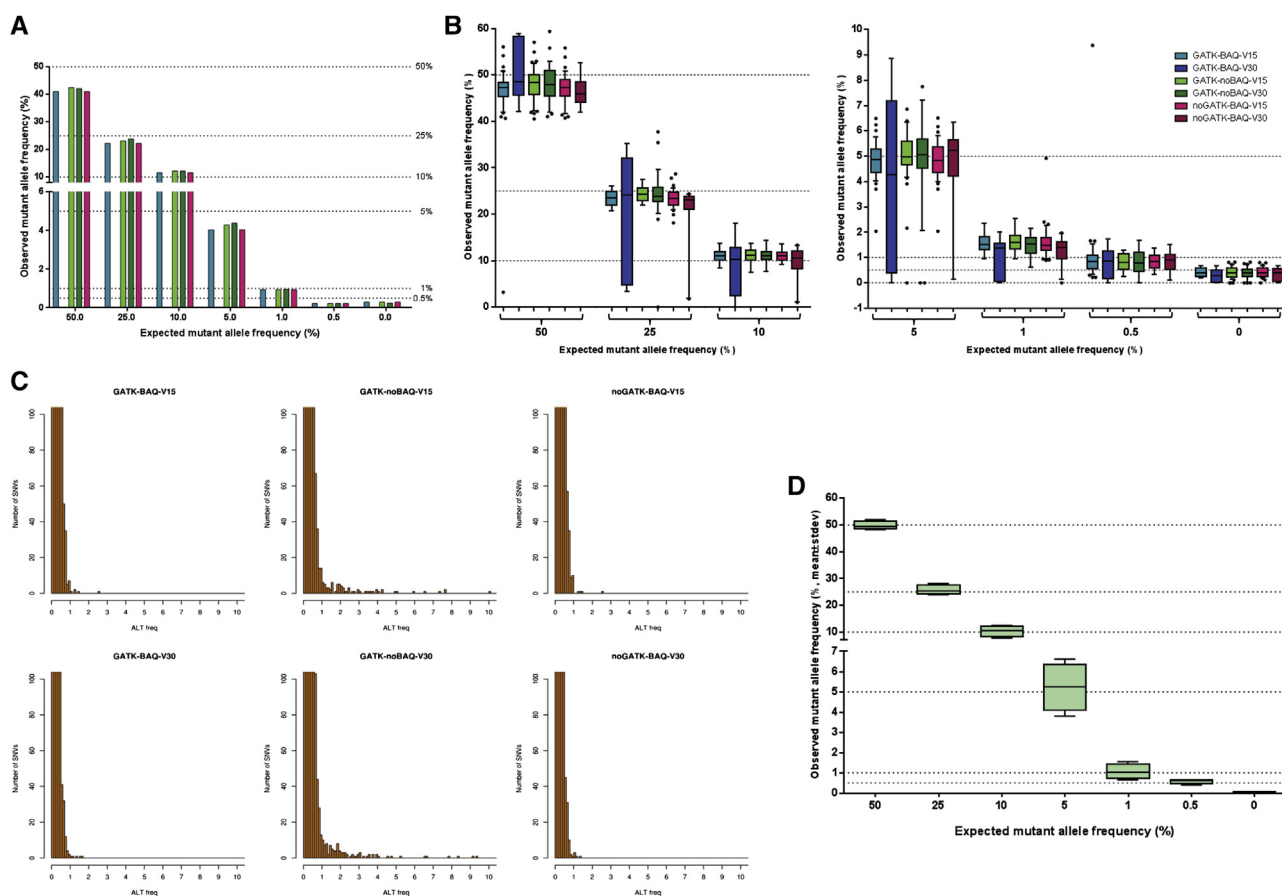


Fig. 2. Deep sequencing of capture-enriched libraries allows the detection of 1% mosaic mutations and MiSeq targeted amplicon sequencing allows the detection of mosaic variants with 0.5% mutant allele frequency. (A) We tested several combinations of software to call mutations in the sequenced libraries, i.e., the GATK indel-realigner/base-recalibration (GATK), the mpileup with (BAQ) and without (noBAQ) BAQ recalibration and the Varscan quality filter (V15 and V30 for base quality thresholds of 15 and 30, respectively). We used a defined series of serial dilutions of DNA bearing an *APP* single nucleotide variant (SNV) (C > T at position chr21:27269905) and wild-type DNA. For each combination of software tools (pipeline), the observed mutant allele frequency of the pilot *APP* SNV is plotted against the expected mutant allele frequency. (B) To further assess sensitivity and accuracy of the pipelines, we analyzed the SNVs called heterozygous in the *APP* mutant DNA and wild type in the admixed DNA. For each dilution sample (indicated by the expected mutant allele frequency on the x axis), we plot the observed mutant allele frequency of the SNVs analyzed by each pipeline (n = 38 for GATK-BAQ-V15, n = 8 for GATK-BAQ-V30, n = 41 for GATK-noBAQ-V15, n = 29 for GATK-noBAQ-V30, n = 36 for noGATK-BAQ-V15, n = 12 for noGATK-BAQ-V30). Boxes extend from the 25th to the 75th percentile with whiskers extending to 10th and 90th percentile, data points outside the whiskers are represented with dots. The horizontal line in each box represents the median. The plot has been divided in two panels to allow better readability. (C) To evaluate the specificity of each calling pipeline, we plotted the sum of the false positive (FP) SNV calls detected in the five dilution samples (number of SNVs, y axis) for each bin (0.1%) of mutant allele frequency (ALT freq, x axis); axes are zoomed to 10% (x axis) and 100 (y axis). The full graphs are reported in [Supplementary Fig. 2](#). (D) To validate candidate mosaic mutations identified by HiSeq sequencing of capture-enriched libraries, we used amplicon sequencing on a MiSeq. This latter method was benchmarked by mixing the genomic DNA of four individuals with mutations at positions chr1:227083249 (*PSEN2* gene), chr14:73653568 (*PSEN1* gene), chr17:44067341 (*MAPT* gene), and chr21:27269905 (*APP* gene), respectively, wild-type DNA to prepare templates with different amounts of mutant alleles (25%, 10%, 5%, 1%, and 0.5%). Parental DNAs (50% and 0% mutant alleles, respectively) and mixed samples were PCR amplified and sequenced on a MiSeq. For each dilution (n = 4 for dilution step), the observed mutant allele frequency is plotted as a box plot (same as in (B)). In each case the observed frequency closely matches the expected frequency (dotted lines). Mutations present at 0.5% mutant allelic frequency could be called against the background.

and with a coverage  $\geq 900$ . Based on the analysis of the “synthetic mosaic” samples, an allelic frequency cut-off of 0.9% provides very high sensitivity ( $>97\%$ ) with an acceptable trade-off of FP calls ( $4.2 \pm 3.6$ , average  $\pm$  SD, FP calls per sample of 130,744 potential positions; [Supplementary Fig. 4](#)). We also note that using a minimum coverage of  $900\times$  instead of  $1000\times$  leads to one extra FP calls at a 0.9% allelic frequency cut-off ( $4.2 \pm 3.6$  FPs/sample versus

$3.2 \pm 2.8$  FPs/sample, respectively). Excluding a series of known nonpathogenic *MAPT* polymorphisms (P202L, Q230R, D285N, V289A, R370W, Y441H, S447P), we retained a total of 128 variants. Of these, 107 had a mutant allele frequency compatible with a mosaic nature ( $0.9\% \leq \text{frequency} < 40\%$ ), whereas the remainder had allele frequencies between 40% and 60% and were therefore inferred to be heterozygous ([Supplementary Table 3](#) and [Fig. 3](#)).

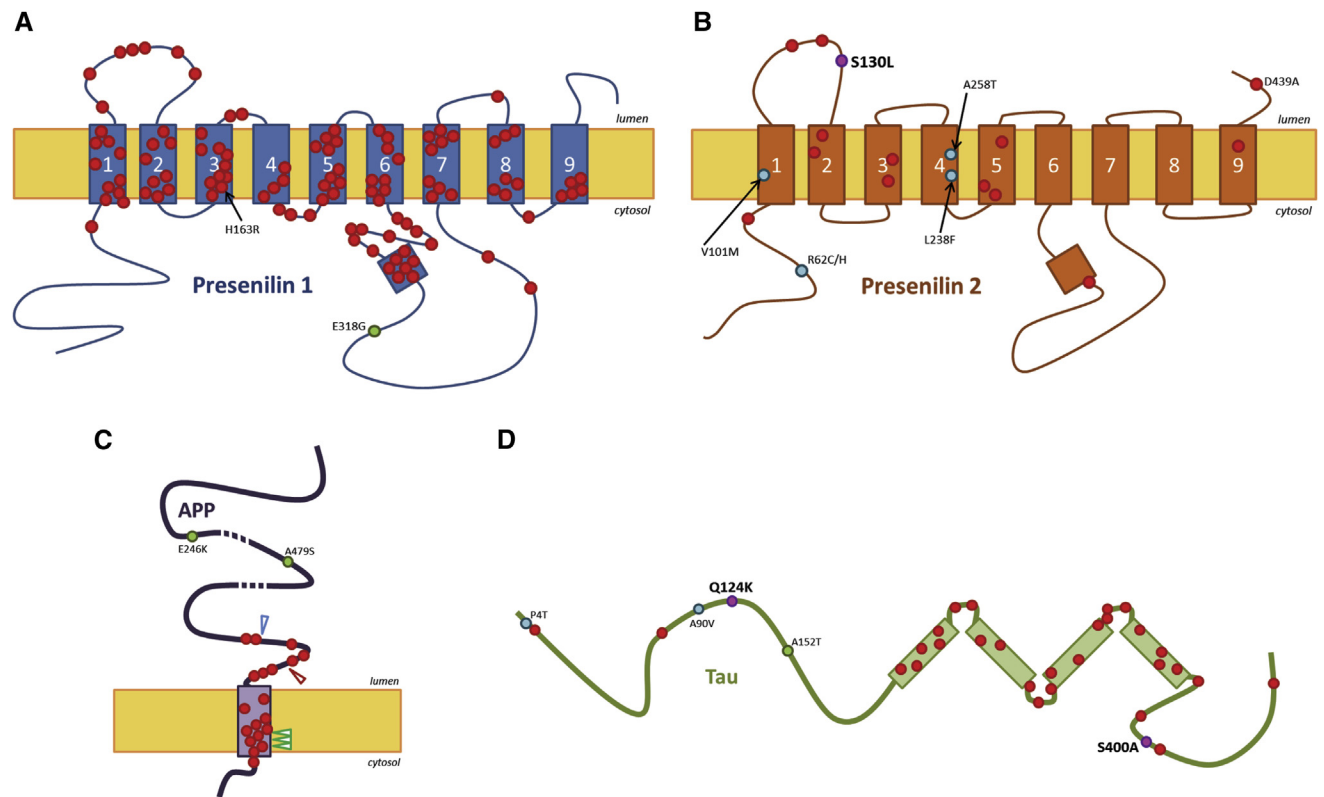


Fig. 3. Mosaic and heterozygous nonmosaic variants found. We illustrate the positions of mosaic mutations (purple dots) and of novel mutations (blue dots) found in the present study in relation to previously described pathogenic mutations (red dots) and known nonpathogenic variants (green dots) in PSEN1 (A), PSEN2 (B), APP (C), and Tau (D). Mutations found in this study are named, mosaic ones are in bold. Numbered blue boxes in (A) and (B) represent transmembrane domains of PSEN2, green boxes in (D) represent the microtubule binding domains of Tau. The blue, red, and green triangles in (C) indicate  $\beta$ -,  $\alpha$ -, and  $\gamma$ -secretase cleavage sites, respectively.

By extrapolating the number of FP calls per sample counted in our pilot experiment to the exonic regions captured in these experiments (18,836 nucleotides), we expected 0.1 to 1.1 FP calls per sample. Thus we anticipated between  $\sim 12$  and  $\sim 145$  FP calls in the analysis of the full cohort. Therefore, to validate candidate mosaic variants, we applied an independent amplicon ultradeep resequencing approach. Amplicons were sequenced on an Illumina MiSeq to very high depth (12,455X to 324,885X depend-

ing on the amplicon, on average  $111,485X \pm 81,033X$  SD) and analyzed with the GATK-BAQ-V15 pipeline (Supplementary Table 3). To assess the sensitivity and accuracy of this approach, we diluted gDNA of four individuals bearing a different heterozygous mutation confirmed by Sanger sequencing with wild-type gDNA, covering a wide range of mutant allele frequencies (Fig. 2D). In all cases, the observed mutant allele frequencies closely matched the expected ones (Pearson  $r = 0.996$ ,

Table 1

Mosaic mutations found in our cohort

Subject	Group	Gene	Mut	rsID	Notes	Familiarity	Variant	ALT frequency HiSeq	ALT frequency MiSeq	MAF	Polyphen2
BBN_9943	AD	MAPT	Q124K		NOVEL	No	C > A chr17:44055803	1.1	1.0	n.a.	Probably damaging
BBN_9959	AD	MAPT	S735A (S400A)		NOVEL	None recorded	T > G chr17:44101409	1.0	0.7	n.a.	Probably damaging
BBN_16242	CT	PSEN2	S130L	rs63750197	Known	None recorded	C > T chr1:227073271	5.7	1.6	>0.1	Possibly damaging

NOTE. For each of the three validated mosaic mutations, we report the information on the mutation bearing subject (diagnostic group, family history of AD) and the information on the mutation (amino acid change, nucleotide change, genomic position, alternate allele frequency observed with HiSeq sequencing of the enriched library and the one observed with MiSeq sequencing of the targeted amplicon, MAF in the population (%) according to dbSNP and the effect predicted by Polyphen2). Mutation MAPT S735A (Tau-G numbering) is equivalent to MAPT S400A (Tau-F numbering, 441 amino acid isoform).

Fig. 2D). Importantly, mutations with frequencies as low as 0.5% could be readily called against background signal (observed mutant allele frequencies of  $0.58\% \pm 0.12$  (avg  $\pm$  SD) for variants expected to be 0.5% versus  $0.05\% \pm 0.03$  (avg  $\pm$  SD) when 0% was expected, Fig. 2D), highlighting the superb sensitivity and accuracy of the validation assay.

Only three out of the 107 candidate variants were confirmed in the validation assay (Table 1 and Fig. 3). We considered a variant to be validated if its observed mutant allele frequency was above the sensitivity of detection of MiSeq, estimated to be 0.5% from Fig. 2D. Subject BBN\_9943, who died at 90 years old diagnosed with AD at Braak stage VI and CERAD plaque score C, showed a novel MAPT Q124K mutation present at 1.0% allelic frequency (concordant with the observed 1.1% frequency of the HiSeq sequencing). A control subject, BBN\_16242, who died at 90 years old was diagnosed with mild AD-type changes (modified Braak stage II) and mild amyloid angiopathy, and showed a known PSEN2 S130L mutation present at 1.6% allelic frequency (in contrast to the 5.7% observed alternate allele frequency reported by HiSeq sequencing). Subject BBN\_9959, an AD patient with an apparent age of onset at 85 years and deceased at 91 years, showed a novel MAPT S735A (S400A in the Tau-F isoform) present at 0.7% allele frequency (compared with a 1.0% frequency observed by HiSeq sequencing).

The candidate mosaic C > T variant at chr1:227073271 (PSEN2 S130L mutation) discovered in 13 different subjects in the initial screen, was found to be a FP call in 12 of them following validation. Contamination from the sample carrying the heterozygous PSEN2 S130L variant is unlikely, as this sample and those carrying the candidate variants were prepared far apart in time and sequenced in different sequencing runs. More conclusively, three nearby heterozygous variants in the heterozygous S130L carrier (allele frequencies of 46.14%, 45.42%, and 46.71%, respectively) were not found with compatible allele frequencies in the mosaic S130L carrier (below the defined limit of 0.9% for the detection of mosaic variants in HiSeq sequences for the first two and 99.5%, for the last one). Thus the detection of this particular mosaic variant cannot be explained by contamination with the DNA stock or sequencing library from the heterozygous sample. These results indicate that our targeted deep sequencing method is able to identify candidate mosaic variants but with a high cost of false positives. Amplicon ultradeep resequencing is therefore absolutely necessary for validation.

### 3.3. Validation of heterozygous variants confirms their likely germline nature

From the initial targeted deep sequencing, we also identified 21 heterozygous variants (Table 2). Four vari-

ants are known risk factors (PSEN1 E318G, MAPT V224G, MAPT S427F and MAPT A469T) [34,35] and one is nonpathogenic (MAPT IVS10 + 29) [36]. Moreover, two APP mutations (E246K and A479S) are located outside the A $\beta$  region and are unlikely pathogenic. All remaining variants were validated by classical Sanger sequencing and further investigated in other tissues when possible, in an effort to clarify their germline or mosaic nature.

For one subject, carrying PSEN2 A258T, DNA extracted from blood cells was available, which allowed unequivocal confirmation of the germline nature of the variant. In all the other instances, only DNA from other brain areas was available; here Sanger sequencing analysis showed that these variants were present at heterozygous frequency and are therefore likely germline. Four of such variants, three found in AD subjects with no family history (MAPT A90V, PSEN2 V101M, and PSEN2 L238F) and one in a control subject (MAPT P4T), are novel. The remaining five variants (PSEN1 H163R, PSEN2 R62C, PSEN2 R62H, PSEN2 S130L, and PSEN2 D439A) have been previously described in association with FAD; all of them except the latter were found in SAD patients.

### 3.4. Targeted deep sequencing allows the detection of mosaic CNVs present in as low as 10% of cells

To test the sensitivity and specificity of our method for identifying mosaic CNVs, we analyzed a set of “synthetic CNV mosaic” samples obtained by mixing DNA isolated from amniocytes of a trisomy 21 (T21) fetus with DNA from euploid fibroblasts (75%, 50%, 10%, 5%, 1%, and 0.5% T21 DNA, respectively, Supplementary Fig. 1B), taking advantage of the fact that the APP locus is on chromosome 21.

Data analysis made use of the ratio of normalized sequencing depth (on a log scale, LogR) and of the frequency of the SNP alternate allele (B allele frequency, BAF), which are well-established data sources used for CNV analyses with SNP arrays [37]. In the 100% T21 DNA, trisomy 21 could be readily detected by analyzing the LogR data (Fig. 4A). In addition, the BAF data for this sample show SNPs with allele frequencies of  $\sim 66\%$  and  $\sim 33\%$ , as expected [38] (Fig. 4B). In contrast, both LogR and BAF demonstrated normal values for PSEN2, PSEN1, and MAPT in the 100% T21 sample, consistent with the diploid nature of these loci (Supplementary Fig. 5), thus confirming the accuracy of the method. LogR analysis was furthermore able to identify decreasing grades of mosaicism, down to 10%, across the APP locus (Fig. 4A), confirmed by BAF data analysis (Fig. 4B). As a validation, we tested a qPCR-based CNV detection method with three different commercially available Taqman CNV assays; the sensitivity limit was 25% T21 DNA (Fig. 4C). Thus, we conclude that the enriched library—deep sequencing method and data

Table 2  
Heterozygous variants found in our cohort

Subject	Group	Gene	Mut	rsID	Notes	Familiarity	Variant	ALT frequency			
								HiSeq	MAF	Polyphen2	Other tissues
C08-10048	AD	MAPT	A90V		NOVEL	No	C > T chr17:44051799	45.7	n.a.	Benign	n.a.
BBN_10196	AD	PSEN2	V101M		NOVEL	No	G > A chr1:227071565	43.4	n.a.	Probably damaging	cer
BBN_9967	AD	PSEN2	L238F		NOVEL	No	C > T chr1:227076675	53.5	n.a.	Probably damaging	cer
BBN_3761	AD	PSEN2	R62C	rs150400387	Known	Brother had AD	C > T chr1:227071448	55.3	>0.1	Possibly damaging	cer
C09-20305	AD	PSEN1	H163R	rs63750590	Known	No	A > G chr14:73653568	49.4	NA	Possibly damaging	hippo, cer
BBN_9975	AD	PSEN2	R62H	rs58973334	Known	No	G > A chr1:227071449	45.7	1.8	Benign	cer
BBN_9975	AD	APP	E246K	rs147485129	Outside of Ab region outside of Ab region	No	C > T chr21:27394285	45.8	NA	Possibly damaging	
C06-25448	AD	PSEN2	S130L	rs63750197	Known	No	C > T chr1:227073271	46.7	>0.1	Possibly damaging	hippo
C08-19292	CT	MAPT	P4T		NOVEL	No	C > A chr17:44039713	39.8	n.a.	Probably damaging	cer
BBN_16281	CT	APP	A479S	rs143794560	Outside of Ab region outside of Ab region	No	C > A chr21:27347406	44.4	NA	Benign	cer
BBN_16213	CT	PSEN2	D439A	rs63750110	Known	No	A > C chr1:227083249	43.0	NA	Probably damaging	cer
UK82	CT	PSEN2	A258T	rs148238688		No	G > A chr1:227076735	52.2	NA	Probably damaging	blood
BBN_10197	AD	MAPT	IVS10 + 29	rs63751443	Not pathogenic	None recorded	G > A chr17:44087797	48.8	NA	NA	
C08-31992	AD	MAPT	IVS10 + 29	rs63751443	Not pathogenic	None recorded	G > A chr17:44087797	46.3	NA	NA	
BBN_9967	AD	PSEN1	E318G	rs362373	Not pathogenic	No	A > G chr14:73673178	53.7	0.9	Benign	
BBN_18399	CT	PSEN1	E318G	rs362373	Not pathogenic	None recorded	A > G chr14:73673178	49.1	0.9	Benign	
BBN_9952	AD	MAPT	V224G	rs141120474	Possible risk factor	None recorded	T > G chr17:44060841	41.0	>0.1	Possibly damaging	
C09-20305	AD	MAPT	A469T	rs143624519	Possible risk factor	No	G > A chr17:44068850	46.7	0.2	Benign	
BBN_9959	AD	MAPT	S427F	rs143956882	Possible risk factor	None recorded	C > T chr17:44067341	44.3	>0.1	Probably damaging	
C08-07965	CT	MAPT	V224G	rs141120474	Possible risk factor	None recorded	T > G chr17:44060841	42.4	>0.1	Possibly damaging	
C06-29159	CT	MAPT	S427F	rs143956882	Possible risk factor	None recorded	C > T chr17:44067341	52.5	>0.1	Probably damaging	

NOTE. For each of the 21 validated heterozygous variants (excluding known nonpathogenic *MAPT* polymorphisms), we report the information on the subject (diagnostic group, family history of AD) and the information on the variant (amino acid change, nucleotide change, genomic position, alternate allele frequency observed with HiSeq sequencing, MAF in the population (%) according to dbSNP, and the effect predicted by Polyphen2). Each variant was validated by Sanger sequencing in entorhinal cortex. For novel and known pathogenic variants, when available, we analyzed also other tissues (cer, cerebellum; hippo, hippocampus; n.a., not available), in every case the variant was confirmed as heterozygous.

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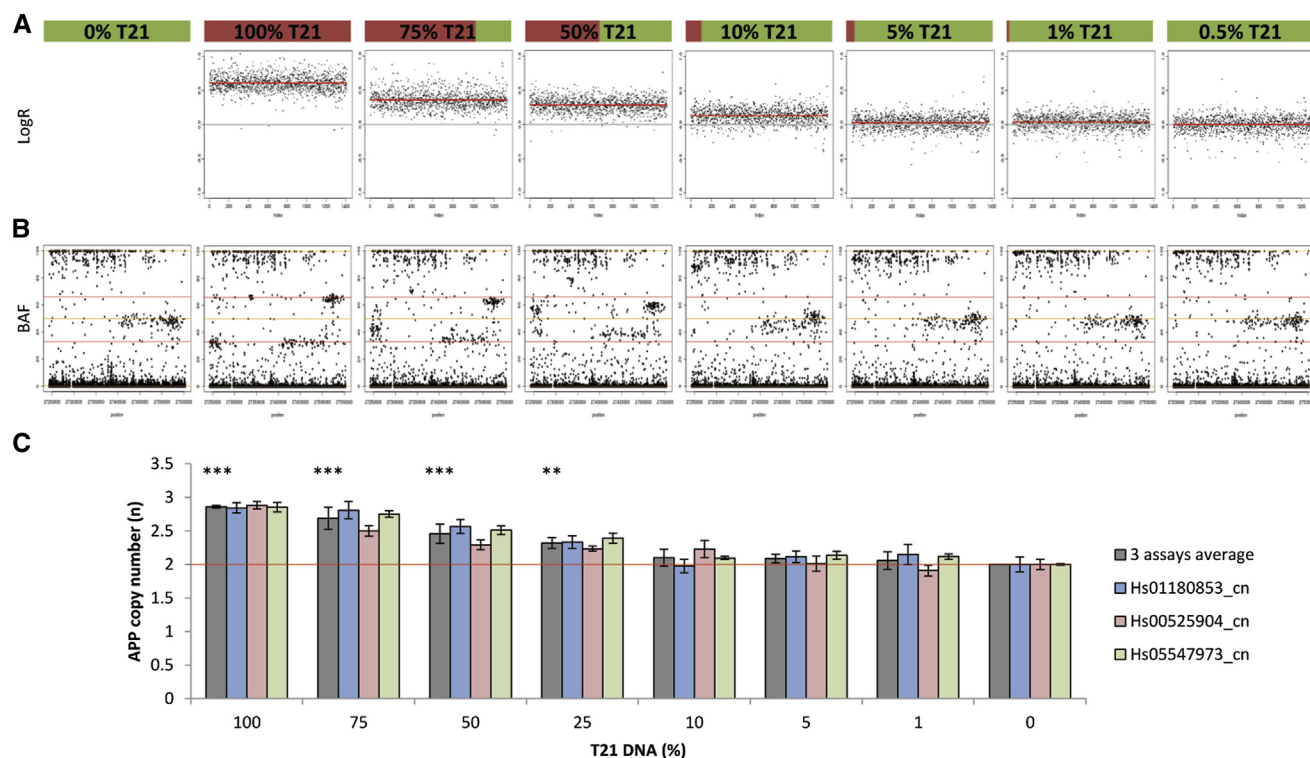


Fig. 4. Deep sequencing of capture-enriched libraries allows the detection of 10% mosaic copy number variants (CNVs). A series of mixtures of DNA from a trisomy 21 (T21) subject and from an euploid subject was analyzed to assess the lower limit of mosaic CNV detection. For each sample in the series (indicated with colored bars), we report the normalized sequencing depth compared with the diploid control (LogR, A) and the B-allele frequency (BAF, B), calculated for all positions of the captured region. The region presented on the x axis in both (A) and (B) corresponds to the captured *APP* locus (chr21:27242859-27553138). Detection of mosaic CNVs was also performed by qPCR using three different probe sets (C). The calculated copy number of the *APP* gene for each sample is reported for each probe set used and as an average of the signal obtained from the three probes for each sample. Asterisks denote statistical significant differences versus the euploid sample ( $***P < .0001$ ,  $**P < .001$ ).

analysis with the LogR method is the most sensitive method to detect mosaic CNVs.

We analyzed accordingly our sequencing data, but no CNVs of *APP*, *PSENI*, *PSEN2*, or *MAPT* were found. These results indicate that the brain samples analyzed had more than 90% of cells with the correct number of copies of these genes.

#### 4. Discussion

The potential contribution of somatic mutagenesis to neurodegenerative disorders is increasingly recognized, but little systematic study of this problem is available. Here, we developed a methodology to analyze the presence of somatic mutations in known FAD genes in the brain. Using a library enrichment-deep sequencing method we were able to simultaneously interrogate the presence of mosaic SNVs having a mutant allele frequency of 1% or more, and mosaic CNVs present in as few as 10% of cells.

Although our method of library enrichment for a ~600 kb ROI allows the simultaneous query of mosaic SNVs and CNVs, based on the analysis of “synthetic mosaic” samples, it is clear that an allele frequency of 1% is an absolute limit for mosaic SNVs detection at high sensitivity and

workable specificity, due to increasingly FP calls. Amplicon-based ultradeep resequencing with MiSeq was sensitive enough to detect “synthetic mosaic” variants having 0.5% mutant allele frequency and enabled the validation of the putative variants. Further studies aimed at the detection of mosaic SNVs from a selected number of genes or exons could thus be efficiently and cost-effectively performed by deep-sequencing of PCR amplicons.

In this pilot study we identified three subjects, two confirmed SAD and one Braak II “control”, with MiSeq-validated mosaic variants. Two of these mosaic variants were novel mutations of *MAPT* of unknown pathogenicity. Bioinformatic prediction by Polyphen2 [39] indicates that both *MAPT* Q124K and *MAPT* S400A (Tau-F numbering) are “probably damaging”. *MAPT* Q124K is located in the N-terminus of tau, whereas all known pathogenic tau mutations concentrate in the microtubule-binding domains of the C-terminus. In contrast, *MAPT* S400A is located in the C-terminus close to a known pathogenic mutation (R406W). Although *MAPT* mutations do not cause familial AD, based on the “double hit” cascade proposed for late onset AD [40] we suggest the possibility that mosaic *MAPT* mutation may co-operate with imbalances in A $\beta$  metabolism (for instance, age-associated clearance problems with A $\beta$ ). Given the

limited number of positive data, we cannot at the moment make any statistical valid prediction about the possible association of such mutations with sporadic AD. The third mosaic mutation, PSEN2 S130L, has been found before in an AD family [41] and was also found in an SAD case [42]. The pathogenicity of the PSEN2 S130L is disputed, as it has been also found in two healthy individuals, however, we note that in one instance the healthy subject was younger than the age of onset for this particular mutation [41], and in the second instance age was not disclosed [42]. In our cohort, we found the PSEN2 S130L variant as a nonmosaic heterozygous mutation also in a SAD patient, who displayed an age of onset at 66 years and died at 88 years of age. Finally, we note that this mutation is predicted “possibly damaging” by Polyphen2 and that it is located in the first loop of PSEN2, next to three other pathogenic mutations (T122P, T122R, E126K), however, pathogenicity of this mutation is clearly not established [42,43].

Recent studies have highlighted that pathogenic variants in AD-related genes can indeed be found in apparently sporadic AD cases, both early [44,45] and late onset [43]. Accordingly, in our study we found a relatively high number of heterozygous mutations in brains from SAD patients. Among these, six variants deserve some further discussion: one confirmed pathogenic mutation (PSEN1 H163R [46]), two variants of uncertain pathogenicity (PSEN2 R62C and PSEN2 S130L), and three novel variants of unknown pathogenicity (PSEN2 V101M, PSEN2 L238F, and MAPT A90V). Both Polyphen2 and SIFT [47] predict that PSEN2 V101M and PSEN2 L238F are “probably damaging” and “damaging” (SIFT scores 0 and 0.05, respectively), whereas MAPT A90V is unlikely pathogenic (predicted “benign” by Polyphen2). These six mutations were heterozygous in both entorhinal cortex and cerebellum, indicating that they are most likely constitutive variants rather than mosaics. Lack of DNA samples from the parents prevented the investigation of whether the mutations occurred de novo in the germline, but clinically the six cases were reported as apparent sporadic. The parents of the patient bearing the pathogenic PSEN1 H163R (rs63750590) [46,48] mutation died at 66 and 72 years, respectively, from heart problems and did not show any signs of cognitive decline, whereas the patient had an onset at 51 years and died at 56 years, hinting that this mutation may have appeared de novo. Further efficient study of mosaic pathogenic variants in neurodegenerative disease requires that brain banks also ascertain access to DNA from peripheral blood and, if possible, to DNA from the close relatives.

We profited from our data set to try to uncover possible mosaic *APP* duplications, as this is a known cause of FAD [49]. Although in this pilot experiment no duplication of the *APP* locus was found, we notice that our method allows detecting a 10% mosaic gain and is therefore at least twice as sensitive as quantitative PCR-based approaches.

Our study demonstrates that the analysis of brain samples (as opposed to blood samples) could provide unexpected

new insights into the possibility that mosaics contribute to the risk of developing AD. Here, we chose to study entorhinal cortex, as this is the area where the first tau aggregates appear over the course of AD pathology [50]. Because mutant cells in this area may have been lost rather early in the disease process, follow-up studies should also sample other brain areas, to explore in a more systematic way the phenomenon of mosaicism for these genes. Moreover, it will be important to study brain samples patients from whom blood and possibly gDNA from both parents are available.

In conclusion, we show that variants in AD-related genes with low allele frequencies can be detected in brain-derived DNA. Although our data cannot formally prove the pathogenicity of the mosaic variants identified, our work prompts for follow-up studies in larger cohorts and using multiple sampling of the same brain to understand whether mosaic mutations might be causally linked to the disease. In fact, and a priori, somatic genetic mosaicism may prove to have a larger effect on disease etiology than common susceptibility factors identified via genome-wide association studies. Our work shows the feasibility of a larger and systematic study to confirm or refute the hypothesis of mosaic mutations as a cause of sporadic AD.

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### Supplementary data

Supplementary data related to this article can be found at <http://dx.doi.org/10.1016/j.jalz.2015.02.007>.

## RESEARCH IN CONTEXT

1. Systematic review: Postzygotic acquired (somatic) mutations in Alzheimer's disease (AD)-relevant genes could originate small group of brain cells producing pathogenic amyloid beta (A $\beta$ ) and Tau aggregates which could spread over the brain, thus causing sporadic AD. "Prion-like" spreading and seeding of both A $\beta$  and Tau aggregates has been documented in vitro and in vivo.
2. Interpretation: We describe a sensitive method to detect and validate low-allele frequency mosaic mutations. Moreover we report the discovery of putative mosaic mutations in brain-derived DNA of AD patients and controls.
3. Future directions: The full extent of pathogenic mosaic mutations in brain will be clear on the examination of multiple brain areas in wider cohorts of subjects. Importantly, the concept of pathogenic mosaic mutations can be explored also in other neurodegenerative diseases, such as Parkinson's disease.

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