

Mechanical analysis of the bending behaviour of plant stems

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1 Abstract

2 In order to optimise the processing of stem crops, insight into the deforma-
3 tion behaviour of the crop and the interaction between crop and machine is
4 essential. Most research in the area of mechanical and physical properties of
5 crop stems is focused on characterising the agricultural products to the point
6 of failure using mechanical parameters and empirical relations. No studies
7 have been conducted on the processes which lead to failure of stems and on
8 the processes that take place after failure. In this paper it is shown that
9 the bending behaviour of wheat and barley stalks is very similar to that of
10 steel tubes. Two consecutive phases can be distinguished: ovalisation and
11 buckling. During ovalisation the forces on the wall tend to flatten the cross-
12 section. When this process continues the flexural stiffness is reduced until
13 the structure becomes unstable and buckles. The cross-section locally com-
14 pletely flattens. This deformed cross-section offers virtually no resistance to
15 bending. Mechanical models described in literature have successfully been
16 adapted to describe the bending behaviour of crop stalks during both phases
17 ($R^2 > 0.98$ for ovalisation and $R^2 > 0.97$ for buckling). The crop species,

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18 growing conditions, stem diameter and wall thickness were found to influ-
19 ence the bending process significantly. The presence of a core-rind structure
20 increases the bending resistance of the stems.

21 *Keywords:* Stem crops, Ovalisation, Buckling, Core-rind structure

Nomenclature

α	Mechanism angle defined in figure 7b (deg)
θ	Bending angle (deg)
θ'	Normalised bending angle (deg)
θ_y	Yield rotation (deg)
ν	Poisson's ratio of the stem (-)
ν_c	Poisson's ratio of the core (-)
ξ	A dimensionless measure of the flattening at the extreme fibre (-)
σ_y	Yield stress (Pa)
ϕ_0, ϕ'_0	Mechanism angles defined in figure 5c (deg)
a, b, c, k	Model parameters
c	Core thickness (m)
C	Curvature (-)
D_1	Diameter of the flattened cross section (m)
E	Young's modulus of the stem (Pa)
E_c	Young's modulus of the core (Pa)
F_y	Force at buckling (N)
H	Deformation (m)
H_y	Deflection at buckling (m)
I_0	Second moment of area for a circular cross section (m ⁴)
I_b	Second moment of area of the deformed cross section (m ⁴)
l	Size of the diamond shape (m)
L	Support distance (m)
L_0	Size of the buckling hinge (m)

M_y	Moment at buckling (N m)
r	Radius (m)
t	Wall thickness (m)
U_1	Energy for circumferential bending (J)
U_2	Energy for longitudinal stretching (J)

22 **1. Introduction**

23 Biological stem crops such as wheat, barley, oats, rice and grasses are
 24 harvested, chopped and compressed in various agricultural machines. For
 25 optimisation of these processes, knowledge of both the deformation behaviour
 26 of the crop and of the interaction between crop and machine is required.

27 *1.1. Bulk models for crop compression*

28 Many researchers have investigated the bulk deformation of stem crops
 29 and the factors influencing this process. Several (empirical) models have
 30 been proposed for describing the bulk compression, including exponential
 31 (Faborode & O’Callaghan, 1986; Ferrero et al., 1990; Nona et al., 2014),
 32 power law (Mewes, 1958) and polynomial forms (Sitkei, 1987). Faborode
 33 & O’Callaghan (1986) and Nona et al. (2014) distinguished two consecutive
 34 phases during the compression of crops. The material changes from an initial
 35 two-phase mixture of solid particles and air into a predominantly solid form at
 36 high densities. In the first phase the stems rearrange and the air voids among
 37 stems are filled. It is hypothesised that friction plays the most important role
 38 during this phase. In the second phase the stems are compressed and thus
 39 flattened.

40 For a more precise description, an additional phase can be added in be-
41 tween these two phases. In this phase, the stems are bent by contact with
42 each other and by contact with machine components. The forces required
43 for bending of stems are smaller than those required for compression. Due
44 to bending the stems become more entangled and more air will be expelled.
45 When a bulk of crop stalks is fed into an agricultural machine, the differ-
46 ent phases take place. The bending phase often plays an important role
47 in the total deformation of the crop because bending of crop stems already
48 significantly takes place at lower forces, in contrast to compression.

49 *1.2. DEM simulations of crop processing*

50 The bulk deformation behaviour of crops is determined by the physical
51 and mechanical properties of the individual crop stems. Due to large vari-
52 ations in the crop characteristics and due to complex interactions between
53 the crop stems, the estimation of bulk deformation behaviour based on stem
54 properties is nearly impossible. To the knowledge of the authors no research
55 has been published on this subject. A bulk modelling approach is moreover
56 unfit for describing the interactions between individual crop stems and ma-
57 chine components. Therefore, discrete element modelling (DEM) has been
58 proposed as a better way to model the behaviour of particulate systems (Ti-
59 jskens et al., 2003). Very recently DEM was used to create virtual crop stems
60 (Lenaerts et al., 2014). Linear elastic models were used to describe the forces
61 during deformation of the stems. These models resulted in realistic behaviour
62 for relatively small forces and deformations. For larger deformations the de-
63 formations are, however, plastic. Annoussamy et al. (2000) showed that the
64 bending behaviour of wheat stems is only linear for the initial deformations.

65 More realistic models are required for obtaining accurate simulations. For
66 this more insight is needed into the phenomena which occur during deforma-
67 tion of individual crop stalks.

68 *1.3. Deformation of individual crop stems*

69 Most research in the area of mechanical and physical properties of crop
70 stems can be classified as the application of fundamental principles of me-
71 chanics to the mechanical behaviour of crop stems and the adaptation of test
72 procedures to agricultural materials (Nazari Galedar et al., 2008). Methods
73 and procedures for measuring physical and mechanical parameters of agri-
74 cultural products have been described by Huisman (1978), Mohsenin (1986)
75 and O'Dogherty et al. (1995).

76 Many studies have been conducted on characterising crop stems at the
77 particle level to the point of failure. This was done for different crops and
78 various conditions (Bright & Kleis, 1964; O'Dogherty et al., 1995; Annous-
79 samy et al., 2000; Yu et al., 2006; Nazari Galedar et al., 2008). Often an
80 empirical relation is found between these mechanical parameters and the
81 physical crop characteristics. O'Dogherty et al. (1995) found values for the
82 tensile strength of wheat straw in the range from 21.2 MPa to 31.2 MPa. The
83 shear strength was determined to range from 4.91 MPa to 7.26 MPa. Young's
84 modulus ranged from 4.76 GPa to 6.58 GPa and the rigidity modulus was
85 in the range from 267 MPa to 547 MPa. Yu et al. (2006) reported values
86 for the ultimate tensile stress of switchgrass between 9.3 MPa and 213 MPa.
87 The ultimate shear stress ranged from 6.9 MPa to 39.9 MPa. Nazari Galedar
88 et al. (2008) determined the Young's modulus of alfalfa to range from 0.79
89 GPa to 3.99 GPa. From these studies, it is clear that large variations exist

90 within one species.

91 Mechanical properties of crops are influenced by the moisture content
92 and the plant maturity of the stems, the internode position, the soil type
93 and the temperature. An increase in moisture content results in a decrease
94 in maximum bending stress, tensile stress, torsional stress, Young's modulus
95 and rigidity modulus, while the shear stress increases (Bright & Kleis, 1964;
96 Annoussamy et al., 2000; Nazari Galedar et al., 2008). However, when the
97 plant ages, Young's modulus increases (Bright & Kleis, 1964; O'Dogherty
98 et al., 1995). As the diameter and cross-sectional area decrease towards the
99 ear of the plant, the tensile and shear stresses at failure increase when moving
100 in this direction. Moreover, due to the higher proportion of hemicelluloses,
101 Young's modulus also increases (Annoussamy et al., 2000).

102 *1.4. Contributions of this study*

103 Few studies have been reported which aimed at unravelling the factors
104 that influence the mechanical failure of plant stems. To the knowledge of
105 the authors, no studies have been conducted on the processes which lead to
106 failure of crop stems and on the processes that take place after failure. To
107 illustrate the complexity of the deformation behaviour of plant stems three-
108 point bending tests were conducted. An experimental set-up was used where
109 the stems were placed on two metal support. The pressing element had the
110 same, circular, shape as a stem (figure 1). This geometry was chosen to sim-
111 ulate the interaction between different crop stems. The resistance to bending
112 can be displayed as the measured force as a function of the deformation by
113 the plunger or as the measured moment as a function of the applied angle.
114 The force-deformation curve for three-point bending of wheat stems is pre-



Figure 1: Measurement set-up for three-point bending of crop stems (1. load cell, 2. rod with pressing element and adapter, 3. supports)

115 sented in figure 2a. It can be seen that linearity is only an approximation
116 for small deformations. For optimisation of crop processing machines using
117 simulations (e.g. using DEM) more insight is needed into the phenomena
118 which occur during interaction and deformation of crop stems.

119 The aim of this study was to model the processes which lead to failure
120 of plant stems due to bending and to gain insight into the phenomena. The
121 factors influencing these processes were also investigated. Since no reports
122 were found describing the mechanical processes taking place during bending
123 of plant stems, materials with similar bending behaviour were sought and
124 the mechanical models describing deformation and failure were adapted.

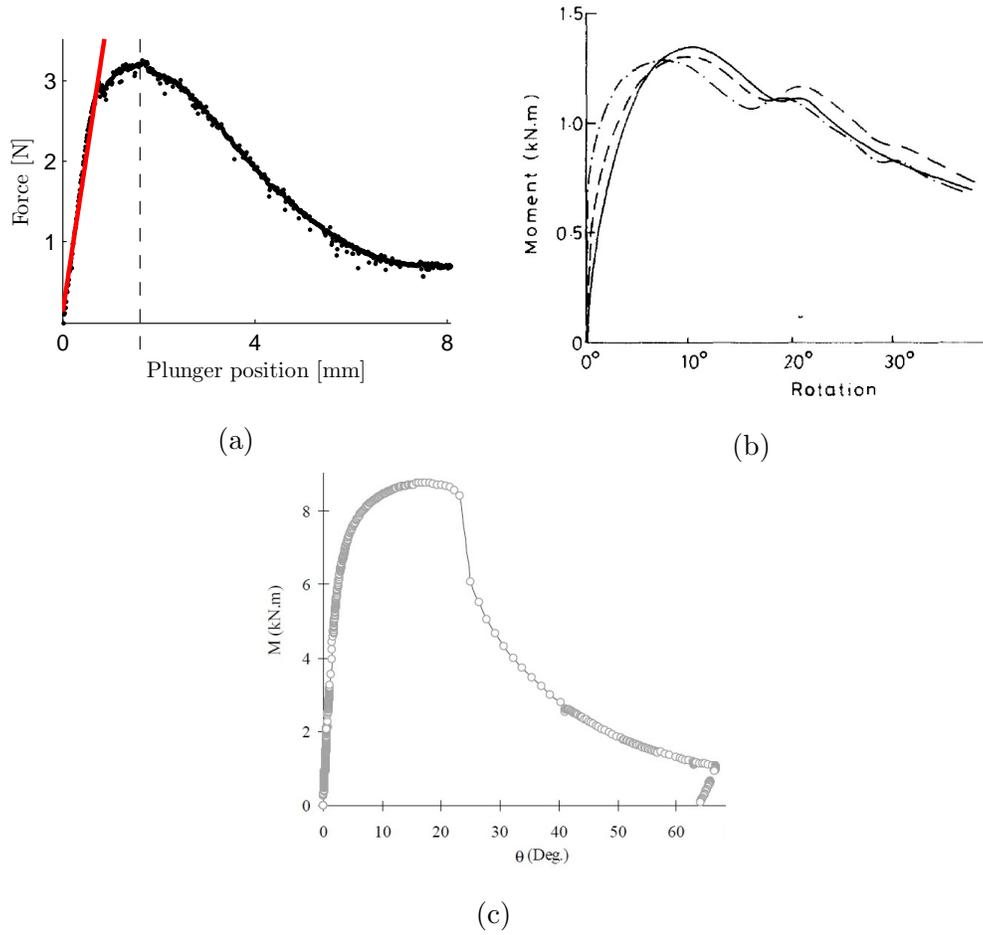


Figure 2: (a) Typical force-deformation curve for three point bending of wheat straw. A linear elastic model (equation (26)) was fitted to the first part of the data (red line). The dashed line indicates the end of ovalisation and the start of buckling. (b) Angle-moment curves for bending of hollow steel tubes (Mamalis et al., 1989). (c) Angle-moment curve for bending of hollow steel tubes (Elchalakani et al., 2002)

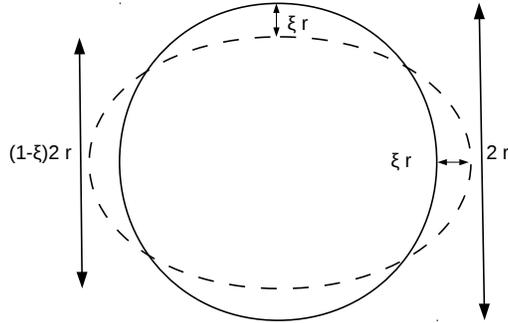


Figure 3: – undeformed cross-section, - - ovalised cross-section

125 **2. Theory**

126 A plant stem is essentially a long, thin-walled circular tube. It should,
 127 therefore, not come as a surprise that the observed force-deformation curve
 128 for wheat straw (figure 2a) corresponds to those described and measured for
 129 the bending of steel tubes (Mamalis et al. (1989), figure 2b; Elchalakani et al.
 130 (2002), figure 2c). Two consecutive phases are typically distinguished in the
 131 bending of tubes: ovalisation and buckling.

132 *2.1. Ovalisation*

133 When a tube is bent the inner side of the tube is longitudinally com-
 134 pressed while the outer side is stretched. Both this compression and tension
 135 result in a resistance of the tube against the bending moment. As both have
 136 a component directed towards the centre of the tube, the stresses cause a
 137 flattening of the circular cross-section into an oval shape (figure 3). This
 138 phase in bending is called ovalisation (Brazier, 1927).

139 The total strain energy (U) for elastic deformation of a tube by ovalisation
 140 is the sum of the energy for circumferential bending (ovalisation, U_1) and

141 longitudinal stretching (bending of the deformed tube, U_2)(Calladine, 1989):

$$U = U_1 + U_2 \quad (1)$$

$$U_1 = \frac{3}{8}\pi Eth^2 \frac{\xi^2}{r} \quad (2)$$

142 with:

$$h = \frac{t}{(1 - \nu^2)^{\frac{1}{2}}} \quad (3)$$

143 where E is Young's modulus, t is the wall thickness, ξ is a dimensionless
144 measure of the flattening in bending at the extreme fibre, r is the radius and
145 ν is Poisson's ratio.

$$U_2 = \frac{1}{2}C^2 E \pi I_b \quad (4)$$

146 with:

$$I_b = I_0 \left(1 - \frac{3}{2}\xi\right) \quad (5)$$

147 where C is the curvature of the deformed tube, I_b is the second moment of
148 area of the deformed cross section and I_0 is the second moment of area for a
149 circular cross section ($I_0 = \pi r^3 t$). The theorem of minimum strain energy:

$$\frac{dU}{d\xi} = 0 \quad (6)$$

150 is used to determine the ovalisation of the tube. This results in a flattening
151 of:

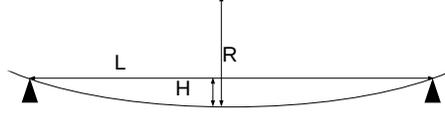


Figure 4: Relationship between curvature and deformation

$$\xi = \frac{C^2 r^4}{h^2} \quad (7)$$

152 We can find an expression for the bending moment (M) by means of the
 153 relation (Calladine, 1989):

$$M = \frac{dU}{dC} \quad (8)$$

154 By combining (1), (2), (4) and (8), the bending moment can be calculated
 155 as:

$$M = \frac{\pi}{2} E r^3 t \left[2C - \frac{3r^4 C^3 (1 - \nu^2)}{t^2} \right] \quad (9)$$

156 The curvature (C) can be expressed as:

$$C = \frac{1}{R} = \frac{8H}{4H^2 + L^2} \quad (10)$$

157 where R is the radius of curvature and L is the support distance. The mean-
 158 ing of these parameters is illustrated in figure 4. By replacing the curvature
 159 by the deflection of the stem (H), equation 9 can be can be rewritten as:

$$F_{ovalisation} = \frac{E}{L} \pi r^3 t \left[\frac{16H}{4H^2 + L^2} - \frac{3r^4 (8H)^3 (1 - \nu^2)}{t^2 (4H^2 + L^2)^3} \right] \quad (11)$$

160 Equation (11) describes the relationship between the bending force (F) and
161 lateral deformation (H) up to the point of failure using five parameters: the
162 support distance (L), Young's modulus (E), Poisson's ratio (ν), the stem
163 radius (r) and the wall thickness (t). The point of failure is defined as the
164 point of maximum force (F_y). The corresponding lateral deformation is H_y .
165 At the point of failure the transition between ovalisation and buckling takes
166 place (figure 2a).

167 *2.2. Buckling*

168 During ovalisation the cross-section flattens due to the applied bending
169 moment and the flexural stiffness decreases. Brazier (1927) showed that, un-
170 der steadily increasing curvature, the bending moment reaches a maximum
171 value. Under force-based deformation, the structure becomes unstable after
172 this point of maximum bending moment has been passed. A kink is suddenly
173 formed. This involves a complete local flattening of the cross-section, which
174 offers virtually no resistance to bending (Calladine, 1989). This process is
175 known as buckling. The point of buckling is not associated with an initial
176 imperfection, but with the fact that bending of an initially straight tube is
177 essentially non-linear in character. The effect of buckling on a wheat stem is
178 illustrated in figures 5b, 6a and 7a. It can be seen that the collapse of a stem
179 under bending involves the formation of plastic hinges. These plastic hinges
180 are best described as local plastic mechanisms, because they involve large
181 localised plastic deformation with geometrical folding (Elchalakani et al.,
182 2002). The plastic deformations are distributed along the length of the stem
183 and become more concentrated at the plastic hinge line. Measurements indi-
184 cate that the flattened region increases as the bending increases (Elchalakani

185 et al., 2002). Models for predicting the moment-rotation response of circu-
186 lar tubes subjected to bending were, among others, developed by Mamalis
187 et al. (1989), Elchalakani et al. (2002) and Poonaya et al. (2009). They all
188 divided the bending process into three phases. A linear elastic phase, a sec-
189 ond phase with a constant bending moment (the ovalisation plateau) and
190 the structural collapse in the third phase. The first two phases correspond
191 to the ovalisation described by Brazier (1927). Although dividing ovalisation
192 into two phases provided good results for steel tubes of large D/t -ratio (15
193 $< D/t < 60$), this description is less accurate for plant stems with smaller
194 D/t -ratio ($6 < D/t < 10$). The third phase can, however, be used to describe
195 the buckling-phenomena.

196 Elchalakani et al. (2002) developed a closed-form solution for the moment-
197 rotation response during pure bending of circular hollow steel tubes for vary-
198 ing D/t . They described the energy dissipated due to buckling. A few
199 assumptions were made:

- 200 • The deformations are inextensional, i.e. the circumference of the tube
201 remains constant (figure 5).
- 202 • The buckling mechanism is assumed to articulate about a single central
203 plastic hinge (figure 6).
- 204 • The hinge lines are assumed straight.
- 205 • A diamond shape is formed due to buckling (figure 6).
- 206 • Once the diamond shape is formed it remains constant.
- 207 • The deformation decreases with the distance from the hinge line. This
208 relation is assumed linear (figure 7).

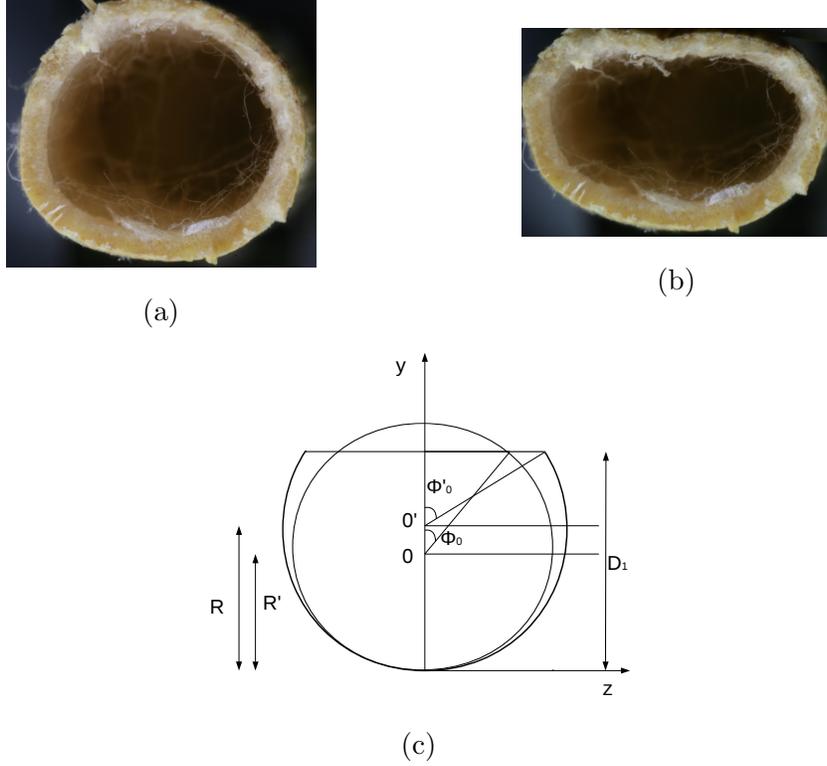


Figure 5: (a) Undeformed cross-section, (b) Flattened and ovalised cross-section, (c)
Cross-section deformations

209 The internal work dissipated during crushing of the tube is composed of
 210 four major components: the plastic deformation of the central hinge (12),
 211 flattening of the diamond shape region (13), bending over the hinge line (14)
 212 and flattening of the circular region (the continuation of ovalisation) (15).

$$W1 = 2\phi_0 r m_p (\pi - 2\alpha) \quad (12)$$

$$W2 = 2L_0 m_p \phi_0^2 \quad (13)$$

$$W3 = 4m_p \phi_0' \frac{l^2}{L_0} \quad (14)$$

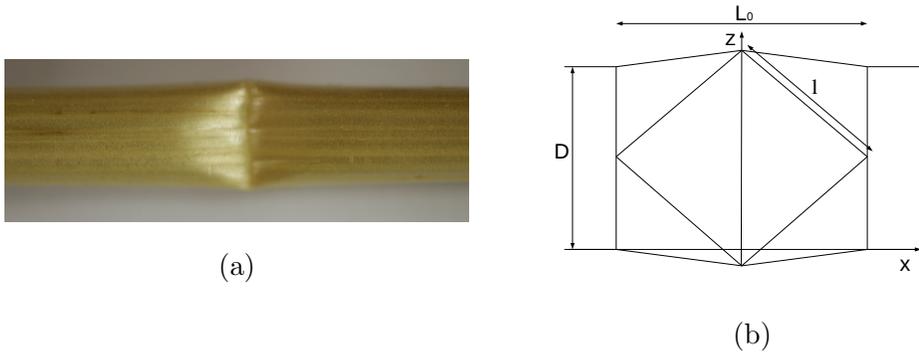


Figure 6: Deformation of a wheat stem by forming the diamond shape. (a) A wheat stem after buckling, (b) The diamond model

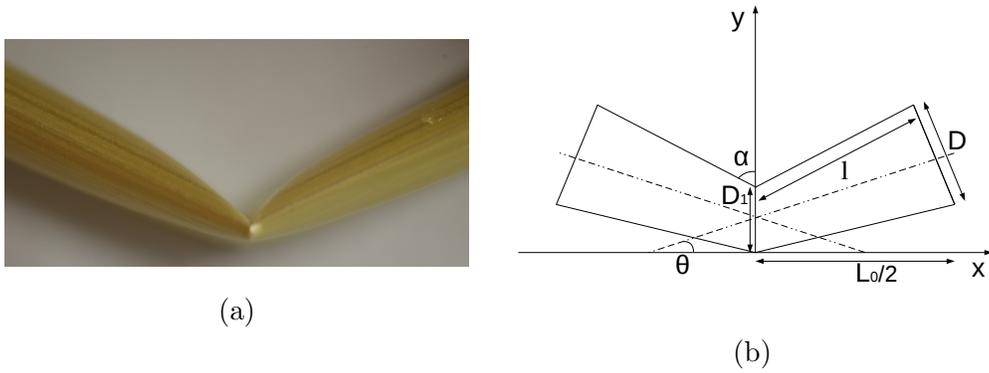


Figure 7: Longitudinal deformation of a wheat stem. (a) A wheat stem after buckling, (b) Longitudinal section deformations

$$W4 = 2L_0m_p(\pi - \phi_0)(\phi'_0 - \phi_0) \quad (15)$$

213 with:

$$m_p = \frac{\sigma_y t^2}{4} \quad (16)$$

214 where ϕ_0 and ϕ'_0 are two mechanism angles defined in figure 5c, l is the size
 215 of the diamond shape (figure 6b), L_0 is the size of the hinge and σ_y is the
 216 yield stress.

217 Elchalakani et al. (2002) used these energy equations to determine the moment-
 218 rotation response. For this purpose the mechanism angles ϕ_0 and ϕ'_0 are ex-
 219 pressed as functions of the bending angle. The last assumption is taken into
 220 account:

$$\phi_0 = a + b\theta' \quad (17)$$

221 with $\theta' = \theta \theta_y^{-1}$ (θ_y is the yield angle). From figure 5c it can be seen that:

$$D_1 = r'(1 + \cos \phi'_0) \quad (18)$$

222 and so:

$$\phi'_0 = \cos^{-1} \left(\frac{A_1^2 - 1}{A_1^2 + 1} \right) \quad (19)$$

223 with:

$$A_1 = 2 \left(\frac{c + k\theta'}{a + b\theta'} \right) \quad (20)$$

224 The components of the bending moment can be written using the following
 225 equation:

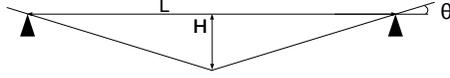


Figure 8: The relation between angle and deformation

$$M_i = \frac{d}{d\theta'} \frac{d\theta'}{d\theta} W_i \quad (21)$$

226 The total bending moment is the sum of the above components:

$$M = M_1 + M_2 + M_3 + M_4 \quad (22)$$

227 Equation 22 describes the total bending moment during buckling for an ap-
 228 plied bending angle. The determination of these individual terms are given
 229 by Elchalakani et al. (2002). The detailed equation can be found in the
 230 appendix.

231 In this study the force-deformation behaviour of crop stems is studied.
 232 Therefore the moment-rotation equation of Elchalakani et al. (2002) (equa-
 233 tion 22) is converted into its force-deformation equivalent. The total mo-
 234 ment can be replaced by a force by taking the support distance into account
 235 ($F = ML^{-1}$). The applied bending angle can then be described as a function
 236 of the deformation (figure 8 (H)):

$$\theta = \tan^{-1} \left(\frac{2H}{L} \right) \quad (23)$$

237 By substituting the yield stress (σ_y) by the yield force (F_y) (Timoshenko &
 238 Gere, 1961)):

$$\sigma_y = \frac{\frac{LD}{2}}{\pi \left[\left(\frac{D}{2} \right)^4 - \left(\frac{D}{2} - t \right)^4 \right]} F_y \quad (24)$$

239 by replacing the yield angle (θ_y) by the deflection at buckling (H_y) (equation
 240 23) and by substitution of I_0 , m_p (equation 16) and A_1 (equation 20) a
 241 model which describes the force as a function of the applied deformation can
 242 be obtained. Because of the many substitutions, the final equation is too
 243 large to be displayed here. The equation can, however, easily be obtained by
 244 doing the substitutions as described above. Equation 25 gives a summary of
 245 this equation.

$$F_{buckling} = f(F_y, H_y, L, r, t, a, b, c, k, H) \quad (25)$$

246 The model describes the forces during buckling and therefore starts were
 247 the ovalisation model (11) stopped. The model is a function of F_y and H_y
 248 (which describe the start of buckling and have the same value as the force
 249 and deformation at the end of ovalisation), of L , r and t (which have the
 250 same values as for ovalisation) and of the empirical parameters a , b , c and k .

251 **3. Materials and methods**

252 To determine the validity of equations (11) and (25), 60 wheat stems
 253 (harvested in Mechterstädt, Germany during the summer of 2012), 60 wheat
 254 stems (harvested in Leuven, Belgium during the summer of 2013) and 60
 255 barley stems (harvested in La Luisiana, Spain during the summer of 2013)
 256 were subjected to bending. The samples were collected randomly from the
 257 fields. Extra crop stems were collected for determination of the moisture

258 content. This moisture content was measured by drying the samples in an
259 oven at 103°C for 24 hours according to the ASABE Standard S358.2 (Stan-
260 dards ASABE, 2006). The moisture contents of the Spanish barley and the
261 Belgian and German wheat were 8.9, 8.5 and 10.4% (wb), respectively.

262 Prior to the measurements, petioles and leaves were removed and the
263 stems were cut into pieces 60 mm long. The stems were placed on two metal
264 supports 50 mm apart (L) and then loaded midway with a metal plunger
265 (figure 1). The plunger was rounded with a diameter similar to that of the
266 diameter of the stems (4 mm). The plunger was driven at a constant speed
267 by a universal testing system (UTS testsysteme GmbH, type UTS 5 K, Ger-
268 many). The location of the plunger (H) was recorded for each time step.
269 Three loading rates were applied (0.25 mm s^{-1} , 1 mm s^{-1} and 2.5 mm s^{-1}).
270 For each loading rate 20 measurements were performed per location. The
271 bending force (F) was measured by a force transducer (Hottinger Baldwin
272 Messtechnik GmbH, type U1A 10N, Germany). These experiments resulted
273 in force-deformation profiles similar to the one displayed in figure 2a.

274

275 The force-deformation profiles were used to determine the model parame-
276 ters from equations (11) and (25) and to evaluate the validity of these models.
277 Young's modulus was estimated for every crop stalk by least squares fitting
278 the following expression for a supported beam (Nazari Galedar et al., 2008)
279 to the linear part of the deformation profile:

$$E = \frac{F_b L^3}{48 H I_0} \quad (26)$$

280 The result of this fit is illustrated in figure 2a for a wheat stem. Poisson's

281 ratio for all stalks was fixed at a constant value of 0.3 in accordance with
282 O'Dogherty (1989) who found values between 0.1 and 0.5 and Sitkei (1987)
283 who reported values between 0.25 and 0.4. The radius (r) and the wall thick-
284 ness (t) of the stems were estimated through least squares fitting of equation
285 (11) to the same force-deformation profiles (up to the point of failure).

286 The support distance (L), the stem radius (r) and the wall thickness
287 (t) were given the same values for buckling as for ovalisation. Using these
288 values the empirical parameters of the buckling model (a , b , c and k) were
289 estimated through least squares fitting of equation (25) to the second part
290 of the force-deformation profile.

291 4. Results and discussion

292 To evaluate the ovalisation and buckling model, both were fitted to the
293 measured data and the estimated model parameters were compared to mea-
294 sured crop parameters and to parameters described in literature.

295 4.1. Ovalisation

296 The least squares fitting of equation (11) to the force-deformation profile
297 measured for a wheat stem is illustrated in figure 9. It can be seen that the
298 model describes the slower increase in force during ovalisation very well. The
299 model fits the data for all measurements well up until the point of failure. The
300 measured stem properties and estimated model parameters are summarised
301 in table 2. It can be seen that the R^2 values for the least squares fits are
302 high for all three locations and all loading rates. The estimated values for
303 Young's modulus are in accordance with those described in literature (see
304 section 1).

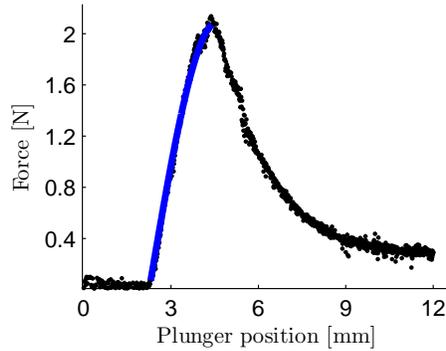


Figure 9: The model for ovalisation (11) fitted to the measured data
(data from Mechterstädt at a loading rate of 1 mm s^{-1})

Table 2: Crop characteristics (mean (μ) and standard deviation (σ))

F_y = force at buckling, H_y = deflection at buckling, r = stem radius, t = stem wall
thickness, E = Young's modulus
(m) = measured, (e) = estimated by least squares fitting

		Germany (Wheat)		Belgium (Wheat)		Spain(Barley)	
N		60		60		60	
		μ	σ	μ	σ	μ	σ
$F_y^{(m)}$	[N]	3.12	1.43	4.42	1.59	6.67	2.26
$H_y^{(m)}$	[mm]	1.94	0.53	1.45	0.32	1.90	0.29
$r^{(e)}$	[mm]	1.19	0.17	1.93	0.32	1.56	0.25
$t^{(e)}$	[mm]	0.39	0.11	0.37	0.061	0.36	0.048
$E^{(e)}$	[GPa]	3.59	1.28	1.94	0.93	3.58	1.39
R^2		0.98	0.001	0.98	0.03	0.98	0.001

305 As an extra validation of equation (11), the estimated stem radii and wall
306 thicknesses were compared to the real values. The diameter was determined
307 using a digital calliper (Mitutoyo, Japan). Most specimens were slightly el-
308 liptical in cross-section. For this reason the diameter was measured at the
309 major and minor axis of the cross-section. However, equation (11) is based
310 on an initial circular cross section. The mean of the measured diameters
311 was therefore used as an equivalent diameter. The wall thickness was mea-
312 sured at the middle of the stem, where the force was applied, using a digital
313 micrometer (Mitutoyo, Japan). For this reason the wall thickness was deter-
314 mined after bending. It was observed that for multiple measurements of the
315 wall thickness, the values varied widely from one sample to another. Besides
316 the biological variability, this is also due to the curvature of the stem which
317 makes the measurements less accurate. The wall thickness was measured
318 three times per sample and the average values were used.

319 The results of comparing the measured and estimated stem radii and wall
320 thicknesses are shown in figures 10 and 11. In the ideal case, the measured
321 and the estimated parameter values would be equal. In this case all points
322 would lie on the bisector. It is clear that large variations exist between stems,
323 even for stems from one species collected from the same field. A good cor-
324 relation was found for the stem diameters ($R^2 = 0.84$). The correlation for
325 wall thickness was lower ($R^2 = 0.69$). This can most likely be attributed
326 to a larger measurement error. The slope of the regression line between the
327 estimated and measured wall thicknesses was 0.73, this is considerably lower
328 than 1. This means that the higher wall thicknesses are underestimated. A
329 closer look at figure 11 shows that this is especially the case for the wheat

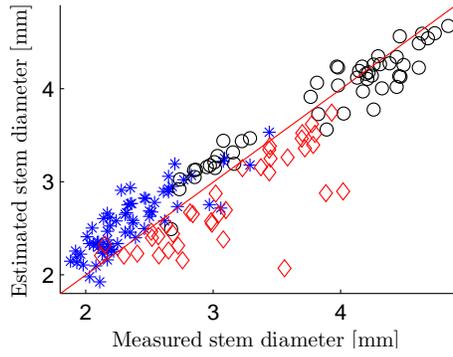


Figure 10: Measured diameter versus estimated diameter ($y = 0.9985x$ ($R^2 = 0.84$))
 Germany (*), Spain (\diamond) and Belgium (\circ)

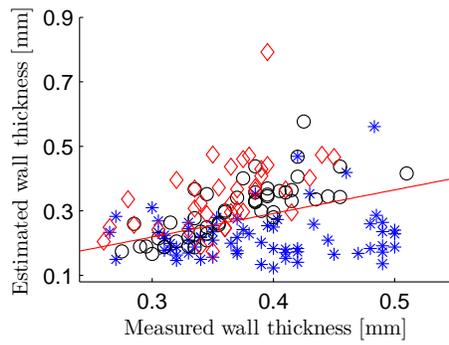


Figure 11: Measured wall thicknesses versus estimated wall thicknesses
 ($y = 0.7298x$ ($R^2 = 0.69$))
 Germany (*), Spain (\diamond) and Belgium (\circ)

330 stems collected in Mechterstädt, Germany. These differences between the
331 measured and estimated values can be partially explained by two reasons.
332 Primarily, the diameters of the stems from Germany were smaller than those
333 from Spain and Belgium. As a result, the curvature is larger and the mea-
334 surement of the wall thickness is thus less accurate. Secondly, the presence
335 of a core-rind structure in many of the wheat stems made it difficult to de-
336 termine the exact wall thickness. Although stems were chosen where the
337 core had already died and largely disappeared, small amounts still remained.
338 This could also explain the relatively large variation in measured wall thick-
339 nesses. When only the data from Spain and Belgium were used, a slope of
340 0.9321 and an average R^2 -value of 0.89 were obtained. Therefore, it can be
341 concluded that equation (11) is suitable for describing the processes which
342 occur during the ovalisation phase of bending.

343

344 For each location three loading rates were applied. However, no significant
345 effect ($P > 0.05$) could be observed for the bending stiffness (Young's modulus)
346 or the force and deformation at the point of failure. Few reports have been
347 found on the effect of loading rate on the bending characteristics of individual
348 stems. Moreover, the reports by various researchers are in disagreement.
349 Tavakoli et al. (2009) and El-Hag et al. (1971) observed a significant effect
350 of the loading rate on the Young's modulus of barley and cotton stalks.
351 Zareiforush et al. (2010), however, found no significant effect for rice stems.
352 A significant effect of the loading rate on the bending strength was observed
353 for the internode closest to the ear, but not for the other internodes (Tavakoli
354 et al., 2009; Zareiforush et al., 2010). The loading rate in these studies varied

355 between 5 mm min^{-1} and 15 mm min^{-1} .

356 For bulk compression tests, the effect of loading rate has been studied
357 more extensively. Mohsenin (1986), Sitkei (1987) and Kaliyan & Morey
358 (2009) developed rheological models for predicting the mechanical behaviour
359 of biological materials. They found that this behaviour depends on the stress,
360 strain, strain rate and size and shape of the biological materials. The loading
361 rates for bulk compression in those studies were, however, significantly higher
362 than those in this study. Therefore, it is hypothesised that the loading rate
363 also has an effect on the level of individual stems, but that it can only be
364 observed at sufficiently high strain rates. This is however beyond the aims
365 of this study and therefore it should be further investigated in future research.

366

367 The ovalisation phase ends at the maximum force (F_y). At this point the
368 deformation is H_y . Knowing and being able to predict these parameters is
369 important because they indicate the start of buckling. Annoussamy et al.
370 (2000) found that F_y is dependent on the density, the mass per unit length
371 and the moisture content of the stems. Brazier (1927) showed that the bend-
372 ing moment at which buckling takes place is independent of any parameter
373 defining the tube. In theory, a tube buckles at an ovalisation of $\xi = 2/9$.
374 However, Calladine (1989) re-analysed the problem and found that the mo-
375 ment required for buckling is always lower than the Brazier moment. In
376 figure 12 the correlation between the stem diameters (D) and the maximum
377 forces (F_y) is plotted. The relation between the two parameters is calculated
378 for every location. As both the diameters and the maximum forces are sig-
379 nificantly different ($P > 0.05$) for each location, it is clear that the relation is

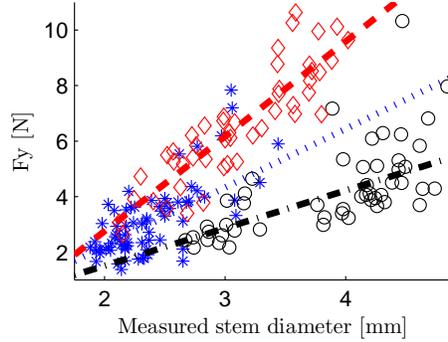


Figure 12: Stem diameter (D) versus maximum force (F_y)

Germany (*) $F_y = 2114D - 2.02$ ($R^2 = 0.60$)

Spain (\diamond) $F_y = 1355D - 1.20$ ($R^2 = 0.65$)

Belgium (\circ) $F_y = 3415D - 4.07$ ($R^2 = 0.79$)

380 different for the different locations. For H_y , however, the correlation with
 381 the physical parameters is less good. For all locations R^2 values below 0.35
 382 were found for the correlation with diameter.

383 It should be noted that a sample length of 60 mm is rather short for
 384 a support distance of 50 mm. During cutting of the samples, the adjacent
 385 portion of the stems is damaged and weakened. It is this portion that is
 386 supported. A longer sample length would ensure the absence of end effects.

387 4.2. Buckling

388 The result of fitting the buckling model (25) to the data is illustrated
 389 in figure 13 for a wheat stem from Mechterstädt. The model is suitable
 390 for describing the strong decrease in bending resistance due to flattening of
 391 the cross section. The average estimated model parameters and the corre-
 392 sponding standard deviations are summarised in Table 3 together with the

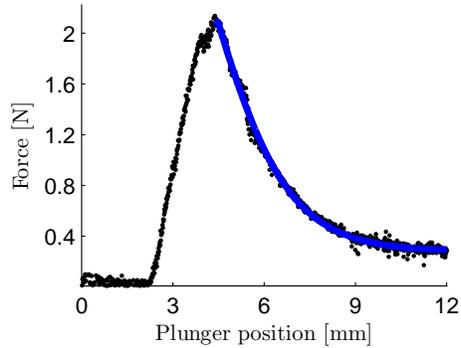


Figure 13: The model for buckling fitted to the measured data
 (data from Mechterstädt at a loading rate of 1 mm s^{-1})

393 R^2 values. The order of magnitude of the parameters (a , b , c and k) is the
 394 same for all three locations. Since the parameters a , b , c and k are empiri-
 395 cal parameters and, to the knowledge of the authors, no studies have been
 396 conducted on describing the buckling-behaviour of plant stems, the values of
 397 these parameters could not be compared to previously estimated ones. How-
 398 ever, Elchalakani et al. (2002) found similar values for the empirical model
 399 parameters for steel tubes.

400 Both the physical crop parameters and the empirical model parameters
 401 are highly correlated. As a result, it is not possible to determine unalised
 402 relations between both groups of parameters. To gain insight into the effect
 403 of the different parameters on the buckling process, a sensitivity analysis was
 404 conducted (figure 14). As a starting value the estimated model parameters
 405 for the wheat stem in figure 13 were used. Each parameter was then varied
 406 while the remaining parameters were kept constant.

407 An increase in the stem diameter has an effect on the rate at which

408 the force drops due to buckling. A larger diameter results in a faster force
 409 decrease. The reverse is true for the wall thickness. As the wall thickness
 410 increases the energy required for buckling increases. The rate at which the
 411 force drops increases for an increase in the parameters a , b and c . Buckling
 412 parameter k not only has an effect on the force reduction rate but also on the
 413 deformation at the maximum force. This is in agreement with the findings
 414 of Elchalakani et al. (2002). In particular the parameters b and k have a
 415 significant effect on the buckling behaviour because they describe the rate of
 416 deformation as a function of the distance to the hinge line.

Table 3: Buckling parameters (mean (μ) and standard deviation (σ))
 a, b, c, k = buckling parameters

	Germany (Wheat)		Belgium (Wheat)		Spain(Barley)	
N	60		60		60	
	μ	σ	μ	σ	μ	σ
a	0.2177	0.3531	0.9732	0.3540	0.3365	0.1942
b	0.0427	0.0405	0.1241	0.05538	0.1055	0.0448
c	0.1213	0.4048	-0.8289	0.4153	-0.5197	0.3250
k	0.3138	0.7346	0.6614	0.3385	0.4716	0.2448
R^2	0.9877	0.0163	0.9796	0.0254	0.9901	0.0121

417 4.3. Core-rind structure

418 Next to an outer shell of almost fully dense material, most plants also
 419 have a cellular core of lower density. Biologists refer to this as a "core-
 420 rind" structure. This core is made up of soft, mostly white or slightly yellow
 421 coloured, parenchyma tissue (figure 15). In this study, the core-rind structure
 422 was only found in the wheat stems sampled in Mechterstädt, Germany. In

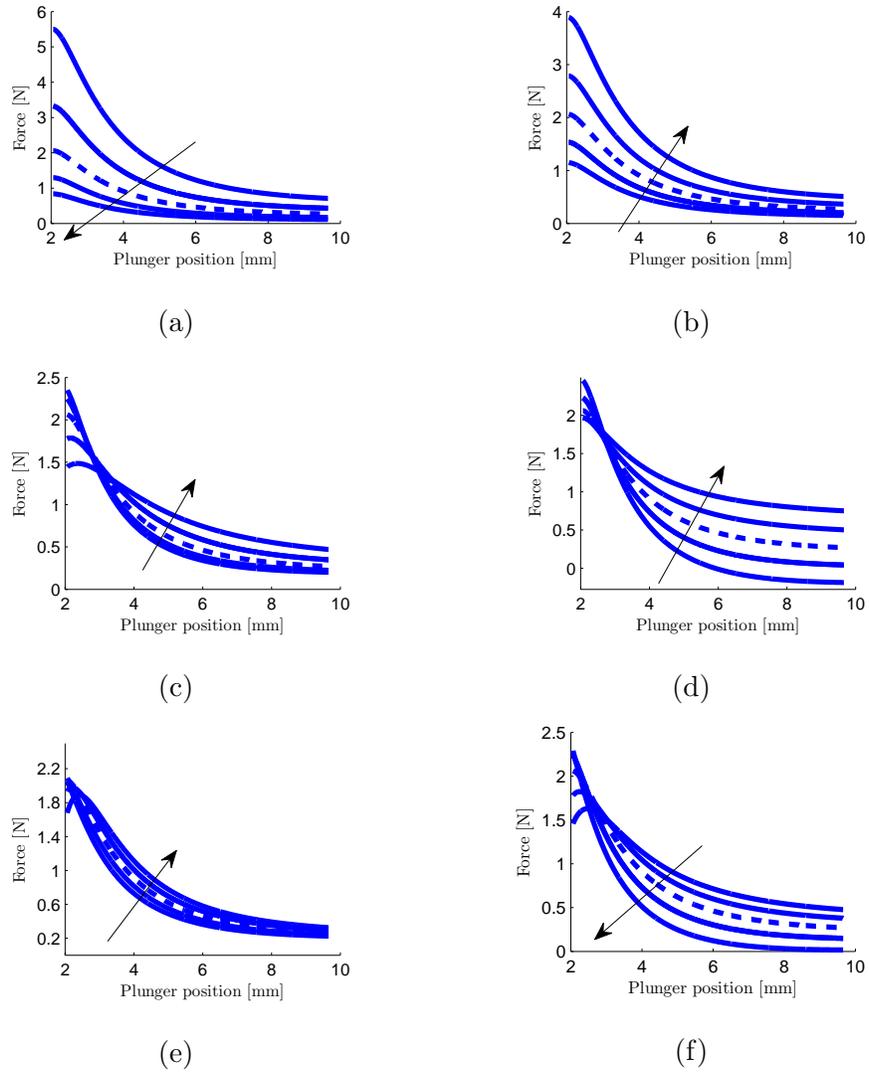


Figure 14: sensitivity analysis. The influence of the model parameters is displayed while all other parameters are kept constant. The arrow is oriented in the direction of an increase of the parameter. (a) Influence of D : 0.6, 0.8, 1, 1.2 and 1.4 times the initial value (b) Influence of t : 0.6, 0.8, 1, 1.2 and 1.4 times the initial value (c) Influence of a : 0.25, 0.5, 1, 2 and 4 times the initial value (d) Influence of b : 0.6, 0.8, 1, 1.2 and 1.4 times the initial value (e) Influence of c : 0.8, 0.9, 1, 1.1 and 1.2 times the initial value (f) Influence of k : 0.8, 0.9, 1, 1.1 and 1.2 times the initial value

- - initial value: $D = 0.00193$ m, $t = 0.00041$ m, $F_y = 2.0597$ N, $H_y = 0.002061$ m,

$a = 0.00377$, $b = 0.01433$, $c = -0.010221$, $k = 0.01436$

— multiples of the initial parameter values

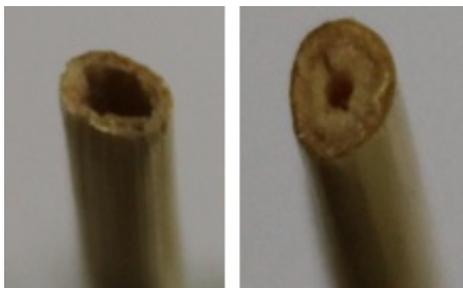


Figure 15: Cross sections of wheat stems (left: without a core structure, right: with core)

423 approximately fifty percent of the stems a core was present. No relation could
424 be found between the presence of a core and other physical parameters.

425 The effect of a core has not yet been described for the bending behaviour
426 of crop stems. For axial compression of metal and silicone rubber tubes it has,
427 however, been shown that if an elastic core is sufficiently deep, it can carry
428 additional load after buckling (Brush & Almroth, 1962; Karam & Gibson,
429 1995). The effect of the core on the force-deformation profile is presented
430 in figure 16a. After the initial ovalisation, which also occurs without core,
431 buckling phenomena occur. However, the force-deformation profile does not
432 drop, but stays constant for a small amount of extra deformation. The stems
433 then deform with reduced slope. The core offers extra resistance to bending.
434 At higher forces the total resistance is insufficient and the force eventually
435 drops rapidly.

436 To evaluate the effect of a core-rind structure on the bending charac-
437 teristics of straw, 60 straw stems with core were subjected to three point
438 bending. When the maximum force measured for the stems without core
439 ($N = 60$, $\mu = 3.12N$ and $\sigma = 1.30N$: see table 2) is compared to the force

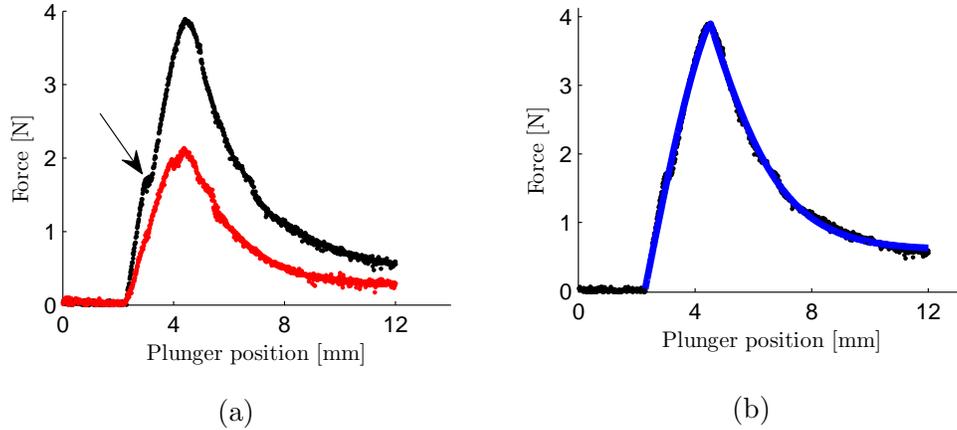


Figure 16: (a) Black: a typical force-deformation curve for three point bending of wheat straw with core-rind structure. (The arrow indicates the start of buckling of the outer shell). Red: wheat straw without core-rind structure. (b) Ovalisation (11) and buckling model (25) fitted to the data with core-rind structure.

440 at the start of buckling for the stems with core ($N = 60$, $\mu = 3.36N$ and
 441 $\sigma = 1.22N$) no significant difference can be observed at a significance level
 442 of $\alpha = 0.05$. A significant difference is, however, observed when comparing
 443 the maximum force with ($N = 60$, $\mu = 4.86N$ and $\sigma = 1.43N$) and without
 444 core. The core contributes thus in a significant way to the strength and the
 445 bending resistance of wheat stems after buckling.

446 In figure 16b the ovalisation and buckling model are fitted to the data
 447 of a stem with core. The models fit the data well. An average R^2 -value of
 448 0.969 with a standard deviation of 0.0476 could be obtained for ovalisation
 449 and an average R^2 -value of 0.956 with a standard deviation of 0.0563 was
 450 found for buckling. However, the estimated diameters and wall thicknesses
 451 were respectively a factor 1.23 and 2.40 larger than the measured values.
 452 This indicates that the presence of core-rind increases the effective bending

453 resistance of the stems.

454 **5. Conclusions**

455 When bending a crop stem, two consecutive phases take place: ovalisation
456 and buckling. The bending stresses that occur during ovalisation cause a
457 flattening of the initial circular cross-section into an oval shape. An elastic
458 energy approach, based on the work by Brazier (1927) and Calladine (1989),
459 was used to model the force-deformation behaviour of crop stalks during
460 ovalisation. The model was fitted to data from bending tests conducted on
461 three crops. The model described the slower increase in force very well for
462 all measurements ($R^2 > 0.98$) up until the point of failure. The estimated
463 stem diameters and wall thicknesses agreed well with the measured values.

464 The ovalisation of the cross-section continues up to the point when the
465 bending moment reaches its maximum value and a kink is suddenly formed.
466 The cross-section locally completely flattens, the bending resistance decreases
467 and the buckling phase starts. A model developed by Elchalakani et al.
468 (2002) was used to describe the strong decrease in bending resistance due
469 to buckling. The model fitted well on the bending test data ($R^2 > 0.97$). A
470 sensitivity analysis showed that an increase in the stem diameter, the wall
471 thickness and the buckling parameters a , b and c each cause an increase
472 in the force reduction rate. The opposite is true for the parameter k . It
473 was also shown that a cellular core of lower density than the outer shell can
474 significantly increase the bending resistance of crop stems.

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 477 and Dirk Leroy for their assistance.

478 **Appendix**

479 Details of the moment components given in equation 22.

$$M_1 = \frac{m_p}{M_y} \frac{r}{L_0} EI_0 \left(2b [\pi - 2\sin^{-1}(1 - 2\sin\theta/2)] + \frac{4\theta_y(a + b\theta')\cos\theta/2}{\sqrt{1 - (1 - 2\sin\theta/2)^2}} \right)$$

$$M_2 = \frac{m_p}{M_y} EI_0 (4b(a + b\theta'))$$

$$M_3 = \frac{m_p}{M_y} \frac{r}{L_0} EI_0 \left(\left(-\frac{4F(L_0^2/4 + r^2(a + b\theta')^2)}{rL_0} \right) + \frac{1}{L_0} \left(8rb(a + b\theta')\cos^{-1}\frac{A_1^2 - 1}{1 + A_1^2} \right) \right)$$

$$M_4 = \frac{m_p}{M_y} EI_0 \left(2(\pi - a - b\theta')(b + F) + 2b \left(-a - b\theta' + \cos^{-1}\frac{A_1^2 - 1}{1 + A_1^2} \right) \right)$$

480 with

$$F = \left(\frac{\left(\frac{-2bA_1^2}{a+b\theta'} + \frac{2kA_1}{c+k\theta'}(A_1^2-1) \right)}{(1+A_1^2)^2} + \frac{\left(\frac{-2bA_1^2}{a+b\theta'} + \frac{2kA_1}{c+k\theta'} \right)}{1+A_1^2} \right) \frac{1}{\sqrt{1 - \frac{(A_1^2-1)^2}{(1+A_1^2)^2}}}$$

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