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Notes

The complexity of 3D stress-state changes during compressional tectonic inversion at the onset of orogeny

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Abstract: Compressional tectonic inversions are classically represented in 2D brittle failure mode (BFM) plots that illustrate the change in differential stress ($\sigma_1 - \sigma_3$) versus the pore-fluid pressure during orogenic shortening. In these BFM plots, the tectonic switch between extension and compression occurs at a differential stress state of zero. However, mostly anisotropic conditions are present in the Earth's crust, making isotropic stress conditions highly questionable. In this study, theoretical 3D stress-state reconstructions are proposed to illustrate the complexity of triaxial stress transitions during compressional inversion of Andersonian stress regimes. These reconstructions are based on successive late burial and early tectonic quartz veins which reflect early Variscan tectonic inversion in the Rhenohercynian foreland fold-and-thrust belt (High-Ardenne Slate Belt, Belgium, Germany). This theoretical exercise predicts that, no matter the geometry of the basin or the orientation of shortening, a transitional 'wrench' tectonic regime should always occur between extension and compression. To date, this intermediate regime has never been observed in structures in a shortened basin affected by tectonic inversion. Our study implies that stress transitions are therefore more complex than classically represented in 2D. Ideally, a transitional 'wrench' regime should be implemented in BFM plots at the switch between the extensional and compressional regimes.

Extension (Mode I) fractures are classically interpreted to form at low differential stresses less than four times the tensile strength (T) of rock (e.g. Secor 1965). They open perpendicular to the minimum principal stress (σ_3) and propagate in the plane of the maximum (σ_1) and intermediate (σ_2) principal stresses. The alignment of fractures has therefore often been used in orogenic belts to determine the orientation of the palaeostress field at the time of fracturing (Cox *et al.* 2001; Gillespie *et al.* 2001; Laubach *et al.* 2004). If veins which initiated as extension fractures are uniform in trend over a large area, then they can also be used as such a palaeostress indicator (e.g. Boullier & Robert 1992; Cosgrove 2001; Gillespie *et al.* 2001; Mazzarini *et al.* 2010; Van Noten & Sintubin 2010). Different cross-cutting or successive vein generations are even more interesting because they have the potential (1) to serve as a tool to decipher changes in the overall stress regime during multiple phases of fracturing and (2) to define the P - T conditions at which these stress changes occurred. On the one hand, different cross-cutting fracture and/or vein generations have been used in many studies to deduce local stress field rotation in a consistent remote stress regime (Jackson 1991; Crespi & Chan 1996; Stowell *et al.* 1999;

Laubach & Diaz-Tushman 2009; Wiltschko *et al.* 2009). On the other hand, successive cross-cutting veins (i.e. vein sets that are oriented differently with respect to bedding) have often been used to determine a transition in regional stress regime from extension to compression or reversely (Manning & Bird 1991; Boullier & Robert 1992; Teixell *et al.* 2000; Hilgers *et al.* 2006b; Van Noten *et al.* 2008, 2011; Laubach & Diaz-Tushman 2009). Alternatively, cross-cutting vein sets are observed in close relationship to faults in which they represent fluctuating fluid pressures during fault-valve activity and are related to short-lived local stress transitions in a consistent remote stress regime (Boullier & Robert 1992; Sibson 1995; Nielsen *et al.* 1998; Mucchez *et al.* 2000; Collettini *et al.* 2006). Local mutually cross-cutting vein sets may also develop in the presence of mechanical anisotropy around fault planes in a consistent remote stress field (Healy 2009; Fagereng *et al.* 2010). In all these studies, transitions between regional stress regimes are defined as tectonic inversions; a positive (compressional) tectonic inversion corresponds to a transition from an extensional to a compressional stress regime, while a negative (extensional) tectonic inversion reflects the transition from compression to extension.

The conditions of brittle failure of an isotropic rock have classically been visualized in a Mohr–Coulomb diagram, relating the shear (τ) and the normal stress (σ_n) on a particular plane of failure with a particular orientation to the stress state (represented by principal stresses). Although Mohr–Coulomb diagrams provide an excellent way to illustrate both the relationship between stress magnitudes and differential stress, and which type of fracture will develop (i.e. extension, extensional-shear or shear fractures) (Cosgrove 1995), they are limited to illustrate a specific stress state at a specific moment. Unfortunately, the evolution of the fluid

pressure (P_f) during orogeny can only be illustrated by means of successive Mohr circle reconstructions. Sibson (1998, 2000) introduced the concept of 2D brittle failure mode plots (BFM plots; Fig. 1) which allow a more dynamic and evolutionary analysis of brittle structures than possible in a classical Mohr–Coulomb diagram. In these BFM plots the transition of Andersonian stress regimes at a certain depth is visualized by means of the change of differential stress versus the evolution of the pore-fluid factor (λ_v) and the effective vertical stress ($\sigma'_v = \sigma_v - P_f$). Moreover, they illustrate a change in Andersonian stress orientations during

Fig. 1. Example of a 2D brittle failure mode plot (after Sibson 2004) which illustrates the evolution of fluid (over)pressure during compressional tectonic inversion, applied on the emplacement of two successive vein sets oriented normal and parallel to bedding in the High-Ardenne case study (after Van Noten *et al.* 2011). The effect of σ_2 at the time of the tectonic inversion ($\sigma_1 - \sigma_3 = 0$; grey area) is not illustrated in these mode plots and forms the research question of this study.

tectonic inversion in which a vertical σ_1 switches to horizontal during compressional inversion, while σ_3 has an opposite reorientation from horizontal to vertical. BFM plots are useful to visualize parameters such as the tensile strength (T) of rock or the friction along faults (μ) which strongly influence the maximum overpressure that can be built up during tectonic inversion (Cox 2010). The layout of BFM plots is designed to illustrate contrasting failure conditions which may be expected from the transitions between two stress regimes (Sibson 2004). Although these plots are originally constructed to understand the development and evolution of fault systems with their associated vein sets and are used in a wide range of tectonic settings, they are also very useful to define the relative timing of vein formation in terms of changing differential stress during tectonic inversion (Van Noten *et al.* 2011). Sibson (2004) and Cox (2010) moreover illustrate that the interaction between changes in the tectonic stress regime, fluid-pressure changes and fluid migration during tectonic inversion are important for the genesis of ore deposits (e.g. Tunks *et al.* 2004). A better understanding of the link between stress-state transitions during mountain-building processes and ore genesis could therefore have major economic implications (cf. Blundell 2002).

As illustrated on the BFM plot and shown by several studies (e.g. Sibson 1995, 2004), fluid overpressures are likely to develop during the transition from extension to compression and are easier to maintain at low differential stress in the compressional regime than in the extensional regime. Due to low differential stress during tectonic inversion, fluid overpressures are mostly captured in extensional or in extensional-shear veins that accompany the tectonic inversion. These extension veins have a vertical orientation in the extensional regime and a horizontal orientation in the compressional regime at the time of fracturing. The tectonic switch, which is illustrated on the BFM plot mode by the line at zero differential stress (see Fig. 1), reflects the specific stress state at which σ_1 equals σ_3 (so that $\sigma_1 - \sigma_3 = 0$) without considering the role of σ_2 . A zero differential stress-state can therefore only be reached in a state of isotropic pressure ($\sigma_1 = \sigma_2 = \sigma_3$) during the tectonic switch. The chances that a stress state equals a pure pressure state in the brittle upper crust are, however, questionable (e.g. Healy 2009). Because of the assumption of an isotropic pressure, the stress-state evolution illustrated in BFM plots is actually an oversimplification of the true 3D stress-state evolution in the Earth's crust. In more realistic anisotropic conditions, the transition from extensional to compressional might therefore be more complex due to the fact that a triaxial stress state might

remain present during inversion. Sibson (1998) mentioned that the brittle failure curves for an intermediate wrench regime ($\sigma_2 \sim \sigma_v$) lie anywhere at the zero differential stress line between the extensional and compressional plots, depending on the value of σ_2 with respect to σ_1 and σ_3 . To date, however, this intermediate regime has been ignored in any tectonic inversion model.

In this study, we analyse possible stress-state evolutions to illustrate the complexity of 3D stress transitions during compressive tectonic inversion, based on the geometric and kinematic analysis of late-burial bedding-normal and successive early tectonic bedding-parallel quartz veins which occur in the frontal part of the Rhenohercynian foreland fold-and-thrust belt (High-Ardenne Slate Belt, Belgium, Germany). These successive vein sets indicate an important fluid-assisted deformation during the Early Variscan (Early Carboniferous) tectonic inversion affecting the Ardenne-Eifel basin at the onset of Variscan Orogeny. By means of different scenarios, it is illustrated that both the intermediate principal stress σ_2 and the increasing tectonic stress σ_T plays an important role during tectonic inversion in anisotropic stress conditions, and that a zero differential stress state is not necessarily reached during inversion. It is shown that stress transitions are commonly more complex than classically interpreted. Although the starting point of this theoretical exercise is based on a case study, the application of 3D stress transitions under triaxial stress conditions may have a wide range of implications with respect to the evolution of dynamic permeability during orogenic shortening.

Geological framework: the High-Ardenne case study

Geological setting

The dataset on which the present exercise on the complexity of 3D stress transitions during tectonic inversion is based, comprises two successive sets of extensional quartz veins that occur in Lower Devonian siliciclastic multilayers. The study area is situated in the frontal part of the Rhenohercynian foreland fold-and-thrust belt, more specifically in the High-Ardenne slate belt (Belgium, Germany), which forms together with the Dinant fold-and-thrust belt and several Lower Palaeozoic (Cambro-Ordovician) inliers, part of the Ardenne allochthon (Fig. 2). This allochthon has been thrust over the Brabant parautochthon during the latest Asturian stage of the Variscan Orogeny (Late Carboniferous, Meilliez & Mansy 1990). The host-rock lithologies, competent sandstones, psammites and quartzites, alternating with incompetent siltstone and pelites,

Fig. 3. Characteristics of bedding-normal quartz veins. (a) Veins oriented perpendicular to bedding and refracted at the sandstone–siltstone interface, similar to cleavage. (b) Transgranular, millimetre-thin vein fracturing through the host-rock grains. (c) Millimetre-thin vein with fibrous quartz infill. (d) Fibrous quartz crystals spanning the vein walls. Ataxial vein growth.

transgranular microveins with a low tortuosity (Fig. 3b), demonstrating that fracturing is not affected by the grain-scale heterogeneity of the competent host-rock and (2) the absence of buckled veins which suggests that subsequently, no postveining compaction occurred (Fig. 3c). The vein infill mostly contains a fibrous fabric (Fig. 3d) in which crack-seal microstructures are often present. Furthermore, it has been demonstrated by means of a combined (micro)structural and microthermometric study of fluid inclusions in vein quartz that these extension veins initiated at elevated, up to lithostatic, fluid pressures under low differential stress and that they result from several phases of fracturing and sealing (Van Noten *et al.* 2009, 2011). Maximum pore-fluid overpressures of *c.* 190 MPa are measured for the bedding-normal veins in the North Eifel, exceeding a lithostatic pressure of *c.* 185 MPa and reflecting the pressure at a burial depth of 7 km (Van Noten *et al.* 2011). This regional occurrence of overpressures at the time of vein formation suggests that the Ardennes-Eifel basin can be considered as an exposed example of a 350 Ma fractured reservoir, composed of sequences of interbedded high-pressure compartments (Hilgers *et al.* 2006a; Kenis & Sintubin 2007). An initial increasing tectonic stress σ_T at the onset of Variscan Orogeny has been invoked as the driving mechanism (1) to decrease the differential stress at the latest stages of the burial and (2) to generate lithostatic overpressures, both of which are necessary to form vertical extension veins in the extensional regime. The initial tectonic stress may be considered as the driving force for the stagnating extension at the end of the extensional regime.

In the higher structural levels of the High-Ardenne slate belt, currently exposed in the North

Eifel (Germany; Fig. 2), bedding-normal veins are succeeded and cross-cut by bedding-parallel quartz veins which reflect bedding-normal uplift (Fig. 4a) and bedding-parallel thrusting (Fig. 4b) at the onset of folding during the initial stages of the compressional tectonic regime. Microstructural analysis of their typical laminated fabric (i.e. blocky and crack-seal quartz laminae intercalated by host-rock inclusion lines; Fig. 4c) reveals that they have been formed during successive cycles of uplift and collapse. Repetitive host-rock inclusion bands parallel to bedding (in the crack-seal laminae; Fig. 4c) result from repetitive fracturing and sealing by the crack-seal mechanism (Ramsay 1980) and are supportive for defining these bedding-parallel veins as extension veins. While the orientation of repetitive quartz laminae parallel to bedding (Fig. 4c) clearly reflect bedding-normal uplift, bedding-parallel stylolites (Fig. 4d) reflect bedding-normal collapse between the multiple phases of fracturing and sealing that can possibly be linked to the earthquake cycle (cf. Cox 1987) and to fault-valving during vein development (Sibson 1990; Cox 1995). Additionally, the pronounced bedding-parallel fabric of the bedding-parallel veins shows robust evidence for bedding-parallel shearing prior to folding. This is indicated by bedding-parallel veins cross-cutting layers in small ramps (Fig. 4e). These veins which bear evidence of thrusting are therefore classified as extensional-shear veins which reflect bedding-parallel movements during progressive orogenic shortening. Microthermometric analysis of fluid inclusions shows that these bedding-parallel veins are induced at a supralithostatic fluid pressure (*c.* 205 MPa) (Van Noten 2011; Van Noten *et al.* 2011).

The presence of these vein sets illustrates an important fluid-assisted deformation in the brittle

Fig. 4. Characteristics of bedding-parallel quartz veins (BPV). (a) Vein situated between sandstone and siltstone. (b) Vein cross-cutting and offsetting a bedding-normal vein. (c) Blocky and crack-seal quartz laminae intercalated with host-rock inclusions lines and shear laminae in a centimetre-thin laminated vein. Laminae show a pronounced top-to-the-NW bedding-parallel shear along small-scale ramps. (d) Anastomosing stylolite observed in the vein containing muscovite and iron oxides. (e) Small-scale thrust accompanied by a quartz vein cross-cutting a coarse-grained sandstone.

upper crust during the Early Carboniferous tectonic inversion affecting the Ardenne-Eifel basin at the onset of Variscan Orogeny. In contrast to these two important vein-forming events at the onset of

the orogeny, quartz veining seems to have occurred rather occasionally during the main compressional stage of the Variscan Orogeny and is restricted to faulting and local boudinage of competent layers

(e.g. Van Baelen & Sintubin 2008). The Late Variscan negative (extensional) tectonic inversion is locally exemplified in the High-Ardenne slate belt (Bertrix, Herbeumont, Belgium) by subvertical discordant extension quartz veins that formed closely after the tectonic inversion and underwent a shape modification during progressive shearing, reflecting the destabilization of the Variscan Orogen (Van Baelen 2010). It has been proven by several microthermometric analyses of fluid inclusions that the geothermal gradient – and thus the temperature–depth conditions during the positive tectonic inversion (Kenis *et al.* 2005; Van Noten 2011), the main Variscan compression (Fielitz & Mansy 1999) and during the Variscan destabilization after negative inversion (Van Baelen 2010) – remained constant. This implies that (1) no significant subsidence or uplift of the shortened Ardenne-Eifel basin occurred during the whole Variscan Orogeny and (2) the magnitude of σ_V remained constant during both positive and negative Variscan tectonic inversion.

Palaeostress analysis

The regional occurring bedding-normal veins are determined to be extension veins that formed in the extensional regime at the latest stages of the burial. If rotated to their original prefolding orientation (cf. method of Debacker *et al.* 2009) they are more or less consistent in orientation across the whole High-Ardenne slate belt (Fig. 2). The veins, which were nearly vertical at the time of vein formation, originally range from NE–SW in the SW and central part of the High-Ardenne slate belt (Bertrix, Bastogne, Mabompré, Boeur; Fig. 2) to NNE–SSW towards the NE-part (Houffalize, Bütgenbach, Urftsee, Rursee; Fig. 2). This structural change is probably related to a post-veining oroclinal bending of the slate belt during the main Variscan contraction because of the presence of a rigid basement in the north (cf. Brabant parautochthon in Fig. 2; Fielitz 1992; Mansy *et al.* 1999). Notwithstanding this oroclinal bending, the extension veins can be used as a regional palaeostress indicator for the stress state during the extensional regime. The orientation of the principal stress axes is derived from the distribution of extension veins that are assumed to form perpendicular to σ_3 and align in the $\sigma_1 - \sigma_2$ plane. Veins are therefore formed in a consistent anisotropic Andersonian stress field that is characterized by a vertical σ_1 , corresponding to the overburden stress σ_V , and two well-defined horizontal principal stresses (σ_H and σ_h), reflecting a triaxial stress state during bedding-normal veining.

After tectonic inversion, bedding-normal vein formation is followed by bedding-parallel veining in the upper structural levels of the High-Ardenne slate belt. In the tectonic switch σ_3 changes to

vertical and corresponds to the overburden stress σ_V , while σ_1 now corresponds to σ_H and reflects regional shortening in the compressional regime (Fig. 1). Similar to the bedding-normal veins, the extensional bedding-parallel quartz veins are the brittle expression of the $\sigma_1 - \sigma_2$ plane (cf. Secor 1965) and can be used as a palaeostress indicator. Macro- and microstructural analysis of the shear planes in the microfabric of the bedding-parallel veins and NW–SE-trending slickensides on the vein walls have been used to indicate that Variscan shortening occurred in an overall NW–SE-directed compression during bedding-parallel veining. In the compressional regime, veins follow the bedding anisotropy (lower tensile strength because of lower cohesion); in the extensional regime they form in intact rock (higher tensile strength).

Bedding-parallel veining is followed by the formation of NW-verging folds and a SE-dipping axial planar cleavage during Variscan fold-and-cleavage development in the North Eifel (Fielitz 1992; Van Noten *et al.* 2008). Similar to the North Eifel, the major part of the Rhenish Massif is characterized by a strong NW-directed vergence that reflects an overall NW–SE compression during the main phase of Variscan contraction (Weber 1981).

Basic assumptions as starting point for the reconstruction of 3D stress transitions

The orientations of the two vein sets in the North Eifel have been used as a tool to evaluate the stress-state and fluid-pressure evolution of a basin during incipient orogenic shortening. Although the state of stress at the time of veining is well understood, with the two vein sets as the end members reflecting the stress state in the extensional and compressional regime, the switch between two stress regimes has (to date) only been approached from a crust with isotropic stress properties (e.g. Sibson 2000, 2004). From the consistent vein orientation in the extensional regime and in the compressional regime in the High-Ardenne case study it is however already clear that mostly anisotropic stress conditions are present in the Earth's crust. To illustrate the complexity of 3D stress transitions in a triaxial stress state, we constructed three different scenarios in which a basin with a predefined sedimentary geometry experiences a compressional tectonic inversion induced by a consistently increasing horizontal tectonic stress. In each scenario, we investigate the stress-state evolution of the basin following two options by changing the orientation of σ_T , causing shortening from a NW–SE to a NE–SW direction. Although the main tectonic compression in the case study was NW–SE, it is still unclear whether the direction of tectonic compression remained

constant through time or if it has rotated prior to the main deformation.

After presenting these scenarios, we discuss those stress states which are favourable to form the two successive vein sets that are observed in the High-Ardenne case study.

The starting point for constructing these three different scenarios is based on the following questions:

- (1) How does a increasing horizontal tectonic stress σ_T influence the eventual orientation of extension veins?
- (2) Does the initial basin geometry influence the orientation of extension veins (Fig. 5)?
- (3) Do veins with a regionally consistent orientation only form in one specific stress regime, or can they occur in several different stress regimes?
- (4) What is the effect of the intermediate principal stress σ_2 during inversion?

The different scenarios are exemplified by means of 3D stress-state graphics (Figs 6–8); the longest axis represents σ_1 , the intermediate axis σ_2 and the shortest axis σ_3 . Each specific stress state during the progressive stress-state changes is reconstructed in a Mohr–Coulomb diagram. Stress abbreviations and symbols used are listed in Table 1. In order to evaluate the different scenarios during inversion properly, the constraints described in the following sections are assumed.

Constant σ_v during tectonic inversion

The 3D stress-state reconstructions are constructed in such a way that σ_v is assumed to have a constant magnitude, corresponding to σ_1 in the extensional regime prior to tectonic inversion and σ_3 in the compressional regime after tectonic inversion. This

constraint implies that the thickness of the overburden is not drastically changed during tectonic inversion. In the High-Ardenne case study, the assumption of a constant vertical principal stress is valid due to the limited compressibility of rocks both at maximum burial and during initial tectonic compression (i.e. the timing of bedding-normal and bedding-parallel veining, respectively). This constraint furthermore implies that the Poisson ratio (ν) of the fractured sandstones (in the case study $\nu = 0.25$) remains constant during inversion.

Horizontal σ_T

The tectonic inversion is induced by a horizontal tectonic stress σ_T that is consistent in orientation at the onset of orogeny. It is assumed to be parallel to one of the two horizontal principal stresses, σ_H or σ_h , and increases in magnitude from extension to compression. If the tectonic stress σ_T is parallel to σ_1 , σ_2 or σ_3 it is indicated as $\sigma_{1,T}$, $\sigma_{2,T}$ or $\sigma_{3,T}$ respectively (see Table 1). If the increasing σ_T is parallel to, for example, σ_H , then σ_h will also indirectly increase due to the compressibility of the host rock (Mandl 2000) but at a lower rate than the increasing σ_H .

$$\sigma_1 > \sigma_2 > \sigma_3 \text{ and } \sigma_H > \sigma_h$$

The basic assumption is that both veining events in the case study reflect Andersonian stress regimes with one principal stress vertical (σ_v) and the other two principal stresses in the horizontal plane, parallel to the Earth's surface, and with σ_h being smaller than σ_H (Anderson 1951). By definition, σ_1 is larger than σ_2 which in turn exceeds σ_3 . The latter implies that if σ_3 increases because of a consistent σ_T that is oriented parallel to σ_3 , then $\sigma_{3,T}$ can become so large that it passes σ_2 in such a way that $\sigma_{3,T}$ becomes $\sigma_{2,T}$

Fig. 5. Simplified representation of different basins as a starting point for the stress reconstructions. Scenario 1: tectonically relaxed basin that is able to extend in all directions without any predefined horizontal principal stress. Scenario 2: extensional basin that has a NE–SW-directed sedimentary basin elongation and extends perpendicular to σ_3 . Scenario 3: extensional basin with a NE–SW-directed extension and a NW–SE elongation. Mirror image of scenario 2.

Table 1. *Abbreviations used in the stress-state reconstructions*

Notation	Definition
T	Tensile strength
P_f	Pore-fluid pressure
σ'	Effective stress
σ_V	Vertical principal stress
σ_T	Tectonic stress
σ_H	Maximum horizontal principal stress
σ_h	Minimum horizontal principal stress
σ_1	Maximum principal stress
σ_2	Intermediate principal stress
σ_3	Minimum principal stress
$\sigma_{1,T}$	Maximum principal stress increased by tectonic stress
$\sigma_{2,T}$	Intermediate principal stress increased by tectonic stress
$\sigma_{3,T}$	Minimum principal stress increased by tectonic stress

and σ_2 turns into σ_3 . A similar transition can also occur between σ_2 and σ_1 if the tectonic principal stress σ_T influences σ_2 . Only two kinds of tectonic stress transition can thus occur; one between σ_3 and σ_2 and one between σ_2 and σ_1 . A direct transition between σ_3 and σ_1 is by definition not possible.

Principal stresses

All stresses tend to be compressional in the Earth's crust and true tensile stresses are uncommon at larger depths (Cosgrove 1995). In the different scenarios, principal stresses are used to illustrate the stress changes during tectonic inversion. During the whole evolution of inversion, it is assumed that hydrofracturing can take place continuously by reducing the effective stresses ($\sigma' = \sigma - P_f$) by an elevated fluid pressure (P_f) that overcomes σ_3 to allow Mode I fracturing. In the High-Ardenne case study, the presence of overpressured fluids during the positive tectonic inversion is demonstrated by microthermometric studies, making this assumption valid. Differential stresses are thereby inferred to be low enough to allow extension fracturing. This constraint allows us to evaluate vein formation during each different stage.

Vein rotation

Although a slight reorientation of the original vein orientation has been observed from the SW (NE–SW; Bertrix) to the NE (NNE–SSW; Rursee) in the High-Ardenne slate belt (see Fig. 2), the orientation of the veins is kept constant for the simplicity of the constructed stress models. If veins form, they are assumed to be NE–SW. This simplified model allows the prediction of the specific moment during which the NE–SW vein set is formed.

Mechanical models

Scenario 1: Tectonically relaxed basin

In a first scenario, the stress-state reconstruction starts with a tectonically relaxed sedimentary basin in the extensional regime (Figs 5 & 6). The boundary conditions of a tectonically relaxed basin are such that σ_1 corresponds to the vertical overburden stress ($\sigma_1 \sim \sigma_V$) and there is no distinction between the two horizontal principal stresses ($\sigma_2 = \sigma_3$ or $\sigma_H = \sigma_h$; see Cosgrove 1997, 2001). In a tectonically relaxed basin, σ_V and σ_H are related by common constraints of the rock in such way that $\sigma_H = \sigma_V/(m - 1)$ in which m is the reciprocal of the Poisson ratio ν (Price 1966).

Stage 1. A tectonic stress σ_T is assumed to be absent during subsidence in the tectonically relaxed basin. If extension veins could form due to elevated fluid pressures in stage 1, there would be a tendency to form vertical veins but not in a certain direction. Theoretically, if the veins are close enough to interfere, polygonal vein arrays would develop at this specific stress state in which $\sigma_V > \sigma_H = \sigma_h$ at low differential stresses smaller than 4 T (Cosgrove 1995, 1997).

Stage 2. As soon as a positive σ_T starts to increase in the basin at the onset of orogeny, σ_H and σ_h are defined as result of σ_T influencing one of the horizontal principal stresses. It depends on the NW–SE- or NE–SW-directed orientation of σ_T (1a or 1b in Fig. 6, respectively) in which direction $\sigma_H \sim \sigma_{2,T}$ will develop. Concomitant with the increasing $\sigma_{2,T}$, $\sigma_3 \sim \sigma_h$ increases but at a lower rate depending on the compressibility of the host rock. Eventually, the initial increase of σ_T results in a decrease of the differential stress $\sigma_1 \sim \sigma_3$. Due to the protracted compression and increasing

Fig. 6. Scenario 1 illustrating the 3D stress-state changes and corresponding Mohr circles of a tectonically relaxed basin that is shortened by a consistently oriented tectonic stress at the onset of orogeny and during compressional tectonic inversion. In the four stages, $\sigma_1 - \sigma_3 < 4 T$. BNV, bedding-normal quartz veins; BPV, bedding-parallel quartz veins. The vertical line in the Mohr circles corresponds to σ_V .

σ_T , $\sigma_{2,T}$ approaches the magnitude of $\sigma_1 \sim \sigma_V$. When both stresses are subsequently equal in magnitude, a major tectonic inversion occurs during which $\sigma_{2,T}$ now becomes $\sigma_{1,T} \sim \sigma_H$ while σ_1 turns into $\sigma_2 \sim \sigma_V$. The tectonic transition at this point implies a transition between two stress regimes, changing from an extensional regime into a 'wrench' tectonic regime with σ_2 as σ_V . In the Mohr–Coulomb diagram (Fig. 6), this major tectonic inversion between the two stress regimes is illustrated by a *switch point* between σ_2 and σ_1 at

the magnitude of σ_V . At this switch point, σ_3 remains substantially smaller than σ_2 and σ_1 so that a residual differential stress remains present during this first major inversion and no isotropic stress condition is reached.

Stage 3. After this major tectonic inversion from the extensional into the 'wrench' tectonic regime, $\sigma_{1,T} \sim \sigma_H$ passes the magnitude of σ_V and keeps increasing with increasing σ_T . Similar to stage 2, $\sigma_3 \sim \sigma_h$ increases concomitant with $\sigma_{1,T} \sim \sigma_H$ but

at a lower rate and approaches the magnitude of σ_2 . As a result of the compressibility effect, the differential stress ($\sigma_1 - \sigma_3$) increases again. This shows that a differential stress state of zero is never reached during progressive compression, but that a residual differential stress at a value substantially smaller than $4T$ remains present. A second major tectonic inversion occurs when $\sigma_3 \sim \sigma_h$ eventually exceeds $\sigma_2 \sim \sigma_v$. This transition is illustrated as a switch point in which σ_2 and σ_3 change in such way that σ_v now becomes σ_3 and σ_h becomes σ_2 . This second switch point implies a tectonic inversion from a 'wrench' tectonic regime into a compressional tectonic regime, which occurs during increasing differential stress.

Stage 4. Finally, in the compressional regime, differential stress increases as long as σ_T does not stagnate and $\sigma_{1,T}$ keeps increasing. Eventually, the tectonic inversion from extension to compression of a tectonically relaxed basin in this scenario results in a triaxial stress state with three well-defined principal stresses.

Scenario 2: Extensional basin with predefined σ_3 c. NW–SE

In a second scenario, we examine the effect of an increasing tectonic stress component applied on an extensional basin with a predefined structural orientation due to the preceding regional extension during sedimentary basin development. This scenario reflects a more common case in which the two horizontal stresses are different. The elongation of the basin is parallel to σ_2 and the basin opens perpendicular to σ_3 in a NW–SE direction (Figs 5b & 7).

Stage 1. We examine two options in which σ_T varies from orthogonal ($\sigma_{Ta} \sim$ NW–SE) to parallel ($\sigma_{Tb} \sim$ NE–SW) to the predefined structural elongation of the basin. The initial stress state prior to inversion is illustrated in the Mohr–Coulomb diagram by a universal triaxial stress state in which σ_1 corresponds to σ_v . The initial starting point is equal for the two options; however, as soon as the additional σ_T starts to increase, the resulting stress state is different for the two options. When σ_{Ta} increases in a NW–SE-directed contraction (Fig. 7; option 2a), $\sigma_{3,Ta} \sim \sigma_h$ and $\sigma_2 \sim \sigma_H$ will both also increase although with a different rate because of the compressibility effect of the host rock. Because of this different rate, $\sigma_{3,Ta} \sim \sigma_h$ first approaches σ_2 and will afterwards become equal in magnitude to $\sigma_2 \sim \sigma_H$ at a certain moment. This equality is exemplified by a switch point in the Mohr–Coulomb diagram in which $\sigma_{3,Ta}$ switches into $\sigma_{2,Ta}$ and σ_2 becomes $\sigma_3 \sim \sigma_h$. This minor horizontal switch has no influence on the overall extensional stress

regimes because σ_1 remains vertical and σ_2 and σ_3 remain in the horizontal plane corresponding to the two different horizontal principal stresses. However, if an increasing σ_{Tb} in a NE–SW-direction is applied to the predefined basin (Fig. 7; option 2b), then the previously described minor tectonic switch between σ_3 and σ_2 will never occur between stage 1 and stage 2 because σ_{Tb} immediately influences the initial σ_2 .

Stage 2. In option 2b (Fig. 7), $\sigma_{2,Tb}$ subsequently approaches σ_1 at a faster rate than the increase of σ_3 so that a minor horizontal switch between both principal stresses can never occur in the horizontal plane. Despite the difference between options 2a and 2b in the extensional regime, eventually a major tectonic inversion occurs in both options. At the switch point in the Mohr–Coulomb diagram $\sigma_{2,Tb}$ equals σ_1 , and during subsequent progressive compression they mutually change into a stress state in which $\sigma_2 \sim \sigma_v$ and σ_1 become $\sigma_{1,Tab} \sim \sigma_H$. This specific stress state has also been recognized during the tectonic inversion of a tectonically relaxed basin in scenario 1 and corresponds to a 'wrench' tectonic stress regime. In both options 2a and 2b, a residual differential stress remains present during this first major tectonic inversion because of the difference between σ_1 and σ_3 . Although the stress state at the inversion is illustrated in one specific Mohr–Coulomb diagram for both options, there could be a substantial difference in differential stress between both options, with a lower differential stress state when σ_{Ta} first starts to work on σ_3 (option 2a) than if σ_{Tb} works on σ_2 (option 2b).

Stage 3. After the first major tectonic inversion $\sigma_{1,T} \sim \sigma_H$ keeps increasing and, because of the compressibility effect of the host rock in the horizontal plane, $\sigma_3 \sim \sigma_h$ approaches $\sigma_2 \sim \sigma_v$. This leads to a second major tectonic inversion of the 'wrench' tectonic regime into the compressional tectonic regime in which σ_2 and σ_3 change so that σ_v becomes σ_3 and σ_h becomes σ_2 .

Stage 4. σ_3 eventually turns towards the vertical axis after the second tectonic inversion and $\sigma_{1,Tab}$ and σ_2 are now both situated in the horizontal plane, as expected in the compressional stress regime. However, because of the orientation of the initial tectonic stress component σ_{Ta} or σ_{Tb} , the eventual structural grain of the deformed basin is oriented differently in the two options.

Scenario 3: Extensional basin with predefined $\sigma_3 \sim$ NE–SW

In a third scenario, we examine the effect of a stress component that is applied on an extensional

Fig. 7. Scenario 2 illustrating the 3D stress-state changes and corresponding Mohr circles of an extensional basin with a predefined σ_3 oriented NW–SE that is shortened by a consistently oriented tectonic stress at the onset of orogeny and during compressional tectonic inversion. Only scenario 2a reflects the successive veining conditions in the High-Ardenne slate belt (North Eifel, Germany). In the four stages, $\sigma_1 - \sigma_3 < 4 T$. BNV, bedding-normal quartz veins; BPV, bedding-parallel quartz veins. The vertical line in the Mohr circles corresponds to σ_v .

basin with a predefined structural orientation in which the elongation of the basin (σ_2) is now oriented in a NE–SW direction and the basin opens perpendicular to σ_3 in a NW–SE-direction (Figs 5 & 8). Similar to the second scenario, two options are investigated in which a consistent positive tectonic stress is applied on the predefined basin in a NW–SE-direction (i.e. σ_{Ta} ; Fig. 8, option 3a) and in a NE–SW-direction (i.e. σ_{Tb} ; option 3b).

Stage 1. The initial starting point is again equal for the two options, with σ_1 oriented vertically and two predefined horizontal σ_h and σ_H .

Stage 2. As σ_T starts to increase, no minor tectonic switch occurs in option 3a if the applied tectonic stress σ_{Ta} immediately works on $\sigma_{2,Ta}$ and subsequently approaches $\sigma_1 \sim \sigma_v$ at the end of stage 2. However, when a tectonic stress σ_{Tb} increases parallel to σ_3 (option 3b), a minor tectonic switch

Fig. 8. Scenario 3 illustrating the 3D stress-state changes and corresponding Mohr circles of an extensional basin with a predefined σ_3 oriented NE–SW that is shortened by a consistently oriented tectonic stress at the onset of orogeny and during compressional tectonic inversion. In the four stages, $\sigma_1 - \sigma_3 < 4 T$. BNV, bedding-normal quartz veins; BPV, bedding-parallel quartz veins. The vertical line in the Mohr circles corresponds to σ_v .

occurs because $\sigma_{3,Tb} \sim \sigma_h$ approaches $\sigma_2 - \sigma_h$ faster than σ_2 approaches $\sigma_1 \sim \sigma_v$. At the switch point of this minor tectonic inversion, $\sigma_{3,Tb}$ changes into $\sigma_{2,Tb}$ and σ_2 changes into $\sigma_3 \sim \sigma_h$ at constant $\sigma_1 \sim \sigma_v$. After this minor inversion in the horizontal plane, the differential stress further decreases because of increasing σ_{Ta} and σ_{Tb} , eventually leading to the first major tectonic inversion at the end of stage 2.

Stage 3. Similar to scenario 2 in both options 3a and 3b, $\sigma_{2,T}$ equals σ_1 at the switch point and, during

subsequent progressive compression, they mutually change at the point during which $\sigma_{2,T}$ turns towards the vertical σ_v and σ_1 becomes $\sigma_{1,T} \sim \sigma_h$. The latter is indicative for a 'wrench' tectonic stress regime, in which extensional fracturing can occur at low differential stresses > 0 . With increasing differential stress at stage 3, σ_3 approaches $\sigma_2 \sim \sigma_v$ which leads to the second major tectonic inversion.

Stage 4. After inversion σ_3 eventually switches towards the vertical σ_v , corresponding to the compressional regime.

Discussion on fracturing and vein formation

The stress-state evolution of a shortened sedimentary basin during compressional tectonic inversion, which is demonstrated by means of three different scenarios, yields several possibilities during which the two successive quartz vein sets of the High-Ardenne case study can be formed. Concerning the timing of bedding-normal veining, the different stages during which the NE–SW bedding-normal extension veins can be formed are illustrated by means of the veins drawn in the different stress-state reconstructions (Figs 6–8).

Starting from a relaxed basin (scenario 1), the only possibility of forming the proper NE–SW vertical extension veins is if a NE–SW-directed σ_T decreases the differential stress during progressive compression until extensional fracturing is allowed (Fig. 6; stage 2; option 1b). Vein formation can occur prior to the first major tectonic inversion in the extensional regime, as well as after the major tectonic inversion in the transitional ‘wrench’ tectonic regime, due to the fact that σ_3 remains constant during the first major inversion (Fig. 6; stage 3; option 1b). Subsequently, bedding-parallel veins with pronounced NW–SE fabric can only be formed in the compressional regime during consistent NW–SE-directed compression (Fig. 6; stage 4; option 1a).

In an extensional basin with predefined NW–SE-oriented σ_3 (scenario 2), bedding-normal veins can be formed in several phases. In stage 1 of both options 2a and 2b (Fig. 7), the NW–SE extension of the basin is initially the sole factor that determines if NE–SW bedding-normal veins can be formed under the circumstance that a NW–SE or NE–SW tectonic compression decreases the differential stress until extensional fracturing is allowed. After the predicted minor tectonic switch of σ_2 and σ_3 during progressive compression, the stress field is however misoriented to allow veining (Fig. 7; stage 2; option 2a). In option 2b, no minor switch occurs because the tectonic stress σ_{Tb} is oriented parallel to σ_2 , resulting in a stress field that remains constant during stages 1 and 2. For similar reasons as in scenario 1, NE–SW veins can still be formed after the first major tectonic inversion in the ‘wrench’ transition regime due to the consistent σ_3 during inversion. Subsequently, the observed bedding-parallel veins are only formed in option 2a, reflecting NW–SE oriented compression.

Compression of a sedimentary basin in which the predefined σ_3 is NE–SW oriented (scenario 3), NE–SW veins can only be formed in stage 2 after the minor horizontal tectonic switch during NE–SW-directed contraction of σ_{Tb} (Fig. 8; stage 3; option 3b). Similar to the other two scenarios,

veins can be formed both prior to as well as after the major tectonic inversion to a ‘wrench’ transitional tectonic regime. Similar to the other scenarios, the subsequent bedding-parallel veins with a NW–SE fabric are formed in the compressional regime after the second tectonic inversion, due to a NW–SE-oriented σ_{Ta} (Fig. 8; stage 4; option 3a).

Of the three different scenarios considered only option 2a (Fig. 7) complies with the observations in the High-Ardenne case study, properly demonstrating how the NE–SW bedding-normal veins and subsequently the bedding-parallel veins with a NW–SE-directed internal fabric are formed. In this option, the model starts from an extensional basin that has a predefined structural NE–SW orientation (Fig. 5b) due to the geodynamic context of the Ardenne-Eifel basin on the passive margin of the Rheohercynian Ocean. This particular basin configuration is corroborated by the identification of major basin-bounding NE–SW-trending normal fault systems indicated by stratigraphical mapping (see Mansy *et al.* 1999; Lacquement 2001). During extension of the basin, in which the load of the overburden corresponds to σ_1 in the basin, there is already a σ_T in a NW–SE direction parallel to σ_3 that causes an increase in the magnitude of σ_3 and consequently a decrease in differential stress, eventually leading to regionally consistent NE–SW extensional fracturing. This model furthermore implies that no veins are formed after the minor tectonic switch and in the transitional ‘wrench’ tectonic regime, because of misorientation of the stress regime.

The proper configuration in option 2a allows the development of a stress-state reconstruction by means of several Mohr–Coulomb diagrams that illustrate successive fracturing and vein formation during the compressional tectonic inversion. Microthermometry testifies that maximum fluid overpressures of *c.* 190 MPa and *c.* 205 MPa are reached during bedding-normal and bedding-parallel extension veining, respectively (Van Noten 2011; Van Noten *et al.* 2011). The magnitude of the constant burial-related σ_v that corresponds to the load of the overburden at a depth of *c.* 7 km reflects a lithostatic pressure of *c.* 185 MPa, which is kept constant in the Mohr circle reconstructions (Fig. 9). During bedding-normal veining, the value of σ_h relates to σ_v in such way that (Cosgrove 1995; Cox *et al.* 2001):

$$\sigma_h = \sigma_v \left(\frac{\nu}{1 - \nu} \right) + \sigma_T \quad (1)$$

with a common Poisson ratio of $\nu \sim 0.25$ for sandstone (Mandl 2000).

In a tectonically relaxed basin in which $\sigma_T = 0$, this equation corresponds to $\sigma_h \sim 62$ MPa. However, the basin had a predefined geometry with

extension oriented NW–SE perpendicular to $\sigma_3 \sim \sigma_h$, indicating that the magnitude of $\sigma_3 \sim \sigma_h$ of the basin in extension must therefore have been below $\sigma_h = 62$ MPa. In such a configuration, the differential stress $\sigma_1 - \sigma_3$ (that corresponds to $\sigma_v - \sigma_h$) is *c.* 123 MPa (Fig. 9a). This value is too large to allow the formation of extension fractures developing at $\sigma_1 - \sigma_3 < 4 T$ at *c.* 40 MPa in which $T = 10$ MPa is representative for intact sandstone. Therefore, σ_T acting on $\sigma_{3,T}$ (Fig. 7; option 2a; stage 1) must at least increase up to 83 MPa in order to decrease the differential stress until the stress configuration of $\sigma_1 - \sigma_{3,T} < 40$ MPa is fulfilled (Fig. 9b). At this stage, a P_f of *c.* 190 MPa is able to overcome T , allowing the formation of bedding-normal veins against the action of total pressure. This is illustrated by the fluid pressure that pushes the Mohr circle into the tensile domain (Fig. 9b). Note that the stress-state evolution from Figure 9b is illustrated as a static reconstruction; in reality, this is a dynamic process in which σ_T increases with P_f .

After the minor switch of $\sigma_{3,T}$ to $\sigma_{2,T}$, the first major tectonic inversion occurs (Fig. 9c). At this point, a residual differential stress remains present at an estimated value of approximately $\sigma_1 - \sigma_3 = c. T$. After this stage, differential stress increases again in the transitional ‘wrench’ tectonic regime until the second tectonic inversion takes place at a differential stress above T but below a substantial value of $4 T$ (Fig. 9d). After the reorientation of the principal stresses in the compressional regime, fracturing and vein formation takes place at a value of $\sigma_1 - \sigma_3 < 30$ MPa for extensional failure (Fig. 9e) and slightly increases to $\sigma_1 - \sigma_3 < 43$ MPa during subsequent extensional-shear failure (Fig. 9f). Extensional failure therefore takes place at a lower differential stress in the compressional regime than compared to the extensional regime because of the lower tensile strength due to the bedding anisotropy ($T < 10$ MPa) during compression. Since $\sigma_1 - \sigma_3 = \sigma_H - \sigma_v$ and from Equation 1 modified for σ_H , σ_T is allowed to rise up to values of $\sigma_T = 155$ MPa during extensional failure and $\sigma_T = 167$ MPa during extensional-shear failure in the compressional regime.

Fig. 9. Stress-state Mohr circle reconstruction of option 2a which best fits with the observations of the formation of the successive vein sets in the High-Ardenne slate belt. Stages (a–f) reflect the different stages of extensional brittle failure from the extensional regime over the intermediate ‘wrench’ tectonic regime into the compressional regime. See Table 1 for definitions of notation. BNV, bedding-normal veins; BPV, bedding-parallel veins.

At this stage, the (supra-)lithostatic fluid pressure of P_f at $c. 205$ MPa is able to overcome T . This is illustrated by the shift of the Mohr circle to the tensile domain, allowing failure when the Mohr circle hits the failure envelope. Furthermore, note that in a Mohr–Coulomb diagram supra-lithostatic failure is not illustrated as the Mohr circle cannot pass the Griffith criterion. Subsequently, σ_T increases during further contraction in the compressional regime (grey circle in Fig. 9f). A further Mohr circle reconstruction is prohibited as folds and cleavage subsequently developed, defining a plastic deformation which cannot be illustrated in a brittle failure diagram.

General implications

Apart from the regional implications, the different scenarios imply that if a basin is subjected to a tectonic compression, two or three tectonic switches are always necessary to explain the complex 3D tectonic inversion from an extensional to a compressional tectonic regime. The orientation of σ_T with respect to σ_3 is the sole factor that determines whether or not a minor horizontal tectonic switch between σ_2 and σ_3 will occur prior to the first major tectonic inversion. Furthermore, no matter the orientation of the basin geometry or the orientation of the increasing tectonic shortening, the presence of a transitional ‘wrench’ tectonic regime with a vertical σ_2 is always predicted during compressional tectonic inversion in a progressive deformation history. Although strike-slip related brittle features should be expected in such a stress configuration (Anderson 1951), the latter has to date never been observed or these features are not preserved in a shortened basin that has been subjected by a compressional tectonic inversion. This is possibly due to the (very) low differential stresses that remain present during inversion or due to the presence of previously formed structures (e.g. veins) that may form a strong anisotropy and may hamper the formation of new strike-slip related structures. Additionally, the time aspect during which tectonic inversion from extension to compression occurs may also play an important role. If, depending on the geodynamic setting of an area, a (relatively slow) tectonic inversion could take place during several millions years, a very long intermediate stage could occur in a specific situation during which differential stresses remain very small. In this specific case, structures related to the intermediate stage should be found.

To conclude, despite the fact that Sibson (1998, 2004) already mentioned that ‘wrench’ regimes have intermediate values bounded by the

compressional and extensional regime criteria (i.e. at the zero differential stress line on Fig. 1), the presence of a transitional ‘wrench’ tectonic regime in between the extensional and compressional regime has to date not been visualized in a brittle failure mode plot. This study emphasizes that, depending on the value of σ_2 , a whole range of possibilities are present during the tectonic inversion. Both the presence of an intermediate ‘wrench’ tectonic regime and the 3D complexity of a tectonic switch should therefore be taken into account in the construction of brittle failure mode plots. Tectonic inversions between stress regimes should not be considered as a single switch point, but should be subdivided in a double tectonic switch with a short-lived intermediate ‘wrench’ tectonic regime.

Conclusions

Although brittle failure mode plots are very useful to visualize the fluid pressure and stress-state evolution during tectonic inversion, they oversimplify the true stress switches in the Earth’s crust during tectonic inversion at low differential stresses. In this study a 3D stress-state reconstruction of a compressional tectonic inversion has been carried out, based on two successive extensional quartz vein sets that are oriented normal and parallel to bedding and which occur in siliciclastic multilayers of the High-Ardenne slate belt (Belgium, Germany). The two vein occurrences are formed during the extensional and compressional regime and thus reflect Early Variscan tectonic inversion affecting the Ardenne-Eifel basin. In order to determine the stress-field orientation during compressional tectonic inversion, several 3D stress-state evolutions are reconstructed by applying a consistent tectonic stress component to a basin with a predefined structural orientation. By changing both the initial sedimentary basin geometry and the direction of the tectonic compression, several scenarios are developed; only one could explain the vein occurrences observed in the High-Ardenne slate belt. In the model which best fits the observations, the NE–SW bedding-normal extension veins can only be formed during a stress state in which a NW–SE-directed tectonic stress σ_T , oriented parallel to the opening direction (σ_3) of the extensional Ardenne-Eifel basin, reduces the differential stress substantially in order to allow extensional veining. Bedding-parallel veins with the observed NW–SE internal fabric can subsequently only be formed under NW–SE-directed compression.

More generally, these stress-state reconstructions predict that if an increasing positive tectonic stress starts to compress a sedimentary basin at constant vertical principal stress (= overburden

pressure), two or three tectonic stress switches are always necessary to explain the inversion from extension to compression depending on the orientation of σ_T with respect to the extension direction of the basin. If σ_T is oriented parallel to σ_3 , a first minor stress switch occurs in the horizontal plane between σ_3 and σ_2 . This minor switch is however absent if a tectonic stress increases in a tectonically relaxed basin or if it increases parallel to σ_2 (i.e. the elongation of the basin). During further progressive compression however, no matter the orientation of the basin geometry or the direction of the tectonic stress, in all the different scenarios an intermediate transitional 'wrench' tectonic stress regime is predicted in between the extensional and compressional regime. No evidence of this intermediate 'wrench' tectonic regime has yet been found in particular brittle features in a shortened basin that has been subjected to a tectonic inversion at low differential stress. Eventually, these reconstructions illustrate that if a basin experiences a tectonic inversion under triaxial stress conditions, stress transitions are more complex than classically represented. Ideally, the predicted intermediate 'wrench' tectonic regime should be implemented in the brittle failure mode plots, either in a third dimension or in separate brittle failure mode plots that illustrate the differences of σ_1 and σ_3 with σ_2 .

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