

Preclinical Gene Therapy Studies for Hemophilia Using Adenoviral Vectors

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ABSTRACT

Hemophilia A and B are hereditary coagulation defects resulting from a deficiency of factor VIII (FVIII) and factor IX (FIX), respectively. Introducing a functional *FVIII* or *FIX* gene could potentially provide a cure for these bleeding disorders. Adenoviral vectors have been used as tools to introduce potentially therapeutic genes into mammalian cells and are by far the most efficient vectors for hepatic gene delivery. Long-term expression of both FVIII and FIX has been achieved in preclinical (hemophilic) mouse models using adenoviral vectors. Therapeutic levels of FVIII and FIX also have been achieved in hemophilic dogs using adenoviral vectors and in some cases expression was long-term. The performance of earlier generation adenoviral vectors, which retained residual viral genes, was compromised by potent acute and chronic inflammatory responses that contributed to significant toxicity and morbidity and short-term expression of FVIII and FIX. The development of improved adenoviral vectors devoid of viral genes (gutless or high-capacity adenoviral vectors) was therefore warranted, which led to a significant reduction in acute and chronic toxicity and more prolonged expression of FVIII and FIX. Strategies aimed at making these vectors safer and less immunogenic and their implications for hemophilia gene therapy are discussed in this review.

KEYWORDS: Hemophilia A, hemophilia B, gene therapy, adenoviral vector

Objectives: On completion of the article the reader should be able to (1) list some of the problems encountered with early generations of adenoviral vectors, and (2) conceptualize results obtained with high-performance adenoviral vectors in several animal models of hemophilia.

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Hemophilia A and B are hereditary X-chromosome-linked bleeding disorders, due to a deficiency in coagulation factor VIII (FVIII) or factor IX (FIX), respectively. Recurrent bleedings in the joints, muscles, and internal organs are the hallmark of severe hemophilia.¹ The liver is the natural site of production of FVIII and FIX, although a significant amount of FVIII also is synthesized in the kidney.² Currently, patients with hemophilia are treated in response to bleeding episodes with infusion of plasma-derived or, more recently, recombinant FVIII or FIX concentrates. Both the life expectancy and the quality of life of patients have been markedly improved by protein-replacement therapy. Nevertheless, treated patients are still at risk of life-threatening bleeding episodes and chronic joint damage, given that treatment is restricted by the limited availability and high cost of purified clotting factors. The burden of regular venous injections is high and an important side effect of clotting factor substitution therapy is that some patients develop neutralizing antibodies against FVIII or FIX. Despite great improvement in screening and production methods of plasma-derived clotting factors, reports of transmission of viruses^{3,4} and the potential risk of infectious prion transmission⁵ still raise safety concerns. The limitations of current treatments justify the development of gene therapy for hemophilia, which may ultimately provide a cure.

Since the first developments of gene therapy, hemophilia has been an attractive disease model for several reasons. First, it is caused by a single gene defect. Second, the therapeutic window is relatively broad. Experience with prophylactic regimens of protein concentrates during the last 30 years has established that continuous maintenance of circulating levels of clotting factor >1% is adequate to prevent most of the mortality and much of the morbidity associated with the disease.⁶ Third, the availability of animal models, including *FVIII* and *FIX*-knock-out mice⁷⁻¹⁰ and hemophilia A and B dogs,^{11,12} which mimic the clinical symptoms of hemophilia, facilitates preclinical gene therapy efficacy and safety studies. Finally, determination of therapeutic efficacy is straightforward in the case of hemophilia, given that circulating levels of clotting factors are easy to measure and correlate well with clinical manifestations of the disease.

Gene therapy for hemophilia requires the use of a gene delivery system that is efficient, safe, nonimmunogenic, and allows for long-term protein expression. This must compare favorably with existing protein replacement therapies. Adenoviral vectors are by far the most efficient gene delivery vehicle to introduce genes into hepatocytes. However, adenoviral vectors can trigger inflammatory responses, which compromise their safety and efficacy. The properties of adenoviral vectors and modifications to the vector system with specific emphasis on hemophilia gene therapy will be discussed.

ADENOVIRAL VECTOR DEVELOPMENT

Properties of Adenoviruses

Human adenoviruses are nonenveloped, double-stranded DNA viruses belonging to the *Adenoviridae* family. The virion is 80 to 90 nm in diameter with a spiked icosahedral morphology. Adenovirus infects target cells by attachment to the coxsackie and adenovirus receptor on the cell surface,¹³ internalization via clathrin-coated pits into endosomes, escape of the virion into the cytoplasm by endosomolysis, translocation to the nuclear membrane via nuclear targeting signals within the capsid polypeptides, and transport of the viral genome into the cell nucleus, where the viral genome remains episomal. Adenoviruses have several attractive features that make them particularly well suited for vector development and gene therapy. The genome has a size of 36 kb, which after (partial) deletion leaves a relatively large packaging capacity. Adenoviruses can infect and express genes at high levels in many different cell types and host cell replication is not required for expression, so nondividing cells such as hepatocytes are readily infected. There are at least 50 different human serotypes, subgrouped A to G, which are commonly associated with mild diseases. Most adenoviral vectors currently used are derived from serotypes 2 and 5, which are endemic and cause upper respiratory tract infection. No known malignant tumors have resulted from adenovirus infection. Given that they have been used in live virus vaccine studies for many years without problems, they have a long-standing safety record.

The difference between an adenovirus and its cognate vector is that the parental virus can replicate and infect other cells after infection, whereas adenoviral vectors can enter a cell, but cannot use the cell to replicate. This impaired replication is due to the lack of at least one of the essential viral regulatory genes, which is replaced by the gene of interest, in casu *FVIII* or *FIX*. Although adenoviral vectors cannot replicate, they do retain all of the other essential properties, including the tropism of the parental viruses from which they are derived. Adenoviral vectors are relatively easy to manufacture at high concentrations (>10¹⁰ infectious units [iu]/mL). They are well suited for hepatic gene delivery but their main disadvantage is that the host immune response limits the duration of transgene expression and the ability to readminister the vector. A second inherent limitation to the duration of transgene expression is because the adenoviral DNA is not integrated into the host genome but is maintained episomally. Hence, dividing cells will gradually lose the adenoviral vector along with its potentially therapeutic gene. However, this also implies that there is virtually no risk of neoplastic transformation caused by insertional mutagenesis.

Early-Generation Adenoviral Vectors

The first-generation adenoviral vectors that were developed contained a deletion of the entire *E1A* and part of the *E1B* regions of the adenoviral genome^{14,15} (Fig. 1). This deletion allowed for insertion of an expression cassette up to 8 kb. The E1A proteins are the first to be expressed de novo upon infection with wild-type adenovirus and constitute an essential master switch to turn on adenoviral gene expression. Expression of E1A initiates adenoviral replication and activates adenoviral transcription. The *E1* and other missing genes necessary for vector assembly are complemented in trans using appropriate packaging cells that express these genes.¹⁶ First-generation adenoviral vectors retain the immediate 5' end of the viral genome, including the left inverted terminal repeat and encapsidation signal, required for packaging and the overlapping *E1* enhancer, in addition to all other viral genes. These genes are still expressed at low levels even in the absence of E1, presumably as a result of activation by cellular E1-like proteins. Furthermore, acute and chronic toxic and lethal effects are observed frequently in nonhuman primates and other animals (including mice, rabbits and dogs) that receive high doses of replication-deficient adenoviral vectors.^{17,18} Finally, several clinical trials based on adenoviral vectors had to be discontinued because of acute inflammatory responses and severe morbidity and mortality¹⁹ in some patients.

Given that the cells transduced with *E1*-deleted recombinant adenoviral vectors appeared to be eliminated by cytotoxic T-lymphocytes (CTL) directed toward late viral gene products, it was thought that this cellular immune response could be attenuated if not

completely abolished by further reducing viral gene expression. This was initially accomplished by alteration or deletion of additional early viral genes such as *E2* or *E4*^{20–23} (Fig. 1). Incorporation of an *E2A* deletion or *E2A* temperature-sensitive mutation into an *E1*-deleted adenoviral vector did not improve persistence of transgene expression.^{24,25} Despite the fact that these second-generation vectors did not reveal any detectable de novo vector DNA synthesis or de novo viral gene expression in transduced cells, there was no significant difference in gene transfer and expression compared with first-generation adenoviral vectors.²⁶ A concern related to intravenous administration is the potential of germline modification. However, no evidence could be found for transduction of spermatogonia after intravenous administration of *E1/E4* deleted adenoviral vectors.²⁷ Progressive deletion of the adenoviral genome (*E1/E2A/E3* deletion or *E1/E4/E3* deletion) did not extend the in vivo persistence of the transduced cells and did not reduce the specific antiviral cellular and humoral immune response compared with first-generation vectors.²⁸ Further attenuation of the adenoviral vector backbone by removal of *E1/E2/E3/E4* diminished vector toxicity; however, the duration of transgene expression was reduced.²⁹

EARLY-GENERATION ADENOVIRAL VECTORS EXPRESSING FVIII

FVIII is a large, complex protein, which is relatively difficult to express. The *FVIII* cDNA contains sequences that repress its expression.^{30,31} FVIII protein is transported inefficiently from the endoplasmic reticulum to the Golgi apparatus,³² and the protein is extremely

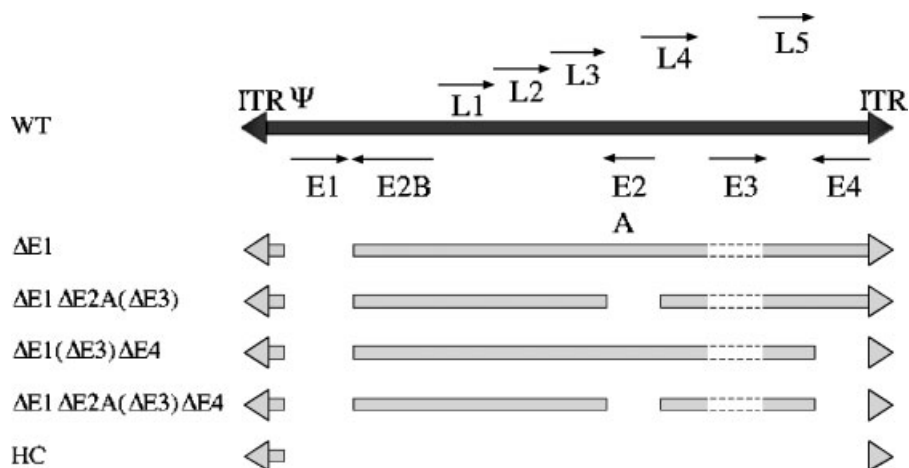


Figure 1 Comparison of deletions in the adenoviral genome for the different generations of adenoviral vectors. To make the wild-type (WT) adenovirus replication deficient, first a deletion in the *E1* region was introduced and optionally also in the *E3* region. Given that low-level adenoviral gene expression was apparent in these first-generation vectors, deletions in *E2A* (or temperature sensitive *E2A* mutations) or *E4* were introduced. In an attempt to trim the adenoviral genome further, vectors containing both *E2* and *E4* deletions in addition to the *E1* (and *E3*) deletion were generated. In contrast to these earlier generation adenoviral vectors, high-capacity (HC) vectors do not contain any adenoviral genes, but only retain the inverted terminal repeats (ITR) and the packaging signal.

sensitive to proteolytic degradation if not stabilized by von Willebrand factor. The B domain is not required to produce functional FVIII, so in most studies the 4.3-kb B-domain-deleted *FVIII* cDNA is used, which results in increased FVIII expression levels.

Intravenous administration of an early-generation *E1/E3*-deleted or *E1/E2a/E3*-deleted adenoviral vector in which the human or canine B-domain-deleted *FVIII* cDNA was driven by an albumin promoter to normal or hemophilic mice resulted in expression of therapeutic and even physiologic levels of biologically active FVIII, which corrected the bleeding deficiency in the hemophilic mice.³³⁻³⁸ Although no antibodies to human FVIII were detected, FVIII levels gradually declined to basal levels, which was at least partly due to dose-dependent vector toxicity. Intravenous injection of human or canine FVIII early-generation *E1/E3*-deleted adenoviral vectors into hemophilia A dogs resulted in short-term phenotypic correction and expression of therapeutic levels of human or canine FVIII,^{17,39} peaking at 60 U/mL. However, FVIII expression was transient because of the development of antihuman or canine FVIII-neutralizing antibodies, possibly in combination with acute and chronic liver toxicity. Even when an autologous murine *FVIII* gene was administered using *E1/E3*-deleted adenoviral vectors to FVIII-deficient mice that expressed nonfunctional FVIII heavy chains, expression was short term because a humoral and cellular immune response.^{40,41} Hence, despite the presence of endogenous FVIII protein, the immune system still recognized a species-specific transgene protein as a neo-antigen, eliciting an immune response. Short-term correction of FVIII deficiency in a murine hemophilia A was also observed after delivery of adenovirus murine FVIII in utero, but in this case the gradual loss in expression mainly was due to the postnatal hepatocyte proliferation, given that adenoviral vectors do not integrate.⁴¹

Experiments in adult primates have shown that therapeutic levels of FVIII could be obtained with an *E1/E2a/E3*-deleted vector.⁴² Because the experiment only lasted 1 week it was not clear how long FVIII expression lasted. FVIII was only expressed following injection of a relatively high vector dose (3×10^{12} viral particles [vp]/kg). Transient elevations of liver enzymes, and histological evidence of liver inflammation, anemia, thrombocytopenia, and lymphoid and marrow hyperplasia were noted. In a study with four cynomolgus monkeys, peak FVIII levels of 100 ng/mL were observed following an injection with 3×10^{12} vp/kg of an *E1/E2/E3*-deleted vector.⁴³ Expression persisted for 14 to 28 days and the vector copy number declined 10-fold between day 7 and 56. Two of the animals did not develop antibodies to FVIII, but an increase in liver enzymes and interleukin 6 (IL-6) levels and a platelet decrease occurred in all animals.

EARLY-GENERATION ADENOVIRAL VECTORS

EXPRESSING FIX

The 3-kb *FIX* cDNA is much easier to express than FVIII, but the normal plasma level is considerably higher (5 μ g/mL). Early-generation adenoviral vectors expressing the canine or human *FIX* cDNAs were injected intravenously in hemophilic dogs⁴⁴ and normal^{45,46} and hemophilic mice.⁴⁷ This resulted in efficient liver transduction and supraphysiologic levels of FIX, which transiently corrected the bleeding diathesis in the hemophilia B mice and dog models. However, levels slowly declined to baseline because of cell-mediated and humoral immune responses, as confirmed in independent studies.⁴⁸ Levels were not re-established by a second vector injection because of high amounts of circulating antibodies that neutralized the vector upon rechallenge. Intramuscular administration of an adenoviral vector efficiently activated FIX-specific CTLs and T helper cells of both Th1 and Th2 subsets, leading to inflammation and destruction of transduced muscle tissue and activation of B cells.⁴⁹

Adenoviral vector-mediated factor IX gene transfer in rhesus macaques gave rise to transient near-physiologic levels of human FIX after 4 days (4 μ g/mL) but levels decreased within 3 weeks. The expression response was linear with infusion of 8×10^{10} to 8×10^{11} IU. Despite the fact that human FIX protein injections did not induce antibodies given the high degree of homology between human and macaque FIX,⁵⁰ antibodies to FIX were induced, suggesting an immune adjuvant effect of the first-generation *E1/E3*-deleted adenoviral vector.¹⁸ At a dose of 1.2×10^{13} vp/kg, first-generation vectors were shown to cause acute symptoms, extreme thrombocytopenia, increased liver enzymes, and prominent elevation of interleukin 6 (IL-6) and tumor necrosis factor alpha (TNF- α), causing a baboon to become moribund 48 hours postinjection.⁵¹ Injury to the vascular endothelium was the most prominent histological abnormality. In addition, coagulopathy caused by persistent hypofibrinogenemia¹⁸ also was apparent.

To prolong FIX transgene expression, administration of the adenoviral vector was combined with immunosuppressive drugs such as cyclosporin A and cyclophosphamide, which allowed therapeutic levels to persist for longer periods, but levels progressively declined to pretreatment levels.^{48,52} Immunosuppressive strategies designed to block the formation of antibodies to the viral capsid did permit a successful readministration of vector in mice.^{53,54} However, nonspecific immunosuppressive treatments are not desirable for application in humans; the immunosuppressive treatment might also diminish the immune response against naturally occurring wild-type adenoviral infections.

It would be preferred to modify the vector components instead. A recombinant adenovirus-expressing canine FIX was therefore modified to contain a

temperature-sensitive mutation (*ts125*) in the DNA binding protein encoded within the viral *E2A* region, leading to reduced late adenoviral gene expression.²⁵ The effects of the inclusion of the *ts125* mutation on transgene expression in vivo were evaluated in BALB/c mice and hemophilia B dogs by comparison with adenoviral vectors containing the same transgene but lacking the *ts125* mutation. No significant differences in the duration of transgene expression were observed in either animal model. The inability of the *ts125* mutation to prolong transgene expression in these two animal models suggests that further modification of the vector backbone is required to achieve more prolonged gene expression.

In conclusion, these data indicated that first- and second-generation adenoviral vectors were associated with acute and chronic toxicity and did not support long-term expression of FVIII or FIX in preclinical animal models. The hepatotoxic effects of adenoviral vectors could be circumvented, but not eliminated, by using stronger *FVIII*- or *FIX*-expression cassettes, allowing the use of lower vector doses.

Development of High-Capacity Adenoviral Vectors

The ultimate adenoviral vector modification comprises a vector containing only the cis-acting elements necessary for replication and packaging, but lacking all adenoviral genes.⁵⁵ A comparison with earlier generation adenoviral vectors showing the deletions in the viral genome is depicted in Figure 1. High-capacity (HC) adenoviral vectors, also called gutted or gutless vectors can theoretically accommodate up to ~37 kb of insert.^{56–61} Production of high-capacity adenoviral vectors depends on the use of helper viruses that provide all missing functions in trans but cannot be packaged efficiently because of mutations in the packaging signal^{56,62–65} (Fig. 2). High-capacity vectors could give rise to prolonged transgene expression.^{58,59,66–72} These latest generation high-capacity vectors were associated with significantly reduced acute and chronic hepatotoxicity and reduced inflammatory responses compared with first-generation adenoviral vectors,⁵⁹ even in large animal models such as primates or dogs,^{55,71} which are more susceptible to the toxic side effects of adenoviral vectors than mice.^{38,39,73}

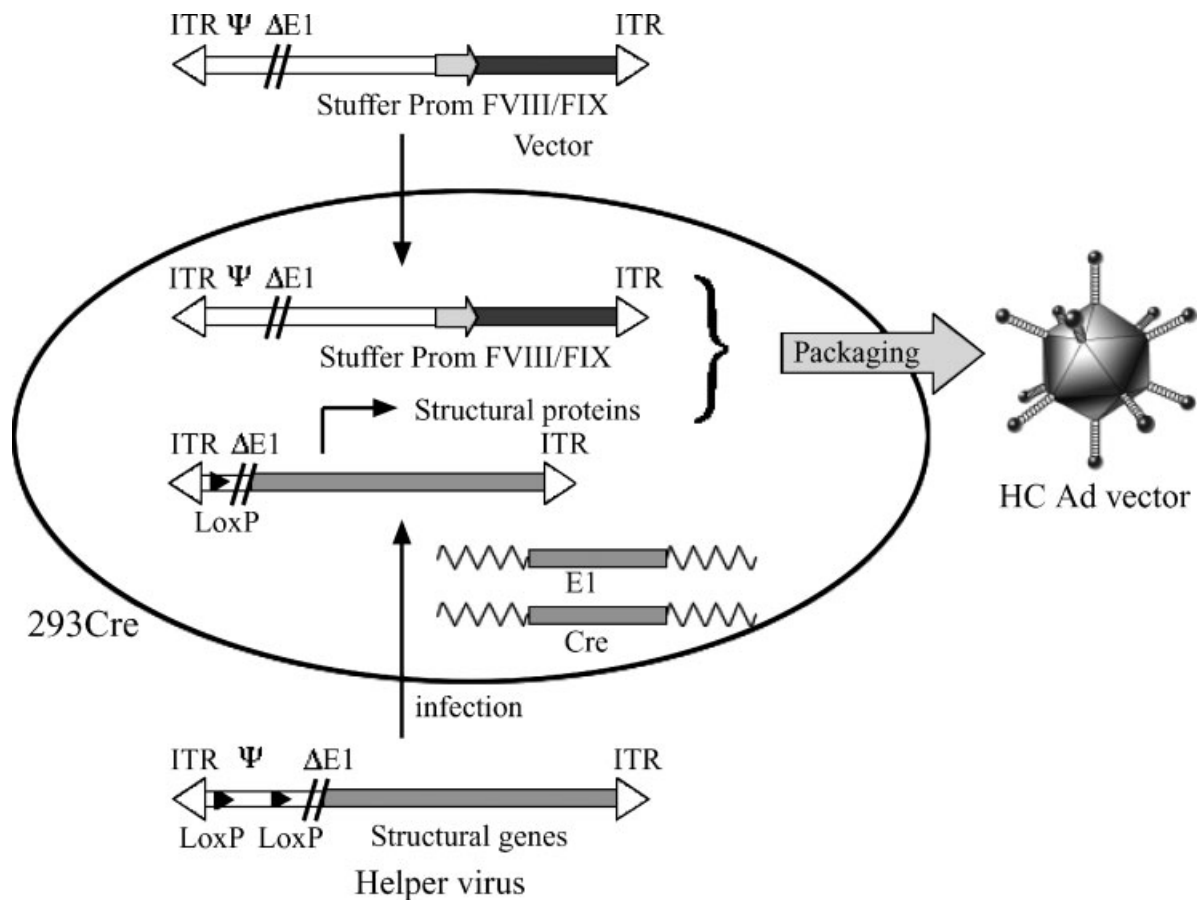


Figure 2 Production of high-capacity (HC) vectors. 293Cre cells are infected with a helper virus containing a packaging signal flanked by loxP sites. Cre activity in the 293Cre cells results in excision of the packaging signal, rendering the helper packaging deficient. The helper virus, aided by the E1 sequence in the 293Cre cells, provides the structural genes necessary for adenoviral particle assembly. The cotransfection with the vector construct results in preferential packaging of the vector genome. The titer of the resulting HC adenoviral vectors can be increased by serial passage in helper virus infected 293Cre cells. ITR, inverted terminal repeat; Prom, promoter; FVIII, factor VIII; FIX, factor IX.

In particular, the induction of proinflammatory cytokines IL-6 and TNF- α was significantly less following high-capacity adenoviral vector administration than when early-generation vectors were used.⁷² Transgene expression was also more prolonged with high-capacity adenoviral vectors when compared side by side with early-generation adenoviral vectors.^{58,59,70} It is not certain whether these vectors could give rise to life-long transgene expression because several studies demonstrated a slow but significant decline in transgene expression.^{68,70,72} This may be due to the low turnover rate of hepatocytes leading to the gradual loss of the high-capacity adenoviral vector. The absence of de novo expression of adenoviral gene expression in the cells that are transduced with the HC adenoviral vectors is expected to prevent the induction of CTL, provided the transgene in itself is nonimmunogenic. Paradoxically, when de novo adenoviral gene expression by first-generation adenoviral vectors is blocked by psoralen treatment and ultraviolet cross-linking, CTL responses specific for the transduced target cells can still be induced.⁷⁴ It has therefore been proposed that the vector particles themselves could be processed and presented in a major histocompatibility complex class I-restricted fashion to CTL effectors.

HC VECTORS EXPRESSING FVIII

When compared with first-generation adenoviral vectors, HC vector-treated mice displayed 10-fold higher FVIII expression levels that were sustained for at least 9 months.⁷⁰ The expression cassette was composed of an albumin promoter, an intron, the B domain-deleted *FVIII* cDNA, and the SV40 poly(A) signal. At a dose of 6×10^{10} vp, the HC vector-induced FVIII expression >1 U/mL, which declined 10-fold over a period of 40 weeks. Antibodies against FVIII were not detected. Both the first-generation and the HC vector were also administered at doses of 1.2×10^{11} and 3×10^{11} vp to evaluate toxicity. At the highest dose, both vectors displayed a mild increase in liver enzymes, which returned to normal after 3 days. However, the first-generation adenoviral vector triggered a second increase in liver enzymes about a week after vector injection, which returned to baseline after 1 month. This second peak in transaminases was absent when the HC adenoviral vector was used. Hence, it is likely that the initial burst in transaminases reflects acute toxicity that is attributable to the capsid input, whereas the secondary prolonged liver enzyme elevation possibly is due to de novo adenoviral gene expression from the first-generation vector.

The first described *FVIII* containing high-capacity adenoviral vector carried the full-length human *FVIII* cDNA under the control of the human 12.5-kb albumin promoter. This HC adenoviral vector was injected at 10^{11} vp into FVIII-deficient mice and resulted in efficient hepatic gene transfer and long-term

therapeutic FVIII expression (100–800 ng/mL) leading to phenotypic correction.^{66,68} However, expression dropped to undetectable levels in 5 out of 8 mice within 2 months after treatment due to induction of inhibitory antibodies to human FVIII. No significant vector-associated toxicities were detected in mice and dogs at doses as high as 3×10^{11} vp/mouse and 2.6×10^{12} vp/dog, but in the latter model, no detectable FVIII expression was obtained. At a dose of 3×10^{12} vp/kg, correction of the whole-blood clotting time in a hemophilic dog was seen. Low FVIII levels (up to 0.08 U/mL) were obtained in non-human primates.⁷⁵ However, transient hematological and hepatic toxicities were also observed at a dose of 4.3×10^{12} vp/kg but not at 1.4×10^{12} vp/kg. One patient suffering from severe hemophilia A has been treated with this HC adenoviral vector, which is discussed elsewhere in this issue (see the article by Vandendriessche et al). The patient appeared to express $\sim 1\%$ of normal FVIII levels for several months. However, a transient inflammatory response with hematologic and liver abnormalities was observed that returned to normal values within 19 days. Due to these transient toxic effects, the trial was put on hold.⁷⁶ These preclinical and clinical results indicate that further improvements of HC adenoviral vector technology is warranted.

We have recently generated an improved HC adenoviral vector that expressed unprecedented FVIII levels in mice, higher than any other gene therapy approach published to date. Injection of human and canine FVIII HC vectors driven by the $\alpha 1$ -antitrypsin promoter in immunodeficient mice at doses ranging from 1.65×10^9 to 1.65×10^{11} vp led to long term expression of 0.1 U/mL (lowest dose) to >15 U/mL⁷¹ with peak levels up to 75 U/mL. These levels are at least 20–40-fold higher than what has been achieved with a previous HC adenoviral vector that expressed full length *FVIII* from the albumin promoter (vide supra).

In immunocompetent hemophilic mice, initial FVIII expression levels using the highest vector dose were comparable to the levels obtained in immunodeficient hemophilic mice but then decreased to undetectable levels within 2 to 4 weeks, coinciding with induction of neutralizing antibodies and possibly also cellular immune responses (CTLs). IL-6 levels and platelet counts were not affected at a dose of 1.65×10^{11} vp, however serum transaminase levels showed a significant peak at day 14, possibly reflecting a CTL response.

The anti-FVIII immune response could be overcome and stable FVIII levels could be obtained in immunocompetent hemophilic mice by pretreatment with clodronate liposomes, which induces transient depletion of antigen-presenting cells (APCs), particularly Kupffer cells and splenic macrophages. In addition, transient APC depletion resulted in a 10-fold increase in FVIII expression levels, and therapeutic (0.1 U/mL) FVIII levels could still be obtained at a vector dose as low

as 1.65×10^8 vp. These results indicate that the innate immune compartment limits the therapeutic efficacy of HC adenoviral vectors. This reduced therapeutic efficacy is likely the combined result of a direct uptake of HC adenoviral vector particles by APCs in conjunction with the induction of a specific humoral immune response. Hence, the therapeutic window of HC vectors could be improved further by minimizing the interaction between HC vectors and APCs.

Upon injection of 3.6×10^{11} vp/kg of this HC vector containing the canine *FVIII* gene into a hemophilia A dog, transient therapeutic FVIII levels (3 to 4% of normal human levels) could be achieved. Inhibitory antibodies to FVIII could not be detected and there were no signs of hepatotoxicity or hematologic abnormalities.⁷¹ Long-term correction of hemophilia A was recently achieved in dogs that received a high dose of vectors wherein the *FVIII* gene was driven by the proximal human *FVIII* promoter with an upstream hepatocyte nuclear factor 1 (HNF1) concatemer.⁷⁷ When this vector was administered at a dose of 1.25×10^{12} vp/kg, peak FVIII levels of 100 mU (10% of normal) were observed, but in one dog, this level declined to baseline over a period of 2 months, and in another dog, levels of 10 mU/mL were observed stably over a period of 5.5 months. FVIII inhibitors were not detected in these dogs. However, this vector dose triggered transient thrombocytopenia and hepatotoxicity, measured by increased amino alanine transferase levels. A third dog that received only 5×10^{11} vp/kg did not show any of these abnormalities. When a CMV promoter was used and 2×10^{12} vp/kg was administered to a fourth dog, FVIII concentrations reached 19 U/mL, but quickly declined below the detection limit, coinciding with generation of FVIII inhibitors. It is not certain whether this induction of antibodies is attributable to the higher toxicity associated with the vector dose, to the expression of FVIII in cells other than hepatocytes, or a combination of both. It has been proposed that for the CMV vector, a potent innate immune response elicited a danger signal,⁷⁸ leading to activation of the adaptive immune system, whereas this was not the case with the liver-specific vector at lower dose.

HC VECTORS EXPRESSING FIX

An expression cassette consisting of a matrix attachment region, a liver-specific promoter, and a human *FIX* minigene was tested in both a HC and an early-generation vector.⁷² With the administration of 2×10^9 iu of either vector, FIX levels of up to 41 $\mu\text{g/mL}$ were obtained; for the first-generation vector, this was 4 to 20 times higher than previous studies. During a period of 1 year, FIX concentrations slowly declined by 95%, a level still of therapeutic significance. This decline was not attributable to the formation of antibodies, but to a 10-fold drop of vector copy number, most probably

caused by cell cycling and not by a CTL response.⁷⁹ At this dose, IL-6 and TNF- α concentrations were elevated in animals that received the early-generation but not the HC vector. At a dose of 1×10^8 iu, the HC vector still gave rise to therapeutic FIX levels (up to 1200 ng/mL), whereas levels obtained with the first-generation vector were below 50 ng/mL. This difference might be explained by de novo expression of adenoviral genes. The exact reason why this did not lead to antibody generation is uncertain, but is probably related to the low dose and the hepatocyte-specific expression cassette.

Subsequently, this expression cassette was modified with an additional matrix attachment region and with a canine instead of human *FIX* minigene. HC vectors were injected in two hemophilia B dogs at doses of 4.3 and 2.95×10^{10} IU/kg. Although a comparable dose gave rise to supraphysiological levels in mice, the peak FIX level was 2.2 and 0.53 $\mu\text{g/mL}$ (44% and 11% of normal, respectively), which emphasizes a difference in therapeutic window among different animal models. Peak expression was followed in both dogs by a decline below therapeutic level after 2.5 months in the first dog and below the detection limit after 1 month in the second dog. There was no induction of a humoral immune response against FIX, consistent with the mouse results. In addition, no vector-related elevation of liver enzymes, no decrease in platelet counts, and no histologic abnormalities were seen.

CONCLUSIONS AND PERSPECTIVES

The safety profile and efficacy of adenoviral vectors for hemophilia gene therapy has improved significantly during the last few years. This has been accomplished largely by deleting all residual viral genes in the adenoviral backbone to generate HC adenoviral vectors. Although early-generation adenoviral vectors gave rise to therapeutic levels of FVIII and FIX in preclinical hemophilic mouse and dog models, they were associated with significant acute and chronic toxicity, inflammatory responses, and transient expression of FVIII and FIX, particularly in large animal models (hemophilic dogs and primates). In contrast, HC adenoviral vectors had a significantly improved safety profile and led to much more prolonged expression of FVIII or FIX compared with early-generation vectors. Although several studies in hemophilic dogs indicate that HC vectors yield therapeutic levels of FVIII or FIX with no or limited toxicity,^{71,75,77,80} it appears that the efficiency of HC adenoviral transduction is less efficient in dogs than in mice. The exact reason for this difference is not clear but may reflect differences in biodistribution, which may in turn be influenced by differences in anatomical barriers or differences in CAR receptor or α_v -integrin expression patterns among different species.⁸¹ Alternatively, the stability of adenoviral genomes, which is believed to be

influenced by the adenoviral terminal protein,⁷⁹ may be different in different species. In conclusion, although the use of animal models is extremely valuable in testing the consequences of gene therapy, the obtained results have to be interpreted with caution because the vectors may behave very differently in different species, making it particularly difficult to predict the outcome in clinical trials.

Although prolonged transgene expression has been achieved following HC adenoviral studies in mice, dogs, and baboons,^{55,66,68,70–72,77,80} transgene expression gradually declines. This may be due at least partly to hepatocyte turnover resulting in the gradual loss of adenoviral genomes. This warrants the development of integrating adenoviral vectors, for instance by using adeno-associated virus (AAV)-HC adenoviral hybrid systems or transposon technology.^{82–84} However, the use of an integrating vectors system raises other safety concerns related to the risk of insertional oncogenesis due to random integration, which is outlined elsewhere in this issue.⁸⁵ Alternatively, Epstein-Barr virus-based episomal replication systems could be used in combination with HC adenoviral vector technology.^{86,87}

One of the main obstacles of using adenoviral vectors, including HC vectors, is that they are readily taken up by APCs, particularly Kupffer cells and splenic macrophages, which consequently reduces the amount of adenoviral vector particles available for transducing hepatocytes. The uptake of adenoviral vectors by Kupffer cells likely accounts for the so-called threshold effect, implying that a critical threshold vector dose is required before the transgene product can be detected, which reflects a nonlinear relationship between vector dose and biological response.^{68,70,72,88,89} In addition, transduction of APCs possibly contributes to the induction of an immune response against the transgene product.^{68,70–72,78,88,90} Exposure of APCs to adenoviral vector particles may potentially lead to immune “danger” signals,^{78,90} whereby the capsid components may act as adjuvants⁹¹ that could contribute to an adaptive immune response directed against the vector and/or transgene product (in casu FVIII or FIX). Furthermore, inadvertent transgene expression in APCs could further enhance the immune response against the transgene product, suggesting that the use of a potent tissue-specific instead of a ubiquitously expressed promoter is warranted to circumvent this potential limitation.^{92,93}

Systemic toxicity of adenoviral vectors may be linked directly to the activation and destruction of Kupffer cells, leading to a systemic activation of endothelial cells, responsible for the observed blood pressure decrease immediately following injection.⁹⁴ Although toxicity and inflammatory responses were significantly reduced when HC adenoviral vectors were employed, compared with early-generation vectors, these side effects are not completely eliminated. Strategies aimed at

reducing the uptake of vector particles into Kupffer cells and enhancing transduction in hepatocytes may therefore improve the therapeutic window of adenoviral vectors. One way to accomplish this is to modify the vector capsid, for instance with polyethylene glycol derivatives (i.e., PEGylation).^{95,96} An alternative approach to achieve retargeting is modification of the fiber protein⁹⁷ or antibody-mediated retargeting to hepatocytes.⁹⁸

Despite tremendous progress in developing improved adenoviral vectors for hemophilia A and B gene therapy, extensive preclinical studies are required to further improve their efficacy while improving their safety and reducing the risk of inhibitor formation.

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